



# Toxicological profile for

## Dipropylene glycol dibenzoate

***This ingredient has been assessed to determine potential human health effects for the consumer. It was considered not to increase the inherent toxicity of the product and thus is acceptable under conditions of intended use.***

## **1. Name of substance and physico-chemical properties**

### *1.1. IUPAC systematic name*

2-(1-Benzoyloxypropan-2-yloxy)propyl benzoate (PubChem)

### *1.2. Synonyms*

PPG-2 Dibenzoate; Polypropylene glycol (2) dibenzoate; Benzoflex 9-88; Dipropylene glycol dibenzoate; EINECS 248-258-5; Finsolv PG 22; Oxybispropanol dibenzoate; Propanol, oxybis-, dibenzoate; Oxydipropyl dibenzoate; UNII-60A5ZDY41O (ChemIDplus)

### *1.3. Molecular formula*

C<sub>20</sub>H<sub>22</sub>O<sub>5</sub>

### *1.4. Structural Formula*

### *1.5. Molecular weight (g/mol)*

342.39

### *1.6. CAS registration number*

27138-31-4

### *1.7. Properties*

#### *1.7.1. Melting point*

(°C): 54.48 (estimated) (EPISuite, 2017)

#### 1.7.2. Boiling point

(°C): Decomposes above 270 without boiling at 762 mmHg (US EPA (a)); 397.12 (estimated) (EPISuite, 2017); 464.2±30 at 760 mmHg (estimated) (ChemSpider)

#### 1.7.3. Solubility

Insoluble; 8.69 mg/L at 30°C (US EPA (a)); 15 mg/L at 25°C (ChemIDplus; EPISuite, 2017; US EPA (a)); 8.9-15 (Maag et al. 2010)

#### 1.7.4. pKa

No data available to us at this time.

#### 1.7.5. Flashpoint

(°C): 199-212 (TOC)

#### 1.7.6. Flammability limits (vol/vol%)

No data available to us at this time.

#### 1.7.7. (Auto)ignition temperature

(°C): No data available to us at this time.

#### 1.7.8. Decomposition temperature

(°C): >270 at 762 mmHg (US EPA (a))

#### 1.7.9. Stability

No data available to us at this time.

#### 1.7.10. Vapor pressure

4.60E-07 mm Hg at 25°C (ChemIDplus; EPISuite, 2017); 2.29 x 10<sup>-7</sup> mm Hg or 1.2 X 10<sup>-6</sup> mm Hg at 25°C (US EPA (a)); 1.1 X 10<sup>-5</sup> mm Hg at 50°C; 3.8 X 10<sup>-4</sup> mm Hg at 100°C; 0.00016 Pa (Maag et al. 2010)

### 1.7.11. log Kow

### 3.9 (US EPA (a))

## 2. General information

### 2.1. Exposure

Dipropylene glycol dibenzoate is used as an emollient and skin conditioning agent in cosmetics in the EU. As taken from CosIng (Cosmetic substances and ingredients database). Available at <http://ec.europa.eu/growth/tools-databases/cosing/>, accessed August 2018.

Dipropylene glycol dibenzoate (CAS RN 27138-31-4) is listed as an ingredient in home maintenance, inside the home, landscape/yard and personal care products by the US Department of Health and Human Services (2018).

“Used as a solvator for PVC, plasticizer in elastomers, in vinyl flooring, adhesives, latex caulks and sealants, color concentrates for PVC, and castable polyurethanes; [EPA ChAMP: Submissions - Robust Summaries] Used to formulate adhesives, sealants, lubricants, plasticizers, coatings, and inks, to make fine and large scale chemicals, and as a plasticizer for PVC and carrier for agrochemicals; [ExPub: ECHA REACH Registrations] Permitted for use as an inert ingredient in non-food pesticide products [EPA]”

As taken from Haz-Map, 2017.

National Occupational Exposure Survey (1981 - 1983)

Estimated Numbers of Employees Potentially Exposed to propanol, oxybis-, dibenzoate (CAS RN 27138-31-4) by Occupation\*

Code	Occupation Description (1980)	Total Employees (Male & Female)	Total Female Employees
203	CLINICAL LABORATORY TECHNOLOGISTS AND TECHNICIANS	255	204
215	MECHANICAL ENGINEERING TECHNICIANS	123	
225	SCIENCE TECHNICIANS, N.E.C.	166	
356	MAIL CLERKS, EXC. POSTAL SERVICE	3,126	2,274
365	STOCK AND INVENTORY CLERKS	427	427
449	MAIDS AND HOUSEMEN	34	34
453	JANITORS AND CLEANERS	815	
487	ANIMAL CARETAKERS, EXCEPT FARM	473	86
515	AIRCRAFT MECHANICS, EXC. ENGINE	57	
518	INDUSTRIAL MACHINERY REPAIRERS	2,917	
547	SPECIFIED MECHANICS AND REPAIRERS, N.E.C.	43	
549	NOT SPECIFIED MECHANICS AND REPAIRERS	68	
558	SUPERVISORS, N.E.C.	1,651	
563	BRICKMASONS AND STONEMASONS	311	
565	TILE SETTERS, HARD AND SOFT	2,932	
567	CARPENTERS	16,149	
573	DRYWALL INSTALLERS	1,119	
579	PAINTERS, CONSTRUCTION AND MAINTENANCE	800	
599	CONSTRUCTION TRADES, N.E.C.	4,013	

633	SUPERVISORS, PRODUCTION OCCUPATIONS	1,021	
634	TOOL AND DIE MAKERS	93	
637	MACHINISTS	442	
647	PRECIOUS STONES AND METALS WORKERS (JEWELERS)	325	163
668	UPHOLSTERERS	853	
679	BOOKBINDERS	1,648	560
699	MISCELLANEOUS PLANT AND SYSTEM OPERATORS	166	
707	ROLLING MACHINE OPERATORS	829	
709	GRINDING, ABRADING, BUFFING, AND POLISHING MACHINE OPERATORS	893	32
723	METAL PLATING MACHINE OPERATORS	332	
725	MISCELLANEOUS METAL AND PLASTIC PROCESSING MACHINE OPERATORS	985	131
734	PRINTING MACHINE OPERATORS	9,636	3,589
737	MISCELLANEOUS PRINTING MACHINE OPERATORS	16	
744	TEXTILE SEWING MACHINE OPERATORS	5,747	5,673
749	MISCELLANEOUS TEXTILE MACHINE OPERATORS	1,934	273
753	CEMENTING AND GLUING MACHINE OPERATORS	2,159	1,284
754	PACKAGING AND FILLING MACHINE OPERATORS	551	83
759	PAINTING AND PAINT SPRAYING MACHINE OPERATORS	33	
763	ROASTING AND BAKING MACHINE OPERATORS, FOOD	28	
765	FOLDING MACHINE OPERATORS	1,878	683
769	SLICING AND CUTTING MACHINE OPERATORS	429	101
777	MISCELLANEOUS MACHINE OPERATORS, N.E.C.	2,207	938
779	MACHINE OPERATORS, NOT SPECIFIED	2,736	1,515
783	WELDERS AND CUTTERS	3,053	
785	ASSEMBLERS	3,153	1,378
789	HAND PAINTING, COATING, AND DECORATING OCCUPATIONS	129	
796	PRODUCTION INSPECTORS, CHECKERS, AND EXAMINERS	166	
856	INDUSTRIAL TRUCK AND TRACTOR EQUIPMENT OPERATORS	995	83
859	MISCELLANEOUS MATERIAL MOVING EQUIPMENT OPERATORS	138	
869	CONSTRUCTION LABORERS	19,428	
888	HAND PACKERS AND PACKAGERS	4,191	3,801
889	LABORERS, EXCEPT CONSTRUCTION	1,326	
TOTAL		102,998	23,311

\*(1) The estimates for each occupation apply across the surveyed industries in which the agent was observed. Not all industries were surveyed, and not all agents were observed in all surveyed industries. (2) When using the estimates, standard errors associated with estimates should be considered. (3) Potential exposures to a chemical agent are categorized as actual (i.e., the surveyor observed the use of the specific agent) or tradename (i.e., the surveyor observed the use of a tradename product known to contain the specific agent). The estimates presented in the table combine both categories.

As taken from NIOSH, available at <https://web.archive.org/web/20111028114804/http://www.cdc.gov/noes/noes2/x1837occ.html>

“In Canada DPGDB [(dipropylene glycol dibenzoate)] and DEGDB have been identified as components of adhesives used in the manufacture of cartons and corrugated boxes to package some foods (personal communication from Food Directorate, Health Canada, to Existing Substances Risk Assessment Bureau, Health Canada, dated November 2016; unreferenced). DPGDB and TMPD were notified as being present in cosmetic products through notifications submitted under the Cosmetic Regulations to Health Canada (personal communication from Consumer Product Safety Directorate, Health Canada, to Existing Substances Risk Assessment Bureau, Health Canada, dated November 2016; unreferenced). DEGDB and DPGDB are identified as formulants in pest control products intended for remedial wood preservation (personal

communication from Pest Management Regulatory Agency to Existing Substances Risk Assessment Bureau, Health Canada, dated November 2016; unreferenced). All dibenzoates are identified as plasticizers in products available to consumers, including adhesives and sealants, paints and coatings, and plastic and rubber materials (SDS 2011; SDS 2014a; SDS 2014b; SDS 2015a; TDS 2015), as well as in commercial inks, toners and colourants (Environment Canada 2013; Pubchem 1988; TDS 2015). DEGDB and DPGDB were also identified in lubricants and greases, but no evidence of consumer use was identified (Environment Canada 2013). DPGDB was also identified as a plasticizer in certain cement floor resurfacing agents and as an anti-adhesive in mould making resins. However, these uses are limited to industrial settings (Environment Canada 2013). DPGDB is listed in the LNHPD as being present as a non-medicinal ingredient in a limited number of topical sunscreen products in Canada (personal communication from Natural and Non-prescription Health Products Directorate, Health Canada, to Existing Substances Risk Assessment Bureau, Health Canada, dated November 2016; unreferenced).”

As taken from Health Canada, 2017.

## 2.2. Combustion products

No data available to us at this time.

## 2.3. Ingredient(s) from which it originates

No data available to us at this time.

## 3. Status in legislation and other official guidance

JECFA Evaluation:

PROPYLENE GLYCOL DIBENZOATE	
COE No.	10890
FEMA No.	3419
JECFA No.	862
Chemical names	PROPAN-1,2-DIYL DIBENZOATE
Functional class	FLAVOURING AGENT
Latest evaluation	2002
ADI	ACCEPTABLE
Comments	No safety concern at current levels of intake when used as a flavouring agent
Report	TRS 913-JECFA 59/112
Specifications	COMPENDIUM ADDENDUM 44/FNP 52 Add.10/44
Tox monograph	FAS 48-JECFA 57/227 (2001)
Previous status	2001, TRS 909-JECFA 57/73, COMPENDIUM ADDENDUM 9/FNP 52 Add.9/142. EVALUATION AS A FLAVOURING AGENT NOT FINALIZED AT THE FIFTY-SEVENTH MEETING (2001); FURTHER INFORMATION REQUIRED TO DETERMINE WHETHER THIS SUBSTANCE IS IN CURRENT USE AS A FLAVOURING AGENT. N,T

As taken from JECFA, 2003 available at [http://www.inchem.org/documents/jecfa/jecval/jec\\_2034.htm](http://www.inchem.org/documents/jecfa/jecval/jec_2034.htm)

Dipropylene glycol dibenzoate is included in the FDA list of Indirect Additives used in Food Contact Substances and is covered by several sections of Title 21 of the US Code of Federal Regulations (21 CFR sections 175.105 (adhesives), 176.170 (components of paper and paperboard in contact with aqueous and fatty foods) and 176.180 (components of paper and paperboard in contact with dry food)) (FDA, 2018a,b).

There is a REACH dossier on oxydipropyl dibenzoate (CAS RN 27138-31-4) (ECHA, 2018a).

Oxydipropyl dibenzoate (CAS RN 27138-31-4) is not classified for packaging and labelling under Regulation (EC) No. 1272/2008 (ECHA, 2018b).

Listed in the US EPA Inert Finder Database (2018) as approved for non-food use pesticide products.

Dipropylene glycol dibenzoate (CAS RN 27138-31-4) is listed in the US EPA Toxic Substances Control Act (TSCA) inventory and also on the US EPA CDR list (Chemical Data Reporting Rule). The Chemical Data Reporting (CDR) Rule requires companies that manufacture (including import) certain chemicals at certain volumes in the U.S. to report to EPA every four years through its CDR.

The TSCA inventory and 2012 CDR list are available at [https://iaspub.epa.gov/sor\\_internet/registry/substreg/searchandretrieve/searchbylist/search.do](https://iaspub.epa.gov/sor_internet/registry/substreg/searchandretrieve/searchbylist/search.do)

Dipropylene glycol dibenzoate (CAS RN 27138-31-4) is included on the US EPA Safer Chemical Ingredients List (US EPA, 2018).

Propanol, oxybis-, dibenzoate is included on the New Zealand Inventory of Chemicals and may be used as a single component chemical under appropriate group standard (NZ EPA, 2006).

## **4. Metabolism/Pharmacokinetics**

### **4.1. Metabolism/metabolites**

“As part of a submission under Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) for both DEGDB and DPGDB (ECHA 2000a), the toxicokinetics of DEGDB was observed in CD-1 rats administered via oral gavage with a single low dose of 50 mg/kg and a high dose of 750 mg/kg. According to the study, the major metabolites identified were hippuric acid, benzoic acid, and diethylene glycol monobenzoate. The major metabolite in urine was hippuric acid, which represented 94% to 97% of the 50 mg/kg dose and 85% to 88% of the 750 mg/kg dose. At the low dose, a glucuronide of benzoic acid was identified at 1%, whereas at the high dose it was observed at 6% to 9%. The authors therefore concluded that the metabolism of DEGDB proceeded via hydrolysis to form benzoic acid, which was further conjugated with glycine (generating the hippuric acid metabolite) and to a lesser extent via a glucuronic acid pathway (ECHA 2000a). An older study on the toxicokinetics of DPGDB (i.e., technical grade Benzoflex 9-88 plasticizer administered to rats) also reported that the substance is rapidly metabolized and excreted, with 70% of the dose excreted via urine (within 48 hours) as hippuric acid and 10% excreted via feces (Butz et al. 1982).”

As taken from Health Canada, 2017

### **4.2. Absorption, distribution and excretion**

“Dipropylene glycol dibenzoate is rapidly eliminated by mammals.” As taken from Butz RG et al. (1982), ENVIRON TOXICOL CHEM; 1 (4). 1982 (RECD. 1983), 337-346.

“Studies show that DGD is rapidly metabolised and excreted from the body and not accumulated in rats. 70% was excreted in the urine within 48 hours of administration as hippuric acid and about 10% was observed in faeces. Half-life of radiocarbon in the blood was 3 hours and for other organs 2-15 hours.” As taken from Maag et al. 2010 (cited in ECHA, 2011. Available at <http://echa.europa.eu/documents/10162/c6781e1e-1128-45c2-bf48-8890876fa719>).

“Study on the toxicokinetics of DPGDB (i.e., technical grade Benzoflex 9-88 plasticizer administered to rats) also reported that the substance is rapidly metabolized and excreted, with 70% of the dose excreted via urine (within 48 hours) as hippuric acid and 10% excreted via feces (Butz et al. 1982).”

As taken from Health Canada, 2017

### 4.3. Interactions

No data available to us at this time.

## 5. Toxicity

### 5.1. Single dose toxicity

#### Oral

Test Substance:	See boiling point for purity.
Test Method:	OECD 401
GLP:	Yes
Date:	1998
Laboratory:	Huntingdon Life Sciences
Species/Strain:	Rat, Sprague-Dawley
Sex:	Male and Female
Results:	Acute Oral LD50 and 95% confidence limits Male: 5072 (4455-5774) mg/kg Female: 3295 (2857-3801) mg/kg Combined: 3914 (2957-4844) mg/kg

#### Dermal

Test Substance	See boiling point for purity.
Test Method	OECD 402
GLP	Yes
Date	1997
Laboratory	Huntingdon Life Sciences
Species/Strain	Rat, Sprague-Dawley
Sex	Male and Female
Results	Acute Dermal LD50 >2000 mg/kg
Number of Deaths at Each Dose Level	No deaths
Description of Clinical Effects:	No signs of systemic reaction to treatment were observed in any animal throughout the observation period.

#### Inhalation

Five male and five female rats were placed in a sealed 59.1 liter glass chamber and exposed for 4 hours to a dynamic atmosphere containing Benzoflex 9-88 mist/vapor. The calculated atmospheric concentration administered was approximately 200 mg/L (mist/vapor) of Benzoflex 9-88. All the rats exposed to the 200-mg/L (mist/vapor) atmospheric concentration of Benzoflex 9-88 survived the 4-hour exposure period and the 14-day observation period. Benzoflex 9-88 would not be considered harmful if inhaled.

As taken from US EPA (a), available at [https://iaspub.epa.gov/opptppv/public\\_search.html\\_page](https://iaspub.epa.gov/opptppv/public_search.html_page)

### 5.2. Repeated dose toxicity

Study type	Dietary Administration for 13 weeks with a subsequent 4-week recovery period for selected animals
Method	OECD 408
GLP	Yes
Date	1999
Laboratory	Huntingdon Life Sciences
Species/Strain	CrI: (IGS) CD® BR

Age at Study Initiation	~ 7-8 weeks
Route of Administration	Dietary
Frequency of Treatment	continuous in diet
Duration of Test	13 weeks with subsequent 4 week recovery for selected animals
Dose/Concentration Levels	Control, 250, 1000, 1750 OR 2500 mg/kg/day 10 male and 10 female per group
Post-Exposure Observation	An additional 10 male and 10 female rats at the control and 2500 mg/kg/day level served as a recovery group for a subsequent 4 weeks.
Result	Benzoflex 9-88 was administered to rats by dietary admixture to achieve dosages of 0, 250, 1000, 1750 or 2500 mg/kg/day over 13 weeks. Selected Control and Group 5 animals were subsequently maintained off dose for 4 weeks to assess reversibility of any treatment related changes. Dosages of 1000 mg/kg/day or below are considered to represent a No Observable Adverse Effect Level (NOAEL) of Benzoflex 9-88 in rats by oral administration over 13 weeks. A few minor intergroup differences were noted at 1000 mg/kg/day but were insufficient to be of toxicological importance. Higher dosages of 1750 or 2500 mg/kg/day were tolerated but the adverse effect on bodyweight was more pronounced, there were increases in circulating enzyme activities, low grade hepatocyte hypertrophy and an increased incidence and degree of hemosiderosis in the spleen in one or both sexes. At 2500 mg/kg/day, an increased incidence of minimal epithelial hyperplasia was noted in the caecum. When selected animals previously receiving 2500 mg/kg/day were maintained off dose for 4 weeks, all treatment related effects showed evidence of, or complete, recovery.

As taken from US EPA (a), available at [https://iaspub.epa.gov/opthpv/public\\_search.html\\_page](https://iaspub.epa.gov/opthpv/public_search.html_page)

“No treatment related effects were observed in a 90-day study with dogs administered 1,000 ppm Benzoflex 9-88 [CAS RN 27138-31-4] in the diet.”

As taken from US EPA (a), available at [https://iaspub.epa.gov/opthpv/public\\_search.html\\_page](https://iaspub.epa.gov/opthpv/public_search.html_page)

### 5.3. Reproduction toxicity

#### Developmental toxicity

Test Substance	Dipropylene Glycol Dibenzoate 94.84% Dipropylene Glycol Monobenzoate 2.91% Propylene Glycol Dibenzoate 1.7869% 2(2-Propylenoxy)1-propyl Benzoate 0.2976%
Method	US EPA 870.3700 with the following exception – the guideline states that “Evaluation of the dams during cesarean section and subsequent fetal analyses should be conducted without knowledge of treatment group in order to minimize bias”. Evaluation was made with knowledge of treatment group, as procedures are already in place to minimize bias during these portions of the study. These procedures include routine reviews of necropsy technicians evaluation skills and scientific peer review of at least 25% of the raw data of the fetal analyses, including examination of serial sections for visceral anomalies and examination of fetal skeletons.
GLP:	Yes
Date:	1998
Laboratory:	Huntingdon Life Sciences
Species/Strain:	Rat Sprague Dawley
Route of Administration:	Oral (gavage)
Dosages:	0, 250, 500 and 1000 mg/kg/day
Number and Sex:	22 Females/group
Exposure period:	Gestation Day 6-19
Frequency of Treatment:	Daily
Control Group:	Corn Oil Vehicle
Duration of Test:	Cesarean section on Gestation Day 20
Results	Maternal Toxicity NOEL: 1000 mg/kg/day Clinical Signs: The general condition of females at all dosages remained satisfactory throughout the study and there were no deaths. Salivation after dosing was observed at all dosages. The incidence was dosage related but this finding was not considered to be of toxicological importance. At 1000 mg/kg/day, there were no detectable signs of

	<p>maternal toxicity; there were no maternal deaths and all females had a live litter at sacrifice.</p> <p>Litter Responses and Fetal Changes</p> <p>Prenatal development NOAEL: 500 mg/kg/day. A small number of fetuses with cervical ribs at 1000 mg/kg/day precludes defining this dosage as a NOEL for developmental anomalies, in all other respects the NOAEL for pre-natal development is concluded to be 1000 mg/kg/day.</p> <p>Fetal Growth and Development NOEL: 250 mg/kg/day</p> <p>There were no effects of treatment on pre-natal survival or growth. At 1000 mg/kg/day, treatment was associated with a small but definite increase in the number of fetuses with cervical ribs. At 1000 and 500 mg/kg/day, there were a greater number of fetuses with incomplete ossification of the 5th and 6th sternbrae compared with Controls, but this finding was not considered to be of any long term toxicological significance</p>
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## Reproductive toxicity

Test Substance	Dipropylene Glycol Dibenzoate 94.84% Dipropylene Glycol Monobenzoate 2.91% Propylene Glycol Dibenzoate 1.7869% 2(2-Propylenoxy)1-propyl Benzoate 0.2976%
Method	OECD 416, USEPA OPPTS 870.3800 (1998)
Type	Two generation
GLP	Yes
Date	1999
Laboratory	Huntingdon Life Sciences
Species/Strain	Rat Sprague Dawley (CD-IGS)
Route of Administration	Dietary – Continuous
Doses	1000, 3300 or 10000 ppm throughout two generations
Sex	males and females
Control Group:	Yes, basal diet without test material
Frequency:	continuously in diet
Duration:	Approximately 38 weeks Premating Exposure (males and females F0 and F1): 10 weeks before pairing and throughout mating, gestation, littering and lactation
Results	<p>Maternal Toxicity</p> <p>NOEL: 1000 mg/kg/day</p> <p>Clinical Signs: The general condition of females at all dosages remained satisfactory throughout the study and there were no deaths. Salivation after dosing was observed at all dosages. The incidence was dosage related but this finding was not considered to be of toxicological importance. At 1000 mg/kg/day, there were no detectable signs of maternal toxicity; there were no maternal deaths and all females had a live litter at sacrifice.</p> <p>Litter Responses and Fetal Changes</p> <p>Prenatal development NOAEL: 500 mg/kg/day. A small number of fetuses with cervical ribs at 1000 mg/kg/day precludes defining this dosage as a NOEL for developmental anomalies, in all other respects the NOAEL for pre-natal development is concluded to be 1000 mg/kg/day.</p> <p>Fetal Growth and Development NOEL: 250 mg/kg/day</p> <p>There were no effects of treatment on pre-natal survival or growth.</p> <p>At 1000 mg/kg/day, treatment was associated with a small but definite increase in the number of fetuses with cervical ribs. At 1000 and 500 mg/kg/day, there were a greater number of fetuses with incomplete ossification of the 5th and 6th sternbrae compared with Controls, but this finding was not considered to be of any long term toxicological significance.</p>
Conclusion	Dietary administration of the test material at concentrations of 1000, 3300 or 10000 ppm was well tolerated by the F0 and subsequent F1 parental animals and their respective progeny. Predicted exposure to the test material was achieved throughout both generations of the study as judged by calculations of achieved intake and period dietary analysis. There were no obvious toxicological effects of treatment for the two generations on the general condition of the parental animals or on their fertility and reproductive performance. Litter parameters at birth of the F1

	<p>and F2 progeny and their survival to weaning showed no apparent detrimental effects of treatment. However, in both F1 and F2 offspring at 10,000 ppm there was a slight reduction in weight gain during Days 14-21 of age and this finding may be linked to the transition to direct exposure to the test material as the offspring weaned onto solid diet at the same dietary inclusion levels as their parents. No abnormal findings were apparent at necropsy of the F0 or F1 parental animals, the post-weaned unselected F1 offspring or the F2 offspring. Organ weight assessment of the F0 and F1 parent animals did not suggest any adverse effects on any organs. Assessment of spermatogenesis and histopathology in both parental generations showed that there were no injurious effects on these testes or other reproductive organs. Furthermore, detailed histopathological examination of these tissues from both sexes in both generations did not reveal any adverse effects of treatment. Regarding survival and growth of the offspring, there were no unequivocal adverse effects. However, a slight reduction in bodyweight gain during Days 14 to 21 (F1 and F2), likely due to the neonatal consumption of the dam's treated diet, and a slight reduction in spleen weights only observed in the F2 generation are of questionable toxicological relevance.</p> <p>The evidence from this study suggested that a dietary concentration of 10,000 ppm should be considered as the No-Observed-Effect-Level (NOEL) for the F0 and F1 parent animals. The No-Observed-Adverse-Effect-Level (NOAEL) for survival and growth of the offspring is considered to be 10,000 ppm.</p>
Reliability	1, Reliable without restrictions

As taken from US EPA (a), available at [https://iaspub.epa.gov/opthpv/public\\_search.html\\_page](https://iaspub.epa.gov/opthpv/public_search.html_page)

“Benzoflex 9-88 [CAS RN 27138-31-4] did not induce vaginal cornification at doses of 500, 1000, 1500 or 2000 mg/kg/day for 7 days by oral gavage in ovariectomized adult Sprague-Dawley (CD) rats. Benzoflex 9-88 did not stimulate a uterine weight increase or an increase in the uterine weight to final body weight ratio at doses of 500, 1000, 1500 or 2000 mg/kg/day for 7 days. When compared with the vehicle control (corn oil) and positive control (diethylstilbestrol), these data demonstrate that Benzoflex 9-88 did not exhibit estrogenic activity up to and including the maximally tolerated dose.”

As taken from US EPA (a), available at [https://iaspub.epa.gov/opthpv/public\\_search.html\\_page](https://iaspub.epa.gov/opthpv/public_search.html_page)

#### 5.4. Mutagenicity

##### AMES mutagenicity

Study Type	Bacterial reverse mutation assay
Test Method	OECD 471, 472
GLP	Yes
Date	1997
Laboratory	Huntingdon Life Sciences
Species/Strain	S. typhimurium: TA 1535 his G46 rfa uvrB TA 1537 his C3076 rfa uvrB TA 98 his D3052 rfa uvrB pKM101 TA 100 his G46 rfa uvrB pKM101 E. coli CM891 WP2 trp uvrA pKM101
Concentrations	5000, 1500, 500, 150, 50, 15, 5 mg/plate
Metabolic Activation	Sprague-Dawley rat liver
Quantity of Activator	0.5 ml
Induction	Stimulated by Aroclor 1254

##### Mammalian chromosome aberration test

Study Type	<i>In-vitro</i> Mammalian Chromosome Aberration Test in CHL cells
Test Method	OECD 473
GLP	Yes
Date	1997
Laboratory	Huntingdon Life Sciences

Species/Strain	Chinese Hamster Lung, strain JCRB0030
Concentrations	4.9, 9.8, 19.5, 39.1, 78.1, 156.3, 312.5 and 625 mg/ml
Metabolic Activation	Sprague-Dawley rat liver
Quantity of Activator	1.25 and 5 ml
Induction	Stimulated by Aroclor 1254
Criteria for Evaluating Results	Aberrations were scored according to the classification of ISCN (1985). An International System for Human Cytogenetic Nomenclature, Harden, DG and Klinger, HP (Eds). S. Karger AG, Basel
Positive/Negative Controls	Positive without S9: Mitomycin C in sterile deionized water Positive with S9: Cyclophosphamide in sterile deionized water
Genotoxic Effects	No statistically significant increases in the proportion of aberrant cells, when compared to the solvent control, were seen in either the presence or the absence of S9 mix. A small response seen in the first test, with S9 mix, was not reproduced in the repeat test or at the later harvest. This response was not considered to be indicative of clastogenic activity.

As taken from US EPA (a), available at [https://iaspub.epa.gov/opthpv/public\\_search.html\\_page](https://iaspub.epa.gov/opthpv/public_search.html_page)

There was no evidence of genotoxic activity in an *in vitro* chromosome aberration test in Chinese hamster lung cells (ECHA, 2011).

There was no evidence of mutagenicity in a gene mutation assay in which mouse lymphoma (L5178Y) cells were treated, in the absence and presence of a metabolic activation system, with up to 250 µg/ml Benzoflex 9-88 (CAS RN 27138-31-4).

As taken from US EPA (a), available at [https://iaspub.epa.gov/opthpv/public\\_search.html\\_page](https://iaspub.epa.gov/opthpv/public_search.html_page)

### 5.5. Cytotoxicity

No data available to us at this time.

### 5.6. Carcinogenicity

No data available to us at this time.

### 5.7. Irritation/immunotoxicity

“No dermal irritation was reported following a single semi-occlusive application of DGD to intact rabbit skin for 4 hours (OECD 404). A single instillation of DGD into the eye of the rabbit elicited transient very slight conjunctival irritation only (OECD 405). No allergic skin reaction was reported in guinea pigs after repeated skin contact (intradermal and topical) using the Magnusson and Kligman method (OECD 406).” As taken from Maag et al. 2010 (cited in ECHA, 2011. Available at <http://echa.europa.eu/documents/10162/c6781e1e-1128-45c2-bf48-8890876fa719>).

“May cause smarting and reddening of skin if spilled and allowed to remain on clothes; High vapor concentrations may cause mild smarting of eyes and respiratory system [CHRIS]”

As taken from Haz-Map, 2017

“OECD 404.

Eye Irritation to the Rabbit.

None of the treated animals showed a positive response. No corneal damage or iridial inflammation was observed. Transient hyperemia of blood vessels only was observed in all animals. These reactions had resolved in all instances by one or two days after instillation.

Benzoflex 9-88. Eye Irritation to the Rabbit. Huntingdon Life Sciences. 1998.”

As taken from US EPA (a), available at [https://iaspub.epa.gov/opthpv/public\\_search.html\\_page](https://iaspub.epa.gov/opthpv/public_search.html_page)

“OECD 406

## Skin Sensitization to the Guinea Pig.

Benzoflex 9-88 did not produce evidence of skin sensitization (delayed contact hypersensitivity) in any of twenty test animals. Evidence of skin sensitization was produced by hexyl cinnamic aldehyde (HCA) in all ten positive controls thus confirming the sensitivity of the method.

Benzoflex 9-88. Skin Sensitization to the Guinea Pig. Huntingdon Life Sciences. 1998.”

As taken from US EPA (a), available at [https://iaspub.epa.gov/opptppv/public\\_search.html\\_page](https://iaspub.epa.gov/opptppv/public_search.html_page)

### *5.8. All other relevant types of toxicity*

No data available to us at this time.

## **6. Functional effects on**

### *6.1. Broncho/pulmonary system*

“High vapor concentrations may cause mild smarting of ... the respiratory system”.

As taken from Haz-Map, 2017

### *6.2. Cardiovascular system*

No data available to us at this time.

### *6.3. Nervous system*

No data available to us at this time.

### *6.4. Other organ systems, dependent on the properties of the substance*

“Hepatotoxin, Secondary.”

As taken from Haz-Map, 2017.

## **7. Addiction**

JTI is not aware of any information that demonstrates that this ingredient has any addictive effect.

## **8. Burnt ingredient toxicity**

No data available to us at this time.

## **9. Heated/vapor emissions toxicity**

No data available to us at this time.

## 10. Ecotoxicity

### 10.1. Environmental fate

"DGD is found to be readily biodegradable (85% of ThOD in 28 days) in the modified Sturm test (OECD 301B) while in the Closed Bottle Test (OECD 301D) the BOD5/COD ratio was only 0.29 (>0.5 required for ready biodegradability). 75% was degraded after 120 days in an anaerobic biodegradation test with a pass level of 60% (US EPA 796.3140, corresponding to OECD 311) and therefore considered to be ultimately biodegradable under anaerobic conditions." As taken from Maag et al. 2010 (cited in ECHA 2011. Available at <http://echa.europa.eu/documents/10162/c6781e1e-1128-45c2-bf48-8890876fa719>).

According to Canadian categorization criteria, dipropylene glycol dibenzoate is not expected to persist in the environment. The following data are provided:

#### Underlying data regarding persistence

Media of concern leading to Categorization	Water-Soil
Experimental Biodegradation half-life (days)	Not Available
Predicted Ultimate degradation half-life (days)	15
MITI probability of biodegradation	0.3376
TOPKAT probability of biodegradation	1
EPI Predicted hydrolysis half-life (days)	7.80E+002
EPI Predicted Ozone reaction half-life (days)	999
EPI Predicted Atmospheric Oxidation half-life (days)	0.3111

Data accessed May 2017 on the OECD website: <http://webnet.oecd.org/CCRWeb/Search.aspx>  
EPI Suite provides the following data:

#### Henry's Law Constant (25 deg C) [HENRYWIN v3.20]:

Bond Method :	5.95E-010 atm-m3/mole (6.03E-005 Pa-m3/mole)
Group Method:	1.65E-011 atm-m3/mole (1.68E-006 Pa-m3/mole)
Exper Database:	1.38E-08 atm-m3/mole (1.40E-003 Pa-m3/mole)
Henry's LC [via VP/WSol estimate using User-Entered or Estimated values]:	HLC: 6.972E-007 atm-m3/mole (7.064E-002 Pa-m3/mole) VP: 5.22E-006 mm Hg (source: MPBPVP) WS: 3.37 mg/L (source: WSKOWWIN)

#### Log Octanol-Air Partition Coefficient (25 deg C) [KOAWIN v1.10]:

Log Kow used:	3.88 (KowWin est)
Log Kaw used:	-6.249 (exp database)
Log Koa (KOAWIN v1.10 estimate):	10.129
Log Koa (experimental database):	None

#### Probability of Rapid Biodegradation (BIOWIN v4.10):

Biowin1 (Linear Model):	0.8417
Biowin2 (Non-Linear Model) :	0.9985
Biowin3 (Ultimate Survey Model):	2.7583 (weeks)
Biowin4 (Primary Survey Model) :	3.8117 (days)
Biowin5 (MITI Linear Model) :	0.4629
Biowin6 (MITI Non-Linear Model):	0.3376
Biowin7 (Anaerobic Linear Model):	-0.0343
Ready Biodegradability Prediction:	NO

#### Hydrocarbon Biodegradation (BioHCwin v1.01):

Structure incompatible with current estimation method!

#### Sorption to aerosols (25 Dec C)[AEROWIN v1.00]:

Vapor pressure (liquid/subcooled):	0.00012 Pa (9E-007 mm Hg)
Log Koa (Koawin est):	10.129
Kp (particle/gas partition coef. (m3/ug)): Mackay model:	

Octanol/air (Koa) model:	0.025
	0.0033

Fraction sorbed to airborne particulates (phi):

Junge-Pankow model:	0.475
Mackay model:	0.667
Octanol/air (Koa) model:	0.209

**Atmospheric Oxidation (25 deg C) [AopWin v1.92]:**

Hydroxyl Radicals Reaction:

OVERALL OH Rate Constant =	34.3831 E-12 cm <sup>3</sup> /molecule-sec
Half-Life =	0.311 Days (12-hr day; 1.5E6 OH/cm <sup>3</sup> )
Half-Life =	3.733 Hrs
Ozone Reaction:	No Ozone Reaction Estimation
Fraction sorbed to airborne particulates (phi): 0.571 (Junge-Pankow, Mackay avg) 0.209 (Koa method)	
Note: the sorbed fraction may be resistant to atmospheric oxidation	

**Soil Adsorption Coefficient (KOCWIN v2.00):**

Koc :	2633 L/kg (MCI method)
Log Koc:	3.420 (MCI method)
Koc :	707.1 L/kg (Kow method)
Log Koc:	2.849 (Kow method)

**Aqueous Base/Acid-Catalyzed Hydrolysis (25 deg C) [HYDROWIN v2.00]:**

Total Kb for pH > 8 at 25 deg C:	1.028E-001 L/mol-sec
Kb Half-Life at pH 8:	78.015 days
Kb Half-Life at pH 7:	2.136 years

(Total Kb applies only to esters, carbmates, alkyl halides)

**Volatilization from Water:**

Henry LC: 1.38E-008 atm-m<sup>3</sup>/mole (Henry experimental database)

Half-Life from Model River:	7.851E+004 hours (3271 days)
Half-Life from Model Lake:	8.566E+005 hours (3.569E+004 days)

**Removal In Wastewater Treatment:**

Total removal:	24.90 percent
Total biodegradation:	0.28 percent
Total sludge adsorption:	24.62 percent
Total to Air:	0.00 percent

(using 10000 hr Bio P,A,S)

**Level III Fugacity Model:**

	Mass Amount (percent)	Half-Life (hr)	Emissions (kg/hr)
Air	0.183	7.47	1000
Water	18.1	360	1000
Soil	80.2	720	1000
Sediment	1.52	3.24e+003	0

Persistence Time: 670 hr

**Photodegradation**

“Dipropylene Glycol Dibenzate is structurally similar to diethylene glycol dibenzoate and triethylene glycol dibenzoate. They contain the same functional groups – aromatic rings, ester groups ether linkages and hydroxyl groups. Given that the same functional groups are present, the chemical properties of each substance can be expected to be similar with any difference being attributable to molecular weight. As the molecular weight of dipropylene glycol dibenzoate (342.18) is between those of diethylene glycol dibenzoate (314.34) and triethylene glycol dibenzoate (358.39), its physical and chemical properties are similarly likely to be the two extremes. It should therefore be

possible to use data from the higher and lower molecular weight dibenzoate analogues in assessing the properties of the intermediate molecular weight substance, dipropylene glycol dibenzoate. Diethylene Glycol Dibenzoate The rate constant and half-life for the atmospheric gas-phase reaction between photolytically produced hydroxyl radicals and diethylene glycol dibenzoate have been estimated using the software AOPWIN v1.88. The rate constant for the reaction, assuming a 24-hour day and a hydroxyl radical concentration of  $1.5 \times 10^6$  mol/cm<sup>3</sup> was  $18.95 \times 10^{-12}$  cm<sup>3</sup>/mol-sec and the half-life was 0.282 days (6.772 hours). The program did not estimate a rate constant for reaction with ozone (only olefins and acetylenes are estimated) and there were no structures matched with the experimental database. Triethylene Glycol Dibenzoate The rate constant and half-life for the atmospheric gas-phase reaction between photolytically produced hydroxyl radicals and triethylene glycol dibenzoate have been estimated using the software AOPWIN v1.88. The rate constant for the reaction, assuming a 24-hour day and a hydroxyl radical concentration of  $1.5 \times 10^6$  mol/cm<sup>3</sup> was  $32.97 \times 10^{-12}$  cm<sup>3</sup>/mol-sec and the half-life was 0.162 days (3.893 hours). The program did not estimate a rate constant for reaction with ozone (only olefins and acetylenes are estimated) and there were no structures matched with the experimental database.”

Reliability: Estimated value based on accepted model.

### Stability in water

“Dipropylene Glycol Dibenzoate is structurally similar to diethylene glycol dibenzoate and triethylene glycol dibenzoate. They contain the same functional groups – aromatic rings, ester groups ether linkages and hydroxyl groups. Given that the same functional groups are present, the chemical properties of each substance can be expected to be similar with any difference being attributable to molecular weight. As the molecular weight of dipropylene glycol dibenzoate (342.18) is between those of diethylene glycol dibenzoate (314.34) and triethylene glycol dibenzoate (358.39) its physical and chemical properties are similarly likely to be the two extremes. It should therefore be possible to use data from the higher and lower molecular weight dibenzoate analogues in assessing the properties of the intermediate molecular weight substance, dipropylene glycol dibenzoate. Diethylene Glycol Dibenzoate The aqueous hydrolysis rate constant and half-life for diethylene glycol dibenzoate have been estimated using the software program HYDROWIN v1.66. The calculated rate constant for the base-catalyzed reaction (K<sub>b</sub>) at pH>8 and at 25°C was estimated to be  $1.645 \times 10^{-1}$  L/mol-sec. Half-lives at pH 7 and 8 were estimated to be 1.335 years and 48.77 days, respectively. The program does not calculate neutral hydrolysis rate constants. Therefore, reported half-lives may be over estimates. The fragment –CH<sub>2</sub>- CH<sub>2</sub>-O-R was not available in the program’s library. Therefore, fragment - CH<sub>2</sub>- CH<sub>2</sub> –O-CH<sub>3</sub> was substituted. This is unlikely to have affected the estimation result significantly. Triethylene Glycol Dibenzoate .The aqueous hydrolysis rate constant and half-life for triethylene glycol dibenzoate have been estimate using the software program HYDROWIN v1.66. The calculated rate constant for the base-catalyzed reaction (K<sub>b</sub>) at pH>8 and at 25°C was estimated to be  $1.645 \times 10^{-1}$  L/mol-sec. Half-lives at pH 7 and 8 were estimated to be 1.335 years and 48.77 days, respectively. The program does not calculate neutral hydrolysis rate constants. Therefore reported half-lives may be over estimates. The fragment –CH<sub>2</sub>-CH<sub>2</sub>-O-R was not available in the program’s library. Therefore fragment - CH<sub>2</sub>- CH<sub>2</sub> –O-CH<sub>3</sub> was substituted. This is unlikely to have affected the estimation result significantly.”

Reliability: Estimated value based on accepted model.

### Biodegradation

Test Method:	OECD 301B
GLP:	Yes
Test Type:	aerobic
Year Performed:	1997
Laboratory:	Huntingdon Life Sciences
Inoculum:	Activated sludge from sewage treatment works
Concentration:	10 mgC/l
Duration:	29 days
Positive Control:	Sodium benzoate
Control and Blank:	Mineral salts and medium

Results:	6% of TCO <sub>2</sub> @ 2 days 62% of TCO <sub>2</sub> @ 12 days 85% of TCO <sub>2</sub> @ 28 days Final mean level of degradation including residual CO <sub>2</sub> released from the medium after acidification. Readily biodegradable.
Data Quality:	1, Reliable without restrictions

Other studies: Benzoflex 9-88: Assessment of biotic/abiotic degradability.

### Biochemical Oxygen

“Demand (BOD) and Chemical Oxygen Demand (COD). Huntingdon Life Sciences. 1998. OECD 301D, Closed Bottle test. The BOD<sub>5</sub> of Benzoflex 9-88 was 0.65 gO<sub>2</sub>/g (30% of its ThOD; 2.15 gO<sub>2</sub>/g) based on results obtained at a nominal concentration of 2 mg/L.. The mean COD of Benzoflex 9-88 (2.33 gO<sub>2</sub>/g) was 104% of its ThOD which confirmed that the material was completely oxidized in the COD test. The mean BOD<sub>5</sub> of Benzoflex 9-88 was 29% of its COD. For screening purposes, substances are generally considered readily biodegradable in this test if the ratio of BOD<sub>5</sub>:COD or ThOD <sup>3</sup> 50%. Benzoflex 9-88 cannot therefore be considered to be readily biodegradable under the conditions of this test. Because this type of BOD test employs both a weak microbial inoculum and a relatively short incubation time, it can be considered to be a particularly stringent test of biodegradability. Benzoflex 9-88. Evaluation of Ultimate Anaerobic Biodegradability by Measurement of Biogas Production. Huntingdon Life Sciences. 1998. USEPA Method 796.3140, Anaerobic Biodegradability. Benzoflex 9-88 was degraded to 46% after 60 days of incubation and 75% after 120 days of incubation, based on a nominal level of carbon in the culture at the start of the test (12 mgC). At Day 120 of the test, Benzoflex 9-88 was degraded to 90% based on the theoretical carbon level (10 mgC) remaining in cultures following removal of samples for DIC analysis. The precise distribution of Benzoflex 9-88 in test mixtures was not determined in this test so the level of carbon remaining in test mixtures after samples were removed for DIC analysis cannot be accurately determined. However, since the octanol:water partition coefficient for Benzoflex 9-88 is relatively high (log Pow 3.9), it is likely that the material will adsorb onto sewage solids. Although the level of biodegradation calculated using the nominal level of carbon at the start of the test (12 mgC) gives the worst case estimate, it is likely to be the most accurate. Substances are considered to be ultimately degraded under anaerobic conditions in this test if the level of degradation is equal to or greater than 60%. Benzoflex 9-88 can therefore be considered ultimately biodegradable under anaerobic conditions. Peterson OM. Velsicol Chemical Corporation. 1974. The standard BOD test for biodegradability showed the oxygen consumed in 5 days was sufficient for the degradation of 26% of the compound, while in 20 days, the corresponding value was 84%.

Butz RG, Atallah YH, Yu CC and Calo CJ. Environmental Toxicology and Chemistry. 1, 337. 1982. 14C-labeled Benzoflex 9-88 was virtually removed by 28 days and 78% of the carbon was converted to carbon dioxide. The remainder was incorporated into biochemical components of the microorganisms, a normal occurrence for substances used as a food source by microorganisms.”

As taken from US EPA (b), available at [http://iaspub.epa.gov/opptpv/public\\_search.html](http://iaspub.epa.gov/opptpv/public_search.html) page

### 10.2. Aquatic toxicity

“The LC<sub>50</sub> of fish (*P. promelas*) exposed to DGD for 96 hours was found to be 3.7 mg/L (OECD 203), the 48 hour EC<sub>50</sub> for daphnia 19.3 mg/L (OECD 202), and the 72 hours growth rate-based EC<sub>50</sub> for algae (*Seleneastrum capricornutum*, now known as *Pseudokirchneriella subcapitata*) is 11 mg/L. The corresponding 72 h NOEC was 1.0 mg/L. These quite uniform values across three main taxonomic groups could indicate a non-specific mode-of-action of DGD.” As taken from Maag et al. 2010 (cited in ECHA, 2011. Available at <http://echa.europa.eu/documents/10162/c6781e1e-1128-45c2-bf48-8890876fa719>).

Dipropylene glycol dibenzoate is considered by the Canadian authorities to be inherently toxic to aquatic organisms. The following data are provided:

Pivotal value for iT (mg/l)	0.0042
Toxicity to fathead minnow (LC <sub>50</sub> in mg/l) as predicted by Topkat v6.1	0.0042

Toxicity to fish (LC50 in mg/l) as predicted by Ecosar v0.99g	5.114
Toxicity to fish (LC50 in mg/l) as predicted by Aster	2.477304
Toxicity to fish (LC50 in mg/l) as predicted by PNN	0.80124
Toxicity to daphnia (EC50 in mg/l) as predicted by Topkat v6.1	5.7
Toxicity to fish, daphnia, algae or mysid shrimp (EC50 or LC50 in mg/l) as predicted by Ecosar v0.99g	5.293
Toxicity to fish (LC50 in mg/l) as predicted by Neutral Organics QSAR in Ecosar v0.99g	1.45E+000

Data accessed May 2017 on the OECD website: <http://webnet.oecd.org/CCRWeb/Search.aspx>

### Acute Toxicity to Fish

Test Substance	Dipropylene Glycol Dibenzoate: 27138-31-4 98.95% Unknowns 1-6: Unknown 0.66% Propylene Glycol Dibenzoate: 19224-26-1 0.28% Dipropylene Glycol Monobenzoate: 32686-95-8 0.09%
Guideline	OECD 203
Test Type	Acute Toxicity to Fish
GLP	Yes
Year	2000
Species/Strain	Fathead minnow ( <i>Pimephales promelas</i> )
Analytical Monitoring	Every 24 hours
Exposure Period	96 hours
Test Details	Continuous flow
Methods	EC50 and 95% confidence limits were calculated by full probit regression using LOGIT Genstat. The program calculates the EC50 values using a logistic model (Berkeson, 1944) for which 95% confidence limits were estimated by the likelihood ratio method (Williams, 1986).
Remarks	<p>Results of additional studies show that neither the dipropylene glycol dibenzoate nor its degradates are persistent in natural water and therefore are not likely to present any potential long-term danger to aquatic organisms.</p> <p>Degradation of dipropylene glycol dibenzoate in river water was established after a lag period of approximately 13 hours after which removal of dipropylene glycol dibenzoate was rapid and complete by 46 hours when levels were below the limit of quantitation of the analytical method (0.0054 mg/L). Once degradation was established, the overall zero order rate constant for degradation, calculated from a linear regression of concentration against time between, 13 and 31 hours was 0.00655 h<sup>-1</sup>; the half life (t<sub>1/2</sub>) of dipropylene glycol dibenzoate in river water was 11.5 hours. The degradate dipropylene glycol monobenzoate was detected in river water samples at 13.17, 21, 25.5 and 31 hours (range 0.0124 to 0.0415 mg/L); benzoic acid, which was detected at intervals during the test and ranged in concentration from 0.00555 mg/l one hour after mixture preparation to 0.00696 mg/L after 31 hours. The results of this test showed that following dissolution, dipropylene glycol dibenzoate is readily biodegraded in river water with a half-life of 11.5 hours. None of the degradates likely to be formed as a result of breakdown of the dipropylene glycol dibenzoate (dipropylene glycol monobenzoate or benzoic acid) were detected at significant levels in test media which suggested that these were not persistent.</p>

Dipropylene Glycol Dibenzoate. Acute Toxicity For Rainbow Trout (*Oncorhynchus mykiss*). Huntingdon life Sciences. 2000. Study was conducted in accordance with OECD 203 "Fish, Acute Toxicity Test.

Under dynamic exposure conditions, the 0.25 – 96 hr LC50 is > 3.0 mg/L

Highest concentration tested resulting in 0% mortality: 3.0 mg/L

Lowest concentration tested resulting in 100% mortality: >3.0 mg/L

No-observed effect concentration: 3.0 mg/L

Results expressed in terms of mean measured concentration.

### Acute Toxicity to Aquatic Invertebrates

Test Substance	See boiling point for purity
Guideline	OECD 202 Part 1
Test Type	<i>Daphnia</i> Acute Immobilization Test
GLP	Yes
Year	1997
Species/Strain	<i>Daphnia magna</i>
Analytical Monitoring	Determination of test material concentration at 0 and 48 hours
Exposure Period	48 hours
Test Details	<p>EL50 and 95% confidence limits were calculated using the Thompson and Weil model (Thompson WR and Weil CS, 1952, Biometrics 8: 51-54).</p> <p>Test Condition Remarks: Age at Initiation: Less than 24 hours. Test Conditions: As specified in OECD 202 following advice given in ECETOC 1996 Monograph No. 26. Solvent: Reconstituted medium Elendt M4.</p> <p>Vessel: 250 ml capacity glass jars containing 200 ml of prepared test medium. The jars were loosely covered to minimize evaporative losses. Daphnids per Vessel: 5. Dose Selection: Nominal initial loading rate: 1.0, 2.2, 4.6, 10, 22, 46 and 100 mg/L.</p>
Methods	<p>Temperature range: 19.3 – 19.7 °C</p> <p>Solution pH range: 7.9-8.1</p> <p>Dissolved Oxygen: 7.0-8.0</p>
Results	<p>EL50 (mg/L) 95% confidence limits (mg/L)</p> <p>24 43.2 25.9-72.1</p> <p>48 19.31 13.1-28.5</p> <p>Highest initial loading rate resulting in 0% mortality: 2.2 mg/L*</p> <p>Lowest initial loading rate resulting in 100% mortality: &gt; 100 mg/L</p> <p>No-observed effect loading rate: 2.2 mg/L*</p> <p>*5% immobilization was observed in the 2.2 mg/L test group. This level of immobilization is not considered significant.</p>
Remarks	<p>The 48 hour EL50 was determined to be 19.3 mg/l. The No Observed Effect Loading rate was 2.2 mg/l. Results of additional studies show that neither the dipropylene glycol dibenzoate nor its degradates are persistent in natural water and therefore are not likely to present any potential long-term danger to aquatic organisms. Degradation of dipropylene glycol dibenzoate in river water was established after a lag period of approximately 13 hours after which removal of dipropylene glycol dibenzoate was rapid and complete by 46 hours when levels were below the limit of quantitation of the analytical method (0.0054 mg/L). Once degradation was established, the overall zero order rate constant for degradation, calculated from a linear regression of concentration against time, between 13 and 31 hours was 0.00655 h<sup>-1</sup>; the half life (t<sub>1/2</sub>) of dipropylene glycol dibenzoate in river water was 11.5 hours. The degradate dipropylene glycol monobenzoate was detected in river water samples at 13.17, 21, 25.5 and 31 hours (range 0.0124 to 0.0415 mg/L); benzoic acid, which was detected at intervals during the test and ranged in</p>

	concentration from 0.00555 mg/l one hour after mixture preparation to 0.00696 mg/L after 31 hours. The results of this test showed that following dissolution, dipropylene glycol dibenzoate is readily biodegraded in river water with a half-life of 11.5 hours. None of the degradates likely to be formed as a result of breakdown of the dipropylene glycol dibenzoate (dipropylene glycol monobenzoate or benzoic acid) were detected at significant levels in test media which suggested that these were not persistent.
Data Quality	1, Reliable without restrictions

### Toxicity to Aquatic Plants

Test Substance	See boiling point for purity																									
Test Method	OECD 201																									
GLP	Yes																									
Date	1997																									
Species/Strain	<i>Selenastrum capricornutum</i> , Strain number CCAP 278/4																									
Element basis	Area under the curve (72 hours, 96 hours), growth rate (0-72 hours, 0-96 hours)																									
Exposure Period	96 hours																									
Methods	<p>Temperature range: 24 ± 1°C</p> <p>Exposure vessel Type: 250 ml conical flask each containing 100 ml of test or control culture was loosely stoppered and placed in a Gallenkamp Illuminated Orbital Incubator.</p> <p>Light levels and quality during exposure: Incubated, without medium renewal for 96 hours under continuous illumination of approximately 7000 lux provided by 7X30 W "universal white" 1 meter fluorescent tubes.</p> <p>Number of replicates Seven exposure levels were prepared plus one untreated control, each in triplicate</p> <p>Nominal Initial Loading Rates 0.10, 0.22, 0.46, 1.0, 2.2, 4.6, and 10 mg/l</p>																									
Results	<table border="1"> <thead> <tr> <th></th> <th>NOEL</th> <th>EL10</th> <th>EL50</th> <th>EL90</th> </tr> </thead> <tbody> <tr> <td>Area Under curve (72 hours)</td> <td>0.22</td> <td>0.15</td> <td>1.1</td> <td>7.9</td> </tr> <tr> <td>Growth Rate (0-72 hours)</td> <td>1.0</td> <td>0.89</td> <td>4.9</td> <td>27</td> </tr> <tr> <td>Area Under Curve (96 hours)</td> <td>*</td> <td>0.19</td> <td>0.95</td> <td>4.8</td> </tr> <tr> <td>Growth Rate (0-96 hours)</td> <td>0.46</td> <td>0.87</td> <td>3.6</td> <td>15</td> </tr> </tbody> </table> <p>*A NOEL was not observed for this data set as an effect was observed at the lowest loading rate tested</p> <p>Mean cell density of control at 0 hour 1.48 x 10<sup>4</sup> cells/ml</p> <p>Mean cell density of control at 96 hour 1.77 x 10<sup>6</sup> cells/ml</p>		NOEL	EL10	EL50	EL90	Area Under curve (72 hours)	0.22	0.15	1.1	7.9	Growth Rate (0-72 hours)	1.0	0.89	4.9	27	Area Under Curve (96 hours)	*	0.19	0.95	4.8	Growth Rate (0-96 hours)	0.46	0.87	3.6	15
	NOEL	EL10	EL50	EL90																						
Area Under curve (72 hours)	0.22	0.15	1.1	7.9																						
Growth Rate (0-72 hours)	1.0	0.89	4.9	27																						
Area Under Curve (96 hours)	*	0.19	0.95	4.8																						
Growth Rate (0-96 hours)	0.46	0.87	3.6	15																						
Remarks	<p>Chemical analyses of the two main components in fresh and expired water samples were carried out. The measured concentration of dipropylene glycol dibenzoate in fresh samples was between 69 and 99% of the total loading rate. For dipropylene glycol monobenzoate, the mean measured concentration in fresh samples was between 5 and 6% of the total loading rate. In expired samples the amount of dibenzoate decreased to between 41 and 66% of initial loading rates in samples from vessels containing algae. At the same time, measured concentrations of the monobenzoate had increased to between 7.8 and 24% of the initial loading rates in expired samples suggesting this component was a degradation product of the dibenzoate. Analysis of the expired samples from the 1.0 mg/l initial loading rate that were held in test conditions but without algae showed that concentrations of both measured components had not changed from the levels found in fresh samples. This suggested that the presence of algal cells was facilitating the</p>																									

	<p>degradation the dibenzoate.</p> <p>Results of additional studies show that neither the dipropylene glycol dibenzoate nor its degradates are persistent in natural water and therefore are not likely to present any potential long-term danger to aquatic organisms.</p> <p>Degradation of dipropylene glycol dibenzoate in river water was established after a lag period of approximately 13 hours after which removal of dipropylene glycol dibenzoate was rapid and complete by 46 hours when levels were below the limit of quantitation of the analytical method (0.0054 mg/L). Once degradation was established, the overall zero order rate constant for degradation, calculated from a linear regression of concentration against time, between 13 and 31 hours was 0.00655 h<sup>-1</sup>; the half life (t<sub>1/2</sub>) of dipropylene glycol dibenzoate in river water was 11.5 hours. The degradate dipropylene glycol monobenzoate was detected in river water samples at 13.17, 21, 25.5 and 31 hours (range 0.0124 to 0.0415 mg/L); benzoic acid, which was detected at intervals during the test and ranged in concentration from 0.00555 mg/l one hour after mixture preparation to 0.00696 mg/L after 31 hours. The results of this test showed that following dissolution, dipropylene glycol dibenzoate is readily biodegraded in river water with a half-life of 11.5 hours. None of the degradates likely to be formed as a result of breakdown of the dipropylene glycol dibenzoate (dipropylene glycol monobenzoate or benzoic acid) were detected at significant levels in test media which suggested that these were not persistent.</p>
Data Quality	1, Reliable without restrictions

As taken from US EPA (b), available at [http://iaspub.epa.gov/opptpv/public\\_search.html](http://iaspub.epa.gov/opptpv/public_search.html) page

ECOSAR Version 1.11 reports the following aquatic toxicity data for CAS RN 27138-31-4:

Values used to Generate ECOSAR Profile

Log Kow: 3.876 (EPISuite Kowwin v1.68 Estimate)

Wat Sol: 15 (mg/L, PhysProp DB exp value)

ECOSAR v1.11 Class(es) found:

Esters

ECOSAR Class	Organism	Duration	End Pt	Predicted mg/L (ppm)
Esters :	Fish	96-hr	LC50	3.674
Esters :	Daphnid	48-hr	LC50	6.248
Esters :	Green Algae	96-hr	EC50	1.976
Esters :	Fish		ChV	0.186
Esters :	Daphnid		ChV	2.491
Esters :	Green Algae		ChV	0.931
Esters :	Fish (SW)	96-hr	LC50	4.983
Esters :	Mysid	96-hr	LC50	1.945
Esters :	Fish (SW)		ChV	1.001
Esters :	Mysid (SW)		ChV	3.129
Neutral Organic SAR :	Fish	96-hr	LC50	5.814
(Baseline Toxicity) :	Daphnid	48-hr	LC50	3.925
	Green Algae	96-hr	EC50	5.984
	Fish		ChV	0.697
	Daphnid		ChV	0.620
	Green Algae		ChV	2.305

### 10.3. Sediment toxicity

No data available to us at this time.

### 10.4. Terrestrial toxicity

The acute toxicity of DGD to earthworm (*Eisenia foetida*) (14 days) was found to be >1,000 ppm while the inhibitory effect (IC50) on the bacterium *Pseudomonas putida* could not be determined specifically but only be stated as higher than the highest testable concentration of 10 mg/L. Activated sludge respiration was not inhibited at 100 mg/L. As taken from Maag et al. 2010 (cited in ECHA, 2011. Available at <http://echa.europa.eu/documents/10162/c6781e1e-1128-45c2-bf48-8890876fa719>).

ECOSAR Version 1.11 reports the following terrestrial toxicity data for CAS RN 27138-31-4:

Values used to Generate ECOSAR Profile

Log Kow: 3.876 (EPISuite Kowwin v1.68 Estimate)

Wat Sol: 15 (mg/L, PhysProp DB exp value)

ECOSAR v1.11 Class(es) found:

Esters

ECOSAR Class	Organism	Duration	End Pt	Predicted mg/L (ppm)
Esters :	Earthworm	14-day	LC50	1109.097 *

Note: \* = asterisk designates: Chemical may not be soluble enough to measure this predicted effect. If the effect level exceeds the water solubility by 10X, typically no effects at saturation (NES) are reported.

### 10.5. All other relevant types of ecotoxicity

Dipropylene glycol dibenzoate is not expected to bioaccumulate in the environment, based on the following data presented by the Canadian authorities:

#### Underlying data regarding Bioaccumulation

Log Kow predicted by KowWin	3.88
Log BAF T2MTL predicted by Gobas	2.73973113338932
Log BCF 5% T2LTL predicted by Gobas	2.58634321128599
Log BCF Max predicted by OASIS	3.20444984224224
Log BCF predicted by BCFWIN	2.284

Data accessed May 2017 on the OECD website: <http://webnet.oecd.org/CCRWeb/Search.aspx>

“The log KOW of 3.9 indicates some bioaccumulation potential and, at the same time, a likely low mobility in soil.” As taken from Maag et al. 2010 (cited in ECHA, 2011. Available at <http://echa.europa.eu/documents/10162/c6781e1e-1128-45c2-bf48-8890876fa719>).

EPISuite provides the following information:

#### Bioaccumulation Estimates (BCFBAF v3.01):

Log BCF from regression-based method:	2.224 (BCF = 167.6 L/kg wet-wt)
Log Biotransformation Half-life (HL):	-2.0101 days (HL = 0.009769 days)
Log BCF Arnot-Gobas method (upper trophic):	0.826 (BCF = 6.696)
Log BAF Arnot-Gobas method (upper trophic):	0.826 (BAF = 6.696)
log Kow used:	3.88 (estimated)

“Biodegradation mechanisms were elucidated for three dibenzoate plasticizers: diethylene glycol dibenzoate (D(EG)DB), dipropylene glycol dibenzoate (D(PG)DB), both of which are commercially

available, and 1,6-hexanediol dibenzoate, a potential green plasticizer. Degradation studies were done using *Rhodococcus rhodochrous* in the presence of pure alkanes as a co-substrate. As expected, the first degradation step for all of these systems was the hydrolysis of one ester bond with the release of benzoic acid and a monoester. Subsequent biodegradation of the monobenzoates of diethylene glycol (D(EG)MB) and dipropylene glycol (D(PG)MB) was very slow, leading to significant accumulation of these monoesters. In contrast, 1,6-hexanediol monobenzoate was quickly degraded and characterization of the metabolites indicated that the biodegradation proceeded by way of the oxidation of the alcohol group to generate 6-(benzoyloxy) hexanoic acid followed by beta-oxidation steps. This pathway was blocked for D(EG)MB and D(PG)MB by the presence of an ether function. The use of a pure hydrocarbon as a co-substrate resulted in the formation of another class of metabolites; namely the esters of the alcohols formed by the oxidation of the alkanes and the benzoic acid released by hydrolysis of the original diesters. These metabolites were biodegraded without the accumulation of any intermediates." As taken from Kermanshahi pour et al. (2009). *Chemosphere* 77(2), 258-63. Available at <http://www.ncbi.nlm.nih.gov/pubmed/19665165>

"The degradation of plasticizers by the yeast *Rhodotorula rubra* J-96-1 (American Type Culture Collection 9449) in the presence of glucose was studied. The plasticizers included the commonly used bis-2-ethylhexyl adipate (B(EH)A), dioctyl phthalate (DOP), and dioctyl terephthalate (DOTP), and the less commonly used dipropylene glycol dibenzoate (D(PG)DB) and diethylene glycol dibenzoate (D(EG)DB). The proposal had been made that the latter two plasticizers be used as alternatives to the first three, which have been associated with negative environmental impacts. The degradation of D(PG)DB or D(EG)DB led to a significant increase in solution toxicity, which was associated with the production of metabolites resulting from the incomplete breakdown of the plasticizers. The toxic metabolites in the D(PG)DB system were identified as isomers of dipropylene glycol monobenzoate. A pathway for the formation of this metabolite was proposed. The metabolite observed when D(EG)DB was being degraded was tentatively identified as diethylene glycol monobenzoate by analogy to the D(PG)DB system. In contrast, no metabolites were observable and toxicity did not increase in the media during the degradation of B(EH)A, DOP, or DOTP by *R. rubra*. Collectively, these results do not support the use of D(PG)DB and D(EG)DB as environmentally safe alternatives to B(EH)A, DOP, or DOTP". As taken from Gartshore J et al. 2003. *Environ. Toxicol. Chem.* 22, 1244-1251. PubMed, 2013 available at <http://www.ncbi.nlm.nih.gov/pubmed/12785580>.

## 11. References for conventional products

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## **12. Other information**

No data available to us at this time.

## **13. Last audited**

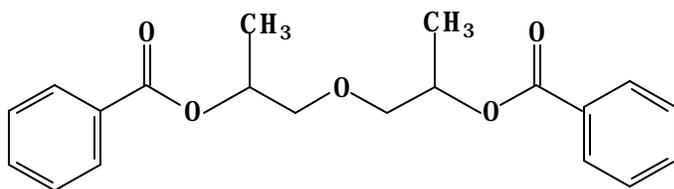
September 2018

- Solvator for PVC
- Vinyl flooring
- Adhesives
- Plasticizers in elastomers
- Latex caulks and sealants
- Color concentrates for PVC
- Castable polyurethanes

Synonyms:

- CASRN: 27138-31-4
- Benzoflex<sup>®</sup> 9-88
- Benzoflex<sup>®</sup> 9-88 SG
- Propanol, oxybis, - dibenzoate
- Oxydipropyl dibenzoate
- Oxybispropanol dibenzoate

The structural formula is:



Dipropylene glycol dibenzoate is a clear colorless liquid with a mild ester odor.

Other typical properties include:

Relative Density:	1.12
Density, lb/gal at 25°C	9.346
Henry's Law Constant	$3.8 \times 10^{-8}$ atm.m <sup>3</sup> /mol
Flash Point (PMCC)	192°C
Not explosive or pyrophoric	

References:

Velsicol Chemical Corporation: Product Information Bulletin. Benzoflex 9-88. VCC97R01.

Benzoflex 9-88. Determination of Physico-Chemical Properties. Huntingdon Life Sciences. 1999

TEST PLAN

<b>Study</b>	<b>Data Available</b>	<b>Data Acceptable</b>	<b>Testing Required</b>
Melting Point	Yes	Yes	No
Boiling Point	Yes	Yes	No
Vapor Pressure	Yes	Yes	No
Partition Coefficient	Yes	Yes	No
Water Solubility	Yes	Yes	No
Photodegradation	Estimation	Yes	No
Stability in Water	Estimation	Yes	No
Transport (Fugacity)	Estimation	Yes	No
Biodegradation	Yes	Yes	No
Acute Toxicity to Fish	Yes	Yes	No
Acute Toxicity to Aquatic Invertebrates	Yes	Yes	No
Acute Toxicity to Aquatic Plants	Yes	Yes	No
Acute Toxicity	Yes	Yes	No
Repeated Dose Toxicity	Yes	Yes	No
Developmental Toxicity	Yes	Yes	No
Reproductive Toxicity	Yes	Yes	No
Genetic Toxicity Gene Mutation	Yes	Yes	No
Genetic Toxicity Chromosome Aberrations	Yes	Yes	No



Dipropylene Glycol Dibenzoate  
Robust Summary

BOILING POINT

**Test Substance:** Benzoflex 9-88 is a clear colorless liquid.

Purity Profile:

Dipropylene Glycol Dibenzoate:	89.4%
Dipropylene Glycol Monobenzoate:	4.98%
Propylene Glycol Dibenzoate:	2.29%
Propylene Glycol Monobenzoate:	0.28%
Propenyloxy Propyl Benzoate:	2.35%

**Test Method:** OECD 103, EEC A2

**GLP:** Yes  
**Year Performed:** 1997

**Results:** Decomposes above 270°C without boiling at 762 mmHg

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88. Determination of Physico-Chemical Properties. Huntingdon Life Sciences. 1999

VAPOR PRESSURE

**Test Substance:** See boiling point for purity.

**Test Method:** OECD 104, EEC A4

**GLP:** Yes  
**Year Performed:** 1997

**Results:** 1.2 X 10<sup>-6</sup> mm Hg @ 25°C  
1.1 X 10<sup>-5</sup> mm Hg @ 50°C  
3.8 X 10<sup>-4</sup> mm Hg @ 100°C

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88 Determination of Physico-Chemical Properties. Huntingdon Life Sciences. 1999

**Other Studies:** Butz RG, Atallah YH, Yu CC and Calo CJ. Environmental Toxicology and Chemistry. 1, 337. 1982. Vapor pressure  $2.29 \times 10^{-7}$  mmHg at 25°C.

#### PARTITION COEFFICIENT

**Test Substance:** See boiling point for purity.

**Test Method:** OECD 117, EEC A8

**GLP:** Yes  
**Year Performed:** 1997

**Results:**  $\log P_{ow} = 3.9$

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88 Determination of Physico-Chemical Properties. Huntingdon Life Sciences. 1999

**Other studies:** Butz RG, Atallah YH, Yu CC and Calo CJ. Environmental Toxicology and Chemistry. 1, 337. 1982.  $K_{ow} = 455$ .

#### WATER SOLUBILITY

**Test Substance:** See boiling point for purity.

**Test Method:** OECD 105, EEC A6

**GLP:** Yes  
**Year Performed:** 1997

**Results:** 8.69 mg/l @ 30°C, pH = 7.0 low solubility

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88 Determination of Physico-Chemical Properties. Huntingdon Life Sciences. 1999.

**Other Studies:** Butz RG, Atallah YH, Yu CC and Calo CJ. Environmental Toxicology and Chemistry. 1, 337. 1982. Water solubility 15 mg/l at 25°C.

#### BIODEGRADATION

**Test Substance:** See boiling point for purity.

**Test Method:** OECD 301B

**GLP:** Yes  
**Test Type:** aerobic  
**Year Performed:** 1997  
**Laboratory:** Huntingdon Life Sciences

**Inoculum:** Activated sludge from sewage treatment works  
**Concentration:** 10 mgC/l  
**Duration:** 29 days  
**Positive Control:** Sodium benzoate  
**Control and Blank:** Mineral salts and medium

**Results:** 6% of TCO<sub>2</sub> @ 2 days  
62% of TCO<sub>2</sub> @ 12 days  
85% of TCO<sub>2</sub> @ 28 days  
Final mean level of degradation including residual CO<sub>2</sub> released from the medium after acidification.

Readily biodegradable.

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88 Assessment of Ready Biodegradability - Modified Sturm Test. Huntingdon Life Sciences. 1998.

**Other studies:** Benzoflex 988: Assessment of biotic/abiotic degradability. Biochemical Oxygen Demand (BOD) and Chemical Oxygen Demand (COD). Huntingdon Life Sciences. 1998. OECD 301D, Closed Bottle test. The BOD<sub>5</sub> of Benzoflex 9-88 was 0.65 gO<sub>2</sub>/g (30% of its ThOD; 2.15 gO<sub>2</sub>/g) based on results obtained at a nominal concentration of 2 mg/L. The mean COD of Benzoflex 9-88 (2.33 gO<sub>2</sub>/g) was 104% of its ThOD which confirmed that the material was completely oxidized in the COD test. The mean BOD<sub>5</sub> of Benzoflex 9-88 was 29% of its COD. For screening purposes, substances are generally considered readily biodegradable in this test if the ratio of BOD<sub>5</sub>:COD or ThOD ≥ 50%. Benzoflex 988 cannot therefore be considered to be readily biodegradable under the conditions of this test. Because this type of BOD test employs both a weak microbial inoculum and a relatively short incubation time, it can be considered to be a particularly stringent test of biodegradability.

Benzoflex 9-88. Evaluation of Ultimate Anaerobic Biodegradability by Measurement of Biogas Production. Huntingdon Life Sciences. 1998. USEPA Method 796.3140, Anaerobic Biodegradability. Benzoflex 988 was degraded to 46% after 60 days of incubation and 75% after 120 days of incubation, based on a nominal level of carbon in the culture at the start of the test (12 mgC). At Day 120 of the test, Benzoflex 9-88 was degraded to 90% based on the theoretical carbon level (10 mgC) remaining in cultures following removal of samples for DIC analysis. The precise distribution of Benzoflex 9-88 in test mixtures was not determined in this test so the level of carbon remaining in test mixtures after samples were removed for DIC analysis cannot be accurately determined. However, since the octanol:water partition coefficient for Benzoflex 9-88 is relatively high (log P<sub>ow</sub> 3.9), it is likely that the material will adsorb onto sewage solids. Although the level of biodegradation calculated using the nominal level of carbon at the start of the test (12 mgC) gives the worst case estimate, it is likely to be the most accurate. Substances are considered to be ultimately degraded under anaerobic conditions in this test if the level of degradation is equal to or greater than 60%. Benzoflex 9-88 can therefore be considered ultimately biodegradable under anaerobic conditions.

Peterson OM. Velsicol Chemical Corporation. 1974. The standard BOD test for biodegradability showed the oxygen consumed in 5 days was sufficient for the degradation of 26% of the compound, while in 20 days, the corresponding value was 84%.

Butz RG, Atallah YH, Yu CC and Calo CJ. Environmental Toxicology and Chemistry. 1, 337. 1982. <sup>14</sup>C-labeled Benzoflex 9-88 was virtually removed by 28 days and 78% of the carbon was converted to carbon dioxide. The remainder was incorporated into

biochemical components of the microorganisms, a normal occurrence for substances used as a food source by microorganisms.

## ACUTE TOXICITY TO FISH

### Test Substance:

Dipropylene Glycol Dibenzoate:	27138-31-4	98.95%
Unknowns 1-6:	Unknown	0.66%
Propylene Glycol Dibenzoate:	19224-26-1	0.28%
Dipropylene Glycol Monobenzoate:	32686-95-8	0.09%

### Method

<b>Guideline</b>	OECD 203
<b>Test Type</b>	Acute Toxicity to Fish
<b>GLP</b>	Yes
<b>Year</b>	2000
<b>Species/Strain</b>	Fathead minnow ( <i>Pimephales promelas</i> )
<b>Analytical Monitoring</b>	Every 24 hours
<b>Exposure Period</b>	96 hours
<b>Test Details</b>	Continuous flow
<b>Statistical Methods</b>	EC <sub>50</sub> and 95% confidence limits were calculated by full probit regression using LOGIT Genstat. The program calculates the EC <sub>50</sub> values using a logistic model (Berkson, 1944) for which 95% confidence limits were estimated by the likelihood ratio method (Williams, 1986).

Berkson, J. (1944) Application of the logistic function bio-assay. *J. Amer. Statist. Assoc.* **39**,357-365.

Williams, D.A. (1986) Interval Estimation of the Median Lethal Dose. *Biometrics* **42**, 641-645.

### Test Condition Remarks

Fish Size and Age: Juvenile *Pimephales promelas* mean standard length 2.7 cm (mean total length >3.0 cm)

Test Conditions: As specified in OECD 203

Diluent Water Source and Chemistry: Laboratory tap water filtered, dechlorinated and softened by passage through an Elga® water purification system. Chlorine levels ranged from 0.01 to 0.08 mg/L throughout the 14 days of the acclimatization period and the exposure period and the hardness level, calculated from daily measurements during the same period was between 133 and 162 mg CaCO<sub>3</sub>/L.

Stock and Test Solutions: The test substance was dissolved in dimethyl formamide (DMF) to give a series of stock solutions at nominal concentrations of 2.5, 5.5, 12, 27 and 58 mg/ml. The stock solutions were dispensed automatically by the dosing apparatus into the flowing test water to give the desired series of test concentrations. The stock solutions were prepared twice during the exposure period and stored at approximately 4°C until required.

Vessels and Lighting: Five test concentrations plus one control and one solvent control were prepared (100µg/L auxiliary solvent per liter), each in duplicate. The vessels contained prepared test medium or diluent water plus 100µg/L auxiliary solvent per liter, or diluent water only, as appropriate. The test chambers were glass aquariums (25X46X25 cm) containing approximately 20 liters of medium to a depth of 19 cm. Supplementary aeration was not provided. A photoperiod of 16 hours light: 8 hours dark was

maintained and daily records of temperature, pH and dissolved oxygen were kept for each control and test vessel. The fish were not fed during the 96-hour exposure period.

Fish per Vessel: 10 fish in each vessel.

Dose Selection: Nominal: 0.25, 0.55, 1.2, 2.7 and 5.8 mg/L. Mean measured concentrations: 0.25, 0.53, 1.2, 2.6 and 4.9 mg/L

Renewal and Exposure: Fish were exposed to the test or control conditions for a period of 96 hours under continuous flow conditions.

Temperature range: Treatment and controls groups were maintained at 23±1°C throughout the exposure period.

Analytical Results

Occasion	Nominal Concentration (mg/L)	Measured Concentration (mg/L)	Recovery as a % of nominal
0 Hours	Solvent Control R1	ND	-
	Solvent Control R2	ND	-
	0.25 R1	0.2379	95.2
	0.25 R2	0.2635	105
	0.55 R1	0.4826	87.7
	0.55 R2	0.5002	90.9
	1.2 R1	1/218	102
	1.2 R2	1.219	102
	2.7 R1	2.549	94.4
	2.7 R2	3.093	115
	5.8 R1	4.489	77.4
5.8 R2	4.850	83.6	
24 Hours	Solvent Control R1	ND	-
	Solvent Control R2	ND	-
	0.25 R1	0.2586	103
	0.25 R2	0.2461	98.4
	0.55 R1	0.4910	89.3
	0.55 R2	0.5442	98.9
	1.2 R1	1.131	94.2
	1.2 R2	1.156	96.3
	2.7 R1	2.661	98.6
	2.7 R2	2.803	104
	5.8 R1	5.076	87.5
5.8 R2	5.633	97.1	
48 Hours	Solvent Control R1	ND	-
	Solvent Control R2	ND	-
	0.25 R1	0.2639	106
	0.25 R2	0.2627	105
	0.55 R1	0.5095	92.6
	0.55 R2	0.5546	101
	1.2 R1	1.132	94.3
	1.2 R2	1.149	95.8
	2.7 R1	2.437 <sup>#</sup>	90.3
	2.7 R2	2.232	82.7
	5.8 R1	4.824	83.2
5.8 R2	4.566	78.7	

72 Hours	Solvent Control R1	ND	-
	Solvent Control R2	ND	-
	0.25 R1	0.2622	105
	0.25 R2	0.2306	92.2
	0.55 R1	0.5678	103
	0.55 R2	0.6571	119
	1.2 R1	1.417	118
	1.2 R2	1.250	104
	2.7 R1	2.658	98.4
	2.7 R2	2.694	99.8
	5.8 R1	5.239	90.3
	5.8 R2	4.897	84.4
96 Hours	Solvent Control R1	ND	-
	Solvent Control R2	ND	-
	0.25 R1	0.2320	92.8
	0.25 R2	0.2366	94.6
	0.55 R1	0.4695	85.4
	0.55 R2	0.5201	94.6
	1.2 R1	1.150	95.9
	1.2 R2	1.139	94.9
	2.7 R1	2.390	88.5
	2.7 R2	2.696	99.9
	5.8 R1	4.428	76.4
	5.8 R2	1.659*	28.6

ND – None detected (limit of detection: 0.007 mg/L)

\* - Anomalous result excluded from study. The result of analysis of the duplicate sample was low (12.5%), probably due to biodegradation during storage.

# - Result from analysis of the duplicate sample. The original result was low (53.0%) and thought to be due to sampling or analysis error.

R1 and R2 – Replicate Number.

## Results

Time (hours)	LC <sub>50</sub> (mg/L)	95% confidence limits (mg/L)
0.25-48	> 4.9	-
72	4.7	4.0 - >4.9
96	3.7	3.2 – 4.3

Highest test concentration resulting in 0% mortality:

1.2 mg/L

Lowest test concentration resulting in 100% mortality:

>4.9 mg/L

No-observed effect concentration:

1.2 mg/L

## Conclusions

### Remarks:

Results of additional studies show that neither the dipropylene glycol dibenzoate nor its degradates are persistent in natural water and therefore are not likely to present any potential long-term danger to aquatic organisms.

Degradation of dipropylene glycol dibenzoate in river water was established after a lag period of approximately 13 hours after which removal of dipropylene glycol dibenzoate was rapid and complete by

46 hours when levels were below the limit of quantitation of the analytical method (0.0054 mg/L). Once degradation was established, the overall zero order rate constant for degradation, calculated from a linear regression of concentration against time between, 13 and 31 hours was  $0.00655 \text{ h}^{-1}$ ; the half life ( $t_{1/2}$ ) of dipropylene glycol dibenzoate in river water was 11.5 hours. The degradate dipropylene glycol monobenzoate was detected in river water samples at 13.17, 21, 25.5 and 31 hours (range 0.0124 to 0.0415 mg/L); benzoic acid, which was detected at intervals during the test and ranged in concentration from 0.00555 mg/l one hour after mixture preparation to 0.00696 mg/L after 31 hours. The results of this test showed that following dissolution, dipropylene glycol dibenzoate is readily biodegraded in river water with a half-life of 11.5 hours. None of the degradates likely to be formed as a result of breakdown of the dipropylene glycol dibenzoate (dipropylene glycol monobenzoate or benzoic acid) were detected at significant levels in test media which suggested that these were not persistent.

**Data Quality** 1, Reliable without restrictions

**References** Dipropylene Glycol Dibenzoate. Acute Toxicity for Fathead Minnow (*Pimephales promelas*). Huntingdon Life Sciences. 2000.

Dipropylene Glycol Dibenzoate. Preliminary Assessment of its Degradation in Laboratory and Natural Waters. Huntingdon Life Sciences. 1999.

Benzoflex 245 (Diethylene Glycol Dibenzoate) Benzoflex 988 (Dipropylene Glycol Dibenzoate) Benzoflex S-358 (Triethylene Glycol Dibenzoate). Ecotoxicological Properties and WGK Classification. Huntingdon Life Sciences. 2000.

Other aquatic toxicity tests:

Dipropylene Glycol Dibenzoate. Acute Toxicity For Rainbow Trout (*Oncorhynchus mykiss*). Huntingdon life Sciences. 2000. Study was conducted in accordance with OECD 203 "Fish, Acute Toxicity Test.

Under dynamic exposure conditions, the 0.25 – 96 hr  $LC_{50}$  is > 3.0 mg/L

Highest concentration tested resulting in 0% mortality: 3.0 mg/L

Lowest concentration tested resulting in 100% mortality: >3.0 mg/L

No-observed effect concentration: 3.0 mg/L

Results expressed in terms of mean measured concentration.

Acute Toxicity to Aquatic Invertebrates

**Test Substance:** See boiling point for purity

**Method**

**Guideline** OECD 202 Part 1  
**Test Type** *Daphnia* Acute Immobilization Test  
**GLP** Yes  
**Year** 1997  
**Species/Strain** *Daphnia magna*  
**Analytical**  
**Monitoring** Determination of test material concentration at 0 and 48 hours  
**Exposure**  
**Period** 48 hours  
**Test Details** Static without renewal  
**Statistical**  
**Methods** EL<sub>50</sub> and 95% confidence limits were calculated using the Thompson and Weil model (Thompson WR and Weil CS, 1952, Biometrics **8**: 51-54).

**Test Condition Remarks**

Age at Initiation: Less than 24 hours

Test Conditions: As specified in OECD 202 following advice given in ECETOC 1996 Monograph No. 26.

Solvent: Reconstituted medium Elendt M4.

Vessel: 250 ml capacity glass jars containing 200 ml of prepared test medium. The jars were loosely covered to minimize evaporative losses.

Daphnids per Vessel: 5

Dose Selection: Nominal initial loading rate: 1.0, 2.2, 4.6, 10, 22, 46 and 100 mg/L.

Temperature range: 19.3 – 19.7 °C

Solution pH range: 7.9-8.1

Dissolved Oxygen: 7.0-8.0

Analytical Results

Occasion	Nominal Initial Loading(mg/L)	% of Loading Monobenzoate (mg/L)	% of Loading Dibenzoate (mg/L)
0 Hours (Fresh)	Control	ND	ND
	1.0	3.6	33.9
	2.2	5.0	40.7
	4.6	5.2	30.8
	10	4.8	49.4
	22	5.8	40.3
	46	7.6	13.8
	100	13.9	19.3

48 Hours (expired)	Control	ND	ND
	1.0	9.0	18.1
	2.2	13.7	27.3
	4.6	16.0	26.8
	10	18.2	26.6
	22	11.3	27.5
	46	8.3	9.2
	100	6.9	7.1

**Results**

Time (hours)	EL <sub>50</sub> (mg/L)	95% confidence limits (mg/L)
24	43.2	25.9-72.1
48	19.31	13.1-28.5

Highest initial loading rate resulting in 0% mortality: 2.2 mg/L\*  
 Lowest initial loading rate resulting in 100% mortality: > 100 mg/L  
 No-observed effect loading rate: 2.2 mg/L\*

\*5% immobilization was observed in the 2.2 mg/L test group. This level of immobilization is not considered significant.

Nominal Initial Loading Rate (mg/L)	Cumulative immobilized <i>Daphnia magna</i> (initial population 5/replicate) 24 hours							Cumulative immobilized <i>Daphnia magna</i> (initial population 5/replicate) 48 hours						
	R1	R2	R3	R4	Total	%	R1	R2	R3	R4	Total	%		
Control	0	0	0	1	1	5	1	0	0	1	2	10		
1.0	0	1	0	0	1	5	0	1	1	0	2	10		
2.2	0	0	0	0	0	0	0	0	0	1	1	5		
4.6	0	1	0	0	1	5	0	1	3	1	5	25		
10	1	0	0	0	1	5	2	3	1	2	8	40		
22	1	3	4	3	11	55	4	5	4	4	17	85		
46	4	3	2	2	11	55	4	3	3	2	12	60		
100	4	3	4	3	14	70	5	4	5	4	18	90		

R1 – Replicate 1  
 R2 – Replicate 2  
 R3 – Replicate 3  
 R4 – Replicate 4

## Conclusions

Remarks: The 48 hour EL50 was determined to be 19.3 mg/l. The No Observed Effect Loading rate was 2.2 mg/l.

Results of additional studies show that neither the dipropylene glycol dibenzoate nor its degradates are persistent in natural water and therefore are not likely to present any potential long-term danger to aquatic organisms.

Degradation of dipropylene glycol dibenzoate in river water was established after a lag period of approximately 13 hours after which removal of dipropylene glycol dibenzoate was rapid and complete by 46 hours when levels were below the limit of quantitation of the analytical method (0.0054 mg/L). Once degradation was established, the overall zero order rate constant for degradation, calculated from a linear regression of concentration against time, between 13 and 31 hours was  $0.00655 \text{ h}^{-1}$ ; the half life ( $t_{1/2}$ ) of dipropylene glycol dibenzoate in river water was 11.5 hours. The degradate dipropylene glycol monobenzoate was detected in river water samples at 13.17, 21, 25.5 and 31 hours (range 0.0124 to 0.0415 mg/L); benzoic acid, which was detected at intervals during the test and ranged in concentration from 0.00555 mg/l one hour after mixture preparation to 0.00696 mg/L after 31 hours. The results of this test showed that following dissolution, dipropylene glycol dibenzoate is readily biodegraded in river water with a half-life of 11.5 hours. None of the degradates likely to be formed as a result of breakdown of the dipropylene glycol dibenzoate (dipropylene glycol monobenzoate or benzoic acid) were detected at significant levels in test media which suggested that these were not persistent.

**Data Quality** 1, Reliable without restrictions

**References** Dipropylene Glycol Dibenzoate. Acute Toxicity to *Daphnia magna*. Huntingdon Life Sciences. 2001.

Dipropylene Glycol Dibenzoate. Preliminary Assessment of its Degradation in Laboratory and Natural Waters. Huntingdon Life Sciences. 1999.

Benzoflex 245 (Diethylene Glycol Dibenzoate) Benzoflex 988 (Dipropylene Glycol Dibenzoate) Benzoflex S-358 (Triethylene Glycol Dibenzoate). Ecotoxicological Properties and WGK Classification. Huntingdon Life Sciences. 2000.

TOXICITY TO AQUATIC PLANTS

**Test Substance** See boiling point for purity

**Test Method** OECD 201

**GLP:** Yes

**Date:** 1997

**Species** *Selenastrum capricornutum*, Strain number CCAP 278/4

**Element basis** Area under the curve (72 hours, 96 hours), growth rate (0-72 hours, 0-96 hours)

**Exposure period** 96 hours (November 7-November 11, 1997)

**Test organisms**

Sterile nutrient medium was inoculated from a master culture and cultured under continuous illumination (~7000 lux) in an orbital incubator at 22°C, to give an algal suspension in log phase growth characterized by a cell density of  $1.4 \times 10^7$  cells/ml.

**Test Conditions**

**Test Temperature Range**  $24 \pm 1^\circ\text{C}$

**Exposure vessel Type**

250 ml conical flask each containing 100 ml of test or control culture was loosely stoppered and placed in a Gallenkamp Illuminated Orbital Incubator.

**Light levels and quality during exposure**

Incubated, without medium renewal for 96 hours under continuous illumination of approximately 7000 lux provided by 7X30 W "universal white" 1 meter fluorescent tubes.

**Test Design**

**Number of replicates** Seven exposure levels were prepared plus one untreated control, each in triplicate

**Nominal Initial Loading Rates** 0.10, 0.22, 0.46, 1.0, 2.2, 4.6, and 10 mg/l

<b>Results</b>	<b>NOEL</b>	<b>EL<sub>10</sub></b>	<b>EL<sub>50</sub></b>	
<b>EL<sub>90</sub></b>				
<b>Area Under curve (72 hours)</b>	0.22	0.15	1.1	7.9
<b>Growth Rate (0-72 hours)</b>	1.0	0.89	4.9	27
<b>Area Under Curve (96 hours)</b>	*	0.19	0.95	4.8
<b>Growth Rate (0-96 hours)</b>	0.46	0.87	3.6	15

\*A NOEL was not observed for this data set as an effect was observed at the lowest loading rate tested

Mean cell density of control at 0 hour  $1.48 \times 10^4$  cells/ml

Mean cell density of control at 96 hour  $1.77 \times 10^6$  cells/ml

Chemical analyses of the two main components in fresh and expired water samples were carried out. The measured concentration of dipropylene glycol dibenzoate in fresh samples was between 69 and 99% of the total loading rate. For dipropylene glycol monobenzoate, the mean measured concentration in fresh samples was between 5 and 6% of the total loading rate. In expired samples the amount of dibenzoate decreased to between 41 and 66% of initial loading rates in samples from vessels containing algae. At the same time, measured concentrations of the monobenzoate had increased to between 7.8 and 24% of the initial loading rates in expired samples suggesting this component was a degradation product of the dibenzoate. Analysis of the expired samples from the 1.0 mg/l initial loading rate that were held in test conditions but without algae showed that concentrations of both measured components had not changed from the levels found in fresh samples. This suggested that the presence of algal cells was facilitating the degradation the dibenzoate.

Results of additional studies show that neither the dipropylene glycol dibenzoate nor its degradates are persistent in natural water and therefore are not likely to present any potential long-term danger to aquatic organisms.

Degradation of dipropylene glycol dibenzoate in river water was established after a lag period of approximately 13 hours after which removal of dipropylene glycol dibenzoate was rapid and complete by 46 hours when levels were below the limit of quantitation of the analytical method (0.0054 mg/L). Once degradation was established, the overall zero order rate constant for degradation, calculated from a linear regression of concentration against time, between 13 and 31 hours was  $0.00655 \text{ h}^{-1}$ ; the half life ( $t_{1/2}$ ) of dipropylene glycol dibenzoate in river water was 11.5 hours. The degradate dipropylene glycol monobenzoate was detected in river water samples at 13.17, 21, 25.5 and 31 hours (range 0.0124 to 0.0415 mg/L); benzoic acid, which was detected at intervals during the test and ranged in concentration from 0.00555 mg/l one hour after mixture preparation to 0.00696 mg/L after 31 hours. The results of this test showed that following dissolution, dipropylene glycol dibenzoate is readily biodegraded in river water with a half-life of 11.5 hours. None of the degradates likely to be formed as a result of breakdown of the dipropylene glycol dibenzoate (dipropylene glycol monobenzoate or benzoic acid) were detected at significant levels in test media which suggested that these were not persistent.

**Data Quality** 1, Reliable without restrictions

**References** Benzoflex 9-88. Algal Growth Inhibition. Huntingdon Life Sciences. 2001

Dipropylene Glycol Dibenzoate. Preliminary Assessment of its Degradation in Laboratory and Natural Waters. Huntingdon Life Sciences. 1999.

Benzoflex 245 (Diethylene Glycol Dibenzoate) Benzoflex 988 (Dipropylene Glycol Dibenzoate) Benzoflex S-358 (Triethylene Glycol Dibenzoate). Ecotoxicological Properties and WGK Classification. Huntingdon Life Sciences. 2000.

## ENVIRONMENTAL FATE

### PHOTODEGRADATION

Dipropylene Glycol Dibenzoate is structurally similar to diethylene glycol dibenzoate and triethylene glycol dibenzoate. They contain the same functional groups – aromatic rings, ester groups ether linkages and hydroxyl groups. Given that the same functional groups are present, the chemical properties of each substance can be expected to be similar with any difference being attributable to molecular weight.

As the molecular weight of dipropylene glycol dibenzoate (342.18) is between those of diethylene glycol dibenzoate (314.34) and triethylene glycol dibenzoate (358.39), its physical and chemical properties are similarly likely to be the two extremes. It should therefore be possible to use data from the higher and lower molecular weight dibenzoate analogues in assessing the properties of the intermediate molecular weight substance, dipropylene glycol dibenzoate.

#### Diethylene Glycol Dibenzoate

The rate constant and half-life for the atmospheric gas-phase reaction between photolytically produced hydroxyl radicals and diethylene glycol dibenzoate have been estimated using the software AOPWIN v1.88.

The rate constant for the reaction, assuming a 24-hour day and a hydroxyl radical concentration of  $1.5 \times 10^6 \text{ mol/cm}^3$  was  $18.95 \times 10^{-12} \text{ cm}^3/\text{mol-sec}$  and the half-life was 0.282 days (6.772 hours).

The program did not estimate a rate constant for reaction with ozone (only olefins and acetylenes are estimated) and there were no structures matched with the experimental database.

#### Triethylene Glycol Dibenzoate

The rate constant and half-life for the atmospheric gas-phase reaction between photolytically produced hydroxyl radicals and triethylene glycol dibenzoate have been estimated using the software AOPWIN v1.88.

The rate constant for the reaction, assuming a 24-hour day and a hydroxyl radical concentration of  $1.5 \times 10^6 \text{ mol/cm}^3$  was  $32.97 \times 10^{-12} \text{ cm}^3/\text{mol-sec}$  and the half-life was 0.162 days (3.893 hours).

The program did not estimate a rate constant for reaction with ozone (only olefins and acetylenes are estimated) and there were no structures matched with the experimental database.

**Reliability:** Estimated value based on accepted model.

**Reference:** Diethylene Glycol Dibenzoate. Estimation of Photodegradation Using the Atmospheric Oxidation Program (AOPWIN). Huntingdon Life Sciences. 2001.

Triethylene Glycol Dibenzoate. Estimation of Photodegradation Using the Atmospheric Oxidation Program (AOPWIN). Huntingdon Life Sciences. 2001.

**Other Studies:** Butz RG, Atallah YH, Yu CC and Calo CJ. Environmental Toxicology and Chemistry. 1, 337. 1982. Benzoflex 988 spotted on silica gel has been shown to photodegrade directly under the influence of UV light with a half-life of 12.8 days.

## STABILITY IN WATER

Dipropylene Glycol Dibenzoate is structurally similar to diethylene glycol dibenzoate and triethylene glycol dibenzoate. They contain the same functional groups – aromatic rings, ester groups ether linkages and hydroxyl groups. Given that the same functional groups are present, the chemical properties of each substance can be expected to be similar with any difference being attributable to molecular weight.

As the molecular weight of dipropylene glycol dibenzoate (342.18) is between those of diethylene glycol dibenzoate (314.34) and triethylene glycol dibenzoate (358.39) its physical and chemical properties are similarly likely to be the two extremes. It should therefore be possible to use data from the higher and lower molecular weight dibenzoate analogues in assessing the properties of the intermediate molecular weight substance, dipropylene glycol dibenzoate.

### Diethylene Glycol Dibenzoate

The aqueous hydrolysis rate constant and half-life for diethylene glycol dibenzoate have been estimated using the software program HYDROWIN v1.66.

The calculated rate constant for the base-catalyzed reaction ( $K_b$ ) at pH>8 and at 25°C was estimated to be  $1.645 \times 10^{-1}$  L/mol-sec. Half-lives at pH 7 and 8 were estimated to be 1.335 years and 48.77 days, respectively.

The program does not calculate neutral hydrolysis rate constants. Therefore, reported half-lives may be over estimates.

The fragment  $-\text{CH}_2-\text{CH}_2\text{O-R}$  was not available in the program's library. Therefore, fragment  $-\text{CH}_2-\text{CH}_2-\text{O-CH}_3$  was substituted. This is unlikely to have affected the estimation result significantly.

### Triethylene Glycol Dibenzoate

The aqueous hydrolysis rate constant and half-life for triethylene glycol dibenzoate have been estimated using the software program HYDROWIN v1.66.

The calculated rate constant for the base-catalyzed reaction ( $K_b$ ) at pH>8 and at 25°C was estimated to be  $1.645 \times 10^{-1}$  L/mol-sec. Half-lives at pH 7 and 8 were estimated to be 1.335 years and 48.77 days, respectively.

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The fragment  $-\text{CH}_2-\text{CH}_2\text{O-R}$  was not available in the program's library. Therefore fragment  $-\text{CH}_2-\text{CH}_2-\text{O-CH}_3$  was substituted. This is unlikely to have affected the estimation result significantly.

**Reliability:** Estimated value based on accepted model.

**References:** Diethylene Glycol Dibenzoate. Estimation of Hydrolysis Rate Using the HYDROWIN Program. Huntingdon Life Sciences. 2001.

Triethylene Glycol Dibenzoate. Estimation of Hydrolysis Rate Using the HYDROWIN Program. Huntingdon Life Sciences. 2001.

### Transport (Fugacity)

Dipropylene Glycol Dibenzoate is structurally similar to diethylene glycol dibenzoate and triethylene glycol dibenzoate. They contain the same functional groups – aromatic rings, ester groups ether linkages and hydroxyl groups. Given that the same functional groups are present, the chemical properties of each substance can be expected to be similar with any difference being attributable to molecular weight.

As the molecular weight of dipropylene glycol dibenzoate (342.18) is between those of diethylene glycol dibenzoate (314.34) and triethylene glycol dibenzoate (358.39) its physical and chemical properties are similarly likely to be the two extremes. It should therefore be possible to use data from the higher and lower molecular weight dibenzoate analogues in assessing the properties of the intermediate molecular weight substance, dipropylene glycol dibenzoate.

### Diethylene Glycol Dibenzoate

The fate and behavior of diethylene glycol dibenzoate in a model environment consisting of four main components, air, water, soil and sediment, has been evaluated using the Mackay Level III Fugacity Model, version 2.10.

#### Inputs:

Chemical Type	1	A chemical that partitions into all media
Molecular mass	314.34	Molecular formula C <sub>18</sub> H <sub>18</sub> O <sub>5</sub>
Data Temperature	25°C	
Log K <sub>ow</sub>	3.2	VCL261/972408
Water Solubility(g/m <sup>3</sup> )	38.3	VCL261/972408
Vapor Pressure (Pa)	1.73E-05	VCL261/972408
Melting Point	24°C	VCL 261/972408
Half-life in Air	6.772 hours	VCL363/010076
Half-life in Water	62.5 hours	VCL298/983319 A factor of ten was added for this study to allow for
Half-life in Soil	125 hours	probability of lower degradation in some other water bodies
	TGD Part II	Assumed twice that of water. This is in line with advice in the
		for a readily biodegradable substance with a soil-water partition
Half-life in Bulk Sediment	125 hours	coefficient of less than 100 l/kg
	TGD Part II	Assumed twice that of water. This is in line with advice in the
		for a readily biodegradable substance with a soil-water partition
Half-life in suspended	62.5 hours	coefficient of less than 100 l/kg
	phase	No data therefore because the close proximity of the bulk water
Sediment		the half-life for the latter was used.
Half-life in Fish	62.5 hours	No data therefore because the close proximity of the bulk water
	phase	
		the half-life for the latter was used.
Half-life in Aerosol	6.772 hours	No data therefore because the close proximity of the bulk air
	phase	
		the half-life for the latter was used.

The parameters that define the model environment are:

Volume of each environmental compartment (m<sup>3</sup>)

Density of each environmental compartment (kg/m<sup>3</sup>)

Organic carbon content of soil and sediments (g/g)  
Lipid content (kg/m<sup>3</sup>)  
Transport Velocities between compartments (m/h)

The route and magnitude of emissions to the environment will vary depending on the stage of the product life cycle being considered. Because no information was available on probable real-life emissions into the environment the recommendation of Mackay et al (1996) was followed; that is the model was run for 1000 kg/h emissions to each of the air, water and soil compartments individually and then in total. This standardized approach allows comparison with other compounds and provides information on the main source of the chemicals in each compartment. Specific properties which should be taken into account when evaluating outputs from the model are that it has a very low vapor pressure so that losses to the atmosphere during manufacture and processing will be limited and that its ready biodegradability means that concentrations in the effluent from sewage treatment plants will be very low.

Under equilibrium steady state condition (Fugacity Model Level 1) diethylene glycol dibenzoate distributed almost entirely between the soil and water compartments

Compartment	Amount
Air	0.0012%
Soil	57.62%
Water	41.05%
Sediment	1.28%

Using the Level III program and with emissions of 1000 kg/h to each of the air, water and soil compartments, the model estimated the following distribution:

Compartment	Amount
Air	0.73%
Soil	76.1%
Water	23.2%
Sediment	0.040%

The predominant routes of loss were by degradation in the soil, water and air compartment (53.8, 32.8 and 9.50% respectively) and advection from the water compartment (2.96%). The estimated mean residence time for diethylene glycol dibenzoate in the model environment (persistence) was 128 hours.

**Reliability:** Estimated values based on accepted model.

**References:** Diethylene Glycol Dibenzoate. Estimation of Environmental Fate Using the Mackay Level II Fugacity Model. Huntingdon Life Sciences. 2001.

VCL 261 - Benzoflex 9-88. Determination of Physico-Chemical Properties. Huntingdon Life Sciences. 1999

VCL363 – Diethylene Glycol Dibenzoate. Estimation of Photodegradation Using the Atmospheric Oxidation Program (AOPWIN). Huntingdon Life Sciences. 2001.

VCL 298 – Diethylene Glycol Dibenzoate. Preliminary Assessment of its Degradation in Laboratory and Natural Waters. Huntingdon Life Sciences. 1999.

Technical Guidance Document (TGD) in Support of Commission Directive 93/67/EEC on Risk Assessment for New Notified Substances and Commission regulation (EC) No. 1488/94 on Risk Assessment for Existing Substances Part II, pp. 282-285.

Mackay, D., DiGuardo, A., Paterson, S. and Cowan C. (1996) Evaluating the Environmental Fate of a Variety of Types of Chemicals Using the EQC Model. Environ Toxicol Chem, **15**, p. 1627.

Triethylene Glycol Dibenzoate

The fate and behavior of triethylene glycol dibenzoate in a model environment consisting of four main components, air, water, soil and sediment, has been evaluated using the Mackay Level III Fugacity Model, version 2.10.

Inputs:

Chemical Type	1	A chemical that partitions into all media
Molecular mass	358.39	Molecular formula C <sub>20</sub> H <sub>22</sub> O <sub>6</sub>
Data Temperature	25°C	
Log K <sub>ow</sub>	3.2	VCL273/972409
Water Solubility(g/m <sup>3</sup> )	30.4	VCL273/972409
Vapor Pressure (Pa)	2.533E-05	VCL273/972409
Melting Point	46.25°C	VCL273/972409
Half-life in Air	3.893 hours	VCL366/010079
Half-life in Water	280 hours	VCL297/983318 A factor of ten was added for this study to allow for
Half-life in Soil	560 hours	probability of lower degradation in some other water bodies
	TGD Part II	Assumed twice that of water. This is in line with advice in the
		for a readily biodegradable substance with a soil-water partition
Half-life in Bulk Sediment	560 hours	coefficient of less than 100 l/kg
	TGD Part II	Assumed twice that of water. This is in line with advice in the
		for a readily biodegradable substance with a soil-water partition
Half-life in suspended	280 hours	coefficient of less than 100 l/kg
	phase	No data therefore because the close proximity of the bulk water
Sediment		the half-life for the latter was used.
Half-life in Fish	280 hours	No data therefore because the close proximity of the bulk water
	phase	
		the half-life for the latter was used.
Half-life in Aerosol	3.893 hours	No data therefore because the close proximity of the bulk air
	phase	
		the half-life for the latter was used.

The parameters that define the model environment are:

- Volume of each environmental compartment (m<sup>3</sup>)
- Density of each environmental compartment (kg/m<sup>3</sup>)
- Organic carbon content of soil and sediments (g/g)
- Lipid content (kg/m<sup>3</sup>)
- Transport Velocities between compartments (m/h)

The route and magnitude of emissions to the environment will vary depending on the stage of the product life cycle being considered. Because no information was available on probable real-life emissions into the environment the recommendation of Mackay et al (1996) was followed; that is the model was run for 1000 kg/h emissions to each of the air, water and soil compartments individually and then in total. This standardized approach allows comparison with other compounds and provides information on the main source of the chemicals in each compartment. Specific properties which should be taken into account

when evaluating outputs from the model are that it has a very low vapor pressure so that losses to the atmosphere during manufacture and processing will be limited and that its ready biodegradability means that concentrations in the effluent from sewage treatment plants will be very low.

Under equilibrium steady state condition (Fugacity Model Level 1) triethylene glycol dibenzoate distributed almost entirely between the soil and water compartments

Compartment	Amount
Air	0.0025%
Soil	57.62%
Water	41.05%
Sediment	1.28%

Using the Level III program and with emissions of 1000 kg/h to each of the air, water and soil compartments, the model estimated the following distribution:

Compartment	Amount
Air	0.16%
Soil	79.3%
Water	20.4%
Sediment	0.14%

The predominant routes of loss were by reaction in the soil, water and air compartment (49.4, 25.4 and 14.0% respectively) and advection from the water compartment (10.3%). The estimated mean residence time for triethylene glycol dibenzoate in the model environment (persistence) was 503 hours.

**Reliability:** Estimated values based on accepted model.

**References:** Triethylene Glycol Dibenzoate. Estimation of Environmental Fate Using the Mackay Level II Fugacity Model. Huntingdon Life Sciences. 2001.

VCL 273 - Benzoflex 2-45. Determination of Physico-Chemical Properties. Huntingdon Life Sciences. 1999

VCL366 – Triethylene Glycol Dibenzoate. Estimation of Photodegradation Using the Atmospheric Oxidation Program (AOPWIN). Huntingdon Life Sciences. 2001.

VCL 297 – Triethylene Glycol Dibenzoate. Preliminary Assessment of its Degradation in Laboratory and Natural Waters. Huntingdon Life Sciences. 1999.

Technical Guidance Document (TGD) in Support of Commission Directive 93/67/EEC on Risk Assessment for New Notified Substances and Commission regulation (EC) No. 1488/94 on Risk Assessment for Existing Substances Part II, pp. 282-285.

Mackay, D., DiGuardo, A., Paterson, S. and Cowan C. (1996) Evaluating the Environmental Fate of a Variety of Types of Chemicals Using the EQC Model. Environ Toxicol Chem, **15**, p. 1627.

ACUTE TOXICITY

ORAL

**Test Substance:** See boiling point for purity.

**Test Method:** OECD 401

**GLP:** Yes  
**Date:** 1998  
**Laboratory:** Huntingdon Life Sciences  
**Species/Strain:** Rat, Sprague-Dawley  
**Sex:** Male and Female

**Results:** Acute Oral LD<sub>50</sub> and 95% confidence limits  
Male: 5072 (4455-5774) mg/kg  
Female: 3295 (2857-3801) mg/kg  
Combined: 3914 (2957-4844) mg/kg

**Number of Deaths at Each Dose Level:**  
3200 mg/kg: 2 females  
5000 mg/kg: 2 males and 5 females  
6400 mg/kg: 5 males

**Time of Death of Each Animal:**

Day 2  
3200 mg/kg: 1 females  
5000 mg/kg: 1 male and 4 females  
6400 mg/kg: 5 males

Day 3  
3200 mg/kg: 1 female  
5000 mg/kg: 1 male and 1 female

**Description of Clinical Effects:**

Piloerection was observed in all rats within 15 minutes of dosing. This sign persisted and was accompanied in rats later during the study by:

Hunched posture, waddling/unsteady gait, lethargy and pallor of the extremities in all rats;

Partially closed eyelids in three males at 2000 mg/kg, four females at 3200 mg/kg, in all rats at 5000 mg/kg and in all males at 6400 mg/kg

Increased salivation in all males at 2000 mg/kg, one female at 3200 mg/kg, all rats at 5000 mg/kg and three males at 6400 mg/kg

Walking on toes in all rats at 2000 and 5000 mg/kg and four females at 3200 mg/kg;

Ungroomed appearance in all males at 2000 and 6400 mg/kg, four females at 3200 mg/kg and in all rats at 5000 mg/kg

Respiratory distress (characterized by increased or decreased respiration) in all males at 2000 mg/kg, four females at 3200 mg/kg and in all rats at 5000 mg/kg

Soft to liquid feces in one male at 2000 mg/kg

Clonic convulsions in three males at 5000 mg/kg

Increased lacrimation and body tremors in three females at 3200 mg/kg and all rats at 5000 mg/kg;

Cold body surfaces in three males and all females at 2000 mg/kg, four females at 3200 mg/kg and all rats at 5000 mg/kg;

Prostration in one female at 3200 mg/kg and two males and two females at 5000 mg/kg

Red brown stains around the muzzle in four females at 3200 mg/kg and two males and one female at 5000 mg/kg

Red brown stains around the urogenital area in three females at 3200 mg/kg one male at 5000 mg/kg;

Sensitivity to handling in four females at 3200 mg/kg and two males at 5000 mg/kg

Aggressive behavior to cagemates in three females at 3200 mg/kg

Brown staining on dorsal area in three females at 3200 mg/kg

Recovery of surviving rats was complete, with the exception of piloerection, by either Day 4 (females 2000 mg/kg), Day 5 (males 2000 mg/kg), Day 8 (males 5000 mg/kg) or Day 15 (females 3200 mg/kg).

**Necropsy findings:**

Females at 3200 mg/kg

Congestion (characterized by dark appearance/prominent blood vessels/inflammation) in brain, heart, lungs, liver, spleen and kidneys. Fluid content and gaseous distension was also seen in pale stomach and along the alimentary tract.

Males and Females 5000 mg/kg

Congestion (characterized by dark appearance/prominent blood vessels/inflammation) in subcutaneous tissue, brain, heart, lungs, liver, spleen and kidneys. Fluid content and gaseous distension was also seen in stomach and along the alimentary tract.

Males 6400 mg/kg

Congestion (characterized by dark appearance/prominent blood vessels/inflammation) in subcutaneous tissue, brain, heart, lungs, liver, spleen and kidneys. Fluid content and gaseous distension was also seen in pale stomach and along the alimentary tract. In addition, a white thickened deposit was noted in the urinary bladder.

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88 Acute Oral LD<sub>50</sub>. Huntingdon Life Sciences. 1998

**Other studies:** International Research and Development Corporation (IRDC). 1975. Oral LD<sub>50</sub> for rats and mice is in the range of 4,000 to 5,500 mg/kg.

DERMAL

**Test Substance:** See boiling point for purity.

**Test Method:** OECD 402

**GLP:** Yes  
**Date:** 1997  
**Laboratory:** Huntingdon Life Sciences  
**Species/Strain:** Rat, Sprague-Dawley  
**Sex:** Male and Female

**Results:** Acute Dermal LD<sub>50</sub> >2000 mg/kg

**Number of Deaths at Each Dose Level:** No deaths

**Description of Clinical Effects:** No signs of systemic reaction to treatment were observed in any animal throughout the observation period.

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88. Acute Dermal LD<sub>50</sub>. Huntingdon Life Sciences. 1998

## AMES MUTAGENICITY

**Test Substance:** See boiling point for purity.

**Solvent:** DMSO

**Study Type:** Bacterial reverse mutation assay

**Test Method:** OECD 471, 472

**GLP:** Yes

**Date:** 1997

**Laboratory:** Huntingdon Life Sciences

**Species/Strain:** S. typhimurium: TA 1535 his G46 rfa uvrB  
TA 1537 his C3076 rfa uvrB  
TA 98 his D3052 rfa uvrB pKM101  
TA 100 his G46 rfa uvrB pKM101

E. coli CM891 WP2 trp uvrA pKM101

**Concentrations:** 5000, 1500, 500, 150, 50, 15, 5 µg/plate

**Metabolic Activation:** Sprague-Dawley rat liver

**Quantity of Activator:** 0.5 ml

**Induction:** Stimulated by Aroclor 1254

### Criteria for Evaluating Results:

- (a) If treatment with test substance produces an increase in revertant colony numbers of at least 2 times the current solvent controls with some evidence of a positive dose-response relationship, in a specific bacterial strain reproduced with or without S9 mix, it is considered to show evidence of mutagenic activity in this test system. No statistical analysis is performed.
- (b) If treatment with a test substance does not produce reproducible increases of at least 1.5 times the current solvent controls, at any dose level, with any bacterial strain, it is considered to show evidence of mutagenic activity in this test system. No statistical analysis is performed.
- (c) If the results obtained fail to satisfy the criteria for a clear "positive" or "negative" response given in paragraphs (a) and (b), additional testing may be performed in order to resolve the issue of the substance's mutagenic activity in this test system. Modifications to the experimental method will usually be considered, such as the use of a narrower dose range and different levels of S9 mix. Should an increase in revertant colony numbers then be observed which satisfies paragraph (a) the substance is considered to show evidence of mutagenic activity in this test system. If no clear "positive" response can be obtained, the test data may be subjected to analysis to determine the statistical significance of any observed increases in revertant colony numbers. The statistical procedures used will be those described by Mahon *et al* (1989) and will usually be analysis of variance followed by Dunnett's test.

Mahon, G.A.T., Green, M.H.L., Middleton, B., Mitchell, I de G., Robinson, W.D. and Tweats, D.J. (1989). Analysis of data from microbial colony assay in: Kirkland, D.J. (ed.) *UKEMS Subcommittee on Guidelines for Mutagenicity Testing. Report Part III. Statistical Evaluation of Mutagenicity Data*. P.26. Cambridge University Press. Cambridge.

**Positive/Negative Controls:** Positive without S9:  
N-Ethyl-N'-nitro-N-nitrosoguanidine in DMSO @ 5µg/plate for TA 1535,  
3µg/plate for TA 100 and 2µg/plate for CM891

9-Aminoacridine in DMSO @ 1µg/plate for TA 1537

2-Nitrofluorene in DMSO @ 1µg/plate for TA 98

Positive with S9

2-Aminoanthracene in DMSO @ 2µg/plate for TA 1535 and 10µg/plate for CM891

Benzo[a] pyrene in DMSO @ 5µg/plate TA 1537, TA 98 and TA 100

Negative  
DMSO

**Repeat Test:** Second test includes a preincubation stage. First test is only the Standard Plate Incorporation.

**Results:**

**Cytotoxic Concentrations:** With and Without Metabolic Activation – 5000 and 1500 µg/plate. Observed in the second preincubation assay.

**Precipitation Concentration:** 5 mg/plate – cloudy solution with a few very small observable globules floating on the surface.  
1.5 mg/plate – cloudy solution but no observable globules  
0.5 mg/plate – slightly cloudy solution  
0.15 mg/plate – no observable cloudiness

**Genotoxic Effects:** No effects observed with or without metabolic activation. No evidence of mutagenicity in this bacterial system.

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88. Bacterial Mutation Assay (*S.typhimurium* and *E. coli*). Huntingdon Life Sciences. 1998

**Other Studies:** Litton Bionetics (LB). 1978. Benzoflex 9-88 was not mutagenic in bacteria or yeast.

## MAMMALIAN CELL MUTATION

**Test Substance:** See boiling point for purity.

**Solvent:** DMSO  
**Study Type:** Nonbacterial mammalian cell gene mutation assay  
**Test Method:** OECD 476  
**GLP:** Yes  
**Date:** 1997  
**Laboratory:** Huntingdon Life Sciences  
**Species/Strain:** Mouse lymphoma L5178Y  
**Concentrations:** 150,200,225,250 µg/ml  
**Metabolic Activation:** Sprague-Dawley rat liver  
**Quantity of Activator:** 4 ml  
**Induction:** Stimulated by Aroclor 1254

### Criteria for Evaluating Results:

Criteria for a positive response:

An increase of at least 100 in the mutant frequency in treated cultures relative to the concurrent control.

The demonstration of a statistically significant increase in mutant frequency following treatment with the test substance.

Evidence of a dose relationship over at least two consecutive dose levels, in any increases in mutation frequency.

Demonstration of reproducibility in any increase in mutant frequency.

An increase in absolute colony numbers in the treated cultures.

The RTG of cultures showing an increase in mutant frequency should not be less than 10%.

**Positive/Negative Controls:** Positive without S9:  
Methylmethane sulphonate in DMSO  
Positive with S9:  
20-methylcholanthrene in DMSO

### Results:

**Genotoxic Effects:** No effects observed with or without metabolic activation. No evidence of mutagenicity in this *in vitro* gene mutation assay.

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88. Mammalian Cell Mutation Assay. Huntingdon Life Sciences. 1998.

MAMMALIAN CHROMOSOME ABERRATION TEST

**Test Substance:** See boiling point for purity.

**Solvent:** DMSO

**Study Type:** *In-vitro* Mammalian Chromosome Aberration Test in CHL cells

**Test Method:** OECD 473

**GLP:** Yes

**Date:** 1997

**Laboratory:** Huntingdon Life Sciences

**Species/Strain:** Chinese Hamster Lung, strain JCRB0030

**Concentrations:** 4.9, 9.8, 19.5, 39.1, 78.1, 156.3, 312.5 and 625 µg/ml

**Metabolic Activation:** Sprague-Dawley rat liver

**Quantity of Activator:** 1.25 and 5 ml

**Induction:** Stimulated by Aroclor 1254

**Criteria for Evaluating Results:**

Aberrations were scored according to the classification of ISCN (1985). An International System for Human Cytogenetic Nomenclature, Harden, DG and Klingner, HP (Eds). S. Karger AG, Basel

**Positive/Negative Controls:** Positive without S9:  
Mitomycin C in sterile deionized water  
Positive with S9:  
Cyclophosphamide in sterile deionized water

**Results:**

**Genotoxic Effects:** No statistically significant increases in the proportion of aberrant cells, when compared to the solvent control, were seen in either the presence or the absence of S9 mix. A small response seen in the first test, with S9 mix, was not reproduced in the repeat test or at the later harvest. This response was not considered to be indicative of clastogenic activity.

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88. *In-vitro* Mammalian Chromosome Aberration Test in CHL cells. Huntingdon Life Sciences. 1998.

## REPEATED DOSE TOXICITY

**Test Substance:** See boiling point for purity.

**Vehicle:** Administered by dietary admixture

**Study type:** Dietary Administration for 13 weeks with a subsequent 4week recovery period for selected animals

**Method:** OECD 408

**GLP:** Yes

**Date:** 1999

**Laboratory:** Huntingdon Life Sciences

**Species/Strain:** CrI: (IGS) CD<sup>®</sup> BR

**Age at Study Initiation:** ~ 7-8 weeks

**Route of Administration:** Dietary

**Frequency of Treatment:** continuous in diet

**Duration of Test:** 13 weeks with subsequent 4 week recovery for selected animals

**Dose/Concentration Levels:** Control, 250, 1000, 1750 OR 2500 mg/kg/day 10 male and 10 female per group

**Post-Exposure Observation:** An additional 10 male and 10 female rats at the control and 2500 mg/kg/day level served as a recovery group for a subsequent 4 weeks.

**Clinical Observations Performed:** Individual animals were observed and palpated at least once daily for any signs of behavioral changes, reaction to treatment or ill health. A detailed clinical observation was performed daily for the first 4 weeks of the study. After four weeks of treatment, as treatment related clinical findings were not noted, the frequency of detailed clinical observations was reduced to once per week. The weight of each rat was recorded at the time of allocation of animals to groups, on the day of commencement of treatment and once a week thereafter, including the day of death. The quantity of food consumed by each cage of rats was recorded weekly. Food conversion ratios were calculated, where possible, from the weekly bodyweight and food consumed per unit gain in bodyweight. At weekly intervals, the group mean achieved intake of test substance (mg/kg/day) was calculated from the group mean bodyweight and food consumption and the dietary inclusion levels of the test material. Daily monitoring by visual appraisal of the water bottles was maintained throughout the study. The eyes of all rats were examined using a Keeler indirect ophthalmoscope before dosing commenced. During Week 13 the eyes of all animals in the Main Group were examined. As there was no effect of treatment, further investigations were not performed.

### RESULTS:

**NOAEL:** 1000 mg/kg/day or below are considered to represent a No Observable Adverse Effect level of Benzoflex 9-88 in rats by oral administration for 13-weeks.

**Actual Dose Received:** Achieved Group Mean Intakes of Benzoflex 9-88

Group 2 Males:	245 mg/kg/day
Group 3 Males:	991 mg/kg/day
Group 4 Males:	1714 mg/kg/day
Group 5 Males:	2449 mg/kg/day
Group 2 Females:	246 mg/kg/day
Group 3 Females:	991 mg/kg/day
Group 4 Females:	1713 mg/kg/day
Group 5 Females:	2460 mg/kg/day

**Toxic Response/Dose Level:**

Mortality: No unscheduled deaths at any dose level

Clinical Signs: No findings which could be conclusively related to treatment. Incidental findings of hairloss and stained fur were noted, however, the incidence showed no correlation to dosage and/or these findings were also noted in the Control group.

Bodyweight: There was a general dosage-related adverse effect on group mean bodyweight gain in both sexes. However, in rats treated with 1000 mg/kg/day or below, the degree of change was insufficient to be of toxicological importance. This is supported by the fact that the final bodyweights for males and females at 1000 mg/kg/day were only 5% less than controls. Small reductions in food intake were insufficient to fully account for the adverse effect on bodyweight. During the 4 week Recovery period, animals previously receiving 2500 mg/kg/day showed vastly superior weight gain than Controls, however 4 weeks appeared to be an insufficient time to completely rectify the effects of 13 weeks of treatment.

Food Consumption: An overall slight, but dosage related, reduction in group mean food consumption was noted for males receiving 1750 or 2500 mg/kg/day. In addition, a slight reduction in group mean food intake was noted for females receiving 2500 mg/kg/day, principally during Week 1 of treatment. The quantities of spilt diet recorded indicated that there may have been a slight adverse palatability reaction in these groups, particularly during Week 1.

Consumption by all other groups, and by rats previously receiving 2500 mg/kg/day during the Recovery period, was considered to be comparable to that of Controls.

Water Consumption: There was no effect of treatment.

Ophthalmoscopy: There were no changes at Week 13 considered to be related to treatment. All findings were characteristic of the age and strain of animals employed. Ophthalmoscopy was therefore not performed during the recovery period.

Hematology: Several parameters at Week 13 achieved levels of statistical significance as detailed below, however none could be conclusively related to treatment. A number of erythrocyte parameters achieved levels of statistical significance in Week 13 for rats receiving 1000 mg/kg/day or above (increased red blood cell counts, reduced mean corpuscular volume and reduced mean corpuscular hemoglobin). There were, however, few indications of a dosage-relationship and most individual values were either within the range encountered in Controls or were generally characteristic of rats at this age (within the range of background data at Huntingdon). These minor intergroup differences are not considered to be of toxicological importance. Similarly, levels of statistical significance were achieved for clotting tests (PT, TT and APTT) in one or both sexes at 1000 mg/kg/day or above. The only indication of a dosage-relationship was for a reduction in male TT and APTT data, but with the exception of a low TT for one rat at 1750 mg/kg/day and one rat at 2500 mg/kg/day, all individual values were characteristic of this species. Intergroup clotting test differences are therefore considered to be coincidental. Individual leukocyte data for males receiving 2500 mg/kg/day at Week 13 were generally towards the lower end of the normal range. Although statistical significance was achieved for group mean values, the lowest single result at Week 13 was for a Control animal. In addition, group mean leukocyte data of females receiving 2500 mg/kg/day generally exceeded that of Controls. The slightly lower group mean results for males therefore cannot be conclusively attributed to treatment. There were no other notable findings at Week 13 and all data at Recovery Week 4 was unremarkable.

Biochemistry: Levels of plasma AP activity were raised to statistical significance for male group mean values at 1750 or 2500 mg/kg/day. By recovery Week 4, all AP activities of surviving animals had returned to a level comparable to that of Controls. There were no similar effects of treatment in females. GPT levels were considered to be increased in males receiving 1750 or 2500 mg/kg/day. In addition, there was a dosage-related increase in plasma GOT and OCT activities in males receiving 1000 mg/kg/day or above. In treated female groups, only those receiving 1750 or 2500 mg/kg/day are considered to have shown raised GOT activities; other transaminase levels were unaffected. These findings are considered to be related to treatment, but were only of toxicological importance in rats treated with 1750 or 2500 mg/kg/day, where related hepatic pathology was detected. All animals, except one, previously receiving 2500 mg/kg/day had enzyme activities comparable to concurrent Controls, in Recovery Week 4. This indicates a complete reversibility of treatment-related changes. Group mean plasma cholesterol was increased to a statistically significant degree in males receiving 100 mg/kg/day or above (but without a dosage relationship). A number of individuals in each of these groups showed high levels. This finding was considered to be related to treatment but was only of toxicological importance at 1750 mg/kg/day and above. The group mean cholesterol level of females treated with 2500 mg/kg/day was slightly in excess of Controls and achieved statistical significance; however, in the absence of a dosage-relationship, this was

considered not to be of toxicological importance. In Recovery Week 4, all cholesterol levels were considered normal. No other findings were considered to be of toxicological importance.

Gross pathology: The incidence and distribution of macroscopic findings was not consistent with an effect of treatment.

Organ Weight Changes: There was a slight treatment related increase in liver weight for females receiving 1750 mg/kg/day and males treated with 2500 mg/kg/day. Females receiving 2500 mg/kg/day showed a more marked increase in liver weight. These changes may be partially associated with increased plasma transaminase levels and the low-grade hepatic hypertrophy detected microscopically, however there was little consistency between these data, the degree of hepatic hypertrophy is considered to be low. The group mean liver weight adjusted for bodyweight was increased for male and female rats receiving 2500 mg/kg/day and female rats receiving 1750 mg/kg/day. In the absence of corroborative histopathology or other indicators of target organ toxicity, all statistically significant relative organ weight changes, other than the liver, are considered to be coincidental and of no toxicological importance. The improved bodyweight performance of rats previously receiving 2500 mg/kg/day during the Recovery period lessened the impact of bodyweight upon relative organ weight parameters at the Recovery kill.

Histopathology: Liver: A dose-related incidence and degree of periportal hepatocyte hypertrophy was seen in male and female rats receiving 1750 and 2500 mg/kg/day. No treatment related changes were detected in rats receiving 2500 mg/kg/day and allowed a 4 week recovery period. Periportal hepatocyte hypertrophy is therefore considered to be reversible. Spleen: Hemosiderosis was observed in male and female rats receiving 2500 mg/kg/day and in a small number of female rats receiving 1750 mg/kg/day. The degree of hemosiderosis in male rats receiving 2500 mg/kg/day and allowed a 4 week recovery period was comparable to Controls. A slight degree of hemosiderosis was seen in a smaller number of female rats receiving 2500 mg/kg/day and allowed a 4 week recovery period compared to females of this group at the end of treatment. The absence of any treatment related effect among treated males and the lower incidence of slight hemosiderosis among treated females was considered to show reversibility of this finding. Caecum: An increased incidence of minimal epithelial hyperplasia was seen in male and female rats receiving 2500 mg/kg/day compared to Controls. No treatment related changes were detected in rats receiving 2500 mg/kg/day and allowed a 4-week Recovery period. Epithelial hyperplasia in the caecum is therefore considered reversible.

**Statistical Analysis:** All statistical analyses were carried out separately for males and females. Data relating to food and water consumption were analyzed on a cage basis. For all other parameters, the analyses were carried out using the individual animal as the basic experimental unit. Food consumption data were analyzed using cumulative totals and water consumption data were analyzed as the total recorded intake over selected time period, expressed on a weekly basis. Bodyweight data were analyzed using weight gains.

**Interpretation/Conclusion:** Benzoflex 9-88 was administered to rats by dietary admixture to achieve dosages of 0, 250, 1000, 1750 or 2500 mg/kg/day over 13 weeks. Selected Control and Group 5 animals were subsequently maintained off dose for 4 weeks to assess reversibility of any treatment related changes.

Dosages of 1000 mg/kg/day or below are considered to represent a No Observable Adverse Effect Level (NOAEL) of Benzoflex 9-88 in rats by oral administration over 13 weeks. A few minor intergroup differences were noted at 1000 mg/kg/day but were insufficient to be of toxicological importance.

Higher dosages of 1750 or 2500 mg/kg/day were tolerated but the adverse effect on bodyweight was more pronounced, there were increases in circulating enzyme activities, low grade hepatocyte hypertrophy and an increased incidence and degree of hemosiderosis in the spleen in one or both sexes. At 2500 mg/kg/day, an increased incidence of minimal epithelial hyperplasia was noted in the caecum.

When selected animals previously receiving 2500 mg/kg/day were maintained off dose for 4 weeks, all treatment related effects showed evidence of, or complete, recovery.

**Data Quality:** 1, Reliable without restrictions

**References:** Benzoflex 9-88. Toxicity to rats by Dietary Administration for 13 weeks with Subsequent 4-Week Recovery Period for Selected Animals. Huntingdon Life Sciences. 1999.

**Other Studies:** IRDC. 1975. No treatment related effects were observed in a 90-day study with dogs administered 1,000 ppm Benzoflex 9-88 in the diet.  
Hazleton Laboratories. 1956. Mild reduction in weight gain at 6,000 and 12,000 ppm but no treatment related effects in rats at 5,000 or 10,000 ppm in the diet for 90 days. Mortality was observed at 40,000 ppm.

## Developmental Toxicity

<b>Test Substance:</b>	Dipropylene Glycol Dibenzoate	94.84%
	Dipropylene Glycol Monobenzoate	2.91%
	Propylene Glycol Dibenzoate	1.7869%
	2(2-Propylenoxy)1-propyl Benzoate	0.2976%

**Method:** US EPA 870.3700 with the following exception – the guideline states that “Evaluation of the dams during cesarean section and subsequent fetal analyses should be conducted without knowledge of treatment group in order to minimize bias”. Evaluation was made with knowledge of treatment group, as procedures are already in place to minimize bias during these portions of the study. These procedures include routine reviews of necropsy technicians evaluation skills and scientific peer review of at least 25% of the raw data of the fetal analyses, including examination of serial sections for visceral anomalies and examination of fetal skeletons.

**GLP:** Yes

**Date:** 1998

**Laboratory:** Huntingdon Life Sciences

**Species/Strain:** Rat Sprague Dawley

**Route of Administration:** Oral (gavage)

**Dosages:** 0, 250, 500 and 1000 mg/kg/day

**Number and Sex:** 22 Females/group

**Exposure period:** Gestation Day 6-19

**Frequency of Treatment:** Daily

**Control Group:** Corn Oil Vehicle

**Duration of Test:** Cesarean section on Gestation Day 20

**Statistical Evaluation:** Statistical tests, employing analysis of variance followed by an inter-group comparison with the Control, were performed on the following parameter: Bodyweight change, bodyweight change adjusted for gravid uterine weight, food consumption, litter data, litter weight, fetal weight and placental weight.

Dependant on the heterogeneity of variance between treatment groups, parametric tests (analysis of variance Snedecor and Cochran 1967) followed by Williams’ test (Williams 1971/2) or nonparametric tests (Kruskal-Wallis, Hollander and Wolfe 1973) followed by Shirley’s test (Shirley 1977) were used to analyze

these data, as appropriate. Where 75% or more of the values for a given variable, were the same, a Fisher's exact test (Fisher 1950) was used.

For litter data (excluding fetal, litter and placental weights) and implantation loss, due to the preponderance of non-normal distributions, non-parametric tests are generally the most consistent and were routinely used.

All significant (i.e.  $p < 0.5$ ) inter-group differences from the Control are reported only where supported by a significant analysis of variance (i.e.  $p < 0.05$ )

FISHER, R.A. (1950) Fisher's exact test 2x2 contingency table: *Statistical Methods for Research Workers*, para. 21.02 Oliver and Boyd, Edinburgh.

HOLLANDER, M and WOLFE, D.A. (1973) *Non-parametric statistical methods*. Publ. J. Wiley and Sons, New York. KRUSKAL-WALLIS and JONCKHEERE tests: pages 114-132.

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WILLIAMS, D.A. (1971/2) William's test for comparing the effect of increasing doses of substance with a zero dose. *Biometrics* 27: 103-117. *Biometrics*, 28: 519-531.

## REMARKS

**Age at Study Initiation:** 10 to 11 weeks of age

**Test Substance Preparation:** Formulated in corn oil. Formulations prepared fresh each week and refrigerated at approximately 4°C prior to use

**Clinical Observation (Maternal):** Observed at least twice daily throughout study for any visible signs of reaction to treatment. Observations associated with dosing were also recorded during the treatment period according to the following schedule: 1) predosing, 2) On return of animal to home cage, 3) Afterdosing each group, 4) 1 to 2 hours after completion of dosing all groups, 5) as late as possible in the working day. Maternal bodyweight was measured on Days 0,3, 6 to 17 inclusive and 20 after mating. Food consumption was recorded for the periods Days 0-2, 3-5, 6-8, 9-11, 12-14, 15-16 and 17-19 after mating.

**Mating Procedure:** Females were paired on a one-to-one basis with stock males of the same strain. Each morning following pairing, the trays beneath the cages were checked for ejected copulation plugs and a vaginal smear was prepared from each female and examined for presence of spermatozoa. The day on which a sperm positive vaginal smear or at least 3 copulation plugs were found was designated Day 0 of gestation.

**Terminal Observations (Maternal):** On Day 20 after mating, the females were killed by inhaled carbon dioxide for examination of their uterine contents. Each animal was first weighed and then examined macroscopically for evidence of disease or adverse reaction to treatment and specimens of abnormal tissue were retained. The reproductive tract, complete with ovaries, was dissected out and the following recorded: 1) Gravid uterine weight – uterus with cervix, 2) number of corpora lutea in each ovary (assessed prior to removal), 3) number of implantation sites, 4) number of resorption sites (classified as early or late), 5) number and distribution of fetuses in the uterine horn.

**Fetal Examination:** Each fetus was weighted, sexed and examined for any external abnormalities. Individual placental weights and placental abnormalities were recorded. Fetuses were killed by chilling on a cool plate. The neck and thoracic and abdominal cavities of approximately half of each litter were dissected and examined. Fetal changes were recorded and the offspring eviscerated prior to fixation in Industrial Methylated Spirit. After fixation, fetuses were processed, stained with Alizarin Red and skeletal development assessed. The remaining fetuses in each litter were placed in Bouin's fixative, subjected to free hand serial sectioning and examination for visceral changes.

## RESULTS

### Maternal Toxicity

**NOEL:** 1000 mg/kg/day

**Clinical Signs:** The general condition of females at all dosages remained satisfactory throughout the study and there were no deaths. Salivation after dosing was observed at all dosages. The incidence was dosage related but this finding was not considered to be of toxicological importance. At 1000 mg/kg/day, there were no detectable signs of maternal toxicity; there were no maternal deaths and all females had a live litter at sacrifice.

### Litter Responses and Fetal Changes

**Prenatal development NOEL:** 500 mg/kg/day. A small number of fetuses with cervical ribs at 1000 mg/kg/day precludes defining this dosage as a NOEL for developmental anomalies, in all other respects the NOEL for pre-natal development is concluded to be 1000 mg/kg/day.

**Fetal Growth and Development NOEL:** 250 mg/kg/day

There were no effects of treatment on pre-natal survival or growth.

At 1000 mg/kg/day, treatment was associated with a small but definite increase in the number of fetuses with cervical ribs.

At 1000 and 500 mg/kg/day, there were a greater number of fetuses with incomplete ossification of the 5<sup>th</sup> and 6<sup>th</sup> sternebrae compared with Controls, but this finding was not considered to be of any long term toxicological significance.

mg/kg/day	0	250	500	1000
<b>Adult Females</b>				
Females with sperm	22	22	22	22
Pregnant Females	22	22	22	22
Evaluated Pregnant Females	22	22	22	22
<b>Litters – group mean values</b>				
Corpora lutea	16.8	16.3	16.5	16.0
Implantation	15.4	15.4	15.6	15.1
Resorptions	0.9	0.8	0.8	1.0
Live fetuses	14.5	14.6	14.9	14.1
Weight of fetuses (g)				
Male	3.88	3.94	3.87	3.84
Female	3.71	3.75	3.68	3.66
Sex ratios of fetuses (%)				
Male	49.7	51.5	49.6	49.1
Female	50.3	48.5	50.4	50.9
<b>Important Fetal Findings</b>				
Number of fetuses (litters) with:				
Cervical ribs	0 (0)	2 (2)	2 (2)	10 (6)

## CONCLUSIONS

At 1000 mg/kg/day, there was no effect of treatment on pre-natal survival or growth. However, skeletal examination revealed a small but definite increase in the incidence of cervical ribs compared with Controls and recent historical background Control data. Therefore, the finding of this skeletal anomaly is considered to be treatment related.

At 500 and 250 mg/kg/day, since the incidence of fetuses with cervical ribs was within the recent background Control data and there was no clear dosage relationship, their occurrence at these dosages is considered likely to be coincidental and unrelated to treatment.

An association between treatment at 1000 and 500 mg/kg/day and the greater number of fetuses with incomplete ossification of the 5<sup>th</sup> and 6<sup>th</sup> sternbrae cannot be discounted, particularly since a delay in ossification would be expected to be the most sensitive marker of an effect on pre-natal development where treatment has continued through to the day of sacrifice (treatment period Days 6-19 of gestation). The assessment of fetal ossification on Day 20 of gestation represents a snapshot in time, as the ossification will continue as the animals grow and mature. Although the relationship of these findings to treatment is uncertain, they are considered to be transient in nature, rather than representing permanent structural changes, and therefore are considered to be of no long term toxicological importance.

The increase in cervical ribs at 1000 mg/kg/day is considered to be of greater toxicological significance as it occurred at a dosage which has not produced any detectable signs of maternal toxicity; however, cervical ribs were only found in a small number of fetuses (10/155) at the limit dosage of 1000 mg/kg/day and there were no concomitant changes in vertebral configuration.

In conclusion, it is considered that 1000 mg/kg/day is the no-effect-level for maternal toxicity.

While the occurrence of a small number of fetuses with cervical ribs at 1000 mg/kg/day precludes defining this dosage as a no-observed effect-level for developmental anomalies, in all other respects the no-adverse-effect-level for pre-natal development is concluded to be 1000 mg/kg/day.

The no-adverse-effect-level for all aspects of pre-natal development is concluded to be 500 mg/kg/day.

The no-observed-effect level for fetal growth and development was 250 mg/kg/day.

**RELIABILITY:** 1, Reliable without restrictions

**REFERENCES:** Benzoflex 9-88. Study of Prenatal Development in the CD Rat by Oral Gavage Administration. Huntingdon Life Sciences. 2000.

## REPRODUCTIVE TOXICITY

<b>Test Substance:</b>	Dipropylene Glycol Dibenzoate	94.84%
	Dipropylene Glycol Monobenzoate	2.91%
	Propylene Glycol Dibenzoate	1.7869%
	2(2-Propylenoxy)1-propyl Benzoate	0.2976%

**Method:** OECD 416, USEPA OPPTS 870.3800 (1998)

**Type:** Two generation

**GLP:** Yes

**Date:** 1999

**Laboratory:** Huntingdon Life Sciences

**Species/Strain:** Rat Sprague Dawley (CD-IGS)

**Route of Administration:** Dietary – Continuous

**Doses:** 1000, 3300 or 10000 ppm throughout two generations

**Sex:** males and females

**Control Group:** Yes, basal diet without test material

**Frequency:** continuously in diet

**Duration:** Approximately 38 weeks

**Premating Exposure (males and females F0 and F1):** 10 weeks before pairing and throughout mating, gestation, littering and lactation

### **Statistical Methods:**

Where considered appropriate, significance tests employing analysis of variance followed by an intergroup comparison with the control were performed. These were performed on the following parameters: bodyweights and bodyweight change, food consumption, litter data, sexual development data, seminology data, organ weights and histopathological findings.

For data recorded and/or processed by the Xybion computer system (adult organ weights and weekly bodyweight change) for the parental animals, homogeneity of variance was assessed using Barlett's test. Whenever this was found to be statistically significant a Behrens-Fisher test was used to perform pairwise comparison, otherwise a Dunnett's test was used. Intergroup differences in macroscopic pathology and histopathology were assessed using Fisher's test.

For bodyweight and food consumption data during gestation and lactation, litter data, sexual development data, seminology data and offspring organ weights the statistical analysis was performed using the Startox program developed by Huntingdon life Sciences. Dependant on the heterogeneity of variance between treatment groups, parametric tests (analysis of variance, Snedecor and Cochran 1967) followed by Williams' test (Williams' 1971/2) or non-parametric tests (Kruskal- Wallis, Hollander and Wolfe 1973) followed by Shirley's test (Shirley 1977) were used, as appropriate.

Where 75% or more of the values for a given variable were the same, a Fisher's exact test (Fisher 1950) was used.

Significant (i.e.  $p < 0.05$ ) inter-group differences from the Control are reported where supported by a significant analysis of variance (i.e.  $p < 0.05$ ).

FISHER, R.A. (1950) Fisher's exact test 2x2 contingency table: *Statistical Methods for Research Workers*, para. 21.02 Oliver and Boyd, Edinburgh.

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## REMARKS

Groups of rats were administered continuously in their diet 1000, 3300 or 10000 ppm of test compound throughout two generations. A fourth group received the basal diet without the test material and served as the Control.

The F0 generation, which comprised 32 males and 32 females in each group, received the treated diet for 10 weeks before pairing and throughout mating, gestation, littering and lactation. Offspring survival, growth and sexual maturation were evaluated. From the litters 28 male and 28 female offspring per group were selected to form the F1 generation. Both sexes received similarly treated diets as their parents for a minimum of 10 weeks from selection, throughout pairing, gestation, littering and lactation. F2 offspring were monitored for survival and development until weaning.

All F0 and F1 adult animals were subjected to detailed necropsy, the reproductive organs and selected organs were weighed and retained. Sperm motility and morphology was assessed from samples obtained from the left vas deferens and sperm counts were determined for the left epididymis and testis for all F0 and F1 males. Histopathological examinations were performed on designated tissues from 10 parent males and 10 parent females in the Control and high dose groups, and abnormal tissues from all other parental animals.

Unselected F1 offspring were killed at 34 Days of age and F2 offspring were killed on Day 21 of age. Where possible, one male and one female from each litter were subjected to necropsy examination, the reproductive organs retained, and the brain, spleen and thymus weighed and retained.

Mating Procedure – After 10 weeks of treatment for the F0 generation and 10 weeks after selection for the F1 generation, males and females from within the same treatment groups were paired on a one-to-one basis for a period up to 3 weeks. If there were no positive indication of mating after 14 days and the females had shown no signs of estrous at the time, the male partner was replaced by a proven male from within the same group. Care was taken to avoid pairing siblings. Each morning following pairing, the trays beneath the cages were checked for ejected copulation plugs and a vaginal smear was prepared from each female and examined for the presence of spermatozoa and the stage of the estrous cycle. The day on which evidence of mating was found was designated Day 0 of gestation.

Once mating occurred, the males and females were generally separated and smearing was discontinued. However after inconclusive mating, smearing continued up to 5 days to confirm positive mating.

Parameters assessed during F0 and F1 – All animals were observed at least twice daily throughout the study and any visible signs of reaction to treatment were recorded. A more detailed weekly examination was performed throughout the treatment period. All animals found dead or killed for reasons of animal

welfare were subjected to a thorough macroscopic examination of the visceral organs and specimens of abnormal tissues were retained. Males were weighed on the day that treatment commenced (F0) or the formal start of the generation (F1), then weekly thereafter. F0 and F1 females were weighed on the same schedule until mating was detected and then on Days 0, 6, 13 and 20 after mating and on Days 1, 4, 7, 14 and 21 of lactation. Food consumption was recorded on a cage basis for F0 and F1 males and females weekly before pairing. Food consumption for females after mating was recorded daily on an individual basis on Days 0-5, 6-12 and 13-19 after mating and on Days 1-3, 4-6, 7-13 and 14-20 of lactation. After Day 14 of lactation, food intake is increasingly influenced by the offspring and is no longer an accurate reflection of maternal intake.

Estrous cycles F0 and F1– For 22 days before pairing of F0 and F1 generations, daily vaginal smears were taken from all females and examined to establish the duration and regularity of the estrous cycle. After pairing with the male, smearing was continued until evidence of mating was observed. Following weaning, daily vaginal smears were taken from all females on Days 22 to 28 after birth prior to necropsy and used to determine the stage of the estrous cycle at termination. Females whose litters died before weaning were retained and vaginal smears taken on their theoretical Days 22-28 and killed on Day 28, as for those with surviving litters. Any F0 females that failed to mate, mated but were not pregnant or failed to litter were retained and vaginal smears taken for 7 days, starting on the day on which the first batch of females with live litters had vaginal smears taken. These animals were killed with the first batch of females with a litter. Any F1 females that failed to mate, mated but were not pregnant or failed to litter were retained and vaginal smears taken for 9 days, starting on the day on which the first batch of females with live litters had vaginal smears taken. These animals were killed with the third batch of females with a litter.

Seminology F0 and F1 – After sacrifice, sperm motility, sperm morphology, sperm count and homogenization-resistant spermatids were counted.

Parameters Assessed during F1 and F2 – All offspring were examined at approximately 24 hours after birth (Day 1 of age) and the following were recorded for each litter: number of offspring (live and dead), individual bodyweights of live offspring, sex ratio and observations on individual offspring. Litters were observed daily for evidence of abnormal appearance or behavior. Daily records were maintained of mortality and consequent changes in litter size. Whenever possible, any offspring found dead were examined externally and internally. Litters containing more than 10 offspring were culled by random selection to 10 (where possible 5 males and 5 females) on Day 4 of age. Individual F1 and F2 offspring were weighed on Days 1, 4, 7, 14 and 21 of age. Selected female F1 generation offspring were examined daily from Day 28 of age until vaginal opening occurred. Bodyweights were recorded on day of vaginal opening for each animal. Selected male F1 generation offspring were examined daily from Day 35 of age until balanopreputial separation occurred. Bodyweight was recorded on day of start and completion of separation for each animal.

All parental animals were subject to a detailed macroscopic examination for evidence of disease or adverse reaction to treatment. The necropsy procedure included a review of the history of each animal, and a detailed examination of the cranial, thoracic, abdominal and pelvic cavities and their viscera. The external and cut surfaces of the organs and tissues were examined, either before or after weighing as appropriate. The number of uterine implantation sites was recorded for the adult females. Abnormalities, interactions and changes were noted, the requisite organs weighed and the required tissue samples preserved in fixative. Unselected F1 offspring and F2 offspring were examined macroscopically for evidence of disease or adverse reaction to treatment and appropriate organs weighed and retained. Any abnormal tissues were also retained.

The following tissues were microscopically examined for 10 parent males and 10 parent females of groups 1-4 sacrificed on completion of the scheduled treatment period and for all adult animals killed or dying before scheduled termination: adrenal glands, epididymis, mammary glands (causal), ovaries with oviduct, pituitary, prostate (ventral lobe), seminal vesicles and coagulated gland, testis (right), uterus with cervix, vagina. Mammary glands were retained from females with total litter loss.

## RESULTS

The general condition of the F0 to F1 and F1 to F2 generations were satisfactory throughout. There were no treatment related deaths in either generation of adult animals. Bodyweight changes of F0 females before pairing and F1 males were slightly, but significantly, lower than in Controls. No adverse effects were seen in overall parental food consumption; food conversion efficiency calculated during the 10 week pre-mating phase was considered similar to controls for both generations. The achieved dosage at all dietary concentrations for both sexes was considered satisfactory and exposure to the test material at over 500 mg/kg/day was achieved in the top dose group prior to pairing. Exposure to the test material during the key phases of gestation and peak lactation was around 800 and 1500 mg/kg/day respectively.

Estrous cycles, mating performances, fertility and fecundity were similar in all groups. Gestation length and the parturition process were unaffected by treatment. Assessment of terminal vaginal smears taken from the F0 females revealed a higher incidence of females in estrous in groups treated with the test material compared with controls. This finding was not apparent among F1 females and is considered to be of doubtful biological significance.

Litter parameters at birth of the F1 and F2 progeny and their survival to weaning showed no apparent detrimental effects to treatment. However, in both the F1 and F2 offspring at 10,000 ppm there was slight reduction in weight gain during Days 14-21 of age and this finding may be linked to the transition to direct exposure to the test material as the offspring weaned onto solid diet at the same dietary inclusion levels as their parents.

No treatment related findings were seen at macroscopic examination of the F1 offspring not selected to form the next generation or the F2 offspring killed after weaning.

Macropathology, histopathological assessment and sperm analysis for the F0 and F1 adults showed no adverse effects of treatment.

The only possible effect of treatment detected at assessment of organ weights from F1 and F2 offspring was significantly lower absolute and bodyweight relative spleen weights among F2 males and females compared with Controls. The toxicological significance of this finding is uncertain since it was not detected among F1 offspring or among F0/F1 adult animals.

The NOEL is 10,000 ppm for F0 and F1 parent animals and the NOAEL for survival and growth of offspring is considered to be 10,000 ppm.

Dietary Concentration ppm	0	1000	3300	10000
<b>F0 Parental Animals</b>				
Number females with normal estrous cycle	30	31	30	30
Number males/females paired 1:1	32	32	32	32
Number females with sperm	32	32	32	32
Pregnant females	31	30	31	30
Females with delivery	31	29	31	30
<u>Important parental findings</u>				
None to be considered related to treatment				
<b>F1 Parental Animals</b>				
Number females with normal estrous cycles	26	27	24	26
Number male/female paired 1:1	28	28	28	28
Number females with sperm	27	28	27	28
Pregnant females	26	26	26	28
Females with delivery	26	26	26	28
<u>Important parental findings</u>				
None considered to be related to treatment				
<b>F1 Litters</b>				
Implantations, assessed at termination mean	15.2	15.1	15.1	15.1
Live litters	31	29	31	30
Sex ratio day 1 after birth (as %M) mean	53.7	49.2	49.2	52.4
Number offspring Day 4 after birth (before culling) mean	10.7	12.4	12.5	12.8
Number offspring Day 4 after birth (after culling) mean	8.7	9.7	9.7	9.5
Surviving litters at Day 4 after birth	29	28	27	29
Number offspring at day 21 mean	8.8	9.5	9.6	9.6
Surviving litters at day 21 after birth	25	28	27	26
Weight at birth (g) mean				
Males	6.0	6.1	6.1	6.1
Females	5.6	5.7	5.7	5.7
Weight at weaning (g) mean				
Males	48.5	47.0	47.7	44.0
Females	46.1	44.3	44.9	41.9
<u>Important findings for F1 post-weaning progeny – to sexual maturation</u>				
None considered to be related to treatment				
<b>F2 Litters</b>				
Implantations, assessed at termination mean	15.4	14.7	13.7	13.9
Live litters	26	26	26	28
Sex ratio Day 1 after birth (as % M) mean	50.5	52.7	44.1	50.6
Surviving litters at day 4 after birth	24	22	25	25
Number offspring Day 4 after birth (before culling) mean	13.3	12.0	12.2	12.9
Number offspring Day 4 after birth (after culling) mean	9.7	9.4	9.4	9.6
Surviving litters at Day 21 after birth	24	22	25	25
Number offspring at Day 21 mean	9.1	8.5	9.3	9.5
Weight at birth (g) mean				
Males	6.2	6.1	6.4	6.3
Females	5.8	5.7	6.1	5.9

Weight at weaning (g) mean				
Males	48.6	43.2	47.4	45.1
Females	46.6	43.3	45.6	43.5
<u>Important findings for F2 progeny</u>				
Bodyweight relative spleen weights (% bodyweight) on Day 21 of age				
Males	0.4609	0.4102	0.4357	0.3736
Females	0.4802	0.4436	0.4654	0.4081

## Conclusion

Dietary administration of the test material at concentrations of 1000, 3300 or 10000 ppm was well tolerated by the F0 and subsequent F1 parental animals and their respective progeny. Predicted exposure to the test material was achieved throughout both generations of the study as judged by calculations of achieved intake and period dietary analysis. There were no obvious toxicological effects of treatment for the two generations on the general condition of the parental animals or on their fertility and reproductive performance.

Litter parameters at birth of the F1 and F2 progeny and their survival to weaning showed no apparent detrimental effects of treatment. However, in both F1 and F2 offspring at 10,000 ppm there was a slight reduction in weight gain during Days 14-21 of age and this finding may be linked to the transition to direct exposure to the test material as the offspring weaned onto solid diet at the same dietary inclusion levels as their parents.

No abnormal findings were apparent at necropsy of the F0 or F1 parental animals, the post-weaned unselected F1 offspring or the F2 offspring. Organ weight assessment of the F0 and F1 parent animals did not suggest any adverse effects on any organs. Assessment of spermatogenesis and histopathology in both parental generations showed that there were no injurious effects on these testes or other reproductive organs. Furthermore, detailed histopathological examination of these tissues from both sexes in both generations did not reveal any adverse effects of treatment. Regarding survival and growth of the offspring, there were no unequivocal adverse effects. However, a slight reduction in bodyweight gain during Days 14 to 21 (F1 and F2), likely due to the neonatal consumption of the dam's treated diet, and a slight reduction in spleen weights only observed in the F2 generation are of questionable toxicological relevance.

The evidence from this study suggested that a dietary concentration of 10,000 ppm should be considered as the No-Observed-Effect-Level (NOEL) for the F0 and F1 parent animals. The No-Observed-Adverse-Effect-Level (NOAEL) for survival and growth of the offspring is considered to be 10,000 ppm.

**RELIABILITY:** 1, Reliable without restrictions

**REFERENCES:** Benzoflex 9-88. Study of Reproductive Performance in CD Rats Treated Continuously through Two Successive Generations by Dietary Administration. Huntingdon Life Sciences. 2001.

## ADDITIONAL STUDIES

International Research and Development Corporation.

Five male and five female rats were placed in a sealed 59.1 liter glass chamber and exposed for 4 hours to a dynamic atmosphere containing Benzoflex 9-88 mist/vapor. The calculated atmospheric concentration administered was approximately 200 mg/L (mist/vapor) of Benzoflex 9-88. All the rats exposed to the 200-mg/L (mist/vapor) atmospheric concentration of Benzoflex 9-88 survived the 4-hour exposure period and the 14-day observation period. Benzoflex 9-88 would not be considered harmful if inhaled.

Benzoflex 9-88. Eye Irritation to the Rabbit. Huntingdon Life Sciences. 1998.

OECD 404. None of the treated animals showed a positive response. No corneal damage or iridial inflammation was observed. Transient hyperemia of blood vessels only was observed in all animals. These reactions had resolved in all instances by one or two days after instillation.

Benzoflex 9-88. Skin Irritation to the Rabbit. Huntingdon Life Sciences. 1998.

OECD 404. A single semi-occlusive application of Benzoflex 9-88 to intact rabbit skin for four hours elicited no dermal irritation.

Benzoflex 9-88. Skin Sensitization to the Guinea Pig. Huntingdon Life Sciences. 1998.

OECD 406. Benzoflex 988 did not produce evidence of skin sensitization (delayed contact hypersensitivity) in any of twenty test animals. Evidence of skin sensitization was produced by hexyl cinnamic aldehyde (HCA) in all ten positive controls thus confirming the sensitivity of the method.

Benzoflex 9-88. Acute Toxicity (LC<sub>50</sub>) to the Earthworm (*Eisenia foetida*). Huntingdon Life Sciences. 1998.

OECD 207. Under the conditions of this study, the LC<sub>50</sub> of Benzoflex 9-88 to the earthworm was found to be in excess of 1000 ppm. The NOEL was considered to be 1000 ppm.

Evaluation of Velsicol Benzoflex 2-45 and Benzoflex 9-88 Plasticizers for Estrogenic Activity Using Vaginal Cornification and the Uterotrophic Response in the Ovariectomized Adult Rat as the Endpoints. BIOQUAL, Inc. 1997. Benzoflex 9-88 did not induce vaginal cornification at doses of 500, 1000, 1500 or 2000 mg/kg/day for 7 days by oral gavage in ovariectomized adult Sprague-Dawley (CD) rats. Benzoflex 988 did not stimulate a uterine weight increase or an increase in the uterine weight to final body weight ratio at doses of 500, 1000, 1500 or 2000 mg/kg/day for 7 days. When compared with the vehicle control (corn oil) and positive control (diethylstilbestrol), these data demonstrate that Benzoflex 988 did not exhibit estrogenic activity up to and including the maximally tolerated dose.

Butz RG, Atallah YH, Yu CC and Calo CJ. Environmental Toxicology and Chemistry. 1, 337. 1982.

Studies conducted show Benzoflex 9-88 is rapidly metabolized and excreted from the body. It does not accumulate in rats and this behavior is expected in other mammalian systems as well. This conclusion is supported through the test where oral doses of <sup>14</sup>C-labeled Benzoflex 9-88 were rapidly absorbed through the gut in rats. Seventy percent of the administered dose was excreted through the urine within 48 hours as hippuric acid, and about 10% was observed in the feces. The half-life of radiocarbon in the blood was 3 hours and for other organs 2-15 hours.



Danish Ministry of the Environment  
Environmental Protection Agency

# Survey of selected phthalates

Part of the LOUS-review

Environmental Project No. 1541, 2014

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Sources must be acknowledged.

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# Preface

## Background and objectives

The Danish Environmental Protection Agency's List of Undesirable Substances (LOUS) is intended as a guide for enterprises. It indicates substances of concern whose use should be reduced or eliminated completely. The first list was published in 1998 and updated versions have been published in 2000, 2004 and 2009. The latest version, LOUS 2009 (Danish EPA, 2011) includes 40 chemical substances and groups of substances which have been documented as dangerous or which have been identified as problematic using computer models. For inclusion in the list, substances must fulfil several specific criteria. Besides the risk of leading to serious and long-term adverse effects on health or the environment, only substances which are used in an industrial context in large quantities in Denmark, i.e. over 100 tonnes per year, are included in the list.

Over the period 2012-2015 all 40 substances and substance groups on LOUS will be surveyed. The surveys include collection of available information on the use and occurrence of the substances, internationally and in Denmark, information on environmental and health effects, on alternatives to the substances, on existing regulation, on monitoring and exposure, and information regarding ongoing activities under REACH, among others.

On the basis of the surveys, the Danish EPA will assess the need for any further information, regulation, substitution/phase out, classification and labelling, improved waste management or increased dissemination of information.

This survey concerns selected phthalates which both attracts attention as alternatives to already regulated phthalates such as DEHP, DBP and BBP (especially DINP, DIDP and DPHP) and are used for other purposes (these include DEP). Certain phthalates were included in the first list in 1998 and have remained on the list since that time.

Of the selected phthalates for the survey only DMEP is included in LOUS 2009.

**The entry, "Certain phthalates" in LOUS includes DMEP, DEHP, DBP, BBP and DBP. The function** of the substances is described as plasticisers in several products, primarily PVC. Of these phthalates only DMEP is selected for the survey. Other substances included in LOUS 2009, DEHP, DBP, BBP and DBP, are already covered by a national ban in consumer products and they are therefore not included in the survey. Instead DEP, DIPP, DPHP, DINP and DIDP have been selected based on either reproductive toxicity, suspected endocrine disruptive effects, or use in large tonnages.

The main reason for the inclusion of DMEP in LOUS is the classification of the substance as a reproductive toxicant.

DEP is listed in Annex B of LOUS 2009 **as part of the EU 'Priority list of substances for further evaluation and their role in endocrine disruption'**. However, because the registered use in Denmark has been below 100 tonnes per year since 2001 (SPIN database) the substance is not included in LOUS 2009.

**The main objective of this study is, as mentioned, to provide background for the Danish EPA's** consideration regarding the need for further risk management measures.

## The process

The survey has been undertaken by COWI A/S (Denmark) in cooperation xx from March to October 2012. The work has been followed by an advisory group consisting of:

- Shima Dobel, Danish EPA
- Frank Jensen, Danish EPA
- Thilde Fruergaard Astrup, Danish EPA
- Bente Fabech, Danish Veterinary and Food Administration
- Ulrik Heimann, The Danish Society for Nature Conservation
- Hilde Balling, Danish Health and Medicines Authority
- Ole Grøndahl Hansen, PVC Information Council Denmark
- Jakob Zeuten, Danish Chamber of Commerce
- Lone Mikkelsen, Ecological Council, Denmark
- Inge Werther, DAKOFA
- Cathrine Berliner Boteju, The Association of Danish Cosmetics, Toiletries, Soap and Detergent Industries
- Sonja Hagen Mikkelsen, COWI

## Data collection

The survey and review is based on the available literature on the substances, information from databases and direct inquiries to trade organisations and key market actors.

The data search included (but was not limited to) the following:

- Legislation in force from Retsinformation (Danish legal information database) and EUR-Lex (EU legislation database);
- **Ongoing regulatory activities under REACH and intentions listed on ECHA's website (incl. Registry of Intentions and Community Rolling Action Plan);**
- Relevant documents regarding International agreements from HELCOM, OSPAR, the Stockholm Convention, the PIC Convention, and the Basel Convention.
- Data on harmonised classification (CLP) and self-classification from the C&L inventory database on ECHA's website;
- Data on ecolabels from the Danish ecolabel secretariat (Nordic Swan and EU Flower).
- **Pre-registered and registered substances from ECHA's website;**
- **Production and external trade statistics from Eurostat's databases (Prodcom and Comext);**
- Export of dangerous substances from the Edexim database;
- Data on production, import and export of substances in mixtures from the Danish Product Register (confidential data, not searched via the Internet);
- Data on production, import and export of substances from the Nordic Product Registers as registered in the SPIN database;
- Information from Circa on risk management options (confidential, for internal use only, not searched via the Internet)
- Monitoring data from the National Centre for Environment and Energy (DCE), the Geological Survey for Denmark and Greenland (GEUS), the Danish Veterinary and Food Administration, the European Food Safety Authority (EFSA) and the INIRIS database.
- Waste statistics from the Danish EPA;
- Chemical information from the ICIS database;
- Reports, memorandums, etc. from the Danish EPA and other authorities in Denmark;
- Reports published at the websites of:
  - The Nordic Council of Ministers, ECHA, the EU Commission, OECD, IARC, IPCS, WHO, OSPAR, HELCOM, and the Basel Convention;
  - Environmental authorities in Norway (Klif), Sweden (KemI and Naturvårverket), Germany (UBA), UK (DEFRA and Environment Agency), the Netherlands (VROM,

RIVM), Austria (UBA). Information from other EU Member States was retrieved if quoted in identified literature.

- US EPA, Agency for Toxic Substances and Disease Registry (USA) and Environment Canada.
- PubMed and Toxnet databases for identification of relevant scientific literature.

Besides, direct enquiries were sent to Danish and European trade organisations and a few key market actors in Denmark.

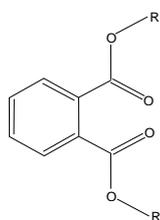


# Conclusion and summary

Over the period 2012-2015, all 40 substances and substance groups on the Danish Environmental Protection Agency's List of Undesirable Substances (LOUS) will be subject to survey and review. On the basis of the results, the Danish EPA will assess the need for any further regulation: substitution/phase out, classification and labelling, improved waste management or increased dissemination of information.

## The selected phthalates

This survey concerns certain phthalates. The term "phthalate" is generally used to identify diesters of *ortho*-phthalic acid which is an aromatic dicarboxylic acid in which the two carboxylic acid groups are located in the *ortho* position in the benzene ring. The general chemical structure is shown below where the ester side chains (R), commonly ranging from C<sub>4</sub> to C<sub>13</sub>, may be linear, branched or a combination of linear, branched, and ringed.



Generally both side chains are structurally identical as it is the case for the phthalates included in the present survey, but they may differ in other phthalates. The specific characteristics affect the physico/chemical and toxicological properties of the phthalate.

This review includes a survey of the following six *ortho*-phthalates:

Abbreviation	Substance name	EC No	CAS No
<b>DEP</b>	Diethyl phthalate	201-550-6	84-66-2
<b>DIPP</b>	Diisopentyl phthalate	210-088-4	605-50-5
<b>DPHP</b>	Bis(2-propylheptyl) phthalate	258-469-4	53306-54-0
<b>DMEP</b>	Bis(2-methoxyethyl) phthalate	204-212-6	117-82-8
<b>DINP *1</b>	1,2-Benzenedicarboxylic acid, di-C8-10-branched alkyl esters, C9-rich	271-090-9	68515-48-0
	Di-"isononyl" phthalate	249-079-5	28553-12-0
<b>DIDP *1</b>	1,2-Benzenedicarboxylic acid, di-C9-11-branched alkyl esters, C10-rich	271-091-4	68515-49-1
	Di-"isodecyl" phthalate	247-977-1	26761-40-0

Note: \*1 For DINP and DIDP two CAS numbers are listed, as the "substance", or rather mix of substances, differ slightly depending on production process used; both numbers are addressed in much of the available literature.

## **Regulatory framework**

**Harmonised classification** - DIPP and DMEP are subject to harmonised CLP classification and are classified for reproductive toxicity in category 1B. In addition DIPP is classified as acute toxic 1 in aquatic environments. Besides the harmonised classification for DIPP and DMEP, few notifiers have self-classified DEP, DINP, and DIDP. The majority do not suggest a classification and have indicated "data lacking" and "conclusive but not sufficient for classification".

**Other EU legislation** - EU legislation restricts the use of DINP and DIDP in toys and childcare articles which can be placed in the mouth by children and prohibits the use of DMEP and DIPP in cosmetic products. Specific EU labelling requirements apply to certain medical devices containing phthalates classified as reproductive toxicants in category 1 and 2. A ban on CMR substances in concentration above the classification limits in toys also apply to DMEP and DIPP. EU also restricts the use of DINP and DIDP in plastic materials intended to come into contact with food.

DIPP and DMEP are included in the Candidate List under the REACH Regulation and thus in the line for being subject to the authorisation process.

**Danish and other Member State legislation** - Denmark has issued a national ban on the import, sale and use of phthalates in toys and childcare articles for children aged 0-3 years if the products contain more than 0.05 per cent by weight of phthalates. Other national legislation addresses the maximum concentration of phthalates in water leaving the water works and in consumer tap water. In addition DEP has a defined occupational exposure limit. The Danish regulation of waste sets limits for the contents of substances with classification as reprotoxic (includes DIPP and DMEP). If the limits are exceeded the waste shall be considered as hazardous waste and be treated as such. Denmark has specific environmental taxes on PVC plasticised with phthalates.

The Swedish Chemicals Agency plans to investigate the need for national restriction on phthalates toxic to reproduction or endocrine-disrupting.

**International agreements** - Phthalates are generally not addressed directly in international agreements. However, hazardous wastes from production, formulation and use of plasticisers, falls under the provisions of the Basel Convention.

**Ecolabelling schemes** - Phthalates are addressed by EU and Nordic eco-labelling schemes, in numerous product types either directly ("**phthalates**", **DINP**, **DIDP**) or by means of their classification (DIPP, DMEP and in some cases DEP).

## **Manufacture and use of the general plasticisers DINP, DIDP and DPHP**

**Manufacture** - DINP is produced by four companies within the EU in Germany, Belgium and Italy, DIDP is produced by two companies within the EU in Belgium and Italy, and DPHP is produced in Germany and Sweden. All three substances are registered in the 100,000-1,000,000 tonnes/y band. Phthalates are not produced in Denmark.

The breakdown of the plasticiser market in Western Europe, USA and Asia is estimated as follows: DINP/DIDP represented 63% of the plasticiser market in Western Europe in 2010, whereas it only represented 33% of the market in the USA and 21% of the market in Asia. The total global market for plasticisers was estimated at 6 million tonnes. Of the global plasticiser market, all phthalates represented 84%. The on-going substitution of the traditional main general plasticiser DEHP has not reached the same level in Asia as in Europe and the USA. Also, non-phthalate plasticiser and "**linears/other phthalates**" are used to a higher extent in the USA than in Europe. According to the European trade organisation ECPI, DINP/DIDP now (2013) represents 83% of the plasticiser market in the EU.

The total plasticiser content of both imported and exported articles into and out of the EU has been estimated at about 170,000 t/y. The import of the general plasticisers DINP/DIDP (should likely be considered as including the third key general plasticiser DPHP) in articles was estimated at approximately 50,000 tonnes, and the export at 125,000 tonnes. Of the import into the EU, 51% of the tonnage of the articles originates from China, whereas only 9% of the imported DINP/DIDP (on their own) is estimated to originate from China. An overview of the extra-EU import/export by article type is given in the report.

**Application and consumption in the EU** – A total breakdown of the consumption by application in the EU of the three phthalates is not available. COWI *et al.* (2012) produced a best available scenario for the breakdown of the consumption by 2015 based on the available data from industry. The major article types were wires and cables, film and sheet, flooring, and various other coated products.

DINP, DIDP and DPHP are typically used as primary plasticisers in PVC, sometimes in combination with other plasticisers. The actual concentrations are quite variable and depend on the desired properties of the final PVC. Actual analyses of plasticisers in different products demonstrate that, for the same product, often different combinations of plasticisers are found. The combination of plasticisers in a PVC material is partly governed by the desired performance characteristics of the plasticised material and partly by the desired process parameters in the manufacturing of the PVC materials. Typical concentrations of DIDP in flexible PVC applications are reported to be around 25-50%, and the same seems to be the case for DINP.

DINP is a general plasticiser, which is applied in many products as the direct alternative for DEHP, the formerly major general PVC plasticiser. As such DINP has a high consumption and is probably the plasticiser which can be found in most flexible PVC products from the EU today. DINP has a wide range of indoor and outdoor applications. DINP is a commonly used plasticiser, 95% of which is used for flexible PVC used for construction and industrial applications, and durable goods (wire and cable, film and sheet, flooring, hoses and tubing, footwear, toys, etc.). More than half of the DINP used in non-PVC applications involves polymer-related uses (e.g. certain rubbers). The remaining DINP is used in inks and pigments, certain adhesives and sealants, paints and lacquers (where it also acts as a plasticiser) and lubricants.

DIDP is a common phthalate plasticiser, used primarily to soften PVC. DIDP has properties of volatility resistance, heat stability and electric insulation and is typically used as a plasticiser for heat-resistant electrical cords, leather for car interiors, and PVC flooring. Non-PVC applications are relatively small, but include use in anti-corrosion and anti-fouling paints, sealing compounds and textile inks.

DPHP is often used as an alternative to DIDP because only minor compound changes are needed to adapt wire formulations for example to DPHP. It is used for automotive and outdoor applications (roofing, geo-membranes, tarpaulins, etc.). Almost all DPHP is used as a plasticiser to make PVC soft and flexible.

**Application and consumption in Denmark** in 2012 of phthalates on their own was still dominated by DEHP (C8; net import around 800-1000 tonnes/y), but with the general C9-C10 plasticisers types including DINP and DIDP/DPHP (net imports around 600-800 tonnes/y) as a major follow-up.

The latest available aggregate survey of annual general phthalate consumption by application for Denmark covers 2005-2007 and is based on the revenues from the Danish environmental tax on PVC plasticised with phthalates, in combination with other data on the application of phthalates.

The major article groups as regards phthalate consumption were wires and cables (1.900 tonnes/y), tubes and hoses (630 t/y), and gloves and rainwear (540 t/y).

According to the Danish Product Register DINP is clearly the major registered phthalate in professional products marketed in Denmark, while the registered consumption of DIDP is moderate and the consumption of the other phthalates covered is minimal, as expected. DIPP is not registered in the Product Register. The Product Register only covers professional uses within certain criteria and it cannot be considered to fully cover the consumption pattern in Denmark. Among others, it does not include non-chemical articles such as wire and cable, shoe-soles, clothing, toys, etc., which constitute major parts of the Danish consumption of phthalates. Major registered uses which can be mentioned with respect for confidentiality are adhesives and binding agents, fillers (likely to be understood as including sealants), paints, lacquers and varnishes. Some other dominant applications across most substances cannot be mentioned due to confidentiality.

### **Manufacture and use of DIPP, DEP and DMEP**

The aggregated information available on the use of DEP, DIPP and DMEP is scarce compared to DINP and DIDP, and the few reviews available are mostly relatively old and with little information about use and alternatives.

**DIPP** is registered by one company in the 100-1000 tonnes/y band (a producer of explosives importing DIPP), and is not produced in the EU anymore. According to the registration of the substance, DIPP is registered by a company which produces explosives as well as charges - so-called propellants - for ammunition. DIPP may also be used as plasticiser for PVC products and other polymers due to their similar structure and physicochemical properties, but this use is not registered.

**DEP** is registered by 5 companies in the 1000-10,000 tonnes/y band; among the companies is one of the major manufacturers of phthalates. DEP is a specialty polymer plasticiser and a solvent for cosmetics and personal care products, among others. DEP is reported to have been used as a plasticizer in consumer products, including plastic packaging films, cosmetic formulations, and toiletries, and in medical treatment tubing. Examples of uses in cosmetics and personal care products include hair sprays, nail polishes, and perfumes, primarily as a solvent and vehicle for fragrances and other cosmetic ingredients and as an alcohol denaturant. DEP is however not mentioned as an accepted denaturant in EU and Danish rules from 2013 on tax exemption for denatured alcohol. Other applications include as a camphor substitute, plasticizer in solid rocket propellants, wetting agent, dye application agent, diluent in polysulfide dental impression, and surface lubricant in food and pharmaceutical packaging, in preparation of pesticides. Polynt, one of the registrants, markets DEP for the following uses: Cellulose, flavours & fragrances, cosmetics, pharma. An anonymous source indicates current DEP use as plasticiser in EU. ECPI does not have information of its use as a plasticiser.

**DMEP** is not registered under REACH and is reported not to be produced in Europe anymore. DMEP is a specialty plasticiser which can be used in a number of polymers. The general global applications of DMEP have included its use as a plasticiser in the production of nitrocellulose, acetyl cellulose, PVA, PVC and polyvinylidene chloride intended for contact with food or drink. DMEP is giving these polymeric materials good light resistance. Further, it is used as a solvent. Only limited information regarding DMEP in consumer products in the European marketplace has been identified. There is no information whether the substance is still in use in articles on the EU market.

**Application and consumption in Denmark** - Danish net imports of DEP, DIPP and DMEP is recorded along with other phthalates in the trade statistics and the group is traded in much lower quantities than the general plasticisers DINP and DIDP (net import of the whole group is around 90 tonnes/y).

## Waste management

The quantities of waste generated from the use of the covered phthalates as plasticisers in production processes (formulation and conversion) are not well described. Releases to waste are expected to occur with disposal of emptied packaging, from handling of raw materials and intermediates, and as cut-offs in the conversion process, where the final products (articles) are produced. For sealants, paints and non-polymer uses, the “conversion” situation includes application on construction sites, etc. and here, a higher fraction of the material may be disposed as waste due to the less well defined conditions.

The amounts of flexible PVC in articles subject to the Danish tax on flexible PVC with phthalates are roughly estimated at 18,000 tonnes/year. Not all product groups containing flexible PVC are covered, but the figure is deemed to include most of the flexible PVC consumption which is plasticised with phthalates. The phthalates-containing waste fractions with biggest phthalates contents are cable and wire, tube and hoses, gloves and rainwear, roof plates, film, sheets and tape. The non-PVC uses of the phthalates represent much smaller phthalate amounts and at lower phthalate concentrations.

Ranges and averages of concentrations of the general plasticisers DINP and DIDP in articles are summarised in the report.

There are no known recycling schemes for flexible PVC in Denmark and according to the Danish waste order, non-recycled PVC should be collected separately and be deposited. Consumers however generally have difficulties in separating specific waste fractions, as flexible PVC is part of many ordinary consumer products such as rainwear, boots, and packaging, for which the content of PVC is not obvious to the consumer. Consequently much consumer waste with flexible PVC is deemed disposed of to municipal waste to be incinerated.

## Environmental effects and exposure

None of the substances are considered to meet the criteria for classification as PBT or vPvB.

**DIDP and DINP** - A number of notifiers have provided self-classifications of DINP and DIDP. About half of the notifiers have classified DINP Aquatic Acute 1 + Aquatic Chronic 1 while the other half have classified it as Aquatic Chronic 4. DIDP has been classified Aquatic Acute 1 or Aquatic Acute 1 + Aquatic Chronic 1 by approx. half of the notifiers and Aquatic Chronic 2 by the other half. DIDP and DINP resemble each other much with regard to chemical structure and relevant physical-chemical properties such as water solubility, Log Kow and sorption constants, and therefore also with regard to effect properties and fate in the environment. As the water solubility of both substances is very low (sub-ppb) it has only been possible to conduct tests at higher concentrations (sub-ppm) using emulsions.

No significant acute or chronic toxic effects were observed in any tests on either of the two substances except for a “slight but statistically significant increase in egg viability in the DINP treated group when compared to the no treatment control” in a two-generation feeding study with medaka (*Oryzias latipes*). This observation did not affect the overall conclusion by EC (2003a and b) that DINP and DIDP are not considered to have adverse effects on the organisms (aquatic and terrestrial) studied. With regard to possible endocrine disruption properties it was concluded that “there is apparently no impact on any population parameter from chronic exposure to DIDP on fish”.

The total release of DINP from wastewater treatment plants to the marine areas surrounding Denmark was estimated at around 135 kg/year.

**DIPP** is the only one of the phthalates in this study that has an EU harmonised environmental classification, namely Aquatic Acute 1 (H400).

**DMEP** is much more water soluble and a lowest experimental acute LC50 = 56 mg/l was determined for *Daphnia magna*. QSAR modelling results indicate acute LC50 for fish in the range 4.3 – 452 mg/l and a lowest chronic NOEC = 14 mg/l.

Only few environmental effect data are available on the remaining substances. However, the available data do not indicate that any of them are very toxic to aquatic organisms.

All the phthalates appear to be readily biodegradable (with DMEP as a possible exception) while abiotic processes such as hydrolysis and photolysis do not appear to be of any significance. A BCF (bioconcentration factor) <14.4 for DIDP in fish has been determined experimentally but is considered to be too low. Instead the BCF = 860 for DEHP is recommended by EC (2003a and b) for use in risk assessment.

### **Human health hazards and exposure**

The main reason for concern in relation to phthalates and health hazards are adverse effects on the reproductive system of in particular male animals and endocrine disruption.

DIPP and DMEP are subject to harmonised health classification and both substances are classified for reproductive toxicity in Category 1B. The four other phthalates selected for the study are self-classified by industry. No classification is suggested for DPHP and only few of the notifiers have self-classified DEP, DINP, and DIDP based on a number of adverse effects. The reason for not classifying the substances is typically lack of sufficient data.

The six phthalates are generally of low acute toxicity via all routes and with low skin and eye irritation potential. There are case reports referring to skin sensitisation to plastic articles in patients with dermatitis, e.g. in relation to DEP, but in general phthalates are not considered sensitising. Of the selected phthalates, DEP has been evaluated against the proposed Danish criteria for endocrine disruptors as a suspected endocrine disrupter in category 2a. The Danish EPA has suggested that also DINP be evaluated against agreed criteria for endocrine disruption.

No significant exposure to DMEP is expected as the substance is not registered for use in the EU. DEP has not been identified as an ingredient in cosmetic and personal care products in Denmark but may be imported from other countries and an exposure of DEP could therefore happen.

Occupational exposure is primarily expected via dermal contact in relation to handling of flexible PVC products, formulation and use of sealants and paints, and contact with cosmetics and personal care products. Direct consumer exposure is expected from dermal contact with various flexible PVC products, wires and cables and in particular imported cosmetics and personal care products. Indirect exposure of consumers occurs in relation to the indoor climate via dust and air.

In a newly published study with results from human biomonitoring on a European scale, all 17 participating countries analysed among others metabolites of some phthalates including DEP, DINP, and DIDP, in urine. Samples were taken from children aged 6-11 years and their mothers aged 45 years and under. The results showed higher levels in children compared to mothers, with the exception of MEP which is not regulated and is mainly used in cosmetics. A possible explanation **is children's relatively higher** exposure: they are more exposed to dust, playing nearer the ground, and have more frequent hand-to-mouth contact; and they eat more than adults in relation to their weight. Consumption of convenience food, use of personal care products and indoor exposure to vinyl floors and wallpaper have all been linked to higher phthalate levels in urine.

DINP and DIDP have been reviewed by ECHA in relation to the ban of these two phthalates in toys and childcare articles (entry 52 in Annex XVII to REACH). It was concluded that a risk from the mouthing of toys and childcare articles with DINP and DIDP cannot be excluded if the existing restriction were lifted. No further risks were identified. These conclusions were supported by **ECHA's Committee for Risk Assessment**.

The ECHA review also addressed the need for considering combined effects of phthalates and other substances with same mode of action in the risk assessment of the substances, e.g. in relation to antiandrogenic properties.

### **Alternatives**

When considering the possibilities for substitution of specific plasticisers, it is important to note that a vast number of organic substances can act as plasticisers in polymers. Contrary to many other substitution efforts, plasticising is not dependent on highly specific chemical bonding, but rather on a series of characteristics which the plasticiser must have to meet functional demands. Finding the good plasticiser is therefore not a distinct theoretical science, but rather an empiric process supported by a large number of measuring methods designed for this purpose.

Many families of plasticisers are available. Most of them have however certain chemical functionalities in common with the phthalates family. They are typically branched, quite "voluminous" molecules, with many oxygen bonds (= carbonyl groups). Many have benzyl rings or the hydrogenated counterpart, cyclohexane.

**DINP, DIDP and DPHP** - Most available information on alternatives to primary plasticisers like DINP, DIDP and DPHP has been reviewed as part of the search for substitutes for the classic general plasticiser DEHP (to which DINP and to a lesser extent DIDP and DPHP are the key alternatives today). Several alternatives are however available, both *ortho*-phthalates (with basic structures similar to DINP, DIDP and DPHP), *tere*-phthalates and non-phthalate plasticisers. The one non-*ortho*-phthalate with the widest coverage for traditional DEHP applications is likely its terephthalate counterpart DEHT, which has the same chemical composition, but a different form, and therefore different environmental characteristics. No single non-*ortho*phthalate plasticiser seems to be identified which covers all traditional applications of DEHP (and thus DINP, its main alternative). Together, however, the reviewed non-*ortho*phthalates cover most or all the key applications. The non-*ortho*phthalate alternatives best described include: DINCH, ASE, DGD, DEGD (in mixtures), COMGHA, DINA, ATBC and GTA. While most of these have their own environmental issues, many of them are deemed to have overall better environmental performance than DEHP based on the available information. A direct environment and health comparison of DINP, DIDP and DPHP and their alternatives has not been found.

**DEP, DIPP and DMEP** - A wide search of alternatives to the phthalates DEP, DIPP and DMEP has not been possible within this project. For **DEP's use as a denaturant, many alternatives exist**, and DEP is not a part of the 2013 list of denaturants accepted for attaining exemptions from alcohol tax in EU Member States (including Denmark). Based on a 2010 review of alternatives to DEHP, DBP and BBP, there are clear indications that non-*ortho*phthalate alternatives to key applications of DEP, DIPP and DMEP are available. Examples include GTA, ATBC, COMGHA, DINCH, DINA, DGD, ASE and a mix with DEGD as a major component.

**Alternative materials** - Focusing on alternative materials with characteristics similar to the characteristics of flexible PVC, the following flexible polymers are among the principal alternatives to flexible PVC (Maag *et al.*, 2010): Ethylene vinyl acetate (EVA), Low density polyethylene (LDPE), polyolefin elastomers, polyurethanes (may in some cases be plasticised with phthalates), isobutyl rubber, EPDM rubber (may in some cases be plasticised with phthalates) and siliconerubber.

## **Data gaps**

In summary, the use of the general plasticisers DINP and DIDP is well described, even an actual distribution on end-products is not available for Denmark. DPHP is less well described, but has functional characteristics similar to DIDP and can be used as an alternative to DIDP. As regards DEP, the registered tonnages and other information indicate that it still has a significant use in the EU, but more details about the use are needed. DIPP seem to have a very narrow application range in the EU, and it is questionable if much more information can be found. DMEP is still not registered, indicating that its future use in the EU may be very limited or absent.

In conclusion, the following major data gaps are identified:

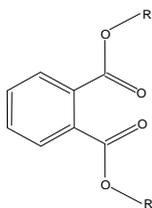
- More specific information on the consumption of DINP, DIDP, DPHP and DEP by application with special focus on DINP and DEP due to their human health characteristics.
- Investigation of the fate of plasticised PVC waste in Denmark, including collection rates, for both consumer waste and waste from professionals.
- Information on direct alternatives to DEP by major applications, in view of its significant production range and related exposure potential.
- Direct comparisons of DINP, DIDP and DPHP with available alternatives for relevant applications.
- Identification of the most important metabolites to be used as a biomarker for human exposures.
- Limited information on endocrine specific end-points for some phthalates
- Further documentation of the effects of cumulative exposure to e.g. antiandrogenic and estrogenic substances at different levels.

# Dansk resumé

I perioden 2012-2015 vil alle 40 stoffer og stofgrupper på Miljøstyrelsens liste over uønskede stoffer (LOUS) blive kortlagt, og Miljøstyrelsen vil på grundlag af resultaterne vurdere behovet for yderligere regulering, substitution/udfasning, klassificering og mærkning, forbedret affaldshåndtering eller øget udbredelse af information.

## De udvalgte ftalater

Denne undersøgelse vedrører udvalgte ftalater. Ordet ftalat bruges i almindelighed om diester af *ortho*-ftalsyre, som er en aromatisk dicarboxylsyre hvor de to carboxylsyregrupper sidder i *ortho*-positionen på benzenringen, dvs. lige ved siden af hinanden. Den generelle struktur for *ortho*-ftalater er vist nedenfor, hvor ester sidegrenene (R) – normalt C4-C13 – kan være lineære eller forgrenede, evt. også med yderligere ringstrukturer.



I de fleste tilfælde er sidegrenene identiske, hvilket er tilfældet for ftalaterne omfattet af dette studie, men de kan være forskellige. Den specifikke sammensætning af stoffet påvirker dets fysiske-kemiske og toksikologiske egenskaber.

Denne kortlægning omhandler følgende seks *ortho*-ftalater:

Forkortelse	Stofnavn	EC Nr	CAS Nr
<b>DEP</b>	Diethylftalat	201-550-6	84-66-2
<b>DIPP</b>	Diisopentylftalat	210-088-4	605-50-5
<b>DPHP</b>	Bis(2-propylheptyl)ftalat	258-469-4	53306-54-0
<b>DMEP</b>	Bis(2-methoxyethyl)ftalat	204-212-6	117-82-8
<b>DINP</b> *1	1,2-Benzendicarboxylsyre, di-C8-10-forgrenede alkyl estre, C9-rige	271-090-9	68515-48-0
	Di-"isononyl" ftalat	249-079-5	28553-12-0
<b>DIDP</b> *1	1,2-Benzendicarboxylsyre, di-C9-11-forgrenede alkyl estre, C10-rige	271-091-4	68515-49-1
	Di-"isodecyl" ftalat	247-977-1	26761-40-0

Note: \*1 DINP og DIDP har hver to CAS numre, da "stoffet", eller rettere stofblandingen er lidt forskellig afhængig af hvilken proces, der er brugt ved dets produktion. Begge numre er brugt i megen af den tilgængelige litteratur.

## Regulering

Der er vedtaget harmoniserede klassifikationer for DIPP (Reprotoxic 1B; H360FD and Aquatic Acute; H400) og DMEP (Reprotoxic 1B; H360Df).

Foruden den harmoniserede klassificering af DIPP og DMEP er der udført selv-klassificering for en række effekter for DEP, DINP og DIDP af et mindretal af anmelderne. Mange anmeldere har angivet, at data ikke er tilstrækkelige til en klassificering, som årsag til at stofferne er notificeret uden klassificering.

Ifølge EU lovgivningen er anvendelsen af DINP og DIDP i legetøj og artikler til børnepleje, der kan tages i munden, samt i plastik anvendt til fødevarekontakt begrænset og DMEP og DIPP er forbudt i kosmetiske produkter. Der er særlige mærkningskrav for visse typer medicinsk udstyr, som indeholder ftalater, der er klassificeret som toksiske for reproduktionen i kategori 1 og 2, dvs. DMEP og DIPP. Et forbud mod CMR-stoffer i legetøj i koncentrationer over klassificeringsgrænsen omfatter også DMEP og DIPP.

I Danmark er der forbud mod import, salg og anvendelse af legetøj og børneartikler, som indeholder mere end 0,05 vægt-% ftalater, til børn under 3 år. Anden regulering sætter grænser for afløbsvand fra spildevandsrensningsanlæg og drikkevand. For DEP, DINP og DIDP er der etableret grænseværdier for arbejdsmiljøet. Affaldsbekendtgørelsen sætter grænser for indhold af stoffer, der er klassificeret som skadelige for reproduktionen (det gælder her DMEP og DIPP). Affald med højere indhold er defineret som farligt affald og skal behandles derefter. Danmark har særlige afgifter på PVC blødgjort med ftalater.

DIPP og DMEP anses som særlig problematiske stoffer (SVHC) og er optaget på Kandidatlisten under REACH reguleringen.

Den svenske Kemikalieinspektion har planer om at undersøge behovet for national regulering af ftalater, der er toksiske for reproduktionen eller har hormon-forstyrrende effekter.

Internationale aftaler - Ftalater er generelt ikke nævnt direkte i internationale miljøaftaler. Farligt affald fra produktion, for mulering og anvendelse af plastik er dog omfattet af Basel konventionen.

**Miljømærkning** - Brug af ftalater, eller enkeltstoffer herunder, er ikke tilladt i en lang række produkttyper omfattet af det nordiske Svanemærke og EU Blomsten. Ftalater (som stofgruppe), DINP og DIDP er direkte nævnt i mærkningskriterierne for mange af disse produkttyper, mens DIPP, DMEP og i visse tilfælde DEP er omfattet via deres klassificering.

## Fremstilling og anvendelse

Der produceres ikke ftalater i Danmark, men EU som helhed er en stor eksportør af (*ortho*-) ftalater.

## Fremstilling og anvendelse af de generelle blødgørere DINP, DIDP og DPHP

**DINP** produceres af 4 virksomheder i EU i Tyskland, Belgien og Italien, **DIDP** produceres af 2 virksomheder i EU i Belgien og Italien, mens **DPHP** fremstilles i Tyskland og i Sverige. Alle 3 stoffer er registreret i 100.000-1.000.000 tons/år intervallet.

**Fordelingen af blødgører-markedet i Vesteuropa, USA og Asien** er anslået som følger af en afkilderne på området: DINP/DIDP repræsenterede i 2010 63% af blødgører-markedet i Vesteuropa, mens det kun udgjorde 33% i USA og 21% i Asien. The globale blødgører-marked udgjorde i alt ca. 6 millioner tons, hvor af ftalater udgjorde 84%. Den igangværende substitution af DEHP har ikke nået samme niveau i Asien som i Europa og USA. Desuden anvendes ikke-ftalater

**blødgørere samt "lineære/andre ftalater" i højere grad i USA end i Europa. Det skal bemærkes, at ifølge ECPI repræsenterer DINP/DIDP nu 83% af markedet i EU.**

Dansk netto-import i 2012 af ftalater (stofferne alene) var fortsat domineret af DEHP (C8, netto-import 800-1000 t/år), men med C9-C10 blødgørerne (DINP-DIDP/DPHP) på en andenplads (600-800 t/år).

Det totale blødgører-indhold i henholdsvis importerede og eksporterede artikler ind og ud af EU er anslået til omkring 170.000 t/år. Importen af de generelle blødgørere DINP/DIDP (skal i dag nok opfattes som inkluderende DPHP) i artikler er blevet anslået til omkring 50.000 t/år, mens eksporten var ca. 125.000 t/år. Af importen ind i EU kom 51% af vare-tonnagen fra Kina, mens kun 9% af importen af DINP/DIDP (som stofferne) kom fra Kina. En oversigt over EU import og eksport per artikeltype er vist i rapporten.

**DINP, DIDP og DPHP** anvendes typisk som primære blødgørere i PVC, som med tiden i kombination med andre blødgørere. De konkrete koncentrationer varierer en del og afhænger af hvilke egenskaber, der ønskes for den færdige PVC blanding. Kemiske analyser viser, at selv for den samme produkttype kan der findes forskellige kombinationer af blødgørere. Typiske DIDP koncentrationer angives at være 25-50 vægt-%, og det samme synes at være tilfældet for DINP.

**DINP** er en generel blødgører, der anvendes i mange produkter, som det direkte alternativ til DEHP, der tidligere var den dominerende blødgører. Der er således et stort forbrug af DINP og denne blødgører er nok den, der kan findes i de fleste PVC-produkter produceret i EU i dag. DINP anvendes således i en lang række sammenhænge både indendørs og udendørs. 95% af forbruget anvendes til blødgøring i byggeri og industri, herunder varer som kabler og ledninger, film og ark, gulvbelægning, rør og slanger, fodtøj, legetøj med mere. Mere end halvdelen af den DINP, der ikke anvendes til blød PVC, bliver brugt til andre polymerer (for eksempel visse gummytyper). Resten anvendes i blæk, pigmenter, visse lime og fugemasser, maling og lak (hvor den også fungerer som blødgører) og i smøremidler.

**DIDP** er en almindelig blødgører, der hovedsageligt anvendes til PVC. DIDP er modstandsdygtig over for dampning og varme og den anvendes typisk som blødgører i el-ledninger, betræk i biler samt PVC-gulvbelægning. Andre anvendelser end til PVC er relativt begrænsede, men omfatter anti-korrosions- og antifouling maling, fugemasser og blæk til tekstiler.

**DPHP** anvendes ofte som alternativ til DIDP, fordi kun mindre ændringer i PVC-formuleringerne er nødvendige, for eksempel til el-ledninger. DPHP bruges til biler og udendørs anvendelser (tagmembraner, geo-membraner, presenninger mv.). Næsten al DPHP anvendes til blød PVC.

Et fuldt overblik over **forbruget af disse tre ftalater** opdelt efter anvendelse findes ikke. COWI *et al.* (2012) udarbejdede dog et overslags-scenarie for forbrugsfordelingen baseret på tilgængelige data fra industrien. De væsentligste artikeltyper var el ledninger og kabler, film og ark, gulvbelægninger samt en række andre coatede produkter.

Den seneste tilgængelige oversigt over det generelle årlige ftalatforbrug fordelt på anvendelser i Danmark er fra 2005-2007 og er baseret på den indkomne miljøafgift på ftalatholdige PVC produkter, i kombination med andre data om anvendelsen af ftalater. De største artikelgrupper hvad angår ftalatforbrug var el-ledninger og kabler (1.900 t ftalater/år), rør og slanger (630 t/år) og handsker og regntøj (540 t/år).

Ifølge det danske Produktregister er DINP helt klart den væsentligste ftalat i professionelle produkter, der markedsføres i Danmark, mens det registrerede forbrug af DIDP er moderat, og forbruget af de andre udvalgte ftalater som forventet er minimalt. DIPP er ikke registreret i

Produktregisteret. Produktregisteret dækker kun er hvervsmæssig brug inden for visse kriterier, og det kan ikke anses for fuldt ud at dække forbruget i Danmark. Blandt andet omfatter det ikke artikler såsom ledninger og kabler, skosåler, tøj, legetøj osv., der udgør væsentlige dele af det danske forbrug af ftalater. Større registrerede anvendelser, der kan nævnes uden at krænke for troligheden, er lim og bindemidler, fyldstoffer (formentlig om fattende fugemasser), maling, lak og fernis. Andre vigtige anvendelser kan ikke nævnes på grund af for trolighed.

### **Fremstilling og anvendelse af DEP, DIPP og DMEP**

**DIPP** er registreret af én virksomhed i 100-1.000 t/år intervallet (en producent af sprængstoffer, der importerer DIPP), og produceres ikke i EU mere. DEP er registreret af fem virksomheder i 1.000-10.000 t/år intervallet. Blandt virksomhederne er en af de større producenter af ftalater. DMEP er ikke registreret og det angives at den ikke produceres mere i EU.

**Dansk netto-import** af DEP, DIPP og DMEP er opgjort sammen med andre ftalater i udenrigsstatistikken og den gruppe handles i meget lavere mængder end de generelle blødgørere DINP/DIDP (netto-importen af hele stofgruppen er ca. 90 t/år).

Den eksisterende sammenfattede information om anvendelsen af DEP, DIPP og DMEP er sparsom sammenlignet med DINP og DIDP, og de få eksisterende sammenfatninger er for det meste relativt gamle og kun med lidt information om anvendelser og alternativer.

**DEP** er en specialblødgører til polymerer og et opløsningsmiddel til kosmetik og produkter til personlig pleje. DEP er tidligere anvendt som blødgører i forbrugerprodukter såsom pakkefilm af plast, kosmetikblandinger, toiletartikler og i medicinske slanger. Eksempler på kosmetik og personlige plejeprodukter er hårspray, neglelak og parfumer, hvor det kan være anvendt som opløsningsmiddel, som bærer af duftstoffer og til denaturering af alkohol. DEP er imidlertid ikke nævnt blandt de stoffer, der i EU og Danmark fra 2013 er accepteret som denatureringsmidler, der giver fritagelse for nationale alkoholafgifter. En anonym kilde indikerer, at DEP aktuelt anvendes som blødgører i EU. ECPI har ikke kendskab til en anvendelse af DEP som blødgører. Andre nævnte anvendelser er som alternativ til kamfer, som blødgører i ladninger i ammunition, slipmiddel, hjælpestof til indfarvning, opløsningsmiddel i tandaftryk af polysulfider, overflademiddel til pakninger af fødevarer og farmakologiske produkter, samt til fremstilling af pesticider. Polynt, en af registranterne, markedsfører DEP til følgende anvendelser: Cellulose, smags- og duftstoffer, kosmetik og farmakologi.

**DIPP** er registreret af en producent af sprængstoffer og ladninger – såkaldte drivmidler ("propellants") – til ammunition. DIPP kan muligvis også anvendes som blødgører i PVC og andre polymerer i kraft af dets lighed i struktur og fysisk-kemiske egenskaber, men denne anvendelse er ikke registreret.

**DMEP** er en specialblødgører, som kan anvendes i en række polymerer. DMEP har globalt set blandt andet været brugt som blødgører i produktion af nitrocellulose, acetylcellulose, PVA, PVC og polyvinylidenklorid til fødevarer og drikkevarer. DMEP giver disse polymermaterialer god lysresistens. Det er desuden anvendt som opløsningsmiddel. Kun meget begrænset information om DMEP i forbrugerprodukter på det europæiske marked er fundet. Der er ingen information om, hvorvidt det stof stadig anvendes på det europæiske marked.

Ifølge det Danske Produktregister er **DINP** klart den mest anvendte ftalat i produkter til professionelle på det danske marked, mens det registrerede forbrug af **DIDP** er moderat og forbruget af de **andre omfattede ftalater** er marginalt, som forventet. DIPP er ikke registreret i Produktregisteret. Produktregisteret dækker kun professionelle anvendelser inden for visse kriterier, og det kan ikke anses som dækkende for det danske forbrugsmønster. Blandt andet er sådanne ikke-kemiske artikler som ledninger og kabler, skosåler, tøj, legetøj, osv., som udgør store

dele af det danske forbrug af ftalater, ikke dækket. Væsentlige registrerede, ikke-for trolige anvendelser er lime og bindemidler, spartelmasser (sandsynligvis skal det opfattes som også omfattende fugemasser), maling og lak. Visse større anvendelser på tværs af de fleste af stofferne kan ikke nævnes på grund af krav om fortrolighed.

### **Affaldshåndtering**

Mængderne af affald, der frembringes fra brug af stofferne som blødgørere i produktionsprocesser (formulering og konvertering), er ikke velbeskrevet. Affald forventes at frembringes ved bortskaffelse af tømt emballage, fra håndtering af råmaterialer og intermediære forbindelser og som afskær i konverteringsprocessen, hvor slutprodukterne fremstilles. For fugemasser, maling og visse ikke-polymer anvendelser sker "konverteringen" på byggepladser med videre, og her kan større andele af materialet gå tabt som affald på grund af de mindre veldefinerede forhold.

Mængden af blød PVC i artikler som er underlagt dansk afgift på ftalater i blød PVC er groft anslået til 18.000 t/år. Ikke alle varegrupper med indhold af blød PVC er dækket af opgørelsen, men denne mængde anses for at dække størstedelen af forbruget af PVC blødgjort med ftalater. De ftalatholdige affaldsfraktioner, der repræsenterede de største ftalatindehold, var ledninger og kabler, rør og slanger, handsker og regntøj, tagplader, film og ark samt tape. Andre anvendelser af ftalaterne end PVC udgjorde langt mindre mængder ftalater og i lavere ftalatkoncentrationer. Intervaller og gennemsnit for koncentrationer af de generelle blødgørere DINP og DIDP i artikler er opsummeret i rapporten.

Der findes ikke genanvendelsesordninger for blød PVC i Danmark og ifølge Affaldsbekendtgørelsen skal PVC, der ikke genanvendes, indsamles separat og deponeres. For brugerne har imidlertid generelt svært ved at separere specifikke affaldsfraktioner da blød PVC er en del af mange almindelige forbrugerprodukter som regntøj, støvler, indpakning, osv., hvor indholdet af PVC ikke indlysende. Det vurderes derfor, at meget affald med blød PVC går til affaldsforbrænding.

### **Miljøeffekter og eksponering**

**DIPP** er den eneste ftalat i dette studie, der har en harmoniseret miljøklassifikation, nemlig Aquatic Acute 1 (H400). En række anmeldere har angivet selvklassifikation for **DINP og DIDP**. DINP er af ca. halvdelen af anmelderne klassificeret som Aquatic Acute 1 plus Aquatic Chronic 1, mens den anden halvdel har klassificeret den som Aquatic Chronic 4. DIDP er klassificeret Akvatisk Akut 1 eller Akvatisk Akut 1 + Akvatisk Kronisk 1 af ca. halvdelen af anmelderne og Akvatisk Kronisk 2 af den anden halvdel.

**DIDP og DINP** ligner hinanden meget hvad angår kemisk struktur og relevant fysisk-kemiske egenskaber såsom vandopløselighed, Log Kow og adsorptionskonstanter, og derfor også hvad angår effekter og skæbne i miljøet. Da vandopløseligheden af begge stoffer er meget lav (under pbb-niveau) har det kun været muligt at teste højere koncentrationer (under ppm niveau) ved hjælp af emulsioner.

Ingen signifikante akutte eller kroniske effekter blev observeret i nogen tests af de to stoffer, **undtagen en "lille men statistisk signifikant stigning i ægs overlevelsessevne i den DINP-behandlede gruppe ved sammenligning med kontrolgruppen" i et to-generations madningsforsøg med medaka (*Oryzias latipes*; japansk risfisk)**. Denne observation påvirkede ikke hovedkonklusionen i EU's risikovurdering af stofferne (EC, 2003a og b) at DINP og DIDP ikke anses for at have negative effekter på de studerede organismer (akvatiske og terrestriske). Med hensyn til hormonlignende egenskaber blev det konkluderet, **at** "der er tilsyneladende ingen påvirkning af populationsparametre ved kronisk eksponering af fisk med DIDP".

Det totale udslip af DINP fra spildevandrensingsanlæg til havområderne der omgiver Danmark er anslået til omkring 135 kg/år.

**DMEP** er meget mere vandoplyselig og en lavest eksperimentel akut LC50 for fisk på 56 mg/l blev fundet for *Daphnia magna*. QSAR model resultater indikerer en akut LC50 for fisk i intervallet 4.3 – 452 mg/l og en laveste kronisk NOEC på 14 mg/l.

Kun få miljøeffektdata er tilgængelige for de øvrige stoffer. De tilgængelige data indikerer dog ikke at nogen af dem er meget giftige for vandlevende organismer.

Alle de omfattede ftalater lader til at være let bionedbrydelige (med DMEP som en mulig undtagelse) mens abiotiske processer såsom hydrolyse og fotolyse tilsyneladende ikke har nogen videre betydning. En BCF på <14,4 for DIDP in fisk er blevet fastlagt eksperimentelt, men anses **som værende for lav. I stedet er BCF'en = 860** for DEHP anbefalet af EC (2003a and b) til brug i risikovurderinger.

Ingen af de omfattede stoffer anses for at opfylde kriterierne for klassifikation som PBT eller vPvB.

### **Humantoksiske effekter**

Den væsentligste årsag til bekymring i forhold til ftalater er stoffernes påvirkning af reproduktionen hos især hanner og mistanke om hormonforstyrrende effekter.

DIPP og DMEP har begge en harmoniseret klassificering for reproduktionstoksicitet i kategori 1B. De fire andre ftalater udvalgt til undersøgelsen er selvklassificeret af industrien. Der er ikke foreslået nogen klassificering af DPHP og kun få af anmeldere har selvklassificeret DEP, DINP og DIDP. Årsagen er angivet som mangel på tilstrækkelige data.

De seks ftalater har generelt lav akut toksicitet via alle eksponeringsveje og begrænset potentiale for hud- og øjenirritation. Der findes case-rapporter, der viser hudsensibilisering over for plastartikler hos patienter med dermatitis, fx i forhold til DEP, men generelt ftalater anses ikke sensibiliserende. Af de udvalgte ftalater er DEP blevet evalueret i forhold til de foreslåede danske kriterier for hormonforstyrrende effekter, som mistænkt hormonforstyrrende i kategori 2a. Den danske Miljøstyrelse har foreslået, at også DINP blive evalueret i forhold til vedtagne kriterier for hormonforstyrrende effekter.

Der forventes ikke nogen væsentlig eksponering for DMEP, da stoffet ikke er registreret til brug i EU. DEP er ikke blevet identificeret som en ingrediens i kosmetiske produkter i Danmark, men eksponering kan forekomme i forbindelse med importerede produkter.

Erhvervsmæssig eksponering forventes primært via hudkontakt i relation til håndtering af produkter af blød PVC, for maling og anvendelse af fugemasse og maling, og kontakt med kosmetik og produkter til personlig pleje. Direkte brugereksponeering forventes fra hudkontakt med forskellige fleksible PVC-produkter, ledninger og kabler og især importeret kosmetik og produkter til personlig pleje. Indirekte eksponering af forbrugerne sker i forhold til indklimaet via støv og luft.

I en nyligt offentliggjort undersøgelse med resultater fra human biomonitoring på europæisk plan, analyserede alle 17 deltagerlande blandt andet metabolitter af visse ftalater i urin, herunder DEP, DINP og DIDP. Prøverne blev taget fra børn i alderen 6-11 år og deres mødre i alderen 45 år og derunder. Resultaterne viste højere niveauer i børn i forhold til deres mødre, med undtagelse af MEP, metabolit af DEP, som ikke er reguleret, og hovedsagelig anvendes i kosmetik. En mulig forklaring er børns relativt højere eksponering: de er mere udsat for støv, leger tæt ved jorden, og har hyppigere hånd-til-mund-kontakt, og de spiser mere end voksne i forhold til deres vægt. Indtag af føde, brug af produkter til personlig pleje og indendørs eksponering for vinylgulve og tapet er alle blevet forbundet med højere ftalat-niveauer i urinen.

DINP og DIDP er blevet vurderet af ECHA i forbindelse med forbud mod disse to phthalater i legetøj og småbørnsartikler (artikel 52 i bilag XVII til REACH). Det blev konkluderet, at en risiko forbundet med at sutte på legetøj og småbørnsartikler med DINP og DIDP ikke kan udelukkes, hvis den eksisterende begrænsning blev ophævet. Ingen yderligere risici blev identificeret. Disse konklusioner blev støttet af ECHAs udvalg for risikovurdering.

Behovet for at overveje kombinationseffekter af phthalater og andre stoffer med samme virkningsmekanisme i risikovurderingen af stofferne, fx i forhold til antiandrogene egenskaber, blev også fremhævet.

## Alternativer

Ved vurdering af mulighederne for substitution af specifikke blødgørere, er det vigtigt at notere sig, at et stort antal organiske stoffer kan fungere som blødgørere i polymerer. I modsætning til mange andre forsøg på substitution er blødgøring ikke afhængig af helt specifikke kemiske bindinger, men snarere af en række karakteristika som blødgøreren må have, for at opnå de krævede egenskaber. At finde den rette blødgørere således ikke en distinkt teoretisk videnskab, men snarere en empirisk proces støttet af et stort antal målemetoder, der er designet til for målet.

Mange mulige familier af blødgørere er til rådighed. De fleste af dem har imidlertid visse kemiske **funktionaliteter til fælles med ftalattfamilien. De er typisk forgrenede, ret "voluminøse"** molekyler med mange iltbindinger (= carbonylgrupper). Mange indeholder benzylringe eller deres hydrogenerede sidestykke, cyclohexan.

De fleste af de tilgængelige oplysninger om alternativer til primære blødgørere som **DINP, DIDP og DPHP** er blevet gennemgået som led i søgen efter alternativer til den klassiske generelle blødgører DEHP (for hvilken DINP og i mindre grad DIDP og DPHP er hovedalternativerne i dag). Adskillige alternativer er imidlertid til rådighed, både *ortho*-ftalater (med samme grundlæggende struktur som DINP, DIDP og DPHP), *tere*-ftalater og andre stoffer end ftalater. Af stoffer der ikke er *ortho*-ftalater dækker DEHP's *tere*-ftaliske sidestykke DEHT den største del af de traditionelle DEHP-anvendelser. DEHT har den samme kemiske sammensætning som DEHP, men en anden form og derfor andre miljøegenskaber. Derudover synes der ikke at være identificeret nogen enkelt ikke-ftalat, der dækker alle traditionelle anvendelser af DEHP (og der med DINP, dens hovedalternativ). Tilsammen dækker de gennemgåede ikke-*ortho*-ftalater dog de fleste eller alle hovedanvendelser. De bedst beskrevne ikke-*ortho*-ftalat alternativer er, foruden DEHT, DINCH, ASE, DGD, DEGD (i blandinger), COMGHA, DINA, ATBC og GTA. De fleste af disse har deres egne miljøproblemer, men mange af dem anses overordnet set som havende bedre miljøegenskaber end DEHP baseret på den tilgængelige information. En direkte sammenligning mellem DINP, DIDP og DPHP med deres alternativer er ikke fundet.

En bred søgning af alternativer til ftalaterne **DEP, DIPP og DMEP** har ikke været mulig i dette projekt. Hvad angår DEPs anvendelse som denatureringsmiddel findes der dog mange alternativer og DEP er ikke på 2013 listen over denatureringsmidler, der kan give afgiftsfritagelse for national alkoholafgift i EU lande, herunder Danmark. Vurderet ud fra en review fra 2010 af alternativer til DEHP, DBP og BBP er der klare indikationer af at der er ikke-*ortho*-ftalat alternativer til rådighed, der dækker hovedanvendelserne af DEP, DIPP og DMEP. Eksempler er GTA, ATBC, COMGHA, DINCH, DINA, DGD, ASE og en blanding med DEGD som hovedkomponent.

Hvad angår **alternative materialer** med egenskaber som ligner blødt PVC er de følgende bløde polymerer blandt hovedalternativerne: Ethylenevinylacetat (EVA), Low density polyethylene (LDPE), polyolefin elastomerer, polyurethaner (kan i visse tilfælde være blødgjort med ftalater), isobutylgummi, EPDM (kan i visse tilfælde være blødgjort med ftalater) og silikone gummi.

## Manglende oplysninger

Sammenfattende må anvendelsen af de generelle blødgørere DINP og DIDP anses som velbeskrevet, selv om en reel fordeling af deres anvendelse på slutprodukter ikke findes for Danmark. DPHP er mindre velbeskrevet, men har funktionelle egenskaber svarende til DIDP og kan anvendes som alternativ til denne. Hvad angår DEP, så antyder den registrerede mængde, samt andre oplysninger, at den stadig har en betydelig anvendelse i EU, men flere detaljer om dens anvendelse er nødvendige. DIPP ser ud til at have en meget afgrænset anvendelse i EU og det er spørgsmålet om der kan findes mere relevant information om den. DMEP er forst sat ikke registreret og det kan antyde at dens fremtidige anvendelse i EU er meget begrænset eller helt fraværende.

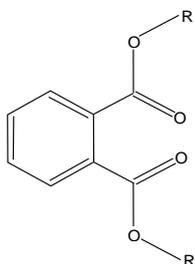
De følgende større databehov er således identificeret:

- Mere specifik information om brugen af DINP, DIDP, DPHP og DEP med særlig fokus på DINP og DEP på grund af stoffernes toksikologiske egenskaber..
- Undersøgelse af blød PVCs skæbne i affaldshåndteringen i Danmark, herunder indsamlingsrater, for både husholdningsaffald og erhvervsaffald.
- Information om direkte alternativer til DEP i væsentlige anvendelsesområder på baggrund af produktionsmængder og der af følgende mulig eksponering. .
- Direkte sammenligninger mellem DINP, DIDP og DPHP og deres (respektive) tilgængelige alternativer for relevante anvendelser.
- Identifikation af de vigtigste metabolitter, som kan anvendes som en biomarkører for humane eksponeringer
- Begrænset information om hormonforstyrrende virkning for nogle ftalater
- Yderligere dokumentation for virkningerne af kumulativ eksponering for fx anti-androgene og østrogene stoffer på forskellige niveauer

# 1. Introduction to the substance group

## 1.1 Definition of the substances

The term "phthalate" is generally used to identify diesters of *ortho*phthalic acid which is an aromatic dicarboxylic acid in which the two carboxylic acid groups are located in the *ortho* position in the benzene ring. The general chemical structure is shown below where the ester side chains (R), commonly ranging from C<sub>4</sub> to C<sub>13</sub>, may be linear, branched or a combination of linear, branched, and ringed.

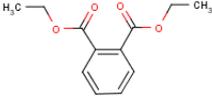
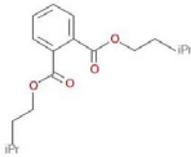
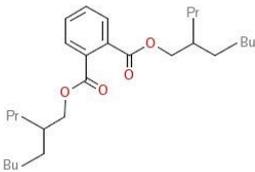
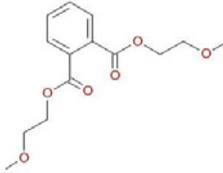
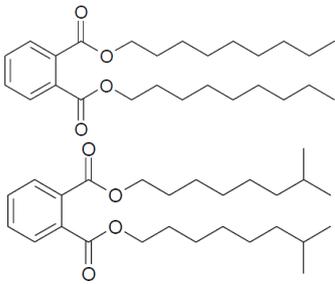


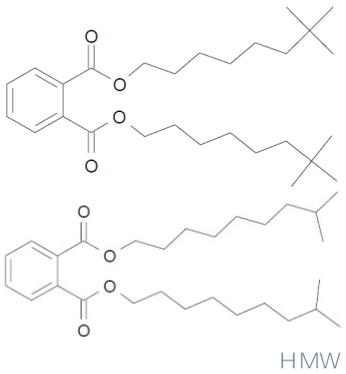
Generally both side chains are structurally identical as it is the case for the phthalates included in the present survey, but they may differ in other phthalates. The specific characteristics affect the physicochemical and toxicological properties of the phthalate.

Phthalates are divided into low-molecular phthalates and high-molecular phthalates based on the number of carbon atoms in the chains. Low Molecular Weight (LMW) phthalates, include those with 3-6 carbon atoms in their chemical backbone and 3-8 total carbons in the alkyl side chains. High Molecular Weight (HMW) phthalates, include those with 7-13 carbon atoms in their chemical backbone and 3-8 total carbons in the alkyl side chains (ECPI, 2013f).

The group of selected phthalates includes the substances shown in Table 1. The status of the substances as low or high molecular weight substances is also indicated.

**TABLE 1**  
OVERVIEW OF SUBSTANCES COVERED BY THE SURVEY

Abbreviation	Substance name	EC No	CAS No	Structure *1
<b>DEP</b>	Diethyl phthalate	201-550-6	84-66-2	 <p style="text-align: right;">LMW</p>
<b>DIPP</b>	Diisopentyl phthalate	210-088-4	605-50-5	 <p style="text-align: right;">_LMW</p>
<b>DPHP</b>	Bis(2-propylheptyl) phthalate	258-469-4	53306-54-0	 <p style="text-align: right;">HMW</p>
<b>DMEP</b>	Bis(2-methoxyethyl) phthalate	204-212-6	117-82-8	 <p style="text-align: right;">LMW</p>
<b>DINP</b> <b>*2</b>	1,2-Benzenedicarboxylic acid, di-C8-10-branched alkyl esters, C9-rich  Di-"isononyl" phthalate	271-090-9  249-079-5	68515-48-0  28553-12-0	 <p style="text-align: right;">HMW</p>

Abbreviation	Substance name	EC No	CAS No	Structure *1
<b>DIDP</b> *2	1,2-Benzenedicarboxylic acid, di-C9-11-branched alkyl esters, C10-rich	271-091-4	68515-49-1	
	Di-"isodecyl" phthalate	247-977-1	26761-40-0	

\*1 Source: ECHA registrations (DEP, DIPP, DPHP, DMEP); EU RAR: DINP, DIDP. Note that the structures shown for DINP and DIDP are examples, as each of these "substances" actually is a mix of substances with an average stoichiometric composition of di-nonyl phthalate and di-decyl phthalate, respectively.

\*2 For DINP and DIDP two CAS numbers are listed because the substance composition varies slightly with the production process used and because both numbers are addressed in much of the available literature.

DINP and DIDP constitute mixtures of substances which are further described in ECHAs Evaluation of New Scientific Evidence Concerning DINP and DIDP in Relation to Entry 52 of Annex XVII to Regulation (EC) No 1907/2006 (REACH) (ECHA, 2013) and cited under the substance headings below.

## DINP

Two different types of DINP are currently on the market:

- DINP-1 (CAS No 68515-48-0) is manufactured by the "Polygas" process.
- DINP-2 (CAS No 28553-12-0) is n-butene based. (EC 2003a)

The production of a third form DINP-3 (also CAS 28553-12-0) has reportedly been discontinued (EC 2003a).

According to the trade organisation European Council of Plasticisers and Intermediates, ECPI (ECPI, 2011d), DINP is composed of different alcohol chains depending on the production method. It is a manufactured substance made by esterifying phthalic anhydride and isononanol. Isononanol is composed of different branched C9 alcohol isomers. The two branches on the molecule R1 and R2 are not necessarily identical, and are either mainly C8H17 to C10H21 (DINP-1) or C9H19 isomers (DINP-2).

DINP-1 (CAS No 68515-48-0) contains alcohol groups made from octane, by the "polygas" process (EC 2003a). At least 95 percent of these alcohol groups comprise roughly equal amounts of 3,4-, 3,5-, 3,6-, 4,5-, 4,6-, and 5,6-dimethyl heptan-1-ol (Hellwig *et al.* 1997 as cited in Babich and Osterhout 2010). DINP-1 is also known by the trade name JayflexR.

DINP-2 (CAS No 28553-12-0) contains alcohol groups made from n-butene, which results mainly in methyl octanols and dimethyl heptanols. DINP-2 is also known by the trade names Palatinol NR and Palatinol DNR (NLM 2009a). DINP-3 (also CAS No 28553-12-0) contains alcohol groups made from n-butene and i-butene, resulting in 60 percent methylethyl hexanols. DINPs generally contain 70% or more nonyl alcohol moieties, with the remainder being octyl or decyl (Madison *et al.* 2000 as cited in Babich and Osterhout 2010).

Although their isomeric composition differs, the different types of DINP are considered to be commercially interchangeable. (Babich and Osterhout 2010).

The percent composition of the different chain structures of the two forms of DINP is shown in Table 10.

**TABLE 2**  
BEST ESTIMATE OF CONTENT (%) OF THE DIFFERENT CHAIN STRUCTURES OF THE DINP'S (EC, 2003A)

Substance name	DINP-1	DINP-2
<b>Methylethylhexanols</b>	5-10	5-10
<b>Dimethyl heptanols</b>	45-55	40-45
<b>Methyloctanols</b>	5-20	35-40
<b>n-Nonanol</b>	0-1	0-10
<b>Isodecanol</b>	15-25	--

## DIDP

Two different types of DIDP are currently on the market:

- DIDP-1 (CAS No 26761-40-0)
- DIDP-2 (CAS No 68515-48-0)

DIDP is a complex mixture containing mainly C10-branched isomers (EC 2003b). DIDP is marketed under two CAS numbers. No data on the differences between the types of DIDP has been identified and the EU Risk Assessment (EC 2003b) does not distinguish between the different forms (unlike the Risk Assessment for DINP).

The correct structures can only be estimated. Based on nonene (CAS No 97593-01-6) isomer distribution analysis and <sup>1</sup>H-NMR analysis of isodecyl alcohol, the EU Risk Assessment provides an estimation of key isomeric structures of isodecyl alcohol and hence of DIDP, as shown in Table 2. The lower ranges do not add up to 100% indicating that the substance may include other chain lengths.

**TABLE 3**  
BEST ESTIMATE OF CONTENT (%) OF THE DIFFERENT CHAIN STRUCTURES OF THE DIDP (EC, 2003B)

Longest chain (estimate)	DIDP (CAS 68515-49-1 & CAS 26761-40-0)	Best estimated content (%)
<b>C7</b>	tri-methyl heptanols	0-10
<b>C8</b>	di-methyl octanols	70-80
<b>C9</b>	methyl nonanols	0-10
<b>C10</b>	n-decanol	

## 1.2 Physical and chemical properties

The physico-chemical properties of the selected phthalates presented in the tables below are where available referred from the REACH registration dossiers on the home page of the European Chemicals Agency (ECHA).

**TABLE 4**  
NAME AND OTHER IDENTIFIERS OF DIETHYLPHthalate (DEP)

	Diethyl phthalate (DEP)	Reference
<b>Synonyms</b>	Diethyl benzene-1,2-dicarboxylate, 1,2-Benzenedicarboxylic acid, diethyl ester	
<b>Molecular formula</b>	C <sub>12</sub> H <sub>14</sub> O <sub>4</sub>	Registration at ECHAs website
<b>Molecular weight range</b>	222.24	National Toxicology Programme
<b>Physical state</b>	Liquid (25 °C)	Registration at ECHAs website
<b>Melting/freezing point</b>	-6.0 °C	Registration at ECHAs website
<b>Boiling point</b>	297.3 °C (101.3 kPa)	Registration at ECHAs website
<b>Relative density</b>	1.181 kg/m <sup>3</sup> (20 °C)	Registration at ECHAs website
<b>Vapour pressure</b>	< 2.8 mBar (25 °C)	Registration at ECHAs website
<b>Surface tension</b>	37.5 dynes/cm (20 °C)	National Toxicology Programme
<b>Water solubility (mg/L)</b>	932 mg/L (20 °C)	Registration at ECHAs website
<b>Log P (octanol/water)</b>	2.47	National Toxicology Programme

**TABLE 5**  
NAME AND OTHER IDENTIFIERS OF DIISOPENTYL PHthalate (DIPP)

	Diisopentyl phthalate (DIPP)	Reference
<b>Synonyms</b>	Bis(3-methylbutyl) phthalate; diisoamyl phthalate	Registration at ECHAs website
<b>Molecular formula</b>	C <sub>18</sub> H <sub>26</sub> O <sub>4</sub>	Registration at ECHAs website
<b>Molecular weight range</b>	306.41	
<b>Physical state</b>	Liquid (20 °C, 1013 hPa)	Registration at ECHAs website
<b>Melting/freezing point</b>	< -2.5 °C	Registration at ECHAs website
<b>Boiling point</b>	339 °C (1016 mBar)	Registration at ECHAs website
<b>Relative density</b>	1.02 (20 °C)	Registration at ECHAs website
<b>Vapour pressure</b>	0.025 Pa (25 °C)	Registration at ECHAs website

	Diisopentyl phthalate (DIPP)	Reference
Surface tension	5.8 mN/m (20 °C)	Registration at ECHAs website
Water solubility (mg/L)	1.1 mg/L (20 °C)	Registration at ECHAs website
Log P (octanol/water)	5.45 (KowWin)	Registration at ECHAs website

**TABLE 6**  
NAME AND OTHER IDENTIFIERS OF BIS(2-PROPYLHEPTYL) PHTHALATE (DPHP)

	Bis(2-propylheptyl) phthalate (DPHP)	Reference
Synonyms	1,2-benzenedicarboxylic acid, di-2-propylheptyl ester	Registration at ECHAs website
Molecular formula	C <sub>26</sub> H <sub>46</sub> O <sub>4</sub>	Registration at ECHAs website
Molecular weight range	446,7	Registration at ECHAs website
Physical state	Liquid (20 °C, 1013 hPa)	Registration at ECHAs website
Melting/freezing point	-4.8 °C (pour point)	Registration at ECHAs website
Boiling point	252.5 – 253.4 °C (7 hPa)	Registration at ECHAs website
Relative density	0.96 (20 °C)	NICNAS, 2003
Vapour pressure	0.00000037 hPa (20 °C)	Registration at ECHAs website
Surface tension	35.1 dyne/m (20 °C)	<a href="http://www.lookchem.com/Bis-2-propylheptyl-phthalate/">http://www.lookchem.com/Bis-2-propylheptyl-phthalate/</a>
Water solubility (mg/L)	< 0,0001 mg/L (25 °C)	Registration at ECHAs website
Log P (octanol/water)	1: > 6 (25 °C; pH 5,77) 2: 10.36 (25 °C) (OSAR)	Registration at ECHAs website

\*) <http://www.cpsc.gov//PageFiles/125788/dphp>.

**TABLE 7**  
NAME AND OTHER IDENTIFIERS OF BIS(2-METHOXYETHYL) PHTHALATE (DMEP)

	<b>Bis(2-methoxyethyl) phthalate (DMEP)</b>	<b>Reference</b>
<b>Synonyms</b>	1,2-Benzenedicarboxylic acid, bis(2-methoxyethyl) ester	NICNAS, 2008
<b>Molecular formula</b>	C <sub>14</sub> H <sub>18</sub> O <sub>6</sub>	
<b>Molecular weight range</b>	282.3	NICNAS, 2008
<b>Physical state</b>	Liquid	NICNAS, 2008
<b>Melting/freezing point</b>	-40 °C	NICNAS, 2008
<b>Boiling point</b>	340 °C	NICNAS, 2008
<b>(Relative) density</b>	1.170 g/cm <sup>3</sup>	NICNAS, 2008
<b>Vapour pressure</b>	< 0.013 kPa (20 °C)	NICNAS, 2008
<b>Surface tension</b>	40.5 dyne/m	<a href="http://www.chemspider.com/Chemical-Structure.8041.html">http://www.chemspider.com/Chemical-Structure.8041.html</a>
<b>Water solubility (mg/L)</b>	0.9 g/L (20 °C)	NICNAS, 2008
<b>Log P (octanol/water)</b>	2.9	NICNAS, 2008

**TABLE 8**  
NAME AND OTHER IDENTIFIERS OF 1,2-BENZENEDICARBOXYLIC ACID, DI-C8-10-BRANCHED ALKYL ESTERS, C9-RICH (DINP)

	<b>1,2-Benzenedicarboxylic acid, di-C8-10-branched alkyl esters, C9-rich (DINP)</b>	<b>Reference</b>
<b>Synonyms</b>	Di-iso-nonyl phthalate; 1,2-benzenedicarboxylic acid, di-isononyl ester	
<b>Molecular formula</b>	C <sub>26</sub> H <sub>42</sub> O <sub>4</sub>	
<b>Molecular weight range</b>	420.6	ECB, 2003a
<b>Physical state</b>	Liquid (20 °C, 1013 hPa)	Registration at ECHAs website
<b>Melting/freezing point</b>	< -50 °C (pour point: -54 °C)	Registration at ECHAs website
<b>Boiling point</b>	> 400 °C (1 atm) (calc) 331 °C (96.47 kPa) (exp)	Registration at ECHAs website

<b>(Relative) density</b>	0.97 g/cm <sup>3</sup> (20 °C)	Registration at ECHAs website
<b>Vapour pressure</b>	0.00006 Pa (20 °C)	Registration at ECHAs website
<b>Surface tension</b>	30.7 mN/m (20 °C)	Registration at ECHAs website
<b>Water solubility (mg/L)</b>	0.6 µg/L (21 °C, pH 7)	Registration at ECHAs website
<b>Log P (octanol/water)</b>	8.8 (25 °C, pH 7)	Registration at ECHAs website

**TABLE 9**  
NAME AND OTHER IDENTIFIERS OF 1,2-BENZENEDICARBOXYLIC ACID, DI-C9-11-BRANCHED ALKYL ESTERS, C10-RICH (DIDP)

	<b>1,2-Benzenedicarboxylic acid, di-C9-11-branched alkyl esters, C10-rich (DIDP)</b>	<b>Reference</b>
<b>Synonyms</b>	Di-isodecyl phthalate; 1,2-benzenedicarboxylic acid, di-isodecyl ester	
<b>Molecular formula</b>	C <sub>28</sub> H <sub>46</sub> O <sub>4</sub>	
<b>Molecular weight range</b>	447	Registration at ECHAs website
<b>Physical state</b>	Liquid (20 °C, 1013 hPa)	Registration at ECHAs website
<b>Melting/freezing point</b>	-45 °C (101325 Pa)	Registration at ECHAs website
<b>Boiling point</b>	463 °C (1013 hPa)	Registration at ECHAs website
<b>(Relative) density</b>	0.97 g/cm <sup>3</sup> (20 °C)	Registration at ECHAs website
<b>Vapour pressure</b>	0.000051 Pa (25 °C)	Registration at ECHAs website
<b>Surface tension</b>	30.9 mN/m (20 °C)	Registration at ECHAs website
<b>Water solubility (mg/L)</b>	0.0381 µg/L (25 °C, pH 7)	Registration at ECHAs website
<b>Log P (octanol/water)</b>	9.46 (25 °C, pH 7)	Registration at ECHAs website

\* <http://echa.europa.eu/web/guest/information-on-chemicals/registered-substances>.

### 1.3 Function of the substances for the main application areas

Phthalates are primarily used to soften and make PVC flexible. They are however also found in other product types where they e.g. are added to avoid stiffness and cracking of surface films or because of their adhesive properties.

Phthalates belong to the group of general purpose (GP) plasticisers which provide the desired flexibility to PVC along with an overall balance of optimum properties at the lowest cost (Wilkes *et al.*, 2005). Phthalates are external plasticisers which mean that they are not firmly chemically bound to the plastic but are only dispersed in it. As a result, these plasticisers may degas or migrate

from the plastic under certain conditions, and they can be released in relatively large proportions, e.g. when in contact with lipophilic media (such as oil or grease).

An effective plasticiser in PVC, must contain two types of structural components, polar and apolar. The polar portion of the molecule must be able to bind reversibly with the PVC polymer, thus softening the PVC, while the non-polar portion of the molecule allows the PVC interaction to be controlled so it is not so powerful a solvent as to destroy the PVC crystallinity. Examples of polar components would be the carbonyl group of carboxylic ester functionality; the non-polar portion could be the aliphatic side chain of an ester. The balance between the polar and non-polar portions of the molecule is critical to control its solubilising effect. If a plasticizer is too polar, it can destroy PVC crystallites; if it is too non-polar, compatibility problems can arise (Wilkes *et al.*, 2005).

Several theories are developed to account for the observed characteristics of the plasticisation process, e.g. the theory of **free volume**. Free volume is a measure of the internal space available within a polymer. As free volume is increased, more space or free volume is provided for molecular or polymer chain movement. A polymer in the glassy state has its molecules packed closely but is not perfectly packed. The free volume is low and the molecules cannot move past each other very easily. This makes the polymer rigid and hard. When the polymer is heated to above the glass transition temperature,  $T_g$ , the thermal energy and molecular vibrations create additional free volume which allows the polymer molecules to move past each other rapidly. This has the effect of making the polymer system more flexible and rubbery. Free volume can be increased through modifying the polymer backbone, such as by adding more side chains or end groups. When small molecules such as plasticisers are added, this also lowers the  $T_g$  by separating the PVC molecules, adding free volume and making the PVC soft and rubbery. Molecules of PVC can then rapidly move past each other.

Glass transition temperature is the temperature at which a polymer changes from a glassy brittle state to a fluid flexible state. PVC has a glass transition temperature of about 80 degrees centigrade, well above room temperature and it is therefore brittle at room temperature. Low density polyethylene (LDPE) on the other hand has a glass transition temperature below 0 degrees. Therefore it is flexible and not brittle at normal room temperatures, and would not be expected to require a plasticizer to keep it flexible (<http://www.consultingchemist.com/Phthalates.pdf>)

### **DINP**

DINP is a general plasticiser, which is applied in many products as the direct alternative for DEHP, the formerly major general PVC plasticiser in the EU. As such DINP has a high consumption and is probably the plasticiser which can be found in most flexible PVC products produced in the EU today.

### **DIDP**

DIDP has slightly higher weight and lower solubility than DINP and is thus mainly used in applications where continued product quality is needed under more demanding conditions, such as elevated temperatures, for example in electric cables. A major DIDP use is consequently as plasticiser in PVC insulation on cable and wiring. Other uses include car interiors and PVC flooring.

### **DPHP**

**According to ECPI's DPHP site (2013),** almost all DPHP is used as a plasticiser to make PVC soft and flexible. Owing to its low volatility and weathering resistance, DPHP is suitable for high temperature applications such as wire and cable and automotive interior trim and outdoor applications such as roofing membranes and tarpaulins.

### **DEP**

DEP is a specialty polymer plasticiser and a solvent for cosmetics and personal care products, among others. It is a low-weight phthalate; these generally have higher volatility and mobility in the polymer when used as plasticisers. Plasticiser uses include cellulose polymers, nail polishes, etc. An example of a solvent application is as a bearer of fragrances, and a delayer of release of the fragrance, in cosmetics and personal care products. It has also been used as a denaturant in alcohol for cosmetics and personal care products (and possibly in other applications).

### **DIPP**

DIPP has been registered for its use in the manufacture of propellants (explosives in ammunition). As other low molecular weight phthalates DIPP may also be used as plasticiser for PVC products and other polymers. However there is currently no registration for that use. According to ECPI (2013e), DIPP is not produced in Europe anymore.

### **DMEP**

DMEP is a specialty plasticiser which can be used in a number of polymers. According to BAuA (2011), only limited information regarding DMEP in consumer products in the European marketplace has been identified. The Australian NICNAS (2008) has reported about the import of **DMEP in balls for playing and exercise, hoppers and children's toys (e.g. as inflatable water products)**. CPSC (2011) reports its use as a plasticiser (in the USA), but it is not mentioned if these are current observations.

According to ECPI (2013e), DMEP is not used as a plasticiser and the only European producer stopped making this substance a few years ago. As of June 2013, DMEP has not been registered under REACH.

# 2. Regulatory framework

This chapter gives an overview of how the selected phthalates are addressed in existing and upcoming EU and Danish legislation, international agreements and also by eco-label criteria.

In Appendix 1: a brief overview of legal instruments in the EU and DK and how they are related is presented. The appendix also gives a brief introduction to the chemicals legislation, it explains the lists referred to in section 2.1.3, and it provides a brief introduction to international agreements and selected eco-label schemes.

## 2.1 Legislation

This section will first list existing legislation addressing the selected phthalates and then give an overview of on-going activities, focusing on which substances are in the pipeline in relation to various REACH provisions.

### 2.1.1 Existing legislation

Table 10 provides an overview of existing legislation addressing the selected phthalates. For each area of legislation, the table first lists the EU legislation (if applicable) and then the transposition of this into Danish law and/or other national rules where this is required. National rules will only be elaborated upon in case the Danish rules differ from EU rules. For each legislative area the name of the Competent authority is mentioned in the heading.

In addition to the legislation concerning named substances the phthalates will of course also be covered by criteria-based legislation where relevant, e.g. bans and restrictions covering substances classified as toxic for reproduction which would concern DIPP and DMEP. This includes as an example the new rules for toys which prohibit CMR-classified substances in concentrations above the specific classification limit in all accessible components of toys.

**TABLE 10**  
EU AND DANISH LEGISLATION ADDRESSING SELECTED PHTHALATES (AS OF JULY 2013)

Legal instrument *1	EU/DK	Substances	Requirements
<b>Legislation addressing products (Danish EPA)</b>			
<b>Regulation No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH)</b>	EU	Included in Annex XVII, no. 52:  (a) Di- <b>'isononyl'</b> phthalate (DINP) CAS No 28553-12-0 and 68515-48-0; EC No 249-079-5 and 271-090-9  (b) Di- <b>'isodecyl'</b> phthalate (DIDP) CAS No 26761-40-0 and 68515-49-1 EC No 247-977-1 and 271-091-4	The listed phthalates:  (1) Shall not be used as substances or in mixtures, in concentrations greater than 0.1 % by weight of the plasticised material, in toys and childcare articles which can be placed in the mouth by children.  (2) Such toys and childcare articles containing these phthalates in a concentration greater than 0.1 % by weight of the plasticised material shall not be placed on the market.  (3) The Commission shall re-evaluate, by 16 January 2010, the measures provided for in relation to this entry in the light of new scientific information on such substances and their substitutes, and if justified, these measures shall be modified accordingly.  (4) For the purpose of this entry ' <b>childcare article</b> ' shall mean any product intended to facilitate sleep, relaxation, hygiene, the feeding of children or sucking on the part of children.
<b>Statutory Order on the ban on phthalates in toys and childcare articles (Bekendtgørelse om forbud mod ftalater i legetøj og småbørnsartikler til børn i alderen 0-3 år, BEK Nr. 855 af 5 September 2009)</b>	DK	All phthalates except DEHP, DBP, BBP, <b>DINP, DIDP</b> and DNOP (Covered by Regulation No. 1907/2006/EC)	Ban on the import, sale and use of phthalates in toys and childcare articles for children aged 0-3 years if the products contain more than 0.05 per cent by weight of phthalates.
<b>DIRECTIVE 2009/48/EC of 18 June 2009 on the safety of toys</b>  <b>Statutory Order on the safety of toys (Bekendtgørelse om sikkerhedskrav til legetøjsprodukter, BEK nr 13 af 10/01/2011)</b>	EU  DK	CMR substances (including DMEP and DIPP)	CMR substances are as of 20 July 2013 banned in all accessible components of toys in concentrations above the specific classification limit.

Legal instrument *1	EU/DK	Substances	Requirements
<b>Legislation addressing cosmetics (Danish EPA)</b>			
<b>REGULATION (EC) No 1223/2009 of 30 November 2009 on cosmetic products</b>	EU	bis(2-Methoxyethyl) phthalate (DMEP) (CAS no. 117-82-8) and Diisopentylphthalate (DIPP) (CAS no. 605-50-5)	Included in Annex II (LIST OF SUBSTANCES PROHIBITED IN COSMETIC PRODUCTS)
<b>Legislation addressing medical devices (Ministry of Health and Prevention)</b>			
<b>DIRECTIVE 2007/47/EC of 5 September 2007 amending Council Directive 90/385/EEC on the approximation of the laws of the Member States relating to active implantable medical devices, Council Directive 93/42/EEC concerning medical devices and Directive 98/8/EC concerning the placing of biocidal products on the market.</b>  <b>Statutory Order concerning medical devices (Bekendtgørelse om medicinsk udstyr nr. 1263 af 15/12/2008)</b>	EU  DK	Phthalates classified as reproductive toxicants in category 1 or 2 (DIPP and DMEP)	Labelling requirement for certain medical devices containing the phthalates and requirements for information about risks.
<b>Legislation addressing emissions (Danish EPA)</b>			
<b>Statutory Order on water quality and monitoring of water supply system (Bekendtgørelse om vandkvalitet og tilsyn med vandforsyningsanlæg, BEK nr 1024 af 31/10/2011)</b>	DK	Phthalates other than DEHP (DEHP is specifically mentioned)	The sum of phthalates other than DEHP must not exceed 1 µg/L in water leaving the waterworks and at the point of entering consumer properties. The value at the consumers tap must not exceed 5 µg/L water. (All values are 1 µg/L for DEHP)

Legal instrument *1	EU/DK	Substances	Requirements
<b>Statutory Order on quality requirement to environmental analyses (Bekendtgørelse om kvalitetskrav til miljømålinger, BEK no 900 af 17/08/2011</b>	DK	Plasticisers including the sum of diisononylphthalates (DINP)	Sets requirements concerning quality control of chemical analyses of environmental and product samples and requirements concerning standard deviation on the measurements. Concerns analyses prepared as <b>part of the authorities' enforcement of</b> the Danish Environmental Protection Act, the Chemical Substances and Products Act and other legal instruments in the field of the environment and a analysis prepared as part of environmental monitoring programmes.
<b>Legislation addressing occupational health and safety (Ministry of Employment)</b>			
<b>Statutory Order on occupational limit values for substances and materials (Bekendtgørelse om grænseværdier for stoffer og materialer, BEK nr 507 af 17/05/2011 – with later amendments)</b>	DK	Diethyl phthalate (DEP) (CAS no. 84-66-2)	A limit value of 3 mg/m <sup>3</sup> is established for DEP (gasses, vapours and particulates) in workplace air.
<b>Council Directive 98/24/EC of 7 April 1998 on protection of the health and safety of workers from the risks related to chemical agents at work.</b>  <b>Statutory order nr. 292 of 26 April 2001 on working with substances and materials (chemical agents) – with later amendments.</b>	EU  DK	Classified phthalates	

Legal instrument *1	EU/DK	Substances	Requirements
<b>Legislation addressing food contact materials (Ministry of Food, Agriculture and Fisheries)</b>			
<b>REGULATION (EU) No 10/2011 of 14 January 2011 on plastic materials and articles intended to come into contact with food</b>	EU	Included in Annex I, FCM subst. no. 728 and 729:  (a) Di- <i>isononyl</i> phthalate (DINP) CAS No 28553-12-0 and 68515-48-0; EC No 249-079-5 and 271-090-9  (b) Di- <i>isodecyl</i> phthalate (DIDP) CAS No 26761-40-0 and 68515-49-1 EC No 247-977-1 and 271-091-4	Manufacture and marketing of plastic materials and articles: DINP and DIDP in plastic materials and articles: (a) intended to come into contact with food; or (b) already in contact with food; or (c) which can reasonably be expected to come into contact with food; must only be used as: (a) plasticiser in repeated use materials and articles; (b) plasticiser in single-use materials and articles contacting non-fatty foods except for infant formulae and follow-on formulae as defined by Directive 2006/141/EC or processed cereal-based foods and baby foods for infants and young children as defined by Directive 2006/125/EC; (c) technical support agent in concentrations up to 0,1 % in the final product.
<b>Legislation addressing tariffs (Ministry of Taxation)</b>			
<b>Law on the taxation of polyvinylchloride and phthalates (Danish PVC Tax Act) (Bekendtgørelse af lov om afgift af polyvinylklorid og ftalater (PVC-afgiftsloven), LBK nr 253 af 19/03/2007)</b>	DK	Flexible (and hard) PVC with content of <i>ortho</i> -phthalate esters	Goods made of PVC or PVC with phthalates for the most important applications are subject to tax based on the type and weight of the PVC goods marketed in Denmark. Rates are set for each article/material category; flexible PVC documented to be without phthalate contents have substantially lower tax rates. The Act covers a large number of goods categories containing PVC or PVC and phthalates.
<b>Legislation addressing waste</b>			
<b>Directive 2008/98/EC of 19 November 2008 on waste and repealing certain Directives – The Waste Directive</b>	EU	(In this context:) Classified substances, that is DIPP and DMEP	Sets out criteria for waste definitions and handling, including defining waste as hazardous waste if it exhibits specified toxic properties.
<b>Statutory Order on waste (Affaldsbekendtgørelsen)- BEK 1309 af 18. dec. 2012</b>	DK	=	Implements the Waste Directive in DK. Specifies threshold concentrations for waste including substances with specified classifications, including Repr. 1 substances (DIPP and DMEP), for which the concentration threshold is 0.5%. Waste above this limit is to be considered hazardous waste and be treated as such.

Legal instrument *1	EU/DK	Substances	Requirements
<b>Directive 94/62/EC of 20 December 1994 on packaging and packaging waste (as later amended) – the Packaging Directive</b>	EU	Hazardous substances in general	Does not explicitly mention phthalates, but states that “Packaging shall be so manufactured that the presence of noxious and other hazardous substances and materials as constituents of the packaging material or of any of the packaging components is minimized with regard to their presence in emissions, ash or leachate when packaging or residues from management operations or packaging waste are incinerated or landfilled.”
<b>Statutory Order on packaging (Emballerbekendtgørelsen; BEK 1049 af 10/11/2011)</b>	DK	=	Implements the Packaging Directive in DK.
<b>Statutory Order on sewage sludge (Slambekendtgørelsen - BEK nr. 1650 af 13. dec. 2006).</b>	DK		Does not specifically mention the substances included in this review, but sets a threshold value for the concentration of the phthalate DEHP in sewage sludge used for agricultural purposes: 50 mg/kg dry matter.
<b>Regulation EC 1013/2006 of 14 June 2006 on shipments of waste</b>	EU	Waste	Does not specifically mention the substances included in this review. Regulates trans-boundary transport of waste (implements the Basel Convention in the EU).

\*1 Un-official translation of name of Danish legal instruments.

As illustrated by the table, Denmark has national rules banning the use of phthalates in toys and childcare articles intended for children under 3 years. These rules exclude DINP and DIDP, which however are covered by the EU ban for toys and childcare articles intended to be placed in the mouth.

### 2.1.2 Classification and labelling Harmonised classification in the EU

Table 11 lists the two phthalates (DIPP and DMEP) for which a harmonised CLP classification has been agreed upon. It shows that both substances are reclassified for reproductive toxicity in category 1B and that DIPP is classified as acute toxic 1 in aquatic environments.

Industry classifications for substances without a harmonised classification and labelling agreement are summarised in Table 12 and will be taken into account in Chapters 5 and 6 on environment and human health assessments.

**TABLE 11**

HARMONISED CLASSIFICATION ACCORDING TO ANNEX VI OF REGULATION (EC) NO 1272/2008 (CLP REGULATION)

Index No	International Chemical Identification	CAS No	Classification	
			Hazard Class and Category Code(s)	Hazard statement Code(s)
<b>607-426-00-1</b>	Diisopentylphthalate (DIPP)	605-50-5	Repr. 1B Aquatic Acute 1	H360FD H400
<b>607-228-00-5</b>	Bis(2-methoxyethyl)phthalate (DMEP)	117-82-8	Repr. 1B	H360Df

**Self-classification in the EU**

The Classification & Labelling (C&L) Inventory database at the website of the European Chemicals Agency (ECHA) contains classification and labelling information on notified and registered substances submitted by manufacturers and importers. The database includes as well the harmonised classification. Companies have provided this information in their C&L notifications or registration dossiers (ECHA, 2013d). ECHA maintains the Inventory, but does not verify the accuracy of the information.

Classifications of DEP, DPHP, DINP and DIDP listed in the database are shown in the table below. Substances with a harmonised classification are not indicated, reference is made to the table above.

In the table the total number of notifiers is indicated first followed by the number of notifiers that have classified the substance in each individual hazard class, e.g. Acute tox 1. The full classification submitted by the notifiers can be seen in the overview on ECHA's homepage.

**TABLE 12**

CLASSIFICATION INFORMATION ON NOTIFIED AND REGISTERED SUBSTANCES RECEIVED FROM MANUFACTURERS AND IMPORTERS (C&amp;L INVENTORY)

CAS No	Substance name	Hazard Class and Category Code(s)	Hazard Statement Codes	Number of notifiers
<b>84-66-2</b>	Diethyl phthalate (DEP)	<b>Total</b>		<b>70</b>
		Acute Tox. 1	H302	1
		Acute Tox. 1	H312	1
		Skin Irrit. 2	H315	11
		Skin Sens. 1	H317	1
		Eye Irrit. 2	H319	15
		Acute Tox. 3	H331	16
		Acute Tox. 4	H332	4
		STOT SE 3	H335	9
		Repr. 2	H361	2
STOT RE 2	H373	10		
<b>53306-54-0</b>	Bis(2-propylheptyl)phthalate (DPHP)	<b>Total</b> Not classified		<b>126</b> 126

CASNo	Substance name	Hazard Class and Category Code(s)	Hazard Statement Codes	Number of notifiers
<b>68515-48-0</b>	1,2-Benzenedicarboxylic acid, di-C8-10-branched alkyl esters, C9-rich (DINP)	<b>Total</b> Not classified Skin Irrit. 2 Eye Irrit. 2 Repr. 2 Aquatic Acute 1	H315 H319 H361 H400	<b>269</b> 240 1 1 3 24
<b>28553-12-0</b>	Di-"isononyl" phthalate (DINP)	<b>Total</b> Not classified Acute Tox. 4 Aquatic Acute 1 Aquatic Chronic 1 Aquatic Chronic 4	H332 H400 H410 H413	<b>857</b> 781 1 24 23 28
<b>68515-49-1</b>	1,2-Benzenedicarboxylic acid, di-C9-11-branched alkyl esters, C10-rich (DIDP)	<b>Total</b> Not classified Skin Irrit. 2 Eye Irrit. 2	H315 H319	<b>410</b> 353 25 32
<b>26761-40-0</b>	Di-"isodecyl" phthalate (DIDP)	<b>Total</b> Not classified Skin Irrit. 2 Eye Irrit. 2 Aquatic Acute 1 Aquatic Chronic 1 Aquatic Chronic 2	H315 H319 H400 H410 H413	<b>182</b> 97 1 1 41 23 43

### 2.1.3 REACH

#### Candidate list

As of August 2013, two of the selected phthalates have been included in the candidate list as substances meeting the criteria for classification in the hazard class reproductive toxicity category 1B.

**TABLE 13**  
SELECTED PHTHALATES ON THE CANDIDATE LIST (ECHA, 2013B; LAST UPDATED: 20/06/2013)

CASNo	EC No	Substance Name	Date of inclusion	Reason for inclusion	Decision number
<b>605-50-5</b>	210-088-4	Diisopentyl phthalate (DIPP)	2012/12/19	Toxic for reproduction (Article 57 c)	ED/169/2012
<b>117-82-8</b>	204-212-6	Bis(2-methoxyethyl) phthalate (DMEP)	2011/12/19	Toxic for reproduction (Article 57 c)	ED/77/2011

#### Authorisation List / REACH Annex XIV

As of March 2013, none of the selected phthalates are included in REACH annex XIV which is a list of substances that require authorisation for continued use in the EU.

## Community rolling action plan

Table 14 shows the grounds for concern in relation to the planned REACH substance evaluation of DEP that may lead to further community action in the form of e.g. a restriction or authorisation.

**TABLE 14**  
SUBSTANCES IN THE DRAFT COMMUNITY ROLLING ACTION PLAN, 2013-2015 UPDATE (ECHA, 2012A)

CAS No	EC No	Substance Name	Year	Member State	Initial grounds for concern
84-66-2	201-550-6	Diethyl phthalate	2014	Germany/Portugal*	Suspected Endocrine Disruptor; Exposure/Wide dispersive use, consumer use, high aggregated tonnage

\* Where two Member States are indicated, this is a joint evaluation. The first Member State mentioned leads the Evaluation and is the responsible competent authority in the meaning of Article 45(2) of REACH.

## Registry of Intentions

Table 15 includes entries from **Registry of Intentions by ECHA and Member States' authorities for restriction proposals, proposals for harmonised classifications and labelling and proposals for identifying Substances of Very High Concern (SVHC)**. For further description of the Registry of Intentions and other background information on the legislative framework, see Appendix 1.

According to the information on the ECHA homepage, Annex XV dossiers are submitted for DIPP and DMEP and both substances are included in the Candidate list.

**TABLE 15**  
SELECTED PHTHALATES IN REGISTRY OF (SVHC) INTENTIONS AS OF AUGUST 2013)

Registry of:	CAS No	Substances	SVHC Scope	Dossier intended by:	Date of submission:
<b>SVHC intentions</b>					
<b>Annex XV dossiers submitted</b>	<b>605-50-5</b>	Diisopentyl phthalate (DIPP)	CMR (Repr. 1B)	Austria	Submitted: 06/08/2012
	<b>117-82-8</b>	Bis(2-methoxyethyl) phthalate (DMEP)	CMR (Repr. 1B)	Germany	Submitted: 01/08/2011

## Annex XIV recommendations

None of the selected phthalates have been recommended for Annex XIV inclusion (only relevant for those already included in the candidate list) in the latest lists of Annex XIV recommendations of 17 January 2013.

### 2.1.4 Other legislation/initiatives

#### Denmark

The Ministry of Environment in Denmark has after a finalised consultation period published a strategy for phthalates in June 2013. The strategy was developed in collaboration with the Ministry of Health, which has contributed with knowledge about phthalates in medical devices. The strategy identifies areas where more information is needed and areas where initiatives are required on a short term basis and in the long term in order to achieve sufficient protection of man and environment. Areas where sufficient information is available for further risk management are also identified.

In November 2012 Denmark issued a statutory order, BEK nr 1113, on the ban of certain phthalates in indoor articles. The order bans the phthalates DEHP, DBP, BBP and DIBP in indoor articles and articles with direct contact with the skin or mucous membranes. The ban is postponed until 2015 to allow industry time for the phase-out. The phthalates in question have been associated with endocrine related endpoints.

According to the Phthalate Strategy, in 2013 the Danish EPA will initiate a screening of information available on the endocrine disrupting effects of phthalates which have been registered, with the exception of phthalates which have already been classified as toxic to reproduction, as these are expected to meet the future EU criteria for identification as endocrine disruptors. Consequently, a screening will be carried out for 20 phthalates, as six of the registered or pre-registered phthalates have been classified as toxic to reproduction. The onward process will then be decided, as substances may be nominated for substance evaluation under the REACH Regulation in order to procure further documentation, or a proposal for EU legislation (harmonised classification (in case the evaluation concludes the effects meet the classification criteria for e.g. reprotoxicity), inclusion in the Candidate List, restrictions) may be prepared (Danish EPA, 2013).

### Sweden

The Swedish Chemicals Agency (KEMI) informs on their website, that the Swedish government has assigned KEMI to conduct a survey of the use of phthalates suspected to be toxic to reproduction or endocrine-disrupting and the availability of alternative materials. On the basis of the survey, KEMI will be working, for instance through industry dialogues, for companies voluntarily to replace these phthalates with less hazardous substances or materials.

The mandate includes investigating the need and prerequisites for Sweden to impose national restrictions on the use of phthalates suspected to be toxic to reproduction or endocrine-disrupting. Possible ways to act at the EU level should be investigated. The work should take into account initiatives within the EU to classify, restrict or establish an authorisation process for phthalates. Any legislative proposals should include an impact assessment and an analysis of the impact on trade with other countries, as well as a risk assessment.

KEMI is to present its report to the Government Offices (Ministry of the Environment) no later than 30 November 2014 (Kemi, 2013).

## 2.2 International agreements

Table 16 shows that none of the selected phthalates are covered by the listed international agreements.

**TABLE 16**  
INTERNATIONAL AGREEMENTS ADDRESSING PHTHALATES

Agreement	Substances	How the selected phthalates are addressed
<b>OSPAR Convention</b>	None of the selected phthalates are covered.	Other phthalate esters are included in the list of Substances of Possible concern, Section B (Substances which are of concern for OSPAR but which are adequately addressed by EC initiatives or other international forums)
<b>HELCOM (Helsinki Convention)</b>	Same as above	
<b>Rotterdam Convention (PIC Convention)</b>	Same as above	

Agreement	Substances	How the selected phthalates are addressed
<b>Stockholm Convention</b>	Same as above	
<b>Basel Convention</b>	Wastes from production, formulation and use of resins, latex, plasticisers, glues/adhesives	These wastes are considered hazardous waste under the provisions of the Basel Convention unless they do not possess any of the characteristics contained in Annex III of this Convention.
<b>Convention on Long-range Transboundary Air Pollution (CLRTAP)</b>	Not relevant	

### 2.3 Eco-labels

Table 17 gives an overview of how selected phthalates are addressed by the EU and Nordic eco-labelling schemes.

Under the Nordic Swan product criteria, many of the criteria mentioning phthalates exclude the use of phthalates as a substance group; whereas for some product types hazardous substances with classification relevant to DIPP, DMEP and in some DEP are not permitted. For the EU flower, criteria targeting phthalates do generally and explicitly not permit the use of DINP and DIDP, whereas DIPP and DMEP are not mentioned explicitly but are not permitted due to their classification.

**TABLE 17**  
ECO-LABELS TARGETING SELECTED PHTHALATES

Eco-label	Articles	Criteria relevant for phthalates	Document title
Nordic Swan	Dishwasher detergents	General restriction or ban regarding CMR classified substances. This requirement includes phthalates classified as Repr. 1B ( <b>DIPP and DMEP</b> ).	Nordic Ecolabelling of Dishwasher detergents, <b>Version 5.3 • 15 December 2009</b> – 30 June 2015
	De-icers	Same as above	Nordic Ecolabelling of De-icers, <b>Version 2.3 • 18 March 2004</b> – 31 December 2014
	Cleaning agents for use in the food industry	Same as above	Nordic Ecolabelling of Cleaning agents for use in the food industry, <b>Version 1.6 • 13 October 2005</b> – 31 March 2016
	Hand Dishwashing Detergent	General restriction or ban regarding content of CMR classified substances or endocrine disruptors in category I or II. This requirement includes phthalates classified as Repr. 1B ( <b>DIPP and DMEP</b> ) and <b>DEP</b> included in the EU list of endocrine disruptors, category I. General CMR	Nordic Ecolabelling of Hand Dishwashing Detergents, <b>Version 5.1 • 21 March 2012</b> – 31 March 2016
	Cosmetic products	Same as above	Nordic Ecolabelling of Cosmetics, <b>Version 2.6 • 12 October 2010</b> – 31 December 2014
	Cleaning products	Same as above	Nordic Ecolabelling of Cleaning products, <b>Version 5.0 • 13 March 2013</b> – 31 March 2017
	Laundry detergents and stain removers	Same as above	Nordic Ecolabelling of Laundry detergents and stain removers, <b>Version 7.3 • 15 December 2011</b> – 31 December 2015
	Toner cartridges	Same as above	Nordic Ecolabelling of Remanufactured OEM Toner cartridges, <b>Version 5.1 • 15 June 2012</b> – 30 June 2016
	Photographic developments services	Same as above	Nordic Ecolabelling of digital Photographic developments services, <b>Version 2.4 • 19 October 2007</b> – 31 December 2014
	Printing Companies	Same as above	Nordic Ecolabelling of Printing companies, printed matter, envelopes and other converted paper products,

Eco-label	Articles	Criteria relevant for phthalates	Document title
			Version 5.1 • 15 December 2011 – 31 December 2017
	Car and boat care products	Same as above	Nordic Ecolabelling of Car and boat care products, Version 5.1 • 21 March 2012 – 31 March 2016
	Laundries/ Textile Services	Same as above	Nordic Ecolabelling of Laundries/ Textile Services, Version 3.0 • 12 December 2012 – 31 December 2016
	Dishwasher detergents for professional use	Same as above	Nordic Ecolabelling of Dishwasher detergents for professional use, Version 5.3 • 15 December 2009 – 30 June 2015
	Laundry detergents for professional use	Same as above	Nordic Ecolabelling of Laundry detergents for professional use, Version 2.2 • 15 December 2009 – 31 December 2014
	Chemical building products	Phthalates must not form part of the product.	Nordic Ecolabelling of Chemical building products, Version 1.6 • 29 May 2008 – 31 October 2014
	Indoor paints and varnishes	Ingredients classified as acutely toxic in category I, II and III, as resp. sensitizers, as CMR in category I or II or as STOT, category I and II shall not be used. Only phthalates that are risk assessed. Additionally DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate), <b>DIDP</b> (di-isodecyl phthalate) are not permitted in the product.	Nordic Ecolabelling of Indoor paints and varnishes, Version 2.3 • 4 November 2008 – 31 March 2015
	Machines for parks and gardens	Certain phthalates must (with a few exceptions) not be added to plastic or rubber materials. Phthalates include: <b>DINP, DIDP, DEP, DMEP, and DIPP.</b>	Nordic Ecolabelling of Machines for parks and garden, Version 5.0 • 13 March 2013 – 31 March 2017
	Floor coverings	Phthalates must not be actively added to the floor covering.	Nordic Ecolabelling of Floor coverings, Version 5.1 • 12 October 2010 – 31 December 2014
	Industrial cleaning and degreasing agents	Phthalates must not be present in the product.	Nordic Ecolabelling of Industrial cleaning and degreasing agents, Version 2.5 • 13 October 2005 – 31 March 2016
	Panels for the	Phthalates must not be added to chemical	Nordic Ecolabelling of Panels

Eco-label	Articles	Criteria relevant for phthalates	Document title
	building, decorating and furniture industry	products and materials including surface treatments. In addition the total amount of added chemical substances classified by suppliers as environmentally hazardous, e.g. Aquatic Acute 1 (H400), Aquatic Chronic 1 (H410), , must be <b>&lt;0.5 g/kg of the panel's constituent material</b> (Concerns <b>DIPP, DINP, DIDP</b> ).	for the building, decorating and furniture industry,
	Furniture and fittings	Phthalates must not be present in/added to the chemical product or material.	Nordic Ecolabelling of Furniture and fittings, Version <b>4.4</b> • <b>17 March 2011</b> – 30 June 2015
	Textiles, skins and leather	Plastic parts must not contain phthalates. Phthalates and REACH candidate substances are also forbidden in chemicals in textile processes following the production of the fibre, such as spinning, weaving, wet processes (washing, bleaching and dyeing) and chemicals for coating, membranes and laminates	Nordic Ecolabelling of Textiles, skins and leather, <b>Version 4.0</b> • <b>12 December 2012</b> – 31 December 2016
	Outdoor furniture and playground equipment	No outdoor furniture or playground equipment or raw materials may contain phthalates.	Nordic Ecolabelling of Outdoor furniture and playground equipment, Background for version 3.
	Fabric cleaning products containing microfibres	Phthalates are prohibited from use in chemical products and additives used for the pre-treatment and surface treatment of metals and plastics (e.g. coatings) as well as adhesives.	Nordic Ecolabelling of Fabric cleaning products containing microfibers, <b>Version 2.1</b> • <b>12 October 2010</b> – 31 March 2016
	Toys	Phthalates shall not be actively added to plastic/plastic parts and rubber, be contained in surface treatment of plastic/plastic parts, rubber or metal, or be added to the chemical products used in wood-based materials including surface treatment, or added to glue.	Nordic Ecolabelling of toys, <b>Version 2.0</b> • <b>21 March 2012</b> – 31 March 2016
	Sanitary products	Polymers or adhesives must not contain halogenated organic compounds or phthalates, except pollutants.	Nordic Ecolabelling of Sanitary products, Version 5.4 • <b>5 March 2008</b> – 31 October 2015
	Disposable bags, tubes and accessories for health care	No plasticisers or other additives added to the plastic or substances used in adhesives may have properties categorised in REACH (Registration, Evaluation and Authorisation of Chemicals) as substances of very high concern (SVHC) and similar substances, e.g. EU-listed endocrine disruptors such as <b>DEP</b> . The phthalates DEHP, BBP, DBP, <b>DINP</b> , DNOP and <b>DIDP</b> may not be used as plasticisers or other additives, nor may they be used in adhesives.	Nordic Ecolabelling of Disposable bags, tubes and accessories for health care, <b>Version 1.4</b> • <b>13 December 2007</b> – 31 December 2015

Eco-label	Articles	Criteria relevant for phthalates	Document title
	Compost bins	Additives based on phthalate, may not be present in the plastic material	Nordic Ecolabelling of Compost bins, Version 2.9 • 7 June 1996 – 30 June 2014
	Closed Toilet System	Same as above	Nordic Ecolabelling of Closed Toilet System, Version 2.8 • 9 April 1997 – 30 June 2015
	Heat pumps	Phthalates must (with a few exceptions) not be added to chemical products (e.g. cleaning products, colours, lacquers, adhesives and sealants) and rubber and plastic products. Phthalates include: <b>DINP, DIDP, DEP, DMEP, and DIPP.</b>	Nordic Ecolabelling of Heat pumps, Version 3.0 • 13 March 2013 – 31 March 2017
	Stoves	Phthalates must not be actively added to chemical products such as adhesives, sealants, cleaning agents, paints and lacquers that are used during the manufacture and surface treatment of the stove.	Nordic Ecolabelling of Stoves, Version 3.1 • 12 October 2010 – 31 October 2014
	Candles	Candles must not contain phthalates.	Nordic Ecolabelling of Candles, Version 1.3 • 13 December 2007 – 30 June 2015
<b>EU Flower</b>	Footwear	Phthalates: Only phthalates that at the time of application have been risk assessed and have not been classified with the phrases (or combinations thereof): R60, R61, R62, R50, R51, R52, R53, R50/53, R51/53, R52/53 (aquatic toxicity and toxicity to reproduction, among others, i.e. <b>DIPP and DMEP</b> ) may be used in the product (if applicable). Additionally DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate), <b>DIDP</b> (di-isodecyl phthalate) are not permitted in the product.	COMMISSION DECISION of 9 July 2009 on establishing the ecological criteria for the award of the Community eco-label for footwear
	Indoor paints and varnishes	Phthalates: Only phthalates that at the time of application have been risk assessed and have not been classified with the phrases (or combinations thereof): R60, R61, R62, R50, R51, R52, R53, R50/53, R51/53, R52/53 (aquatic toxicity and toxicity to reproduction, among others, i.e. <b>DIPP and DMEP</b> ) may be used in the product before or during tinting (if applicable). Additionally DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate), <b>DIDP</b> (di-isodecyl phthalate) are not permitted in the product.	COMMISSION DECISION of 13 August 2008 establishing the ecological criteria for the award of the Community eco-label to indoor paints and varnishes
	Outdoor paints and varnishes	Phthalates: Only phthalates that at the time of application have been risk assessed and have not been classified with the phrases (or combinations thereof): R60, R61, R62, R50, R51,	COMMISSION DECISION of 13 August 2008 establishing the ecological criteria for the award of the

Eco-label	Articles	Criteria relevant for phthalates	Document title
		R52, R53, R50/53, R51/53, R52/53 (aquatic toxicity and toxicity to reproduction, among others, i.e. <b>DIPP and DMEP</b> ) may be used in the product before or during tinting (if applicable). Additionally DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate), <b>DIDP</b> (di-isodecyl phthalate) are not permitted in the product.	Community eco-label to outdoor paints and varnishes
	Personal computers	If any plasticiser substance in the manufacturing process is applied, it must comply with the requirements on hazardous substances set out in criteria 5 and 6 (aquatic toxicity and toxicity to reproduction, among others, i.e. <b>DIPP and DMEP</b> ). Additionally DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate), <b>DIDP</b> (di-isodecyl phthalate) shall not intentionally be added to the product.	COMMISSION DECISION of 9 June 2011 on establishing the ecological criteria for the award of the EU Ecolabel for personal computers
	Notebook computers	Same as above	COMMISSION DECISION of 6 June 2011 on establishing the ecological criteria for the award of the EU Ecolabel for notebook computers
	Wooden floor coverings	The requirements of part 2.1 on dangerous substances for the raw wood and plant treatments shall also apply for any phthalates used in the manufacturing process (aquatic toxicity and toxicity to reproduction, among others, i.e. <b>DIPP and DMEP</b> ). Additionally DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate), <b>DIDP</b> (di-isodecyl phthalate) are not permitted in the product.	COMMISSION DECISION of 26 November 2009 on establishing the ecological criteria for the award of the Community Ecolabel for wooden floor coverings.
	Textile floor coverings	If any plasticizer substance in the manufacturing process is applied, only phthalates that at the time of application have been risk assessed and have not been classified with the phrases (or combinations thereof) may be used: R50 (very toxic to aquatic organisms), R51 (toxic to aquatic organisms), R52 (harmful to aquatic organisms), R53 (may cause long-term adverse effects in the aquatic environment), R60 (may impair fertility), R61 (may cause harm to the unborn child), R62 (possible risk of impaired fertility). Alternatively, classification may be considered according to Regulation (EC) No 1272/2008. In this case no substances or preparations may be added to the raw materials that are assigned, or may be assigned at the time of application, with any of the following hazard statements (or	COMMISSION DECISION of 30 November 2009 on establishing the ecological criteria for the award of the Community Ecolabel for textile floor coverings

Eco-label	Articles	Criteria relevant for phthalates	Document title
		combinations thereof): H400, H410, H411, H412, H413, H360F, H360D, H361f, H361d, H360FD, H361fd, H360Fd, H360Df. Additionally DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate), <b>DIDP</b> (di-isodecyl phthalate) are not permitted in the product	
	Wooden furniture	If any plasticizer substance in the manufacturing process is applied, phthalates must comply with the requirements on hazardous substances set out in section 2 (aquatic toxicity and toxicity to reproduction, among others, i.e. <b>DIPP and DMEP</b> ). Additionally DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate), <b>DIDP</b> (di-isodecyl phthalate) are not permitted in the product.	COMMISSION DECISION of 30 November 2009 on establishing the ecological criteria for the award of the Community eco-label for wooden furniture.
	Light bulbs	If any plasticizer substance in the manufacturing process is applied, it must comply with the requirements on hazardous substances set out in Criteria 5 and 6 (aquatic toxicity and toxicity to reproduction, among others, i.e. <b>DIPP and DMEP</b> ). Additionally, DNOP (di-n-octyl phthalate), <b>DINP</b> (di-isononyl phthalate) and <b>DIDP</b> (di-isodecyl phthalate) shall not intentionally be added to the product.	COMMISSION DECISION of 6 June 2011 on establishing the ecological criteria for the award of the EU Ecolabel for light source
	Printed paper	The following substances or preparations shall not be added to inks, dyes, toners, adhesives, or washing agents or other cleaning chemicals used for the printing of the printed paper product: — Phthalates that at the time of application are classified with risk phrases H360F, H360D, H361f (toxic to fertility; i.e. <b>DIPP and DMEP</b> ) in accordance with Regulation (EC) No 1272/2008	COMMISSION DECISION of 16 August 2012 establishing the ecological criteria for the award of the EU Ecolabel for printed paper

## 2.4 Summary and conclusions

DIPP and DMEP are subject to harmonised CLP classification and are classified for reproductive toxicity in category 1B. In addition, DIPP is classified as acute toxic 1 in aquatic environments.

The majority of industry notifiers do not suggest a classification for the selected phthalates without a harmonised classification due to lack of sufficient data. Besides classification proposals for acute toxicity, skin and eye irritation, and acute aquatic toxicity for some of the substances the most serious classification proposals suggested include classification for reproductive toxicity in category 2 for DEP suggested by 2 notifiers out of 70 and for DINP (CAS no. 68515-48-0) suggested by 3 notifiers out of 269. Specific target organ toxicity – single and repeated exposure is suggested for DEP by 9 and 10 out of 70 notifiers. One has suggested a classification as a skin irritant. Chronic aquatic toxicity in category 4 is suggested for DINP (CAS no. 28553-12-0) by 28 out of 857 notifiers and chronic aquatic toxicity in category 1 and 2 is suggested for DIDP (CAS no. 26761-40-0) by 23 and 43 notifiers respectively.

EU legislation restricts the use of DINP and DIDP in toys and childcare articles which can be placed in the mouth by children and prohibits the use of DMEP and DIPP in cosmetic products. Specific EU labelling requirements apply to certain medical devices containing phthalates classified as reproductive toxicants in category 1 and 2. A ban on CMR substances in a concentration above the classification limits in toys also apply to DMEP and DIPP as well as requirements for labelling for certain medical devices. EU also restricts the use of DINP and DIDP in plastic materials intended to come into contact with food.

Denmark has issued a national ban on the import, sale and use of phthalates in toys and childcare articles for children aged 0-3 years if the products contain more than 0.05 per cent by weight of phthalates. Other national legislation addresses the maximum concentration of phthalates in water leaving the water works and in consumer tap water. In addition, DEP has a defined occupational exposure limit.

DIPP and DMEP are included in the Candidate List under the REACH Regulation and thus in the line for being subject to the authorisation process.

The Swedish Chemicals Agency plans to investigate the need for national restrictions on phthalates toxic to reproduction or endocrine-disrupting.

Phthalates are generally not addressed directly in international agreements. However, hazardous wastes from production, formulation and use of plasticisers, falls under the provisions of the Basel Convention.

Phthalates are addressed by EU and Nordic eco-labelling schemes, in numerous product types either directly ("**phthalates**", **DINP**, **DIDP**) or by means of their classification (DEP, DIPP and DMEP).

# 3. Manufacture and uses

## 3.1 Manufacturing

Manufacturers of phthalates and other plasticisers in the EU are organised in the European Council for Plasticisers and Intermediates (ECPI). The organisation has a membership of eight companies involved in the production of plasticisers. Some of the manufacturers of phthalates in the EU are not members of the organisation. ECPI provides some overall information on the use of the phthalates on the website "Plasticisers and flexible PVC information centre" (ECPI, 2013a). The organisation has been contacted in order to obtain updated information on the manufactured volumes and use of the six phthalates. ECPI (2013e) has responded that they cannot give production volumes and have given information on the status of the phthalates in question in the EU (see descriptions in relevant sections below).

### Manufacturing processes

According to ECPI (2013a) DIDP, DINP and DPHP are produced by esterification of "oxo" alcohols averaging a carbon chain length of nine or ten. The "oxo" route differs from the 2-ethylhexanol route in that the alcohol for subsequent esterification is produced through the hydroformylation of an alkene (olefin; rather than the dimerisation of butyraldehyde). The hydroformylation process adds one carbon unit to an alkene chain by reaction with carbon monoxide and hydrogen under specific temperature and pressure conditions and with the help of a catalyst. In this way a C8 olefin (alkene) is carbonylated to yield a C9 alcohol; a C9 alkene is carbonylated to produce a C10 alcohol.

Due to the distribution of the C=C double bonds in the olefin and differences in catalysts selectivity, the position of the added carbon atom can vary, as is the case for DINP and DIDP. In such a reaction, an isomer distribution is generally created (e.ei. with varying physical and chemical structure), with the precise nature of this distribution being dependent upon the precise reaction conditions. Consequently, these alcohols are termed iso-alcohols and subsequently iso-phthalates. (ECPI, 2013a).

**DINP** - Isononyl alcohol, used in the synthesis of DINP, is produced via either the dimerization of butene or the oligomerization of propylene/butene. DINP is produced by esterification of phthalic anhydride with isononyl alcohol in a closed system. The reaction rate is accelerated by elevated temperatures (140-250 °C) and a catalyst. Following virtually complete esterification, excess alcohol is removed under reduced pressure and the product is then typically neutralised, water washed and filtered (ECPI, 2013b).

**DIDP** - DIDP is according to the EU Risk Assessment prepared from propylene and butenes through an oligomerisation process forming hydrocarbons with 8 to 15 carbon atoms (EC, 2003a). After distillation (in view of obtaining nonene), oxonation forms aldehydes with one more carbon atom ("isodecanal"). The latter are hydrogenated and distilled to form monohydric alcohols (mainly C10). These are reacted with phthalic anhydride (PA). The first reaction step, alcoholysis of PA to give the monoester, is rapid and goes to completion. By charging in excess alcohol and by removing the water which is formed, the equilibrium can be shifted almost completely towards the products side. The reaction rate is accelerated by using a catalyst and high temperature. Depending on the used catalyst the temperature range is in between 140°C and 250°C. For an acid catalyst, neutralisation with aqueous caustic soda or sodium carbonate is necessary. However, traces of

alkali remain in the organic phase, and therefore a washing step is included. After distillation of remaining water and alcohol the catalyst is removed by filtration.

Information on the manufacture of the other phthalates has not been identified.

### **Manufacturing sites**

Specific information on manufacturing sites in the EU has not been searched for.

DINP is produced by four companies within the EU: BASF AG (Germany), Evonik Oxeno GmbH (Germany), ExxonMobil Chemical (Belgium), Polynt (Italy) (ECPI, 2013b).

DIDP is produced by two companies within the EU: ExxonMobil Chemical (Belgium) and Polynt (Italy) (ECPI, 2013c) while DPHP is produced by BASF (Germany) and Perstorp Oxo AB (Sweden) (ECPI, 2013b).

DIPP is registered by one company only, Eurenco Bofors AB (likely an importer; the company produces explosives), but may be imported or manufactured by other companies in smaller quantities.

DEP is registered by 5 companies, among these one of the major manufacturers of phthalates: Polynt (Italy) and Proviron (Belgium).

DMEP is not registered under REACH.

#### **3.1.1 Manufacturing volumes**

All six selected phthalates are pre-registered substances under REACH and listed in Table 18 with an indication of registered tonnage bands and names of companies which have registered the substances (manufacturers or importers).

**Substances registered with ECHA:** The database on registered substances includes as of June 2013:

- substances manufactured or imported at 100 tonnes or more per year (deadline 31st May 2013),
- substances which are carcinogenic, mutagenic or toxic to reproduction with manufacture or import above 1 tonne per year (Deadline for registration was 30 November 2010)"

Three of the substances DINP, DIDP and DPHP are manufactured or imported in the 100,000-1,000,000 t/y tonnage band; DEP in the 1,000-10,000 t/y tonnage; DIPP in 10-100 t/y. DMEP is not registered indicating that the manufactured and imported volume is less than 1 t/y or that there is no intention to market the substance in Denmark.

**TABLE 18**  
REGISTERED TONNAGE OF THE SIX PHTHALATES AS OF 20 JUNE 2013

CAS No	EC No	Substance name	Abbreviation	Registered, tonnage band, t/y *1	Registrants
<b>84-66-2</b>	201-550-6	Diethyl phthalate	DEP	Full: 1,000-10,000  Intermediate Use Only	COIM SpA, IT Lapiz Europe Limited, UK POLYNT S.p.A. Proviron Basic Chemicals nv Sustainability Support Services (Europe) AB GRACE Catalyst AB, SE GRACE GmbH & Co. KG, DE
<b>605-50-5</b>	210-088-4	Diisopentyl phthalate	DIPP	10-100	EURENCO Bofors AB, SE
<b>53306-54-0</b>	258-469-4	Bis(2-propylheptyl) phthalate	DPHP	100,000-1,000,000	ARKEMA FRANCE, FR BASF SE, DE DEZA a.s., CZ Grupa Azoty Zaklady, PO Perstorp Oxo, SE POLYNT S.p.A., IT
<b>117-82-8</b>	204-212-6	Bis(2-methoxyethyl) phthalate	DMEP	Not registered	
<b>68515-48-0</b>  <b>28553-12-0</b>	271-090-9  249-079-5	1,2-Benzenedicarboxylic acid, di-C8-10-branched alkyl esters, C9-rich Di-"isononyl" phthalate	DINP-1  DINP-2	100,000-1,000,000  100,000-1,000,000	ExxonMobil Chemical, NL BASF SE, DE DEZA a.s., CZ DOW BENELUX B.V., NL Evonik Industries AG, DE Evonik Oxeno GmbH, DE Istituto Suizoparael Fomento de la Seguridad- Swissi España S.L.U., ES KTR Europe GmbH, DE POLYNT S.p.A., IT REACH GLOBAL SERVICES S.A., BE
<b>68515-49-1</b>  <b>26761-40-0</b>	271-091-4  247-977-1	1,2-Benzenedicarboxylic acid, di-C9-11-branched alkyl esters, C10-rich Di-"isodecyl" phthalate	DIDP-1  DIDP-2	100,000-1,000,000  Not registered	ExxonMobil Chemical, NL In fineum UK Ltd, UK

\*1 As indicated in the lists of pre-registered and registered substances at ECHA's website.

In the production statistics of Eurostat all phthalates, apart from dibutyl (mainly DBP) and dioctyl (mainly DEHP), are included in one group with a total production in 2011 of approximately 780,000 t/y whereas the average for the period 2006-2010 was approximately 870,000 t/y (Table 19).

**TABLE 19**  
**EU27 PRODUCTION OF SELECTED PHTHALATES (EUROSTAT, 2012A)**

Product code	Text	Production, t/y	
		Average 2006-2010	2011
20143410	Dibutyl and dioctyl <i>orthophthalates</i>	278,416	146,333
20143420	Other esters of <i>orthophthalic acid</i>	865,573	782,533

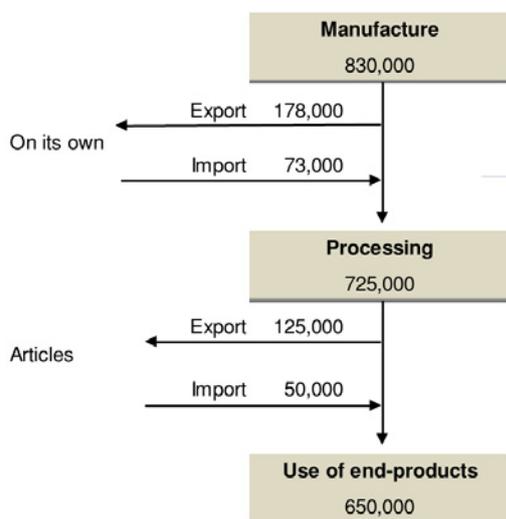
According to ECPI, the consumption of DINP, DIDP and DPHP (di-2-propylheptyl phthalate), has increased from representing about 50% of total phthalate sales in Europe in 2001 to approximately 83% of the total sales in 2010 (COWI *et al.*, 2012). In Europe, about one million tonnes of phthalates were manufactured in 2010 (COWI *et al.*, 2012).

### DINP and DIDP

As background for an assessment of DINP and DIDP prepared by ECHA in 2011, a report on the volumes of DINP and DIDP was prepared which presents the most current overview of publicly available information on the manufacture and use of DINP and DIDP (COWI *et al.*, 2012). The overall flow of the sum of DINP, DIDP and DPHP is shown in Figure 1. As shown, the EU is a net exporter of these substances DINP and DIDP, both as regards the substances as such and in articles.

These data are further discussed in the next section.

**FIGURE 1**  
 SCHEMATIC VIEW OF THE APPROXIMATE FLOW OF DINP, DIDP AND DPHP IN EU IN 2010 (BASED ON COWI *ET AL.*, 2012)



### Global manufacture of the substances

DINP, DIDP and DPHP account for a major part of the plasticiser market in Europe than in other parts of the world, which influence to what extent the substances are imported in articles from countries outside the EU.

The most recent available estimate of the use of plasticisers by region, presented at the 22<sup>nd</sup> Annual Vinyl Compounding Conference in July 2001, concerns 2010 (Calvin, 2011). The breakdown of the plasticiser market in Western Europe, USA and Asia is shown in Table 20. According to this presentation, DINP/DIDP represented 63% of the plasticiser market in Western Europe in 2010, whereas it only represented 33% of the market in the USA and 21% of the market in Asia. The total

global market for plasticisers was estimated at 6 million tonnes, with 1.4 million tonnes in Europe, the Middle East and Africa, 1.1 million tonnes in the Americas and 3.5 million tonnes in Asia (Calvin, 2011). Of the global plasticiser market, phthalates represented 84% (Calvin, 2011). As shown in the table, the on-going substitution of the traditional main general plasticiser DEHP has not reached the same level in Asia as in Europe and the USA. Also, non-phthalate plasticiser and “linears/other phthalates” are used to a higher extent in the USA than in Europe. This may, at least partly, be because non-*ortho*-phthalates like terephthalates (for example DEHT) were traditionally produced and used to a higher extent in North America.

**TABLE 20**  
WORLD PLASTICISER MARKET 2010 (CALVIN, 2011)

Plasticiser	Percentage of total plasticiser market *1		
	Western Europe	USA	Asia
DEHP	16	19	60
C9/C10 phthalates *2	63	33	21
Linears/other phthalates *3	6	19	9
Non phthalates	16	38	10
Total	100	100	100

\*1 The data are indicated to be based on two market reports (SRI,CMAI) and BASF estimates.

\*2 Note of the authors of this survey: Mainly DINP (C9) and DIDP/DPHP (C10).

\*3 Note of the authors of this survey: The three other phthalates subject of this survey will be included in this group.

## 3.2 Import and export

### 3.2.1 Import and export of selected phthalates in Denmark

The import of all phthalates as retrieved from Statistics Denmark is shown in the table below. In Denmark, the production statistics uses the same CN8 nomenclature as used for the import/export statistics. The table includes import, export and production statistics for all phthalates. Phthalates are however not produced in Denmark.

As the registered trade seems to have an inconsequent use of commodity codes, data for all codes relevant to phthalates (on their own) are presented in the table. DINP, DIDP and DPHP would be expected to be included in the commodity group "Diisooctyl, diisononyl and diisodecyl *ortho*phthalates". The imported quantities, indicate however that the substances are more likely included in the group "Dinonyl or didecyl *ortho*phthalates". The dinonyl *ortho*phthalates (C9) includes DINP and this substance accounts for the main part of the C9 phthalates. Other phthalates that might be included under this CN8 code is 911P (linear nine-eleven phthalate, slightly branched) and 79P (linear seven-nine phthalate (highly branched)) (COWI *et al.*, 2012). The didecyl *ortho*phthalates (C10) may include DIDP and this substance accounts for a major part of the C10 phthalates. Other phthalates that might be included under this CN8 code are DPHP, 1012P (linear ten-twelve phthalate) and 610P (linear six-ten phthalate).

The other three selected phthalates are expected to be included in an aggregated commodity groups "Esters of *ortho*phthalic acid (excl. dibutyl, dioctyl, dinonyl or didecyl *ortho*phthalates)".

**TABLE 21**

DANISH PRODUCTION, IMPORT AND EXPORT OF ALL PHTHALATES (IMPORT/EXPORT FROM EUROSTAT, 2012A;  
PRODUCTION STATISTICS FROM STATISTICS DENMARK, 2012)

CN8code	Text	Import, t/y		Export, t/y		Production, t/y	
		Average 2007-2011	2012	Average 2007-2011	2012	Average 2007-2011	2012
29173100	Dibutyl <i>orthophthalates</i>	0	0	0	0	0	0
29173200	Diocetyl <i>orthophthalates</i>	1,239	889	226	59	0	0
29173300	Dinonyl or didecyl <i>orthophthalates</i>	1,573	1,355	823	710	0	0
29173400	Esters of <i>orthophthalic</i> acid (excl. Dibutyl, diocetyl, dinonyl or didecyl <i>orthophthalates</i> )	0	102	0	12	0	0
29173410	Diisooctyl, diisononyl and diisodecyl <i>orthophthalates</i>	8	0	13	0	0	0

### 3.2.2 Import and export of the selected phthalates in EU

#### Statistics on manufacture and import/export of selected phthalates on their own

EU external trade in tonnes of all phthalates on their own is shown in the Table 22. As indicated above for import to Denmark, DINP, DIDP and DPHP are most probably included in the group of "Dinonyl or didecyl *orthophthalates*", with a total export of 260,000 t/y (from EU) in 2011 while the import was approximately 20,000 t/y in 2011 i.e. the net export was approximately 240,000 t/y. DINP, DIDP and DPHP are expected to account for nearly 100% of the reported import and export, with DINP likely representing the majority.

The three other phthalates are included in an aggregated commodity group ("Esters of *orthophthalic* acid (excl. dibutyl, diocetyl, dinonyl or didecyl *orthophthalates*") and the import/export data cannot be extracted from the statistics. As expected, the import and export numbers for this **aggregate group are however smaller than the imports and exports of DIDP/DINP/DPHP** ("Dinonyl or didecyl *orthophthalates*"), **which are today the key general plasticisers as described above. Again** there is however a net export, **signalling the EU's position as a key producer of phthalates globally.**

**TABLE 22**  
EU27 EXTERNAL IMPORT AND EXPORT OF ALL PHTHALATES (EUROSTAT, 2012A)

CN code	Text	Import, t/y		Export, t/y	
		Average 2006-2010 *1	2011*	Average 2006-2010 *1	2011*
<b>2917.3100</b>	Dibutyl <i>orthophthalates</i>	298	:	4,864	:
<b>2917.3200</b>	Diocetyl <i>orthophthalates</i>	5,218	4,716	53,002	31,872
<b>2917.3300</b>	Dinonyl or didecyl <i>orthophthalates</i>	17,471	19,838	151,188	260,506
<b>2917.3400</b>	Esters of <i>orthophthalic acid</i> (excl. dibutyl, dioctyl, dinonyl or didecyl <i>orthophthalates</i> )	3,129 *1	-	71,181 *1	-
<b>2917.3410</b>	Diisooctyl, diisononyl and diisodecyl <i>orthophthalates</i>	739	1,201	7,301	864

\*1 Average for those years where data are reported.

As part of background document for ECHA's DINP/DIDP assessment, an estimate of the import/export of DIDP and DINP with **articles** was performed. The methodology applied was based on a methodology developed for the Danish EPA (Skårup and Skytte, 2003). The results are shown in Table 23.

The **total plasticiser content** of both imported and exported products (articles) was estimated at about 170,000 t/y. For the estimate of import/export of DINP/DIDP in articles it was assumed that DINP/DIDP accounted for the following percentages of the total plasticiser consumption by region: EU, Switzerland, Norway, Iceland: 63%; the Americas: 33%; Asia and rest of the world: 21%.

Assuming **DINP/DIDP** accounted for the percentages indicated above of the total plasticiser content, the import and export is estimated at 45,000 tonnes and 105,000 tonnes respectively, and the export corresponds to about 15% of the total use of DINP/DIDP for manufacturing of products with plasticisers in the EU.

Of the import into the EU, 51% of the tonnage of the articles originates from China, whereas only 9% of the imported DINP/DIDP (on their own) is estimated to originate from China.

It should be noted that some import/export may take place with articles not covered by the assessment (e.g. vehicles and electrical and electronic equipment), and the total tonnage imported in these articles are considered to add some 10-30% to the totals, as the major application areas are covered by the statistics.

As a best estimate, adding 20% to the numbers in Table 23, the import of DINP/DIDP (should likely be considered as including the third key general plasticiser DPHP) in articles was estimated at approximately 50,000 tonnes and the export at 125,000 tonnes.

**TABLE 23**  
ESTIMATED DINP/DIDP CONTENT OF EU27-EXTRA TRADED ARTICLES. AVERAGE OF THE YEARS 2008-2010 (COWI ET AL, 2012)

Product group	Tonnage products t/y		Tonnage plasticiser t/y		Tonnage DINP/DIDP t/y	
	Import	Export	Import	Export	Import	Export
<b>Hoses and profiles</b>	21,572	38,727	3,515	7,501	1,263	4,437
<b>Flooring and wall covering</b>	127,187	231,592	10,569	29,830	2,396	18,993
<b>Film/sheets and coated products</b>	1,164,779	922,288	75,201	68,578	21,505	42,706
<b>Coated fabric and other products from plastisol</b>	283,151	695,235	3,426	5,986	927	3,749
<b>Wires and cables</b>	117,036	153,675	8,183	9,695	2,336	5,780
<b>Moulded products and other</b>	449,756	475,303	63,448	47,006	15,058	29,364
<b>Total</b>	2,163,482	2,516,820	164,342	168,597	43,485	105,029

Similar numbers for the other phthalates assessed here; DEP, DIPP, DMEP have not been found.

### 3.3 Use

#### 3.3.1 Use in the EU

##### **DINP, DIDP and DPHP**

DINP, DIDP and DPHP (with DINP as the major) have over the last decade taken over as primary plasticiser for a major part of the former applications of DEHP. As a consequence of the different properties of the three substances, some differences in the use by application are seen.

**DINP** – DINP is a general plasticiser, which is applied in many products as the direct alternative for DEHP, the formerly major general PVC plasticiser. As such DINP has a high consumption and is probably the plasticiser which can be found in most flexible PVC products today. DINP has a wide range of indoor and outdoor applications. DINP is a commonly used plasticiser, 95% of which is used for flexible PVC used for construction and industrial applications, and durable goods (wire and cable, film and sheet, flooring, industrial hoses and tubing, footwear, toys, food contact plastics). More than half of the DINP used in non-PVC applications involves polymer-related uses (e.g. rubbers). The remaining DINP is used in inks and pigments, adhesives, sealants, paints and lacquers (where it also acts as a plasticiser) and lubricants (ECPI, 2013b).

**DIDP** - DIDP is a common phthalate plasticiser, used primarily to soften PVC. DIDP has properties of volatility resistance, heat stability and electric insulation and is typically used as a plasticiser for heat-resistant electrical cords, leather for car interiors, and PVC flooring. (ECPI, 2013c). Non-PVC applications are relatively small, but include use in anti-corrosion and anti-fouling paints, sealing compounds and textile inks.

**DPHP** - DPHP is often used as an alternative (to DIDP) because only minor compound changes are needed to adapt wire formulations for example to DPHP (ECPI, 2013d). It similarly matches DIDP performance in automotive applications. Its weather resistance makes it a strong candidate for outdoor applications (ECPI, 2013d). DPHP boasts better UV stability than most general-purpose plasticisers, making it especially suitable for applications like roofing, geomembranes, or tarpaulins. Almost all DPHP is used as a plasticiser to make PVC soft and flexible.

A total breakdown of the consumption by application in the EU of the three phthalates is not available. COWI *et al.* (2012) produced a best available scenario for the breakdown of the consumption by 2015 based on the available data from industry. According to the data source, it was however not possible to evaluate how well these estimates reflect the actual situation in Europe, but no objections to the breakdown from industry were provided.

**TABLE 24**  
SCENARIO FOR THE BREAKDOWN OF THE CONSUMPTION OF DINP AND DIDP BY APPLICATION AREA IN 2015 (ECHA, 2012)

Process	Application area	DINP+DIDP		DINP		DIDP	
		Percentage of total	Consumption, tonnes	Percentage of total	Consumption, tonnes	Percentage of total	Consumption, tonnes
<b>Calendering</b>	Film, sheet and coated products	14.9	109,178	11.5	57,018	22.0	52,140
	Flooring, roofing, wall covering	3.3	24,339	1.6	7,739	7.0	16,590
<b>Extrusion</b>	Hose and profile	5.0	36,856	5.1	25,006	5.0	11,850
	Wire and cable	27.3	199,580	17.3	85,761	48.0	113,760
	Clear, medical, film	6.7	49,373	8.1	39,901	4.0	9,480
<b>Injection moulding</b>	Footwear and miscellaneous	7.9	57,718	9.7	48,249	4.0	9,480
<b>Plastisol spread coating</b>	Flooring	10.0	73,017	13.8	68,299	2.0	4,740
	General (coated fabric, wall covering, etc.)	10.8	79,276	15.5	76,933	1.0	2,370
<b>Other plastisol applications</b>	Car undercoating and sealants	7.2	52,850	10.2	50,498	1.0	2,370
	Slush/rotational moulding etc.	1.8	13,213	2.2	10,845	1.0	2,370
<b>Mixture formulation</b>	Non-PVC applications	5.0	36,600	5.0	24,750	5.0	11,850
<b>Total</b>		100.0	732,000	100	495,000	100	237,000

Note: The values above have been calculated without rounding. The fact that the figures are calculated to the nearest tonne does not mean that they should be interpreted as precise to the nearest tonne.

DINP, DIDP and DPHP are typically used as primary plasticisers in PVC, sometimes in combination with other plasticisers. The actual concentrations are quite variable and depend on the desired properties of the final PVC. Actual analyses of plasticisers in different products demonstrate that, for the same product, often different combinations of plasticisers are found. The combination of plasticisers in a PVC material is partly governed by the desired performance characteristics of the plasticised material and partly by the desired process parameters in the manufacturing of the PVC materials.

Examples of actual measurement of DINP and DIDP from surveys of the substances in products are listed in Table 25 based on COWI *et al.* (2012).

Several of the surveys have been undertaken as part of the Danish EPA's programme on consumer products (Tønning *et al.*, 2009; Müller *et al.*, 2006; Nilsson *et al.*, 2006; Pors and Fuhlendorf, 2001; Poulsen and Schmidt, 2007, Svendsen *et al.*, 2007). A number of other surveys of the programme published in 2010 address phthalates in different product groups, but these surveys have not included DINP and DIDP or other of the phthalates subject to the present survey. DIPP, DPHP and DMEP have not been included in any of the surveys of the programme on consumer products, while a few surveys have included DEP as mentioned below.

The EU risk assessment for DINP does not indicate the typical content of DINP in flexible PVC. The substance is typically used as a 1:1 substitute for DEHP. According to the EU Risk Assessment for DEHP, the typical concentration of DEHP varies, but is often around 30% (w/w).

According to information from ECPI (2013c) the typical content of DIDP in flexible PVC products is between 25 and 50% (w/w).

The background data report for an Annex XV restriction dossier for DEHP, BBP, DBP and DIBP provides the following data specifically on the use of DINP and DIDP as collected from manufacturers of different articles (Højbye *et al.*, 2011). The information from this report, supplemented by information provided by ECPI for the study of COWI *et al.* (2012) leads to the following conclusions to be made (cited from COWI *et al.*, 2012):

- *"DINP is the major plasticiser for plastisol applications, in particular for the production of flooring products. Plasticiser concentrations vary quite extensively depending on flooring type. 10-20% plasticiser content, depending on product type, has been reported for products for the professional market, while higher concentrations (25-30%) are reported for low-price cushioned PVC flooring for the private market. It is not specifically indicated whether the lower plasticiser content in the products for the professional market is correlated with a lower flexible PVC content of the flooring.*
- *German investigations performed in 2003 (Stiftung Warentest, 2003 as cited by Højbye *et al.*, 2011) revealed a rather complex picture regarding plasticiser usage in flooring. PVC flooring marketed in Germany contained one or more of the following phthalates: DIBP, DBP, BBP, DEHP, DINP, DIDP, DIHP and DIOP. DINP and DIDP were found in significant concentrations. A total of 25 different products were analysed. The total concentration of phthalates registered in the products was in the range of 6.3% to 36.5%. According to ECPI, vinyl floors produced nowadays are based on DINP as the general purpose plasticizer and use a secondary fast fusing plasticizer, often esters of benzoic acid. DEHP, DIBP, DBP, DIHP and BBP have been phased out by European flooring manufacturers in the last 3 to 5 years. They may still be detected in vinyl floorings including a high level of recycled content or in some flooring produced outside the EU.*
- *DINP is the main plasticiser used in wallpaper/wall covering. According to major producers of PVC wallpaper, typical plasticiser concentrations are 25-30%.*
- *One producer has reported DINP concentrations in air mattresses of 20-30%.*
- *Typically, swimming pool liners made of flexible PVC contain 20-30% DINP and pool covers contain 25-30% DEHP.*
- *DEHP is the preferred plasticiser in bathing equipment with concentrations in the range 20-40%. Alternatively 20-30% DINP is used.*
- *DIDP and DEHP are likely the main plasticisers used for cables in the EU. According to one manufacturer, DIDP constitutes about 80% of the current plasticiser consumption for cables in the EU. Typical plasticiser concentrations in the PVC insulation are reported at 20-30%. (According to information provided by ECPI for this study [COWI *et al.*, 2012], DINP is rarely used for cables)"*

**TABLE 25**  
 EXAMPLES OF ACTUAL MEASUREMENT OF DINP AND DIDP IN PRODUCTS (COWI *ET AL.*, 2012)

Product group	n *1	Number of samples with substance > 1%*2		DINP content % (w/w)		DIDP content % (w/w)		Year	Organisation	Source (please find full reference in COWI <i>et al.</i> , 2012)
		DINP	DIDP	Range	Average	Range	Average			
<b>Packaging for shampoo and bath soap</b>	10	4	n.a.	1-31	22	n.a.	n.a.	2006	Dan ish EPA	Pou lsen and Schmidt, 2007
<b>Erasers</b>	26 (10)*3	3	n.a.	37-70	47	n.a.	n.a.	2006	Dan ish EPA	Svend sen et. al. 2007
<b>Sex toys</b>	15	2	n.a.	>50-60	55	n.a.	n.a.	2005	Dan ish EPA	Nilsson <i>et al.</i> , 2006
<b>Sex toys</b>	71	18	8	6-77	39	10-55	27	2009	The Netherlands Food and Consumer Product Safety Authority	VWA, 2009
<b>Toys for animals</b>	13	10	n.a.	7-54	28	n.a.	n.a.	2005	Dan ish EPA	Mü ller <i>et al.</i> , 2006
<b>Toys and baby articles</b>	252	23	4	0.7-41	29	9-32	24	2007	*8	Biedermann-Brem <i>et al.</i> , 2008
<b>Toys*6</b>	205	45	12	1-75	41	1-11	3	2008	*7	FCPSA, 2009
<b>Childcare articles *6</b>	25	2	1	4-28	16	25	25	2008	*7	- “ -
<b>Toys *6</b>	258	36	31	1-58	28	2-38	8	2009	*7	FCPSA, 2010
<b>Childcare articles *6</b>	13	2	0	37-56	47	-	-	2009	*7	- “ -
<b>Mitten labels</b>	2	2	n.a.	8-9	8	n.a.	n.a.	2008	Dan ish EPA	Tønning <i>et al.</i> , 2009
<b>Shower mat</b>	7	1	n.a.	14	14	n.a.	n.a.	- “ -	- “ -	- “ -
<b>Soap packaging</b>	6	1	n.a.	9	9	n.a.	n.a.	- “ -	- “ -	- “ -
<b>Plastic shoes</b>	27	1	1	3	3	1	1	2009	Swedish Society for Nature Conservation	SSNC, 2009
<b>Con veyer belts</b>	12	1	0	2.5	2.5	0	0	2008/ 2009	Dan ish Veterinary and Food Administration	DV FA, 2010
<b>Flooring</b>	5	2	*4	5-31	18	*4	*4	2000	Dan ish EPA	Pors and Fuhlendorf, 2001
<b>PVC gloves</b>	4	1	*4	59	59	*4	*4	- “ -	- “ -	- “ -

Product group	n *1	Number of samples with substance > 1% *2		DINP content % (w/w)		DIDP content % (w/w)		Year	Organisation	Source (please find full reference in COWI <i>et al.</i> , 2012)
		DINP	DIDP	Range	Average	Range	Average			
<b>Vinyl wallpaper</b>	4	2	*4	23-26	25	*4	*4	- “ -	- “ -	- “ -
<b>Carpet tiles</b>	2	1	*4	27	27	*4	*4	- “ -	- “ -	- “ -
<b>Shoulder bags, (transparent plastic, cloth like, artificial leather)</b>	3	1	*4	11	11	*4	*4	- “ -	- “ -	- “ -
<b>PVC gloves</b>	n.i.	n.i.	n.i.	32	32			2000	*9	Sauvegrain and Guinard, 2001
<b>Gloves</b>	n.i.	n.i.	n.i.	41-43	42	16-17	17	n.i.	Institute for Chemical and Bioengineering	Wormuth <i>et al.</i> , 2006
<b>Paints</b>	n.i.	n.i.	n.i.	0.05-0.5	0.3	0.03-0.3	0.2	n.i.	- “ -	- “ -
<b>Adhesives</b>	n.i.	n.i.	n.i.	3-6 *5	4	0.5-6	2	n.i.	- “ -	- “ -

\*1 Number of samples

\*2 Number of samples with concentration above a certain level defined in the studies (typically 1 % w/w)

\*3 10 out of 26 erasers were made of PVC; of these 3 contained DEHP.

\*4 The data indicated for DINP is the sum of DINP and DIDP

\*5 The paper indicates the min at the same magnitude as the max – here the min is adjusted on the basis of the indicated mean and max.

\*6 Number of samples indicate materials with more than 0.1% of the substances.

\*7 The Food and Consumer Product Safety Authority, the Dutch Ministry of Agriculture, Nature and Food Quality.

\*8 Official Food Control Authority of the Canton of Zurich, Chemical and Veterinarian State Laboratory of Baden-Württemberg, Institute for Food Investigation of the State Vorarlberg, State Laboratory of Basel-City, Kantonales Amt für Lebensmittelkontrolle, St Gallen.

\*9 Laboratoire National d'Essais Centre Logistique et Emballage at the request of Ansell Healthcare Europe N.V

n.a. Not analysed

n.i. Not indicated by the data source

## **DEP, DIPP and DMEP**

The aggregated information available on the use of DEP, DIPP and DMEP is scarce compared to DINP and DIDP, and the few reviews available mostly cite relatively old information and with little information about use and alternatives. The information given here is therefore not restricted to the EU.

ECPI has been asked for information on uses, consumption and alternatives in the European context, but apart from the information cited below, it was not possible for ECPI to supply information on these substances ECPI (2013e).

### **DEP**

DEP is a specialty polymer plasticiser and a solvent for cosmetics and personal care products, among others.

According to (NIEHS, 2006, USA): *"DEP is used as a plasticizer in consumer products, including plastic packaging films, cosmetic formulations, and toiletries, and in medical treatment tubing (IPCS, 2003). It is used in various cosmetic and personal care products (e.g., hair sprays, nail polishes, and perfumes), primarily as a solvent and vehicle for fragrances and other cosmetic ingredients and as an alcohol denaturant (Labunska and Santillo, 2004). Other applications include as a camphor substitute, plasticizer in solid rocket propellants, wetting agent, dye application agent, diluent in polysulfide dental impression, and surface lubricant in food and pharmaceutical packaging (ATSDR, 1995)."*

FDA (2013, USA) states that: *"The principal phthalates used in cosmetic products are dibutylphthalate (DBP), dimethylphthalate (DMP), and diethylphthalate (DEP). They are used primarily at concentrations of less than 10% as plasticizers in products such as nail polishes (to reduce cracking by making them less brittle) and hair sprays (to help avoid stiffness by allowing them to form a flexible film on the hair) and as solvents and perfume fixatives in various other products."*

DEP has been marketed by BASF (2008), as Palatinol® A (R), an additive with low odour for the fragrances and cosmetic industries. According to BASF, DEP is soluble in the usual organic solvents and is miscible and compatible with all of the monomeric plasticizers commonly used in PVC. DEP was registered at ECHA under the commercial name Palatinol® A (R). This name was however not **found at BASF's current product sites, and BASF is not among the** registering companies, so they may have abandoned the product by now, or transferred it to others. Polynt (2010), one of the registrants, markets DEP for the following uses: Cellulose, flavours & fragrances, cosmetics, pharma.

An anonymous source indicates current DEP use as plasticiser in EU. ECPI (2013e) does not have information of its use as a plasticiser.

The German Bayrisches Landesamt für Gesundheit und Lebensmittelsicherheit (the Bavarian Health and Food Authority; 2012) stated that DEP was allowed for denaturing of alcohol in Germany, and they found DEP in most of the analysed products in a survey of aftershaves, perfumes and eau de toilette. These products were selected as having most relevance due to their high alcohol contents, yet the survey does describe that DEP in cosmetics and personal care products can be used as a fragrance carrier and plasticiser also. Their results are shown in Table 26.

As described further in Section 3.3.2, DEP is as of 1 July 2013 not anymore among the accepted substances for denaturing of alcohol in the EU (substances that are required in alcohol in order to get exemption from alcohol tax).

**TABLE 26**

DEP CONCENTRATIONS FOUND IN TWO SURVEYS OF AFTERSHAVES, PERFUMES AND EAU DE TOILETTE ON THE GERMAN MARKET (BAYRISHES LANDESAMT FÜR GESUNDHEIT UND LEBENSMITTELSICHERHEIT, 2012).

DEP concentration range (%)	Test series in 2003; number of samples (% of samples)	Test series in 2006; number of samples (% of samples)
0 – 0,1	3 (= 12)	6 (= 23)
0,1 – 0,5	13 (= 52)	14 (= 54)
0,5 – 1,0	8 (= 32)	4 (= 15)
1,0 – 5,0	1 (= 4)	2 (= 8)
> 5,0	0 (= 0)	0 (= 0)

As regards nail polishes, DEP acts as a plasticiser to reduce cracking of the polish and as a film aid, probably by keeping the polish floating until a clear film has been established and thereafter partially evaporating from the surface (a principle used in PVC flooring with a resilient surface film, not with DEP however). DBP seems to have been the most used plasticiser for nail polishes, but DEP has been observed in some cases (US FDA, 2013). On the other hand, a survey of 23 nail polishes/lacquers marketed in California in 2012 (focusing on DBP, toluene and formaldehyde), found no DEP with the analysis methods used, but found DBP in 9 products (of which 7 with other plasticisers as well) and no DBP but other plasticisers in other 9 products. In 5 products, no plasticisers were observed with the used analytical methods. The other plasticisers observed were camphor (mentioned as a secondary plasticiser as well as a fragrance), dioctyl adipate, tributyl phosphate, butyl citrate, triphenyl phosphate, N-ethyl-o-toluene sulfonamide, N-ethyl-p-toluene sulfonamide, P-toluene sulfonamide (tosylamide). Several of the product samples claimed to be without DBP, but newer the less contained DBP in substantial concentrations (California EPA, 2012).

Similar information has not been found for the EU.

### DIPP

According to the DIPP SVHC dossier (Environment Agency Austria, no year): "*DIPP has been registered for its use in the manufacture of propellants. As other low molecular weight phthalates of carbon backbone lengths of C4 – C6 DIPP may also be used as plasticiser for PVC products and other polymers due to their similar structure and physicochemical properties. Di-n-butyl phthalate (DBP) and diisobutyl phthalate (DIBP) (linear and branched C4 esters) are used in many PVC formulations, principally for ease of gelation. Owing to their relatively high volatility, in comparison with other phthalates, they are often used in conjunction with higher molecular mass esters. Diisopentyl phthalate (DIPP) is generally used in a similar manner (Ullmann, 2012). However there is currently no registration for that use.*"

According to the REACH registration of the substance, it is registered by EURENCO Bofors AB, SE, a company which produces explosives as well as charges - so-called propellants - for ammunition (<http://www.eurenco.com/en/propellants/index.html>).

According to ECPI (2013e), DIPP is not produced in Europe anymore.

### DMEP

DMEP is a specialty plasticiser which can be used in a number of polymers. According to BAuA (2011): "*The general global applications of DMEP have included its use as a plasticiser in the production of nitrocellulose, acetylcellulose, polyvinyl acetate (PVA, eds.), polyvinyl chloride*

(PVC, eds.) and polyvinylidene chloride intended for contact with food or drink. DMEP is giving these polymeric materials good light resistance. Further, it is used as a solvent. DMEP can improve the durability and toughness of cellulose acetate (e.g. in laminated documents (Ormsby, 2005)) and can be used in “enamelled wire, film, high-strength varnish and adhesive. It can also be used in pesticide products internationally” (Canadian Screening Assessment, 2009).

Only limited information regarding DMEP in consumer products in the European marketplace has been identified. The Danish Product Register records DMEP as a plasticiser in the concentration range 0.1–1% in a material used to cover floors. The Swiss Product Register records five consumer products with 1–5% DMEP. One consumer product is a leather care product e.g. for shoes, the other four consumer products are categorised as “paints, lacquers and varnishes”. The information comes from older records and there are no current registrations of DMEP used in consumer products (personal communication). Baumann et al. (1999) described the application of DMEP as an additive for printer inks (“Kodaflex DMEP”). Cellulose acetate lamination films typically contain 20–30% plasticisers by weight. DMEP and other phthalates are commonly found in laminated documents (Ormsby, 2005). The Australian NICNAS (2008) has reported about the import of DMEP in balls for playing and exercise, hoppers and children’s toys (e.g. as inflatable water products) (Australian NICNAS, 2008).

There is no information whether the substance is still in use in articles on the EU market. "

According to CPSC (2011): “DMEP is used as a plasticizer for cellulosic resins, some vinyl ester resins, PVC, and as a solvent, a molding component, and in adhesives, laminating cements, and flash bulb lacquers. In Italy, dimethoxyethyl phthalate is permitted for use with food. U.S. production of DMEP was estimated to be greater than 5000 pounds in 1977 and 1979 (HSDB 2010). The U.S. EPA’s Inventory Update Report (IUR) lists U.S. production/importation volume of DMEP to be between 500,000 and 1,000,000 pounds in 1986, and 10,000 to 500,000 pounds in the surveys conducted every four years from 1990–1998 (U.S. EPA 2002). After 1998, DMEP production was no longer tracked by IUR.”

According to ECPI (2013), DMEP is not used as a plasticiser and the only European producer stopped making this substance a few years ago.

### **3.3.2 Use in Denmark**

The latest available aggregate survey of annual phthalate consumption in Denmark covers 2005–2007 and is based on the revenues from the Danish environmental tax on phthalates (Brandt and Hansen, 2009), in combination with other data on the application of phthalates. The situation may likely be the same today, except that the assessment of which phthalates are used may be slightly different today, as DINP is expected to be the main general plasticiser, while DIDP and DPHP are primarily expected to be used in applications where resistance to heat or sunlight is prioritised (wire and cable, roofing, tarps, etc.). DEHP may however still be present in a number of articles, especially in import from Asia.

**TABLE 27**

ESTIMATED ANNUAL PHTHALATE CONSUMPTION IN 2005-2007 BASED ON THE REVENUES FROM THE DANISH ENVIRONMENTAL TAX ON PHTHALATES (BRANDT AND HANSEN, 2009)

Product group	Used phthalates (assessment by Brandt and Hansen, 2009)	Consumption of phthalates, t/y		New remarks
		Calculated from income from tax on phthalates in 2005- 2007	Estimates share of DEHP, DBP and BBP	
<b>Wire and cable</b>	DIDP, DINP, DEHP	1 900	3 00-1200	DIDP likely dominate today; DINP, DPHP, DEHP and PVC- and-phthalate-free insulation also used
<b>Tube and hoses</b>	DINP, DEHP	630	7 0-140	
<b>Gloves, rainwear, etc.</b>	DINP, DIDP, DEHP	540	270-430	
<b>Roof plates</b>	DINP/DIDP, DEHP	160	<16	
<b>Film, sheets, tape</b>	DEHP, DINP	120	6 0-100	
<b>Ring binders and document pockets ("stationary")</b>	DINP, DEHP	85	<17	PVC-free binders and pockets dominate the market today
<b>Tarpaulins</b>	DINP, DIDP, DIOP (DEHP)	28	<3	DEHP may have higher share in this product category
<b>Table cloths, curtains, etc.</b>	DEHP (DINP)	9	5-7	
<b>Coated steel gutters</b>	DINP, DIDP, DEHP?	2	0,2-1	
<b>Totals</b>		3 844	7 05-2014	

### Data from the Danish Product Register

Data on selected phthalates registered in the Danish Product Register were retrieved in June 2013 on the basis of the list of selected phthalates. The Danish Product Register includes substances and mixtures for professional use which contain at least one substance classified as dangerous in a concentration of at least 0.1% to 1% (depending on the classification of the substance). Of the selected phthalates, only DIPP and DMEP are classified as dangerous. For the other non-classified substances, the registration will only occur if they are constituents of mixtures which are classified and labelled as dangerous due to the presence of other constituents. The data consequently do not provide a complete picture of the presence of the substances in mixtures placed on the Danish market. On the other hand, for substances included in mixtures used for formulation of other mixtures in Denmark (e.g. those included in raw materials used for production of paint), the quantities may be double-counted as both the raw material and the final mixture in the register. As stated above, the amounts registered are for occupational use only, but for substances used for the manufacture of mixtures in Denmark the data may still indicate the quantities of the substances in the finished products placed on the market both for professional and consumer applications.

As shown in Table 28, DINP is clearly the major phthalate in professional products marketed in Denmark, while the registered consumption of DIDP is moderate and the consumption of the other phthalates is minimal, as expected. DIPP is not registered in the Product Register. It is expected

that most of this import is used in Danish production, of which some is marketed domestically and some is exported. DEP is seen to be used in 113 products across 49 companies, with non-agricultural pesticides and preservatives as the major citable use (larger uses exist but may not be cited). DMEP is only registered by a few companies.

The Product Register does not include non-chemical articles such as wire and cable, shoe-soles, clothing, toys, etc., which likely constitute major parts of the Danish consumption of phthalates.

As shown in

Table 29, the major registered uses which can be mentioned with respect for confidentiality are adhesives and binding agents, fillers, paints, lacquers and varnishes. As noted, some other major applications across most substances cannot be mentioned due to confidentiality.

**TABLE 28**

SELECTED PHTHALATES – PURE AND IN MIXTURES PLACED ON THE DANISH MARKET IN 2011 AS REGISTERED IN THE DANISH PRODUCT REGISTER

CASNo	Short name	Chemical name	Prod/Com *2	Registered tonnage, t/y		
				Import *1	Export	Consumption
<b>84-66-2</b>	DEP	Diethyl phthalate	113/49	13	2,2	11
<b>117-82-8</b>	DMEP	Bis(2-methoxyethyl) phthalate	3/3	0-82	0-12	0-70
<b>53306-54-0</b>	DPHP	Bis(2-propylheptyl) phthalate	18/5	1	0	1
<b>26761-40-0</b>	DIDP-1	1,2-Benzenedicarboxylic acid, di-C9-11-	14/11	8	1	7
<b>68515-49-1</b>	DIDP-2	branched alkyl esters, C10-rich Di-"isodecyl" phthalate	44/15	423	375	48
	DIDP total		58/26	431	376	55
<b>28553-12-0</b>	DINP-2	1,2-Benzenedicarboxylic acid, di-C8-10-	68/34	682	378	304
<b>68515-48-0</b>	DINP-1	branched alkyl esters, C9-rich Di-"isononyl" phthalate	25/8	76	2	74
	DINP total		93/42	758	380	378

\*1: There is no phthalates production in Denmark.

\*2: Number of products/number of companies registered for substance.

**TABLE 29**

APPLICATION OF SELECTED PHTHALATES REGISTERED IN THE DANISH PRODUCT REGISTER, 2012

CASNo	Name	Function	Consumption (production + import – export)		
			Function code	Number of products	t/y
<b>84-66-2</b>	DEP*1	Absorbents and adsorbents	01	6	0.0046
		Cleaning/washing agents	09	35	0.0171
		Cosmetics	15	6	0.0041
		Impregnation materials	31	4	0.0001
		Odour agents	36	26	0.0096
		Non-agricultural pesticides and preservatives	39	12	0.4228
		Paints, lacquers and varnishes	59	4	0.0002
		Surface treatment	61	8	0.0002
<b>117-82-8</b>	DMEP *2	*2			
<b>26761-40-0</b>	DIDP-2	Fillers	20	4	5.9781
<b>28553-12-0</b>	DINP-2 *1	Adhesives, binding agents	02	20	5.5739
		Fillers	20	27	21.7020
		Paints, lacquers and varnishes	59	9	0.0861
<b>53306-54-0</b>	DHPH *2	*2			
<b>68515-48-0</b>	DINP-1 *2	*2			
<b>68515-49-1</b>	DIDP-2	Adhesives, binding agents	02	21	8.6736
		Fillers	20	15	38.5337

\*1: The dominant uses cannot be reported due to confidentiality.

\*2: The uses cannot be reported due to confidentiality.

**DEP in articles and mixtures**

As regards cosmetics, personal care products and cleaning agents, The Danish Association of Danish Cosmetics, Toiletries, Soap and Detergent Industries (SPT, 2013), informed that DEP has three possible applications in their sector: Denaturing of alcohol used in articles and mixtures, as a component in some fragrances and as film-forming substance in polymers used in nail polish. They did not have specific information on whether there was any actual use in their sector for these purposes in Denmark.

As mentioned above, DEP has been reported used for denaturing of alcohol. The aim of denaturing is to make the alcohol unacceptable for consumption (alcohol for consumption is subject to national tax). **For attaining tax exemption for “fully denatured” alcohol in Denmark, alcohol produced or used after 1 July 2013 shall be produced according to a specific formula containing 3 l isopropyl alcohol (IPA), 3 l methylethylketon (MEK) and 1 gram denatoniumbenzozate per 100 litre absolute alcohol.** Alcohol being denatured by the previously demanded formula and being bought before 1 July 2013 may be marketed until the end of 2013 (Skat, 2013); i.e. not any contents of DEP. Allowed denaturants for alcohol vary between EU countries, but according to the current rule, denaturants allowed in one EU country are accepted in imports to other EU countries (SPT, 2013).

As per EU Regulation 162/2013 of 21 February 2013<sup>1</sup>, a unified rule (with exemptions) was made that the denaturing formula mentioned above should apply in EU countries for which nothing else is mentioned in the regulation. A number of specified Member States have exemptions to the rule, allowing other specified formulas for denaturing alcohols, but in none of the EU countries DEP is on the list of accepted denaturants according to the regulation. The regulation also includes a list of denaturing products accepted in the EU (across all Member States). The list does not include DEP. The regulation entered into force 1 July 2013. The previous regulation on the issue (Regulation (EC) No 3199/93) had a different scope but did also not mention DEP. Based on this information, it must be expected that any denatured alcohol produced in the EU and marketed on its own or in articles or mixtures after 1 January 2014 must be DEP-free. In other words, import of articles/mixtures to Denmark from EU countries must be expected to be DEP-free, at least as of 1 January 2014. It has not been investigated if DEP is currently accepted as a denaturing substance in non-EU countries, and DEP could perhaps thus be a component in extra-EU import of cosmetics, etc.

Jørgen Gade Hyltdgaard (2013), who is a consultant for more than half of the Danish producers of cosmetics and personal care products on product safety issues, does not know of any Danish producers using DEP. Contact to a major Danish producer of cosmetics confirmed this statement as regards their own production. According to Hyltdgaard, the function of DEP in fragrances is to delay the evaporation of the fragrance from the article/mixture.

While data on the consumption of DEP in articles have not been found, DEP has been included in a number of analyses of consumer products performed as part of the Danish EPA's surveys of chemicals in consumer products on the Danish market (as well as in other reports published by the Danish EPA).

DEP was found in one of 20 toothbrushes at a quantity of 3.1 µg/toothbrush (Svendsen *et al.*, 2004). Similarly, DEP was found in two out of 60 plastic sandals analysed by Tønning *et al.* (2010); foam clogs and flip-flops, no concentration data were given. Tønning *et al.* (2008) found DEP in a printed badge in a baby carrier at concentration of 60 and 350 µg/g, respectively, in two different samples from the same product. In total, 13 baby products in the following product types were analysed for phthalate content: Pillows for baby feeding, baby carriers, nursing pillows/cushions with different covers and stuffing, baby mattresses with stuffing of foam for beds, aprons for perambulators, disposable foam wash cloths. Borling *et al.* (2006) found DEP at 1.5 mg/kg (or 1.5 µg/g) in an activity carpet and < 3 mg/kg in a ball; for the other 6 products analysed, the concentration was below < 0.5 mg/kg. Nilsson *et al.* (2006) found DEP in the concentration 0.12 g/kg in one out of 15 sex toys analysed; a fetish glove of latex rubber. Tønning *et al.* (2009) found DEP in PVC soap packaging, but DEP concentrations were not measured.

Further, Larsen *et al.* (2000) reports that DEP was found in concentrations up to 2.3 mg/kg in textiles.

The relatively low concentrations indicate that DEP may either have been present as an impurity in the plasticiser used or as a specialty plasticiser, or an auxiliary process substance with another purpose, which function at low concentrations. While ECPI (2013e) has the understanding that DEP is not used as a plasticiser, an anonymous data source indicates that it is used as such.

### **Data request from Danish trade and industry associations**

The following Danish trade and industry associations have been contacted for data on the phthalates covered in this survey:

- **Fugebranchen (the sealants suppliers' and applicators' organisation)**

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<sup>1</sup> Regulation 162/2013 of 21 February 2013 amending the Annex to Regulation (EC) No 3199/93 on the mutual recognition of procedures for the complete denaturing of alcohol for the purposes of exemption from excise duty

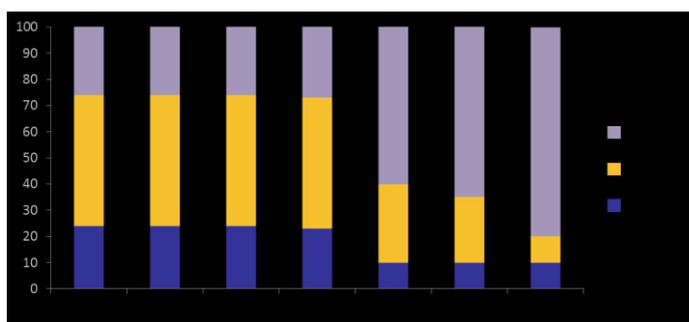
- DFL (Danish paints and glues industry)
- The PVC Information Council Denmark
- The Danish Plastics Federation
- The Association of Danish Cosmetics, Toiletries, Soap and Detergent Industries

The Association of Danish Cosmetics, Toiletries, Soap and Detergent Industries provided general information about the use of DEP in their sector (as cited above) and forwarded the data request to their members, from which no replies were received as of the closure of the editing of this report. A few of their members were contacted directly by COWI. DFL (2013) has informed that members who responded to their inquiry in connection with this project did not use phthalates on the List of undesirable substances (LOUS). Some did however report use of DIDP, in antifouling paints in concentrations of 1-6% and in a flexible adhesive, where it is part of an imported ingredient.

Fugebranchen (the sealants supplier and applier organisation) responded with specific information about Danish conditions (information about one Danish producer using some of these phthalates). The PVC Information Council Denmark (a part of The Danish Plastics Federation) kindly forwarded our request for data to ECPI, which provided remarks on their understanding of the use of the phthalates in question (as cited in relevant sections) and general data on consumption trends for primary plasticisers (DINP, DIDP and DPHP).

### 3.4 Historical trends in use

Overall data on the trend in the use of phthalates are available from the web site of ECPI. ECPI distinguishes between High Molecular Weight (HMW) phthalates with 7-13 carbon atoms in their chemical backbone (with an average of C9-C10) and Low Molecular Weight (LMW) phthalates (ECPI, 2013a) with less. According to ECPI, the most common types of HMW include DINP, DIDP, DPHP, DIUP, and DTDP. DINP, DIDP and DPHP account for nearly 100% of the HMW. As shown in the figure below, the consumption of the HMW (mainly DINP, DIDP and DPHP), has increased from representing less than 25% of total phthalate sales in Western Europe in 1982, via about 50% in 2001 to approximately 83% of the total sales in 2011 (ECPI, 2013a).



**FIGURE 2**  
WESTERN EUROPE CONSUMPTION OF PHTHALATE PLASTICISERS (ECPI, 2013A)

It is not specifically indicated how much of the high molecular weight phthalates referred to in the figure above is represented by the different phthalates.

The total consumption of plasticisers, including phthalates, has been steady to slightly declining within the EU during the last 10 years, driven by the increasing manufacture of PVC articles outside the EU (as cited by COWI *et al.*, 2012). While on a global scale producers still foresee an increase in total manufacture and consumption of plasticisers, consumption within the EU is likely to continue to be steady to slightly declining

A survey of Brandt and Hansen (2009) of phthalates in articles placed on the market in Denmark in a historical perspective concludes in accordance with the general pattern in the EU that the classified phthalates DEHP, BBP and DBP to a large extent have been replaced by the non-classified phthalates such as DINP and DIDP.

DEP is reported to have been used in a large variety of consumer products. No information has however been found about quantities used by application.

### 3.5 Summary and conclusions

Phthalates are not produced in Denmark, but the EU is a major producer and exporter of (*ortho*-) phthalates.

**DINP** is produced by four companies within the EU in Germany, Belgium and Italy, and is registered in the 100,000-1,000,000 tonnes/y band. **DIDP** is produced by two companies within the EU in Belgium and Italy, and is registered in the 100,000-1,000,000 tonnes/y band. **DPHP** is produced in Germany and Sweden, and also registered in the 100,000-1,000,000 tonnes/y band.

**DIPP** is registered by one company in the 100-1000 tonnes/y band (a producer of explosives), and is not produced in the EU anymore. **DEP** is registered by 5 companies in the 1000-10,000 tonnes/y band; among the companies is one of the major manufacturers of phthalates. **DMEP** is not registered under REACH and is reported to not be produced in Europe anymore.

The **breakdown of the plasticiser market** in Western Europe, USA and Asia can be summarised as follows: DINP/DIDP represented 63% of the plasticiser market in Western Europe in 2010, whereas it only represented 33% of the market in the USA and 21% of the market in Asia. The total global market for plasticisers was estimated at 6 million tonnes, with 1.4 million tonnes in Europe, the Middle East and Africa, 1.1 million tonnes in the Americas and 3.5 million tonnes in Asia (Calvin, 2011). Of the global plasticiser market, phthalates represented 84% (Calvin, 2011). The on-going substitution of the traditional main general plasticiser DEHP has not reached the same level in Asia as in Europe and the USA. Also, non-phthalate plasticiser and “linears/other phthalates” are used to a higher extent in the USA than in Europe.

**Danish net import** in 2012 of phthalates on their own was still dominated by DEHP (C8; net import around 800-1000 tonnes/y), but with the general C9-C10 plasticisers types including DINP and DIDP/DPHP (net imports around 600-800 tonnes/y) as a major follow-up. The other three plasticisers covered in this study are recorded with other phthalates in the trade statistics and the group is traded in much lower numbers (net import around 90 tonnes/y).

The total plasticiser content of both **imported and exported articles** into and out of the EU has been estimated at about 170,000 t/y. For the estimate of import/export of DINP/DIDP in articles it was assumed that DINP/DIDP accounted for the following percentages of the total plasticiser consumption by region: EU, Switzerland, Norway, Iceland: 63%; the Americas: 33%; Asia and rest of the world: 21%. Using these numbers, the import and export was estimated at 45,000 tonnes and 105,000 tonnes respectively, and the export corresponds to about 15% of the total use for manufacturing of products with plasticisers in the EU. Correcting for a few article types not covered in these estimates, the import of DINP/DIDP (should likely be considered as including the third key general plasticiser DPHP) in articles was estimated at approximately 50,000 tonnes and the export at 125,000 tonnes. Of the import into the EU, 51% of the tonnage of the articles originates from China, whereas only 9% of the imported DINP/DIDP (as such) is estimated to originate from China. An overview of the extra-EU import/export by article type is shown in Table 23.

As regards the use in the EU, DINP, DIDP and DPHP have over the last decade taken over as primary plasticiser for a major part of the former applications of DEHP. As a consequence of the different properties of the three substances, some differences in the use by application are seen.

**DINP, DIDP and DPHP** are typically used as primary plasticisers in PVC, sometimes in combination with other plasticisers. The actual concentrations are quite variable and depend on the desired properties of the final PVC. Actual analyses of plasticisers in different products demonstrate that, for the same product, often different combinations of plasticisers are found. The combination of plasticisers in a PVC material is partly governed by the desired performance characteristics of the plasticised material and partly by the desired process parameters in the manufacturing of the PVC materials. Typical concentrations of DIDP in flexible PVC applications are reported to be around 25-50%, and the same seems to be the case for DINP.

**DINP** – DINP is a general plasticiser, which is applied in many products as the direct alternative for DEHP, the formerly major general PVC plasticiser. As such DINP has a high consumption and is probably the plasticiser which can be found in most flexible PVC products today. DINP has a wide range of indoor and outdoor applications. DINP is a commonly used plasticiser, 95% of which is used for flexible PVC used for construction and industrial applications, and durable goods (wire and cable, film and sheet, flooring, hoses and tubing, footwear, toys, etc.). More than half of the DINP used in non-PVC applications involves polymer-related uses (e.g. rubbers). The remaining DINP is used in inks and pigments, adhesives, sealants, paints and lacquers (where it also acts as a plasticiser) and lubricants (ECPI, 2013b).

**DIDP** - DIDP is a common phthalate plasticiser, used primarily to soften PVC. DIDP has properties of volatility resistance, heat stability and electric insulation and is typically used as a plasticiser for heat-resistant electrical cords, leather for car interiors, and PVC flooring. (ECPI, 2013c). Non-PVC applications are relatively small, but include use in anti-corrosion and anti-fouling paints, sealing compounds and textile inks.

**DPHP** - DPHP is often used as an alternative (to DIDP) because only minor compound changes are needed to adapt wire formulations for example to DPHP (ECPI, 2013d). It is used in automotive and outdoor applications (roofing, geo-membranes, tarpaulins, etc.). Almost all DPHP is used as a plasticiser to make PVC soft and flexible.

A total breakdown of the consumption by application in the EU of the three phthalates by is not available. COWI *et al.* (2012) produced a best available scenario for the breakdown of the consumption by 2015 based on the available data from industry. The major article types were wires and cables, film and sheet, flooring, and various other coated products.

### **DEP, DIPP and DMEP**

The aggregated information available on the use of DEP, DIPP and DMEP is scarce compared to DINP and DIDP, and the few reviews available are mostly relatively old and with little information about use and alternatives.

### **DEP**

DEP is a specialty polymer plasticiser and a solvent for cosmetics and personal care products, among others. DEP is reported to have been used as a plasticizer in consumer products, including plastic packaging films, cosmetic formulations, and toiletries, and in medical treatment tubing. Also in various cosmetic and personal care products (e.g., hair sprays, nail polishes, and perfumes), primarily as a solvent and vehicle for fragrances and other cosmetic ingredients and as an alcohol denaturant. DEP is however not mentioned as an accepted denaturant in EU and Danish rules from 2013 on tax exemption for denatured alcohol (exemption requires use of specified denaturants). An anonymous source indicates current DEP use as plasticiser in EU. ECPI does not

have information of its use as a plasticiser. Other applications include as a camphor substitute, plasticizer in solid rocket propellants, wetting agent, dye application agent, diluent in polysulfide dental impression, and surface lubricant in food and pharmaceutical packaging, in preparation of pesticides. Polynt, one of the registrants, markets DEP for the following uses: Cellulose, flavours & fragrances, cosmetics, pharma.

### **DIPP**

According to the registration of the substance, it is registered by EURENCO Bofors AB, SE, a company which produces explosives as well as charges - so-called propellants - for ammunition. DIPP may also be used as plasticiser for PVC products and other polymers due to their similar structure and physicochemical properties, but this use is not registered.

### **DMEP**

DMEP is a specialty plasticiser which can be used in a number of polymers. The general global applications of DMEP have included its use as a plasticiser in the production of nitrocellulose, acetyl cellulose, PVA, PVC and polyvinylidene chloride intended for contact with food or drink. DMEP is giving these polymeric materials good light resistance. Further, it is used as a solvent. Only limited information regarding DMEP in consumer products in the European marketplace has been identified. There is no information whether the substance is still in use in articles on the EU market. As mentioned, DMEP is not registered under REACH.

The latest available aggregate **survey of annual phthalate consumption** for Denmark covers 2005-2007 and is based on the revenues from the Danish environmental tax on phthalates, in combination with other data on the application of phthalates. The major article groups as regards phthalate consumption were wires and cables (1900 tonnes/y), tubes and hoses (630 t/y), and gloves and rainwear (540 t/y). The situation depicted may likely be the same today, except that the assessment given of phthalates used may be slightly different today, as DINP is expected to be the main general plasticiser, while DIDP and DPHP are primarily expected to be used in applications where resistance to heat or sunlight is prioritised (wire and cable, roofing, tarps, etc.). DEHP is however likely still present in a number of articles.

Data on selected phthalates registered in the **Danish Product Register** were retrieved in June 2013 on the basis of the list of selected phthalates. The Danish Product Register includes substances and mixtures for professional use which contain at least one substance classified as dangerous in a concentration of at least 0.1% to 1% (depending on the classification of the substance). Of the selected phthalates, only DIPP and DMEP are classified as dangerous. DINP is clearly the major registered phthalate in professional products marketed in Denmark, while the registered consumption of DIDP is moderate and the consumption of the other phthalates is minimal, as expected. DIPP is not registered in the Product Register. The Product Register does not include non-chemical articles such as wire and cable, shoe-soles, clothing, toys, etc., which constitute major parts of the Danish consumption of phthalates. Major registered uses which can be mentioned with respect for confidentiality are adhesives and binding agents, fillers (likely to be understood as including sealants), paints, lacquers and varnishes. Some other dominant applications across most substances cannot be mentioned due to confidentiality.

### **Data gaps**

More specific information on the consumption of DINP, DIDP, DPHP and DEP by application.

# 4. Waste management

## 4.1 Waste from manufacture and use of selected phthalates

For plasticiser uses of the covered phthalates, the releases to waste from production (for formulation and conversion) are not well described according to COWI *et al.* (2009). Releases to waste are expected to occur with disposal of emptied packaging, from handling of raw materials and intermediates, and as cut-offs in the conversion process, where the final products (articles) are produced.

For paints and sealants, the “conversion” is defined as the occasion when the material is applied, typically at a construction site or in manufacturing of machines or other large articles. The use in construction sites is expected to potentially produce more waste as leftovers in sealants tubes, and in paint crates, because the need for materials is less well defined.

For all articles, the major release with waste is expected to take place with the end product at the stage of its disposal; this is dealt with below.

## 4.2 Waste products from the use of selected phthalates in mixtures and articles

Table 27 in Section 3.3.2 on use in Denmark gives the best available overview of the major waste fractions with contents of phthalates, as well as estimates of the amounts of phthalates in this waste. As shown there, the phthalates-containing waste fractions with the major phthalate contents are cable and wire, tube and hoses, gloves and rainwear, roof plates; film, sheets and tape.

The situation depicted is likely a good reflection of the current waste stream, and this picture is not expected to change quickly. Flexible PVC seems to be a material which will keep its prevalence on the market, and most manufacturers in the EU and globally still uses *ortho*-phthalates in the production. There are indications that the share of non-*ortho*-phthalates in the flexible PVC market has been rising gradually over the last decade or so, especially in sensitive applications such as toys, PVC for food contact and some medical applications. This trend is expected to continue, probably at a moderate pace, at least until the entering into force of the Danish general ban on certain phthalates (in 2014/2015).

The amounts of flexible PVC in each article group subject to the Danish PVC and phthalates tax, are roughly estimated in Table 30 based on the data presented by Brandt and Hansen (2009). Not all product groups containing flexible PVC are covered, but the study is deemed to include most of the flexible PVC consumption which is plasticised with phthalates. The uncertainty on the figures are mainly due to the fact that many of the article types are not reported in specific commodity groups in the trade statistics used, but rather in aggregated groups of different article types. The estimates are based on assumptions of the share of flexible PVC in each relevant commodity group of the statistics.

As regards non-PVC uses of the phthalates, they represent much smaller phthalate amounts and in most cases occur in lower concentrations (deemed from Danish Product Register data and knowledge about the use patterns).

**TABLE 30**  
ROUGHLY ESTIMATED ANNUAL CONSUMPTION OF MAJOR ARTICLE GROUPS MADE WITH FLEXIBLE PVC IN 2005-2007. BASED ON DATA FROM (BRANDT AND HANSEN, 2009)

Product group	Consumption, t/y		Assumed share of flexible PVC in commodity code *1
	All materials in custom codes included*1	Flexible PVC with these articles	
Wire and cable	37,000	9,300	0.25
Tube and hoses	2,300	2,300	0.3
Gloves, rainwear, etc.	1,600	200	0.42
Flooring	4,100	4,100	0.25
Roof plates	900	900	NA
Film, sheets, tape	1,700	300	0.19
Ring binders and document pockets ("stationary")	5,300	300	0.3
Tarpaulins	400	100	0.42
Table cloths, curtains, etc.	160	30	0.42
Coated steel gutters	NA	NA	NA
<b>Totals (rounded)</b>	53,000	18,000	-

Note: \*1: Many commodity codes in the trade statistics include several article types, also such which are not made with flexible PVC. Assumption was made on share of flexible PVC in articles reported under each code; see Brandt and Hansen (2009) and their sources.

### Phthalate concentrations in articles

The total concentrations of plasticisers in polymer articles becoming waste vary depending on the flexibility of the article type; the more flexible, the higher plasticiser concentration (with in each polymer type). This will particularly be reflected in the concentration of the main plasticiser in the article, typically DINP, DEHP, DIDP, DPHP or similar high molecular weight plasticiser. Ranges and averages of concentrations of the general plasticisers DINP and DIDP in articles are summarised from available studies in Table 25 in Section 3.3.1 on the use in EU. According to the Danish Waste Order (Affaldsbekendtgørelsen - BEK 1309 of 18. Dec. 2012), waste with more than 0.5% of substances which are classified as Repr. 1B (reprotoxic, such as DIPP and DMEP) is classified as hazardous waste

As for specialty plasticisers including DEP, DIPP and DMEP, if present, their concentration will more likely vary with the processing conditions prevailing in the manufacturing of the article (process temperature, speed, etc.), and as a consequence of price or other more incidental aspects (many different phthalates and non-phthalate plasticisers may be used for the same purposes). The few available examples of DEP concentrations in consumer products described in Section 3.3.2 are summarised in Table 31 below. Note that these results often each represent very broad articles groups, and that the rest of the articles analysed had DEP concentrations below the detection limits in the studies. The data shown in the table can thus not be considered as representative for the article type, but rather as an indication that DEP may occur in waste of these types. As shown, except for the sex toy sample, DEP was found in trace concentrations only, and for such low concentrations there is no certainty whether DEP has been added intentionally, or is a consequence of impurities in the plasticisers used.

**TABLE 31**  
SUMMARY OF DEP CONCENTRATIONS FOUND IN SELECTED ARTICLE TYPES IN RECENT STUDIES

Article type	Number of samples	DEP concentration *1, mg/kg	Remarks
Baby carrier	13	60 and 350	In two parts of the same sample, a printed badge
Activity carpet for babies	8	1.5	in 1 sample
Ball for children	8	<3	Detection limit was 0.05
Sex toys; fetish glove	15	120	In 1 sample
Textiles	?	Up to 2.3 mg/kg	
Plastic sandals	60	?	DEP detected, but not measured, in 2 samples
PVC soap packaging	?	?	DEP detected, but not measured.

Note: \*1: References for the data are shown in Section 3.3.2.

The Danish Waste Order (BEK nr 1309 of 18/12/2012) stipulates that PVC shall, to the extent possible, be sorted out from the waste and be collected for recycling. PVC waste for which no recycling schemes are available should be separated from waste intended for incineration and landfilled. In Denmark, recycling schemes exist for hard PVC only (**“Wuppi” and others**), meaning that flexible PVC shall be collected separately and deposited. Consumers generally have difficulties in separating specific waste fractions, and as flexible PVC is part of many ordinary consumer products like rainwear, boots, packaging, etc., for which the content of PVC is not obvious to the consumer, much consumer waste is deemed disposed to municipal waste to be incinerated. Phthalates are oil derivatives which will most likely be destroyed in controlled waste incineration plants under Danish conditions. The PVC polymer and other non-combustible additives however produce a high amount of solid residues per weight unit of PVC waste incinerated. During incineration PVC acts as a source of gaseous hydrochloric acid and may as such contribute to corrosion of the boiler. Because of this the incineration plants would like to avoid excessive amounts of PVC.

Industrial waste and other waste from professionals may likely have a higher separate collection rates for flexible PVC waste. No documentation for this was found however.

### 4.3 Release of selected phthalates from waste disposal

In landfills, a part of the phthalates in polymers may slowly be washed out of the articles and will (in Denmark) be lead with the leachate to municipal wastewater treatment plants. In waste water treatment plants, much of the phthalate content will be adsorbed to particles and will be collected with the sludge and used as fertilizer on agricultural land if certain thresholds for phthalate concentrations and other specified environmental pollutants are met (see Section 2.1.1). If these thresholds are not met the sludge is incinerated or in rare cases landfilled (< 1%).

In the case of DEP, which is to a higher degree used in applications where they may be washed of (cosmetics, personal care products, cleaning agents, etc.), a bigger part of the DEP present in the articles and mixtures may be lead to waste water treatment.

EC (2003b) refers a Danish study from 1999 where the content of DINP in sewage sludge from a few municipal WWTPs was measured and generally found to be in the range 1.5 – 6.7 mg/kg dw. Previously, DINP and DEP were determined routinely in sewage sludge from Danish municipal

WWTPs as part of the point source programme under the national Danish environmental monitoring programme, NOVANA. However, the newest NOVANA data that include sludge analyses are from 2004 (Danish EPA, 2005a) where the average concentration of DINP was found to be 16.8 mg/kg dw (a high concentration compared to e.g. 2003 where the average was 4.6 mg/kg dw (Danish EPA, 2005a)) while DEP was found at an average concentration of 0.15 mg/kg dw (0.03 mg/kg in 2003). None of the other selected phthalates were included in the study.

#### 4.4 Summary and conclusions

For plasticiser uses of the covered phthalates, the releases to waste from production (for formulation and conversion) are not well described according to COWI *et al.* (2009). Releases to waste are expected to occur with disposal of emptied packaging, from handling of raw materials and intermediates, and as cut-offs in the conversion process, where the final products (articles) are produced. For sealants, paints and non-polymer uses, the “conversion” situation includes application on construction sites, etc. and here, a higher fraction of the material may be disposed as waste due to the less well defined conditions

The amounts of flexible PVC in articles subject to the Danish PVC and phthalates tax, are roughly estimated at 18,000 tonnes/year. Not all product groups containing flexible PVC are covered, but the figure is deemed to include most of the flexible PVC consumption which is plasticised with phthalates. The phthalates-containing waste fractions with biggest phthalates contents are cable and wire, tube and hoses, gloves and rainwear, roof plates; film, sheets and tape. The situation depicted is likely a good reflection of the current waste stream, and this picture is not expected to change quickly, at least until a product life time after the entering into force of the Danish ban on certain phthalates (in 2014/2015). The non-PVC uses of the phthalates represent much smaller phthalate amounts and lower phthalate concentrations.

Ranges and averages of concentrations of the general plasticisers DINP and DIDP in articles are summarised from available studies in Table 25 in Section 3.3.1 on the phthalate use in EU.

As for specialty plasticisers including DEP, DIPP and DMEP, if present, their concentration will more likely vary with the processing conditions prevailing in the manufacturing of the article (process temperature, speed, etc.), and as a consequence of price or other more incidental aspects (many different phthalates and non-phthalate plasticisers may be used for the same purposes). Table 31 summarises the available measurements of DEP in consumer products; DEP has been **observed in a few samples of children’s articles, plastic sandals, PVC soap packaging and sex toys.**

The Danish waste order stipulates that PVC shall, to the extent possible, be sorted out from the waste and be collected for recycling. PVC waste for which no recycling schemes are available should be separated from waste intended for incineration and deposited on controlled waste deposits. In **Denmark, recycling schemes exist for hard PVC only (“Wuppi” and others), meaning that flexible PVC shall be collected separately and deposited.** Consumers generally have difficulties in separating specific waste fractions, and as flexible PVC is part of many ordinary consumer products like rainwear, boots, packaging, etc., for which the content of PVC is not obvious to the consumer, much consumer waste is deemed disposed to municipal waste to be incinerated.

#### Data gaps

- Investigation of the fate of plasticised PVC waste in Denmark, including recycling rates, for both consumer waste and waste from professionals.

# 5. Environmental effects and exposure

Apart from the commercially most important phthalates, DEHP, DBP, BBP and DIBP, which have been studied extensively and for which e.g. Annex XV restriction dossiers have been prepared, the body of environmental information on most other phthalate esters is rather limited or even sparse. This also includes the phthalates selected for this review with the exception of DINP and DIDP, for which EU risk assessment reports have been prepared in 2003 (although not based on a very large amount of environmental data), and to some extent DMEP for which a screening assessment report has been prepared by Environment Canada (2009). This chapter is largely based on these reports and, for the remaining substances, on registration information published by ECHA.

## **5.1 Environmental hazard**

### **5.1.1 Classification**

Only two of the substances covered by this review have agreed harmonised CLP classifications; DIPP and DMEP (see section 2.1.2). Regarding environment only DIPP has an agreed classification, namely Aquatic Acute 1 with the Hazard Statement Code H400.

A number of notifiers of the remaining substances have provided self-classifications that are presented in full in section 2.1.2 and for which the proposed environmental classifications are summarised in Table 32 below. For substances not mentioned in the table, no environmental classification has been proposed. It should be noted that the vast majority of notifiers have not provided any self-classification of the notified substances (see section 2.1.2).

**TABLE 32**

ENVIRONMENTAL CLASSIFICATION INFORMATION ON NOTIFIED AND REGISTERED SUBSTANCES RECEIVED FROM MANUFACTURERS AND IMPORTERS (C&L INVENTORY)

CASNo	Substance name	Hazard Class and Category Code(s)	Hazard Statement Codes	Number of notifiers
<b>68515-48-0</b>	1,2-Benzenedicarboxylic acid, di-C8-10-branched alkyl esters, C9-rich	<b>Total</b>		<b>269</b>
		No. of environ. classifications Aquatic Acute 1	H400	24 24
<b>28553-12-0</b>	Di-"isononyl" phthalate	<b>Total</b>		<b>857</b>
		No. of environ. classifications Aquatic Acute 1	H400	52 1
		Aquatic Acute 1 + Aquatic Chronic 1	H400 + H410	23
		Aquatic Chronic 4	H413	28
<b>26761-40-0</b>	Di-"isodecyl" phthalate	<b>Total</b>		<b>182</b>
		No. of environ. classifications Aquatic Acute 1	H400	84 18
		Aquatic Acute 1 + Aquatic Chronic 1	H400 + H410	23
		Aquatic Chronic 2	H413	43

It is assumed that some of the discrepancies in the above self-classifications are due to differences in the interpretation of toxicity results obtained at concentrations above the solubility limits of these poorly water soluble substances.

### 5.1.2 Environmental effects

#### **DIDP**

The risk assessment reports for DIDP (EC, 2003a) refers five acute studies on four species of fish (*Onchorhynchus mykiss*, *Pimephales promelas*, *Lepomis macrochirus*, *Cyprinodon variegatus*) for which no effects were observed at the maximum concentrations tested (0.47 to 1 mg/l). These concentrations are all significantly above the solubility limit of the substance in water (0.038 µg/l) and were therefore obtained by preparing emulsions of the test substance (some showing presence of undissolved particles). Reliable studies at concentrations below the solubility limit are not considered possible to carry out in practice. In the ECHA registration information, the study with *O. mykiss* (LC50 ≥ 0.62 mg/l) is considered to be the key study. No studies on chronic effects on fish exposed to DIDP via the water phase have been carried out and no significant effects were observed when medaka (*Oryzias latipes*) was exposed in a two-generation study to 20 mg DIDP/kg feed for 284 days (EC, 2003a).

Based on the results of chronic fish studies with a number of C6 -C11 phthalates (e.g. DEHP, DOP and DINP), EC (2003a) concludes that “based on the available data, DIDP has no adverse effects upon fish” and “a NOEC cannot be determined”.

Similarly, the acute toxicity studies with invertebrates performed with daphnids (*Daphnia magna*, *Mysidopsis bahia*, *Paratanytarsus parthenogenetica*) at max. concentrations above the solubility limit (0.15 to 500 mg/l) did not demonstrate any effects at the limit of solubility in water. A NOEC of 0.03 mg/l in a 21 day study with *D. magna* was considered to be due to physical entrapment of

the test organisms rather than a toxic effect, and therefore EC (2003a) concludes that no chemical toxic effects could be observed and, consequently, no NOEC could be derived.

Neither could toxic effects on sediment dwellers, algae or microorganisms be observed in the tests performed (EC, 2003a).

Available data indicate no effects of DIDP on soil dwelling or other terrestrial organisms (EC, 2003a). A PNEC for soils was determined at 100,000 µg/kg soil.

The potential of DIDP to cause endocrine disruption in the environment is discussed by EC (2003a) based on the findings in the abovementioned feeding study with medaka (*Oryzias latipes*). As no parameters and endpoints indicated any effects on eggs, embryos or fish, EC (2003a) concludes that **“there is apparently no impact on any population parameter from chronic exposure to DIDP on fish”**.

### **DINP**

A risk assessment report very similar to the one for DIDP (and to a large extent based on the same studies and references) was prepared for DINP (EC, 2003b). Acute toxicity tests on fish were performed using the same four fish species as for DIDP (*Onchorhynchus mykiss*, *Pimephales promelas*, *Lepomis macrochirus*, *Cyprinodon variegatus*) and two more (*Brachydanio rerio*, *Leuciscus idus*) at concentrations ranging from 0.16 to 500 mg/l compared to a solubility limit in water of 0.6 µg/l. Based on the results obtained, **EC (2003b) concludes that “no acute effects have been reported in fish with DINP at its limit of solubility and above in the test system”**.

In a chronic two-generation feeding study with medaka (*Oryzias latipes*) similar to the one described for DIDP, a **“slight but statistically significant increase in egg viability in the DINP treated group when compared to the no treatment control”** was observed, but no other effects. In total, based on this study and the results of chronic fish studies with a number of C6-C11 phthalates (e.g. DEHP, DOP and DINP), **EC (2003b) concludes that “based on the available data, DINP has no adverse effects upon fish”** and **“a NOEC cannot be determined”**.

Similar to DIDP, no effects on invertebrates, sediment dwellers, algae and microorganisms were observed in the tests performed with DINP.

A PNEC for soils was determined at 30,000 µg/kg soil.

The potential of DINP to cause endocrine disruption in the environment is discussed by EC (2003b) based on the findings in the abovementioned feeding study with medaka (*Oryzias latipes*). **EC (2003b) concludes that “there is apparently no impact on any population parameter from chronic exposure to DIDP on fish”**.

### **DMEP**

DMEP is not registered by ECHA, which therefore has no data on the substance. However, a screening assessment was carried out in 2009 by Environment Canada, which is the main source of specific environmental information on this substance.

DMEP was tested experimentally for acute toxicity on 7 aquatic species representing three trophic levels: fish, invertebrates and molluscs. LC50 was higher than 117 mg/l (nominal) for all species except *Daphnia magna* (crustacean) for which an LC50 = 56 mg/l was determined.

Environment Canada (2009) also lists results of QSAR modelling by different models of acute and chronic toxicity of DMEP to fish, daphnia and algae of which the lowest acute LC50/EC50 value is

4.3 mg/l for fish (range of all acute toxicities is 4.3 – 452 mg/l) while the lowest chronic NOEC is 14 mg/l, also for fish.

It is mentioned by Environment Canada (2009) that there is uncertainty about the actual value of some central physical-chemical properties of DMEP such as Log Kow and water solubility and that the model results therefore are associated with some uncertainty (a water solubility of 8,500 mg/l and a Log Kow of 0.04 are used but there is also a reference to a reported water solubility of 900 mg/l and a Log Kow = 2.9).

DMEP was not toxic to ryegrass and lettuce at concentrations of 117 mg/l. No other effect data on terrestrial organisms are mentioned.

### **DEP**

ECHA registration data for DEP comprises acute toxicity data on four species of fish of which the lowest value is 12 mg/l for rainbow trout (values for other fish species range from 17 to 29 mg/l). For daphnia the key study gives an EC50 = 90 mg/l while a supporting study gave an LC50 = 52 mg/l. The EC50 for algae was determined to be 23 mg/l in a 72 hour study.

The ECHA data do not comprise chronic data on fish or algae while the key study NOEC (21 days) for daphnia did not show any effects at the highest test concentration of 25 mg/l.

### **DIPP**

The only information about DIPP at the ECHA site is a short statement for invertebrates and algae saying that DIPP is predicted not to be toxic to aquatic invertebrates or algae.

### **DPHP**

For DPHP an 96 hour, static test LC50 >10,000 mg/l for zebra fish is reported by ECHA while there is data waiving for chronic data on fish. The acute (48 h) EC50 for daphnia is reported to be higher than 100 mg/l as is the 72 hour toxicity to green algae.

A chronic (21 days) reproduction study on daphnia did not result in observations of any adverse effects of DPHP at the highest test concentration of 1 mg/l.

## **5.2 Environmental fate**

Environmentally relevant physico-chemical properties such as water solubility and Log Kow differ significantly between the phthalates selected for this study. Thus, the short-chain phthalates DEP and DMEP have water solubilities close to 1,000 mg/l whereas the solubilities of DPHP, DIDP and DINP are in the sub- $\mu$ g/l range. Likewise, Log Kow's range from 2-3 for DEP and DMEP to 8-10 for DPHP, DIDP and DINP (see section 1.2).

However, according to the public registration data found on ECHA's web-site, all of the registered phthalates in this study appear to be classifiable as "readily biodegradable" and therefore it is considered likely that also the only non-registered substance, DMEP, is readily biodegradable although firm documentation of this is lacking. Experimental data indicate that also in aerobic sediment the biodegradation of DINP and DIDP takes place fast (DT50 values of 1 day or less) while for the other substances there is no information on degradation in natural water and sediment (data waiving). No data on degradation rates in soil are available.

Abiotic degradation/transformation in air takes place for DINP and DIDP with half-lives of about 5 hours, for DMEP with a half-life of 6.6 hours and for DPHP with a half-life of 14 hours (all results based on modelling). Only DEP appears to have a longer half-life in air; 111 hours (modelled). Photolysis and hydrolysis appear not to be processes of any relevance for the dissipation of phthalates in the environment.

Sorption to organic matter is strong for the long-chained phthalates, ECHA reports  $K_{OC}$  values for DIDP and DINP of 1,589,000 and 793,000-948,000, respectively, and >426,580 for DPHP. However, DEP has a  $K_{OC}$  in the range 150-500 (medium mobility in soil).

Regarding bioconcentration/bioaccumulation potential the EU risk assessment report for DIDP (EC, 2003a) mention an experimental BCF < 14.4 for the fish (*Cyprinus carpio*), which, however, the authors find is too low compared to other data e.g. on DEHP and therefore recommend the BCF = 860 established for DEHP in fish to be used for risk assessment. A BCF = 4,000 for DIDP in mussels is recommended for use in secondary poisoning risk assessment. For soil organisms a BCF = 1 is recommended as a reasonable worst-case BCF. The same BCF values are used/recommended for DINP (EC, 2003b).

None of the substances are considered to meet their criteria for being classified PBT or vPvB.

## 5.3 Environmental exposure

### 5.3.1 Sources of releases

None of the phthalates in this study are manufactured in Denmark and therefore such sources of release are not relevant for this country. There are downstream users of some of the phthalates, in particular DINP, for manufacturing of various polymers, which are considered point sources of release to the atmosphere and to some extent also to wastewater.

General sources of release are outlets from waste water treatment plants (WWTPs) and separate rain runoff systems as well as atmospheric deposition of substances emitted to air. A wet deposition rate for DINP of 17-33  $\mu\text{g}/\text{m}^2/\text{year}$  (1998) has been calculated for a background location in Denmark based on analytical measurements (EC, 2003b). No newer data on the issue has been identified.

As for DINP, measured data are not given by Boutrup and Svendsen (2012), but they refer to the so-called “key number” (Danish: *Nøgletal*; defined as the 75% percentile of measurements in the period 1998-2009, (Kjølholt *et al.*, 2011)) which is considered to be the best estimate of a national mean value for calculation of total releases from WWTPs. For DINP releases from municipal waste water plant outlets is 0,37  $\mu\text{g}/\text{l}$  (interval 0.19-0.56). The similar key number for DEP is 0.33  $\mu\text{g}/\text{l}$  (0.20-0.63).

**TABLE 33**  
TRENDS IN CONCENTRATIONS OF SELECTED PHTHALATES IN OUTLETS FROM MWWTP 2000-2010 (BOUTRUP AND SVENDSEN, 2012)

Year	DEHP			DEP			DINP			DBP		
	Mean $\mu\text{g}/\text{L}$	95% ft $\mu\text{g}/\text{L}$	% above d.l.	Mean $\mu\text{g}/\text{L}$	95% ft $\mu\text{g}/\text{L}$	% above d.l.	Mean $\mu\text{g}/\text{L}$	95% ft $\mu\text{g}/\text{L}$	% above d.l.	Mean $\mu\text{g}/\text{L}$	95% ft $\mu\text{g}/\text{L}$	% above d.l.
2000	1,9	6	60	0,5	1	30	-	-	2	0,8	1,5	22
2001	2,8	11	68	0,8	2,2	37	0,3	0,4	5	0,9	1,8	28
2002	3	13	64	0,4	0,7	4	0,7	2,9	7	0,3	0,4	6
2003	1,8	6,1	27	0,2	0,6	15	-	-	0,5	0,1	0,4	7
2004	1,9	5,2	59	1,5	7,1	56	1,3	5,8	36	0,14	0,27	36
2010	0,5	-	65	-	-	9	0,6	-	17	NA	NA	NA

Boutrup and Svendsen (2012) also estimated the total release of certain plasticisers, including DINP and DEP, to Danish marine waters. The results are shown in Table 34, along with those for DEHP

for comparison. No sums were calculated by the authors, but as shown, DEP releases were estimated as of the same order of magnitude as DINP from these numbers. Estimated releases of both DINP and DEP are considerably smaller than that for DEHP, which might reflect that the used concentration value for DINP may not adequately reflect the most recent consumption pattern, where DINP is the main general plasticizer and the DEHP consumption has declined.

**TABLE 34**  
ESTIMATED TOTAL RELEASES OF DINP, DEP AND DEHP FROM MUNICIPAL WASTE WATER TREATMENT (BOU TRUP AND SVENDSEN, 2012). TERE

Year	DINP		DEP		DEHP	
	Input	Interval	Input	Interval	Input	Interval
<b>1 Nordsøen</b>	5,9	3,1-9	5,3	3,2-10	45	23-96
<b>2 Skagerrak</b>	1,4	0,7-2,1	1,2	0,8-2,4	11	5,3-23
<b>3 Kattegat</b>	30	16-46	27	16-51	226	114-490
<b>4 N. Bælt</b>	6,1	3,1-9	5,4	3,3-10	46	23-99
<b>5 Lillebælt</b>	18	9,4-28	16	9,9-31	139	70-298
<b>6 Storebælt</b>	14	7,3-22	13	7,7-24	108	54-231
<b>7 Øresund</b>	57	29-86	51	31-97	431	216-924
<b>8 S. Bælthav</b>	0,5	0,2-0,7	0,4	0,2-0,8	3,5	1,7-7,5
<b>9 Østersøen</b>	3,1	1,6-4,7	2,8	1,7-5,3	24	12-51

Boutrup and Svendsen (2012) has estimated a total release of DINP from WWTP's to the marine areas surrounding Denmark of around 135 kg/year.

### 5.3.2 Monitoring data

Boutrup and Svendsen (2012) summarised observed concentrations of selected plasticisers measured in municipal waste water treatment plant outlets. The data for DEHP and DINP as representatives of general plasticisers, and DEP and DBP as representatives of specialty plasticisers (and DEP as solvent) are presented in Table 33. The reference also gives data for BBP and the non-phthalate plasticiser DEHA (diethylhexyl adipate). The authors note that in general, the releases of the measured plasticisers were lower in 2010 than in earlier years; they however consider the data material to be too small to make clear statements as to whether this can be deemed as a decreasing trend.

Only two of the phthalates, DEP and DINP, are included in the national Danish environmental monitoring programme, NOVANA, and only for releases from point sources such as WWTPs and separate outlets for rain runoff. Data from NOVANA on these substances are summarised in Table 35 below.

**TABLE 35**

MONITORING DATA FOR SOME PHTHALATES IN OUTLETS FROM POINT SOURCES FROM THE NATIONAL DANISH MONITORING AND ASSESSMENT PROGRAMME (NOVANA).

Substance	Point source	Number of samples *1	Average $\mu\text{g/L}$	Median $\mu\text{g/L}$	Year	Source
DEP	WWTP	30 (10)	0.19	0.00	2011	Danish Nature Agency, 2012
DEP	WWTP	36 (20)	1.52	-	2004	Danish EPA, 2005b
DINP	WWTP	30 (10)	1.05	0.00	2011	Danish Nature Agency, 2012
DINP	WWTP	36 (13)	1.26	-	2004	Danish EPA, 2005b
DINP	Outlets for rain runoff	-	0.9	-	2007-2009	Boutrup and Svendsen, 2012

\*1 Number of positive samples in brackets

EC (2003b) refers for DINP some earlier investigations carried out in Denmark by Vikelsøe *et al.* in 1999. In surface water (small rivers) the concentration of DINP was in all cases  $< 0.1 \mu\text{g/l}$  while in various soils (natural and cultivated), concentrations were in the range  $1\text{--}32 \mu\text{g/kg soil dw}$ . However, in sludge amended soils the concentrations of DINP ranged from  $63\text{ to }910 \mu\text{g/kg soil dw}$ .

A joint Nordic study measured concentrations of different plasticisers (selected phthalates as well as others) in different aquatic media in each of the countries participating. In Denmark waste water treatment plant (WWTP) effluent and sludge were sampled at Esbjerg central WWTP and Ejby Mølle WWTP, Odense. Effluent was sampled at Råby Lille strand WWTP, Vordingborg. Sediment samples were collected at Vedbæk, Øresund, from Kolding Fjord and from Limfjorden. Fish (Flounder) were sampled at Hobugt (vicinity of Esbjerg), Hjelm bugt (vicinity of Vordingborg) and Agersø, Great Belt. The WWTPs in Esbjerg and Odense had in 2010 loads of 115,000 and 275,000 pe (person equivalents) respectively, while the load on Råby Lille Strand was much smaller, 1,100 pe. Råby Lille Strand only receives wastewater from households while the others receive from both household and industry. The results from the study are presented in Table 47 (Remberger *et al.*, 2013). Note that DINP and DIDP seem to have been concentrated in the sewage sludge samples measured.

**TABLE 36**

DINP AND DIDP CONCENTRATIONS IN SELECTED ENVIRONMENTAL MEDIA FROM LOCATIONS IN DENMARK, SAMPLED IN 2011 (FROM REMBERGER *ET AL.*, 2013).

Sample medium	Location	Unit	DINP	DIDP
WWTP effluent	Esbjerg	ng/l	160	<100
WWTP effluent	Odense	ng/l	<80	<100
WWTP effluent	Vordingborg	ng/l	<80	<100
WWTP sludge	Esbjerg	$\mu\text{g/kg dw}$	50,000	9,900
WWTP sludge	Odense	$\mu\text{g/kg dw}$	49,000	14,000
Sediment	Øresund	$\mu\text{g/kg dw}$	92	<20
Sediment	Kolding Fjord	$\mu\text{g/kg dw}$	490	63
Sediment	Limfjorden	$\mu\text{g/kg dw}$	59	<20
Fish	Hobugt	$\mu\text{g/kg ww}$	<40	<40
Fish	Hjelm bugt	$\mu\text{g/kg ww}$	87	<40
Fish	Agersø	$\mu\text{g/kg ww}$	<40	<40

## 5.4 Environmental impact

In the EU risk assessment reports for DIDP and DINP (EC, 2003a and 2003b) no additional risk reduction measures for these two substances were found to be necessary. It should be noted however, that the consumption of these substances has increased significantly since then.

For DMEP, Environment Canada (2009) finds that **this substance “does not persist in the environment and is not bioaccumulative”**. Further, Environment Canada (2009) considers that as **“the substance is not highly hazardous to aquatic organisms and terrestrial plant and exposure potential is low, DMEP is unlikely to cause ecological harm in Canada”**.

For the other phthalates in this study no statements regarding environmental impact have been identified.

## 5.5 Summary and conclusions

DIPP is the only one of the phthalates in this study that has an EU harmonised environmental classification, namely Aquatic Acute 1 (H400). A number of notifiers have provided self-classifications of DINP and DIDP. Regarding DINP, about half of the notifiers have classified the substance Aquatic Acute 1 + Aquatic Chronic 1 while the other half have classified it as Aquatic Chronic 4. DIDP has been classified Aquatic Acute 1 or Aquatic Acute 1 + Aquatic Chronic 1 by approx. half of the notifiers and Aquatic Chronic 2 by the other half.

DIDP and DINP resemble each other much with regard to chemical structure and relevant physical-chemical properties such as water solubility, Log K<sub>ow</sub> and sorption constants, and therefore also with regard to environmental fate and effect properties. As the water solubility of both substances is very low (sub-pbb) it has only been possible to conduct tests at higher concentrations (sub-ppm) using emulsions.

No significant acute or chronic toxic effects were observed in any tests on either of the two **substances except for a “slight but statistically significant increase in egg viability in the DINP treated group when compared to the no treatment control” in a two-generation feeding study with medaka (*Oryzias latipes*)**. This observation did not affect the overall conclusion by EC (2003a and b) that DINP and DIDP are not considered to have adverse effects on the organisms (aquatic and terrestrial) studied.

**With regard to possible endocrine disruption properties it was concluded that “there is apparently no impact on any population parameter from chronic exposure to DIDP on fish”**.

DMEP is much more water soluble and a lowest experimental acute LC<sub>50</sub> = 56 mg/l was determined for *Daphnia magna*. QSAR modelling results indicate acute LC<sub>50</sub> for fish in the range 4.3 – 452 mg/l and a lowest chronic NOEC = 14 mg/l.

Only few environmental effect data are available on the remaining substances. However, the available data do not indicate that any of them are very toxic to aquatic organisms.

All the phthalates appear to be readily biodegradable (with DMEP as a possible exception) while abiotic processes such as hydrolysis and photolysis do not appear to be of any significance. A BCF < 14.4 for DIDP in fish has been determined experimentally but is considered to be too low. Instead the BCF = 860 for DEHP is recommended by EC (2003a and b) for use in risk assessment.

None of the substances are considered to meet the criteria for classification as PBT or vPvB.

The total release of DINP from wastewater treatment plants to the marine areas surrounding Denmark was estimated at around 135 kg/year.

# 6. Human health effects

## 6.1 Human health hazard

Different phthalates have been shown to cause a variety of effects in laboratory animals. It is however the adverse effects on the development of the reproductive system in male animals of certain phthalates that have raised particular concern.

In this chapter the human health aspects of the selected phthalates are evaluated. The main focus is on the substances that are least well described in the current literature. DIDP and DINP have recently been evaluated in relation to Entry 52 of Annex XVII to Regulation (EC) No 1907/2006 (REACH) and conclusions from this review will be cited here and only supplemented where new has been identified.

### 6.1.1 Classification

Of the selected phthalates only DIPP and DMEP are subject to harmonised classification. Both substances are classified as toxic to reproduction in category 1B. The harmonised classification is shown in Table 37.

**TABLE 37**  
HARMONISED HUMAN HEALTH CLASSIFICATION ACCORDING TO ANNEX VI OF REGULATION (EC) NO 1272/2008 (CLP REGULATION)

Index No	International Chemical Identification	CAS No	Classification	
			Hazard Class and Category Code(s)	Hazard statement Code(s)
<b>607-426-00-1</b>	Diisopentylphthalate (DIPP)	605-50-5	Repr. 1B	H360FD
<b>607-228-00-5</b>	Bis(2-methoxyethyl) phthalate (DMEP)	117-82-8	Repr. 1B	H360Df

The remaining phthalates are self-classified by industry with the suggested human health classification shown in Table 12. As presented in the table, most notifiers have not classified the substances and indicated "data lacking" and "conclusive but not sufficient for classification". The table reflects the number of notifiers as of August 2013.

Two notifiers have suggested a classification as toxic to reproduction in category 2 (Repr. 2), for DEP and three notifiers have suggested a similar classification for DINP (CAS no. 68515-48-0). A few more notifiers suggest that DEP should be classified for specific target organ toxicity after single or repeated exposure. Other classification proposals reflect the acute toxicity, skin and eye irritation potential of the substances.

For DINP it should be noted that the suggested classifications for the two different CAS numbers are not the same. However, since only one out of 857 notifiers has suggested a classification for DINP (CAS 28553-12-0) and four out of 269 notifiers have suggested a classification for DIDP (CAS 68515-48-0), it is not relevant to draw any conclusions on that background.

### 6.1.2 DEP

#### ***Kinetics and metabolism***

When DEP is administered by oral gavage the major part is metabolised into the monoester and phthalic acid which is rapidly excreted in urine. Studies in rats and mice with <sup>14</sup>C-DEP have shown that 90% of the radioactivity was excreted with the urine within 48 hours with the majority being eliminated during the first 24 hours. Approximately 3% of the radioactivity was found in faeces over the same period of time (NICNAS, 2011).

When applied dermally, DEP penetrates the skin and is widely distributed in the body without accumulating in tissue. In an *in vitro* study with human and rat skin absorption of DEP was found to be 4.5 +/- 3.2% through human skin based on 24 samples. With rat skin the absorption was higher and found to be 37.5 +/- 4.0% based on 16 samples (ECHA, 2013a). In rats and rabbits it has been shown that around 25-50% of the administered doses is excreted within 24 hours in rats and 4 days in rabbits. Differences in dermal absorption between rats and humans may reflect species differences, differences in vehicle and/or differences in application. NICNAS reports that results from recent human studies indicate a dermal absorption with approximately 10% and 5.8% of dermally applied DEP found in serum and urine, respectively within 24 hours. On a weight of evidence basis, NICNAS assumes a dermal bioavailability for DEP of 10% in humans for the purposes of risk assessment (NICNAS, 2011).

#### ***Acute toxicity***

Following oral administration of <sup>14</sup>C-DEP the highest concentrations were observed in kidney and liver, followed by blood, spleen and adipose tissue and highest levels were noted within 20 minutes, followed by a rapid decrease to only trace amounts after 24 h (NICNAS, 2011). Distribution in female rabbits after dermal application of radioactively labelled DEP showed very little radioactivity in tissues 4 days after exposure with 0.004% of the dose in the liver, 0.003% of the dose the kidney and less than 1% of dose in the blood (NICNAS, 2011).

DEP has low acute toxicity in several animal species. LD50 values reported in rat studies range from >5600 to 31,000 mg/kg bw (NICNAS, 2011). In rabbit an oral LD50 of 1000 mg/kg bw is reported but the study is not evaluated as reliable in the ECHA registration information. Dermal toxicity in the rat is reported at >11,000 mg/kg bw and at 3000 mg/kg bw in guinea pig (NICNAS, 2011).

#### ***Irritation***

Skin irritation studies are conducted in rats and rabbits. Undiluted DEP on intact and abraded rabbit skin in a 4-hour closed patch test (duration unknown) caused irritation at both sites after 24 hours but was reduced to 40% after 72 hours. Two other studies with undiluted DEP in rabbits under semi-occlusive conditions for 4 hours did not cause irritation. In rats application of undiluted DEP in a semi-occlusive patch test for 2 weeks, 6 hours/day resulted in erythema and/or slight desquamation (NICNAS, 2011). No dermal irritation was noted in 576 human subjects exposed dermally to DEP (US CPSC, 2010).

Overall the available animal studies and human data suggest that DEP causes minimal skin irritation.

Eye irritation was studied in rabbits. Application of undiluted DEP (0.1 mL) into the conjunctival sac of rabbit eyes resulted in transient slight redness of the conjunctivae and minimal eye irritation in two studies (NICNAS, 2011).

The key eye irritation study in the registration dossier is an older study in rabbits considered reliable with restrictions. 0.1 mL of 12.5% DEP in ethanol was installed in rabbit eyes. A severe conjunctival irritation was seen in all 3 tested animals including chemosis and discharge. All parameters were not fully reversible within 7 days. The results of the study were interpreted as if DEP is moderately irritating to eyes and requires classification as irritating to eyes (Category 2)

under GHS (Regulation 1272/2008). It is noted that historical data for eye irritation of ethanol shows similar reaction to that observed in this study (ECHA, 2013a).

Overall, the studies in rabbits showed that DEP causes minimal to moderate eye irritation.

### **Sensitisation**

Skin sensitisation has been investigated using the local lymph node assay (LLNA), the Buehler test and in the open epicutaneous test, **the Draize intradermal test and the Freund's complete adjuvant test**. There was no evidence of sensitisation to DEP in any of the tests (ECHA, 2013a; NICNAS, 2011).

DEP caused no dermal sensitization reactions in normal volunteers as well as patients, including perfume-sensitive patients, contact dermatitis patients, children with dry plantar dermatosis, and others. Positive patch test reactions, have been reported in patients with contact dermatitis from eyeglasses frames and hearing aids, as well as from the plastic of a computer mouse known to contain phthalates (NTP, 2006). Although dermal sensitisation in humans has been described it seems to be rare.

No data on respiratory sensitisation is available.

### **Repeated dose toxicity**

Several repeated dose toxicity studies have been conducted with DEP in rats and mice via the dermal and oral route. The liver appears to be the primary target organ for DEP in both short- and medium-term studies. Observed effects include increased organ weight, vacuolation, elevated serum and liver enzyme levels, and proliferation of mitochondria and peroxisomes. Hypertrophic effects (increased volume) have also been reported in other organs such as kidney, stomach and small intestine. The ECHA registration dossier and the NICNAS assessment both point to a 16-week dietary study in rats as the critical study for repeated dose toxicity. In this study rats were administered DEP in the diet at concentrations of 0, 0.2, 1 and 5% (3,160 and 3,710 mg/kg-day for the males and females, respectively). According to NICNAS, effects included significantly depressed body weight (15–25% less than controls), and relative kidney and liver weights were increased significantly in both sexes at a dose of 5% (w/w) in the diet. In females, increases in relative liver weights were dose-dependent and statistically significant at all doses. In male rats, small intestine weights were increased at the 5% dose only, whereas stomach weights were increased at both the 1% and 5% dose levels. There was no abnormal histopathology of the liver, kidney or digestive organs and no significant effects on haematology, serum enzyme levels or urinary parameters. A conservative NOAEL of 0.2% (corresponding to 150 mg/kg bw/d) was established from this study based on dose-dependent increased relative liver weight in females and increased stomach weight in males at 1% (LOAEL of 750-770 mg/kg bw/d) (NICNAS, 2011). This is in line with the ECHA registration dossier.

### **Genotoxicity**

DEP was negative in most bacterial mutagenicity tests with *S. typhimurium* with and without S9 activation and did not induce chromosomal aberrations in Chinese ovary cells either with or without exogenous metabolic activation at DEP concentrations up to 250–**324 µg/mL**. DEP induced sister chromatid exchanges in Chinese ovary cells in the presence (but not the absence) of exogenous metabolic activation at **DEP concentrations of 167 and 750 µg/plate** (US CPSC, 2010). Overall, these data do not support a genotoxic potential for DEP.

No *in vivo* data have been identified.

### **Chronic toxicity / carcinogenicity**

Carcinogenicity studies are conducted in rats and mice by the oral and dermal route.

Evaluation of 2-year dermal studies in mice showed a statistically significant (but not dose-related) increase in basophilic foci in the liver in male mice dosed with 520 mg/kg bw/d. No effects were reported in female mice. Marginally increased incidences of combined hepatocellular adenomas and carcinomas were noted in both sexes but they were statistically significantly dose-related only in male mice. Due to lack of dose-response relationship in female mice and similar incidences of hepatocellular neoplasms between the high dose male mice and historical controls, these increases were considered equivocal evidence of carcinogenic activity for DEP (NICNAS, 2011).

In similar 2-year dermal studies in rats, no evidence of increased neoplasia was found other than treatment-related epidermal acanthosis (specific type of hyperpigmentation) at sites of DEP application, which was considered an adaptive response to irritation. No other lesions or neoplasms were noted in these 2-year studies in mice and rats. DEP did also not demonstrate any initiating or promoting activity in additional studies (NICNAS, 2011).

Overall, it is concluded that available data do not support a carcinogenic potential for DEP.

### Reproductive toxicity

Several studies have been conducted with DEP in rats and mice to investigate reproductive toxicity endpoints. An overview is presented in NICNAS (2011) is shown in Table 45.

**TABLE 38**  
OVERVIEW SUMMARY OF THE FERTILITY AND DEVELOPMENTAL EFFECTS OF DEP (NICNAS, 2011)

Study design	Species / route	Doses (mg/kg bw/d)	NOAEL (mg/kg bw/d)	LOAEL (mg/kg bw/d) and endpoint	References from NICNAS (2011)
<b>Multigenerational dietary reproductive toxicity studies</b>					
18 weeks (1 week prior to mating till weaning) 20/sex/group	Mice CD-1 Diet	0, 0.25, 1.25, 2.5% (0, 340, 1770, 3640)	Maternal: 3640 (F0) NE (F1)  Fertility-related parameters: 3640 (F0) NE (m, F1) 3640 (f, F1) Developmental: 3640 (F1) NE (F2)	Maternal: 3640 (F1): ↓ body weight (m-f); ↑ liver & ↓ pituitary weights (f)  Fertility-related parameters: 3640 (m, F1): ↓ sperm counts, ↑ prostate weight  Developmental: 3640 (F2): ↓ no. of live pups/litter (combined sexes)	Lamb <i>et al.</i> , 1987
15-17 weeks per generation (10 weeks prior to mating till weaning) 24/sex/group	Rats SD Diet	0, 600, 3000, 15000 ppm (0, 40-56, 197-267, 1016-1375) (m-f)	Maternal: 197-267 (m-f, F0, F1)  Fertility-related parameters: 40 (m, F0, F1) 1375 (f, F0, F1)	Maternal: 1016-1375 (m-f): ↑ liver weight (F0, F1); ↑ kidney weight (f, F1)  Fertility-related parameters: 197 (m): ↓ serum testosterone (F0), ↑ abnormal and tailless sperms (F0, F1)  Developmental:	Fujii <i>et al.</i> , 2005

Study design	Species / route	Doses (mg/kg bw/d)	NOAEL (mg/kg bw/d)	LOAEL (mg/kg bw/d) and endpoint	References from NICNAS (2011)
			Developmental: 197-267 (m-f, F1, F2)	1016-1375 (m-f): ↓ pup weight on PND 21 (F1, F2) and PND 4-21 (f, F1), delayed pinna detachment (m, F1) & vaginal opening (f, F1)	
<b>Studies on testes and testicular function</b>					
4 days 12/group	Rats Male SD Intubation	0, 1600	<b>Fertility-related parameters:</b> 1600	NE	Foster <i>et al.</i> , 1980
7 days 10/group	Rats Male Wistar Diet	0, 2% (~2000)	NE	<b>Fertility-related parameters:</b> 2000: ↓ serum and testis testosterone	Oishi & Hiraga, 1980
2 days 12/group	Rats Male Wistar Gavage	0, 2000	NE	<b>Fertility-related parameters:</b> 2000: ultrastructural changes in Leydig cells	Jones <i>et al.</i> , 1993
150 days 6/group	Rats Male Wistar Diet	0, 10, 25, 50 ppm (0, 0.57, 1.43, 2.85)	NE	<b>Fertility-related parameters:</b> 0.57: ↓ testis weight, testicular antioxidant enzymes, serum testosterone and androstenedione	Pereira <i>et al.</i> , 2008b <b>ND</b>
28 days 6/group	Rats Male SD Gavage	0, 250 (MEP)	NE	<b>Fertility-related parameters:</b> 250: ↓ sperm counts & motility	Kwack <i>et al.</i> , 2009 <b>ND</b>
7 days 10/group	Rats Male Wistar Diet	0, 2% (~2000)	NE	<b>Fertility-related parameters:</b> 2000: ↓ serum and testis testosterone	Foster <i>et al.</i> , 1980
2 days 12/group	Rats Male Wistar Gavage	0, 2000	NE	<b>Fertility-related parameters:</b> 2000: ultrastructural changes in Leydig cells	Oishi & Hiraga, 1980
<b>Prenatal developmental toxicity studies</b>					
GD 5, 10, 15 5/group	Rats SD ip	0, 0.51, 1.01, 1.69 mL/kg (0, 500, 1000, 1500)	NE	<b>Developmental:</b> 500: ↓ pup weight, ↑ skeletal abnormalities	Singh <i>et al.</i> , 1972
GD 0-17 17-20/group	Mice Jcl:ICR Dermal	0, 500, 1600, 5600	<b>Maternal:</b> 1600  <b>Developmental:</b> 1600	<b>Maternal:</b> 5600: ↑ adrenal and kidney weights <b>Developmental:</b> 5600: ↓ pup weight, ↑ skeletal variations (rudimentary cervical and lumbar ribs)	Tanaka <i>et al.</i> , 1987* (reviewed by SCCNFP, 2002; IPCS, 2003)
GD 6-13	Mice	0, 4500	<b>Developmental:</b>	NE	Hardin <i>et al.</i> , 1987

Study design	Species / route	Doses (mg/kg bw/d)	NOAEL (mg/kg bw/d)	LOAEL (mg/kg bw/d) and endpoint	References from NICNAS (2011)
50/group	CD-1 Gavage		4500		
GD 6-15 27-32/group	Rats CD Diet	0, 0.25, 2.5, 5% (0, 200, 1900, 3200)	<i>Maternal:</i> 200  <i>Developmental:</i> 1900	<i>Maternal:</i> 1900: ↓ body weight & food consumption <i>Developmental:</i> 3200: ↑ skeletal variations (rudimentary lumbar ribs)	Field <i>et al.</i> , 1993
GD 12-19 5/group	Rats CD Gavage	0, 500	<i>Developmental:</i> 500	NE	Liu <i>et al.</i> , 2005
GD 8-18 5/group	Rats SD Gavage	0, 100, 300, 600, 900	<i>Maternal:</i> 900 <i>Developmental:</i> 900	NE	Howdeshell <i>et al.</i> , 2008 <b>ND</b>
<b>Postnatal developmental toxicity study (one-generation study)</b>					
GD 14 - PND 3 5/group	Rats SD Gavage	0, 750	<i>Developmental:</i> 750	NE	Gray <i>et al.</i> , 2000

FO = parental generation; F1 = first filial/offspring generation; F2 = second filial/offspring generation;

m-f = male-female; ip = intraperitoneal; no. = number. ↓ = decreased; ↑ = increased;

GD = gestational day; NE = not established; PND = postnatal day; SD = Sprague-Dawley

\* Quoted as secondary citations from the key documents listed in Section 1.3;

**ND** = new data since the release of the NICNAS DEP Hazard Assessment in 2008.

With regard to fertility parameters, it is concluded that associations are drawn between exposure to DEP and abnormal sperm parameters but no evidence of effects leading to decreased fertility in animals. Based on the multigeneration dietary reproductive toxicity study in rats NICNAS (2011) established NOAEL of 40 mg/kg bw/d was for fertility-related parameters based on the reduced testosterone levels and the increased incidence of abnormal sperms at 197 mg/kg bw/d.

Based on the same study, NICNAS (2011) concludes that the developmental NOAEL was 197 mg/kg bw/d and the LOAEL was 1016 mg/kg bw/d based on decreased pup weight and developmental delay.

Based on the same study in the registration dossier for DEP, the registrant has suggested a NOAEL for general toxicity and reproductive performance in parental animals at 15000 ppm (1016 mg/kg bw/d) as there were no adverse effects on these parameters. For development and growth of pups the NOAEL is considered to be 3000 ppm (197 mg/kg bw/d) due to decreased body weight gain in those given 15000 ppm (ECHA, 2013).

### **Endocrine disruption**

The Danish Centre on Endocrine Disruptors (CEHOS, 2012) has provided a science based evaluation of the endocrine disrupting properties of the 22 substances on the SIN list<sup>2</sup> version 2.0. DEP is one of the substances which have been evaluated against the proposed Danish criteria for endocrine disruptors. The criteria are shown in Appendix XX. The result of the evaluation with relevance for human health was according to CEHOS (2012):

<sup>2</sup> List of substances identified by the NGO ChemSec as Substances of Very High Concern (SVHC) according to the criteria in REACH. <http://www.chemsec.org/what-we-do/sin-list/sin-list-20>

### *Di-ethyl phthalate (DEP), CAS 84-66-2*

*Associations between DEP exposure and clinical outcomes related to endocrine disruption (AGD in boys, infertility, and insulin resistance) have been reported in human studies. For some outcomes the same associations were seen as well for other phthalate metabolites present at the same time. Some in vitro studies show weak estrogenic effects, whereas others do not, i.e. results are conflicting.*

*In experimental animals findings of reduced testosterone levels, delayed vaginal opening and increased incidence of abnormal sperm in a two-generation study point to endocrine disruption. Several studies show that DEP does not share the same mode of action as DEHP, DBP, BBP, DPP and DiBP and does not affect e.g. anogenital distance, fetal testosterone production, fetal testicular gene expression, nipple retention, and reproductive organ weights. Two other studies describe effects of DEP on semen quality, but it is not the same parameters that are altered in the three studies. Other studies including an enhanced 28-day study did not detect any sperm quality changes. Thus, the possibility of effects of DEP on sperm quality is controversial and although evidence of endocrine disruption has been shown, any evidence of adverse effects is less clear.*

**Evaluation: Suspected ED in Category 2a.**

#### Category 2a – Suspected ED

Substances are placed in category 2a when there is some evidence from humans or experimental animals, and where the evidence is not sufficiently convincing to place the substance in category 1. If for example limitations in the study (or studies) make the quality of evidence less convincing, category 2a could be more appropriate. Such effects should be observed in the absence of other toxic effects, or if occurring together with other toxic effects, the ED effect should be considered not to be a secondary non-specific consequence of other toxic effects. Substances can be allocated to this category based on:

- Adverse effects in vivo where an ED mode of action is suspected
- ED mode of action in vivo that is suspected to be linked to adverse effects in vivo
- ED mode of action in vitro combined with toxicokinetic in vivo data (and relevant non test information such as read across, chemical categorisation and QSAR predictions).

### **6.1.3 DIPP**

The following data is available in the registration dossier for DIPP (ECHA, 2013):

- LD50, oral in rat: > 2000 mg/kg bw
- Not irritating in EPISKIN three dimensional human skin model
- Non corrosive/non severe eye irritant in Bovine Corneal Opacity and Permeability Test: An In Vitro Assay of Ocular Irritancy
- Sensitising in Mouse local lymphnode assay (LLNA). Considered a potential skin sensitiser
- Negative in Mutagenicity - Reverse Mutation Test Using Bacteria (*S. typhimurium*) with and without metabolic activation

DIPP is subject to harmonised classification and evaluated as requiring classification for reproductive toxicity in category 1B.

In Annex I to the Annex XV dossier, proposing DIPP as a SVHC substance, the following additional information is available (Environment Agency Austria, 2012):

- A good skin penetration potential can be expected as for the structurally related diisobutyl phthalate about 10 %
- Absorption via the gastrointestinal tract is substantiated by systemic effects in animal experiments. Alkyl phthalates are assumed to be absorbed via the respiratory tract. Since the vapour pressure is very low, inhalative exposure is only to be expected if DIPP is strongly heated or if aerosols are formed.
- Studies regarding metabolism of DIPP are not available

With regard to developmental toxicity and effects on fertility, the following information is available (Environment Agency Austria, 2012):

- According to recent and older studies there is strong evidence that dipentylphthalate (CAS 131-18-0) is an equal or even more potent testicular toxicant than DEHP. This is likely to be valid also for other structurally related pentyl phthalates, like DIPP. This is supported by results of from 1997. The mixture of pentyl phthalates caused a 100 % resorption at 1000 mg/kg/day while DEHP caused malformations in 70% of the litters at the same dose.
- There are no studies on fertility with DIPP available to date. A fertility reducing action is suspected because of the structural relationship to di-n-pentyl phthalate and dibutylphthalate and the findings available for these substances. The monoesters of phthalic acid esters of medium chain length (C4 – C6) cause damage to the germinal epithelium in the testis. Sertoli cells in the seminiferous tubules are the primary site of attack. They exhibit considerable vacuolization of the smooth endoplasmic reticulum resulting in a reduced fertility. As a consequence the germinal epithelium may be lost. (ECBI/65/00 Add2).

No further information has been identified.

#### **6.1.4 DPHP**

The following data is available in the registrations dossier for DPHP (ECHA, 2013):

- The registration dossier reports results from a study of excretion following oral administration of DPHP in a healthy 63 year old male human volunteer. After a single oral application DPHP was hydrolysed to the respective monoester, which underwent further metabolic changes. 34 % of the applied dose was excreted in the urine, most of it as secondary metabolites. Only a minute amount of the applied dose was excreted in the form of the monoester (less than 1 %). It is noted that most of the metabolites were excreted within the first 24 hours after the dosing.
- LD50, oral in rat: >5000 mg/kg bw
- LC50: > 5 mg/L air (4 hours). Clinical signs: Immediately after exposure the animals were wet, ruffled, agitated and raspy sounding. After 24 hours they appeared normal.
- LD50, dermal in rabbit: >2000 mg/kg bw. Clinical signs: There were no unusual behavioural signs noted.
- Not irritating to skin in rabbits according to EPA OPPTS 870.2500 (Acute Dermal Irritation)
- Non irritating to rabbit eyes according to OECD Guideline 405 (Acute Eye Irritation / Corrosion)
- Not sensitising in guinea pigs according to modified Buehler-test with 10 inductions
- Not sensitising in QSAR calculation

- The NOAEL in a repeated dose toxicity test in rats was established at 39 mg/kg bw/day based on effects on liver weight (peroxisomal proliferation) according to OECD Guideline 408 (Repeated Dose 90-Day Oral Toxicity in Rodents)
- Negative in chromosome aberration test according to OECD Guideline 473 (*In vitro* Mammalian Chromosome Aberration Test)
- Negative in Mutagenicity - Reverse Mutation Test Using Bacteria (*S. typhimurium*) with and without metabolic activation according to OECD Guideline 471 (Bacterial Reverse Mutation Assay)
- Negative in Chinese Hamster Ovary (CHO) cell gene mutation assay according to OECD Guideline 476 (In vitro Mammalian Cell Gene Mutation Test)
- A NOAEL of 8000 ppm (479.2 mg/kg bw/day (males); 619.6 mg/kg bw/day (females)) was established in a supporting carcinogenicity study based on organ weight and histopathology.
- Read-across from other high molecular weight (HMW) structural analogues (DINP/DIDP/DEHP/Di-C11 PE). The members of this category did not show potential for producing genetic effects. Liver tumours induced by peroxisome proliferation in rodents by HMW phthalate esters are not considered relevant in humans (ref. to SIDS, 2004).
- A NOAEL of 40 mg/kg bw/day (general systemic toxicity) was established in a Two-Generation Reproduction Toxicity Study in the rat according to OECD Guideline 416 based on peroxisome proliferation in the liver, bones, kidneys and thyroid; body weight; food consumption and compound intake. NOAEL for fertility was established at 600 mg/kg bw/day in parental and F1 animals based on overall effects; organ weights; histopathology; mating index; and fertility index. NOAEL in F1 and F2 animals was established at 200 mg/kg bw/day based on decreased pup body weights/pup weight gain. In conclusion DPHP did not influence fertility or reproductive parameters in parental animals and offspring.
- A NOAEL of 200 mg/kg bw/day for embryotoxicity, foetotoxicity and maternal toxicity was established in a developmental toxicity study in rats according to OECD Guideline 414 (Prenatal Developmental Toxicity Study). The NOAEL for teratogenicity was established at 1000 mg/kg bw/day. In a similar study with less animals the NOAEL for embryotoxicity, foetotoxicity, maternal toxicity and teratogenicity was established at the highest dose of 1000 mg/kg bw/day.

The United States Consumer Product Safety Commission (USCSPC, 2010) has assessed the potential health effects on consumers under the risk-based Hazardous Substances Act (FHSA) based on very much the same information as in the publicly available registration information for acute, repeat dose and reproductive and prenatal, perinatal, and post-natal toxicity. The overall conclusion was that ***an insufficient amount of animal data and poorly described methodologies in studies using DPHP as a test substance supported the conclusion that there was*** "insufficient evidence" for the designation of DPHP as a "hepatotoxicant", "adrenal toxicant", "reproductive toxicant" and "developmental toxicant". No ADI was estimated for the general population or for other sensitive sub-populations because of lack of confirmatory data.

#### **6.1.5 DMEP**

No REACH registration dossier is available for DMEP.

#### ***Kinetics and metabolism***

There is limited information about the toxicokinetics of DMEP. Studies in pregnant rats have shown that DMEP is hydrolysed to MMEP (mono-2-methoxyethyl phthalate) and 2-ME (2-methoxyethanol). 2-ME is further oxidised to MMA (methoxyacetic acid). DMEP injected intravenously is rapidly transferred across the placenta into the foetus which has little or no ability to hydrolyse DMEP to the monoester (NICNAS, 2008).

Based on an *in vitro* assay, DMEP is predicted to absorb very slowly into human skin, with a steady state absorption rate of 8 µg/cm<sup>2</sup>/hour (USCPSC, 2011).

### **Acute toxicity**

DMEP has low acute, dermal and inhalational toxicity. The oral LD<sub>50</sub> in rats was reported to be 3200–6400 mg/kg bw (NICNAS, 2008). The dermal LD<sub>50</sub> was > 11,710 mg/kg bw in guinea pigs (Environment Canada, 2009). LC<sub>50</sub> (6 h) in rats was reported at > 770-1595 ppm (NICNAS, 2008).

### **Irritation**

Based on a study in guinea pigs, where DMEP caused slight skin irritation when applied to depilated guinea pig abdomen under occlusive wrap for 24 hours, it was concluded that DMEP caused minimal skin irritation in guinea pigs. The same conclusion was made regarding eye irritation based on studies where DMEP was applied to rabbits eyes (NICNAS, 2008). No data regarding respiratory irritation have been identified. Due to DMEP's very low vapour pressure respiratory irritation is not expected.

### **Sensitisation**

DMEP did not elicit a positive response when administered to ten guinea pigs using a standardised sensitisation procedure, but without further details of the test conditions (NICNAS, 2008)

### **Repeated dose toxicity**

In subchronic repeated dose studies, DMEP caused decreases in absolute and relative thymus and testes weight with histological evidence of testes atrophy in rats (1000 mg/kg bw/day, gavage) and decreased relative testes weight in mice (250 mg/kg bw/day, intraperitoneal). In a rat 16-day gavage study, a LOAEL of 100 mg/kg bw/day was established based on decreases in haemoglobin and haematocrit values. No NOAEL could be established (NICNAS, 2008).

### **Genotoxicity**

DMEP did not cause a significant increase in reverse histidine mutations in the presence of metabolic activation when treated in the *in vitro* Ames reverse mutation assay in *Salmonella typhimurium* strains ester strains TA98 and TA100 at concentrations up to 10,000 µg/plate with and without metabolic activation. With no activation, positive results were obtained in strain TA98 (USCPSC, 2011).

The genotoxicity of DMEP was also assessed in the *in vivo* dominant lethal assay. The high dose of DMEP statistically reduced the incidence of pregnancies and the number of implants per pregnancy compared to the control group, indicating a dominant lethal effect at this dose of 2785 mg/kg bw (USCSPC; 2011).

### **Chronic toxicity / carcinogenicity**

A five-generation oral study with very limited study details did not reveal any chronic effects induced by DMEP in rats. The actual dosage was not stated and the dose was therefore estimated based on the assumption that DMEP was applied to rats in diet and administered up to 900 mg/kg diet per day (45 mg/kg bw per day). No signs of reproductive toxicity or carcinogenicity were observed in this old study from 1968 (Environment Canada, 2009). Carcinogenicity relevant for humans has also not been recognized for 2-ME (2-Methoxyethanol) or other glycol ethers. Although some phthalates induced various tumours in experimental animals, the relevance of these data to DMEP carcinogenicity and to humans is unclear (Environment Canada, 2011).

### **Reproductive toxicity**

DMEP is subject to harmonised classification as toxic to reproduction in category 1B.

A NOAEL of 100 mg/kg for reproductive organ toxicity was established from an oral repeat dose study in rats based on decrease in testes weight at 1000 mg/kg bw/d. However, no reproductive toxicity studies were performed according to OECD guidelines (NICNAS, 2008). There were no developmental studies following oral or inhalation administration of DMEP. Intraperitoneal injection induced marked embryotoxic, fetotoxic and teratogenic effects at doses above 1.03 mmol/kg (estimated 291 mg/kg bw). A NOAEL could not be established due to teratogenic effects at the lowest dose. The effects on the dams were unreported. Both 2-ME and MAA induced malformations, principally skeletal, in developmental studies. Overall, from available studies, it is anticipated that DMEP may cause fertility and developmental effects (Cited from NICNAS, 2008).

### **Endocrine disruption**

In relation to the current re-assessment of the safety aspects of phthalates, e.g. DEHP, used in medical devices by the Scientific Committee on Emerging and Newly Identified Health Risks (SCENIHR) the Danish Ministry of Health has in 2012, encouraged the European Commission to consider having the SCENIHR study include an additional five phthalates suspected of having endocrine disrupting effects, including DMEP. The re-assessment is expected to be finalised early 2014 (Danish EPA, 2013).

No further information on endocrine disruption has been identified.

### **6.1.6 DINP and DIDP**

DINP and DIDP are more extensively reviewed than the other selected phthalates for this study. In August 2013 ECHA issued a final review report with an Evaluation of new scientific evidence concerning DINP and DIDP in relation to entry 52 of Annex XVII to REACH Regulation (EC) No 1907/2006 (ECHA, 2013). Conclusions from this review are presented in the following (references included in the cited sections belong to the ECHA review).

#### **Kinetics**

*Based on read-across from DEHP, it is assumed that humans orally absorb DINP and DIDP 100%. The oral absorption in adult rats was estimated to be in the order of 50-55%.*

*A bioavailability factor of 75% for inhalation can be assumed for adults and 100% for newborns and infants as a vulnerable subpopulation.*

*Based on a study with DEHP (Deisinger et al. 1998), and the assumption that DINP and DIDP are 10 times less absorbed through the skin than DEHP (Elsisi et al. 1989), a dermal absorption rate of 0.024 µg/cm<sup>2</sup>/h can be assumed.*

#### **Acute toxicity**

Conclusions from the EU risk assessments are still considered valid:

*DINP: "Most of the animal studies on acute toxicity were either not available for detailed study or performed prior to establishment of OECD or EU guidelines. However given the consistency of the results for oral, dermal and inhalation exposure, it can be considered that DINP has a low acute oral, dermal and inhalation toxicity. No LD<sub>50</sub>/LC<sub>50</sub> was reported from acute exposure by those routes of exposure. Findings consisted of poor state, respiratory difficulties (laboured respiration, dyspnea) and altered ethered appearance, following oral administration, even at very high level (up to 40,000 mg/kg). Acute inhalation studies, although poorly documented, did not report any body weight changes, any gross lesions or microscopic alterations of lungs, only slight tearing of the eye and slight clear nasal discharge following aerosol exposure of 4.4 mg/l of air during four hours. Therefore, no classification is indicated according to the EU criteria for acute toxicity." (EC 2003a).*

*DIDP: “Most of the animal studies on acute toxicity were either not available as detailed studies or performed prior to establishment of OECD or EU guidelines. However in view of the consistency of the results for all routes of exposure, it can be considered that DIDP has a low acute oral, dermal and inhalation toxicity. No classification is indicated according to the EU criteria for acute toxicity whatever the route of exposure.” (EC2003b)*

### **Irritation and corrosivity**

Conclusions from the EU risk assessments are still considered valid:

*DINP: “On the whole, DINP may be considered as a very slight skin and eyes irritant, with effects reversible in short time. Thus no classification is indicated according to the EU criteria for those different end points.” (EC, 2003a)*

*DIDP: “Results from animal studies following single skin exposure varying from 5 minutes to 24 hours lead to no or moderate effect, reversible with possible desquamation. Effects on eyes are weak and limited to conjunctiva. There is no indication of upper airways irritation in animal. In humans there is no indication of an irritating potential. Thus no classification is indicated according to the EU criteria for those different end points.” (EC 2003b).*

### **Sensitisation - DINP and DIDP**

*In general, phthalates (including DINP and DIDP) lack intrinsic sensitising potential. However, both DINP and DIDP share at least some of the adjuvant properties demonstrated for phthalates and an effect on atopic responses in humans cannot be excluded. An association has been shown between exposure to phthalates and asthma and allergic disease in epidemiological studies. However, a causal relationship remains to be established.*

### **Repeated dose toxicity - DINP**

*A NOAEL of 15 mg/kg bw/day with a LOAEL of 152 mg/kg bw/day (Exxon 1986) and a NOAEL of 88 mg/kg/day with a LOAEL of 359 mg/kg bw/day (Aristech 1994) were identified in the two key repeated dose toxicity studies based on statistically significant increases of incidence of spongiosis hepatitis together with other signs of hepatotoxicity.*

*As a result of the methodological difference (amount of examined liver sections), the Exxon (1986) study was considered the most appropriate to use. Thus a NOAEL of 15 mg/kg bw/day was selected for repeated dose toxicity of DINP. This conclusion was supported by RAC (ECHA 2013a). RAC however noted that the NAEL could be higher given the large dose spacing in the Exxon study.*

### **Repeated dose toxicity - DIDP**

*Subchronic studies in respectively the dog (Hazleton 1968b) and rat (BASF 1969) were available. From the rat study, a NOAEL of 60 mg/kg bw/day can be assumed based on dose-related increase of relative liver weights in females. A NOAEL of 15 mg/kg bw/day can be derived for the study in dog on the basis of hepatic effects. However, the large limitations of the study need to be emphasised.*

*In a new 2-year rodent carcinogenicity study by Cho et al. (2008, 2010) a LOAEL of 22 mg/kg bw/day based on spongiosis hepatitis in a 2-year study in rat could be derived. However, there are some questions related to the reliability of these findings.*

*In line with the opinion of RAC (ECHA 2013a,b), a weight of evidence approach was used for DNEL calculation on the basis of a LOAEL of 22 mg/kg bw/day (Cho et al. 2008, 2010), a NOAEL of 15 mg/kg bw/day (Hazleton 1968b) and a NOAEL 60 mg/kg bw/day (BASF 1969b).*

## **Mutagenicity**

Conclusions from the EU risk assessments are still considered valid:

*“DINP is not mutagenic in vitro in bacterial mutation assays or mammalian gene mutation assay (with and without metabolic activation) and is not clastogenic in one cytogenetic assay in vitro on CHO cells and in one in vivo assay on bone marrow cell of Fisher 344 rats. This suggests that DINP is not genotoxic in vivo or in vitro.” (EC 2003a)*

*“DIDP is not mutagenic in vitro in bacterial mutation assays (with and without metabolic activation) and is negative in a mouse lymphoma assay. It is not clastogenic in a mouse micronucleus assay in vivo. This indicates that DIDP is a non-genotoxic agent.” (EC 2003b)*

## **Carcinogenicity – DINP**

*The renal tumors seen in rats are assumed to stem from an alpha-2u-globulin mode of action which is not considered to be relevant for humans.*

*Liver neoplasia were seen in rats and mice with a NOAEL of 112 mg/kg bw/day. It is believed that peroxisome proliferation is the underlying mode of action for development of liver tumors with DINP, and that PPAR $\alpha$ <sup>3</sup> is involved in hepatic tumour formation. However, the more recent literature indicates that the mechanisms of liver carcinogenicity in rodents with peroxisome proliferators have not entirely been elucidated and that multiple pathways seem to exist. Some of those pathways seem to be PPAR $\alpha$ -independent, which might indicate a need for some caution when interpreting the relevance of rodent carcinogenicity with DINP to humans.*

*The increased incidences in MNCL (mononuclear cell leukemia) seen in rats with a NOAEL of 15 mg/kg bw/day might have a human counterpart. The available information does not allow to draw definite conclusions on the relevance of the findings. As MNCL is likely to follow a threshold mode of action with a NOAEL equal to that for repeated dose toxicity, the finding would not be a driver for the risk assessment. Therefore, the endpoint is not taken further to the risk characterisation step.*

## **Carcinogenicity – DIDP**

*Although no treatment-related tumours were observed in a 2-year carcinogenicity study with rats, DIDP has been shown to induce liver adenomas in a 26-week study in rasH2 mice (NOAEL of 0.33% in feed, estimated to correspond to approximately 500 mg/kg bw/day). It is assumed that the increased incidence of liver adenomas in mice is related to peroxisome proliferation, and that PPAR $\alpha$  is involved in hepatic tumour formation. However, the more recent literature indicates that the mechanisms of liver carcinogenicity in rodents with peroxisome proliferators have not entirely been elucidated and that multiple pathways seem to exist. Some of those pathways seem to be PPAR $\alpha$ -independent, which might indicate a need for some caution when interpreting the relevance of rodent carcinogenicity with DINP to humans.*

*The increased incidences in MNCL seen in a 2-year carcinogenicity study with rats (NOAEL of 110 mg/kg bw/day) might have a human counterpart. The available information does not allow to draw definite conclusions on the relevance of the findings. As MNCL is likely to follow a threshold mode of action with a NOAEL well above that for repeated dose toxicity, the finding would not be a driver for the risk assessment. Therefore, the endpoint is not taken further to the risk characterisation step.*

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<sup>3</sup> PPAR = peroxisome proliferator activated receptor

### **Reproductive toxicity- DINP**

*Decreases foetal testicular testosterone concentration during critical time window of masculinisation and increased incidence of multinucleated gonocytes and Leydig cell aggregates were observed with a NOAEL of 50 mg/kg bw/day. In a two-generation reproductive toxicity study the offspring bodyweight was decreased with a LOAEL of 159 mg/kg bw/day (no NOAEL) and increased skeletal variations were observed in a prenatal developmental toxicity study with a NOAEL of 100 mg/kg bw/day. The in vivo findings indicate that DINP has anti-androgenic potency but may also exhibit its effects through other modes of action.*

*Effects on fertility occur at higher dose levels, with a NOAEL for decreased live birth and survival indices of 622 mg/kg bw/day and a NOAEL of 276 mg/kg bw/day for decreased testicular weights.*

### **Reproductive toxicity - DIDP**

*The most critical reproductive effect for DIDP is the decreased survival of F2 pups observed in both two-generation reproductive toxicity studies with rats, leading to a NOAEL of 33 mg/kg bw/day. A NOAEL of 40 mg/kg bw/day can be derived for foetal variations from prenatal developmental toxicity studies.*

*DIDP did not induce substantial anti-androgenic activity in available studies; in particular it did not reduce foetal testicular T levels or affect gene expression levels related to masculinisation during critical time window during development. DIDP seems to have a partly different spectrum and/or potency of toxicological properties than several other phthalates, such as DINP, DEHP and DBP.*

*Other effects on fertility occurred at higher doses with a NOAEL of 427 mg/kg bw/day (0.8% dietary level) based on a two-generation reproductive toxicity study.*

### **Endocrine disruption**

The ECHA review concludes regarding estrogenic activity that DIDP and DINP do not seem to be active. It is however noted that certain phthalates, such as DEHP, have suggested affecting also female reproductive health but as whole the effects of phthalates on reproduction in females have been studied much less than in males (ECHA, 2013).

The ECHA review also emphasises that for both males and females, other relevant human health endpoints concerning endocrine disruption such as developmental neurotoxicity, thyroid system, arylhydrocarbon receptor signalling and obesity have not been clearly associated with phthalate exposure according to other recent reviews.

According to the Danish Phthalate Strategy (Danish EPA, 2013) Denmark will in 2013 assess whether the evidence of endocrine disrupting effects observed at high doses of DINP provides a basis for a harmonised classification or other measures (Danish EPA, 2013).

## **6.2 Human exposure**

Humans are potentially exposed to phthalates through ingestion, inhalation, and dermal contact. Quantification of the exposure can be based on indirect methods where the exposure is based on estimations of the concentration of phthalates in different sources (air, soil, diet, articles, etc.) or direct methods based on results from biomonitoring studies of relevant biomarkers.

According to Clark *et al.* (2011), the indirect and biomarker methods generally are in agreement within an order of magnitude and discrepancies are explained by difficulties in accounting for use of consumer products, uncertainty concerning absorption, regional differences, and temporal changes. No single method is preferred for estimating intake of all phthalate esters. It is suggested that

biomarker estimates be used for low molecular weight phthalates for which it is difficult to quantify all sources of exposure and either indirect or biomarker methods be used for higher molecular weight phthalates. The indirect methods are useful in identifying sources of exposure while the biomarker methods quantify exposure (Clark *et al.*, 2011).

For the selected phthalates, most data are available for DINP, DIDP and DEP. As DMEP is not on the market in Europe exposure is expected to be related to imported articles only.

### 6.2.1 Direct exposure pathways

Based on the identified uses in Denmark for the selected phthalates, possible direct exposures are suggested in Table 39.

**TABLE 39**  
**OVERVIEW OF POSSIBLE DIRECT EXPOSURE FROM THE SELECTED PHTHALATES IN DENMARK**

Phthalate	Consumers		Working environment	
	Route	Source	Route	Source
<b>DINP</b>	Dermal, ingestion, inhalation (dust)	Various flexible PVC products in doors and outdoors (by touch, ingestion of foods packed or kept in plasticised food contact plastics)	Dermal, inhalation (dust, aerosols)	Various flexible PVC products in doors and outdoors, sealants and paints (by application and other handling)
<b>DIDP</b>	Dermal, inhalation (dust)	Wire and cable, tarpaulins (at application and other handling)	Dermal, inhalation (dust, aerosols)	Wire and cable, tarpaulins, roof membranes, geo-membranes, sealants, paints (by application and other handling)
<b>DPHP</b>	do	do	do	do
<b>DEP</b>	Dermal, ingestion, inhalation (aerosols)	Cosmetics and personal care products (+others?); at personal use or indirectly at contact with persons using them	Dermal, ingestion, inhalation aerosols	Cosmetics and personal care products (+others?) from personal use or indirectly by contact with persons using them
<b>DIPP</b>	-	-	Dermal	Explosives?
<b>DMEP</b>	-	-	-	-

Legend: - : Exposure deemed absent or marginal; ?: Uncertain, cannot be ruled out completely;

The Danish eight-hour average occupational exposure limits for DEP, DINP (CAS No. 28553-12-0) and DIDP (CAS No. 26761-40-0) are 3 mg/m<sup>3</sup> workplace air.

### 6.2.2 Indirect exposure pathways

Based on the identified uses in Denmark for the selected phthalates, possible indirect exposures are suggested in Table 40 based on general background knowledge.

**TABLE 40**  
**OVERVIEW OF POSSIBLE INDIRECT EXPOSURE FROM THE SELECTED PHTHALATES IN DENMARK**

Phthalate	Indoor climate	Via external environment				Remarks
		Food and drink	Air	Soil	Water	
<b>DINP</b>	X	X	-	-	-	Various product uses (via evaporation + dust)
<b>DIDP</b>	X	-	-	-	-	Wire and cable (via evaporation + dust)
<b>DPHP</b>	x	-	-	-	-	Wire and cable (via evaporation + dust)
<b>DEP</b>	x	-	-	-	-	Cosmetics and personal care products (via evaporation + dust)
<b>DIPP</b>	-	-	-	-	-	Use may be limited to some explosives and some ammunition charges; no data indicating significant environmental concentrations were found
<b>DMEP</b>	?	?	-	-	-	May be contained in imported articles, but exposure is expected to be limited; no data indicating significant environmental concentrations were found

Legend: X : Possible exposure; x: possible exposure, but likely smaller relatively; ?: Uncertain, cannot be ruled out completely; -: Exposure deemed absent or marginal.

Indirect exposure of vulnerable groups to DINP considering Danish exposure situations are estimated in two recent projects from the Danish EPA.

In a survey and health assessment of the exposure of 2-year-olds to chemical substances in consumer products (Danish EPA, 2009) the contribution from foods is estimated at a maximum of 10 µg/kg bw/day of DINP and the contribution to ingestion of DINP from the indoor climate (dust and air) is estimated at 0.0003 µg/kg bw/day (worst case/winter scenario based on ingestion of 100 mg dust).

In a project on exposure of pregnant consumers to suspected endocrine disruptors (Danish EPA, 2012) the exposure of women in the child-bearing age to a number of suspected endocrine disruptors including DINP was investigated. The total, maximum exposure from consumer products, indoor environment and food was estimated at 2.2042 µg/kg bw/day.

No data specific for Danish conditions on the other selected phthalates were identified.

DMEP is not registered for use in Europe but may be imported in articles containing e.g. cellulose acetate lamination films. The Annex XV dossier for DMEP (BAUA, 2011) includes a reference to recent Austrian unpublished results where DMEP was analysed in 10 products and 10 house dust samples (commercial and private) and was not detected above the detection level of 0.04 mg/kg. DMEP has been detected in an older German study conducted in 65 apartments in Hamburg, Germany between 1998 and 2000 and analysing indoor dust (<63 µm) collected from vacuum cleaner bags. DMEP was detected in 49 samples in concentrations up to 17 mg/kg (50th percentile = 2 mg/kg; 95th percentile = 8 mg/kg) and it was speculated that the phthalates originated from use of consumer products.

### 6.3 Bio-monitoring data

For phthalates most biomonitoring studies used for estimation of exposure have investigated levels of metabolites in urine and to a much lesser extent levels in blood and breast milk. Although parent phthalates can be detected in blood, fast cleavage of the first ester bond by serum esterase, results in a very short half-life, which makes the parent compound unsuitable as a biomarker (ECHA, 2013). Urinary concentrations in nursing mothers are not considered useful for estimating exposure to phthalates through milk ingestion by breast-fed infants (Högberg *et al.*, 2008)

Danish biomonitoring data specifically relevant for the phthalates selected for this study have been identified for DINP and DEP.

#### DINP and DIDP

Danish biomonitoring data are available for DINP. Estimated DINP intakes ( $\mu\text{g}/\text{kg bw}/\text{day}$ ) based on urinary metabolite data from Denmark are shown in Table 41. Exposures calculated from 24 hour samples are based on the urinary metabolite concentration ( $\mu\text{mol}/\text{l}$ ). In the case of exposures calculated from spot urine samples the urinary metabolite concentration is normalised against creatinine or urinary volume references in order to estimate the daily excretions.

**TABLE 41**  
ESTIMATED DINP INTAKES ( $\mu\text{G}/\text{KG BW}/\text{DAY}$ ) BASED ON URINARY METABOLITE DATA FROM DENMARK (ECHA, 2013)

Country	No. of subjects	Age (y)	year	Intake $\mu\text{g}/\text{kg bw}/\text{day}$		Basis of estimated intake
				50th percentile	95th percentile (max)	
DK N=129	25	Boys 6-10	2006- 2008	2.04	9.02 (9.88)	24 hour urine samples  Based on urine levels of MiNP, MHiNP, MOiNP and MCIOP intake based on fractions of dose excreted in urine in adult volunteer experiment (Anderson <i>et al.</i> 2011) using child specific model (Koch, 2007; Wittassek <i>et al.</i> 2007)
	26	11-16		1.42	5.26 (5.36)	
	14	17-21		1.52	N.R. (3.63)	
	24	Girls 6-10		1.93	10.4 (11.9)	
	29	11-16		1.53	6.99 (7.96)	
	11	17-21		1.01	N.R. (2.49)	
DK	60	18-26	2006	1.26	3.48	Spot samples  Based on urine levels of MiNP, MHiNP, MOiNP and MCIOP  Calculation by Kransler <i>et al.</i> (2012)
DK	250 girls 250 boys	4-9	2006- 7	2.13	3.03	Spot samples  Based on urine levels of MiNP, MHiNP, MOiNP and MCIOP Fractional urinary excretion values from Anderson <i>et al.</i> (2011) Calculation by Kransler <i>et al.</i> (2012)
		4-9		2.25	3.41	

N.R. = not reported

The estimated median adult exposure in Denmark is around  $1.3 \mu\text{g}/\text{kg bw}/\text{day}$  and 95th percentile intakes estimated at around  $3.4 \mu\text{g}/\text{kg bw}/\text{day}$ . As shown in Table 41 the estimated exposure results

for DINP indicate a decrease in exposure with an increase in age, assumed to be a result of higher dust and food intakes combined with lower body weights (ECHA, 2013). Differences in study approach and methodology result in significant variability between studies and this makes comparison of the outcome from different EU countries more difficult. According to ECHA (2013), there are no biomonitoring data for children under three years of age. Due to the restriction of the use of phthalates in toys, such monitoring data would not reflect exposure from toys and childcare articles which can be placed in the mouth, but could be indicative of exposure from other sources.

Similar data for estimated DIDP exposure in Denmark have not been identified. Estimations based on data from other countries indicate a lower intake of DIDP compared to DINP (ECHA, 2013).

In a newly published study with results from human biomonitoring on a European scale, all 17 participating countries analysed 4 human biomarkers including metabolites of some phthalates in urine. DINP was part of the study. Samples were taken from children aged 6-11 years and their mothers aged 45 years and under. Results of urinary metabolites of DEP, DINP and DIDP measured in Danish mother-child pairs are shown in Table 42 (Frederiksen *et al.*, 2013). The results showed higher levels in children compared to mothers, with the exception of MEP, a metabolite of DEP, which is not regulated and is mainly used in cosmetics. A possible explanation for the generally higher levels in children is **children's relatively higher intake: they are more exposed to dust, playing nearer the ground, and have more frequent hand-to-mouth contact; and they eat more than adults in relation to their weight.** Consumption of convenience food, use of personal care products and indoor exposure to vinyl floors and wallpaper have all been linked to higher phthalate levels in urine (DEMOCOPHES, 2013; Frederiksen *et al.*, 2013).

**TABLE 42**  
URINARY PHTHALATE METABOLITES IN DANISH MOTHER-CHILD PAIRS (FREDERIKSEN *ET AL.*, 2013)

Diester phthalate	Phthalate metabolite	Limit of detection LOD	Mother (n=145)			Child (n=143)		
			Mean	50th percent- tile	95th percent- tile	Mean	50th percent- tile	95th percent- tile
<b>Concentration (ng/ml)</b>								
DEP	MEP	0.53	74	29	359	28	20	68
DINP	MiNP	0.61	0.30	2.7	1.9	0.88	5.0	3.2
	HMiNP	0.26	5.3	1.4	19	123	2.6	38
	MOiNP	0.25	2.9	6.2	13	7.2	7.8	17
	MCiOP	0.11	9.8	13	35	22	46	46
	$\Sigma$ DiNPm		24	13	100	58	20	111
DIDP	MiDP	0.69	< LOD	< LOD	< LOD	< LOD	< LOD	< LOD
<b>Creatine adjusted concentration (<math>\mu</math>g/g crea)</b>								
DEP	MEP		64	29	298	28	19	93
DINP	MiNP		0.3	2.6	1.6	0.91	5.0	2.7
	HMiNP		5.1	1.3	17	14	2.7	28
	MOiNP		2.7	5.2	9.9	7.6	8.2	14
	MCiOP		9.9	12	37	24	7	7
	$\Sigma$ DiNPm		24	12	81	61	22	102

The study also concludes that the sum of DEHP-metabolites in Danish children participating in the study was lower than the average adjusted for urinary creatinine, age and gender for the 17 involved EU countries.

### **DEP**

A recent study has investigated **children's phthalate intakes** (DEP, DnBP, DiBP, BBzP and DEHP) and resultant cumulative exposures estimated from urine compared with estimates from dust ingestion, inhalation and dermal absorption in their homes and daycare centers. Based on the results, it was concluded that the exposure to the low-molecular-weight phthalates such as DEP (and DnBP and DiBP) occurring indoors via dust ingestion, inhalation and dermal absorption can meaningfully contribute to the total intake of these substances. Dermal absorption and inhalation appear to be the most important routes of environmental exposure for these chemicals. None of the children had intakes that exceeded the TDI of 500 mg/kg bw for DEP taken from a statement on dietary exposure to phthalates by the independent Committee on Toxicity of Chemicals in Food, Consumer Products and Environment in the UK<sup>4</sup> (Bekö *et al.*, 2013). The study involved dust samples collected between March and May 2008 from the homes of 500 children and from the 151 daycare centers in a major city in Denmark. Morning urine samples from 441 children were collected between August 2008 and April 2009.

Several biomarker studies from different parts of the world report on phthalate ester metabolites in urine and present estimates of daily intake based on these results. In a study estimating the range of adult intake of DEP based on both the biomarker method and a scenario-based approach (indirect), and results from USA, Japan, Taiwan and Europe, the daily intake estimated from urinary metabolites was in the range of 0.77 to 12.3 µg/kg/day with a median value of 5.5 µg/kg/day (Clark *et al.*, 2011). Most data were retrieved from the US National Health and Nutrition Examination Survey with data on urinary metabolites obtained from 2001-2002 (Clark *et al.*, 2011). The adult daily intakes based on indirect studies were reported at (Clark *et al.*, 2011):

- 0.007 - 0.13 µg/kg/day from the diet only,
- 0.051 – 0.46 µg/kg/day from diet, air and dust, and
- 4.27 µg/kg/day from diet, air, dust and consumer products excluding personal care products

These figures indicate that the major contribution of DEP is from consumer products. It should however be noted, that most data for individual foods are more than 20 years old. Based on the biomarker data, intake of DEP is highest in the USA, followed by Germany, Taiwan, and Japan. This difference between regions is also apparent in the measured concentrations of DEP in indoor air; in the USA, the average concentration is approximately two times the average concentration in Europe and six times the average concentration in Japan (Clark *et al.*, 2011).

DEP has been measured in human milk in a study investigating phthalate diesters and their metabolites in human breast milk, blood or serum, and urine as biomarkers of exposure in vulnerable populations in a small study population in Sweden (Högberg *et al.*, 2007). Identified phthalate diesters and metabolites in milk and blood or serum, were present at concentrations close to the limit of detection. Most phthalate metabolites were detectable in urine at concentrations comparable to results from the United States and Germany. No correlations could be established between urine concentrations and those found in milk or blood/serum for single phthalate metabolites. Data from the study were comparable with previous results showing comparatively high **concentrations of phthalate metabolites in Finnish and Danish mothers' milk**. The concentrations of DEP in milk was measured in the range of 0.22 – 1.45 ng/ml with a mean value of 0.30 ng/ml. It is concluded that concentrations of phthalate metabolites in urine are more informative than those in milk or serum, but urine metabolite estimates are not suitable to estimate exposure to phthalates through milk ingestion by breast-fed infants.

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<sup>4</sup><http://cot.food.gov.uk/>

### *DIPP and DPHP*

Specific biomonitoring data for DIPP and DPHP have not been identified.

## **6.4 Human health impact**

### **DEP**

The Scientific Committee on Consumer Products (SCCP) has re-evaluated its opinion from 2002 on the safe use of DEP in cosmetics in 2006 and found no reason to update the opinion. It is concluded that DEP may be used as fragrance solvent at a maximum concentration of 50% (hypothetical usage volume of 1 ml). This results in a potential exposure of 28 mg/day giving a Margin of Safety (MoS) of 321 or as an ethanol denaturant at a maximum concentration of 1% (hypothetical usage volume of 10 ml), resulting in a potential exposure of 5.6 mg/day giving a MoS of 1607. The worst case MOS calculation made by the Scientific Committee on Cosmetics Products and Non-Food Products intended for Consumers (SCCNFP) for all cosmetics was 161, assuming 10% of diethyl phthalate in all cosmetic products (SCCP, 2006).

### *DINP/DIDP*

Risk assessment is carried out for DINP and DIDP in the ECHA review.

*The overall conclusions from the ECHA review regarding the risk from DIDP and DINP are as follows: ECHA concluded that a risk from the mouthing of toys and childcare articles with DINP and DIDP cannot be excluded if the existing restriction were lifted. No further risks were identified. These conclusions were supported by ECHA's Committee for Risk Assessment. Based on the risk assessment in this report, it can be concluded that there is no evidence that would justify a re-examination of the existing restriction on DINP and DIDP in toys and childcare articles which can be placed in the mouth by children (restriction entry 52 in Annex XVII to REACH).*

*For children the reasonable worst case RCRs ranging from 1.3 to 2.0 indicate a risk of liver toxicity for children of 0-18 months old from mouthing toys and childcare articles containing DINP or DIDP. Thus, it is concluded that a risk from the mouthing of toys and childcare articles with DINP and DIDP cannot be excluded if the existing restriction were lifted (i.e. in the scenario where DINP or DIDP would be present in toys and childcare articles). This conclusion was supported by RAC (ECHA 2013a,b).*

*For adult consumers RCRs of 0.4 in the reasonable worst case use of sex toys, it seems not likely that the use of sex toys with DINP or DIDP would result in a risk. This conclusion is subject to substantial uncertainties with regard to exposure duration and migration rates of the phthalates from sex toys.*

*Dermal exposure from for instance PVC garments is not anticipated to result in a risk for the adult population. Exposure from food and the indoor environment are not very significant in the adult population, which is confirmed by the available biomonitoring data.*

*Based on the risk assessment in this report, it can be concluded that no further risk management measures are needed to reduce the exposure of adults to DINP and DIDP.*

In the survey and health assessment of the exposure of 2-year-olds to chemical substances in consumer products (Danish EPA, 2009) referred to in 6.2.2, the DNEL for DINP was calculated at 1.6 mg/kg BW/day (NOAEL/AF) based on a NOAEL of 276 mg/kg bw/day for antiandrogenic effects (reduced testicular weight in mice) and an assessment factor of 175. The combined daily ingestion of DINP from both direct and indirect exposure pathways, including exposure to toys which are no longer allowed to contain more than 0.05% (w/w) DINP, resulted in total ingestion (95th percentile) of 31.23 µg/kg bw/day for the summer scenario and 37.54 µg/kg bw/day for the winter scenario and risk characterisation ratios (RCRs) of 0.020 and 0.023 respectively. The

resulting RCRs indicates that DINP does not constitute a risk under the assumptions made in the report.

In the project on exposure of pregnant consumers to suspected endocrine disruptors (Danish EPA, 2012) referred to in 6.2.2 the DNEL<sub>AA</sub> (for substances mainly with antiandrogenic effect) of 1 500  $\mu\text{g}/\text{kg bw}/\text{day}$  based on a NOAEL of 3 00  $\text{mg}/\text{kg bw}/\text{day}$  in a study showing reduced semen quality and increased nipple retention in male rats exposed during pregnancy and lactation was used to calculate a risk characterisation ratio of 0.0015. The resulting RCR indicated that DINP does not constitute a risk under the assumptions made.

No risk assessments have been identified for DIPP, DMEP and DPHP.

### **Combined risk assessment**

The Danish EPA has used the concept of dose addition in a cumulative risk assessment in relation to the proposal for restrictions on four phthalates (Annex VX dossiers for DEHP, DBP, BBP, and DIBP) in 2012, and in relation to risk assessment of the total exposure of two-year-olds to chemical substances (Danish EPA, 2009) and in other projects addressing risk to vulnerable groups such as pregnant women. A study by Christen *et al.* (2012) demonstrates that concentration addition is an appropriate concept to account for mixture effects of antiandrogenic phthalates.

On the other hand, in the case of possible combination effects from exposure to e.g. anti-androgens and estrogens simultaneously, there is not sufficient information available.

The ECHA review of DINP and DIDP addresses the need for considering combined effects of phthalates with same mode of action in the risk assessment of the substances: ***Based on the available information from in vitro studies, different phthalates seem to exhibit various effects – stimulatory, inhibitory or no effects – on certain endocrine parameters. Phthalates having the same mode of action or the same adverse outcome are likely candidates for combined risk assessment. However, the mode of action should always be carefully considered in selecting candidates for combined risk assessment.***

DINP has anti-androgenic properties and it could be appropriate to include this substance in a combined risk assessment of phthalates with anti-androgenic properties. DIDP, on the other hand, does not have similar properties/potency and it would not be justified to group DIDP in a combined risk assessment of phthalates on the basis of anti-androgenic properties.

There seem to be sufficient grounds to assess combined effects of DINP and DIDP (as well as DEHP and possibly other substances) on the basis of liver toxicity (spongiosis hepatitis) (ECHA, 2013).

Cumulative risk assessment should also be considered in relation to the other selected phthalates. Although they are not all equivalent in terms of severity of their effects, e.g. the ability to cause adverse effects on the development of the male reproductive system should be considered.

## **6.5 Summary and conclusions**

DIPP and DMEP are subject to harmonised health classification and both substances are classified for reproductive toxicity in Category 1B. The four other phthalates selected for the study are self-classified by industry. No classification is suggested for DPHP and although much data is available for DEP, DINP, and DIDP, only few of the notifiers have self-classified these substances. The reasons provided by the notifiers not suggesting a classification of the substances are typically "data lacking" and "conclusive but not sufficient for classification". Denmark will in 2013 assess whether there is sufficient evidence of endocrine disrupting effects of DINP to provide a basis to support a harmonised classification or other measures.

The six phthalates are generally of low acute toxicity via all routes and with low the skin and eye irritation potential. There are case reports referring to skin sensitisation to plastic articles in patients with dermatitis, e.g. in relation to DEP, but in general phthalates are not considered sensitising. The main reason for concern in relation to phthalates and health hazards are adverse effects on the reproductive system of in particular male animals and endocrine disruption. Of the selected phthalates DEP has been evaluated against the proposed Danish criteria for endocrine disruptors as a suspected endocrine disrupter in category 2a.

No significant exposure to DMEP is expected as the substance is not registered for use in the EU. DEP has not been identified as an ingredient in cosmetic and personal care products in Denmark but may be imported from other countries.

Occupational exposure is primarily expected via dermal contact in relation to handling of flexible PVC products, for mulation and use of sealants and paints, and contact with cosmetics and personal care products. Direct consumer exposure is expected from dermal contact with various flexible PVC products, wires and cables and in particular imported cosmetics and personal care products. Indirect exposure of consumers occurs in relation ingestion of food, and inhalation and ingestion of dust in the indoor climate.

In a newly published study with results from human biomonitoring on a European scale, all 17 participating countries analysed among others metabolites of DEP, DINP and DIDP, in urine. Samples were taken from children aged 6-11 years and their mothers aged 45 years and under. The results showed higher levels in children compared to mothers, with the exception of MEP, a metabolite of DEP, which is not regulated and is mainly used in cosmetics. A possible explanation is **children's relatively higher intake: they are more exposed** to dust, playing nearer the ground, and have more frequent hand-to-mouth contact; and they eat more than adults in relation to their weight. Consumption of convenience food, use of personal care products and indoor exposure to vinyl floors and wallpaper have all been linked to higher phthalate levels in urine.

DINP and DIDP have been reviewed by ECHA in relation to entry 52 in Annex XVII to REACH. It was concluded that a risk from the mouthing of toys and childcare articles with DINP and DIDP cannot be excluded if the existing restriction were lifted. No further risks were identified. These **conclusions were supported by ECHA's Committee for Risk Assessment.**

The ECHA review also addressed the need for considering combined effects of phthalates with same mode of action in the risk assessment of the substances. This is relevant e.g. in relation to antiandrogenic properties of DINP and in relation to liver toxicity (spongiosis hepatitis) for DINP and DIDP but should be considered in general for substances with same endpoint and mode of action.

### **Data gaps**

Data gaps or areas where an improved understanding would be useful are identified as follows based on the reviewed literature:

- Identification of the most important metabolites to be used as a biomarker for human exposures
- Further research addressing the cumulative exposure to multiple phthalates and other antiandrogenic and estrogenic substances seem to be warranted
- Limited information on endocrine specific end-points for some phthalates
- Better understanding of combination effects of antiandrogens at different levels

# 7. Information on alternatives

## 7.1 Alternatives to DINP, DIDP and DPHP use in PVC

Alternatives to the phthalates in flexible PVC can be grouped into two types:

- Alternative plasticisers for flexible PVC
- Alternative plastics with similar properties as flexible PVC.

Here, we primarily deal with alternative plasticisers, as they require the least adaptation efforts by industry.

## 7.2 General features of plasticisers relevant in substitution efforts

When considering the possibilities for substitution of specific plasticisers, it is important to note that a vast number of organic substances can act as plasticisers in polymers. Contrary to many other substitution efforts, plasticising is not dependent on highly specific chemical bonding, but rather on a series of characteristics which the plasticiser must have to meet functional demands. Finding the good plasticiser is therefore not a distinct theoretical science, but rather an empiric process supported by a large number of measuring methods designed for this purpose.

To get an impression of the many possibilities for plasticising polymers, it has therefore been chosen to present extracts from an introduction given by Maag *et al.* (2010) to the basic functions of plasticisers:

*“We describe here the basics of external plasticisation of PVC, the major use of plasticisers. The word "external" denotes plasticisers that are not bound chemically in the polymer matrix, and can therefore migrate out of the polymer at certain conditions. Polymers can also be plasticised "internally" by incorporation of functional groups into the polymer itself, which imparts flexibility. Phthalates are external plasticisers, as are their direct substitutes, and external plasticisation is described in this section.*

*PVC consists of long chains of the basic vinyl building block. The polymer is bound together in three dimensions by two overall types of forces. In some points the polymer is crystallised into a fixed geometric pattern with strong chemical bonds. In the rest of the polymer matrix, the polymer chains are somewhat more randomly organised and bound together by weaker forces based on attraction between polar parts of the polymer chain with different polarity. The ideal plasticiser works in these less strictly organised parts of the polymer.*

*In the hard polymer, the chains are packed closely together, also in the randomly organised parts, and the weak attraction forces bind the polymer together to a rigid structure with no flexibility. The (external) plasticiser has solvent capabilities and penetrates the less strongly bound parts of the polymer in the so-called swelling, where plasticiser and polymer resin is mixed. In the polymer, the plasticiser acts as a kind of sophisticated lubricant, as it creates distance between the freely organised polymer chain parts, and shields the attraction forces between polar parts of the chain, and thereby weakens the attraction between the chain parts. This allows for more free movement amongst the weakly bound chain parts, which means that the material becomes flexible.*

*The properties of the plasticiser have immense influence of how well it plasticises the polymer, and on the performance characteristics of the plasticised material. It is however important to understand that the plasticiser (with a few exceptions) does not form specific chemical bonds with the polymer, and there is therefore in principle a flexibility in which type and configuration of plasticisers that actually can be used to obtain the desired plasticising performance characteristics.*

*External plasticisers may be separated from the PVC matrix due to extraction by solvents, oils, water, surface rubbing, volatility, migration into adjacent media, or degradation mechanisms.”*

The key functional characteristics involved in plasticiser selection include:

- Solvency in the polymer resin (also called compatibility or miscibility)
- Efficiency (defined as the flexibility it gives in the polymer compared to DEHP)
- Volatility
- Diffusivity
- Low temperature performance

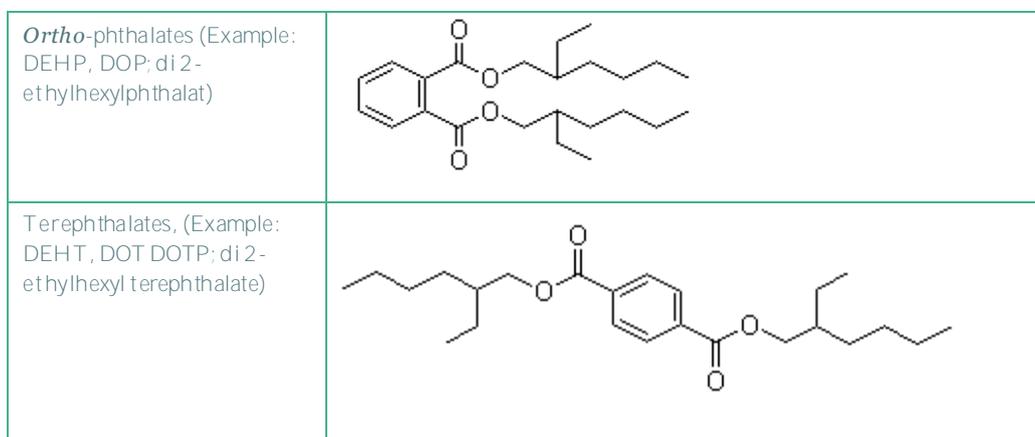
### Structure of some plasticiser families

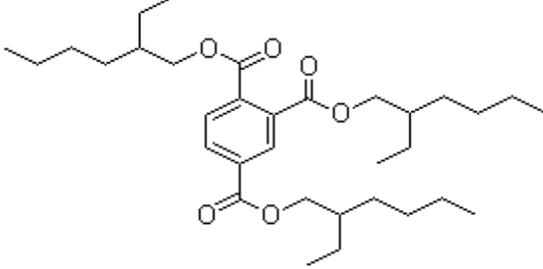
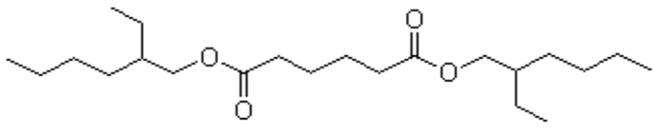
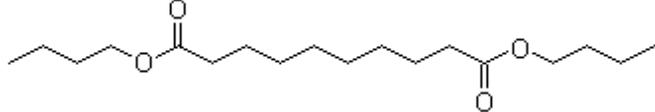
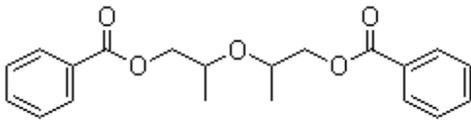
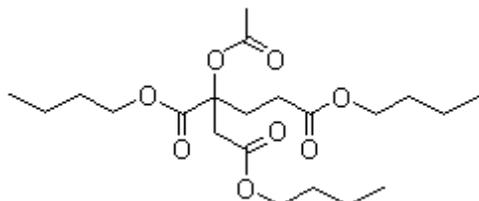
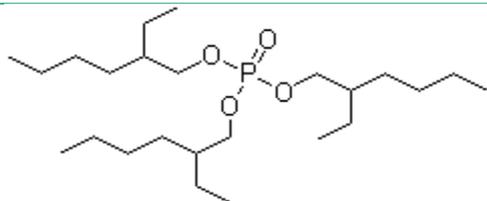
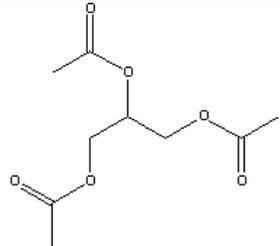
Many families of plasticisers are available. Most of them have however certain chemical functionalities in common with the phthalates family. This can be seen in Figure 3, which shows representatives of some different plasticiser families, of which several are relevant as plasticiser alternatives to the phthalates dealt with in this report. They are typically branched, quite "voluminous" molecules, with many oxygen bonds (= carbonyl groups). Many have benzyl rings or the hydrogenated counterpart, cyclohexane.

Many similar plasticisers have however distinctly different impacts on health and environment, and are therefore relevant alternatives to phthalates. This is probably primarily due to the fact that many types of interactions with biological systems are substancespecific, and even structure-specific meaning that substances with identical chemical composition may work differently, if just a part of the molecule has shifted position from one place to another (as the case is for DEHP and DEHT).

The substance family of the plasticiser influences its performance significantly, but some functional groups in the molecules also influence the performance across families, and plasticisers can therefore to a certain extent be tailor-made to suit different performance needs. In addition, plasticisers can be mixed to achieve desired properties. For more information on the defining characteristics of plasticisers, see Maag *et al.* (2010).

**FIGURE 3**  
CHEMICAL STRUCTURE OF REPRESENTATIVES OF DIFFERENT PLASTICISER FAMILIES (FROM MAAG *ET AL.* 2010).



<p>Tri-mellitates (Example: TOTM; tri (2-ethylhexyl) trimellitate)</p>	
<p>Aliphatic dibasic esters, adipates (Example: DEHA, DOA = di (2-ethylhexyl) adipate)</p>	
<p>(DBS = Dibutyl sebacate)</p>	
<p>Benzoates (Example: DGD; di propylene glycol dibenzoate)</p>	
<p>Citrates (Example: ATBC; acetyl tributyl citrate)</p>	
<p>Phosphates (Example: tri (2-ethylhexyl) phosphate)</p>	
<p>Glyceryl triacetate (GTA, Triacetin)</p>	

### 7.3 Possible plasticiser alternatives to DINP, DIDP and DPHP in PVC

According to ECPI (2013), DPHP is often used as a phthalate alternative to DIDP because only minor compound changes are needed to adapt wire formulations for example to DPHP. It also matches DIDP performance in automotive applications.

It has not been possible to identify any studies specifically focussing on alternatives to DINP, DIDP and DPHP. Most available information on alternatives to primary plasticisers like DINP, DIDP and DPHP has therefore been reviewed based on results from the search for substitutes for the classic general plasticiser DEHP (to which DINP and to a lesser extent DIDP and DPHP are the key alternatives today).

Several studies of alternatives to the classified phthalates DEHP, DBP and BBP have been undertaken and some studies lists the DINP and DIDP together with other alternatives to the classified phthalates while other of the studies focus on non-phthalate alternatives. From the studies which include both DINP and DIDP and non-phthalate alternatives it is possible to extract some information which can indicate to what extent the non-phthalate alternatives can be considered alternatives to DINP, DIDP and DPHP. A closer analysis would however be needed as the properties of DINP, DIDP and DPHP are not exactly the same as those of DEHP. DINP, DIDP and DPHP are more expensive than DEHP, but also has some advantages for some applications, and experience with substitution of non-phthalate alternatives for DEHP does not necessarily imply that the substances can substitute for DINP, DIDP and DPHP without research and development and changes in process conditions and machinery.

Maag *et al.* (2010) focus in a study for the Danish EPA on non-*ortho*-phthalate alternatives to DEHP, DBP and BBP. Based on information on the plasticisers found in toys and childcare articles and initial information from manufacturers, a gross list of 25 potential non-phthalate alternatives was compiled and from this list 10 plasticisers were selected for further assessment.

The study included a survey of plasticisers applied in toys and childcare products with restriction on the use of DINP and DIDP. Three of the non-*ortho*-phthalate plasticisers were found in a significant percentage of surveys of phthalates in toys and are reported by all responding Danish manufacturers of toys as used as alternatives to phthalates: DINCH, DEHT and ATBC. All three are marketed as general plasticiser alternatives to DEHP. Among the non-phthalate plasticisers, only DEHT may candidate to be a one-to-one substitution for all traditional applications of DEHP, but not necessarily for DINP, DIDP and DPHP. Which substitutes are suitable depends on the actual processing conditions and the desired properties of the final product. Finding the right plasticiser for a given application is often a complex process, as described above. Many technical criteria have to be met simultaneously and comprehensive testing of the performance of the polymer/plasticiser system is often required. By way of example one Danish manufacturer reported that the development led to the use of a mixture of ATBC, DINCH and DEHT, which could be blended in a variety of combinations to achieve softened PVC that performed to the required standards with the existing production setup (Maag *et al.*, 2010).

A summary of the findings of the study is shown in Table 43 below. The price of the alternatives is indicated as compared with DEHP. The price of DINP and DIDP is approximately 15% higher than the price of DEHP. Similar price data has not been found for DPHP.

**TABLE 43**  
SUMMARY OF THE TECHNICAL ASSESSMENT OF ALTERNATIVE PLASTICISERS (IN ALPHABETICAL ORDER), AND THEIR PRICES RELATIVE TO DEHP (MAAG *ET AL.*, 2010)

Abbreviation	Substance name	CAS No	Overall technical assessment	Price relative to DEHP *1
<b>ASE</b>	Sulfonic acids, C10 – C18-alkane, phenylesters	91082-17-6	ASE is a general plasticiser alternative to DEHP. The producer has indicated significant market experience for most traditional DEHP, DBP and BBP uses.	+

Abbreviation	Substance name	CAS No	Overall technical assessment	Price relative to DEHP *1
<b>ATBC</b>	Acetyl tributyl citrate	77-90-7	The performance of ATBC on some parameters seems similar to DEHP, indicating technical suitability for substitution of DEHP for some applications. The higher extractability in aqueous solutions and the higher volatility may reduce the performance of ATBC as a plasticiser in PVC. The data available does not allow a closer assessment of ATBC's technical suitability as alternative to DEHP, DBP and BBP	++
<b>Mixture of benzoates incl. DEGD</b>	Benzoflex 2088	Mix of 120-55-8, 27138-31-4, 120-56-9	The producer has indicated significant market experience in several of the traditional DBP and BBP specialty plasticiser applications and certain DEHP applications, notably in the non-polymer (adhesives, sealants, etc.) and PVC spread coating (plastisol) application fields. According to the producer, Benzoflex 2088 (with DEGD) has become the main non-phthalate alternative to DBP or BBP in vinyl flooring production in Europe. The higher extractability in water may limit its use for some applications.	≈
<b>COMGHA</b>	Mixture of 12-(Acetoxy)-stearic acid, 2,3-bis(acetoxy)propyl ester and octadecanoic acid, 2,3-bis(acetoxy)propyl ester	Mix of 330198-91-9 and 33599-07-4	According to the producer, COMGHA still has relative moderate market experience, albeit with many examples of full scale usage and pilot/lab scale tests, and significant market experience in some plastisol application and cosmetics. The producer found good performance on key technical parameters indicating a potential for substituting for DEHP and perhaps for DBP and BBP in some traditional uses of these substances.	++
<b>DEHT</b>	Di (2-ethyl-hexyl) terephthalate	6422-86-2	DEHT is a general plasticiser alternative to DEHP. Today, terephthalates like DEHT are more commonly used in the USA than in Europe.	≈
<b>DINA</b>	Diisononyl adipate	33703-08-1	DINA has mostly been used for low temperature PVC applications and in PVC film/wrapping. The data available for this study does not allow clear-cut conclusions as regards DINA's suitability as alternative to DEHP	+

Abbreviation	Substance name	CAS No	Overall technical assessment	Price relative to DEHP *1
<b>DINCH</b>	Di-isononyl-cyclohexane-1,2dicarboxylate	166412-78-8	The producer's sales appraisal indicates a relatively wide usage of DINCH for general plasticiser purposes. DINCH was the most frequently found plasticiser in two European surveys of plasticisers in toys and childcare articles. The data available does not allow a closer assessment of DINCH's technical suitability as alternative to DEHP, DBP and BBP.	+
<b>DGD</b>	Dipropylene glycol dibenzoate	27138-31-4	The fact that DGD for many years has been a well known and much used competitor to BBP, especially in PVC flooring and in PVA adhesives, indicates a clear potential for substituting DGD for BBP, from a technical point of view. DGD may probably also substitute for some traditional uses of DEHP and DBP.	≈
<b>GTA</b>	Glycerol Triacetate	102-76-1	According to a producer, GTA can substitute for DBP and BBP in adhesives, inks and coatings. The data available does not allow a closer assessment of GTA's technical suitability as a alternative to DEHP, DBP and BBP.	+
<b>TXIB</b>	Trimethyl pentanyl diisobutyrate	6846-50-0	TXIB was found in more than 10% of the samples in surveys of plasticisers in toys and childcare articles. However, the producer does not consider TXIB an alternative to DEHP, DBP or BBP, and the usage of TXIB in vinyl flooring has declined in the 1990's due to high emissions from end products. Consequently, TXIB seems not to be a suitable alternative to DEHP, DBP or BBP.	NA

\*1 Based on comparison with DEHP, but DBP and BBP are reported to have similar price and the notation therefore serves as indicating price relative to DBP and BBP as well. The price of DINP and DIDP is approximately 15% higher than the price of DEHP. "≈" means similar price or slightly lower or higher than DEHP; "+" means somewhat higher price (10-50% higher) than DEHP and "++" means significantly higher price than DEHP. The report provides actual price examples.

In a study on cost curves of reducing the use of DEHP, BBP and DBP for the European Chemicals Agency (ECHA) Lassen *et al.* (2013) have indicated the costs of the replacement of the three phthalates with DINP, DIDP and a number of non-phthalate alternatives.

As shown in Table 44, the effective price of the non-*ortho*-phthalate alternative DEHT was in the same price range as the price of DINP and DIDP, whereas ASE and DINCH were somewhat more expensive. It is in general very difficult to obtain precise information on the prices of the plasticisers and this information is considered confidential.

The effective price difference depends on the price of the alternative and a substitution factor (also called “efficiency”), which indicates the amount of the alternatives needed as compared with DEHP in order to obtain the same plasticising properties. According to Lanxess (as cited by Lassen *et al.*, 2013), the substitution factors may typically vary by less than  $\pm 5\%$  for the most used direct alternatives to DEHP. The factor varies with the specific processing conditions, but it is not possible to indicate some general differences between the different processing types (e.g. plastisol processing v.s. calendaring).

The content of DEHP in plasticised PVC varies with the application but is typically in the range of 20-40% of the plastics and an increase in the price of the plasticiser of e.g. 30% will result in a material price increase of 10% for the plastic material.

Prices of chemicals (and other industrial products) tend to decrease as production capacity and competition is increased. Different chemicals are however based on different raw materials and more or less complex and resource demanding chemical synthesis technologies. This of course sets limits to the minimum prices attainable even in a mature market, and some of the alternative plasticisers described may remain at higher price levels.

Besides the price of the plasticisers, the substitution of the phthalates may imply some costs of research and development for reformulation and process changes which is discussed further below.

**TABLE 44**  
PRICE OF ALTERNATIVES AS COMPARED WITH DEHP FOR USE IN PVC (LASSEN *ET AL.*, 2013)

Alternative	CAS No	Price compared to DEHP	Substitution factor, %	Effective price compared to DEHP	Source of information
<b>DINP (Jayflex™ DINP)</b>	68515-48-0	+13-16%	up to 106 *1	+13-20%	ExxonMobil, manufacturer of alternative / ICIS pricing
<b>DIDP (Jayflex™ DIDP)</b>	68515-49-1	+13-16%	up to 110 *1	+13-24%	-“-
<b>DINP</b>	68515-48-0	+5 %	107	+12%	DSU, extrusion and injection moulding PVC
<b>DINP</b>	68515-48-0	+15%	106	+18%	DSU, extrusion PVC
<b>DIDP</b>	68515-49-1	+5 %	110	+16%	-“-
<b>Hexamoll® DINCH Di-isononyl-cyclohexane-1,2-dicarboxylate,</b>	166412-78-8	+50%	107	+61%	-“-
<b>DEHT, DOTP Di(2-ethylhexyl) terephthalate</b>	6422-86-2	+10%	107	+18%	-“-
<b>DEHT, DOTP 1,4-Di(2-ethylhexyl) terephthalate</b>	6422-86-2	+15%	100-103	+15-18%	Eastman, manufacturer of alternative

Alternative	CAS No	Price compared to DEHP	Substitution factor, %	Effective price compared to DEHP	Source of information
<b>Citroflex® A-4 Acetyl Tributyl Citrate,</b>	77-90-7	+5 0-100%	100	+5 0-100%	Vertellus, manufacturer of alternative
<b>Citroflex® n-Butyryltri-n-hexyl citrate</b>	82469-79-2	+>5 0-100%	not indicated	+>5 0-100%	Vertellus, manufacturer of alternative
<b>Mesamoll® (ASE) Sulfonic acids, C10 – C18-alkane, phenylesters,</b>	70775-94-9	not indicated [+75% *2]	not indicated	not indicated	Lanxess, manufacturer of alternative
<b>Unimoll AGF® Multi-constituent substance - mixture of acylated glycerides,</b>	mixture	not indicated	not indicated	not indicated	-“-
<b>DOA Di-2-ethylhexyl adipate, Adimoll® DO</b>	103-23-1	*3	95	*3	-“-
<b>ODS n-Octyl n-decyl succinate mixture, Uniplex® LXSTP ODS)</b>	mixture	*3	100	*3	-“-
<b>BEHS Benzyl-2ethylhexyl succinate mixture, Uniplex® LXSTP BEHS</b>	mixture	*3	95	*3	-“-

\*1 The substitution factor depends on the concentration of phthalates in the material. The 106% and 110% represent the typical situation e.g. in cable, film and sheet, but it may be less for some applications.

\*2 Price difference indicated by Maag *et al.*, 2009.

\*3 Price reported, but considered confidential.

The experience with substitution of DEHP by product group, as reported by the manufacturers of the alternatives, is shown in Table 45. As indicated in the note to the table, the manufacturer of DEHT, Eastman has indicated that DEHT has more typically been used for substitution of DINP, and DEHT can technically replace both DEHP and DINP in all flexible PVC products. DEHP is widely used in the USA for the same applications as DINP is applied in Europe. Eastman indicates that DEHT is a drop-in alternative for DEHP for most applications and no significant costs of R&D and process changes are foreseen (Lassen *et al.*, 2013). The same is probably the situation as concern substitution of DEHT for DINP.

Lanxess indicates according to Lassen *et al.* (2013) that they believe that ASE and DOA can replace DEHP without any changes to the existing equipment. Additional costs may be incurred by minor one-off reformulating work, the costs of this is indicated as “insignificant” by the manufacturer. The

company has indicated that the main part of the R&D will take place by the manufacturer of the alternatives in order to ensure that the plasticiser blend has the desired properties.

**TABLE 45**  
EXPERIENCE WITH SUBSTITUTION OF DEHP BY PRODUCT GROUP AS REPORTED BY THE MANUFACTURERS; SEE DEFINITION OF SCORES USED IN NOTES (LASSEN *ET AL.*, 2013)

Application	DINP	DIDP	DEHT/ DOTP *2	Citroflex ® A-4	ASE	DOA	ODS
	ExxonMobil		Eastman	Vertellus	Lanxess		
Calendering of film, sheet and coated products *1	1	1		3	2	2	
Calendering of flooring and roofing *1	1	1			4		4
Extrusion of hose and profile *1	1	1		3	2	2	
Extrusion of wire and cable	1	1	3		2	2	
Extrusion of miscellaneous products from compounds	1	1		2	2	2	
Injection moulding of footwear and miscellaneous	1	1			?	2	
Slush/rotational moulding *1	1				?		
Spread coating of flooring *1	1				2		
Spread coating of coated fabric, wall covering, coil coating, etc. *1	1	1	1		2	2	4
Car undercoating *1	1	1			2		4
Non-PVC polymer applications (acrylics)	1		2		?	2	
Adhesives/sealant (e.g. PU), rubber	1		2	2	2	1	
Lacquers and paint			2		2	2	
Printing ink			1	2	2	1	

Notation used: 1) main alternative on market; 2) Significant market experience, 3) Some examples of full scale experience, 4) Pilot/lab scale experience

\*1 According to ExxonMobil, DEHP is no longer used in most of those end-uses but has been replaced by high phthalates (DINP and DIDP). However this may not be true when considering the use of DEHP in Eastern Europe.

\*2 The manufacturer Eastman has indicated for this study a relatively small number of applications where they have experience in substituting DEHT for DEHP. According to the company, DEHT has more typically been used for substitution of DINP and DEHT can technically replace both DEHP and DINP in all flexible PVC products.

### Costs of Research and Development

According to (Lassen *et al.*, 2013) some adjustment is often necessary when replacing the plasticisers and this is typically done in cooperation between the manufacturer and the downstream user, but the one-of costs of research and development (R&D) and investments in equipment is generally low compared to the costs of the plasticisers. Particular high costs of research and

development is expected for layered flooring, because of its technical complexity. In the models of Lassen *et al.* (2013) it is assumed that the costs of R&D for per manufacturing site is 300,000 € while it for other applications areas is 60,000 €.

#### 7.4 Alternatives to DEP, DMEP and DIPP

Information on specific alternatives to DEP, DMEP and DIPP has been searched for on the Internet in this study, but aggregated information was scarce.

As mentioned in Section 3.3, a survey of 23 nail polishes/lacquers marketed in California in 2012 (focusing on DBP, toluene and formaldehyde), found no DEP with the analysis methods used, but DBP in 9 products and no DBP but other plasticisers in other 9 products. In 5 products, no plasticisers were observed with the use analytical methods. The other plasticisers observed were camphor (mentioned as a secondary plasticiser as well as a fragrance), dioctyl adipate, tributyl phosphate, butyl citrate, triphenyl phosphate, N-ethyl-o-toluene sulfonamide, N-ethyl-p-toluene sulfonamide, P-toluene sulfonamide (tosylamide) (California EPA, 2012).

As regards denaturing of alcohol, a former DEP use in the EU, Regulation 162/2013 lists the following substances as allowed denaturants (of which most are only allowed in certain countries specified in the regulation); it should be noted that several of them have substantial adverse effects on human health or the environment. The denaturing mixture prescribed for all Member States without national rules is based on the three substances isopropyl alcohol (IPA), methyl ethyl ketone (MEK) and denatonium benzoate. DEP must thereby be considered as obsolete as a denaturant in the EU and with many actual alternatives available. It has not been possible to evaluate the environment and health characteristics of these substances within the framework of this review.

**TABLE 46**  
DENATURANTS LISTED IN EU REGULATION 162/2013 OF 21 FEBRUARY 2013

Substance name	CAS no.
Acetone	67-64-1
CI reactive red 24	70210-20-7
Crude pyridine	not available
Crystal violet (C.I. No 42555)	548-62-9
Denatonium benzoate	3734-33-6
Ethanol	64-17-5
Ethyl acetate	141-78-6
Ethyl sec-amyl ketone	541-85-5
Ethyl tert-butyl ether	637-92-3
Fluorescein	2321-07-5
Formaldehyde	50-00-0
Fusel oil	8013-75-0
Gasoline (including unleaded gasoline)	86290-81-5
Isopropyl alcohol (IPA)	67-63-0
Kerosene	8008-20-6
Lamp oil	64742-47-8 to 64742-48-9

Substance name	CAS no.
Methanol	67-56-1
Methyl ethyl ketone (butanone) (MEK)	78-93-3
Methyl isobutyl ketone	108-10-1
Methyl isopropyl ketone	563-80-4
Methyl violet	8004-87-3
Methylene blue	61-73-4
Mineral naphtha	not available
Solvent naphtha	8030-30-6
Pyridine (or Pyridine bases)	110-86-1
Spirit of turpentine	8006-64-2
Technical petrol	92045-57-3
tert-butyl alcohol	75-65-0
Thiophene	110-02-1
Thymol blue	76-61-9
Wood naphtha	not available

Maag *et al.* (2010) list the non-*ortho*-phthalate plasticisers/solvents shown in Table 47 as usable in traditional applications of these substances. While plasticiser (and solvent) use may be very specific to the polymer and application in question, the information summarised here indicates however that there may be technically viable alternatives to DEP, DMEP and DIPP available.

As regards base oils for fragrances, a DEP application, a quick Internet search of the market indicates that many options are available, including also natural oils like avocado oil, almond oil, etc.

**TABLE 47**  
NON-*ORTHO*-PHTHALATE PLASTICISERS USABLE IN TRADITIONAL DEP, DMEP AND DIPP APPLICATIONS (BASED ON MAAG ET AL, 2010).

Application	Alternative substance *1	Remarks on the alternative's application (if any)
<b>DEP applications</b>		
Cosmetics	COMGHA	A non-phthalate substitute for general plasticisers in sensitive applications. Indicated as used for cosmetics.
	DINCH	Used in cosmetics (e.g. nail polish).
	GTA	GTA has a variety of applications including as a plasticizer for cigarette filters and cellulose nitrate, solvent for the manufacture of celluloid, photographic films, fungicide in cosmetics, fixative in perfumery, support for flavourings and essences in the food industry, component in binders for solid rocket fuels and a general purpose food additive.

Application	Alternative substance *1	Remarks on the alternative's application (if any)
	ATBC	Acetyl tributyl citrate is used in inks, hair sprays and aerosol bandages.
Packaging film	DINA	DINA has mostly been used for low temperature PVC applications and in PVC film/wrapping.
	ATBC	ATBC is widely used in food contact polymers.
<b>DMEP applications</b>		
Nitrocellulose	GTA	According to the producer, GTA is used as a plasticizer for cellulosic resins and is compatible in all proportions with cellulose acetate, nitrocellulose, and ethyl cellulose. GTA is useful for imparting plasticity and flow to laminating resins, particularly at low temperatures, and is also used as a plasticizer for vinylidene polymers and copolymers. It serves as an ingredient in inks for printing on plastics, and as a plasticizer in nail polish. GTA is approved by the FDA for food packaging and many other food-contact applications.
	ATBC	Indicated as used for nitrocellulose paints.
	DGD	DGD is a high solvating plasticizer that has been used for many years in a wide variety of applications. Indicated as used for nitrocellulose.
	ASE	Good gelling capacity with a large number of polymers. Indicated as used for nitrocellulose paints.
	“Benzoflex 2088”	According to the manufacturer this is a high solvating plasticizer primarily known for its use in polyvinyl acetate, water-based adhesive systems and PVC flooring. Indicated as also used for nitrocellulose paints.
Cellulose acetate, vinylidene polymers	GTA	See above
Polyvinyl acetate	DEGD	According to the manufacturer a high solvating plasticizer primarily for polyvinyl acetate and water-based adhesive systems.
Pesticide inerts	ATBC	<b>Industrial uses include children's toys; animal ear tags;</b> ink formulations; adhesives; pesticide inerts.
<b>DIPP applications</b>		
Explosives and propellant (ammunition charge)	ATBC	According to manufacturer: Cellulosics: Nitrocellulose-based explosives/ propellants.

Note: \*1: See chemical names and CAS numbers in table below.

### Environment and health assessment of alternatives

A summary of the inherent properties for the alternative plasticisers investigated by Maag et al. (2010) is shown in

Table 48 using key parameters: acute and local effects, sensitisation, carcinogenicity, mutagenicity, reproductive toxicity, persistence, bioaccumulation and aquatic toxicity. Maag et al. concludes as follows:

*"From the overview it can be seen that all ten substances are expected to have low acute toxicity based on animal studies. With regard to local effects most substances are non-irritating to skin and eyes or only produce slight irritation which would not lead to classification. None of the tested substances are sensitising.*

*Effects from repeated dose toxicity studies mainly include reduced body weight gain, increased organ weights (liver and/or kidney) and for some substance also changes in clinical chemistry or clinical pathology parameters. However, more serious pathological effects were not observed. Studies to evaluate the potential for reproductive/developmental toxicity primarily show toxic effects on parents and offspring. For TXIB statistically significant reproductive and developmental toxicity is observed.*

*Carcinogenicity has only been evaluated for three substances in combined studies. For all three substances the outcome was negative (no carcinogenicity effect). However, the studies cannot be considered sufficient to exclude possible carcinogenic effects.*

*The assessment in this study of the toxic properties of ATCB, COMGHA, DINCH and DEHT is in line with the recent assessment from the Scientific Committee on Emerging and Newly-Identified Health Risks (SCENIHR).*

*All substances have been tested for acute toxicity for at least one exposure route, sensitisation (except ASE), subchronic toxicity and mutagenicity. All substances except ASE, COMGHA and DINA have been tested for both reproductive and developmental toxicity.*

*With regard to carcinogenicity only ATBC, DEHT and DINCH have been tested in combined chronic toxicity and carcinogenicity studies. For DEGD, DGD and DEHT estrogenic activity has been tested in a uterotrophic assay without positive response.*

*Most data used for the evaluation are considered of good quality, i.e. studies following accepted guidelines (OECD or US EPA) or studies considered acceptable at the time they were carried out. For some of the studies little information is available to evaluate the quality. However, key information is obtained from IUCLID data sheets, USEPA or OECD HPV robust summaries.).*

*With regard to environmental properties, none of the 10 studied alternatives meet the criteria for being a PBT or vPvB substance, although all substances except GTA show one or two of these properties. GTA (triacetin) appears to be easily biodegradable, it does not bioaccumulate and has very moderate toxicity in the aquatic environment.*

*DEGD, DGD and DINA also come out rather favourable, while ATBC and COMGHA come out negatively despite their degradability because of their aquatic toxicities and bioaccumulative properties. ASE and DINCH both have low acute toxicities to aquatic organisms, but are not easily degradable and have high log KOW values. DEHT is also not easily biodegradable and is bioaccumulative but its aquatic toxicity cannot be fully evaluated based on the data available. Useful fate data regarding biodegradability (in water) and bioaccumulative properties (either as BCF or log KOW) are available for all alternatives while other fate data are incomplete for some substances. With regard to ecotoxicological effect data, results from short-term tests with the base-set of organisms -fish, crustaceans and algae - exist for all 10 substances although the duration of some studies deviate from the current OECD standard.*

*Overall, the data identified are of good quality i.e. they are mostly based on studies performed according to accepted guideline procedures, and the studies have been evaluated to be reliable without restrictions or reliable with restrictions (e.g. in the USEPA HPV robust summaries)."*

**TABLE 48**

OVERVIEW OF MAIN TOXICOLOGICAL AND ECOTOXICOLOGICAL PROPERTIES OF POTENTIAL ALTERNATIVES

Name of substance	CAS No.	Health					Environment			Data quality / data completeness (CMR and PBT)
		Acute, local and sens. effects (A/L/S)	Carcinogenic (C)	Mutagenic (M)	Repro-toxic (R)	Subchronic toxicity	Persistence	Bioaccumulation	Aquatic Toxicity	
							*1	*2	*3	
<b>ASE</b>	91082-17-6	o/o/o	-	o	o	•	• (not readily)	• P <sub>ow</sub>	o	2 / 2
<b>ATBC</b>	77-90-7	o/(o)/o	o	o	o	[•]	o	• BCF	•	1 / 2
<b>COMGHA</b>	330198-91-9	o/o/o	-	o	-	(•)	o	• P <sub>ow</sub>	•	1 / 2
<b>DEGD</b>	120-55-8	o/(o)/o	-	o	(•)	•	o	(o) BCF	•	1 / 2
<b>DGD</b>	27138-31-4	o/(o)/o	-	o	(•)	•	o	• P <sub>ow</sub>	•	1 / 2
<b>DEHT / DOPT</b>	6422-86-2	o/(o)/o	o	o	o	•	• (inherently)	• P <sub>ow</sub>	(•)	1 / 2
<b>DINA</b>	33703-08-1	o/o/o	-	o	-	•	o	(•) (conflicting)	o	1 / 2
<b>DINCH</b>	166412-78-8	o/(o)/o	o	o	o	•	• (not readily)	• P <sub>ow</sub>	o	1 / 2
<b>GTA</b>	102-76-1	o/o/o	-	o	o	o	o	o	o	1 / 2
<b>TXIB</b>	6846-50-0	o/(o)/o	-	o	•	•	• (inherently)	o BCF	•	1 / 2

Notes:

The inherent properties for the investigated substances are summarised using key parameters: acute and local effects, sensitisation, carcinogenicity (C), mutagenic toxicity (M), reproductive toxicity (R), persistence, bioaccumulation and aquatic toxicity. If data are not available for all parameters or only from non standard test results a tentative assessment is given (shown in parentheses). The symbols: • identified potential hazard, o no identified potential hazard, and – no data available. [ ] indicate the effects are considered of minor significance.

\*1 The terms refer to different biodegradability tests:

Inherently biodegradable: Not meeting the criteria in an "inherent biodegradability" test

Not readily biodegradable: Not meeting the criteria in "ready biodegradability" tests.

\*2 • is based on BCF > 100 or Pow > 3 (BCF prevails over Pow where both values exist).

\*3 •• is used for very toxic and toxic < 10 mg/L.

\*4 The following notation is used:

Data quality (first number):

- 1 Data summaries from recognised, peer reviewed sources (e.g. EU HVP programme, SIDS, SCHENIR, NICNAS) or reliable test data.
- 2 Data summaries from not peer reviewed sources, considered reliable with restrictions (e.g. IUCLID).
- 3 Data summaries which do not give sufficient experimental details for the evaluation of the quality.

Data completeness (second number):

- 1 Data considered sufficient for classification of CMR effects and according to PBT criteria.
- 2 Data available about the endpoint, but not considered sufficient for classification.
- 3 Data not available or relevant for classification of the endpoint.

An average score is assigned based on the sum of scores for C, M, R, P, B and T properties as follows: Sum 6-8=1, Sum 9-14=2 and Sum 14-18=3

#### 7.4.1 Alternative polymers

Many alternative materials to flexible PVC exist and the subject is complicated. Examples of alternatives include such diverse materials as linoleum and wood for flooring, woven glass fibre and paper for wall coverings, and glass for medical appliances.

Focusing on alternative materials with characteristics similar to the characteristics of flexible PVC, the following flexible polymers are among the principal alternatives to flexible PVC (Maag *et al.*, 2010):

- Ethylene vinyl acetate, EVA;
- Low density polyethylene, LDPE;
- Polyolefin elastomers (polyethylene and polypropylene elastomers);
- Several types of polyurethanes (may in some cases be plasticised with phthalates);
- Isobutyl rubber;
- EPDM rubber (may in some cases be plasticised with phthalates);
- Silicone rubber.

The ECHA study on DEHP (COWI *et al.*, 2009) concludes that available studies demonstrate that for many applications of DEHP/PVC, alternative materials exist at similar price. Many of the materials seem to have equal or better environment, safety and health performance and cost profiles, but clear conclusions are complicated by the fact that not all aspects of the materials' lifecycles have been included in the assessments.

Maag *et al.* (2010) concluded that a number of flexible polymers are available which can substitute for many traditional uses of flexible PVC. Polyethylene (PE), polyolefin elastomers, different polyurethane (PU) qualities, ethylene vinyl acetate (EVA) and different rubber types are examples among others. For many flexible PVC uses, also other substitute materials than flexible polymers exist. The LCA-based, application-focused assessments are few, and often clear-cut conclusions could not be made. But many materials exist with seemingly equal or better environmental, health and safety, performance and cost profiles. The assessment made Maag *et al.* (2010) did not allow for a more detailed analysis of possibilities and limitations in the coverage of alternative flexible polymers. For more detailed summaries of the identified studies of alternative materials to flexible PVC, see (Maag *et al.* 2010).

#### 7.5 Historical and future trends

With the increased focus in regulation of phthalates with observed adverse effects, substitution efforts have taken place over the last two decades. Especially for sensitive purposes like polymer articles/materials for children, for food contact and for some medical applications, a series of non-*ortho*-phthalates has gained more ground, the most dominant substance families being represented in the description above. From recent COWI studies of phthalates and alternatives, it was observed

that while the traditional phthalates are more dominant in articles imported from Asia, also Chinese producers are now familiar with providing PVC materials plasticised without the phthalates most often addressed by regulation; for example so-called “**3-P-free**” flexible PVC (without DEHP, DBP and BBP) and “**6-P-free**” (without DEHP, DBP, BBP, DINP, DIDP and DNOP)].

For general applications of flexible PVC (the dominant plasticiser use), the primary move has been away from DEHP towards DINP and DIDP (and DPHP), which are closest to “**drop-in**” alternatives requiring the least process modifications by manufacturers of flexible PVC articles. Please see more description of this issue in Section 3.4 on historical trends in use.

### 7.5.1 Summary and conclusions

When considering the possibilities for substitution of specific plasticisers, it is important to note that a vast number of organic substances can act as plasticisers in polymers. Contrary to many other substitution efforts, plasticising is not dependent on highly specific chemical bonding, but rather on a series of characteristics which the plasticiser must have to meet functional demands. Finding the good plasticiser is therefore not a distinct theoretical science, but rather an empiric process supported by a large number of measuring methods designed for this purpose.

Many families of plasticisers are available. Most of them have however certain chemical functionalities in common with the phthalates family. They are typically branched, quite “voluminous” molecules, with many oxygen bonds (= carbonyl groups). Many have benzyl rings or the hydrogenated counterpart, cyclohexane.

The substance family of the plasticiser influences its performance significantly, but some functional groups in the molecules also influence the performance across families, and plasticisers can therefore to a certain extent be tailor-made to suit different performance needs. In addition, it is common to mix plasticisers to achieve desired properties.

Many similar plasticisers have however distinctly different impacts on health and environment, and are therefore relevant alternatives to phthalates. This is probably primarily due to the fact that many types of interactions with biological systems are substancespecific, and even structure-specific meaning that substances with identical chemical composition may work differently, if just a part of the molecule has shifted position from one place to another (as the case is for DEHP and DEHT).

Most available information on alternatives to **primary plasticisers** like DINP, DIDP and DPHP has been reviewed as part of the search for substitutes for the classic general plasticiser DEHP (to which DINP and to a lesser extent DIDP and DPHP are the key alternatives today). Several alternatives are however available, both *ortho*-phthalates (with basic structure similar to DINP, DIDP and DPHP) and others. The one non-*ortho*-phthalate with the widest coverage for traditional DEHP applications is likely its terephthalate counterpart DEHT, which has the same chemical composition, but a different form, and therefore different environmental characteristics. Otherwise, no single non-*ortho*-phthalate plasticiser covers all traditional applications of DEHP (and thus DINP, its main alternative). Together, however, the reviewed non-*ortho*-phthalates cover most or all the key applications. The non-*ortho*-phthalate alternatives best described include besides DEHT: DINCH, ASE, DGD, DEGD (in mixtures), COMGHA, DINA, ATBC and GTA. While most of these have their own environmental issues, many of them are deemed to have overall better environmental performance than DEHP based on the available information. A direct environmental comparison of DINP, DIDP and DPHP and their alternatives has not been found. Besides alternative plasticiser use, alternatives to the plasticised materials exist; this has however not been dealt with in much detail in this review. Some flexible polymer alternatives to flexible PVC include PU elastomers, various rubber types, silicones, EVA and LDPE, all with different performance characteristics (note that some rubbers are in some cases plasticised with phthalates).

A wide search of alternatives to the phthalates DEP, DIPP and DMEP has not been possible within this project. For the use of DEP as a denaturant, many alternatives exist, and DEP is not a part of the 2013 list of denaturants required used for attaining tax exemptions in EU Member States (including Denmark). Based on a 2010 review of alternatives to DEHP, DBP and BBP, there are however clear indications that non-*ortho*-phthalate alternatives to key applications of DEP, DIPP and DMEP. Examples include GTA, ATBC, COMGHA, DINCH, DINA, DGD, ASE and a mix with DEGD as a major component.

Focusing on **alternative materials** with characteristics similar to the characteristics of flexible PVC, the following flexible polymers are among the principal alternatives to flexible PVC (Maag *et al.*, 2010):

- Ethylene vinyl acetate, EVA;
- Low density polyethylene, LDPE;
- Polyolefin elastomers (polyethylene and polypropylene elastomers);
- Several types of polyurethanes (may in some cases be plasticised with phthalates);
- Isobutyl rubber;
- EPDM rubber (may in some cases be plasticised with phthalates);
- Silicone rubber.

#### **Data gaps**

- Information on direct alternatives to DEP, DIPP and DMEP in different uses.
- Direct comparisons of DINP, DIDP and DPHP with available alternatives in relevant uses.

# 8. Abbreviations and acronyms

ASE	Alkylsulphonic phenyl ester
ATBC	Acetyl tributyl citrate
BBP	Butyl benzyl phthalate
BCF	Bioconcentration factor
BEHS	Benzyl-2-ethylhexyl succinate mixture
CLP	Classification, Labelling and Packaging Regulation
DEHAtere	Di-2-ethylhexyl adipate
DEGD	Diethyleneglycol dibenzoate
DEHP	Bis(2-ethylhexyl)phthalate
DEHT	Di(2-ethylhexyl) terephthalate (same as DOTP and DEHTP)
DGD	Dipropylene glycol dibenzoate
DIDP	Diisodecyl phthalate
DINCH	Diisononylcyclohexane dicarboxylate
DINP	Diisononyl phthalate
DNEL	Derived No Effect Level
DOA	Di-2-ethylhexyl adipate (same as DEHA)
DOTP	Di(2-ethylhexyl) terephthalate (same as DEHT)
DPHP	Di(2-propylheptyl) phthalate
ECB	European Chemicals Bureau
ECHA	European Chemicals Agency
ECPI	European Council for Plasticisers and Intermediates
EFSA	European Food Safety Authority
EPA	Environmental Protection Agency
EU	European Union
GTA	Glycerol triacetate
HELCOM	The Baltic Marine Environment Protection Commission (Helsinki Commission)
HMW	High Molecular Weight
Kow	Octanol/water partitioning coefficient
LOUS	List of Undesirable Substances (of the Danish EPA)
LMW	Low Molecular Weight
MWWTP	Municipal waste water treatment plant
NOAEL	No observable adverse effect level
NOVANA	Danish national monitoring and assessment programme
ODS	n-Octyl n-decyl succinate mixture
OECD	Organisation for Economic Co-operation and Development
OSPAR	Convention for the Protection of the Marine Environment of the North-East Atlantic
PVC	Polyvinylchloride
QSAR	Quantitative Structure and Activity Relationship
R&D	Research & development
RAR	Risk Assessment Report

REACH	Registration, Evaluation, Authorisation and Restriction of Chemicals (Regulation EC 1907/2006)
SCCP	Scientific Committee on Consumer Products
SCCNFP	Scientific Committee on Cosmetics Products and Non-Food Products intended for Consumers
SCENIHR	The Scientific Committee on Emerging and Newly Identified Health Risks
SPT	Association of Danish Cosmetics, Toiletries, Soap and Detergent Industries
SVHC	Substance of Very High Concern
TDI	Tolerable daily intake
WWTP	Waste water treatment plant

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## Appendix 1: Background information to chapter 3 on legal framework

The following annex provides some background information on subjects addressed in Chapter 3. The intention is that the reader less familiar with the legal context may read this concurrently with chapter 3.

### EU and Danish legislation

Chemicals are regulated via EU and national legislations, the latter often being a national transposition of EU directives.

#### There are four main EU legal instruments:

- Regulations (DK: Forordninger) are binding in their entirety and directly applicable in all EU Member States.
- Directives (DK: Direktiver) are binding for the EU Member States as to the results to be achieved. Directives have to be transposed (DK: gennemført) into the national legal framework within a given timeframe. Directives leave margin for manoeuvring as to the form and means of implementation. However, there are great differences in the space for manoeuvring between directives. For example, several directives regulating chemicals previously were rather specific and often transposed more or less word-by-word into national legislation. Consequently and to further strengthen a level playing field within the internal market, the new chemicals policy (REACH) and the new legislation for classification and labelling (CLP) were implemented as Regulations. In Denmark, Directives are most frequently transposed as laws (DK: love) and statutory orders (DK: bekendtgørelser).

The European Commission has the right and the duty to suggest new legislation in the form of regulations and directives. New or recast directives and regulations often have transitional periods for the various provisions set-out in the legal text. In the following, we will generally list the latest piece of EU legal text, even if the provisions identified are not yet fully implemented. On the other hand, we will include currently valid Danish legislation, e.g. the implementation of the cosmetics directive) even if this will be replaced with the new Cosmetic Regulation.

- Decisions are fully binding on those to whom they are addressed. Decisions are EU laws relating to specific cases. They can come from the EU Council (sometimes jointly with the European Parliament) or the European Commission. In relation to EU chemicals policy, decisions are e.g. used in relation to inclusion of substances in REACH Annex XVII (restrictions). This takes place via a so-called comitology procedure involving Member State representatives. Decisions are also used under the EU ecolabelling Regulation in relation to establishing ecolabel criteria for specific product groups.
- Recommendations and opinions are non-binding, declaratory instruments.

In conformity with the transposed EU directives, Danish legislation regulate to some extent chemicals via various general or sector specific legislation, most frequently via statutory orders (DK: bekendtgørelser).

### Chemicals legislation

#### REACH and CLP

The REACH Regulation<sup>5</sup> and the CLP Regulation<sup>6</sup> are the overarching pieces of EU chemicals legislation regulating industrial chemicals. The below will briefly summarise the REACH and CLP

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<sup>5</sup> Regulation (EC) No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH)

<sup>6</sup> Regulation (EC) No 1272/2008 on classification, labelling and packaging of substances and mixtures

provisions and give an overview of 'pipeline' procedures, i.e. procedures which may (or may not) result in an eventual inclusion under one of the REACH procedures.

### **(Pre-)Registration**

All manufacturers and importers of chemical substance > 1 tonne/year have to register their chemicals with the European Chemicals Agency (ECHA). Pre-registered chemicals benefit from tonnage and property dependent staggered dead-lines:

- 30 November 2010: Registration of substances manufactured or imported at 1 000 tonnes or more per year, carcinogenic, mutagenic or toxic to reproduction substances above 1 tonne per year, and substances dangerous to aquatic organisms or the environment above 100 tonnes per year.
- 31 May 2013: Registration of substances manufactured or imported at 100-1000 tonnes per year.
- 31 May 2018: Registration of substances manufactured or imported at 1-100 tonnes per year.

### **Evaluation**

A selected number of registrations will be evaluated by ECHA and the EU Member States. Evaluation covers assessment of the compliance of individual dossiers (dossier evaluation) and substance evaluations involving information from all registrations of a given substance to see if further EU action is needed on that substance, for example as a restriction (substance evaluation).

### **Authorisation**

Authorisation aims at substituting or limiting the manufacturing, import and use of substances of very high concern (SVHC). For substances included in REACH annex XIV, industry has to cease use of those substance within a given deadline (sunset date) or apply for authorisation for certain specified uses within an application date.

### **Restriction**

If the authorities assess that there is a risk to be addressed at the EU level, limitations of the manufacturing and use of a chemical substance (or substance group) may be implemented. Restrictions are listed in REACH annex XVII, which has also taken over the restrictions from the previous legislation (Directive 76/769/EEC).

### **Classification and Labelling**

The CLP Regulation implements the United Nations Global Harmonised System (GHS) for classification and labelling of substances and mixtures of substances into EU legislation. It further specifies rules for packaging of chemicals.

Two classification and labelling provisions are:

1. **Harmonised classification and labelling** for a number of chemical substances. These classifications are agreed at the EU level and can be found in CLP Annex VI. In addition to newly agreed harmonised classifications, the annex has taken over the harmonised classifications in Annex I of the previous Dangerous Substances Directive (67/548/EEC); classifications which have been 'translated' according to the new classification rules.

2. **Classification and labelling inventory**. All manufacturers and importers of chemicals substances are obliged to classify and label their substances. If no harmonised classification is available, a self-classification shall be done based on available information according to the classification criteria in the CLP regulation. As a new requirement, these self-classifications should be notified to ECHA, which in turn publish the classification and labelling inventory based on all

notifications received. There is no tonnage trigger for this obligation. For the purpose of this report, self-classifications are summarised in Appendix 2 to the main report.

### **Ongoing activities - pipeline**

In addition to listing substance already addressed by the provisions of REACH (pre-registrations, registrations, substances included in various annexes of REACH and CLP, etc.), the ECHA web-site also provides the opportunity for searching for substances in the pipeline in relation to certain REACH and CLP provisions. These will be briefly summarised below:

### **Community Rolling Action Plan (CoRAP)**

The EU member states have the right and duty to conduct REACH substance evaluations. In order to coordinate this work among Member States and inform the relevant stakeholders of upcoming substance evaluations, a Community Rolling Action Plan (CoRAP) is developed and published, indicating by who and when a given substance is expected to be evaluated.

### **Authorisation process; candidate list, Authorisation list, Annex XIV**

Before a substance is included in REACH Annex XIV and thus being subject to Authorisation, it has to go through the following steps:

1. It has to be identified as a SVHC leading to inclusion in the candidate list<sup>7</sup>
2. It has to be prioritised and recommended for inclusion in ANNEX XIV (These can be found as Annex XIV recommendation lists on the ECHA web-site)
3. It has to be included in REACH Annex XIV following a comitology procedure decision (substances on Annex XIV appear on the Authorisation list on the ECHA web-site).

The candidate list (substances agreed to possess SVHC properties) and the Authorisation list are published on the ECHA web-site.

### **Registry of intentions**

When EU Member States and ECHA (when required by the European Commission) prepare a proposal for:

- a harmonised classification and labelling,
- an identification of a substance as SVHC, or
- a restriction.

This is done as a REACH Annex XV proposal.

The 'registry of intentions' gives an overview of intentions in relation to Annex XV dossiers divided into:

- current intentions for submitting an Annex XV dossier,
- dossiers submitted, and
- withdrawn intentions and withdrawn submissions

for the three types of Annex XV dossiers.

### **International agreements**

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<sup>7</sup> It should be noted that the candidate list is also used in relation to articles imported to, produced in or distributed in the EU. Certain supply chain information is triggered if the articles contain more than 0.1% (w/w) (REACH Article 7.2 ff).

### **OSPAR Convention**

OSPAR is the mechanism by which fifteen Governments of the western coasts and catchments of Europe, together with the European Community, cooperate to protect the marine environment of the North-East Atlantic.

Work to implement the OSPAR Convention and its strategies is taken forward through the adoption of decisions, which are legally binding on the Contracting Parties, recommendations and other agreements. Decisions and recommendations set out actions to be taken by the Contracting Parties. These measures are complemented by other agreements setting out:

- issues of importance
- agreed programmes of monitoring, information collection or other work which the Contracting Parties commit to carry out.
- guidelines or guidance setting out the way that any programme or measure should be implemented
- actions to be taken by the OSPAR Commission on behalf of the Contracting Parties.

### **HELCOM - Helsinki Convention**

The Helsinki Commission, or HELCOM, works to protect the marine environment of the Baltic Sea from all sources of pollution through intergovernmental co-operation between Denmark, Estonia, the European Community, Finland, Germany, Latvia, Lithuania, Poland, Russia and Sweden. HELCOM is the governing body of the "Convention on the Protection of the Marine Environment of the Baltic Sea Area" - more usually known as the Helsinki Convention.

In pursuing this objective and vision the countries have jointly pooled their efforts in HELCOM, which works as:

- an environmental policy maker for the Baltic Sea area by developing common environmental objectives and actions;
- an environmental focal point providing information about (i) the state of/trends in the marine environment; (ii) the efficiency of measures to protect it and (iii) common initiatives and positions which can form the basis for decision-making in other international fora;
- a body for developing, according to the specific needs of the Baltic Sea, Recommendations of its own and Recommendations supplementary to measures imposed by other international organisations;
- a supervisory body dedicated to ensuring that HELCOM environmental standards are fully implemented by all parties throughout the Baltic Sea and its catchment area; and
- a co-ordinating body, ascertaining multilateral response in case of major maritime incidents.

### **Stockholm Convention on Persistent Organic Pollutants (POPs)**

The Stockholm Convention on Persistent Organic Pollutants is a global treaty to protect human health and the environment from chemicals that remain intact in the environment for long periods, become widely distributed geographically, accumulate in the fatty tissue of humans and wildlife, and have adverse effects to human health or to the environment. The Convention is administered by the United Nations Environment Programme and is based in Geneva, Switzerland.

### **Rotterdam Convention**

The objectives of the Rotterdam Convention are:

- to promote shared responsibility and cooperative efforts among Parties in the international trade of certain hazardous chemicals in order to protect human health and the environment from potential harm;

- to contribute to the environmentally sound use of those hazardous chemicals, by facilitating information exchange about their characteristics, by providing for a national decision-making process on their import and export and by disseminating these decisions to Parties.
- The Convention creates legally binding obligations for the implementation of the Prior Informed Consent (PIC) procedure. It built on the voluntary PIC procedure, initiated by UNEP and FAO in 1989 and ceased on 24 February 2006.

The Convention covers pesticides and industrial chemicals that have been banned or severely restricted for health or environmental reasons by Parties and which have been notified by Parties for inclusion in the PIC procedure. One notification from each of two specified regions triggers consideration of addition of a chemical to Annex III of the Convention. Severely hazardous pesticide formulations that present a risk under conditions of use in developing countries or countries with economies in transition may also be proposed for inclusion in Annex III.

#### **8.1.1.1 Basel Convention**

The Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal was adopted on 22 March 1989 by the Conference of Plenipotentiaries in Basel, Switzerland, in response to a public outcry following the discovery, in the 1980s, in Africa and other parts of the developing world of deposits of toxic wastes imported from abroad.

The overarching objective of the Basel Convention is to protect human health and the environment against the adverse effects of hazardous wastes. Its scope of application covers a wide range of **wastes defined as “hazardous wastes” based on their origin and/or composition and their characteristics, as well as two types of wastes defined as “other wastes”** - household waste and incinerator ash.

The provisions of the Convention center around the following principal aims:

- the reduction of hazardous waste generation and the promotion of environmentally sound management of hazardous wastes, wherever the place of disposal;
- the restriction of transboundary movements of hazardous wastes except where it is perceived to be in accordance with the principles of environmentally sound management; and
- a regulatory system applying to cases where transboundary movements are permissible.

#### **Eco-labels**

Eco-label schemes are voluntary schemes where industry can apply for the right to use the eco-label on their products if these fulfil the ecolabelling criteria for that type of product. An EU scheme (the flower) and various national/regional schemes exist. In this project we have focused on the three most common schemes encountered on Danish products.

#### **EU flower**

The EU ecolabelling Regulation lays out the general rules and conditions for the EU ecolabel; the flower. Criteria for new product groups are gradually added to the scheme via 'decisions'; e.g. the Commission Decision of 21 June 2007 establishing the ecological criteria for the award of the Community eco-label to soaps, shampoos and hair conditioners.

#### **Nordic Swan**

The Nordic Swan is a cooperation between Denmark, Iceland, Norway, Sweden and Finland. The Nordic Ecolabelling Board consists of members from each national Ecolabelling Board and decides on Nordic criteria requirements for products and services. In Denmark, the practical implementation of the rules, applications and approval process related to the EU flower and Nordic Swan is hosted by Ecolabelling Denmark "Miljømærkning Danmark" (<http://www.ecolabel.dk/>).

New criteria are applicable in Denmark when they are published on the Ecolabelling Denmark's website (according to Statutory Order no. 447 of 23/04/2010).

## Appendix 2: Danish proposal on criteria for endocrine disruptors

The following criteria for endocrine disruptors are suggested by the Danish Centre on Endocrine Disruptors (CEHOS, 2012).

### **Category 1 - Endocrine disrupter**

Substances are placed in category 1 when they are known to have produced ED adverse effects in humans or animal species living in the environment or when there is evidence from animal studies, possibly supplemented with other information, to provide a strong presumption that the substance has the capacity to cause ED effects in humans or animals living in the environment. The animal studies shall provide clear evidence of ED effect in the absence of other toxic effects, or if occurring together with other toxic effects, the ED effects should be considered not to be a secondary non-specific consequence of other toxic effects. However, when there is e.g. mechanistic information that raises doubt about the relevance of the adverse effect for humans or the environment, category 2a may be more appropriate.

Substances can be allocated to this category based on:

Adverse *in vivo* effects where an ED mode of action is highly plausible

ED mode of action *in vivo* that is clearly linked to adverse *in vivo* effects (by e.g. readacross)

### **Category 2a - Suspected ED**

Substances are placed in category 2a when there is some evidence from humans or experimental animals, and where the evidence is not sufficiently convincing to place the substance in category 1. If for example limitations in the study (or studies) make the quality of evidence less convincing, category 2a could be more appropriate. Such effects should be observed in the absence of other toxic effects, or if occurring together with other toxic effects, the ED effect should be considered not to be a secondary non-specific consequence of other toxic effects.

Substances can be allocated to this category based on:

Adverse effects *in vivo* where an ED mode of action is suspected

ED mode of action *in vivo* that is suspected to be linked to adverse effects *in vivo*

ED mode of action *in vitro* combined with toxicokinetic *in vivo* data (and relevant non test information such as read across, chemical categorisation and QSAR predictions)

### **Category 2b – Substances with indications of ED properties (indicated ED)**

Substances are placed in category 2b when there is *in vitro*/*in silico* evidence indicating potential for endocrine disruption in intact organisms. Evidence could also be observed effects *in vivo* that could be ED-mediated.





## **Survey of selected phthalates**

This survey is part of the Danish EPA's review of the substances on the List of Undesirable Substances (LOUS). This survey concerns the phthalates DINP, DIDP, DPHP, DEP, DMEP and DIPP. The report presents information on the use and occurrence of the selected phthalates, internationally and in Denmark, information on environmental and health effects, releases and fate, exposure and presence in humans and the environment, on alternatives to the substances, on existing regulation, waste management and information regarding on-going activities under REACH, among others.

## **Kortlægning af udvalgte ftalater**

Denne kortlægning er et led i Miljøstyrelsens kortlægninger af stofferne på Listen Over Uønskede Stoffer (LOUS). Denne kortlægning vedrører ftalaterne DINP, DIDP, DPHP, DEP, DMEP and DIPP. Rapporten indeholder blandt andet en beskrivelse af brugen og forekomsten af de udvalgte ftalater, internationalt og i Danmark, en beskrivelse af miljø- og sundhedseffekter af stofferne, udslip af skæbne, eksponering og forekomst i mennesker og miljø, viden om alternativer, eksisterende regulering, affaldsbehandling og igangværende aktiviteter under REACH.



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# Franklin International

## Safety Data Sheet

Advantage EP-910 DEV

### Section 1. Identification

**GHS product identifier** : Advantage EP-910 DEV  
**Product type** : Liquid.  
**Address** : Franklin International  
2020 Bruck Street  
Columbus OH 43207  
**Contact person** : Franklin Technical Services  
**Telephone** : (800) 877-4583  
**In case of emergency** : Franklin Security  
(614) 445-1300  
**Reference number** : 6269  
**Product code** : 196269000  
**Date of revision** : 12/15/2017  
**Print date** : 12/15/2017  
**Chemtrec (24 Hour)** : (800) 424 - 9300  
**Chemtrec International** : (703) 527 - 3887  
**Chemical family** : Adhesive.

#### Relevant identified uses of the substance or mixture and uses advised against

Not applicable.

### Section 2. Hazards identification

**OSHA/HCS status** : While this material is not considered hazardous by the OSHA Hazard Communication Standard (29 CFR 1910.1200), this SDS contains valuable information critical to the safe handling and proper use of the product. This SDS should be retained and available for employees and other users of this product.

**Classification of the substance or mixture** : Not classified.

#### GHS label elements

**Signal word** : No signal word.

**Hazard statements** : No known significant effects or critical hazards.

#### Precautionary statements

**Hazards not otherwise classified** : None known.

### Section 3. Composition/information on ingredients

#### Hazardous ingredients

##### United States

Name	CAS number	%
No hazardous ingredient		

##### Canada

### Section 3. Composition/information on ingredients

Name	CAS number	%
oxydipropyl dibenzoate	27138-31-4	1 - 5

#### Mexico

Name	CAS number	UN number	%	IDLH	Classification			
					H	F	R	Special
oxydipropyl dibenzoate	27138-31-4	Not available.	1 - 5	-	2	0	0	-

Any concentration shown as a range is to protect confidentiality or is due to batch variation.

**There are no ingredients present which, within the current knowledge of the supplier and in the concentrations applicable, are classified as hazardous to health or the environment and hence require reporting in this section.**

Occupational exposure limits, if available, are listed in Section 8.

### Section 4. First aid measures

#### Description of necessary first aid measures

- Eye contact** : Immediately flush eyes with plenty of water, occasionally lifting the upper and lower eyelids. Check for and remove any contact lenses. Get medical attention if irritation occurs.
- Inhalation** : Remove victim to fresh air and keep at rest in a position comfortable for breathing. Get medical attention if symptoms occur.
- Skin contact** : Flush contaminated skin with plenty of water. Remove contaminated clothing and shoes. Get medical attention if symptoms occur.
- Ingestion** : Wash out mouth with water. Remove victim to fresh air and keep at rest in a position comfortable for breathing. If material has been swallowed and the exposed person is conscious, give small quantities of water to drink. Do not induce vomiting unless directed to do so by medical personnel. Get medical attention if symptoms occur.

#### Most important symptoms/effects, acute and delayed

##### Potential acute health effects

- Eye contact** : No known significant effects or critical hazards.
- Inhalation** : No known significant effects or critical hazards.
- Skin contact** : No known significant effects or critical hazards.
- Ingestion** : No known significant effects or critical hazards.

##### Over-exposure signs/symptoms

- Eye contact** : No specific data.
- Inhalation** : No specific data.
- Skin contact** : No specific data.
- Ingestion** : No specific data.

#### Indication of immediate medical attention and special treatment needed, if necessary

- Notes to physician** : Treat symptomatically. Contact poison treatment specialist immediately if large quantities have been ingested or inhaled.
- Specific treatments** : No specific treatment.
- Protection of first-aiders** : No action shall be taken involving any personal risk or without suitable training.

See toxicological information (Section 11)

## Section 5. Fire-fighting measures

### Extinguishing media

**Suitable extinguishing media** : Use an extinguishing agent suitable for the surrounding fire.

**Unsuitable extinguishing media** : None known.

**Specific hazards arising from the chemical** : In a fire or if heated, a pressure increase will occur and the container may burst.

**Hazardous thermal decomposition products** : Decomposition products may include the following materials:  
carbon dioxide  
carbon monoxide

**Special protective actions for fire-fighters** : Promptly isolate the scene by removing all persons from the vicinity of the incident if there is a fire. No action shall be taken involving any personal risk or without suitable training.

**Special protective equipment for fire-fighters** : Fire-fighters should wear appropriate protective equipment and self-contained breathing apparatus (SCBA) with a full face-piece operated in positive pressure mode.

## Section 6. Accidental release measures

### Personal precautions, protective equipment and emergency procedures

**For non-emergency personnel** : No action shall be taken involving any personal risk or without suitable training. Evacuate surrounding areas. Keep unnecessary and unprotected personnel from entering. Do not touch or walk through spilled material. Put on appropriate personal protective equipment.

**For emergency responders** : If specialized clothing is required to deal with the spillage, take note of any information in Section 8 on suitable and unsuitable materials. See also the information in "For non-emergency personnel".

**Environmental precautions** : Avoid dispersal of spilled material and runoff and contact with soil, waterways, drains and sewers. Inform the relevant authorities if the product has caused environmental pollution (sewers, waterways, soil or air).

### Methods and materials for containment and cleaning up

**Small spill** : Stop leak if without risk. Move containers from spill area. Dilute with water and mop up if water-soluble. Alternatively, or if water-insoluble, absorb with an inert dry material and place in an appropriate waste disposal container. Dispose of via a licensed waste disposal contractor.

**Large spill** : Stop leak if without risk. Move containers from spill area. Prevent entry into sewers, water courses, basements or confined areas. Wash spillages into an effluent treatment plant or proceed as follows. Contain and collect spillage with non-combustible, absorbent material e.g. sand, earth, vermiculite or diatomaceous earth and place in container for disposal according to local regulations (see Section 13). Dispose of via a licensed waste disposal contractor. Note: see Section 1 for emergency contact information and Section 13 for waste disposal.

## Section 7. Handling and storage

### Precautions for safe handling

**Protective measures** : Put on appropriate personal protective equipment (see Section 8).

**Advice on general occupational hygiene** : Eating, drinking and smoking should be prohibited in areas where this material is handled, stored and processed. Workers should wash hands and face before eating, drinking and smoking. Remove contaminated clothing and protective equipment before entering eating areas. See also Section 8 for additional information on hygiene measures.

## Section 7. Handling and storage

**Conditions for safe storage, including any incompatibilities** : Store between the following temperatures: 10 to 32°C (50 to 89.6°F). Store in accordance with local regulations. Store in original container protected from direct sunlight in a dry, cool and well-ventilated area, away from incompatible materials (see Section 10) and food and drink. Keep container tightly closed and sealed until ready for use. Containers that have been opened must be carefully resealed and kept upright to prevent leakage. Do not store in unlabeled containers. Use appropriate containment to avoid environmental contamination.

## Section 8. Exposure controls/personal protection

### Control parameters

### United States

#### Occupational exposure limits

Ingredient name	Exposure limits
No exposure limit value known.	

### Canada

Occupational exposure limits		TWA (8 hours)			STEL (15 mins)			Ceiling			
Ingredient	List name	ppm	mg/m <sup>3</sup>	Other	ppm	mg/m <sup>3</sup>	Other	ppm	mg/m <sup>3</sup>	Other	Notations
No exposure limit value known.											

### Mexico

#### Occupational exposure limits

Ingredient	Exposure limits
No exposure limit value known.	

**Consult local authorities for acceptable exposure limits.**

**Appropriate engineering controls** : Good general ventilation should be sufficient to control worker exposure to airborne contaminants.

**Environmental exposure controls** : Emissions from ventilation or work process equipment should be checked to ensure they comply with the requirements of environmental protection legislation. In some cases, fume scrubbers, filters or engineering modifications to the process equipment will be necessary to reduce emissions to acceptable levels.

#### Individual protection measures

**Hygiene measures** : Wash hands, forearms and face thoroughly after handling chemical products, before eating, smoking and using the lavatory and at the end of the working period. Appropriate techniques should be used to remove potentially contaminated clothing. Wash contaminated clothing before reusing. Ensure that eyewash stations and safety showers are close to the workstation location.

**Eye/face protection** : Safety eyewear complying with an approved standard should be used when a risk assessment indicates this is necessary to avoid exposure to liquid splashes, mists, gases or dusts. If contact is possible, the following protection should be worn, unless the assessment indicates a higher degree of protection: safety glasses with side-shields.

#### Skin protection

**Hand protection** : Chemical-resistant, impervious gloves complying with an approved standard should be worn at all times when handling chemical products if a risk assessment indicates this is necessary.

## Section 8. Exposure controls/personal protection

- Body protection** : Personal protective equipment for the body should be selected based on the task being performed and the risks involved and should be approved by a specialist before handling this product.
- Other skin protection** : Appropriate footwear and any additional skin protection measures should be selected based on the task being performed and the risks involved and should be approved by a specialist before handling this product.
- Respiratory protection** : Based on the hazard and potential for exposure, select a respirator that meets the appropriate standard or certification. Respirators must be used according to a respiratory protection program to ensure proper fitting, training, and other important aspects of use.

## Section 9. Physical and chemical properties

### Appearance

- Physical state** : Liquid.
- Color** : Off-white.
- Odor** : Faint odor.
- Odor threshold** : Not available.
- pH** : 6.5 to 8.5
- Melting point** : Not available.
- Boiling point** : 98.889 to 100°C (210 to 212°F)
- Flash point** : Closed cup: 93.333°C (200°F) [Setaflash.]
- Evaporation rate** : <1 (butyl acetate = 1)
- VOC (less water, less exempt solvents)** : 21 g/l
- Relative density** : 1.2893
- Solubility** : Soluble in the following materials: cold water and hot water.

### Aerosol product

## Section 10. Stability and reactivity

- Reactivity** : No specific test data related to reactivity available for this product or its ingredients.
- Chemical stability** : The product is stable.
- Possibility of hazardous reactions** : Under normal conditions of storage and use, hazardous reactions will not occur.
- Conditions to avoid** : No specific data.
- Incompatible materials** : No specific data.
- Hazardous decomposition products** : Under normal conditions of storage and use, hazardous decomposition products should not be produced.

## Section 11. Toxicological information

### Information on toxicological effects

- Information on the likely routes of exposure** : Routes of entry anticipated: Oral, Inhalation.  
Routes of entry not anticipated: Dermal.

### Potential acute health effects

- Eye contact** : No known significant effects or critical hazards.
- Inhalation** : No known significant effects or critical hazards.
- Skin contact** : No known significant effects or critical hazards.
- Ingestion** : No known significant effects or critical hazards.

### Symptoms related to the physical, chemical and toxicological characteristics

## Section 11. Toxicological information

- Eye contact** : No specific data.
- Inhalation** : No specific data.
- Skin contact** : No specific data.
- Ingestion** : No specific data.

### Delayed and immediate effects and also chronic effects from short and long term exposure

#### Short term exposure

- Potential immediate effects** : Not available.
- Potential delayed effects** : Not available.

#### Long term exposure

- Potential immediate effects** : Not available.
- Potential delayed effects** : Not available.

## Section 12. Ecological information

### Toxicity

- Conclusion/Summary** : Not available.

### Persistence and degradability

Not available.

- Other adverse effects** : No known significant effects or critical hazards.

## Section 13. Disposal considerations

- Disposal methods** : The generation of waste should be avoided or minimized wherever possible. Disposal of this product, solutions and any by-products should at all times comply with the requirements of environmental protection and waste disposal legislation and any regional local authority requirements. Dispose of surplus and non-recyclable products via a licensed waste disposal contractor. Waste should not be disposed of untreated to the sewer unless fully compliant with the requirements of all authorities with jurisdiction. Waste packaging should be recycled. Incineration or landfill should only be considered when recycling is not feasible. This material and its container must be disposed of in a safe way. Empty containers or liners may retain some product residues. Avoid dispersal of spilled material and runoff and contact with soil, waterways, drains and sewers.

## Section 14. Transport information

	<b>DOT Classification</b>	<b>TDG Classification</b>	<b>Mexico Classification</b>	<b>ADR/RID</b>	<b>IMDG</b>	<b>IATA</b>
<b>UN number</b>	Not regulated.	Not regulated.	Not regulated.	Not regulated.	Not regulated.	Not regulated.
<b>UN proper shipping name</b>	-	-	-	-	-	-
<b>Transport hazard class(es)</b>	-	-	-	-	-	-
<b>Packing group</b>	-	-	-	-	-	-
<b>Environmental hazards</b>	No.	No.	No.	No.	No.	No.

## Section 14. Transport information

<b>Additional information</b>	-	-	-	-	-	-
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**Special precautions for user** : **Transport within user's premises:** always transport in closed containers that are upright and secure. Ensure that persons transporting the product know what to do in the event of an accident or spillage.

**Transport in bulk according to Annex II of MARPOL and the IBC Code** : Not available.

## Section 15. Regulatory information

**U.S. Federal regulations** : **TSCA 8(a) CDR Exempt/Partial exemption:** Not determined  
**United States inventory (TSCA 8b):** All components are listed or exempted.

**Clean Air Act Section 112 (b) Hazardous Air Pollutants (HAPs)** : Listed

**Clean Air Act Section 602 Class I Substances** : Not listed

**Clean Air Act Section 602 Class II Substances** : Not listed

### SARA 302/304

#### Composition/information on ingredients

No products were found.

**SARA 304 RQ** : Not applicable.

### SARA 311/312

**Classification** : Not applicable.

#### Composition/information on ingredients

No products were found.

### State regulations

**Massachusetts** : None of the components are listed.

**New York** : None of the components are listed.

**New Jersey** : None of the components are listed.

**Pennsylvania** : None of the components are listed.

### California Prop. 65

Not available.

<b>Ingredient name</b>	<b>Cancer</b>	<b>Reproductive</b>	<b>No significant risk level</b>	<b>Maximum acceptable dosage level</b>
Not applicable.				

### Canada

#### Canadian lists

**Canadian NPRI** : None of the components are listed.

**CEPA Toxic substances** : None of the components are listed.

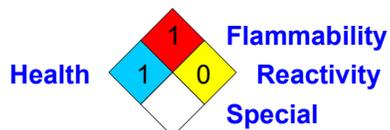
**Canada inventory** : Not determined.

## Section 15. Regulatory information

This product has been classified in accordance with the hazard criteria of the Controlled Products Regulations and the MSDS contains all the information required by the Controlled Products Regulations.

### Mexico

Classification :



### International regulations

**International lists** :

- Australia inventory (AICS):** Not determined.
- China inventory (IECSC):** Not determined.
- Japan inventory (ENCS):** Not determined.
- Japan inventory (ISHL):** Not determined.
- Korea inventory:** Not determined.
- Malaysia Inventory (EHS Register):** Not determined.
- New Zealand Inventory of Chemicals (NZIoC):** Not determined.
- Philippines inventory (PICCS):** Not determined.
- Taiwan Chemical Substances Inventory (TCSI):** Not determined.
- Turkey inventory:** Not determined.

**Europe** : Not determined.

**Chemical Weapons** : Not listed

**Convention List Schedule I Chemicals**

**Chemical Weapons** : Not listed

**Convention List Schedule II Chemicals**

**Chemical Weapons** : Not listed

**Convention List Schedule III Chemicals**

## Section 16. Other information

### Hazardous Material Information System (U.S.A.)

Health	1
Flammability	1
Physical hazards	0

Caution: HMIS® ratings are based on a 0-4 rating scale, with 0 representing minimal hazards or risks, and 4 representing significant hazards or risks. Although HMIS® ratings are not required on SDSs under 29 CFR 1910.1200, the preparer may choose to provide them. HMIS® ratings are to be used with a fully implemented HMIS® program. HMIS® is a registered mark of the National Paint & Coatings Association (NPCA). HMIS® materials may be purchased exclusively from J. J. Keller (800) 327-6868.

The customer is responsible for determining the PPE code for this material.

### National Fire Protection Association (U.S.A.)



## Section 16. Other information

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Copyright ©2001, National Fire Protection Association, Quincy, MA 02269. This warning system is intended to be interpreted and applied only by properly trained individuals to identify fire, health and reactivity hazards of chemicals. The user is referred to certain limited number of chemicals with recommended classifications in NFPA 49 and NFPA 325, which would be used as a guideline only. Whether the chemicals are classified by NFPA or not, anyone using the 704 systems to classify chemicals does so at their own risk.

### History

**Date of printing** : 12/15/2017

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**Version** : 1.2

**Key to abbreviations** : ATE = Acute Toxicity Estimate  
BCF = Bioconcentration Factor  
GHS = Globally Harmonized System of Classification and Labelling of Chemicals  
IATA = International Air Transport Association  
IBC = Intermediate Bulk Container  
IMDG = International Maritime Dangerous Goods  
LogPow = logarithm of the octanol/water partition coefficient  
MARPOL = International Convention for the Prevention of Pollution From Ships, 1973 as modified by the Protocol of 1978. ("Marpol" = marine pollution)  
UN = United Nations

**References** : Not available.

☑ Indicates information that has changed from previously issued version.

### Notice to reader

To the best of our knowledge, the information contained herein is accurate. However, neither the above-named supplier, nor any of its subsidiaries, assumes any liability whatsoever for the accuracy or completeness of the information contained herein.

Final determination of suitability of any material is the sole responsibility of the user. All materials may present unknown hazards and should be used with caution. Although certain hazards are described herein, we cannot guarantee that these are the only hazards that exist.