

Overview information for

Acrylonitrile

CHRONIC TOXICITY SUMMARY

ACRYLONITRILE

(Acrylonitrile monomer, cyanoethylene, propenenitrile, 2-propenenitrile, VCN, vinyl cyanide.)

CAS Number: 107-13-1

I. Chronic Toxicity Summary

<i>Inhalation reference exposure level</i>	5 $\mu\text{g}/\text{m}^3$ (2 ppb)
<i>Critical effect(s)</i>	Degeneration and inflammation of nasal epithelium in rats
<i>Hazard index target(s)</i>	Respiratory system

II. Chemical Property Summary (HSDB, 1994)

<i>Description</i>	Clear, colorless to pale yellow liquid (technical grades)
<i>Molecular formula</i>	$\text{C}_3\text{H}_3\text{N}$
<i>Molecular weight</i>	53.1 g/mol
<i>Density</i>	0.81 g/cm ³ @ 25°C
<i>Boiling point</i>	77.3°C
<i>Melting point</i>	-82°C
<i>Vapor pressure</i>	100 torr @ 23°C
<i>Solubility</i>	Soluble in isopropanol, ethanol, ether, acetone, and benzene
<i>Conversion factor</i>	1 ppm = 2.17 mg/m ³ @ 25 °C

III. Major Uses or Sources

Acrylonitrile is produced commercially by propylene ammoxidation, in which propylene, ammonia, and air are reacted by catalyst in a fluidized bed. Acrylonitrile is used primarily as a co-monomer in the production of acrylic and modacrylic fibers. Uses include the production of plastics, surface coatings, nitrile elastomers, barrier resins, and adhesives. It is also a chemical intermediate in the synthesis of various antioxidants, pharmaceuticals, dyes, and surface-active agents. Formerly, acrylonitrile was used as a fumigant for food commodities, flour milling, and bakery food processing equipment (HSDB, 1994). The annual statewide industrial emissions from facilities reporting under the Air Toxics Hot Spots Act in California based on the most recent inventory were estimated to be 3948 pounds of acrylonitrile (CARB, 2000). US EPA (1993) reported a mean ambient air concentration of acrylonitrile at four urban locations in the U.S. of 0.66 $\mu\text{g}/\text{m}^3$.

IV. Effects of Human Exposure

Many occupational epidemiology studies have investigated retrospectively the morbidity and mortality of acrylonitrile exposed workers. An increased incidence of lung cancer was associated with acrylonitrile exposure. No significant excess mortality has been observed for any noncarcinogenic endpoint. One early cross-sectional study (Wilson *et al.*, 1948) observed multiple deleterious effects in synthetic rubber manufacturing workers acutely exposed (20 to 45 minutes) to various concentrations of acrylonitrile (16 to 100 ppm, 34.7 to 217 mg/m³). Mucous membrane irritation, headaches, feelings of apprehension, and nervous irritability were observed in the majority of workers. Other less common symptoms observed included low-grade anemia, leukocytosis, and mild jaundice. These effects were reported to subside with cessation of exposure. Human volunteers exposed for a single 8 hour period to acrylonitrile vapors exhibited no deleterious CNS effects at concentrations ranging from 5.4 to 10.9 mg/m³ (2.4 to 5.0 ppm) (Jakubowski *et al.*, 1987).

A cross-sectional study (Sakurai *et al.*, 1978) found no statistically significant increases in adverse health effects in chronically exposed workers (minimum 5 years) employed at 6 acrylic fiber factories (n = 102 exposed, n = 62 matched controls). Mean acrylonitrile levels ranged from 0.1 to 4.2 ppm (0.2 to 9.1 mg/m³) as determined by personal sampling. Although not statistically significant, slight increases in reddening of the conjunctiva and pharynx were seen in workers from the plant with the highest mean levels (4.2 ppm arithmetic mean). However, this study has limitations, including small sample size and examiner bias, since the medical examiner was not blind to exposure status. The time-weighted average exposure of the group occupationally exposed to 4.2 ppm (9.1 mg/m³) acrylonitrile can be calculated as: $TWA = 9.1 \text{ mg/m}^3 \times (10/20) \text{ m}^3/\text{day} \times 5 \text{ days}/7 \text{ days} = 3 \text{ mg/m}^3$. This level is comparable to the LOAEL (HEC) of 2 mg/m³ derived by the U.S. EPA from the animal study of Quast *et al.* (1980).

Czeizel *et al.* (1999) studied congenital abnormalities in 46,326 infants born between 1980 and 1996 to mothers living within a 25 km radius of an acrylonitrile factory in Nyergesujfalu, Hungary. Ascertainment of cases with congenital abnormalities was based on the Hungarian Congenital Abnormality Registry plus review of pediatric, pathology and cytogenetic records. Particular attention was paid to indicators of germinal mutations (sentinel anomalies, Down's syndrome, and unidentified multiple congenital abnormalities) and to indicators of teratogens (specific pattern of multiple congenital abnormalities). Three congenital abnormalities: pectus excavatum in Tata, 1990-1992 (OR = 78.5, 95%CI = 8.4-729.6), undescended testis in Nyergesujfalu between 1980 and 1983 (8.6, 1.4-54.3) and in Esztergom, 1981-1982 (4.2, 1.3-13.5) and clubfoot in Tata, 1980-1981 (5.5, 1.5-20.3) showed significant time-space clusters in the study area. The risk of undescended testis decreased with increasing distance from the factory. An unusual increase for the combination of oral cleft and cardiac septal defects was seen in multimalformed babies in Tatabanya in 1990. Unfortunately there were no data on levels of acrylonitrile or any other exposure.

V. Effects of Animal Exposure

Quast *et al.* (1980) exposed Sprague-Dawley rats (100/sex/ concentration) 6 hours/day, 5 days/week for 2 years to concentrations of 0, 20, or 80 ppm acrylonitrile vapors (0, 43, or 174 mg/m³). A statistically significant increase in mortality was observed in the first year among 80 ppm exposed rats (male and female). Additionally, the 80 ppm exposed group had a significant decrease in mean body weight. Two tissues, the nasal respiratory epithelium and the brain, exhibited treatment-related adverse effects due to acrylonitrile exposure. Proliferative changes in the brain glial cells (i.e., tumors and early proliferation suggestive of tumors) were significantly increased in the 20 ppm (8/100) and 80 ppm (20/100) females versus female controls (0/100), and in the 80 ppm males (22/99) versus male controls (0/100). Noncarcinogenic, extrapulmonary effects were observed in the nasal turbinate epithelium at both exposure concentrations, 20 and 80 ppm (see table below). Thus the LOAEL was 20 ppm. No treatment-related effects in the olfactory epithelium, trachea, or lower respiratory epithelium were observed at either concentration.

Effects of acrylonitrile reported by Quast *et al.* (1980)

Effect	Sex	0 ppm	20 ppm	80 ppm
Respiratory epithelium hyperplasia in the nasal turbinates	Male	0/11	4/12	10/10*
Hyperplasia of the mucous secreting cells	Male	0/11	7/12*	8/10*
Focal inflammation in the nasal turbinates	Female	2/11	6/10	7/10*
Flattening of the respiratory epithelium of the nasal turbinates	Female	1/11	7/10*	8/10*
Lung: pneumonia, consolidation, atelectasis, or edema	Male	14/100	27/100*	30/100*
Lung: pneumonia, consolidation, atelectasis, or edema	Female	7/100	2/100	7/100

* statistically significant difference from controls (p<.05)

Maltoni and associates exposed Sprague-Dawley rats (30/sex/concentration) to 0, 5, 10, 20, or 40 ppm acrylonitrile vapor for 5 days/week over 52 weeks, and at 60 ppm for 4 to 7 days, 5 days/week for 104 weeks (Maltoni *et al.*, 1977; Maltoni *et al.*, 1988). Histopathologic examinations were performed, including on lungs, brain, kidney, and liver. No noncarcinogenic effects were reported.

Gagnaire *et al.* (1998) studied motor and sensory conduction velocities (MCV and SCV, respectively) and amplitudes of the sensory and motor action potentials (ASAP and AMAP) of the tail nerve in male Sprague-Dawley rats during chronic treatment with acrylonitrile. (Four other unsaturated aliphatic nitriles were also given orally to other rats.) Rats were given doses of 12.5, 25, and 50 mg/kg of acrylonitrile once a day, 5 days per week for 12 weeks. Rats were also exposed by inhalation to 25, 50, and 100 ppm of acrylonitrile vapors for 6 h/day, 5 days per week, for 24 weeks and neurophysiological examinations were carried out. After oral acrylonitrile, animals developed behavioral sensitization characterized by salivation, locomotor hyperactivity, and moderately intense stereotypies. Rats dosed with 50 mg/kg developed hindlimb weakness associated with decreases in sensory conduction velocity (SCV) and in the amplitude of the sensory action potential (ASAP). Rats exposed to acrylonitrile by inhalation exhibited time- and concentration-dependent decreases in motor conduction velocity (MCV), SCV, and ASAP, which were partially reversible after 8 weeks of recovery. The authors

concluded that the nervous system of the rat appears to be a target following either oral or inhalation exposures of acrylonitrile. The NOAEL by inhalation for 24 weeks was 25 ppm.

Changes in electrophysiological parameters after 24 wks of exposure (Gagnaire *et al.*, 1998)

Acrylonitrile	MCV (m/sec)	SCV (m/sec)	AMAP (mvolts)	ASAP (μvolts)
0 ppm	42.9 ± 0.9 ^a	53.3 ± 1.0	17.8 ± 1.2	186 ± 8
25 ppm	41.6 ± 0.8	50.5 ± 0.8*	16.1 ± 0.8	164 ± 11
50 ppm	38.1 ± 0.9**	49.1 ± 0.5****	15.7 ± 1.0	159 ± 5*
100 ppm	38.5 ± 1.2**	48.4 ± 1.0****	17.4 ± 0.9	133 ± 11****

^a Mean ± SEM; * p<0.05; ** p<0.01; ****p<0.001

In a developmental study, Murray *et al.* (1978) exposed rats to acrylonitrile vapors at 0, 40 ppm (87 mg/m³), or 80 ppm (174 mg/m³) for 6 hours/day during gestational days 6 to 15. In the 80 ppm exposed group, significant increases in fetal malformations were observed including short tail, missing vertebrae, short trunk, omphalocele, and hemivertebra (Murray *et al.*, 1978). No differences in implantations, live fetuses, or resorptions were seen in the exposed (40 and 80 ppm) versus the control group. Maternal toxicity was observed as decreased body weight at both exposure levels. After adjustment to continuous exposure, this study identified a developmental NOAEL of 10 ppm and a LOAEL of 20 ppm (with maternal toxicity).

Saillenfait *et al.* (1993) studied the developmental toxicity of eight aliphatic mononitriles in Sprague-Dawley rats after inhalation exposure for 6 hr/day during days 6 to 20 of gestation. The range of exposure levels for acrylonitrile was 12, 25, 50, and 100 ppm; group sizes were 20-23 females. Embryolethality was observed after exposure to 25 ppm (54 mg/m³) acrylonitrile in the presence of overt signs of maternal toxicity. Fetal weights were significantly lower at 25 ppm. Thus 12 ppm (26 mg/m³) is a NOAEL for developmental toxicity using this study design.

VI. Derivation of Chronic Reference Exposure Level

<i>Study</i>	Quast <i>et al.</i> , 1980
<i>Study population</i>	Sprague-Dawley rats (100/sex/concentration)
<i>Exposure method</i>	Discontinuous whole-body inhalation exposures (0, 20, or 80 ppm)
<i>Critical effects</i>	Degeneration and inflammation of nasal respiratory epithelium; hyperplasia of mucous secreting cells
<i>LOAEL</i>	20 ppm
<i>NOAEL</i>	Not observed
<i>BMC₀₅</i>	1.5 ppm
<i>Exposure continuity</i>	6 hours/day, 5 days/week
<i>Average experimental exposure</i>	0.27 ppm for BMC ₀₅ (1.5 x 6/24 x 5/7)
<i>Human equivalent concentration</i>	0.067 ppm (gas with extrathoracic respiratory effects; RGDR = 0.25 based on MV = 0.33 m ³ /day, SA(ET) = 11.6 cm ²)

<i>Exposure duration</i>	2 years
<i>LOAEL uncertainty factor</i>	Not needed in the BMC approach
<i>Subchronic uncertainty factor</i>	1
<i>Interspecies uncertainty factor</i>	3
<i>Intraspecies uncertainty factor</i>	10
<i>Cumulative uncertainty factor</i>	30
<i>Inhalation reference exposure level</i>	0.002 ppm (2 ppb; 0.005 mg/m ³ ; 5 µg/m ³)

Sprague-Dawley rats (100/sex/concentration) were exposed 6 hours/day, 5 days/week for 2 years to 0, 20, or 80 ppm acrylonitrile (0, 43, and 174 mg/m³, respectively). Significant degenerative and inflammatory changes were observed in the respiratory epithelium of the nasal turbinates at both exposure concentrations (20 and 80 ppm). This treatment-related irritation of the nasal mucosa appeared in the 20 ppm exposed male rats as either epithelial hyperplasia of the nasal turbinates, or as hyperplasia of the mucous secreting cells. In the 20 ppm exposed females it appeared as either focal inflammation in the nasal turbinates or flattening of the respiratory epithelium of the nasal turbinates. In 80 ppm exposed rats the effects were more severe, including suppurative rhinitis, hyperplasia, focal erosions, and squamous metaplasia of the respiratory epithelium. No treatment-related effects in the olfactory epithelium, trachea, or lower respiratory system were observed at either concentration. This study identified a LOAEL for pathological alterations in the respiratory epithelium of the extrathoracic region of the respiratory tract of 20 ppm (43 mg/m³). The U.S. EPA (1994) based its RfC of 2 µg/m³ on the same study but included a Modifying Factor (MF) of 10 for database deficiencies. The criteria for use of modifying factors are not well specified by U.S. EPA. Such modifying factors were not used by OEHHA.

OEHHA used a benchmark dose approach to determine the chronic REL for acrylonitrile. The cumulative gamma distribution model in the U.S. EPA's BMDS software was individually fit to the data on respiratory epithelium hyperplasia in the nasal turbinates in males, hyperplasia of the mucous secreting cells in males, focal inflammation in the nasal turbinates in females, and flattening of the respiratory epithelium of the nasal turbinates in females. The resulting BMC₀₅ values (1.27, 1.33, 2.18, 1.35) were averaged to yield a value of 1.5 ppm. The RGDR adjustment and appropriate uncertainty factors were applied as indicated in the above table and resulted in a chronic REL of 5 µg/m³.

For comparison, Gagnaire *et al.* (1998) found a NOAEL for nervous system effects at 24 weeks of 25 ppm, which is equivalent to a continuous exposure of 4.5 ppm. Use of the default RGDR of 1 for systemic effects, a subchronic UF of 3, an interspecies UF of 3, and an intraspecies UF of 10 results in an estimated REL of 45 ppb (100 µg/m³). We were unable to derive a BMC from the neurotoxicity data due partly to the tendency of the animals in the 100 ppm group to yield values for two of the four endpoints measured closer to the controls than those in the 50 ppm group.

As another comparison, Saillenfait *et al.* (1983) found a 12 ppm (26 mg/m³) NOAEL for fetal weight reduction (6 h/d exposure). This is equivalent to a continuous exposure of 3 ppm (on

days 6 to 20 of gestation). Use of the default RGDR of 1 for systemic effects, an interspecies UF of 3, and an intraspecies UF of 10 results in an estimated REL of 100 ppb (200 $\mu\text{g}/\text{m}^3$).

Finally, after adjustment to continuous exposure, Murray *et al.* (1978) identified a developmental NOAEL, adjusted to continuous exposure, of 10 ppm and a LOAEL of 20 ppm (with maternal toxicity at both levels). Use of the default RGDR of 1 for systemic effects, an interspecies UF of 3, and an intraspecies UF of 10 results in an estimated REL of 30 ppb (70 $\mu\text{g}/\text{m}^3$).

VII. Data Strengths and Limitations for Development of the REL

Significant strengths in the chronic REL for acrylonitrile include (1) the availability of chronic inhalation exposure data from a well-conducted study with histopathological analysis and (2) the demonstration of a dose-response relationship. Major uncertainties are (1) the lack of adequate human exposure data, (2) the lack of a NOAEL in the 2 year study, (3) lack of inhalation bioassay in a second species, and (4) lack of reproductive data for inhalation exposures when an oral study showed adverse reproductive effects

When assessing the health effects of acrylonitrile, its carcinogenicity must also be assessed.

VIII. Potential for Differential Impacts on Children's Health

The chronic REL is considerably lower than the comparison estimate based on developmental effects. Although neurotoxicity, an endpoint which is often associated with increased sensitivity of younger animals or humans, was evaluated as one of the alternative endpoints, the comparison reference level for this end point in adults was more than an order of magnitude higher than the REL based on histological changes in the upper respiratory tract. It is therefore considered that the REL is likely to be adequately protective of infants and children.

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PRIORITY SUBSTANCES LIST ASSESSMENT REPORT

Acrylonitrile

Environment Canada
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LIST OF ACRONYMS AND ABBREVIATIONS

ABS	acrylonitrile-butadiene-styrene
ACN	acrylonitrile
BCF	bioconcentration factor
CAS	Chemical Abstracts Service
CEPA	<i>Canadian Environmental Protection Act</i>
CEPA 1999	<i>Canadian Environmental Protection Act, 1999</i>
CFC	chlorofluorocarbon
CTV	Critical Toxicity Value
EC ₅₀	median effective concentration
EEV	Estimated Exposure Value
ENEV	Estimated No-Effects Value
GWP	Global Warming Potential
K _{oc}	organic carbon/water partition coefficient
K _{ow}	octanol/water partition coefficient
kg-bw	kilogram body weight
LC ₅₀	median lethal concentration
LD ₅₀	median lethal dose
LOAEL	Lowest-Observed-Adverse-Effect Level
LOEC	Lowest-Observed-Effect Concentration
LOEL	Lowest-Observed-Effect Level
MISA	Municipal/Industrial Strategy for Abatement
mRR	meta-relative risk
NOEC	No-Observed-Effect Concentration
NOEL	No-Observed-Effect Level
ODP	Ozone Depletion Potential
OECD	Organisation for Economic Co-operation and Development
POCP	Photochemical Ozone Creation Potential
PSL	Priority Substances List
SAN	styrene-acrylonitrile
TC	Tumorigenic Concentration
TD	Tumorigenic Dose

SYNOPSIS

Acrylonitrile is not produced in Canada but is imported and used to produce nitrile-butadiene rubber, acrylonitrile-butadiene-styrene (ABS) polymers and styrene-acrylonitrile (SAN) polymers. In 1994, 7600 tonnes of acrylonitrile were used in Canada, all of which was imported from the United States. It was projected that 8300 tonnes would be used in 1997. There are no known natural sources of acrylonitrile.

The atmosphere and the freshwater aquatic environment receive 97.3% and 2.7% of the releases of acrylonitrile, respectively. The releases are almost exclusively (97.4%) from the organic chemical manufacturing industry — namely, the chemicals and chemical products industry and the plastics industry — and occur in southern Ontario and southern Quebec. Municipal water treatment facilities may release small quantities of acrylonitrile to air via sludge incineration or to water via use of acrylonitrile polymers as conditioners.

Acrylonitrile is distributed largely to the environmental compartment to which it is released, where reaction and advection are the major removal mechanisms. Its movement from the atmosphere or water to soil, sediment or biota is limited.

In general, concentrations of acrylonitrile in air in Canada are below the detection limit. Predicted maximum levels (near a chemical industry processing plant in Sarnia, Ontario) are less than the Estimated No-Effects Value (ENEV) for the most sensitive terrestrial organism. Significant changes over the past 10 years to the treatment of industrial wastewaters have decreased the concentration in effluents discharged to the environment to below 4.2 µg/L. This is less than the ENEV for the most sensitive aquatic organism.

Because of its reactivity in the atmosphere, acrylonitrile's potential contribution to photochemical ozone (and also smog) creation is moderate; however, quantities and concentrations available for reaction (18.75 tonnes in Canada in 1996) make the contribution very low relative to those of other substances. The absence of chlorine and bromine atoms in the acrylonitrile molecule means that its potential contributions to stratospheric ozone depletion and climate change are both negligible.

Although limited, available data are consistent with air being the principal medium of exposure of the general population to acrylonitrile; intake from other media is likely to be negligible in comparison. The focus of the human health risk characterization is populations exposed through air in the vicinity of industrial sources.

Based on studies in animals, cancer is considered the critical endpoint for effects of acrylonitrile on human health. A range of tumours in rats — including those of the central nervous system (brain and/or spinal cord), ear canal, gastrointestinal tract and mammary glands — has been consistently observed following both ingestion and inhalation. While increases in cancer have not been observed in available epidemiological studies, their power is insufficient to rule out increases in particularly rare tumours. Available data are insufficient to support a consensus view on a plausible mode of action for induction of tumours by acrylonitrile by other than direct interaction with genetic material and as a result, there is considered to be a probability of harm at any level of exposure.

Based on the information available, it is concluded that acrylonitrile is not entering the environment in a quantity or concentration or under conditions that have or may have an



immediate or long term harmful effect on the environment or its biological diversity, or that constitute or may constitute a danger to the environment on which life depends. It is concluded that acrylonitrile is entering the environment in a quantity or concentration or under conditions that constitute or may constitute a danger in Canada to human life or health. Therefore, acrylonitrile is considered to be “toxic” as defined in Section 64 of the *Canadian Environmental Protection Act, 1999* (CEPA 1999).

Based on comparison of worst-case estimates of exposure in air in the vicinity of industrial sources with the tumorigenic potency, it is recommended that options to reduce exposure in the vicinity of industrial point sources be investigated. It is also recommended that there be additional investigation of the magnitude of exposure of populations in the vicinity of industrial point sources as a basis for risk management.



1.0 INTRODUCTION

The *Canadian Environmental Protection Act, 1999* (CEPA 1999) requires the federal Ministers of Environment and Health to prepare and publish a Priority Substances List (PSL) that identifies substances, including chemicals, groups of chemicals, effluents and wastes, that should be given priority to determine whether they are harmful to the environment or constitute a danger to human health. The Act also requires both Ministers to assess these substances and determine whether they are “toxic” or are capable of becoming “toxic” as defined in Section 64 of the Act, which states:

- ...a substance is toxic if it is entering or may enter the environment in a quantity or concentration or under conditions that
- (a) have or may have an immediate or long-term harmful effect on the environment or its biological diversity;
 - (b) constitute or may constitute a danger to the environment on which life depends; or
 - (c) constitute or may constitute a danger in Canada to human life or health.

Substances that are assessed as “toxic” as defined in Section 64 may be placed on Schedule I of the Act and considered for possible risk management measures, such as regulations, guidelines, pollution prevention plans or codes of practice to control any aspect of their life cycle, from the research and development stage through manufacture, use, storage, transport and ultimate disposal.

Based on an initial screening of readily accessible information, the rationale for assessing acrylonitrile provided by the Ministers’ Expert Advisory Panel on the Second Priority Substances List (Ministers’ Expert Advisory Panel, 1995) was as follows:

People living within a few kilometres of sites where acrylonitrile is used may have significant exposure. The compound can also be released from products made with polyacrylic fibre or from vehicle exhaust and cigarette

smoke. Acrylonitrile is carcinogenic and genotoxic in animals, and there is some evidence that it is carcinogenic in humans. An assessment is needed to characterize the extent of exposure and associated risks for humans and the environment in Canada.

Descriptions of the approaches to assessment of the effects of Priority Substances on the environment and human health are available in published companion documents. The document entitled “Environmental Assessments of Priority Substances under the *Canadian Environmental Protection Act*. Guidance Manual Version 1.0 — March 1997” (Environment Canada, 1997a) provides guidance for conducting environmental assessments of Priority Substances in Canada. This document may be purchased from:

Environmental Protection Publications
Environmental Technology
Advancement Directorate
Environment Canada
Ottawa, Ontario
K1A 0H3

It is also available on the Internet at www.ec.gc.ca/cceb1/eng/psap.htm under the heading “Technical Guidance Manual.” It should be noted that the approach outlined therein has evolved to incorporate recent developments in risk assessment methodology, which will be addressed in future releases of the guidance manual for environmental assessments of Priority Substances.

The approach to assessment of effects on human health is outlined in the following publication of the Environmental Health Directorate of Health Canada: “*Canadian Environmental Protection Act — Human Health Risk Assessment for Priority Substances*” (Health Canada, 1994), copies of which are available from:



Environmental Health Centre
Room 104
Health Canada
Tunney's Pasture
Ottawa, Ontario
K1A 0L2

or on the Environmental Health Directorate publications web site (www.hc-sc.gc.ca/ehp/ehd/catalogue/bch.htm). The approach is also described in an article published in the *Journal of Environmental Science and Health — Environmental Carcinogenesis & Ecotoxicology Reviews* (Meek *et al.*, 1994). It should be noted that the approach outlined therein has evolved to incorporate recent developments in risk assessment methodology, which are described on the Environmental Substances Division web site (www.hc-sc.gc.ca/ehp/ehd/bch/env_contaminants/psap/psap.htm) and which will be addressed in future releases of the approach paper for the assessment of effects on human health.

The search strategies employed in the identification of data relevant to the assessment of potential effects on the environment (prior to May 1998) and on human health (prior to April 1998) are presented in Appendix A. Review articles were consulted where appropriate. However, all original studies that form the basis for determining whether acrylonitrile is “toxic” under CEPA have been critically evaluated by staff of Environment Canada (entry and environmental exposure and effects) and Health Canada (human exposure and effects on human health).

An Environmental Resource Group was established by Environment Canada to assist in the preparation and review of the environmental sections of the Assessment Report and the supporting documentation (Environment Canada, 1998). Members were selected based on their expertise, notably in the areas of toxicology, process and automotive chemistry and engineering, environmental monitoring and environmental chemistry. Members included:

B. Benjey, U.S. Environmental Protection Agency
D. Brooke, United Kingdom Department of the Environment
L. Brownlee, Environment Canada
N. Bunce, University of Guelph
H. Campbell, Waste Water Technology Centre
P. Cureton, Environment Canada
M. Day, National Research Council of Canada
F. Edgecomb, Canadian Plastics Industry
J. Girard, Environment Canada
L. Graham, Environment Canada
P. Paine, Environment Canada
A. Pope, U.S. Environmental Protection Agency
I. Pratt, Health and Safety Authority, Ireland
J. Prinsen, Environment Canada
J. Sherry, Environment Canada
M. Wright, Bayer-Rubber Division

The environmental assessment was led by P. Cureton.

Environmental sections of the Assessment Report and the supporting documentation (Environment Canada, 1998) were also reviewed by internal reviewers at Environment Canada — namely, R. Hoff, K. Lloyd, J. Pasternak, E. Rezek and P. Thompson. External reviewers were W. Broadworth (G.E. Plastics Canada), N. Karellas (Ontario Ministry of the Environment), R. Keefe (Imperial Oil), A. Kerr (Bayer-Rubber Division), J. Murray (The Acrylonitrile Group), V. Nabholz (U.S. Environmental Protection Agency), J. Pellerin (*Université du Québec à Rimouski*), J. Soule (DuPont Canada) and A. Tomlin (Agriculture and Agri-Food Canada).

The health-related sections of this Assessment Report and supporting documentation were prepared by the following staff of Health Canada:

D. Blakey
D. Koniecki
G. Long
M.E. Meek

Sections of the Assessment Report and supporting documentation were reviewed by R. Beauchamp, R. Liteplo and L. Turner of the Environmental Substances Division of Health Canada. M. Walker of the Biostatistics and Computer Applications Division of Health Canada provided statistical support. The health-related sections of the Assessment Report and the supporting documentation were based in part upon a review of the epidemiological data, prepared under contract by J. Siemiatycki of the *Institut Armand-Frappier*.

In order to address primarily adequacy of coverage, sections of the supporting documentation pertaining to human health were reviewed externally by:

J.J. Collins, Solutia Inc., St. Louis,
Missouri
B. Ghanayem, National Institute of
Environmental Health Sciences,
Research Triangle Park, North
Carolina
G.L. Kedderis, Chemical Industry
Institute of Toxicology, Research
Triangle Park, North Carolina
N. Krivanek, E.I. du Pont de Nemours &
Co., Newark, Delaware
D. Strother, BP Chemicals Inc.,
Cleveland, Ohio
J. Whysner, American Health Foundation,
Valhalla, New York

Accuracy of reporting, adequacy of coverage and defensibility of conclusions with respect to hazard characterization and dose-response analyses were considered in written review by staff of the Information Department of BIBRA International and at a panel meeting of the following members, convened by Toxicology Excellence for Risk

Assessment (TERA) on November 17, 1998, in Cincinnati, Ohio:

M.J. Aardema, The Procter & Gamble
Co.
M.L. Dourson, TERA
S. Felter, The Procter & Gamble Co.
M.A. Friedman, private consultant
M.L. Gargas, ChemRisk Division,
McLaren/Hart
R.G. Tardiff, The Sapphire Group, Inc.
V.T. Vu, U.S. Environmental Protection
Agency
V. Walker, New York State Department of
Health

The health-related sections of the Assessment Report were reviewed and approved by the Health Protection Branch Risk Management meeting of Health Canada.

The entire Assessment Report was reviewed and approved by the Environment Canada/Health Canada CEPA Management Committee.

A draft of the Assessment Report was made available for a 60-day public comment period (June 26 to August 24, 1999) (Environment Canada and Health Canada, 1999). Following consideration of comments received, the Assessment Report was revised as appropriate. A summary of the comments and their responses are available on the Internet at:

www.ec.gc.ca/cceb1/eng/final/index_e.html

The text of the Assessment Report has been structured to address environmental effects initially (relevant to determination of “toxic” under Paragraphs 64(a) and (b)), followed by effects on human health (relevant to determination of “toxic” under Paragraph 64(c)).

Copies of this Assessment Report are available upon request from:



Inquiry Centre
Environment Canada
Main Floor, Place Vincent Massey
351 St. Joseph Blvd.
Hull, Quebec
K1A 0H3

or on the Internet at:

www.ec.gc.ca/cceb1/eng/final/index_e.html

Unpublished supporting documentation,
which presents additional information, is available
upon request from:

Commercial Chemicals Evaluation
Branch
Environment Canada
14th Floor, Place Vincent Massey
351 St. Joseph Blvd.
Hull, Quebec
K1A 0H3

or

Environmental Health Centre
Room 104
Health Canada
Tunney's Pasture
Ottawa, Ontario
K1A 0L2

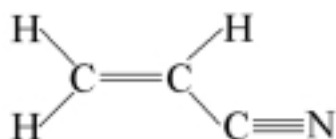


2.0 SUMMARY OF INFORMATION CRITICAL TO ASSESSMENT OF “TOXIC” UNDER CEPA 1999

2.1 Identity and physical/chemical properties

Acrylonitrile (ACN) is also known as acrylic acid nitrile, acrylon, carbacryl, cyanoethylene, fumigrain, propenenitrile, 2-propenenitrile, propenoic acid nitrile, propylene nitrile, VCN, ventox and vinyl cyanide. Its Chemical Abstracts Service (CAS) number is 107-13-1, its molecular formula is $\text{CH}_2=\text{CH}-\text{C}\equiv\text{N}$ and its molecular weight is 53.06 g. Acrylonitrile's molecular structure is shown in Figure 1.

FIGURE 1 Chemical structure of acrylonitrile



The physical and chemical properties of acrylonitrile are shown in Table 1. At room temperature, acrylonitrile is a volatile, flammable, colourless liquid with a weakly pungent odour (WHO, 1983). Acrylonitrile has two chemically active sites, at the carbon-carbon double bond and at the nitrile group, where it undergoes a wide variety of reactions. It is a polar molecule because of the presence of the cyano (CN) group. It is soluble in water (75.1 g/L at 25°C) and miscible with most organic solvents. The vapours are explosive, with cyanide gas being produced.

Acrylonitrile may polymerize spontaneously and violently in the presence of concentrated caustic acid, on exposure to visible light or in the presence of concentrated alkali (WHO, 1983), and it is stored accordingly, often

as an acrylonitrile-water formulation that acts as a polymerization inhibitor (Kirk *et al.*, 1983).

2.2 Entry characterization

2.2.1 Production, importation and uses

Acrylonitrile has not been produced in Canada since 1972, although it is imported and used. The amount of acrylonitrile imported into Canada has generally declined over the last two decades, falling from 21 000 tonnes in 1976 to 7600 tonnes — all from the United States — in 1994. Camford Information Services (1995) forecast the demand for acrylonitrile in 1997 to be 8300 tonnes (Table 2). The large majority of acrylonitrile is used as a feedstock or chemical aid in the production of nitrile-butadiene rubber (68% of 1994 imports) and in acrylonitrile-butadiene-styrene (ABS) and styrene-acrylonitrile (SAN) polymers (30% of 1994 imports).

2.2.2 Sources and releases

2.2.2.1 Natural sources

Acrylonitrile is not known to occur naturally, and there are no known reactions that could lead to *in situ* formation of this substance in the atmosphere (Grosjean, 1990a).

2.2.2.2 Anthropogenic sources

The total release of acrylonitrile in 1996 was 19.1 tonnes (97.3% to air and 2.7% to water) (Environment Canada, 1997b). The major source of releases was the organic chemicals industry (97.4%) (namely, the chemicals and chemical products industries and the plastic products

TABLE 1 Physical and chemical properties of acrylonitrile¹

Property	Mean (range)	Reference
Density at 20°C	806 g/L	American Cyanamid Co., 1959
Melting point	−83.55°C	Riddick <i>et al.</i> , 1986; Budavari, 1989
Boiling point	77.3°C	Langvardt, 1985; Howard, 1989
Water solubility at 25°C	75.1 g/L	Martin, 1961; Spencer, 1981; Langvardt, 1985; Howard, 1989; DMER and AEL, 1996
Solubility	Miscible with most organic solvents	American Cyanamid Co., 1959
Vapour pressure at 25°C	11 (11–15.6) kPa	Groet <i>et al.</i> , 1974; Riddick <i>et al.</i> , 1986; Banerjee <i>et al.</i> , 1990; BG-Chemie, 1990; Mackay <i>et al.</i> , 1995
Henry's law constant ² at 25°C	11 (8.92–11.14) Pa·m ³ /mol	Mabey <i>et al.</i> , 1982; Howard, 1989; Mackay <i>et al.</i> , 1995
Log organic carbon/water partition coefficient (log K _{oc})	1.06 (−0.09–1.1)	Koch and Nagel, 1988; Walton <i>et al.</i> , 1992
Log octanol/water partition coefficient (log K _{ow})	0.25 (−0.92–1.2)	Collander, 1951; Pratesi <i>et al.</i> , 1979; Veith <i>et al.</i> , 1980; Tonogai <i>et al.</i> , 1982; Tanii and Hashimoto, 1984; Sangster, 1989; DMER and AEL, 1996
Log bioconcentration factor (BCF) in fish	0.48–1.68	Barrows <i>et al.</i> , 1980; Lech <i>et al.</i> , 1995
Half-life (t _{1/2})		
air	55 or 96 (4–189) hours	Callahan <i>et al.</i> , 1979; Cupitt, 1980; Atkinson, 1985; DMER and AEL, 1996
	96 (13–198) hours	Atkinson <i>et al.</i> , 1992
water	170 (30–552) hours	Going <i>et al.</i> , 1979; Howard <i>et al.</i> , 1991
soil	170 (30–552) hours	Howard <i>et al.</i> , 1991
sediment	550 hours	DMER and AEL, 1996 ³

¹ Conversion factors between concentration by weight and concentration by volume: 1 mg/m³ = 0.4535 ppmv (20°C, 101.3 kPa); 1 ppm in air = 2.205 mg/m³.

² Vapour pressure (at given temperature) × molar mass/water solubility (at same temperature).

³ No specific sediment value was found in the literature; this is based on the assumption of slower reactivity compared with soils (DMER and AEL, 1996).

TABLE 2 Demand for acrylonitrile in Canada, 1990–1997¹

Use	Acrylonitrile demand (tonnes)					
	1990	1991	1992	1993	1994	1997 ²
Nitrile-butadiene rubber	3 800	3 300	3 600	4 400	5 200	5 700
ABS terpolymers, SAN	10 000	9 200	5 200	2 500	2 300	2 500
Miscellaneous	100	100	100	100	100	100
Total	13 900	12 600	8 900	7 000	7 600	8 300

¹ Camford Information Services (1995).

² Forecast.

industries), while municipal wastewater treatment facilities accounted for 2.6% of releases. All releases occurred in Ontario and Quebec.

2.2.2.2.1 Organic chemicals industry

Data from the National Pollutant Release Inventory are in close agreement with Environment Canada (1997b), although the inventory does not capture releases from municipal facilities. Total on-site releases from industrial sources have decreased recently, with releases of 19.6, 16.8 and 10.7 tonnes in 1994, 1995 and 1996, respectively (Environment Canada, 1994, 1995, 1996). In 1996, the plastics products industry transferred 17 tonnes of acrylonitrile off-site in waste. This was a one-time cleaning procedure required to close a polymerization facility (Environment Canada, 1996, 1997b).

A small amount (0.21 tonnes) of acrylonitrile was released by industry to municipal wastewater treatment facilities in 1996, but it is expected that this is effectively biodegraded by the acclimated microbes present in wastewater treatment facilities (see Section 2.3.1.2).

Since acrylonitrile is explosive, flammable and able to spontaneously and violently polymerize, wherever possible it must be transported and stored in closed containers in cool, dry, well-ventilated areas away from sources of heat and ignition; alternatively, polymerization inhibitors can be added to the system (Kirk *et al.*, 1983; CCOHS, 1995).

Spills of acrylonitrile during transport are rare in Canada. One litre of acrylonitrile leaked from rail transport in 1992 (Charlebois, 1996). In 1991, a rail accident during transport of 76 tonnes of acrylonitrile did not result in any release of the substance (Charlebois, 1996).

2.2.2.2.2 Vehicles

The release of acrylonitrile from vehicle exhaust is not considered significant. Mizuno *et al.* (1980) reported acrylonitrile in vehicle exhaust; however, improved catalysts in motor vehicles contain a large amount of cerium oxide, which acts as an “oxygen reservoir.” This, coupled with the engine control system, ensures more complete combustion to carbon dioxide, resulting in exhaust gas with low concentrations of hydrocarbons (Graham, 1997). The large majority of the vehicle fleet on the road today in Canada has stoichiometric control of engine operation coupled with cerium catalysts, which means that acrylonitrile is unlikely to be released in significant quantities, if at all.

2.2.2.2.3 Municipal wastewater treatment

Three (Toronto Main, Toronto Highland Creek and Québec) of seven Canadian municipalities that used sewage sludge incineration in 1997 have facilities that can potentially produce acrylonitrile, although relevant monitoring data are not available (Campbell, 1997). If it is assumed that these facilities operate in a manner similar to U.S. facilities that emit acrylonitrile, an estimated 64.8 kg per year may be emitted from each of the three Canadian facilities, for a total of 194 kg (0.19 tonnes) per year. This represents approximately 1% of the releases of acrylonitrile to air from chemical industries. Given the small number of wastewater sludge incineration facilities, the small amount of acrylonitrile produced and the reactivity of acrylonitrile in air (see Section 2.3.1.1), possible releases of acrylonitrile to air in the Canadian environment during incineration of wastewater sludge are not considered significant.

Only one community (Montréal) using acrylonitrile polymers as conditioners for wastewater treatment was identified based on a Canada-wide survey of municipalities conducted in late 1997. Based on manufacturers’ specifications for the polymer and the amount of



polymer used annually at the site, 0.29 tonne of acrylonitrile is estimated to be released per year.

If sludge containing acrylonitrile were spread on soil for agricultural use, it is possible that the substance might react in soil and volatilize to air. However, no data on potential losses from this exposure pathway were identified.

2.2.2.2.4 Transboundary sources

Acrylonitrile is produced in Texas, Louisiana and Ohio. Going *et al.* (1979) reported levels of acrylonitrile in air in the vicinity of acrylonitrile production or processing facilities in 11 industrial areas of the United States ranging from <0.1 to $325 \mu\text{g}/\text{m}^3$ (detection limit $0.3 \mu\text{g}/\text{m}^3$). It should be noted, however, that since this study, increasingly stringent controls on emissions have reduced reported atmospheric levels in the vicinity of such facilities. Wiersema *et al.* (1989) did not detect acrylonitrile over a six-month monitoring period of urbanized and industrialized air in the Gulf Coast of Texas (detection limit $0.122 \mu\text{g}/\text{m}^3$). The U.S. EPA (1986) reported levels of acrylonitrile in urban air in the United States; mean levels of 0.35 – $0.46 \mu\text{g}/\text{m}^3$ were found in three cities in New Jersey in July–August 1981, and a mean level of $0.46 \mu\text{g}/\text{m}^3$ was reported for Texas cities sampled between October 1985 and February 1986.

Based on its half-life in air of between 55 and 96 hours (Section 2.3.1.1), acrylonitrile could travel as far as 2000 km from its source (Hoff, 1998). However, concentrations of acrylonitrile were not detected (detection limit $0.5 \mu\text{g}/\text{m}^3$) in a 1991 study of transboundary air quality in Windsor, Ontario (Karellas, 1996) or elsewhere (Section 2.3.2.1). Therefore, under current conditions, it is believed that long-range transport is not a significant source of acrylonitrile input to the Canadian environment.

2.2.2.2.5 Pesticide use

Acrylonitrile was used in Canada in the past as a pesticide fumigant for stored grain. However, it is no longer present in registered pesticides and was last registered in Canada as a grain fumigant in 1976 (Ballantine, 1997). Therefore, releases of acrylonitrile from pesticidal uses are considered to be zero.

2.3 Exposure characterization

2.3.1 Environmental fate

2.3.1.1 Air

Acrylonitrile emitted to air reacts primarily with photochemically generated hydroxyl radicals ($\cdot\text{OH}$) in the troposphere (Atkinson *et al.*, 1982; Edney *et al.*, 1982; Munshi *et al.*, 1989; U.S. DHHS, 1990; Bunce, 1996). The atmospheric half-life, based on hydroxyl radical reaction rate constants, is calculated to be between four and 189 hours (Callahan *et al.*, 1979; Cupitt, 1980; Edney *et al.*, 1982; Howard, 1989; Grosjean, 1990b; Kelly *et al.*, 1994). DMER and AEL (1996) and Bunce (1996) selected mean half-lives of acrylonitrile in air of 55 and 96 hours, respectively, in order to calculate environmental partitioning (Section 2.3.1.5) and abiotic atmospheric effects (Section 2.4.2).

The reaction of acrylonitrile with ozone and nitrate is slow, because of the absence of chlorine and bromine atoms in the molecule, and is not likely to constitute a major route of degradation (Bunce, 1996).

The reaction of hydroxyl radicals with acrylonitrile yields formaldehyde and, to a lesser extent, formic acid, formyl cyanide, carbon monoxide and hydrogen cyanide (Edney *et al.*, 1982; Spicer *et al.*, 1985; Munshi *et al.*, 1989; Grosjean, 1990a).



2.3.1.2 Water

The significant fate processes of acrylonitrile in water are biodegradation by acclimatized microorganisms and volatilization (Going *et al.*, 1979). In water, half-lives of 30–552 hours are estimated based on aqueous aerobic biodegradation (Ludzack *et al.*, 1961; Going *et al.*, 1979; Howard *et al.*, 1991). DMER and AEL (1996) selected a mean half-life of 170 hours (seven days) for use in environmental partitioning (Section 2.3.1.5). The half-life based on volatilization is 1–6 days (Howard *et al.*, 1991). The hydrolysis of acrylonitrile is slow, with half-lives under acidic and basic conditions of 13 and 188 years, respectively (Ellington *et al.*, 1987).

Acrylonitrile has an initial inhibitory effect on activated sludge systems and other microbial populations and does not meet the Organisation for Economic Co-operation and Development (OECD) Test Method 301C for ready biodegradability (Chemicals Inspection and Testing Institute of Japan, 1992; AN Group, 1996; BASF AG, 1996). However, acrylonitrile will be extensively degraded (95–100%) following a short acclimation period if emitted to wastewater treatment plants (Tabak *et al.*, 1980; Kincannon *et al.*, 1983; Stover and Kincannon, 1983; Freeman and Schroy, 1984; Watson, 1993).

2.3.1.3 Soil and sediment

Acrylonitrile is biodegraded in a variety of surface soils (Donberg *et al.*, 1992) and by isolated strains of soil bacteria and fungi (Wenzhong *et al.*, 1991). Concentrations of acrylonitrile up to 100 mg/kg were degraded in under two days (Donberg, 1992). Similar breakdown by microbial populations present in sediment is likely (DMER and AEL, 1996; EC, 1998). Experimental adsorption studies (Zhang *et al.*, 1990), together with calculation of soil sorption coefficients using either quantitative structure–activity relationships (Koch and Nagel, 1988; Walton *et al.*, 1992) or water solubility (Kenaga, 1980), indicate that acrylonitrile shows little potential for adsorption to soil or sediments.

Half-lives of acrylonitrile in soil of 1–30 days have been calculated based on ready biodegradability data (EC, 1998) and the work performed by Donberg *et al.* (1992) and reported by Howard *et al.* (1991). DMER and AEL (1996) selected a mean half-life in soil of 170 hours (seven days). The half-life in the oxic zone of sediment can be assumed to be similar.

2.3.1.4 Biota

Bioaccumulation of acrylonitrile is not anticipated, given experimentally derived values of log K_{ow} ranging from –0.92 to 1.2 (mean 0.25) (Collander, 1951; Pratesi *et al.*, 1979; Veith *et al.*, 1980; Tonogai *et al.*, 1982; Tanii and Hashimoto, 1984; Sangster, 1989) and a log bioconcentration factor (log BCF) of 0 calculated from the water solubility of acrylonitrile (EC, 1998).

Log BCF values of 0.48–1.68 have been derived from experiments with bluegill (*Lepomis macrochirus*) (Barrows *et al.*, 1980) and rainbow trout (*Oncorhynchus mykiss*) (Lech *et al.*, 1995). The experimentally derived log BCF of 1.68 reported by Barrows *et al.* (1980) in whole-body tissue of bluegill may overestimate the BCF, since the ^{14}C uptake method may include degradation products in the BCF value (EC, 1998).

2.3.1.5 Environmental partitioning

Fugacity modelling was conducted to characterize key reaction, intercompartment and advection (movement out of a compartment) pathways for acrylonitrile and its overall distribution in the environment. A steady-state, non-equilibrium model (Level III fugacity model) was run using the methods developed by Mackay (1991) and DMER and Paterson (1991). Assumptions, input parameters and results are presented in Mackay and AEL (1996) and summarized here. Values for input parameters were as follows: molecular weight, 53.06 g/mol; water solubility, 75.5 g/L; vapour pressure, 11.0 kPa; log K_{ow} , 0.25; Henry's law constant, 11 Pa·m³/mol; half-life in air, 55 hours; half-life in water, 170 hours;



half-life in soil, 170 hours; half-life in sediments, 550 hours. Modelling was based on an assumed default emission rate of 1000 kg/hour into a region of 100 000 km², which includes a surface water area (20 m deep) of 10 000 km². The height of the atmosphere was set at 1000 m. Sediments and soils were assumed to have an organic carbon content of 4% and 2% and a depth of 1 cm and 10 cm, respectively. The estimated percent distribution predicted by this model is not affected by the assumed emission rate.

As a result of acrylonitrile's physical and chemical properties, modelling indicates that when acrylonitrile is continuously discharged into a specific medium, most of it (84–97%) can be expected to be found in that medium (DMER and AEL, 1996). More specifically, Level III fugacity modelling by DMER and AEL (1996) predicts that:

- when acrylonitrile is released into air, the distribution of mass is 92.8% in air, 6.4% in water, 0.8% in soil and 0.0% in sediment;
- when acrylonitrile is released into water, the distribution of mass is 2.5% in air, 97.3% in water, 0.0% in soil and 0.1% in sediment;
- when acrylonitrile is released into soil, the distribution of mass is 4.4% in air, 11.9% in water, 83.7% in soil and 0.0% in sediment.

The major removal mechanisms in air, water and soil are reaction within the medium and, to a lesser degree, advection and volatilization. Abiotic and biotic degradation in the various compartments result in low persistence overall and little, if any, bioaccumulation.

Fugacity modelling with the ChemCAN3 model (version 4) was also conducted with the conservative assumption that all known 1996 releases (Environment Canada, 1997b) in Canada occurred in southern Ontario. Since the half-life of acrylonitrile in air is the major determinant of its fate in the environment, the model was run using the minimum, median and maximum half-life values (four, 55 and 189 hours) under

summer, winter and year-round conditions. The results of the ChemCAN3 model indicate that long-term continuous release of acrylonitrile may result in very low levels in air, water, soil and sediment across the southern Ontario region (Table 3). Modelling predictions do not purport to reflect actual expected measurements in the environment, but rather indicate the broad characteristics of the fate of the substance in the environment over a large region and its general distribution between the media. The model does not address the likely impact of point source releases on a local level. Information on measured concentrations in air and water and dispersion modelling carried out at the local level are presented in Section 2.3.2.

2.3.2 *Environmental concentrations*

Since the use of acrylonitrile and resulting emissions are highly localized, concentrations of acrylonitrile are not measured on a routine basis in Canadian air monitoring programs. There are, however, some data on both measured concentrations and those predicted from dispersion modelling for ambient air and air close to industrial sites.

2.3.2.1 *Ambient air*

Maximum predicted rates of emission of acrylonitrile during any half-hour period were 0.003, 0.018 and 0.028 g/s for stacks 14, 17 and 11 m high, respectively, near the site of the largest user in Canada (a Sarnia, Ontario, plant), based on dispersion modelling conducted in 1998 as part of the requirements for the Ontario Ministry of the Environment emissions inventory (Michelin, 1999). The two most common atmospheric stability classes in dispersion modelling are class C (where inversion occurs just above stack height, and the plume is therefore forced to the ground) and class D (close to stable or neutral conditions). Predicted concentrations at 11, 25, 41 and 1432 m from the stacks under atmospheric stability class C were 6.6, 2.2, 0.4 and 0.1 µg/m³. Predicted concentrations at 11, 35, 41 and 3508 m under atmospheric stability



TABLE 3 Predicted concentrations of acrylonitrile in southern Ontario from ChemCAN3 modelling with various half-lives in air given (reported releases under Section 16 of CEPA for 1996)¹

Season	Air		Water		Soil ⁴		Sediment		Residence time (h)		
	Dist. ² (%)	Conc. ³ (µg/m ³)	Dist. (%)	Conc. (mg/L)	Dist. (%)	Conc. (µg/g)	Dist. (%)	Conc. (µg/g)	Overall	Reaction	Burial
Year-round average											
Short half-life	41.9	3.3×10^{-5}	57.9	1.1×10^{-8}	0.17	3.2×10^{-9}	0.02	5.1×10^{-9}	12.2	13.3	3.6×10^5
Long half-life	78.1	3.0×10^{-4}	21.6	1.9×10^{-8}	0.31	2.9×10^{-9}	0.007	9.2×10^{-9}	59	266	1.9×10^5
Average half-life	74.7	2.1×10^{-4}	25.0	1.6×10^{-8}	0.3	2.0×10^{-8}	0.009	7.8×10^{-9}	43.3	95.8	2.1×10^5
Winter											
Short half-life	40.9	3.3×10^{-5}	58.7	1.1×10^{-9}	0.39	7.5×10^{-9}	0.006	5.3×10^{-9}	12.5	13.7	2.8×10^5
Long half-life	76.2	3.0×10^{-4}	23.1	2.1×10^{-8}	0.72	6.7×10^{-8}	0.008	1.0×10^{-8}	60	266	1.5×10^5
Average half-life	72.8	2.1×10^{-4}	26.4	1.7×10^{-8}	0.7	4.7×10^{-8}	0.009	8.5×10^{-9}	44.3	97.2	1.6×10^5
Summer											
Short half-life	43.3	3.3×10^{-5}	56.6	9.9×10^{-9}	0.08	1.5×10^{-9}	0.02	4.8×10^{-4}	11.8	12.9	4.0×10^5
Long half-life	80.2	3.0×10^{-4}	19.7	1.7×10^{-8}	0.15	1.4×10^{-8}	0.007	8.2×10^{-9}	57.8	267	2.1×10^5
Average half-life	76.8	2.1×10^{-8}	23.1	1.4×10^{-8}	0.2	9.6×10^{-9}	0.008	7.1×10^{-9}	42.2	94.2	2.2×10^5

¹ Mackay *et al.* (1995). ChemCAN3 modelling (model available from Trent University web site). Model assumed release to air was 18.75 tonnes per year and to water 0.529 tonnes per year simultaneously.

² dist. = distribution.

³ conc. = concentration.

⁴ Soil solids runoff rate was corrected to 5.71×10^{-9} m per hour as in version 4 of the model.



TABLE 4 Maximum predicted ground-level concentrations of acrylonitrile at an Ontario industrial site¹

Stack ²	Atmospheric stability class ³	Emission rate (g/s)	Wind speed (m/s)	Distance from stack (m)	Maximum predicted concentration ⁴ (µg/m ³)
N2	C	0.003	5.0	41	0.4
N2	D	0.003	5.0	41	0.6
N4	C	0.018	5.0	11	6.6
N4	D	0.018	5.0	11	9.3
N5	C	0.028	5.0	25	2.2
N5	D	0.028	5.0	35	2.9
H5	C	0.050	2.2	1432	0.1
H5	D	0.050	2.7	3508	0.1

¹ Source: Michelin (1999).

² Stacks:

N2: NBR Reactor Opening and Vent Gas Unit (stack height = 14 m)

N4: Latex Stripping Column (stack height = 17 m)

N5: NBR Finishing Building (stack height = 11 m)

H5: East Flare (stack height = 66 m)

³ Atmospheric stability classes:

C: Inversion occurs just above stack height; plume is therefore forced to the ground.

D: Close to stable or neutral conditions.

⁴ For all stacks tested, the maximum off-property ground-level concentration was found to be 3.6 µg/m³ within 10 m of the property fence line (atmospheric stability class: D, wind speed 5.0 m/s).

class D were 9.3, 2.9, 0.6 and 0.1 µg/m³ (Table 4). This recent determination of 9.3 µg/m³ at 11 m from the stack of the largest user of acrylonitrile is considered to be the highest reliable (predicted or measured) concentration in ambient air in Canada. It is noted that, in reality, discharges from each of the stacks are not continuous over time. For example, the reactor opening occurred six times in 1998 and gave a combined loss of 0.3 g of acrylonitrile. The latex stripping column was opened five times in 1998, and the combined loss of acrylonitrile was estimated at 31 g. The maximum predicted concentration of 9.3 µg/m³ was only for five 30-minute periods during the year (Wright, 1999). In addition, testing by the Ontario ministry of the Environment on the accuracy of the model indicated that the model overpredicts the actual value by about two orders of magnitude.

The most recent sampling of air for acrylonitrile at an industrial site was at the site of nitrile-butadiene rubber production in Sarnia,

Ontario. Sampling took place on January 8, 1997 (four samples) and on January 13, 1997 (two samples), 5 m outside the company fence line, 2 m above ground and directly downwind of the stacks. Acrylonitrile was not detected in any of the six samples. The concentration in the ambient air downwind of the plant was therefore less than the detection limit of 52.9 µg/m³ (Sparks, 1997; Wright, 1998).

Acrylonitrile levels ranged from 0.12 to 0.28 µg/m³ in ambient air sampled for six days near a chemical manufacturing plant in Cobourg, Ontario, that uses acrylonitrile. Measurements from stacks of the facility in 1993 ranged from <251 to 100 763 µg/m³ (Ortech Corporation, 1994). These measurements were used in dispersion modelling to estimate the point of impingement concentration (the concentration of acrylonitrile in air at the point where the plume contacts the ground). The estimated point of impingement value was 1.62 µg/m³, or 0.5% of the Ontario Ministry of the Environment half-

hour allowable point of impingement concentration of 300 µg/m³.

At six urban stations in Ontario in 1990, concentrations of acrylonitrile in 10 of 11 samples were below the detection limit of 0.0003 µg/m³. In this study, the maximum and only detectable concentration of acrylonitrile was 1.9 µg/m³ in one sample (OMOE, 1992a).

Levels of acrylonitrile were <0.64 µg/m³ in all seven samples of ambient air taken in the industrialized area of Windsor, Ontario, in August 1991 (Ng and Karellas, 1994).

Ambient air samples were collected from downtown (n = 16) and residential (n = 7) areas of Metropolitan Toronto, Ontario, during a personal exposure pilot survey. The air samples were obtained at 1.5 m above ground for 12 consecutive hours. Acrylonitrile was not detected (detection limit 0.9 µg/m³) in any sample analysed (Bell *et al.*, 1991).

Air samples were collected within the inhalation zone by a personal unit for 1–2 hours while commuting to and from work (n = 19) and while spending the noon-hour period (n = 8) in downtown Toronto, Ontario, from June to August 1990. Acrylonitrile was not detected (detection limit 0.9 µg/m³) in any sample analysed. Acrylonitrile was also not detected (detection limit 0.9 µg/m³) in four special composite samples collected during the same study; the first two samples were collected while the participants were attending meetings, the third was collected at a barbecue, and the fourth was an overall composite sample of the afternoon and morning commutes and the overnight residential indoor air quality (Bell *et al.*, 1991).

2.3.2.2 Indoor air

Acrylonitrile was not detected in samples collected overnight (duration up to 16 hours) from June to August 1990 in four different residences near Toronto, Ontario (detection limit 0.9 µg/m³) (Bell *et al.*, 1991).

Environmental tobacco smoke appears to be a source of acrylonitrile in indoor air (CARB, 1994). Data on acrylonitrile levels in indoor air in a survey conducted in the United States indicate that there may also be unidentified non-smoking sources (CARB, 1996).

2.3.2.3 Surface water and groundwater

Acrylonitrile has been detected only in water associated with industrial effluent; it has not been detected in ambient surface water in Canada (detection limit 4.2 µg/L).

The most comprehensive sampling of acrylonitrile in effluents in Canada was that conducted in 1989–90 under Ontario's Municipal/Industrial Strategy for Abatement (MISA) Program. Acrylonitrile occurred at six of the 26 industrial sites sampled, but only five of these companies had waste streams that were discharged to the environment. Of the effluents sampled from these five companies, acrylonitrile was detected in 12 of 256 samples (OMOE, 1993). Daily concentrations ranged from 0.7 to 3941 µg/L; annual site averages ranged from 2.7 to 320 µg/L.

In the intervening decade since the widespread MISA sampling took place, there have been important changes in the organic chemical manufacturing industry. Three of the five companies did not report commercial activity involving acrylonitrile in 1997, and the two remaining companies have both added biological treatment reactors (e.g., Biox reactors) to process their waste streams before discharge to the environment. Currently, levels from both sites are below the recommended method detection limit of 4.2 µg/L (Hamdy, 1998).

In 1989–90, acrylonitrile was detected in 12 of 382 effluent samples from five of the 26 organic chemical manufacturing plants in Ontario mentioned above (OMOE, 1992b). The maximum daily concentrations ranged from 0.7 to 120 µg/L. The means at different sites ranged from 0.4 to 20 µg/L. The maximum concentration occurred in



one sample of clarifier effluent discharged to Lake Ontario at Cobourg. At this site, acrylonitrile was detected in two of 50 samples (mean 4 µg/L). In the same study, intake water (i.e., ambient water) at the 26 Ontario organic chemical manufacturing plants did not contain detectable amounts of acrylonitrile in 207 samples (detection limit 4.2 µg/L) sampled over 12 months in 1989–90 under the MISA Program (OMOE, 1992b).

In a large study of Canadian municipal water supplies in 1982–83, acrylonitrile was not detected in any of the 42 raw (and 42 treated) water samples from nine municipalities on the Great Lakes (detection limit 5 µg/L) (Otson, 1987). Acrylonitrile was not detected (detection limit 2.1 µg/L) in groundwater samples downgradient of a wastewater treatment pond at an Ontario chemical industry site (Environment Canada, 1997b).

2.3.2.4 Drinking water

Acrylonitrile was monitored in municipal water supplies at 150 locations in Newfoundland, Nova Scotia, New Brunswick and Prince Edward Island over the period 1985–1988. It was detected at a trace concentration (0.7 µg/L) in only one sample of treated water in Nova Scotia in June 1988 (detection limit 0.5–1.0 µg/L) (Environment Canada, 1989a,b,c,d).

Acrylonitrile was not identified in treated (or raw) water at facilities near the Great Lakes in 1982–83 ($n = 42$; detection limit 5 µg/L during the initial sampling and <1 µg/L during later sampling after the technique was modified) over three sampling periods (Otson, 1987). Analyses were by gas chromatography/mass spectrometry.

No other Canadian data were identified.

2.3.2.5 Soil and sediment

Significant concentrations of acrylonitrile are not expected in Canadian soil or sediment based on the release patterns and the environmental partitioning, behaviour and fate of the substance (see Section 2.3.1).

Significant levels of acrylonitrile have not been detected in Canadian soils. Levels in 18 soil samples at an Alberta chemical blending plant were below the detection limit of 0.4 ng/g (Dinwoodie, 1993). Significant quantities of acrylonitrile in soil at a LaSalle, Quebec, chemical industrial site have not been identified since regular monitoring began at the site in 1992 (Environment Canada, 1997b).

Data on levels of acrylonitrile in Canadian sediment have not been identified.

2.3.2.6 Biota

Information on the levels of acrylonitrile in biota in Canada was not identified.

2.3.2.7 Food

Acrylonitrile-based polymers are not used in Canada to any great extent in direct food contact application. If used, they would be primarily applied as the outside layer of laminated structures (Salminen, 1993, 1996). Past analysis of food products indicates that residual acrylonitrile from acrylonitrile-based polymers, if used in this manner, could conceivably migrate into foods, although at low concentrations (Page and Charbonneau, 1983; Page, 1995).

Regulations of the *Food and Drugs Act* prohibit the sale of food containing acrylonitrile as determined by official method FO-41 (Determination of Acrylonitrile in Food). The detection limit of that method is approximately 15 ng/g (Salminen, 1999).

Page and Charbonneau (1983) measured concentrations of acrylonitrile in five types of food packaged in acrylonitrile-based plastic containers, purchased from several stores in Ottawa, Ontario. Average concentrations of acrylonitrile (measured in three duplicate samples of each food type by gas chromatography with a nitrogen-phosphorus selective detector) ranged from 8.4 to 38.1 ng/g (see footnote 12 in Table 9, Section 3.3.1).

A survey of food packed in acrylonitrile-based plastics, containing up to 2.6 mg acrylonitrile/kg, was conducted in Ottawa, Ontario. The samples represented five food companies and a variety of luncheon meats, including mock chicken, ham, salami, pizza loaf and several types of bologna. Acrylonitrile was not identified (detection limit 2 ng/g). Analyses were by gas chromatography, with nitrogen-phosphorus selective detection (Page and Charbonneau, 1985).

No other Canadian data were identified, and limited data from other countries are inadequate to serve as the basis for characterization of exposure through foodstuffs.

2.3.2.8 Multimedia study

In a multimedia study carried out for Health Canada (Conor Pacific Environmental and Maxxam Ltd., 1998), exposure to several volatile organic chemicals, including acrylonitrile, was measured for 50 participants across Canada. Thirty-five participants were randomly selected from the Greater Toronto Area in Ontario, six participants from Liverpool, Nova Scotia, and nine from Edmonton, Alberta. For each participant, samples of drinking water, beverages and indoor, outdoor and personal air were collected over a 24-hour period. Acrylonitrile was not detected in air (detection limit 1.36 µg/m³), water (detection limit 0.7 ng/mL), beverages (detection limit 1.8 ng/mL) or food (detection limit 0.5 ng/g).

2.4 Effects characterization

2.4.1 Ecotoxicology

The toxicity of acrylonitrile to aquatic organisms has been studied in a wide range of organisms, while a smaller data set exists on the toxicity of acrylonitrile to terrestrial organisms. A brief summary of effects is presented below, with an emphasis on the most sensitive endpoints for aquatic and terrestrial organisms. More extensive descriptions of environmental effects are provided in several reviews (U.S. EPA, 1980, 1985; WHO, 1983; EC, 1998) and in the environmental supporting documentation (Environment Canada, 1998).

2.4.1.1 Terrestrial organisms

While no data on the toxicity of acrylonitrile to terrestrial vertebrate wildlife were found in the literature, data are available from mammalian toxicology studies (Section 2.4.3). No data were found on avian toxicity. The focus of this section is on studies of insect species exposed to acrylonitrile in air.

In nine studies conducted on 13 insect species — including pulse beetle, rice weevil, lesser grain borer, granary weevil, saw-toothed grain beetle, red flour beetle, confused flour beetle, Mediterranean fruit fly, Oriental fruit fly and honey bee — acute and chronic exposure via fumigation with acrylonitrile affected survival, reproduction and enzyme activity. These studies are presented in the environmental supporting documentation (Environment Canada, 1998). LC₅₀s in insects ranged from 0.107 to 36.7 mg/L air (1.07×10^5 – 3.67×10^7 µg/m³). In 14 of 17 studies on 11 species, the 24-hour LC₅₀ was ≤5 mg/L air ($\leq 5 \times 10^6$ µg/m³).

The most sensitive effect on growth, survival or reproduction in insects exposed to acrylonitrile via the atmosphere was the effect of fumigation on the one-day-old eggs of the pulse beetle (*Callosobruchus chinensis*) (Adu and Muthu, 1985). The LC₅₀ for eggs exposed to a



constant concentration of the fumigant for 24 hours and examined for survival up to 30 days post-fumigation was 0.107 mg/L air ($1.07 \times 10^5 \mu\text{g}/\text{m}^3$) (95% confidence limits 0.094–0.122 mg/L air) (Adu and Muthu, 1985).

Rajendran and Muthu (1981a) reported that for adults and pupae of rice weevil (*Sitophilus oryzae* L.) exposed to the LC_{50} of 0.40 mg/L air ($4.0 \times 10^5 \mu\text{g}/\text{m}^3$) for eight hours, there was a 50% decrease in the number of progeny.

Of the knockdown times reported for insects, the most sensitive organisms were *Sitophilus oryzae* L. adults, for which exposure to 1–1.5 mg/L air ($1\text{--}1.5 \times 10^6 \mu\text{g}/\text{m}^3$) for four hours resulted in 100% mortality (Rajendran and Muthu, 1977).

Of phosphorylase, trehalase and acetylcholinesterase enzymes involved in carbohydrate and energy metabolism, phosphorylase was the most susceptible and diminished to below detectable activity (100% decrease) at a concentration of 1.05 mg/L air ($1.05 \times 10^6 \mu\text{g}/\text{m}^3$) in adult red flour beetle (*Tribolium castaneum*), which survived exposure to the LC_{50} of 0.79 mg/L ($7.9 \times 10^5 \mu\text{g}/\text{m}^3$) (Rajendran and Muthu, 1981b).

2.4.1.2 Aquatic organisms

The data set for acrylonitrile includes a wide range of information on short- and long-term toxicity in 34 species of fish, amphibians, aquatic invertebrates and algae, although none complies totally with the requirements of OECD or similar test guideline protocols.

The majority of studies did not take into account the volatility of acrylonitrile. Those tests in which concentrations were not measured or could not be adequately adjusted, as explained below, are not considered valid for risk assessment purposes. Below, a brief summary is presented of the key studies carried out in general

compliance with current OECD testing protocols and appropriate for risk assessment purposes.

Due to potential loss from water via volatilization and biodegradation, concentrations of acrylonitrile should be measured in static or static-renewal tests. Alternatively, for flow-through tests with nominal concentrations, there should be roughly five turnovers per day (Henderson *et al.*, 1961; Bailey *et al.*, 1985; Nabholz, 1998). Tests with measured concentrations or flow-through tests with this rate of turnover are considered primary evidence for the assessment.

Sabourin (1987) determined the ratio of flow-through to static concentrations at the 96-hour period to be 0.23. Therefore, studies with 96-hour endpoints can be adjusted by multiplying the reported concentration by 0.23, although the data provided by these studies are considered secondary evidence. Tests done under static conditions or those with nominal concentrations only at a time period different from 96 hours are considered as supporting evidence only.

Of the freshwater studies, there are five studies on five fish species and one study with an amphibian that are considered to provide primary data (Henderson *et al.*, 1961; Sloof, 1979; ABCL, 1980a; Bailey *et al.*, 1985; Zhang *et al.*, 1996). In addition to these, there is secondary evidence (adjusted concentrations) from studies with six fish, seven invertebrate and one plant species. In these studies, a variety of endpoints was examined, including survival, growth, respiration and mobility at exposure durations ranging from 24 to 840 hours (1–35 days). The remainder of the studies were considered as providing supporting evidence.

Based on the primary and secondary studies, acrylonitrile is moderately toxic to fish and amphibians, with the 96-hour LC_{50} s for freshwater fish generally lying in the range of 10–20 mg/L (nominal) (Henderson *et al.*, 1961; ABCL, 1980b; Zhang *et al.*, 1996). Toxicity to



acrylonitrile increases with increasing exposure duration. Reported 48-hour LC_{50} values lie between 14.3 and 33.5 mg/L. At 840 hours, the LC_{50} for fathead minnow (*Pimephales promelas*) was 0.89 mg/L (ABCL, 1980a).

Based on the primary evidence, the most sensitive aquatic endpoint was that following chronic exposure of the frog, *Bufo bufo gargarizans*, in its early life stage (Zhang *et al.*, 1996). Three-day-old tadpoles were exposed for 28 days in a flow-through system with four turnovers per day. The most sensitive endpoint was foreleg growth, where the lower and upper chronic limits around the 28-day EC_{50} were 0.4 mg/L and 0.8 mg/L, respectively. The 96-hour and 48-hour EC_{50} for immobility were 11.59 mg/L and 14.22 mg/L, respectively.

The effect of acrylonitrile on the growth (length and wet weight) and mortality of the early life stage (<18-hour-old eggs) of the fathead minnow (ABCL, 1980a) in a flow-through system with more than 5.5 turnovers per day has been examined. Mean measured concentrations were 98% of nominal. The most sensitive endpoint in the study was the 840-hour (35-day) Lowest-Observed-Effect Concentration (LOEC) for weight (20% reduction in wet weight) at 0.44 mg/L; the corresponding No-Observed-Effect Concentration (NOEC) was 0.34 mg/L. For mortality, the 840-hour NOEC (LC_{15}) was 0.44 mg/L and the LOEC (LC_{46}) was 0.86 mg/L.

Henderson *et al.* (1961) reported mortality of fathead minnow exposed to acrylonitrile in a flow-through system in which solutions were renewed every 100 minutes. Test durations were 24, 48, 72 and 96 hours and five, 10, 15, 20, 25 and 30 days (720 hours). Effects ranged from the 24-hour LC_{50} of 33.5 mg/L through decreasing concentrations to the most sensitive endpoint in the study, the 720-hour LC_{50} at 2.6 mg/L.

Sloof (1979) reported the impact of acrylonitrile as increased respiration in rainbow

trout within 24 hours of exposure to 5 mg/L in a flow-through system with continuous injection.

Bailey *et al.* (1985) examined the effect of acrylonitrile on the mortality of bluegill in a flow-through system with measured concentrations. The most sensitive endpoint in the study was the 96-hour LC_{50} at 9.3 mg/L.

In addition to primary studies with adequate flow-through or measured concentrations, 96-hour LC_{50} s in six species of fish in studies conducted with static/static-renewal nominal concentrations can be adjusted by the factor 0.23 (Sabourin, 1987; Nabholz, 1998). Using this method, the adjusted 96-hour LC_{50} s ranged from 1.18 to 5.4 mg/L. The lowest 96-hour LC_{50} of 1.18 mg/L was that for grass carp (*Ctenopharyngodon idella*) (Zhang *et al.*, 1996).

It is noted that for vertebrate species, the most sensitive endpoints were observed in primary studies. That is, overall, the most sensitive endpoint for aquatic vertebrates was the lower chronic limit around the EC_{50} of 0.4 mg/L in the frog, *Bufo bufo gargarizans*, determined by Zhang *et al.* (1996) in a flow-through system with measured concentrations.

Of the studies on 14 invertebrate and one freshwater plant species, 96-hour tests in seven invertebrate and one plant species can be adjusted and considered to provide secondary evidence. Based on the secondary information, which must be interpreted with caution, it appears that, overall, invertebrates are more sensitive to acrylonitrile than vertebrates, although this was not discussed further by the authors. Effects in invertebrates range from the most sensitive, the 96-hour LC_{80} at 0.16 mg/L (adjusted concentration 0.04 mg/L) in the pond snail (*Lymnaea stagnalis*) (Erben and Beader, 1983), to the 96-hour immobility EC_{50} at 17.94 mg/L (adjusted concentration 4.1 mg/L) in the common stream snail, *Lymnaea plicatula* (Zhang *et al.*, 1996).



More sensitive endpoints have been reported for invertebrates but are considered supporting information only, not primary or secondary data, since the tests were based on nominal concentrations under static conditions for exposure durations other than 96 hours. The remainder are considered to provide supporting information only, since there was no replication of doses or there were other confounding factors (e.g., lack of aeration).

In the one study on freshwater aquatic plants, the effect of a 96-hour exposure to acrylonitrile on plant growth was examined in duckweed (*Lemna minor*) (Zhang *et al.*, 1996). Solutions were renewed every 24 hours, with five test concentrations, 10 fronds per concentration and four replicates. The 96-hour growth inhibition EC₅₀ was 6.25 mg/L (adjusted EC₅₀ is 1.44 mg/L).

2.4.1.3 Mode of action

The toxicity of acrylonitrile to environmental organisms is believed to result largely from direct effects of the acrylonitrile itself or other organic metabolites, such as hydrogen peroxide or an epoxide (Heald, 1980). The blocking of important enzymes containing sulphhydryl groups by cyanoethylation has been suggested as a possible mechanism for acrylonitrile toxicity (Kayser *et al.*, 1982). The liberation of free cyanide was originally thought to be responsible for the toxicity of acrylonitrile, since cyanide easily diffuses to all body tissues and rapidly inhibits specific enzymes responsible for respiration on the cellular level, stopping the utilization of molecular oxygen by cells. The signs of acrylonitrile poisoning are typical of hydrogen cyanide poisoning, but with a slight delay of the onset of symptoms (Patterson *et al.*, 1976).

2.4.1.4 Microbial populations

There is considerable evidence of the effectiveness of acclimated soil or sludge microorganisms in degrading acrylonitrile in industrial wastewater treatment systems (e.g., Biox reactors). Wyatt and Knowles (1995a,b)

demonstrated that complex mixtures of microorganisms in combination with different dilution rates and a combination of batch and continuous culture can be used to mineralize (degrade) acrylonitrile, acrylamide, acetic acid, cyanopyridine and succinonitrile, as well as more recalcitrant compounds (e.g., maleimide, fumaronitrile and acrolein), to carbon dioxide, ammonia and biomass.

Generally, concentrations of acrylonitrile up to 5000 mg/L do not appear to be toxic to bacteria, since they are readily degraded by *Corynebacterium boffmanii* and *Arthrobacter flavescens* (Wenzhong *et al.*, 1991), *Arthrobacter* sp. (Narayanasamy *et al.*, 1990), *Acinobacter* sp. (Finnegan *et al.*, 1991) and an assemblage of acclimated anaerobic microorganisms (Mills and Stack, 1955). *Nocardia rhodochrous* can degrade acrylonitrile in a more limited manner, based on its use as a nitrogen rather than carbon source (DiGeronimo and Antoine, 1976).

Kincannon *et al.* (1983) reported almost complete biodegradation with 99.9% and 99.1% removal of acrylonitrile after eight hours in batch reactors and two days in complete mixture activated sludge, respectively. Initial concentrations of acrylonitrile were 110 and 152 mg/L, respectively; effluent concentrations post-treatment were 1.0 mg/L after eight hours and <0.05 mg/L after two days, respectively. In the batch reactor, biodegradation accounted for 75% and stripping accounted for 25% of acrylonitrile removal. In the activated sludge system, biodegradation was responsible for 100% of the removal.

Tabak *et al.* (1980) reported 100% biodegradation within seven days in a static screening flask test method when microbial inoculum from a sewage treatment plant was mixed with 5 and 10 mg acrylonitrile/L.

2.4.2 Abiotic atmospheric effects

Worst-case calculations were made to determine whether acrylonitrile has the potential to



contribute to depletion of stratospheric ozone, ground-level ozone formation or climate change (Bunce, 1996).

The Ozone Depletion Potential (ODP) was calculated to be 0, since acrylonitrile does not contain chlorine or bromine atoms.

The Photochemical Ozone Creation Potential (POCP) was estimated to be 25 (relative to the value of an equal mass of the reference compound ethene, which has a POCP of 100), based on the following formula:

$$\text{POCP} = (k_{\text{ACN}}/k_{\text{ethene}}) \times (M_{\text{ethene}}/M_{\text{ACN}}) \times 100$$

where:

- k_{ACN} is the rate constant for the reaction of acrylonitrile with OH radicals (4×10^{-12} cm³/mol per second),
- k_{ethene} is the rate constant for the reaction of ethene with OH radicals (8.5×10^{-12} cm³/mol per second),
- M_{ethene} is the molecular weight of ethene (28.1 g/mol), and
- M_{ACN} is the molecular weight of acrylonitrile (53.1 g/mol).

The Global Warming Potential (GWP) was calculated to be 4.3×10^{-4} (relative to the reference compound CFC-11, which has a GWP of 1), based on the following formula:

$$\text{GWP} = (t_{\text{ACN}}/t_{\text{CFC-11}}) \times (M_{\text{CFC-11}}/M_{\text{ACN}}) \times (S_{\text{ACN}}/S_{\text{CFC-11}})$$

where:

- t_{ACN} is the lifetime of acrylonitrile (0.0099 years),
- $t_{\text{CFC-11}}$ is the lifetime of CFC-11 (60 years),
- $M_{\text{CFC-11}}$ is the molecular weight of CFC-11 (137.5 g/mol),
- M_{ACN} is the molecular weight of acrylonitrile (53.1 g/mol),
- S_{ACN} is the infrared absorption strength of acrylonitrile (2389/cm² per atmosphere, default), and
- $S_{\text{CFC-11}}$ is the infrared absorption strength of CFC-11 (2389/cm² per atmosphere).

Actual contribution to formation of photochemical ozone depends on both reactivity and concentration in an area or region. The POCP value indicates a moderate potential for photochemical ozone formation. However, acrylonitrile is released from only a few point sources in Canada, and, importantly, levels of acrylonitrile in ambient urban air have generally been below detection levels of 0.9 µg/m³ (Bell *et al.*, 1991; OMOE, 1992a; Ng and Karellas, 1994), which indicates that acrylonitrile is likely to be only a very minor contributor to photochemical ozone formation. The absence of chlorine and bromine atoms in the molecule means that the potential contributions of acrylonitrile to stratospheric ozone depletion and climate change are both negligible.

2.4.3 Experimental animals and in vitro

2.4.3.1 Acute toxicity

The acute toxicity of acrylonitrile is relatively high, with four-hour LC₅₀s ranging from 300 to 900 mg/m³ (Knobloch *et al.*, 1971, 1972) and LD₅₀s ranging from 25 to 186 mg/kg-bw (Maltoni *et al.*, 1987). Signs of acute toxicity include respiratory tract irritation and central nervous system dysfunction, resembling cyanide poisoning. Superficial necrosis of the liver and hemorrhagic gastritis of the forestomach have also been observed following acute exposure.

Acrylonitrile-induced neurotoxicity following acute exposure has been described as a two-phase phenomenon. The first phase, which occurs shortly after exposure and is consistent with cholinergic overstimulation, has been likened to toxicity caused by acetylcholinesterase inhibition. Cholinomimetic signs in rats exposed to acrylonitrile have included vasodilation, salivation, lacrimation, diarrhea and gastric secretion. These effects are maximal within one hour of dosing. The second phase of toxicity is delayed by four or more hours and includes signs of central nervous system disturbance, such as trembling, ataxia, convulsions and respiratory failure (TERA, 1997). The acetylcholine-like



toxicity is thought to be caused by acrylonitrile, while the central nervous system depression is caused by cyanide (the latter does not cause acetylcholine-like effects).

2.4.3.2 Short-term toxicity

Available short-term inhalation studies are restricted to a few investigations involving administration of single dose levels and, for one, examination of clinical signs only. Exposure response has not, therefore, been well characterized. There were effects on biochemical parameters, clinical signs and body weight, although no histopathological effects on principal organs, following exposure of rats to 280 mg/m³ (Gut *et al.*, 1984, 1985).

In short-term studies by the oral route, effects on the liver, adrenal and gastric mucosa have been observed, with effects on the gastric mucosa occurring at lowest doses in all studies in which they were examined. Effects on the adrenal cortex observed in short-term repeated-dose toxicity studies from one laboratory have not been noted in longer-term investigations in animals exposed to higher concentrations. In investigations by Szabo *et al.* (1984), effects on the non-protein sulphydryl in gastric mucosa and hyperplasia in the adrenal cortex have been reported at levels as low as 2 mg/kg-bw per day administered by drinking water and gavage, respectively, for 60 days. Effects on hepatic glutathione were also observed by these authors at similar doses administered by gavage but not in drinking water (2.8 mg/kg-bw per day for 21 days), although Silver *et al.* (1982) noted only slight biochemical effects but no histopathological effects in the liver at doses up to 70 mg/kg-bw per day (drinking water, 21 days). Significant increases in proliferation in the forestomach but no changes in the liver or glandular stomach have been observed at 11.7 mg/kg-bw (Ghanayem *et al.*, 1995, 1997).

Effects of pretreatment with inducers of the mixed-function oxidase system or antioxidants on toxicity in short-term studies have been

consistent with metabolism to the epoxide 2-cyanoethylene oxide being the putatively toxic metabolic pathway.

2.4.3.3 Subchronic toxicity

Results of identified subchronic toxicity studies are limited to an early 13-week inhalation study in rats and dogs that has not been validated (IBT, 1976) and a preliminary brief report of the results of a 13-week gavage study in mice (NTP, 1996). Lack of validation and inadequate detail limit the utility of these studies for hazard evaluation or characterization of dose-response.

2.4.3.4 Chronic toxicity and carcinogenicity

In the descriptions of the following studies, tumour types are reported as described by the authors. However, it should be noted that the histopathology of the tumours may be unclear (see footnote 2 on page 28).

2.4.3.4.1 Inhalation

Quast *et al.* (1980b) conducted a bioassay in which Sprague-Dawley (Spartan substrain) rats (100 per sex per group) were exposed by inhalation to average concentrations of 0, 20 or 80 ppm (0, 44 or 176 mg/m³) of acrylonitrile six hours per day, five days per week, for two years. Non-neoplastic histopathological changes related to the treatment were found in the nasal turbinates and the central nervous system of both males and females. In the brain, the changes were characterized by focal gliosis and perivascular cuffing at the highest concentration. The inflammatory changes in the nasal turbinates were considered to be due to acrylonitrile irritation. These effects were not observed at 20 ppm, and this dose is considered as a No-Observed-Effect Level (NOEL). An early onset of chronic renal disease in the 20 ppm group was observed upon histopathological examination. The renal effect was not apparent at the high dose because of early mortality. The chronic renal disease was considered a secondary effect caused by increased water intake and is commonly observed in older

rats of this strain. A pair-fed control study was not performed, and further clinical analyses are required to understand the chronic renal effect.

In both sexes, there was an increase in the combined incidence of malignant and benign tumours of the brain and spinal cord (Table 5) and benign and malignant tumours of the Zymbal gland at the high dose. In males, the combined incidence of benign and malignant tumours of the small intestine and the tongue was increased at the high dose. The incidence of adenocarcinoma of the mammary gland was increased at the high dose in females (Quast *et al.*, 1980b).

In an earlier study, Maltoni *et al.* (1977) exposed Sprague-Dawley rats to 0, 5, 10, 20 or 40 ppm (0, 11, 22, 44 and 88 mg/m³) acrylonitrile for four hours per day, five days per week, for 52 weeks. Increases in the incidence of tumours were observed in the mammary gland in males and females, in the forestomach in males and in the skin in females. The authors concluded that because of the lack of a dose-related response in tumour incidence, the results could be evaluated as “borderline carcinogenic effects.” Low concentrations of acrylonitrile, short exposure time and small group size (n = 30) limit the sensitivity of the study.

In a follow-up study by Maltoni *et al.* (1987, 1988), 54 female Sprague-Dawley rat breeders and male and female offspring were administered 60 ppm (132 mg/m³) by inhalation for 4–7 hours per day, five days per week. The breeders and some of the offspring were exposed for 104 weeks; the remaining offspring were exposed for 15 weeks only. The non-neoplastic treatment-related changes included slight, but significant, increases in the incidence of encephalic glial cell hyperplasia and dysplasia in offspring exposed for 104 weeks. A significantly increased incidence of various tumours was observed in the exposed offspring, both males and females. Tumours with increased incidence included mammary gland tumours in females, Zymbal gland tumours in males, extrahepatic angiosarcoma in both males and females,

hepatomas in males and encephalic gliomas in both males and females. The most pronounced acrylonitrile-related tumour was encephalic glioma (in control and exposure groups, respectively: 2/158 and 11/67 in males; 2/149 and 10/54 in females) in the offspring treated with acrylonitrile for 104 weeks.

2.4.3.4.2 Drinking water

Quast *et al.* (1980a) administered acrylonitrile in drinking water to groups of 48 Sprague-Dawley rats of each sex (n = 80 for controls) for two years at dose levels of 0, 35, 100 or 300 ppm (based upon data for water consumption and body weight, the authors reported intakes of 0, 3.4, 8.5 or 21.2 mg/kg-bw per day for males and 0, 4.4, 10.8 or 25.0 mg/kg-bw per day for females). There was treatment-related hyperplasia and hyperkeratosis of the squamous epithelium of the forestomach in females at all dose levels and in males at 100 and 300 ppm. In the brain of females, there was a significantly increased incidence of focal gliosis and perivascular cuffing in the 35 and 100 ppm groups. Other changes were not considered to be directly treatment related, but, rather, secondary to decreased food and water consumption, although supporting information from pair-fed controls was not available.

Sacrifice and necropsy were carried out on moribund animals. Tumours (including astrocytomas) were observed as early as 7–12 months in females in the high-dose group; in other dose groups, they appeared initially in the 13- to 18-month period. In both males and females, the combined incidence of benign and malignant tumours of the brain and spinal cord was significantly increased in a dose-related manner at all levels of exposure (Table 6). The incidence of carcinoma of the Zymbal gland was significantly increased at the highest dose in males and at the two highest doses in females (Quast *et al.*, 1980a).

In a study conducted by Bio/Dynamics Inc. (1980a), groups of 100 male and 100 female





TABLE 5 Quantitative estimates of carcinogenic potency, derived for tumour incidences reported in an inhalation bioassay with Sprague-Dawley rats¹

	Animal data		Parameter estimates	Human equivalent values
	Dose	Incidence		
Males: Brain and/or spinal cord, benign and malignant; excluding animals dying or sacrificed before 6 months	control 44 mg/m ³ (20 ppm) 176 mg/m ³ (80 ppm)	0/98 4/97 (4 astrocytoma) 22/98 (15 astrocytoma, 7 benign)	TC ₀₅ ² = 52 mg/m ³ 95% LCL ³ = 29 mg/m ³ Chi-square = 0.73 degrees of freedom = 1 p-value = 1.00	TC ₀₅ ⁴ = 8.9 mg/m ³ 95% LCL = 5 mg/m ³
Males: Brain and/or spinal cord, benign and malignant; excluding animals dying or sacrificed before 10 months (TERA, 1997)	control 44 mg/m ³ (20 ppm) 176 mg/m ³ (80 ppm)	0/97 ⁵ 4/93 ⁵ 15/83 ⁵	TC ₀₅ ² = 51 mg/m ³ 95% LCL = 33 mg/m ³ Chi-square = 0.00 degrees of freedom = 1 p-value = 1.00	TC ₀₅ ⁴ = 8.7 mg/m ³ 95% LCL = 5.6 mg/m ³
Females: Brain and/or spinal cord, benign and malignant; excluding animals dying or sacrificed before 6 months	control 44 mg/m ³ (20 ppm) 176 mg/m ³ (80 ppm)	0/99 8/100 (4 astrocytoma, 4 benign) 21/99 (17 astrocytoma, 4 benign)	TC ₀₅ ² = 35 mg/m ³ 95% LCL = 26 mg/m ³ Chi-square = 0.65 degrees of freedom = 2 p-value = 0.72	TC ₀₅ ⁴ = 6 mg/m ³ 95% LCL = 4.5 mg/m ³
Females: Brain and/or spinal cord, benign and malignant; excluding animals dying or sacrificed before 6 months (TERA, 1997)	control 44 mg/m ³ (20 ppm) 176 mg/m ³ (80 ppm)	0/99 ⁵ 8/99 ⁵ 21/99 ⁵	TC ₀₅ ² = 35 mg/m ³ 95% LCL = 26 mg/m ³ Chi-square = 0.69 degrees of freedom = 2 p-value = 0.71	TC ₀₅ ⁴ = 5.9 mg/m ³ 95% LCL = 4.4 mg/m ³

¹ Quast *et al.* (1980b).

² For this study, the resulting TC₀₅s were multiplied by (6 hours per day/24 hours per day) × (5 days per week/7 days per week) to adjust for intermittent to continuous exposure.

³ 95% LCL = lower 95% confidence limit.

⁴ To scale from rats to humans, the TC₀₅s were multiplied by (0.11 m³ per day/0.35 kg-bw) × (70 kg-bw/23 m³ per day), where 0.11 m³ per day is the breathing rate of a rat, 0.35 kg-bw is the body weight of a rat, 23 m³ per day is the breathing rate of a human and 70 kg-bw is the body weight of a human.

⁵ These incidence data could not be verified in an examination of mortality data in Quast *et al.* (1980b).

TABLE 6 Quantitative estimates of carcinogenic potency, derived for tumour incidences reported in a drinking water bioassay with Sprague-Dawley rats¹

Animal data			Parameter estimates	Human equivalent values
	Dose	Incidence		
Males: Brain and/or spinal cord, benign and malignant; excluding animals dying or sacrificed before 6 months	control	1/79 (1 astrocytoma)	TD ₀₅ = 0.84 mg/kg-bw per day	TD ₀₅ = 0.84 mg/kg-bw per day
	3.4 mg/kg-bw per day (35 ppm)	12/47 (8 astrocytoma, 4 benign)	95% LCL ² = 0.68 mg/kg-bw per day	95% LCL = 0.68 mg/kg-bw per day
	8.5 mg/kg-bw per day (100 ppm)	23/47 (19 astrocytoma, 4 benign)	Chi-square = 3.68	
	21.2 mg/kg-bw per day (300 ppm)	31/48 (23 astrocytoma, 8 benign)	degrees of freedom = 2 p-value = 0.16	
Females: Brain and/or spinal cord, benign and malignant; excluding animals dying or sacrificed before 6 months	control	1/80 (1 astrocytoma)	Parameter estimates excluding high-dose group:	TD ₀₅ ² = 0.56 mg/kg-bw per day
	4.4 mg/kg-bw per day (35 ppm)	22/48 (17 astrocytoma, 5 benign)	TD ₀₅ ³ = 0.56 mg/kg-bw per day	95% LCL = 0.44 mg/kg-bw per day
	10.8 mg/kg-bw per day (100 ppm)	26/48 (22 astrocytoma, 4 benign)	95% LCL = 0.44 mg/kg-bw per day	
	[25.0 mg/kg-bw per day (300 ppm)]	[31/47 (24 astrocytoma, 7 benign)]	Chi-square = 4.77 degrees of freedom = 1 p-value = 0.08	

¹ Quast *et al.* (1980a).² 95% LCL = lower 95% confidence limit.³ Excludes high-dose group. A dose-related increase in mortality was observed for females, resulting in a plateau in the dose-response function and lack of fit of the model to brain/spinal tumours. However, when the model was refit excluding the highest dose group, this lack of fit was no longer apparent.

Sprague-Dawley rats were administered acrylonitrile at dose levels of 0, 1 or 100 ppm in drinking water (0, 0.09 and 8.0 mg/kg-bw per day for males and 0, 0.15 and 10.7 mg/kg-bw per day for females, based upon body weight and water consumption¹) for 19 and 22 months. The mean absolute and relative weights of the kidneys in the high-dose females were increased (not always significantly) at all sacrifice intervals. There was an increase in testicular weight to body weight ratio in the high-dose males at the 12- and 18-month sacrifices and at the end of the experiment. No such changes were evident at 1 ppm. This concentration can be considered as a NOEL and 100 ppm as a Lowest-Observed-Adverse-Effect Level (LOAEL) for non-neoplastic effects.

In high-dose males, increased incidences of squamous cell carcinoma of the stomach and carcinoma of the Zymbal gland were observed at the 12-month sacrifice. In high-dose females, astrocytoma of the brain and carcinoma of the Zymbal gland were increased at 12 months. At the high dose, there was an increased cumulative incidence of astrocytoma of the brain, carcinoma of the Zymbal gland and papilloma/carcinoma of the stomach in both males and females. In females, the incidence of astrocytoma of the spinal cord was significantly increased at the high dose. The spinal cord tissue of the males was not examined, although overall histological examination was rather extensive (Bio/Dynamics Inc., 1980a).

A bioassay in Fischer 344 rats exposed to acrylonitrile in drinking water was also conducted by Bio/Dynamics Inc. (1980b). Rats (200 per sex, control group; 100 per sex per dose group) were administered acrylonitrile in drinking water for approximately two years. The dose levels were 0, 1, 3, 10, 30 and 100 ppm acrylonitrile (0, 0.1, 0.3, 0.8, 2.5 and 8.4 mg/kg-bw per day for males and 0, 0.1, 0.4, 1.3, 3.7 and 10.9 mg/kg-bw per day for females, as reported by U.S. EPA, 1985).

Serial sacrifices were conducted at 6, 12 and 18 months (20 per sex per control group and 10 per sex per treated group). To ensure at least 10 rats per sex per group for histopathological evaluation, all females were sacrificed at 23 months, owing to low survival. The males were continued on test until the 26th month.

The consistently elevated mortality in the highest dose groups was a consequence of tumours. Other changes observed primarily in the highest exposure group included consistently lower body weights in females and males and consistent reduction in hemoglobin, hematocrit and erythrocyte counts in females throughout the study. A decrease in water intake was also observed, while food consumption was comparable for all groups (Bio/Dynamics Inc., 1980b).

An increase in the relative organ weights of the liver and kidney was noted at the highest dose levels; however, the mean absolute weights for these organs were either comparable to those in the controls or only slightly increased. At terminal sacrifice, the absolute liver and heart weights were elevated in females exposed to 30 ppm, but body weight was comparable to that in controls. A LOAEL of 100 ppm and a Lowest-Observed-Effect Level (LOEL) of 30 ppm for non-neoplastic effects can be designated. In both males and females, the incidence of astrocytoma of the brain (Table 7) and the incidence of carcinoma of the Zymbal gland were significantly increased at the two highest dose levels (Bio/Dynamics Inc., 1980b).

In a multigeneration reproductive study, 0, 100 or 500 ppm acrylonitrile (0, 14 or 70 mg/kg-bw per day; Health Canada, 1994) was administered in drinking water to breeders (F₀) and the offspring of Charles River Sprague-Dawley rats (Litton Bionetics Inc., 1980). Rats of the F_{1b} generation in the high-exposure

¹ Values presented here are the means of 23 (males) and 20 (females) intakes presented by Bio/Dynamics Inc. (1980a).

TABLE 7 Quantitative estimates of carcinogenic potency, derived for tumour incidences reported in a drinking water bioassay with F344 rats¹

Animal data			Parameter estimates	Human equivalent values
	Dose	Incidence		
Males: Nervous system, combined incidence, astrocytoma and focal gliosis, excluding animals dying or sacrificed before 6 months	control	5/182 (3 astrocytoma, 2 benign)	TD ₀₅ ² = 1.8 mg/kg-bw per day	TD ₀₅ = 2.3 mg/kg-bw per day
	0.08 mg/kg-bw per day (1 ppm)	2/90 (2 astrocytoma)	95% LCL ³ = 1.2 mg/kg-bw per day	95% LCL = 1.6 mg/kg-bw per day
	0.25 mg/kg-bw per day (3 ppm)	1/89 (1 astrocytoma)		
	0.84 mg/kg-bw per day (10 ppm)	2/90 (2 astrocytoma)	Chi-square = 3.0	
	2.49 mg/kg-bw per day (30 ppm)	10/89 (10 astrocytoma)	degrees of freedom = 3	
	8.37 mg/kg-bw per day (100 ppm)	22/90 (21 astrocytoma, 1 benign)	p-value = 0.39	
Females: Brain and/or spinal cord, benign and malignant; excluding animals dying or sacrificed before 6 months	control	1/178 (1 astrocytoma)	TD ₀₅ = 2.3 mg/kg-bw per day	TD ₀₅ = 2.3 mg/kg-bw per day
	0.10 mg/kg-bw per day (1 ppm)	1/90 (1 astrocytoma)	95% LCL = 1.4 mg/kg-bw per day	95% LCL = 1.4 mg/kg-bw per day
	0.40 mg/kg-bw per day (3 ppm)	2/90 (2 astrocytoma)		
	1.30 mg/kg-bw per day (10 ppm)	5/88 (4 astrocytoma, 1 benign)	Chi-square = 1.8	
	3.70 mg/kg-bw per day (30 ppm)	6/90 (6 astrocytoma)	degrees of freedom = 3	
	10.90 mg/kg-bw per day (100 ppm)	26/90 (24 astrocytoma, 2 benign)	p-value = 0.62	

¹ Bio/Dynamics Inc. (1980b).² The experimental length for this study was 24 months for females and 26 months for males, so the resulting TD₀₅s for males were multiplied by (26 months/24 months) × (26 months/24 months)², where the first term amortizes the dose to be constant over the standard lifetime of a rat (24 months) and the second factor, suggested by Peto *et al.* (1984), corrects for an experimental length that is unequal to the standard lifetime.³ 95% LCL = lower 95% confidence limit.

group had a significantly increased incidence of astrocytomas and Zymbal gland tumours. For control, low-exposure and high-exposure groups, the incidence of astrocytomas was 0/20, 1/19 and 4/17 ($p < 0.05$), respectively, and the incidence of Zymbal gland tumours was 0/20, 2/19 and 4/17 ($p < 0.05$), respectively. The tumour incidence was low, but the exposure and observation period (approximately 45 weeks) was also relatively short. Not all tissues were examined histopathologically.

More recently, Bigner *et al.* (1986) observed neuro-oncogenic effects in Fischer 344 rats administered 0, 100 or 500 ppm acrylonitrile in drinking water (0, 14 and 70 mg/kg-bw per day; Health Canada, 1994). Each exposure group consisted of 50 male and 50 female rats. A fourth group of 300 rats (147 males, 153 females) was exposed to 500 ppm acrylonitrile. Although the protocol of the study indicated that rats were exposed for their lifetime, results were presented for an 18-month observation period. There was a dose-related significant reduction in body weight in both males and females at 500 ppm. In rats exposed for 12–18 months, neurological signs such as decreased activity, paralysis, head tilt, circling and seizures were observed in the 100 and 500 ppm groups. In control, low-exposure and two high-exposure groups, the incidence of neurological signs was 0/100, 4/100, 16/100 and 29/300, respectively. Histopathological examination of 215 animals in the 500 ppm group revealed 49 primary brain tumours, which were difficult to classify.² Other tumours frequently observed included Zymbal gland tumours, forestomach papillomas and subcutaneous papillomas. No further details, however, were presented. The authors reported that the increase in incidence of the primary brain tumour in the highest exposure group was significant

(p -values were not reported, data poorly presented). Other endpoints were not examined. The results are inadequate, therefore, for establishing effect levels for non-neoplastic effects or for characterizing exposure–response for tumours.

Gallagher *et al.* (1988) investigated the carcinogenicity of acrylonitrile administered via drinking water at 0, 20, 100 or 500 ppm (approximately 0, 2.8, 14 and 70 mg/kg-bw per day; Health Canada, 1994) to male Sprague-Dawley rats (20 per group) for two years. There was no survival in the 500 ppm exposure group at two years. Ingestion of acrylonitrile at concentrations up to and including 100 ppm did not increase mortality. The necropsy results revealed a significant increase in Zymbal gland tumours at 500 ppm (0/18, 0/20, 1/19 and 9/18 [$p < 0.005$] in control, low-, mid- and high-dose groups, respectively). No increase in tumours of other organs including brain was observed, although four rats developed papillomatous proliferation of the epithelium of the forestomach in the high-exposure group.

It is of interest to note that whereas Gallagher *et al.* (1988) reported increased incidence of tumours of the Zymbal gland only at a dose level of 70 mg/kg-bw per day in Sprague-Dawley rats, Bio/Dynamics Inc. (1980a) reported increased incidence of astrocytoma of the brain, carcinoma of the Zymbal gland and papilloma/carcinoma of the stomach in the same strain of rats at 8 mg/kg-bw per day.

2.4.3.4.3 Gavage

Groups of 100 male and 100 female Sprague-Dawley (Spartan substrain) rats were exposed in another Bio/Dynamics Inc. (1980c) study to

² “The brain tumours were remarkably similar from animal to animal, regardless of their size or anatomical location within the brain. They were also similar to, and probably indistinguishable from, a subset of spontaneously occurring rat-brain tumours that have been generally classified as astrocytomas or anaplastic astrocytomas by light-microscopic evaluation of H&E-stained slides. Despite this superficial similarity to astrocytomas, we have found no hard evidence on which to identify any of the neoplastic cells as astrocytic in lineage or relatedness” (Bigner *et al.*, 1986).

acrylonitrile in deionized water by intubation at 0, 0.1 or 10 mg/kg-bw per day for five days per week for 20 months. The non-neoplastic effects in the high-dose group included consistently higher mortality in both males and females and decreased body weights in males. Relative liver weight was increased in males at the high dose. The dose of 10 mg/kg-bw per day is proposed as a LOAEL, based upon decreased body weight and increased liver to body weight ratio in male rats. In both males and females at the high dose, there was an increased incidence of astrocytoma of the brain, squamous cell carcinoma of the Zymbal gland and papilloma/carcinoma of the stomach. In both sexes, squamous cell papilloma of the stomach was reported at the high dose as early as 12 months. At the 18-month sacrifice, squamous cell carcinoma of the stomach was reported in males at the high dose. Astrocytoma of the brain and carcinoma of the Zymbal gland were reported in high-dose females at the 18-month sacrifice.

Maltoni *et al.* (1977) exposed 40 Sprague-Dawley rats of each sex by gavage to acrylonitrile in olive oil at 0 or 5 mg/kg-bw per day, three days per week, for 52 weeks. In females, there was some evidence of increases in mammary gland carcinomas (7/75 and 4/40 in control and exposed groups, respectively) and forestomach epithelial tumours (0/75 and 4/40 in control and exposed groups, respectively) in females. However, a high spontaneous incidence of mammary gland tumours in this strain of rats, the single dose level and the short duration of exposure limit the adequacy of the study.

2.4.3.5 Genotoxicity

2.4.3.5.1 In vitro studies

In the *Salmonella* mammalian microsome assay, acrylonitrile has induced reverse mutations in strains TA1535 (Lijinsky and Andrews, 1980), TA1535 and TA100 (Zeiger and Haworth, 1985), but only when hamster or rat S9 was present. Weak positive results were also reported in several *Escherichia coli* strains in the absence of metabolic activation (Venitt *et al.*, 1977).

In mammalian cells, acrylonitrile induced hprt mutations in human lymphoblasts without metabolic activation (Crespi *et al.*, 1985), but not at the same locus in Chinese hamster V79 cells (Lee and Webner, 1985). In several studies, acrylonitrile was positive at the TK locus in mouse lymphoma L5178 TK+/- cells, either with or without rat S9 (Amacher and Turner, 1985; Lee and Webber, 1985; Myhr *et al.*, 1985; Oberly *et al.*, 1985), and in mouse lymphoma P388F cells with metabolic activation (Anderson and Cross, 1985). It was also mutagenic at the TK locus in human lymphoblasts with metabolic activation (Crespi *et al.*, 1985; Recio and Skopek, 1988).

Acrylonitrile induced structural chromosomal aberrations either with or without metabolic activation in Chinese hamster ovary cells (Danford, 1985; Gulati *et al.*, 1985; Natarajan *et al.*, 1985) and without metabolic activation in Chinese hamster lung cells (Ishidate and Sofuni, 1985).

Acrylonitrile induced sister chromatid exchanges in Chinese hamster ovary cells with or without metabolic activation (Gulati *et al.*, 1985) or only with metabolic activation (Brat and Williams, 1982; Natarajan *et al.*, 1985). In human lymphocytes, results for sister chromatid exchanges were mixed, with one positive study with phenobarbital sodium-induced or 5,6-benzoflavone-induced rat liver (Perocco *et al.*, 1982) and one negative study with Aroclor-induced rat liver (Obe *et al.*, 1985). Sister chromatid exchanges were induced in human bronchial epithelial cells in the absence of S9 (Chang *et al.*, 1990).

Results of *in vitro* assays for DNA single strand breaks and DNA repair (unscheduled DNA synthesis) were mixed but more commonly negative in a range of cell types from rats and humans, with and without activation. Cell transformation in mouse and hamster embryo cells has also been investigated, with mixed results.



Binding of 2-cyanoethylene oxide to nucleic acids has also been reported in *in vitro* studies at high concentrations (Hogy and Guengerich, 1986; Solomon and Segal, 1989; Solomon *et al.*, 1993; Yates *et al.*, 1993, 1994³). The formation of DNA adducts is increased substantially in the presence of metabolic activation. Under non-activating conditions involving incubation of calf thymus DNA with either acrylonitrile or 2-cyanoethylene oxide *in vitro*, 2-cyanoethylene oxide alkylates DNA much more readily than acrylonitrile (Guengerich *et al.*, 1981; Solomon *et al.*, 1984, 1993). Incubation of DNA with 2-cyanoethylene oxide yields 7-(2-oxoethyl)-guanine (Guengerich *et al.*, 1981; Hogy and Guengerich, 1986; Solomon and Segal, 1989; Solomon *et al.*, 1993; Yates *et al.*, 1993, 1994) as well as other adducts. Compared with studies with rat liver microsomes, little or no DNA alkylation was observed with rat brain microsomes (Guengerich *et al.*, 1981). DNA alkylation in human liver microsomes was much less than that observed with rat microsomes (Guengerich *et al.*, 1981).

2.4.3.5.2 In vivo studies

Limitations of the few *in vivo* studies conducted in which the genotoxicity of acrylonitrile has been investigated preclude definitive conclusions.

Exposure to acrylonitrile in drinking water resulted in increased frequency of mutants at the *hprt* locus in splenic T-cells (Walker and Walker, 1997).⁴ Female F344 rats were exposed to 0, 33, 100 or 500 ppm (0, 8, 21 or 76 mg/kg-bw per day; Health Canada, 1994) in drinking water for up to four weeks. Serial sacrifices were carried out throughout exposure and up to eight weeks post-exposure. At four weeks post-exposure, the average observed mutant frequency in splenic T-cells was increased in a dose-related manner (significant at the two highest doses).

Results of a range of assays for structural chromosomal aberrations, micronuclei in bone marrow and micronuclei in peripheral blood cells have been negative or inconclusive, although there was no indication in the published accounts of three of the four studies that the compound reached the target site. These include studies in Swiss (Rabello-Gay and Ahmed, 1980), NMRI (Leonard *et al.*, 1981) and C57B1/6 (Sharief *et al.*, 1986) mice and a collaborative study using multiple routes of exposure in mice and rats (Morita *et al.*, 1997).

Results of dominant lethal assays were inconclusive in mice (Leonard *et al.*, 1981) and negative in rats (Working *et al.*, 1987).

In assays for unscheduled DNA synthesis in rats, results were positive only for the liver (Hogy and Guengerich, 1986), equivocal in lung, testes and gastric tissues (Ahmed *et al.*, 1992a,b; Abdel-Rahman *et al.*, 1994) and, notably, negative in the brain (Hogy and Guengerich, 1986). In these studies, however, unscheduled DNA synthesis was measured by liquid scintillation counting to determine ³H-thymidine uptake in the cell population, which does not discriminate between cells undergoing repair and those that are replicating. Results for unscheduled DNA synthesis in rat liver and spermatocytes were negative when ³H-thymidine uptake in individual cells was determined by autoradiography, which eliminates replicating cells from the analysis (Butterworth *et al.*, 1992).

Urine from acrylonitrile-exposed rats and mice was also mutagenic in *Salmonella typhimurium* following intraperitoneal administration of acrylonitrile to rats and mice (Lambotte-Vandepaer *et al.*, 1980, 1981). In both species, mutagenic activity occurred without activation. Mutagenic activity was also observed in urine of rats administered acrylonitrile by

³ Yates *et al.* (1994) also reported single and double strand breaks in plasmid DNA incubated with 2-cyanoethylene oxide.

⁴ Additional information was provided by the authors.

stomach intubation (Lambotte-Vandepaer *et al.*, 1985). Thiocyanate, hydroxyethylmercapturic acid and cyanoethylmercapturic acid were not believed to be responsible for urinary mutagenicity.

In *in vivo* studies in F344 rats administered 50 mg acrylonitrile/kg-bw intraperitoneally, 7-(2-oxoethyl)-guanine adducts were detected in liver (Hogy and Guengerich, 1986). Incorporation of acrylonitrile into hepatic RNA was observed following intraperitoneal administration to rats (Peter *et al.*, 1983). However, no DNA adducts were detected in the brain, which is the primary target for acrylonitrile-induced tumorigenesis, in this or a subsequent study in which F344 rats received 50 or 100 mg acrylonitrile/kg-bw by subcutaneous injection (Prokopczyk *et al.*, 1988). In contrast, in three studies from one laboratory, exposure of SD rats to 46.5 mg [¹⁴C]acrylonitrile/kg-bw (50 µCi/kg-bw) resulted in apparent binding of radioactivity to DNA from liver, stomach, brain (Farooqui and Ahmed, 1983), lung (Ahmed *et al.*, 1992a) and testicles (Ahmed *et al.*, 1992b). In each tissue, there was a rapid decrease in radioactivity of DNA samples collected up to 72 hours following treatment.

It is not clear why acrylonitrile–DNA binding was detected in the brain in these studies and not by Hogy and Guengerich (1986) or Prokopczyk *et al.* (1988). The DNA isolation protocols and method for correcting for contaminating protein in the DNA sample used by Hogy and Guengerich (1986) may have allowed a more stringent determination of DNA-bound material. Alternatively, the methods used to achieve greater DNA purity might have caused the loss of adducts or inhibited the recovery of adducted DNA; more likely, 7-(2-oxoethyl)-guanine and cyanoethyl adducts are of little consequence in the induction of acrylonitrile-induced brain tumours. Indeed, investigation of the role of cyanohydroxy-ethylguanine in the induction of these tumours seems warranted.

2.4.3.6 Reproductive and developmental toxicity

Consistent effects on the reproductive organs of male or female animals have not been observed in repeated-dose toxicity and carcinogenicity studies conducted to date. In a specialized investigation in CD-1 mice, however, degenerative changes in the seminiferous tubules and associated decreases in sperm counts were observed at 10 mg/kg-bw per day (NOEL, 1 mg/kg-bw per day) (Tandon *et al.*, 1988). Although epididymal sperm motility was reduced in a 13-week study with B6C3F₁ mice, there was no dose–response and no effect upon sperm density at doses up to 12 mg/kg-bw per day by gavage, although histopathological results were not reported (Southern Research Institute, 1996). In a three-generation study in rats exposed via drinking water (14 or 70 mg/kg-bw per day), adverse effects on pup survival and viability and lactation indices were attributed to maternal toxicity (Litton Bionetics Inc., 1980).

In two studies by inhalation, developmental effects (fetotoxic and teratogenic) were not observed at concentrations that were not toxic to the mothers (Murray *et al.*, 1978; Saillenfait *et al.*, 1993a). In the investigation in which concentration–response was best characterized (four exposure concentrations and controls with two-fold spacing), the LOEL for maternal toxicity and for fetotoxicity was 55 mg/m³; the NOEL was 26.4 mg/m³ (Saillenfait *et al.*, 1993a).

Similarly, in two studies by the oral route, developmental effects have not been observed at doses that were not also toxic to the mothers (lowest reported effect level in the mothers, 14 mg/kg-bw per day) (Murray *et al.*, 1978; Litton Bionetics Inc., 1980). Reversible biochemical effects on the brain but not functional neurological effects were observed in offspring of rats exposed to 5 mg/kg-bw per day (a dose that did not impact on body weight of the dams); dose–response was not investigated in this study (Mehrotra *et al.*, 1988).



Results of *in vitro* studies in rat embryos indicate that developmental effects may be due to monooxygenase-mediated liberation of cyanide (Saillenfait *et al.*, 1992, 1993b).

2.4.3.7 Neurological effects and effects on the immune system

In recently published studies in rats exposed by inhalation to 25 ppm (55 mg/m³) acrylonitrile and above for 24 weeks, there were partially reversible time- and concentration-dependent reductions in motor and sensory conduction (Gagnaire *et al.*, 1998).

In the few identified investigations of the immunological effects of acrylonitrile, effects on the lung following inhalation (Bhooma *et al.*, 1992) and on the gastrointestinal tract following ingestion (Hamada *et al.*, 1998) have been observed at concentrations and doses at which histopathological effects have also been observed.

2.4.3.8 Toxicokinetics and mode of action

2.4.3.8.1 Toxicokinetics

Based on studies conducted primarily in laboratory animals, acrylonitrile is rapidly absorbed and distributed throughout examined tissues. However, there appears to be little potential for significant accumulation in any organ, with most of the compound being excreted primarily as metabolites in urine in the first 24–48 hours following administration.

Acrylonitrile is metabolized primarily by two pathways: conjugation with glutathione to form N-acetyl-S-(2-cyanoethyl)cysteine and oxidation by cytochrome P-450 to form remaining urinary metabolites. Oxidative metabolism of acrylonitrile leads to the formation of 2-cyanoethylene oxide, which is either conjugated with glutathione or directly hydrolysed by epoxide hydrolase.

Available data⁵ are consistent with conjugation with glutathione being the major detoxification pathway of acrylonitrile, while the oxidation of acrylonitrile to 2-cyanoethylene oxide can be viewed as an activation pathway, producing a greater proportion of the total metabolites in mice than in rats. Available data also indicate that there are route-specific variations in metabolism. Based on studies in which 2-cyanoethylene oxide has been administered, there is no indication of preferential uptake or retention in specific organs, including the brain.

Liver microsomes from rats, mice and humans produced 2-cyanoethylene oxide at a greater rate than lung or brain microsomes, suggesting that the liver is the major site of 2-cyanoethylene oxide formation *in vivo* (Roberts *et al.*, 1989; Kedderis and Batra, 1991). Studies in subcellular hepatic fractions indicate that there is an active epoxide hydrolase pathway for 2-cyanoethylene oxide in humans, which is inactive, although inducible, in rodents (Kedderis and Batra, 1993). Studies with inhibitory antibodies in human hepatic microsomes indicate that the 2E1 isoform of cytochrome P-450 is primarily involved in acrylonitrile epoxidation (Guengerich *et al.*, 1991; Kedderis *et al.*, 1993).

A physiologically based pharmacokinetic model has been developed and verified for the rat (Gargas *et al.*, 1995; Kedderis *et al.*, 1996), and work is under way to scale it to humans. In a recent, although incompletely reported, study, Kedderis (1997) estimated *in vivo* activity of epoxide hydrolase in humans based on the ratio of epoxide hydrolase to P-450 activity in subcellular hepatic fractions multiplied by the P-450 activity *in vivo*. Human blood to air coefficients for acrylonitrile and 2-cyanoethylene oxide have also been recently determined, although incompletely reported at present (Kedderis and Held, 1998). Research is in progress to determine partition coefficients for other human tissues.

⁵ Including results of short-term toxicity studies in which the oxidative pathway has been induced prior to administration with acrylonitrile or antioxidants have been administered concomitantly with acrylonitrile.

2.4.3.8.2 Mode of action

Data on the genotoxicity of acrylonitrile are addressed in Section 2.4.3.5.

There are some suggestions from *in vitro* studies reported as abstracts that free radicals ($\cdot\text{OH}$, H_2O_2 , $\text{O}_2\cdot$) may be directly implicated in the oxidation of acrylonitrile and DNA damage. Formation of free radicals may be partially related to the release of cyanide or other mechanisms responsible for cellular and DNA damage (Ahmed and Nouraldeem, 1996; Ahmed *et al.*, 1996; El-zahaby *et al.*, 1996; Mohamadin *et al.*, 1996).

In more recent investigations, the results of which have been presented incompletely at this time, Prow *et al.* (1997) reported that acrylonitrile inhibited gap junctional intercellular communication in a rat astrocyte cell line in a dose-dependent manner, possibly through an oxidative stress mechanism. Similarly, Zhang *et al.* (1998) assayed acrylonitrile with Syrian hamster embryo cells, with and without an antioxidant, and concluded that oxidative stress contributed to morphological transformation in the cells. Jiang *et al.* (1998) assayed acrylonitrile with a rat astrocyte cell line and reported oxidative damage (indicated by the presence of 8-hydroxy-2'-deoxyguanosine) at all concentrations tested.

Jiang *et al.* (1997) exposed male Sprague-Dawley rats to 0 or 100 ppm acrylonitrile in drinking water for two weeks. Endpoints examined were levels of glutathione and reactive oxygen species in brain and liver, presence of 8-hydroxy-2'-deoxyguanosine (indicative of oxidative DNA damage) in several tissues and determination of activation of NF- κ B (a transcription factor strongly associated with oxidative stress). Glutathione in brain was decreased. (Whysner *et al.* [1998a] reported no effects upon concentrations of glutathione in the brain of male Sprague-Dawley rats exposed to 3, 30 or 300 ppm acrylonitrile in drinking water for three weeks.) In addition, reactive oxygen species

were increased four-fold, levels of 8-hydroxy-2'-deoxyguanosine were increased three-fold and activation of NF- κ B was observed in the brain.

In recently published studies, levels of 8-oxodeoxyguanosine, cytochrome oxidase, glutathione and cyst(e)ine in the brain of rats exposed to acrylonitrile in drinking water in each of the three following protocols have been examined (Whysner *et al.*, 1997, 1998a):

- (a) In male Sprague-Dawley rats exposed for 21 days to 0, 3, 30 or 300 ppm (0, 0.42, 4.2 and 42 mg/kg-bw per day; Health Canada, 1994), there was a significant increase in 8-oxodeoxyguanosine in brain nuclear DNA at the two highest doses. Assays of brain for glutathione, cytochrome oxidase, catalase and glutathione peroxidase did not show differences between exposed and control groups. There was a higher concentration of cyst(e)ine at the highest dose. In the liver, nuclear DNA 8-oxodeoxyguanosine concentrations were significantly increased at the two highest doses. Although there was no significant change in hepatic glutathione or cyst(e)ine, there was a significant trend for increased hepatic cyst(e)ine. In the forestomach, glutathione and cyst(e)ine were significantly increased at the highest dose. In a bioassay with comparable dose levels, the incidence of brain and/or spinal cord tumours was significantly increased in male Sprague-Dawley rats exposed to 35 ppm (3.4 mg/kg-bw per day) acrylonitrile and higher for two years (Quast *et al.*, 1980a).
- (b) In male F344 rats exposed for 21 days to 0, 1, 3, 10, 30 or 100 ppm (0, 0.14, 0.42, 1.4, 4.2 or 14 mg/kg-bw per day; Health Canada, 1994), analyses were limited to the brain. There were no significant differences between groups for 8-oxodeoxyguanosine, cytochrome oxidase, glutathione or cyst(e)ine.
- (c) In male Sprague-Dawley rats exposed for up to 94 days to 0 or 100 ppm (0 or 14 mg/kg-bw per day; Health Canada, 1994),



concentrations of 8-oxodeoxyguanosine in the brain were significantly increased after three, 10 and 94 days of exposure. There were no effects upon glutathione or cytochrome oxidase. In liver, the concentration of 8-oxodeoxyguanosine was significantly increased at 10 days only. In the two-year drinking water bioassay with male Sprague-Dawley rats (Quast *et al.*, 1980a), the incidence of brain and/or spinal cord tumours was significantly increased at 100 ppm (8.5 mg/kg-bw per day).

The endpoint for which changes were consistently observed in male Sprague-Dawley rats was the induction of oxidative DNA damage, including the accumulation of 8-oxodeoxyguanosine in the brain. The authors drew correlations between these results and the incidence of brain/spinal cord tumours that had been reported in carcinogenicity bioassays in which male Sprague-Dawley rats were exposed to acrylonitrile via drinking water.

Increased levels of 8-oxodeoxyguanosine occur only in the anterior portion of the brain, which contains rapidly dividing glial cells (Whysner *et al.*, 1998b).

2.4.4 Humans

In case reports of acute intoxication, effects on the central nervous system characteristic of cyanide poisoning and effects on the liver, manifested as increased enzyme levels in the blood, have been observed. There have also been reports that acrylonitrile is a skin irritant and sensitizer, the latter based on patch testing of workers.

In the few studies in which non-neoplastic effects of acrylonitrile have been investigated, only acute irritation has been reported consistently. In a cross-sectional investigation of

workers exposed in acrylic fibre factories to approximately 1 ppm (2.2 mg/m³), there was no consistent evidence of adverse effects based on examination of a wide range of clinical parameters, including liver function tests (Muto *et al.*, 1992). However, there was an increase in subjective symptoms of acute irritation, consistent with observations in another cohort of acrylic fibre manufacturing workers (Kaneko and Omae, 1992).

In a cross-sectional investigation of a smaller group of workers producing acrylic textile fibres for which quantitative data on exposure were not reported, there was no evidence of induction of hepatic cytochrome P-450 or genotoxicity of urine (Borba *et al.*, 1996).

Although there was some evidence in primarily early limited studies of excesses of lung cancer (Thiess *et al.*, 1980), “all tumours” (Zhou and Wang, 1991) and colorectal cancer (Mastrangelo *et al.*, 1993), such excesses have not been confirmed in well-conducted and well-reported recent investigations in four relatively large cohorts of workers (Benn and Osborne, 1998; Blair *et al.*, 1998; Swaen *et al.*, 1998; Wood *et al.*, 1998). Indeed, there is no consistent, convincing evidence of an association between exposure to acrylonitrile and cancer of a particular site that fulfils, even in part, traditional criteria for causality in epidemiological studies.

The largest of the recent cohort studies was that conducted by Blair *et al.* (1998), which included 25 460 workers from eight plants producing and using acrylonitrile. Although an excess of lung cancer was observed in the highest quintile of cumulative exposure, analysis of exposure-response did not provide strong or consistent evidence of a causal relationship. The exposure categories were:

0.01–0.13 ppm-years:	121 430 person-years
0.14–0.57 ppm-years:	69 122 person-years
0.58–1.50 ppm-years:	49 800 person-years
1.51–8.00 ppm-years:	63 483 person-years
>8.00 ppm-years:	44 807 person-years

It should be noted that the power to detect moderate excesses was small for some sites (stomach, brain, breast, prostate, lymphatic/hematopoietic) because of small numbers of deaths.



3.0 ASSESSMENT OF “TOXIC” UNDER CEPA 1999

3.1 CEPA 1999 64(a): Environment

The environmental risk assessment of a PSL substance is based on the procedures outlined in Environment Canada (1997a). Analysis of exposure pathways and subsequent identification of sensitive receptors are used to select environmental assessment endpoints (e.g., adverse reproductive effects on sensitive fish species in a community). For each endpoint, a conservative Estimated Exposure Value (EEV) is selected and an Estimated No-Effects Value (ENEV) is determined by dividing a Critical Toxicity Value (CTV) by an application factor. A hyperconservative or conservative quotient (EEV/ENEV) is calculated for each of the assessment endpoints in order to determine whether there is potential ecological risk in Canada. If these quotients are less than one, it can be concluded that the substance poses no significant risk to the environment, and the risk assessment is completed. If, however, the quotient is greater than one for a particular assessment endpoint, then the risk assessment for that endpoint proceeds to an analysis where more realistic assumptions are used and the probability and magnitude of effects are considered. This latter approach involves a more thorough consideration of sources of variability and uncertainty in the risk analysis.

3.1.1 Assessment endpoints

Acrylonitrile enters the Canadian environment from anthropogenic sources, primarily from industrial on-site releases. Almost all releases in the environment are to air, with small amounts released to water.

Based on its physical-chemical properties, acrylonitrile undergoes various degradation processes in air, with very small amounts transferring to water. When released into water, it

is expected to remain primarily in water, where it undergoes biodegradation after an acclimation period. Acrylonitrile does not bioaccumulate in organisms.

Based on the sources and fate of acrylonitrile in the environment, biota are expected to be exposed to acrylonitrile primarily in air and to a much lesser extent in water. Little exposure to soil or benthic organisms is expected. Therefore, the focus of the environmental risk characterization will be on terrestrial and aquatic organisms exposed directly to ambient acrylonitrile in air and water.

3.1.1.1 Terrestrial organisms

Terrestrial toxicity data are available for invertebrates (particularly grain insect pests) (Section 2.4.1.1) as well as from mammalian toxicology (Section 2.4.3). Identified sensitive endpoints via fumigation or inhalation routes of exposure include mortality of insect eggs (Adu and Muthu, 1985), decreased number of insect offspring (Rajendran and Muthu, 1981a), maternal and fetal toxicity in rats (Saillenfait *et al.*, 1993a) and histopathological changes in the nasal turbinates in rats (Quast *et al.*, 1980b). The single most sensitive response for these endpoints will be used as the CTV for the risk characterization for terrestrial effects.

3.1.1.2 Aquatic organisms

Aquatic toxicity data are available for a variety of plants, invertebrates, fish and amphibians (Section 2.4.1.2). Identified sensitive endpoints include growth inhibition in aquatic plants (Zhang *et al.*, 1996), mortality in pond snails (Erben and Beader, 1983), mortality and reduced growth in fish (Henderson *et al.*, 1961; ABCL, 1980a) and reduction in growth of frogs (Zhang *et al.*, 1996).



The single most sensitive response for all these endpoints will be used as the CTV for the risk characterization for aquatic effects.

3.1.2 Environmental risk characterization

3.1.2.1 Terrestrial organisms

Environmental exposure to acrylonitrile in air is expected to be greatest near industrial point sources. Levels of acrylonitrile in ambient air in Canada are generally below detection. The highest concentration of acrylonitrile in outdoor air in a half-hour period in Canada is predicted to be 9.3 µg/m³ (Michelin, 1999) at an 11-m distance from an industrial stack. The value 9.3 µg/m³ will be used as the EEV in the hyperconservative analysis for terrestrial organisms.

For the exposure of terrestrial organisms to acrylonitrile in air, the CTV is the LOEL of 55 mg/m³ causing decreased maternal weight and fetal toxicity in rats exposed for nine days during gestation (Saillenfait *et al.*, 1993a). This LOEL was the most sensitive effect identified from a data set composed of acute and chronic toxicity studies conducted on 14 species of insects and mammals. Saillenfait *et al.* (1993a) reported that none of these effects were observed at 26.4 mg/m³. For the hyperconservative analysis, the ENEV is derived by dividing the CTV by a factor of 100. This factor accounts for the extrapolation from laboratory to field conditions,

conversion of the LOEL to a long-term no-effects value, interspecies and intraspecies variations in sensitivity and the moderate dataset. As a result, the ENEV is 0.55 mg/m³ (550 µg/m³).

The hyperconservative quotient is calculated by dividing the EEV of 9.3 µg/m³ by the ENEV as follows:

$$\begin{aligned}\text{Quotient} &= \frac{\text{EEV}}{\text{ENEV}} \\ &= \frac{9.3 \mu\text{g}/\text{m}^3}{550 \mu\text{g}/\text{m}^3} \\ &= 0.02\end{aligned}$$

Since the hyperconservative quotient is less than one, it is unlikely that acrylonitrile causes adverse effects on populations of terrestrial organisms in Canada.

Table 8 summarizes the risk quotients for the environmental media of concern.

3.1.2.2 Aquatic organisms

Environmental exposure to acrylonitrile is expected to be greatest near point sources. In general, releases to water are low (0.529 tonnes, or 2.7% of all releases). All known releases of acrylonitrile to water in Canada occur to freshwater environments.

TABLE 8 Risk characterization summary for environmental effects of acrylonitrile

Environmental compartment	EEV	CTV	Application factor (AF)	ENEV (CTV/AF)	Risk quotient (EEV/ENEV)
Air	9.3 µg/m ³ outside plant gate at Sarnia, Ontario, 1998 (estimated value)	55 mg/m ³ (25 ppm), decreased maternal rat body weight gain and decreased absolute body weight after nine-day inhalation exposure	100	0.55 mg/m ³ (550 µg/m ³)	0.02
Water — freshwater pelagic	<0.0042 mg/L (detection limit for ambient water)	0.40 mg/L retarded foreleg development in early life stage of frog, <i>Bufo bufo gargarizans</i> , after 28-day exposure	10	0.04 mg/L	<0.1

In general, levels of acrylonitrile in ambient surface water and groundwater are low. A large study of Canadian municipal water supplies conducted in 1987 detected no acrylonitrile in 84 samples at nine municipalities around the Great Lakes at the detection limit of 0.005 mg/L. Similarly, the level of acrylonitrile in 207 samples of intake water taken in 1989–90 at 26 Ontario organic chemical manufacturing plants was below the detection limit of 0.0042 mg/L.

Measurable levels of acrylonitrile were found in industrial effluents discharged to the environment in 1989–90. In 1997, however, only two companies in Ontario and one in Quebec used acrylonitrile in manufacturing. There have been significant changes to the effluent treatment process in these remaining facilities, such that levels in effluent are very low, below the recommended method detection limit of 0.0042 mg/L. Therefore, the value 0.0042 mg/L will be used as the EEV in the hyperconservative analysis for aquatic organisms.

For exposure of aquatic biota to acrylonitrile in water, the CTV is 0.4 mg/L, based on the lower chronic level around the EC_{50} of foreleg development after a 28-day exposure in the frog, *Bufo bufo gargarizans* (Zhange *et al.*, 1996). This was the most sensitive value identified from the primary and secondary data composed of acute and chronic studies conducted on 16 species of aquatic invertebrates, plants, fish and amphibians.

For a hyperconservative analysis, the ENEV is derived by dividing this CTV by a factor of 10. This factor accounts for extrapolation from field to laboratory conditions and interspecies and intraspecies variations in sensitivity. The resulting ENEV is 0.04 mg/L.

The hyperconservative quotient is calculated by dividing the EEV of 0.0042 mg/L by the ENEV as follows:

$$\begin{aligned}\text{Quotient} &= \frac{\text{EEV}}{\text{ENEV}} \\ &= \frac{0.0042 \text{ mg/L}}{0.04 \text{ mg/L}} \\ &= 0.1\end{aligned}$$

Since the hyperconservative quotient is less than one, it is unlikely that acrylonitrile causes adverse effects on populations of aquatic organisms in Canada.

3.1.2.3 Discussion of uncertainty

There are a number of potential sources of uncertainty in this environmental risk assessment. Regarding environmental exposure, there could be concentrations of acrylonitrile in Canada that are higher than those identified and used in this assessment. While no data or limited data were found for Canadian soils and sediments, significant concentrations of acrylonitrile are not expected because of the unlikely partitioning of acrylonitrile to these compartments from air. Levels of acrylonitrile in ambient air and water are not widely monitored in Canada. Concentrations of acrylonitrile in water have been measured in connection with point sources. Improvements to industrial effluent treatment systems over the last decade to take advantage of the biodegradability of acrylonitrile by acclimatized microorganisms appear to have resulted in acrylonitrile levels being below detection. Few data were available on acrylonitrile concentrations in air near industrial point sources, and these data indicate that in rare occasions, for small time periods, acrylonitrile is discharged from some stacks. The largest of these gave a “theoretical” point of impingement concentration of 9.3 $\mu\text{g}/\text{m}^3$ and was associated with a total annual discharge of 31 g of acrylonitrile. No acrylonitrile was detected off the industrial site property. However, the few measured data support the predicted concentrations in air, which are used to determine point of impingement concentrations for site registration permits.



Regarding effects of acrylonitrile on terrestrial and aquatic organisms, uncertainty inevitably surrounds the extrapolation from available toxicity data to potential ecosystem effects. Somewhat surprisingly, the data set lacks information on the toxicity of acrylonitrile in air to plant species. Studies of acrylonitrile in air have focussed on the effects via inhalation and fumigation on laboratory mammals (particularly rats) and pest insect species. There has been considerable examination of a wide range of effects in rats. It is not known to what extent the physiological effects observed in the rat are representative of long-term ecological effects. Regarding effects of acrylonitrile on aquatic organisms, the data set includes studies on organisms from a variety of ecological niches and taxa for both the short and long term. To counter these uncertainties, appropriate application factors were used in the environmental risk analysis to derive ENEVs.

Despite some data gaps regarding the environmental effects and exposure of acrylonitrile, the data available at this time are considered adequate for making a conclusion on the environmental risk of acrylonitrile in Canada.

3.2 CEPA 1999 64(b): Environment on which life depends

Once released into the atmosphere, reaction of acrylonitrile with hydroxyl radicals is the primary removal mechanism and yields formaldehyde, formic acid and formyl cyanide. Worst-case calculations were made to determine whether acrylonitrile has the potential to contribute to (ground-level) photochemical ozone formation, depletion of stratospheric ozone or climate change (Bunce, 1996).

Because of its reactivity in the atmosphere, acrylonitrile's potential contribution to photochemical ozone creation (and also smog) is moderate; however, quantities available for reaction (18.75 tonnes in Canada in 1996) make the contribution low relative to those of other

smog-forming substances. Reaction with ozone and nitrate are negligible, and the absence of chlorine and bromine atoms in the molecule means that the potential contributions to stratospheric ozone depletion ($ODP = 0$) and climate change ($GWP = 4.3 \times 10^{-4}$) are both negligible (Bunce, 1996).

It is therefore concluded that acrylonitrile is not "toxic" in the abiotic atmosphere as defined in Section 64(b) of CEPA 1999.

3.3 CEPA 1999 64(c): Human health

3.3.1 *Estimated population exposure*

Data on levels of acrylonitrile in environmental media in Canada to serve as a basis for development of estimates of population exposure are restricted to an almost complete lack of detection in limited surveys of outdoor and indoor air, similar lack of detection in a more extensive survey of drinking water and an early report of levels in a limited number of foodstuffs packaged in acrylonitrile-based plastic containers. Point estimates of average daily intake (per kilogram body weight), based on these few data (Section 2.3.2) and reference values for body weight, inhalation volume and amounts of food and drinking water consumed daily, are presented for six age groups in Table 9. These estimates, which should be considered to be bounding only, as a result of the limitations of the data on which they are based, range from 0.01 to 0.65 $\mu\text{g/kg-bw}$ per day.

Although it is uncertain, based on this limited information, indoor air is likely the principal medium of exposure to acrylonitrile, followed by ambient air. Intakes from food and drinking water are likely to be negligible in comparison. This is consistent with the physical/chemical properties of acrylonitrile, which has moderate vapour pressure and a low $\log K_{ow}$, and the results of fugacity modelling (Section 2.3.1.5). Indeed, that air is likely the principal medium of exposure has been confirmed



TABLE 9 Estimated daily intake of acrylonitrile by the population of Canada

Route of exposure	Estimated intake (µg/kg-bw per day) of acrylonitrile by various age groups						
	0–6 months ¹		6 months–4 years ⁴	5–11 years ⁵	12–19 years ⁶	20–59 years ⁷	60+ years ⁸
	formula fed ²	not formula fed ³					
Ambient air ⁹	<0.01–0.07	<0.01–0.07	<0.01–0.14	<0.01–0.11	<0.01–0.06	<0.01–0.05	<0.01–0.05
Indoor air ¹⁰	<0.01–0.22	<0.01–0.22	<0.01–0.47	<0.01–0.37	<0.01–0.21	<0.01–0.18	<0.01–0.16
Drinking water ¹¹	0.05–0.07	0.01–0.02	0.01	0.01	<0.01	<0.01	<0.01
Food ¹²		<0.01	0.01–0.03	0.01–0.02	0.01–0.02	<0.01–0.01	<0.01–0.01
Soil ¹³							
Total intake	0.05–0.36	0.01–0.31	0.02–0.65	0.02–0.51	0.01–0.29	<0.01–0.24	<0.01–0.22

¹ Assumed to weigh 7.6 kg and breathe 2.1 m³/day (EHD, 1997).

² Assumed to ingest 0.8 L/day (reconstituted formula) (EHD, 1997). For formula-fed infants, intake from water is synonymous with intake from food.

³ Assumed to ingest 0.2 L water per day and to consume on a daily basis 0.01 g natural cheese, 0.10 g margarine, 0.91 g butter, 0.073 g peanut butter and 0.24 g chocolate bar (EHD, 1997).

⁴ Assumed to weigh 15.6 kg, breathe 9.3 m³/day, ingest 0.2 L water per day and consume on a daily basis 2.59 g natural cheese, 5.69 g cold cuts, 0.94 g canned luncheon meat, 0.24 g ham luncheon meat, 2.66 g margarine, 7.32 g butter, 2.57 g peanut butter and 3.18 g chocolate bar (EHD, 1997).

⁵ Assumed to weigh 31.2 kg, breathe 14.5 m³/day, ingest 0.4 L water per day and consume on a daily basis 3.18 g natural cheese, 7.57 g cold cuts, 0.97 g canned luncheon meat, 0.24 g ham luncheon meat, 6.10 g margarine, 12.93 g butter, 4.99 g peanut butter and 5.45 g chocolate bar (EHD, 1997).

⁶ Assumed to weigh 59.7 kg, breathe 15.8 m³/day, ingest 0.4 L water per day and consume on a daily basis 5.68 g natural cheese, 9.61 g cold cuts, 2.22 g canned luncheon meat, 1.33 g ham luncheon meat, 8.25 g margarine, 16.35 g butter, 4.84 g peanut butter and 8.07 g chocolate bar (EHD, 1997).

⁷ Assumed to weigh 70.7 kg, breathe 16.2 m³/day, ingest 0.4 L water per day and consume on a daily basis 8.83 g natural cheese, 9.63 g cold cuts, 2.39 g canned luncheon meat, 0.38 g ham luncheon meat, 5.11 g margarine, 15.19 g butter, 1.55 g peanut butter and 4.31 g chocolate bar (EHD, 1997).

⁸ Assumed to weigh 70.6 kg, breathe 14.3 m³/day, ingest 0.4 L water per day and consume on a daily basis 7.17 g natural cheese, 6.26 g cold cuts, 1.70 g canned luncheon meat, 0.39 g ham luncheon meat, 8.10 g margarine, 10.18 g butter, 1.20 g peanut butter and 1.92 g chocolate bar (EHD, 1997).

⁹ In monitoring of ambient air at six urban stations in Ontario in 1990, concentrations of acrylonitrile were below the limit of detection (0.0003 µg/m³) in 10 of 11 samples. The maximum and only detectable concentration was 1.9 µg/m³ in one sample (OMOE, 1992a,b). Canadians are assumed to spend three of 24 hours outdoors (EHD, 1997). The limit of detection (0.0003 µg/m³) and the highest reported concentration (1.9 µg/m³) were used to calculate the range of exposures in ambient air.

¹⁰ Acrylonitrile was not detected (limit of detection 0.9 µg/m³) in limited monitoring of indoor air in Toronto in 1990 (Bell *et al.*, 1991). Canadians are assumed to spend 21 of 24 hours indoors (EHD, 1997). Concentrations of 0 and 0.9 µg/m³ (limit of detection) were used to calculate the range of exposures from indoor air.

¹¹ The range of exposure from drinking water is calculated from the lowest limit of detection (0.5 µg/L, for minimum estimate) and the highest concentration reported, 0.7 µg/L (Environment Canada, 1989a).

¹² Page and Charbonneau (1983) measured concentrations of acrylonitrile in five types of food packaged in acrylonitrile-based plastic containers, purchased from several stores in Ottawa, Ontario. Average concentrations of acrylonitrile (measured in three duplicate samples of each food type) ranged from 8.4 to 38.1 ng/g:

8.4–31.0 ng/g in honey butter (natural or cinnamon)

23.8–31.5 ng/g in cold pack cheese

<10–38.1 ng/g in peanut butter

<2.5 ng/g in soft butter and creamed coconut

Concentrations in other foods were assumed to be zero.

¹³ Concentrations of acrylonitrile in soil in Canada were not identified.



TABLE 10 Estimated relative daily intake of acrylonitrile by the population of Canada based upon the results of fugacity modelling

Route of exposure	Estimated relative intake of acrylonitrile (%)						
	0–6 months ¹		6 months–4 years ⁴	5–11 years ⁵	12–19 years ⁶	20–59 years ⁷	60+ years ⁸
	formula fed ²	not formula fed ³					
Ambient air ⁹	12	12	12	12	12	12	12
Indoor air ⁹	84	84	87	86	88	86	86
Drinking water ⁹	3	0.01	0.2	0.2	0.2	0.2	0.2
Food ¹⁰		4	2	1	1	1	1
Soil ⁹							

¹ Assumed to weigh 7.6 kg, breathe 2.1 m³/day and ingest 30 mg soil per day (EHD, 1997).

² For formula-fed infants, intake from water is synonymous with intake from food.

³ Assumed to consume 1010 g food/day (EHD, 1997).

⁴ Assumed to weigh 15.6 kg, breathe 9.3 m³/day, ingest 0.2 L water per day, ingest 100 mg soil per day and consume 1413 g food/day (EHD, 1997).

⁵ Assumed to weigh 31.2 kg, breathe 14.5 m³/day, ingest 0.4 L water per day, ingest 65 mg soil per day and consume 1834 g food/day (EHD, 1997).

⁶ Assumed to weigh 59.7 kg, breathe 15.8 m³/day, ingest 0.4 L water per day, ingest 30 mg soil per day and consume 2074 g food/day (EHD, 1997).

⁷ Assumed to weigh 70.7 kg, breathe 16.2 m³/day, ingest 0.4 L water per day, ingest 30 mg soil per day and consume 2353 g food/day (EHD, 1997).

⁸ Assumed to weigh 70.6 kg, breathe 14.3 m³/day, ingest 0.4 L water per day, ingest 30 mg soil per day and consume 1969 g food/day (EHD, 1997).

⁹ The results of the ChemCAN3 model (Section 2.3.1.5) indicate that when all Canadian releases are assumed to occur in southern Ontario, release over the long term may result in very low levels across the region. The predicted levels are:

air: 2.1×10^{-4} µg/m³

water: 1.6×10^{-8} mg/L

soil: 2.0×10^{-8} µg/g; calculated intakes from soil were negligible.

¹⁰ The concentration of acrylonitrile in foods was assumed to be the same as that in soil.

by point estimates of average daily intake based on concentrations of acrylonitrile predicted in various media by fugacity modelling and reference values for body weight, inhalation volume and amounts of food and drinking water consumed daily for six age groups (Table 10). On this basis, intake from ambient and indoor air ranges from 96% to 100% of total intake.

Exposures from ambient air may be substantially higher for populations in the vicinity of point sources. Based upon the limit of detection in sampling at the site of nitrile-butadiene rubber production in Sarnia, Ontario, the maximum concentration would be

<52.9 µg/m³. Assuming the same reference values and intake in other media as for the general population, worst-case upper-bound estimates of exposure in the vicinity of industrial sources range from 10.7 to 31.6 µg/kg-bw per day (Table 11). The only other (earlier) data on concentrations in the vicinity of point sources indicate that populations in the area might be exposed to levels considerably less than these (in the range of tenths of µg/m³) (Ng and Karellas, 1994; Ortech Corporation, 1994). Additional data from the United States indicate that levels vary considerably in the vicinity of various point sources.⁶

⁶ Table 6.3.3 in the supporting documentation (Health Canada, 1999).

TABLE 11 Estimated daily intake of acrylonitrile by the population of Canada: worst-case upper-bound estimates

Route of exposure	Estimated intake (µg/kg-bw per day) of acrylonitrile by various age groups						
	0–6 months ¹		6 months–4 years ⁴	5–11 years ⁵	12–19 years ⁶	20–59 years ⁷	60+ years ⁸
	formula fed ²	not formula fed ³					
Ambient air ⁹	1.83	1.83	3.94	3.07	1.75	1.52	1.34
Indoor air ¹⁰	12.79	12.79	27.59	21.51	12.25	10.61	9.38
Drinking water ¹¹	0.07	0.02	0.01	0.01	0	0	0
Food ¹²		0	0.03	0.02	0.02	0.01	0.01
Soil ¹³							
Total intake¹⁴	14.69	14.64	31.57	24.61	14.02	12.14	10.73

¹ Assumed to weigh 7.6 kg and breathe 2.1 m³/day (EHD, 1997).

² For formula-fed infants, intake from water is synonymous with intake from food. Assumed to ingest 0.8 L/day (reconstituted formula) (EHD, 1997).

³ Assumed to ingest 0.2 L water per day and to consume on a daily basis 0.01 g natural cheese, 0.10 g margarine, 0.91 g butter, 0.073 g peanut butter and 0.24 g chocolate bar (EHD, 1997).

⁴ Assumed to weigh 15.6 kg, breathe 9.3 m³/day, ingest 0.2 L water per day and consume on a daily basis 2.59 g natural cheese, 5.69 g cold cuts, 0.94 g canned luncheon meat, 0.24 g ham luncheon meat, 2.66 g margarine, 7.32 g butter, 2.57 g peanut butter and 3.18 g chocolate bar (EHD, 1997).

⁵ Assumed to weigh 31.2 kg, breathe 14.5 m³/day, ingest 0.4 L water per day and consume on a daily basis 3.18 g natural cheese, 7.57 g cold cuts, 0.97 g canned luncheon meat, 0.24 g ham luncheon meat, 6.10 g margarine, 12.93 g butter, 4.99 g peanut butter and 5.45 g chocolate bar (EHD, 1997).

⁶ Assumed to weigh 59.7 kg, breathe 15.8 m³/day, ingest 0.4 L water per day and consume on a daily basis 5.68 g natural cheese, 9.61 g cold cuts, 2.22 g canned luncheon meat, 1.33 g ham luncheon meat, 8.25 g margarine, 16.35 g butter, 4.84 g peanut butter and 8.07 g chocolate bar (EHD, 1997).

⁷ Assumed to weigh 70.7 kg, breathe 16.2 m³/day, ingest 0.4 L water per day and consume on a daily basis 8.83 g natural cheese, 9.63 g cold cuts, 2.39 g canned luncheon meat, 0.38 g ham luncheon meat, 5.11 g margarine, 15.19 g butter, 1.55 g peanut butter and 4.31 g chocolate bar (EHD, 1997).

⁸ Assumed to weigh 70.6 kg, breathe 14.3 m³/day, ingest 0.4 L water per day and consume on a daily basis 7.17 g natural cheese, 6.26 g cold cuts, 1.70 g canned luncheon meat, 0.39 g ham luncheon meat, 8.10 g margarine, 10.18 g butter, 1.20 g peanut butter and 1.92 g chocolate bar (EHD, 1997).

⁹ The concentration of acrylonitrile in ambient air is assumed to be 52.9 µg/m³, based upon sampling at the site of nitrile-butadiene rubber production in Sarnia, Ontario (Wright, 1998).

¹⁰ The concentration in indoor air is predicted to be the same as in ambient air (above).

¹¹ The range of exposure in drinking water is calculated from the lowest limit of detection (0.5 µg/L, for minimum estimate) and the highest concentration reported, 0.7 µg/L (Environment Canada, 1989a).

¹² Page and Charbonneau (1983) measured concentrations of acrylonitrile in five types of food packaged in acrylonitrile-based plastic containers, purchased from several stores in Ottawa, Ontario. Average concentrations of acrylonitrile (measured in three duplicate samples of each food type) ranged from 8.4 to 38.1 ng/g:

8.4–31.0 ng/g in honey butter (natural or cinnamon)

23.8–31.5 ng/g in cold pack cheese

<10–38.1 ng/g in peanut butter

<2.5 ng/g in soft butter and creamed coconut

Concentrations in other foods were assumed to be zero.

¹³ Concentrations of acrylonitrile in soil were not identified.

¹⁴ Medium-specific and total intakes were calculated on Microsoft Excel spreadsheet.



Limitations of the data preclude development of meaningful probabilistic estimates of exposure to acrylonitrile in the general population.

3.3.2 Hazard characterization

3.3.2.1 Effects in humans

In case reports of acute intoxication, effects on the central nervous system characteristic of cyanide poisoning and effects on the liver, manifested as increased enzyme levels in the blood, have been observed. There have also been reports that acrylonitrile is a skin irritant and sensitizer, the latter based on patch testing of workers.

In the few studies in which non-neoplastic effects of acrylonitrile have been investigated, only acute irritation has been reported consistently.

Although the database is relatively extensive, there is no consistent, convincing evidence of an association between exposure to acrylonitrile and cancer of a particular site that fulfils traditional criteria for causality in epidemiological studies.

3.3.2.2 Effects in experimental animals

The acute toxicity of acrylonitrile is relatively high. Signs of acute toxicity include respiratory tract irritation and two phases of neurotoxicity, the first characterized by signs consistent with cholinergic overstimulation and the second being central nervous system dysfunction, resembling cyanide poisoning. Superficial necrosis of the liver and hemorrhagic gastritis of the forestomach have also been observed following acute exposure.

Data on the non-neoplastic effects of acrylonitrile following repeated exposure are

restricted to primarily early, limited studies, most often unpublished carcinogenesis bioassays, a few more recent investigations of specialized endpoints or more recent studies for which full accounts are not yet available.

In available short-term inhalation studies with single dose levels and a limited range of examined endpoints, effects on biochemical parameters, clinical signs and body weight were observed following exposure of rats, although there were no histopathological effects on principal organs.

In short-term studies by the oral route, biochemical effects on the liver and hyperplasia of the gastric mucosa have been observed, with effects on the gastric mucosa occurring at lowest doses in all studies in which they were examined. Effects on the adrenal cortex observed in short-term repeated-dose toxicity studies from one laboratory have not generally been noted in longer-term investigations in animals exposed to higher concentrations. In a preliminary report of a recent subchronic study in mice, decreases in survival and body weight and hematological effects were noted, although data presented therein were inadequate for characterization of dose-response.

In early carcinogenesis bioassays in rats for which few published accounts are available, non-neoplastic effects included reductions in body weight gain, hematological effects, increases in liver and kidney weights and, at higher doses, increased mortality. Following inhalation, inflammatory changes in the nasal turbinates were also observed.

There is considerable evidence of the carcinogenicity of acrylonitrile, based on the results of primarily early unpublished investigations, which have been restricted to one species (rats).⁷ In the most sensitive bioassays, a range of tumours (both benign and malignant) has

⁷ A carcinogenesis study in mice exposed to acrylonitrile by gavage is under way (NTP, 1998).

been consistently observed following both ingestion and inhalation, including those of the central nervous system (brain and/or spinal cord), ear canal, gastrointestinal tract and mammary glands. In almost all adequate bioassays, there have been reported increases in astrocytomas of the brain and spinal cord, which are rarely observed spontaneously in experimental animals; these have occurred at highest incidence consistently across studies. Increases have been statistically significant, and there have been clear dose–response trends. Tumours have sometimes been reported at non-toxic doses or concentrations and at periods as early as 7–12 months following onset of exposure. Tumours have also been observed in exposed offspring of a multi-generation reproductive study at 45 weeks.

In numerous studies on the genotoxicity of acrylonitrile involving examination of a broad spectrum of endpoints both *in vitro*, with and without metabolic activation, and *in vivo* in mice and rats, the pattern of results has been quite mixed, including in *in vitro* assays where there were adequate precautions to control volatilization. Although the results of many of these studies were negative, there was also a substantial number of positive results for a variety of endpoints that cannot be discounted. While acrylonitrile was weakly positive in bacterial assays, the database on mutagenicity in mammalian cells *in vitro* is considered inadequate because of limitations of the studies. Limitations of the *in vivo* investigations also preclude definite conclusions concerning genotoxic potential.

Results of the few identified investigations in which the relative potency of acrylonitrile was compared with that of 2-cyanoethylene oxide are consistent with the oxidative pathway of metabolism being critical in genotoxicity. In an assay with two strains of *S. typhimurium*, 2-cyanoethylene oxide was mutagenic without activation, whereas acrylonitrile required activation (Cerna *et al.*, 1981). In one study, 2-cyanoethylene oxide was approximately 15-fold more mutagenic than

acrylonitrile at the TK locus in cultured human lymphoblastoid cells (Recio and Skopek, 1988). *In vitro*, the formation of DNA adducts at high unphysiological concentrations is increased substantially in the presence of metabolic activation. Under non-activating conditions, 2-cyanoethylene oxide alkylates DNA much more readily than acrylonitrile.

The role of mutagenesis and the primary mutagenic lesion induced by acrylonitrile in acrylonitrile-induced carcinogenesis are uncertain. Acrylonitrile–DNA adducts can be induced *in vitro* and in the liver *in vivo*, although at levels considerably less than those associated with, for example, ethylene oxide. However, when measures were taken to eliminate contamination of samples by adducted protein and unbound acrylonitrile, acrylonitrile–DNA adducts were not detected in the brain, the primary target for acrylonitrile-induced carcinogenesis. This is in contrast to observations for ethylene oxide, which is also associated with gliomas of the brain. If the methods used to achieve greater DNA purity did not cause the loss of adducts or inhibit the recovery of adducted DNA, this suggests that acrylonitrile-induced DNA damage and mutagenicity may occur by a mechanism other than the formation of acrylonitrile–DNA adducts. Alternatively, they may be associated with an uninvestigated adduct (e.g., cyanohydroxyethyl adducts).

Investigations of the potential role of free radicals and oxidative stress in the carcinogenesis of acrylonitrile are under way, with results of most being presented incompletely at this time. Exposure to acrylonitrile has been associated with the accumulation of 8-oxodeoxyguanine in the DNA isolated from brain tissue, presumably via the action of reactive oxygen species generated during acrylonitrile metabolism. Data on dose–response in this regard are limited to animals exposed for 21 days. Moreover, the predicted greater sensitivity of Sprague-Dawley versus Fischer rats to induction of tumours of the brain/spinal cord on the basis of results of shorter-



term studies in which 8-oxodeoxyguanine levels in brain have been determined is not borne out by carcinogenesis bioassays. The origin of this oxidative damage is also unclear.

Also, several aspects of tumour development are characteristic of those induced by compounds that interact directly with DNA. Tumours are systemic and occur at multiple sites in both sexes following both inhalation and ingestion, sometimes at non-toxic doses or concentrations and at periods as early as 7–12 months following onset of exposure. The ratio of benign to malignant tumours is small.

In summary, the mechanism of carcinogenesis of acrylonitrile is unknown. Moreover, available data are insufficient to support a consensus view on a plausible mode of action. There is limited evidence for weak genotoxic potential, insufficient data on acrylonitrile–DNA adducts in the brain, although such adducts can be induced in the liver *in vivo*, and some indication in ongoing studies that oxidative damage, the origin of which is unclear, may play a role.

Effects on the reproductive system in experimental animals (mice) exposed to acrylonitrile are limited to degenerative changes in the seminiferous tubules and associated decreases in sperm counts in a specialized investigation, decreases in sperm motility in an unpublished investigation, for which histopathological results are not yet available, and decreased sperm counts, motility and histopathological changes in an incompletely reported study. In a three-generation study in rats exposed via drinking water, adverse effects on pup survival and viability and lactation indices were attributed to maternal toxicity.

Biologically significant effects in offspring have not been observed at doses that were not toxic to the mothers in developmental studies in rats exposed to acrylonitrile by both inhalation and ingestion. These studies included a

recent well-conducted investigation with good characterization of dose–response.

In the few identified investigations of the immunological effects of acrylonitrile, effects on the lung following inhalation and gastrointestinal tract following ingestion have been observed at concentrations and doses at which histopathological effects have also been observed in other investigations.

In recent unpublished studies by inhalation (24 weeks) and ingestion (12 weeks), clinical signs typical of acute acetylcholine-like toxicity and partially reversible reduction in motor and sensory conduction were observed.

3.3.3 Dose–response analyses

3.3.3.1 Effects in humans

In a cross-sectional investigation of workers exposed in acrylic fibre factories to approximately 1 ppm (2.2 mg/m³) acrylonitrile, there was no consistent evidence of adverse effects based on examination of a wide range of clinical parameters, including liver function tests (Muto *et al.*, 1992). Available data in humans are inadequate to serve as a basis for characterization of the concentrations at which acute irritation occurs.

While there has been consistent evidence of a lack of association between exposure to acrylonitrile and cancer of a particular site in recent, well-conducted epidemiological studies, the power of the investigations is insufficient to rule out increases in particularly rare tumours, such as those of the brain. Indeed, the power to detect moderate excesses for some sites (stomach, brain, breast, prostate, lymphatic/hematopoietic) was quite small because of small numbers of deaths.

As a very rough guide to the sensitivity of these studies, the upper 95% confidence limits in the meta-analysis conducted by Collins and

Acquavella (1998) are somewhat informative. For example, for lung cancer, the upper 95% confidence limit on the meta-relative risk (mRR) for 12 studies was 1.1, indicating that a 10% excess could not be excluded; for “highly” exposed workers included in seven of the studies, the upper 95% confidence limit was 1.5, indicating that a 50% excess could not be excluded. Lower confidence limits for these groups, respectively, were 0.8 and 1.0. Interestingly, the upper 95% confidence limit for three studies for which there were estimated parts per million levels of exposure was 1.0, and the lower 95% confidence limit, 0.8.

For the brain, the upper 95% confidence limit on the mRR for 11 studies was 1.5, indicating that a 50% excess could not be excluded; the lower 95% confidence limit was 0.8.

Moreover, while it has been suggested that the results of the epidemiological studies contrast quantitatively with those of bioassays in animals, meaningful direct comparison of these two types of data is precluded primarily by inadequate information with which to characterize possible relevant sites of cancer in humans (i.e., site concordance between animals and humans) and the relative paucity of data on exposure of workers in the relevant investigations. Results of such comparative exercises can be considered as either consistent or divergent only in the context of full quantitative characterization of the uncertainties related to the assumptions about average exposure, duration or follow-up in the studies of occupationally exposed populations on which they are based.

3.3.3.2 Effects in experimental animals

3.3.3.2.1 Non-neoplastic effects

Inhalation

In the more informative of available short-term inhalation studies, all of which were restricted to single dose levels and examination of a limited

range of examined endpoints (Gut *et al.*, 1984, 1985), clinical signs and decreases in body and organ weights but no histopathological effects were observed in rats exposed for five days to 280 mg acrylonitrile/m³.

With the exception of inflammatory changes in the nasal turbinates (Quast *et al.*, 1980b), non-neoplastic effects observed in the few conducted chronic inhalation studies were limited to pre-cancerous hyperplastic changes in the central nervous system (Maltoni *et al.*, 1977, 1987, 1988; Quast *et al.*, 1980b). Inflammatory changes in the nasal turbinates were observed at 80 ppm (176 mg/m³) (NOEL = 20 ppm; 44 mg/m³).

In two developmental studies in rats exposed by inhalation, developmental effects (fetotoxic and teratogenic) have not been observed at concentrations that were not toxic to the mothers (Murray *et al.*, 1978; Saillenfait *et al.*, 1993a). In the investigation in which concentration–response was best characterized (four exposure concentrations and controls with two-fold spacing), the LOEL for maternal toxicity and for fetotoxicity was 55 mg/m³; the NOEL was 26.4 mg/m³ (Saillenfait *et al.*, 1993a).

In recent studies in rats exposed by inhalation to 25 ppm (55 mg/m³) and above for 24 weeks, there were partially reversible time- and concentration-dependent reductions in motor and sensory conduction (Gagnaire *et al.*, 1998).

Ingestion

In investigations in rats by Szabo *et al.* (1984), effects on non-protein sulphydryl in gastric mucosa have been reported at levels as low as 2 mg/kg-bw per day (drinking water, 60 days). Effects on hepatic glutathione were also observed by these authors at similar doses administered by gavage but not in drinking water (2.8 mg/kg-bw per day, 21 days), although Silver *et al.* (1982) noted only slight biochemical effects but no histopathological effects in the liver at doses up to 70 mg/kg-bw per day (drinking water, 21 days).



Significant increases in proliferation in the forestomach but no changes in the liver or glandular stomach have been observed at 11.7 mg/kg-bw per day (Ghanayem *et al.*, 1995, 1997).

Similar to observations in the inhalation studies, non-neoplastic effects observed in the chronic studies in rats exposed by ingestion were limited primarily to pre-cancerous hyperplastic changes in target organs such as the non-glandular stomach (Quast *et al.*, 1980a). Other observed effects were limited primarily to increased organ weights, which were not observed consistently within or across the studies.

Consistent effects on the reproductive organs of male or female animals have not been observed in repeated-dose toxicity and carcinogenicity studies conducted to date. In a specialized investigation in CD-1 mice, however, degenerative changes in the seminiferous tubules and associated decreases in sperm counts were observed at 10 mg/kg-bw per day (NOEL, 1 mg/kg-bw per day) (Tandon *et al.*, 1988). Although epididymal sperm motility was reduced in a 13-week study with B6C3F₁ mice, there was no dose-response and no effect upon sperm density at doses up to 12 mg/kg-bw per day, although histopathological results are not yet available (Southern Research Institute, 1996). In a three-generation study in rats exposed via drinking water (14 and 70 mg/kg-bw per day), adverse effects on pup survival and viability and lactation indices were attributed to maternal toxicity (Litton Bionetics Inc., 1980).

In two studies by the oral route, developmental (including both fetotoxic and teratogenic) effects have not been observed at doses that were not also toxic to the mothers (lowest reported effect level in the mothers, 14 mg/kg-bw per day) (Murray *et al.*, 1978; Litton Bionetics Inc., 1980). Reversible biochemical effects on the brain but not functional neurological effects were observed in offspring of rats exposed to 5 mg/kg-bw per day (a dose that

did not affect body weight of the dams); dose-response was not investigated in this study (Mehrotra *et al.*, 1988).

Clinical signs resembling those associated with acute acetylcholine toxicity were observed in a recently completed study in rats exposed by gavage to 12.5 mg/kg-bw per day and above for 12 weeks (Gagnaire *et al.*, 1998).

3.3.3.2.2 Cancer

Cancer is considered the critical endpoint for quantitation of dose-response for risk characterization for acrylonitrile. This is based on the observation of tumours at non-toxic doses or concentrations in chronic studies at levels less than those that have induced effects in (limited) repeated-dose toxicity studies and identified investigations of neurological, reproductive and developmental effects. Moreover, there is evidence for weak genotoxic potential, and data are insufficient to support a plausible mode of action for acrylonitrile-induced carcinogenesis other than through direct interaction with DNA.

There is no reason to believe that carcinogenesis is unique to the rat, although there may be quantitative differences between experimental animals and humans, based on metabolic studies. Indeed, physiologically based pharmacokinetic modelling predicts that concentrations of cyanoethylene oxide in the brains of humans would be considerably greater than those in rats exposed to similar concentrations of acrylonitrile, although increases in brain cancer have not been observed in epidemiological studies with limited power to detect excesses of this rare tumour.

In carcinogenesis assays conducted in various strains of rats exposed via inhalation or ingestion (most of which are early unpublished studies), the incidences of astrocytomas of the central nervous system, Zymbal gland tumours and tumours of the non-glandular forestomach have increased most consistently following

exposure to acrylonitrile. Increases in the incidence of tumours of the tongue, mammary gland and intestine have been observed less consistently, and those of the skin and liver in a single study.

Of the tumours increased in incidence most consistently, astrocytomas occurred at highest incidence consistently across studies; the other two tumours observed most often were confined to organs not present in humans (i.e., Zymbal gland, forestomach), for which incidence was less. This was confirmed, through calculation, in a screening exercise, of Tumorigenic Concentrations/Tumorigenic Doses (TC_{05s}/TD_{05s}) for each of the tumours presented in Section 2.4.3.4, based on incidences presented by U.S. EPA (1983)⁸ and Johnston and Rock (1990).⁹ The only possible exception in studies by most relevant media of administration was the incidence of tumours of the non-glandular stomach in the drinking water assay of Quast *et al.* (1980a). However, it was not possible to confirm the incidences on which these calculations were based upon examination of data in the original study report due to discrepancies within the critical table (i.e., the number of animals in which tumours were reported in the five categories for the non-glandular stomach, combined, was greater than the total number of animals examined); there was also a discrepancy between the content of this table (Table 22) and data presented in the Appendix (Table A-21). Moreover, the tumours that occurred at highest incidence are not consistent with the results of other studies, and they have therefore not been further addressed here.

Quantitative estimates presented herein are limited to the tumours that occurred at highest incidence (i.e., astrocytomas of the central nervous system) in bioassays for which media of intake are most relevant to exposure in the general

environment — i.e., inhalation and drinking water. Of the few inhalation bioassays identified, the study by Quast *et al.* (1980b) is considered most suitable for quantitation of cancer potency, although it is limited by the fact that there were only two dose levels and controls. Group sizes were large ($n = 100$ per sex per group), however, and animals were exposed for two years. In other identified inhalation bioassays, group sizes were small and/or exposure periods short (Maltoni *et al.*, 1977, 1987, 1988).

Among the bioassays in which acrylonitrile was administered in drinking water (Bio/Dynamics Inc., 1980a,b; Quast *et al.*, 1980a; Gallagher *et al.*, 1988),¹⁰ characterization of dose–response was best in Bio/Dynamics Inc. (1980b). In this investigation, there were five doses and controls with good dose spacing and optimum characterization of dose–response, including lower, non-toxic doses. Group sizes were large ($n = 100$). Group sizes were smaller in other bioassays (Gallagher *et al.*, 1988), or dose spacing was poor (Bio/Dynamics Inc., 1980a). Although group sizes were smaller and doses higher, TD_{05s} based on the investigation of Quast *et al.* (1980a) are also included, since incidence was increased at more doses (three rather than two in Bio/Dynamics Inc., 1980b).

The tumour incidences and resulting TD_{05s}/TC_{05s} for benign and malignant tumours (combined) of the central nervous system (astrocytomas) for the Quast *et al.* (1980b) inhalation study and the Bio/Dynamics Inc. (1980b) and Quast *et al.* (1980a) drinking water bioassays modelled using the multistage model (GLOBAL 82) are presented in Tables 5, 6 and 7. Degrees of freedom, parameter estimates and nature of any adjustments for mortality or period of exposure are also presented therein. Benign and malignant tumours have been combined owing to the observed clear progression,

⁸ Incidence was based on benign and malignant tumours (combined), with no adjustment for early mortality.

⁹ Incidence was based on malignant tumours, excluding animals dying or sacrificed before or at six months.

¹⁰ For reasons mentioned in Section 2.4.3.4.1, including limitations of histopathological analysis, data on tumour incidence in Bigner *et al.* (1986) are considered inadequate for quantitation of dose–response.



although, as indicated in the tables, numbers of benign lesions included in the incidences on which these calculations were based are small; exclusion of the benign tumours would result in only slightly higher values of the TD_{05s}/TC_{05s} . In all cases, incidences have been adjusted to exclude animals dying before six months (i.e., prior to observation of the first tumours). For comparison, TC_{05s} developed on the basis of incidences reported by TERA (1997) for male rats for the Quast *et al.* (1980b) inhalation bioassay adjusted to exclude animals dying before approximately 10 months are also included.

With respect to appropriate scaling of the TD_{05s}/TC_{05s} , those for inhalation have been adjusted to reflect differences in inhalation volumes and body weights between humans and exposed animals. The TC_{05s} were multiplied by:

$$(0.11 \text{ m}^3 \text{ per day}/0.35 \text{ kg-bw}) \\ \times (70 \text{ kg-bw}/23 \text{ m}^3 \text{ per day})$$

where 0.11 m^3 per day is the breathing rate of a rat, 0.35 kg-bw is the body weight of a rat, 23 m^3 per day is the breathing rate of a human and 70 kg-bw is the body weight of a human. The estimates of carcinogenic potency for ingestion were not scaled on the basis of body surface area, as the carcinogenicity of acrylonitrile appears to be due to a metabolite rather than to the parent compound.

The physiologically based pharmacokinetic model, once completed, holds considerable promise as a more suitable basis for scaling of TD_{05s}/TC_{05s} . Alternatively, it might be suitable as a basis for scaling of estimated exposures with which the TD_{05s}/TC_{05s} are compared.

Tumorigenic potencies developed in this manner for ingestion and inhalation are similar.

3.3.4 Human health risk characterization

Although limited, available data are consistent with air being the principal medium of exposure of the general population to acrylonitrile; intake from other media is likely to be negligible in comparison. Moreover, with the exception of air in the vicinity of industrial point sources, acrylonitrile has seldom been detected in samples of ambient air, indoor air or drinking water. This is consistent with lack of identification of non-point sources. On this basis, the focus of the human health risk characterization is populations exposed through air in the vicinity of industrial point sources. Moreover, the vast majority of acrylonitrile (>97%) is released to air.

For compounds such as acrylonitrile, where data are insufficient to support a consensus view on a plausible mode of action for induction of tumours by other than direct interaction with genetic material, estimates of exposure are compared with quantitative estimates of cancer potency (Exposure Potency Index) to characterize risk and provide guidance in establishing priorities for further action (i.e., analysis of options to reduce exposure) under CEPA.

The lowest TC_{05} (human equivalent value) was 6 mg/m^3 for the combined incidence of benign and malignant tumours of the brain and/or spinal cord in female rats exposed by inhalation; the lower 95% confidence limit was 4.5 mg/m^3 (Table 5) (Quast *et al.*, 1980b). The margins between carcinogenic potency and limited available data on predicted and measured concentrations of acrylonitrile primarily in the vicinity of point sources in Canada are presented in the table below. On this basis, priority for investigation of options to reduce exposure in the vicinity of industrial point sources is considered to be high. It should be noted, however, that populations residing in the vicinity of sources would likely be exposed to lower concentrations, in view of the proximity of many of these predicted and measured values to the stacks, and monitoring in residential areas in the vicinity of point sources is desirable.



Concentration of acrylonitrile (Reference)	Potency (Table 5)	Margin between potency and concentration	Priority for further action (Health Canada, 1994)
		Exposure Potency Index	
Vicinity of sources			
9.3 µg/m ³ , concentration predicted by dispersion modelling, 11 m from stack at industrial site in Ontario (Table 4)	TC ₀₅ = 6000 µg/m ³	650	high
		16 × 10 ⁻⁴	
	95% LCL ¹ = 4500 µg/m ³	480	high
		21 × 10 ⁻⁴	
2.9 µg/m ³ , concentration predicted by dispersion modelling, 35 m from stack at industrial site in Ontario (Table 4)	TC ₀₅ = 6000 µg/m ³	2100	high
		4.8 × 10 ⁻⁴	
	95% LCL = 4500 µg/m ³	1550	high
		6.4 × 10 ⁻⁴	
0.6 µg/m ³ , concentration predicted by dispersion modelling, 41 m from stack at industrial site in Ontario (Table 4)	TC ₀₅ = 6000 µg/m ³	10 000	moderate
		1 × 10 ⁻⁴	
	95% LCL = 4500 µg/m ³	7500	moderate
		1.3 × 10 ⁻⁴	
0.1 µg/m ³ , concentration predicted by dispersion modelling, 3508 m from stack at industrial site in Ontario (Table 4)	TC ₀₅ = 6000 µg/m ³	60 000	moderate
		0.2 × 10 ⁻⁴	
	95% LCL = 4500 µg/m ³	45 000	moderate
		0.2 × 10 ⁻⁴	
<52.9 µg/m ³ , sampling at the site of nitrile-butadiene rubber production in Sarnia in 1997, 5 m from company fence line, 2 m above ground, downwind (Sparks, 1997; Wright, 1998)	TC ₀₅ = 6000 µg/m ³	110	high
		8.8 × 10 ⁻³	
	95% LCL = 4500 µg/m ³	85	high
		1.2 × 10 ⁻²	
0.12 µg/m ³ , lowest concentration measured in ambient air sampled for six days near a chemical manufacturing plant in Cobourg, Ontario (Ortech, 1994)	TC ₀₅ = 6000 µg/m ³	50 000	moderate
		2 × 10 ⁻⁵	
	95% LCL = 4500 µg/m ³	38 000	moderate
		2.7 × 10 ⁻⁵	



Concentration of acrylonitrile (Reference)	Potency (Table 5)	Margin between potency and concentration	Priority for further action (Health Canada, 1994)
		Exposure Potency Index	
0.28 µg/m ³ , highest concentration measured in ambient air sampled for six days near a chemical manufacturing plant in Cobourg, Ontario (Ortech, 1994)	TC ₀₅ = 6000 µg/m ³	21 000	moderate
		4.7×10^{-5}	
	95% LCL = 4500 µg/m ³	16 000	moderate
		6.2×10^{-5}	
<251 µg/m ³ , lowest concentration measured at stack of chemical manufacturing plant in Cobourg, Ontario, in 1993 (Ortech, 1994) ²	TC ₀₅ = 6000 µg/m ³	0.04183 (4.2×10^{-2})	high
		24	
	95% LCL = 4500 µg/m ³	0.05577 (5.6×10^{-2})	high
		18	
Ambient air			
1.9 µg/m ³ , maximum (and only detectable) concentration measured in 11 samples at six urban stations in Ontario in 1990 (OMOE, 1992a,c) ³	TC ₀₅ = 6000 µg/m ³	3200	high
		3.2×10^{-4}	
	95% LCL = 4500 µg/m ³	2400	high
		4.2×10^{-4}	
<0.64 µg/m ³ , seven samples in industrialized area of Windsor, Ontario, in 1991 (Ng and Karellas, 1994)	TC ₀₅ = 6000 µg/m ³	9400	moderate
		1.1×10^{-4}	
	95% LCL = 4500 µg/m ³	7000	moderate
		1.4×10^{-4}	

¹ 95% LCL = lower 95% confidence limit.

² Based on the concentration of 100 763 µg/m³ measured at the stack, priority for further action is high.

³ The detection limit was 0.0003 µg/m³. The Exposure Potency Index would indicate a low priority for further action.

3.3.5 *Uncertainties and degree of confidence in human health risk characterization*

There is a high degree of uncertainty in the quantitative estimates of intake of acrylonitrile in ambient and indoor air for the general population owing to the paucity of relevant monitoring data and lack of detection in most studies. However, there is a fair degree of certainty that air is the principal medium of exposure based on the limited monitoring data, which is supported by information on the physical/chemical properties of the compound and intakes estimated on the basis of levels in various media predicted by fugacity modelling.

There is a high degree of uncertainty in the estimates of intake of acrylonitrile in food, since estimates were based on a small number of samples of foodstuffs packaged in acrylonitrile-based plastic containers taken in the early 1980s in Canada. Since acrylonitrile-based polymers are not used in Canada to any great extent in direct food contact application, intake in these foodstuffs is likely overestimated. This is offset to some extent by the assumption of zero for concentrations in all other foodstuffs. Since food is likely a minor medium of exposure, this uncertainty does not impact greatly on overall confidence in the estimates of exposure.

There is a high degree of certainty that drinking water contributes only negligible amounts of acrylonitrile to overall exposure, based on lack of detection in a large, sensitive survey.

Although there are recent data on concentrations of acrylonitrile in the vicinity of point sources in Canada (both monitored and modelled), the available studies are limited in scope. Studies were conducted over short periods, at few locations, with no indication of proximity to local populations. The estimates near the largest source were based on air dispersion modelling in which chemical transformations are treated to a limited extent and acrylonitrile is

considered to be in the aerosol state and released at a maximal emission rate. Predicted values were, however, supported to some extent by monitoring data in the vicinity of another point source. Available data indicate that levels of acrylonitrile 0.2–5 km from various point sources in the United States range over three orders of magnitude (<0.1 – $325 \mu\text{g}/\text{m}^3$); maximal averages ranged over two orders of magnitude (0.3 – $84 \mu\text{g}/\text{m}^3$) (see Table 6.3.3 in the supporting documentation).

The overall degree of confidence in the population exposure estimates is, therefore, low, owing primarily to the paucity of current representative monitoring data for the likely principal medium of exposure of the general population in Canada (air). There is some degree of assurance, though, that based on the lack of identification of non-point sources and due to the lack of detection in ambient air in small surveys with sensitive methodology, investigations of options to reduce exposure should be limited to the vicinity of point sources.

The degree of confidence in the database on toxicity of acrylonitrile is moderate. The carcinogenicity of acrylonitrile in humans has been investigated in well-conducted recent studies of four relatively large cohorts of occupationally exposed workers. While this epidemiological database is extensive in comparison to that available for many other compounds, the power of the studies was insufficient to detect moderate excesses for some sites. Available data are also insufficient to provide meaningful direct comparison with the results of quantitative dose–response analyses based on bioassays in animals due to inadequate information with which to characterize possible relevant sites of cancer in humans (i.e., site concordance between animals and humans) and the relative paucity of data on exposure of workers in the relevant investigations.

The database on non-cancer toxicity in laboratory animals is limited, being restricted to primarily early unpublished carcinogenesis bioassays in which few non-cancer endpoints



were examined, a few more recent investigations of specialized endpoints such as neurotoxicity or more recent repeated-dose toxicity studies for which full accounts are not yet available. Although there are a relatively large number of bioassays, the database on carcinogenicity of acrylonitrile is limited primarily to early unpublished investigations in one species; a bioassay in mice, however, is currently under way.

Based on information acquired to date on the kinetics and metabolism of acrylonitrile, a physiologically based pharmacokinetic model, once completed, holds promise as a more suitable basis for scaling of TD_{05} s/ TC_{05} s than the default assumption about relative inhalation volumes and body weights.

Tumorigenic potencies for inhalation based on the combined incidence of benign and malignant tumours of the brain and/or spinal cord in female rats were 1.4 times less than for these tumours in males in the same study (TC_{05} of 6 versus 8.9 mg/m^3). These values were also up to two-fold less than those for tumours at other sites in both sexes in the critical study (i.e., Quast *et al.*, 1980b). The lower 95% confidence limit on the TC_{05} for the combined incidence of benign and malignant tumours of the brain and/or spinal cord in female rats was 4.5 mg/m^3 versus the maximum likelihood estimate of 6 mg/m^3 .

3.4 Conclusions

CEPA 1999 64(a): Based on available data, it has been concluded that acrylonitrile is not entering the environment in a quantity or concentration or under conditions that have or may have an immediate or long-term harmful effect on the environment or its biological diversity.

CEPA 1999 64(b): Based on available data, it has been concluded that acrylonitrile is not entering the environment in a quantity or concentration or under conditions that constitute or that may constitute a danger to the environment on which life depends.

CEPA 1999 64(c): Available data are insufficient to support a consensus view on a plausible mode of action for induction of tumours by acrylonitrile by other than direct interaction with genetic material. On this basis, it has been concluded that acrylonitrile does enter the environment in a quantity or concentration or under conditions that constitute or may constitute a danger in Canada to human life or health, and acrylonitrile is considered to be “toxic” as defined in Paragraph 64(c) of CEPA 1999. This approach is consistent with the objective that exposure to compounds for which induction of cancer through direct interaction with genetic material cannot be ruled out be reduced wherever possible and obviates the need to establish an arbitrary *de minimis* level of risk for the determination of “toxic” under CEPA 1999. On the basis of worst-case estimates, priority for investigation of options to reduce exposure in the vicinity of industrial point sources is considered to be high.

Overall conclusion:

Based on critical assessment of relevant information, acrylonitrile is considered to be “toxic” as defined in Section 64 of CEPA 1999.



3.5 Considerations for follow-up (further action)

Based on comparison of worst-case estimates of exposure in air in the vicinity of industrial sources with the tumorigenic potency, it is recommended that options to reduce exposure to acrylonitrile in the vicinity of industrial point sources be investigated. It is also recommended that there be additional investigation of the magnitude of exposure of populations in the vicinity of industrial point sources as a basis for risk management.



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APPENDIX A SEARCH STRATEGIES EMPLOYED FOR IDENTIFICATION OF RELEVANT DATA

Environmental assessment

Data relevant to the assessment of whether acrylonitrile is “toxic” to the environment under CEPA were identified from existing review documents, published reference texts and on-line searches of the following databases for the period 1980–1996: Aqualine (Water Research Centre, Buckinghamshire), ARET (Accelerated Reduction/Elimination of Toxics, Environment Canada), ASFA (Aquatic Sciences and Fisheries Abstracts, Cambridge Scientific Abstracts), BIODEG (Syracuse Research Corp.), BIOLOG, BIOSIS (Biosciences Information Services), Business Opportunities Sourcing System, CAB (Commonwealth Agriculture Bureaux), Canadian Research Index (Microlog: CRI, Government Publications/Micromedia Ltd.), Catalogue of Environmental Data in Atlantic Canada (Environment Canada, Atlantic Region), CCINFO, CESARS (Chemical Evaluation Search and Retrieval System, Ontario Ministry of the Environment and Michigan Department of Natural Resources), Chemfate (Syracuse Research Corp.), ChemINFO (Canadian Centre for Occupational Health and Safety), CHRIS (Chemical Hazard Release Information System), CPI Profile (Camford Information Services), Current Contents (Institute for Scientific Information), Datalog (Syracuse Research Corp.), Domestic Substances List (Environment Canada), ELIAS (Environmental Library Integrated Automated System, Environment Canada library), ENVIRODAT (Environment Canada), Enviroline (R.R. Bowker Publishing Co.), Environmental Abstracts, Environmental Bibliography (Environmental Studies Institute, International Academy at Santa Barbara), Envirosource (Environment Canada), GEOREF (Geo Reference Information System, American Geological Institute), HCA, HSBD (Hazardous Substances Data Bank, U.S. National Library of Medicine),

ICAR (Inventory of Canadian Agricultural Research, Canadian Agri-food Research Council), IRL, IRPTC (International Register of Potentially Toxic Chemicals, Geneva), Life Sciences (Cambridge Scientific Abstracts), MSDS (Material Safety Data Sheets, Canadian Centre for Occupational Health and Safety), NATES (National Analysis of Trends in Emergencies System, Environment Canada), National Emission Inventory (Canadian Chemical Producers Association), NPRI (National Pollutant Release Inventory, Environment Canada), National Registry of Toxic Chemical Residues (National Wildlife Research Centre, Environment Canada), Northern Info Network, NTIS (National Technical Information Service, U.S. Department of Commerce), Pesticide Registrant Survey (Environment Canada and Agriculture Canada), Pollution Abstracts (Cambridge Scientific Abstracts, U.S. National Library of Medicine), POLTOX (Cambridge Scientific Abstracts, U.S. National Library of Medicine), REPEN (*Répertoire informatisé des bases de données environnementales sur le Fleuve Saint-Laurent*, Environment Canada, Quebec Region), RRETC (River Road Environmental Technology Centre monitoring data), RTECS (Registry of Toxic Effects of Chemical Substances, U.S. National Institute of Occupational Safety and Health), Statistics Canada Import/Export Merchandise Trade Vols. I–II, Synopsis Northern Contaminants Program, Toxline (U.S. National Library of Medicine), TRI87-94 (Toxic Chemical Release Inventory, Office of Toxic Substances, U.S. Environmental Protection Agency), USEPA-ASTER (Assessment Tools for the Evaluation of Risk, U.S. Environmental Protection Agency), USEPA-ECOTOX (including AQUIRE; U.S. Environmental Protection Agency), USEPA-National Catalog (U.S. Environmental Protection Agency), WASTEINFO (Waste Management Information Bureau, American Energy Agency).



Several databases or surveys were evaluated to quantify releases. These included the National Pollutant Release Inventory (NPRI), the Accelerated Reduction/Elimination of Toxics (ARET) database, the Canadian Chemical Producers Association Responsible Care[®] Initiative database, and the emissions modelling data of Shen and Minns (1997). A survey of Canadian industry was carried out under authority of Section 16 of CEPA (Environment Canada, 1997c). Companies were required to provide information on uses, releases, environmental concentrations, effects or other data on acrylonitrile available to them if they met the trigger quantity of 1000 kg acrylonitrile per year. Reveal Alert was used to maintain an ongoing record of the current scientific literature pertaining to the environmental effects of acrylonitrile. Data obtained after May 31, 1998, were not considered in this assessment unless they were critical data received during the 60-day public review of the report (June 26 to August 24, 1999).

Health assessment

Data relevant to the assessment of whether acrylonitrile is “toxic” to human health obtained after April 1998 have not been included.

Data relevant to environmental exposure to acrylonitrile under CEPA were identified in review documents and on-line searches of commercial and governmental databases. The following databases were searched: AQUAREF (Inland Waters Directorate, Environment Canada), CISTIMON (Canadian Institute of Scientific and Technical Information collection, National Research Council of Canada), ELIAS (Environmental Library Integrated Automated System, Environment Canada library), EMBASE (on-line version of Excerpta Medica, Elsevier

Science), Enviroline (R.R. Bowker Publishing Co.), Environmental Bibliography (Environmental Study Institute, International Academy at Santa Barbara), Medline (U.S. National Library of Medicine), Microlog (Canadian Research Index, Government Publications, Micromedia Ltd.), Pollution Abstracts (Cambridge Scientific Abstracts, U.S. National Library of Medicine). Numerous provincial officials and industry associations were contacted between 1996 and 1998 for monitoring data relevant to exposure.

Data relevant to the toxicity of acrylonitrile were identified in review documents prepared by the U.S. Environmental Protection Agency (U.S. EPA, 1983, 1985) and the Agency for Toxic Substances and Disease Registry (ATSDR, 1990) and in on-line searches of commercial and governmental databases. The following databases were searched: CESARS (Chemical Evaluation Search and Retrieval System, Ontario Ministry of the Environment and Michigan Department of Natural Resources), DART (Developmental and Reproductive Toxicology, U.S. National Library of Medicine), EMIC (Environmental Mutagen Information Center database, Oak Ridge National Laboratory), GENE-TOX (Genetic Toxicology, Office of Toxic Substances, U.S. Environmental Protection Agency), HSDB (Hazardous Substances Data Bank, U.S. National Library of Medicine), IRIS (Integrated Risk Information System, U.S. Environmental Protection Agency), NTIS (National Technical Information Service, U.S. Department of Commerce), RTECS (Registry of Toxic Effects of Chemical Substances, U.S. National Institute for Occupational Safety and Health), Toxline (U.S. National Library of Medicine).



SCREENING-LEVEL HAZARD CHARACTERIZATION

Mononitrile Category

SPONSORED CHEMICALS

(See Section 1)

SUPPORTING CHEMICALS

(See Section 1)

The High Production Volume (HPV) Challenge Program¹ was conceived as a voluntary initiative aimed at developing and making publicly available screening-level health and environmental effects information on chemicals manufactured in or imported into the United States in quantities greater than one million pounds per year. In the Challenge Program, producers and importers of HPV chemicals voluntarily sponsored chemicals; sponsorship entailed the identification and initial assessment of the adequacy of existing toxicity data/information, conducting new testing if adequate data did not exist, and making both new and existing data and information available to the public. Each complete data submission contains data on 18 internationally agreed to “SIDS” (Screening Information Data Set^{1,2}) endpoints that are screening-level indicators of potential hazards (toxicity) for humans or the environment.

The Environmental Protection Agency’s Office of Pollution Prevention and Toxics (OPPT) is evaluating the data submitted in the HPV Challenge Program on approximately 1400 sponsored chemicals by developing hazard characterizations (HCs). These HCs consist of an evaluation of the quality and completeness of the data set provided in the Challenge Program submissions. They are not intended to be definitive statements regarding the possibility of unreasonable risk of injury to health or the environment.

The evaluation is performed according to established EPA guidance^{2,3} and is based primarily on hazard data provided by sponsors; however, in preparing the hazard characterization, EPA considered its own comments and public comments on the original submission as well as the sponsor’s responses to comments and revisions made to the submission. In order to determine whether any new hazard information was developed since the time of the HPV submission, a search of the following databases was made from one year prior to the date of the HPV Challenge submission to the present: (ChemID to locate available data sources including Medline/PubMed, Toxline, HSDB, IRIS, NTP, ATSDR, IARC, EXTOXNET, EPA SRS, etc.), STN/CAS online databases (Registry file for locators, ChemAbs for toxicology data, RTECS, Merck, etc.) and Science Direct. OPPT’s focus on these specific sources is based on their being of high quality, highly relevant to hazard characterization, and publicly available.

OPPT does not develop HCs for those HPV chemicals which have already been assessed internationally through the HPV program of the Organization for Economic Cooperation and

¹ U.S. EPA. High Production Volume (HPV) Challenge Program; <http://www.epa.gov/chemrtk/index.htm>.

² U.S. EPA. HPV Challenge Program – Information Sources; <http://www.epa.gov/chemrtk/pubs/general/guidocs.htm>.

³ U.S. EPA. Risk Assessment Guidelines; <http://cfpub.epa.gov/ncea/raf/rafguid.cfm>.

Development (OECD) and for which Screening Initial Data Set (SIDS) Initial Assessment Reports (SIAR) and SIDS Initial Assessment Profiles (SIAP) are available. These documents are presented in an international forum that involves review and endorsement by governmental authorities around the world. OPPT is an active participant in these meetings and accepts these documents as reliable screening-level hazard assessments.

These hazard characterizations are technical documents intended to inform subsequent decisions and actions by OPPT. Accordingly, the documents are not written with the goal of informing the general public. However, they do provide a vehicle for public access to a concise assessment of the raw technical data on HPV chemicals and provide information previously not readily available to the public.

Chemical Abstract Service Registry Number (CASRN)	<u>Sponsored Chemicals</u> 16529-56-9 13284-42-9 25899-50-7 26294-98-4 4635-87-4 16545-78-1 16529-66-1 592-51-8 <u>Supporting Chemicals</u> 109-75-1 107-13-1
Chemical Abstract Index Name	<u>Sponsored Chemicals</u> 3-Butenenitrile, 2-methyl- 2-Pentenitrile 2-Pentenitrile, (2Z)- 2-Pentenitrile, (2E)- 3-Pentenitrile 3-Pentenitrile, (3Z)- 3-Pentenitrile, (3E)- 4-Pentenitrile <u>Supporting Chemicals</u> 3-Butenenitrile 2-Propenenitrile
Formula	See Section 1
Summary The mononitrile category consists of linear straight and branched chain alkene members with a common nitrile functional group at one end of the parent alkene chain. The cis- and trans-isomers of CASRN 13284-42-9 and CASRN 4635-87-4 are also included in the mononitrile category. All eight members are liquids with high water solubility and high vapor pressure. All members are expected to possess high mobility in soil. Volatilization of the members is considered moderate based on estimated Henry's Law constants. Measured hydrolysis data is not available; however, nitriles may be susceptible to hydrolysis. The rate of atmospheric photooxidation is considered moderate to rapid. All members in this mononitrile category are expected to have moderate persistence (P2) and low bioaccumulation potential (B1).	

The acute toxicity of mononitrile category members is moderate via the oral route and moderate to high via the dermal and inhalation routes. In a 29-day oral repeated-dose toxicity study in rats, CASRN 25899-50-7 showed decreased bodyweight and atrophy of nasal dorsal olfactory mucosa at 10 mg/kg-bw/day. Female rats showed reduction in forelimb and hindlimb grip strength at this dose; the NOAEL for systemic toxicity was not established. Repeated inhalation exposure of rats for 28 days to ~ 0.1 mg/L/day CASRN 25899-50-7 showed decreased male body weights, changes in sorbitol dehydrogenase activity and microscopic nasal lesions in rats; the NOAEL for systemic toxicity was ~ 0.01 mg/L/day. No data were submitted for the reproductive/developmental toxicity endpoints. Mononitrile category members induced gene mutations in bacteria and mammalian cells *in vitro*, and induced chromosomal aberrations *in vitro*. Mononitrile category members are not irritating to rabbit or guinea pig skin; however, they do cause eye irritation in rabbits. Mononitrile category members are not skin sensitizers.

The 96-hr LC₅₀ value for the supporting chemical, CASRN 109-75-1, to fish, is 182 mg/L. The 96-hr LC₅₀ value for the supporting chemical, CASRN 107-13-1, to fish, is 9.3 -18.1 mg/L and the 48-hr EC/LC₅₀ value to aquatic invertebrates is 7.6-8.7 mg/L. The 30-day chronic toxicity value of the supporting chemical, CASRN 107-13-1, to fish, is 2.6 mg/L and the 21-day chronic value for aquatic invertebrates is 0.71 mg/L.

The reproductive/developmental toxicity and toxicity to aquatic plants endpoints were identified as data gaps under the HPV Challenge Program.

The sponsor, E.I. du Pont de Nemours and Co., submitted a Test Plan and Robust Summaries to EPA for the mononitrile category on November 12, 2002. EPA posted the submission on the ChemRTK HPV Challenge website on December 20, 2002 (<http://www.epa.gov/oppt/chemrtk/pubs/summaries/mononitr/c14094tc.htm>). EPA comments on the original submission were posted to the website on April 22, 2003. Public comments were also received and posted to the website. The sponsor submitted updated/revised documents on January 6, 2003 and February 12, 2004, which were posted to the ChemRTK website on March 20, 2003 and April 21, 2004, respectively.

The sponsored chemical has since been purchased by another company (INVISTA Chemical). Post-submission testing was performed by INVISTA Chemical and, at the time of this posting [September, 2010], some robust summaries/test results have not yet been received by EPA.

Category Justification

The mononitriles category is composed of linear or branched alkenyl nitriles having the double bond at the 2-, 3- or 4- position. According to the sponsor, the terminal nitrile group and limited chain length of each category member suggest that the eight isomers (including the *cis*- and *trans*- isomers of both 2- and 3-pentenitrile) should have similar physicochemical, environmental and toxicological properties. EPA agrees that the measured and estimated values provided for physical-chemical properties, environmental fate and health effects generally support this conclusion.

In the case of aquatic toxicity, EPA considers vinyl nitriles to be the most toxic chemicals in the category compared with allylic nitriles or with 4-pentenitrile and used the toxicity data for vinyl nitriles as an anchor to evaluate this category.

Justification for Supporting Chemicals

The two supporting chemicals are only used to support the aquatic, not human health, toxicity endpoints. The sponsor provided data for acrylonitrile (2-propenenitrile) and 3-butenitrile in support of the aquatic toxicity endpoints of 2-pentenitrile and 3-pentenitrile, respectively. Acrylonitrile is similar to 2-pentenitrile in that it has a vinylic cyanide and contains two less carbon atoms. 3-Butenenitrile is similar to 3-pentenitrile in that it has an allylic cyanide and contains one less carbon atom. These similarities support the use of these substances as supporting chemicals.

1. Chemical Identity

1.1 Identification and Purity

The test plan states that the mononitriles are liquids. The purity, when given, varied between 37% and 100%.

Table 1 includes structures of the mononitriles and supporting chemicals included in the category.

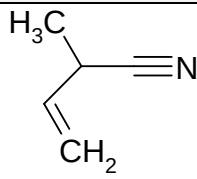
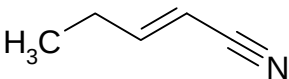
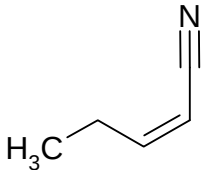
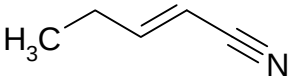
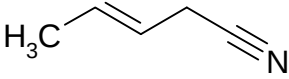
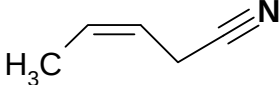
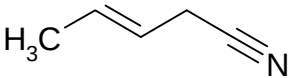
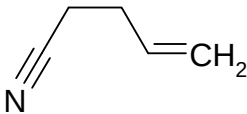
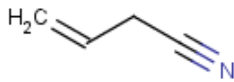
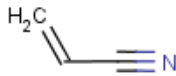
Table 1. Chemical Structures for Mononitriles		
Chemical Name	CASRN	Structure
SPONSORED CHEMICAL		
3-Butenenitrile, 2-methyl-	16529-56-9	
2-Pentenitrile	13284-42-9	 Representative structure ¹
2-Pentenitrile, (2Z)-	25899-50-7	
2-Pentenitrile, (2E)-	26294-98-4	
3-Pentenitrile	4635-87-4	 Representative structure ¹
3-Pentenitrile, (3Z)-	16545-78-1	
3-Pentenitrile, (3E)-	16529-66-1	
4-Pentenitrile	592-51-8	

Table 1. Chemical Structures for Mononitriles		
Chemical Name	CASRN	Structure
SUPPORTING CHEMICAL		
3-Butenenitrile	109-75-1	
2-propenenitrile (Acrylonitrile)	107-13-1	

¹The sponsor indicates that 2-pentenitrile and 3-pentenitrile are composed of mixtures of their respective cis- and trans-isomers

1.2 Physical-Chemical Properties

The physical-chemical properties are summarized in Tables 2 and 3.

The members of the mononitrile category are liquids with high water solubility and high vapor pressure. 2-Pentenitrile and 3-pentenitrile are composed of mixtures of their respective cis- and trans-isomers.

Table 2. Physical-Chemical Properties of the Mononitrile Category¹				
	3-Butenenitrile, 2-methyl-	2-Pentenitrile²	2-Pentenitrile, (2Z)-	2-Pentenitrile, (2E)-
Property	Value	Value	Value	Value
CASRN	16529-56-9	13284-42-9	25899-50-7	26294-98-4
Molecular Weight	81.12	81.12	81.12	81.12
Physical State	Clear liquid	Colorless liquid	Colorless liquid ³	Colorless liquid ³
Melting Point	<25°C ⁴	<25°C ⁴	<25°C ⁴	<25°C ⁴
Boiling Point	121–145°C with decomposition (measured)	127°C (measured)	127–128°C at 766 mm Hg (measured) ⁵	144.7–147.9°C at 746 mm Hg (measured) ⁶
Vapor Pressure	6.4–18.2 mm Hg at 25°C (estimated) ⁷	14.1 mm Hg at 25°C (estimated) ⁷	14.0–14.1 mm Hg at 25°C (estimated) ⁷	5.6– 6.5 mm Hg at 25°C (estimated) ⁷
Water Solubility	6,917 mg/L (measured)	7,472 mg/L (measured)	7,472 mg/L (measured)	7,472 mg/L (measured)
Dissociation Constant (pK _a)	Not applicable	Not applicable	Not applicable	Not applicable
Henry's Law Constant	5.33×10 ⁻⁵ atm-m ³ /mol (estimated) ⁸	2.28×10 ⁻⁴ atm-m ³ /mol (estimated) ⁸	2.28×10 ⁻⁴ atm-m ³ /mol (estimated) ⁸	2.28×10 ⁻⁴ atm-m ³ /mol (estimated) ⁸
Log K _{ow}	1.12 (estimated) ⁸	1.11 (estimated) ⁸	1.11 (estimated) ⁸	1.11 (estimated) ⁸

¹ DuPont. 2004. Revised Test Plan and Robust Summary for the Mononitrile Category. Available online at <http://www.epa.gov/chemrtk/pubs/summaries/mononitr/c14094tc.htm> as of July 7, 2010.

² Measured data presented is for the mixture of cis- and trans-isomers of 2-pentenitrile.

³ Data estimated based on structural similarities between 2-pentenitrile, 2-pentenitrile, (2E)- and 2-pentenitrile, (2Z)-.

⁴ Estimated based on the physical state of the compound and an analog, 1-pentanenitrile (CASRN 110-59-8), which has a melting point of -96°C.

⁵ Aldrich Chemical Company. 2002. Aldrich Handbook of Fine Chemicals and Laboratory Equipment 2003–2004. Milwaukee, WI: Aldrich Chem Co. p. 1422.

⁶ Beilstein literature search.

⁷ NOMO5. 1987. Programs to Enhance PC-Gems Estimates of Physical Properties for Organic Compounds. The Mitre Corp.

⁸ U.S. EPA. 2010. Estimation Programs Interface Suite™ for Microsoft® Windows, v4.00. U.S. Environmental Protection Agency, Washington, DC, USA. Available online at <http://www.epa.gov/opptintr/exposure/pubs/episuitdl.htm> as of July 7, 2010.

Table 3. Physical-Chemical Properties of the Mononitrile Category¹				
Property	3-Pentenitrile²	3-Pentenitrile, (3Z)-	3-Pentenitrile, (3E)-	4-Pentenitrile
	Value	Value	Value	Value
CASRN	4635-87-4	16545-78-1	16529-66-1	592-51-8
Molecular Weight	81.12	81.12	81.12	81.12
Physical State	Colorless to amber liquid	Colorless to amber liquid ³	Colorless to amber liquid ³	Liquid
Melting Point	<25°C ⁴	<25°C ⁴	<25°C ⁴	<25°C ⁴
Boiling Point	144–147°C with decomposition (measured)	144°C (measured)	144–147°C (measured) ⁵	140°C (measured) ⁵
Vapor Pressure	5.9–6.7 mm Hg at 25°C (estimated) ⁶	6.7 mm Hg at 25°C (estimated) ⁶	24.9 mm Hg at 50°C (measured) ⁵ ; 5.9– 6.7 mm Hg at 25°C (estimated) ⁶	8.0 mm Hg at 25°C (estimated) ⁶
Water Solubility	7,924 mg/L (measured)	7,924 mg/L (measured)	7,924 mg/L (measured)	6,794 mg/L (estimated) ⁷
Dissociation Constant (pK _a)	Not applicable	Not applicable	Not applicable	Not applicable
Henry's Law Constant	6.29×10 ⁻⁵ atm-m ³ /mol (estimated) ⁷	6.29×10 ⁻⁵ atm-m ³ /mol (estimated) ⁷	6.29×10 ⁻⁵ atm-m ³ /mol (estimated) ⁷	2.39×10 ⁻⁵ atm-m ³ /mol (estimated) ⁷
Log K _{ow}	1.11 (estimated) ⁷	1.11 (estimated) ⁷	1.11 (estimated) ⁷	1.19 (estimated) ⁷

¹ DuPont. 2004. Revised Test Plan and Robust Summary for the Mononitrile Category. Available online at <http://www.epa.gov/chemrtk/pubs/summaries/mononitr/c14094tc.htm> as of July 7, 2010.

² Measured data presented is for the mixture of cis- and trans-isomers of 3-pentenitrile.

³ Data estimated based on structural similarities between 3-pentenitrile, 3-pentenitrile, (3E)- and 3-pentenitrile, (3Z)-.

⁴ Estimated based on the physical state of the compound and an analog, 1-pentanitrile (CASRN 110-59-8), which has a melting point of -96°C.

⁵ Aldrich Chemical Company. 2009. Aldrich Handbook of Fine Chemicals and Laboratory Equipment 2009–2010. Milwaukee, WI: Aldrich Chem Co. p. 2077.

⁶ NOMO5. 1987. Programs to Enhance PC-Gems Estimates of Physical Properties for Organic Compounds.

⁷ U.S. EPA. 2010. Estimation Programs Interface Suite™ for Microsoft® Windows, v4.00. U.S. Environmental Protection Agency, Washington, DC, USA. Available online at <http://www.epa.gov/opptintr/exposure/pubs/episuitdl.htm> as of July 7, 2010.

2. General Information on Exposure

2.1 Production Volume and Use Pattern

The Mononitrile category chemicals had an aggregated production and/or import volume in the United States between 602 million pounds and one billion 520 million pounds in calendar year 2005.

- CASRN 592-51-8: 1 to <10 million pounds;
- CASRN 4635-87-4 : 500 million to <1 billion pounds;
- CASRN 16529-56-9 : 100 to <500 million pounds;
- CASRN 25899-50-7: 1 to <10 million pounds;

CASRN 13284-42-9, 16529-66-1, 16545-78-1 and 26294-98-4 were not reported in the 2006 IUR.

CASRN 592-51-8, 4635-87-4 and 16529-56-9:

Non-confidential information in the IUR indicated that the industrial processing and uses of the chemicals include other basic organic chemical manufacturing as intermediates. No commercial and consumer uses were reported.

CASRN 25899-50-7:

Non-confidential information in the IUR indicated that the industrial processing and uses of the chemical include other basic organic chemical manufacturing and pharmaceutical and medicine manufacturing as intermediates. No commercial and consumer uses were reported.

2.2 Environmental Exposure and Fate

The environmental fate properties of the mononitrile category are summarized in Tables 4 and 5.

All eight members of the mononitrile category are expected to possess high mobility in soil. A non-GLP compliant OECD Guideline 301B (Modified Sturm) test, in which biodegradability is measured as CO₂ evolution, found 3-pentenitrile, 3-butenitrile, 2-methyl- and 2-pentenitrile, (2Z)- to be not readily biodegradable, with degradation rates of 21% in 28 days, 8% in 21 days, and 3% in 28 days, respectively. Biodegradability of 2-pentenitrile; 2-pentenitrile, (2E)-; 3-pentenitrile, (3E)-; 3-pentenitrile, (3Z)-; and 4-pentenitrile was determined using a read-across approach from the experimental biodegradation (not readily biodegradable) results, based on structural similarities. Measured hydrolysis data are not available; however, nitriles may be susceptible to hydrolysis. The rate of volatilization of all members is considered to be moderate based on estimated Henry's Law constants. All mononitrile category members are expected to have moderate persistence (P2) and low bioaccumulation potential (B1).

Table 4. Environmental Fate Characteristics of the Mononitrile Category¹				
Property	3-Butenenitrile, 2-methyl-	2-Pentenitrile	2-Pentenitrile, (2Z)-	2-Pentenitrile, (2E)-
	Value	Value	Value	Value
CASRN	16529-56-9	13284-42-9	25899-50-7	26294-98-4
Photodegradation Half-life	4.8 hours (estimated) ²	11.3–12.7 hours (estimated) ²	12.7 hours (estimated) ²	11.3 hours (estimated) ²
Hydrolysis Half- life	No data	No data	No data	No data
Biodegradation	8% after 21 days (not readily biodegradable)	No data	3% after 28 days (not readily biodegradable)	No data
Bioaccumulation Factor	BAF = 2.04 (estimated) ²	BAF = 2.09 (estimated) ²	BAF = 2.09 (estimated) ²	BAF = 2.09 (estimated) ²
Log K _{oc}	1.40 (estimated) ²	1.45 (estimated) ²	1.45 (estimated) ²	1.45 (estimated) ²
Fugacity (Level III Model) ²				
Air (%)	1.2	6.0	6.0	6.0
Water (%)	34.6	35.3	35.3	35.3
Soil (%)	64.1	58.5	58.5	58.5
Sediment (%)	<0.1	0.1	0.1	0.1
Persistence ³	P2 (moderate)	P2 (moderate)	P2 (moderate)	P2 (moderate)
Bioaccumulation ³	B1 (low)	B1 (low)	B1 (low)	B1 (low)

¹ DuPont. 2004. Revised Test Plan and Robust Summary for the Mononitrile Category. Available online at <http://www.epa.gov/chemrtk/pubs/summaries/mononitr/c14094tc.htm> as of July 7, 2010.

² U.S. EPA. 2010. Estimation Programs Interface Suite™ for Microsoft® Windows, v4.00. U.S. Environmental Protection Agency, Washington, DC, USA. Available online at <http://www.epa.gov/opptintr/exposure/pubs/episuitd1.htm> as of July 8, 2010.

³ Federal Register. 1999. Category for Persistent, Bioaccumulative, and Toxic New Chemical Substances. *Federal Register* 64, Number 213 (November 4, 1999) pp. 60194–60204.

Table 5. Environmental Fate Characteristics of the Mononitrile Category¹				
Property	3-Butenenitrile, 2-methyl-	2-Pentenitrile	2-Pentenitrile, (2Z)-	2-Pentenitrile, (2E)-
	Value	Value	Value	Value
CASRN	16529-56-9	13284-42-9	25899-50-7	26294-98-4
Photodegradation Half-life	4.8 hours (estimated) ²	11.3–12.7 hours (estimated) ²	12.7 hours (estimated) ²	11.3 hours (estimated) ²
Hydrolysis Half- life	No data	No data	No data	No data
Biodegradation	8% after 21 days (not readily biodegradable)	No data	3% after 28 days (not readily biodegradable)	No data
Bioaccumulation Factor	BAF = 2.04 (estimated) ²	BAF = 2.09 (estimated) ²	BAF = 2.09 (estimated) ²	BAF = 2.09 (estimated) ²
Log K _{oc}	1.40 (estimated) ²	1.45 (estimated) ²	1.45 (estimated) ²	1.45 (estimated) ²
Fugacity (Level III Model) ²				
Air (%)	1.2 34.6	6.0 35.3	6.0 35.3	6.0 35.3
Water (%)	64.1	58.5	58.5	58.5
Soil (%)	<0.1	0.1	0.1	0.1
Sediment (%)				
Persistence ³	P2 (moderate)	P2 (moderate)	P2 (moderate)	P2 (moderate)
Bioaccumulation ³	B1 (low)	B1 (low)	B1 (low)	B1 (low)

¹ DuPont. 2004. Revised Test Plan and Robust Summary for the Mononitrile Category. Available online at <http://www.epa.gov/chemrtk/pubs/summaries/mononitr/c14094tc.htm> as of July 7, 2010.

² U.S. EPA. 2010. Estimation Programs Interface Suite™ for Microsoft® Windows, v4.00. U.S. Environmental Protection Agency, Washington, DC, USA. Available online at <http://www.epa.gov/opptintr/exposure/pubs/episuitd1.htm> as of July 8, 2010.

³ Federal Register. 1999. Category for Persistent, Bioaccumulative, and Toxic New Chemical Substances. *Federal Register* 64, Number 213 (November 4, 1999) pp. 60194–60204.

Conclusion: The mononitrile category consists of linear straight and branched chain alkene members with a common nitrile functional group at one end of the parent alkene chain. The cis- and trans-isomers of 2-pentenitrile and 3-pentenitrile are also included in the mononitrile category. All eight members are liquids with high water solubility and high vapor pressure. All members are expected to possess high mobility in soil. Volatilization of the members is considered moderate based on estimated Henry's Law constants. Measured hydrolysis data is not available; however, nitriles may be susceptible to hydrolysis. The rate of atmospheric photooxidation is considered moderate to rapid. All members in this mononitrile category are expected to have moderate persistence (P2) and low bioaccumulation potential (B1).

3. **Human Health Hazard**

A summary of health effects data submitted for SIDS endpoints is provided in Table 6. The table also indicates where data for tested category members are read-across (RA) to untested members of the category.

Acute Oral Toxicity

2-Methyl-3-butenenitrile (CASRN 16529-56-9)

ChR-CD male rats (1/dose) were administered CASRN 16529-56-9 (dispersed in peanut oil) via gavage at 200, 300, 450, 670, 1000, 1500, 2250 or 5000 mg/kg-bw and observed for 14 days following dosing. Mortality occurred at ≥ 1000 mg/kg-bw.

Approximate Lethal Dose = 1000 mg/kg-bw

cis-2-Pentenitrile (CASRN 25899-50-7)

(1) Male Crl:CD rats (1/dose) were administered CASRN 25899-50-7 (dispersed in corn oil) via gavage at 130, 200, 300, 450, 670, 1000 or 2300 mg/kg-bw and observed for 14 days following dosing. Mortality occurred at doses of ≥ 450 mg/kg-bw.

Approximate Lethal Dose = 450 mg/kg-bw

(2) Female Sprague-Dawley rats (4/dose) were administered CASRN 25899-50-7 via gavage at 31, 63, 125 or 250 mg/kg-bw followed by 14 days observation. One survivor was reported for the high-dose group. This study is a TSCATS submission (OTS0537162; 8EHQ-0492-3057).

LD₅₀ > 125 < 250 mg/kg-bw

3-Pentenitrile (CASRN 4635-87-4)

Male ChR-CD rats (1/dose) were administered CASRN 4635-87-4 via gavage at 90, 130, 200, 300, 450, 670, 2250 or 5000 mg/kg-bw and observed for 14 days following dosing. Mortality occurred at doses of ≥ 300 mg/kg-bw.

Approximate Lethal Dose = 300 mg/kg-bw

4-Pentenitrile (CASRN 592-51-8)

ChR-CD male rats (1/dose) were administered CASRN 592-51-8 via gavage at 200, 300, 450, 670, 1000, 1500, 2250 or 5000 mg/kg-bw and observed for 14 days following dosing. Mortality occurred at ≥ 2250 mg/kg-bw.

Approximate Lethal Dose = 2250 mg/kg-bw

Acute Inhalation Toxicity

2-Methyl-3-butenenitrile (CASRN 16529-56-9)

ChR-CD male rats (6/concentration) were exposed to CASRN 16529-56-9 as a vapor at nominal concentrations of 815, 1060, 1540, 1812, 2416, 2870, 3200 or 3835 ppm (~ 2.7 , 3.5 , 5.1 , 6.0 , 8.0 , 9.5 , 11 and 13 mg/L, respectively) for 4 hours and observed for 14 days following exposure.

Lethality occurred at ≥ 2416 ppm (~ 8.0 mg/L).

LC₅₀ ~ 10 mg/L

2-Pentenitrile (CASRN 13284-42-9)

Rats (5/sex/concentration; strain not specified) were exposed to CASRN 13284-42-9 vapor at concentrations of 20, 120, 560, 790 or 1000 ppm (~ 0.06, 0.40, 1.6, 2.6 and 3.3 mg/L, respectively) for 4 hours and observed for 14 – 28 days following exposure. Lethality occurred at ≥ 790 ppm (2.6 mg/L). The LC_{50} for this study was 740 ppm. This study is a TSCATS submission (OTS0529919; 8EHQ-1190-1121).

$LC_{50} \sim 2.4$ mg/L

cis-2-Pentenitrile (CASRN 25899-50-7)

CrI:CD®BR rats (5/sex/concentration) were exposed nose-only to CASRN 25899-50-7 vapor at mean total concentrations of 18, 140, 650, 900 or 1200 ppm (~ 0.06, 0.46, 2.2, 3.0 and 4.0 mg/L, respectively) followed by a 14- or 28-day observation period. Lethality occurred at 900 and 1200 ppm (~ 3.0 and 4.0 mg/L, respectively) and the LC_{50} was determined to be 850 ppm. **$LC_{50} \sim 2.81$ mg/L**

3-Pentenitrile (CASRN 4635-87-4)

ChR-CD male rats (6/concentration) were exposed to CASRN 4635-87-4 as a vapor at nominal concentrations of 338, 359, 447, 538 or 692 ppm (~ 1.12, 1.19, 1.48, 1.78 and 2.30 mg/L, respectively) for 4 hours and observed for 14 days following exposure. Mortalities were observed at ≥ 359 ppm (~ 1.19 mg/L). The LC_{50} was determined to be 420 ppm.

$LC_{50} \sim 1.39$ mg/L

4-Pentenitrile (CASRN 592-51-8)

ChR-CD male rats (6/concentration) were exposed to CASRN 592-51-8 as a vapor at nominal concentrations of 616, 1308, 2084, 2265, 2948, 3292 or 4606 ppm (~ 2.04, 4.34, 6.91, 7.51, 9.78, 10.9 and 15.2 mg/L, respectively) for 4 hours and observed for 14 days following exposure. Mortalities were observed at ≥ 2265 ppm (~ 7.51 mg/L).

$LC_{50} \sim 8.46$ mg/L

Acute Dermal Toxicity

2-Methyl-3-butenitrile (CASRN 16529-56-9)

New Zealand White rabbits (6 males/dose) were administered a mixture containing 2-methyl-3-butenitrile and 2-methyl-2-butenitrile (74%/18%) via the dermal route at 250, 325, 400 or 700 mg/kg-bw under occluded conditions for 24 hours and observed for 14 days following dosing. Mortality occurred at ≥ 325 mg/kg-bw. All deaths occurred within one day of dosing.

$LD_{50} = 482$ mg/kg-bw

2-Pentenitrile (CASRN 13284-42-9)

New Zealand White rabbits (2/dose) were administered CASRN 13284-42-9 at 63 or 125 mg/kg-bw via the dermal route under unspecified occlusive conditions for 24 hours followed by a 14-day observation period. Mortality was 100% at 125 mg/kg-bw. This study is a TSCATS submission (OTS0537162).

$LD_{50} > 63$ mg/kg-bw < 125 mg/kg-bw

cis-2-Pentenitrile (CASRN 25899-50-7)

Male albino rabbits (1/dose) were administered CASRN 25899-50-7 (purity 67.7 or 37.4%) via the dermal route at 60, 90, 130, 200, 300, 450, 670, 1500, 2500 or 4000 mg/kg-bw under occluded conditions for 24 hours, and observed for 14 days following removal of wrappings.

Mortality occurred at ≥ 300 mg/kg-bw.

Approximate Lethal Dose = 300 mg/kg-bw

Repeated-Dose Toxicity

cis-2-Pentenitrile (CASRN 25899-50-7)

(1) CRL:CD®(SD)IGS BR rats (10 or 20/sex/group) were administered CASRN 25899-50-7 by gavage at 0, 10, 30 or 75 mg/kg-bw/day for 28 (males) or 29 (females) days with or without a 2-month recovery period. An initial high-dose of 100 mg/kg-bw was reduced to 75 mg/kg-bw after 8 days due to excessive mortality. Compound-related reductions in mean body weights, body weight gain, food consumption and mean food efficiency were observed in all treated animals. Reductions in hindlimb grip strength (females only) and degeneration/atrophy of nasal dorsal olfactory mucosa in male and female rats dosed with ≥ 10 mg/kg-bw/day were noted. Decreased red cell mass parameters accompanied by reticulocytosis were observed in high-dose females. Most clinical signs of toxicity were at least partially reversed over the 2-month recovery phase; however, nasal histopathology did not demonstrate reversal. Neurobehavioral signs including head tilt/bob/shake, hyperactivity, hyperreactivity, abnormal gait and/or circling were noted mainly in rats dosed at an initial 100 mg/kg-bw/day or soon after the dose was reduced to 75 mg/kg-bw/day. However, none of these signs were noted during FOB and MA tests performed near the end of the dosing period. Males in the high-dose group as well as females dosed at ≥ 10 mg/kg-bw/day exhibited reductions in forelimb and hindlimb grip strength. At the end of the recovery phase, the reduction in hindlimb grip strength was still evident in high-dose females, although partial recovery was noted. The effects were, however, reversible at nonlethal doses.

LOAEL = 10 mg/kg-bw/day (based on decreased bodyweight, atrophy of nasal dorsal olfactory mucosa, reduction in forelimb and hindlimb grip strength in females)

NOAEL = Not established

(2) CRL:CD®BR rats (15/sex/dose) were exposed via nose-only inhalation to CASRN 25899-50-7 at concentrations of 0, 3.0, 30, 100 or 300 ppm (~ 0.01 , 0.10, 0.34 and 1.0 mg/L) for 6 hours/day 5 days/week for 4 weeks (20 exposures in total). Five rats/sex/concentration were evaluated for systemic toxicity and two replicates of five rats/concentration were designated for neurobehavioral evaluation (functional observation battery [FOB] and motor activity [MA]) and neuropathology. Clinical observations were similar to controls in exposed rats. Decreased body weight and body weight gain were observed in males exposed to 30, 100 and 300 ppm, accompanied by decreased food consumption and efficiency. Sorbitol dehydrogenase activity was decreased in males and females and females exhibited decreased aspartate aminotransferase activity. Females at 300 ppm exhibited increased absolute and relative liver weights and increased relative liver weight at 100 ppm; however, microscopic changes were not observed. At ≥ 30 ppm, males and females exhibited microscopic evidence of primary olfactory epithelial degeneration with secondary necrotic exudate, regeneration/metaplasia and vacuolation of the olfactory nerve bundles. There were no compound-related effects on FOB and MA tests at any exposure concentration in either males or female rats. No compound-related gross or

microscopic changes in nervous tissue were observed at any concentration level.

LOAEL ~ 0.10 mg/L (based on decreased male body weights, changes in sorbitol dehydrogenase activity and microscopic nasal lesions)

NOAEL ~ 0.01 mg/L

Reproductive/Developmental Toxicity

No data were submitted for these endpoints.

Genetic Toxicity – Gene Mutation

In vitro

2-Methyl-3-butenenitrile (CASRN 16529-56-9)

Salmonella typhimurium strains TA97, TA98, TA100, TA1535 and TA1537 were exposed to CASRN 16529-56-9 in two trials at concentrations up to 6667 µg/plate, with and without Aroclor-induced rat and/or hamster liver S9. Appropriate positive control and solvent control tests were conducted concurrently. Cytotoxicity was observed at 6666 µg/plate in the presence of S9 activation and at 3333 µg/plate in the absence of activation. The affected strains were not specified. CASRN 16529-56-9 was equivocal in strain TA97 with and without exogenous activation, but was not mutagenic in the other strains tested at any concentration, with or without S9 activation. The National Toxicology Program (NTP) concluded that 2-methyl-CASRN 4635-87-4 was not mutagenic.

CASRN 16529-56-9 was not mutagenic in this assay.

(2) In an additional NTP study, *Salmonella typhimurium* strains TA97, TA98, TA100, TA1535 and TA1537 were exposed to CASRN 16529-56-9 at concentrations up to 10,000 µg/plate, with and without Aroclor-induced rat and/or hamster liver S9. Appropriate positive and solvent control tests were conducted concurrently. Cytotoxicity was observed at 6666 µg/plate without metabolic activation and at 10,000 µg/plate with and without activation. In two trials, 2-methyl-CASRN 4635-87-4 was weakly positive and equivocal, respectively, without metabolic activation.

CASRN 16529-56-9 was weakly mutagenic in this assay.

2-Pentenitrile (CASRN 13284-42-9)

(1) *Salmonella typhimurium* strains TA97, TA98, TA100, TA1535 and TA1537 were exposed to CASRN 13284-42-9 at concentrations up to 6667 µg/plate, with and without Aroclor-induced rat and/or hamster liver S9. Appropriate positive control and solvent control tests were conducted concurrently, giving expected results. Cytotoxicity was observed at 3333 µg/plate in the presence of S9 activation.

CASRN 13284-42-9 was not mutagenic with or without activation in this assay.

(2) *Salmonella typhimurium* strains TA98, TA100, TA1535 and TA97 were exposed to CASRN 13284-42-9 at concentrations up to 5000 µg/plate, with and without Aroclor-induced rat liver S9. Appropriate positive control and solvent control tests were conducted concurrently, but the results were not specified. Cytotoxicity was observed at 5000 µg/plate in TA98. A weak positive trend was observed in strains TA97 and TA98 and was confirmed in TA98 without

metabolic activation; however, the criteria for a positive finding were not met. This study is a TSCATS submission (OTS0529919).

CASRN 13284-42-9 was equivocal in this assay.

cis-2-Pentenitrile (CASRN 25899-50-7)

(1) Mouse lymphoma cells (L5178Y) were exposed to CASRN 25899-50-7 at 0.1 – 1.0 / $\mu\text{g mL}$ without Aroclor-induced rat liver S9 activation or 0.0040 – 0.04 / $\mu\text{g mL}$ with S9 activation. Cytotoxicity was reported at 1.0 $\mu\text{g /mL}$ without activation and at 0.5 $\mu\text{g /mL}$ with activation. Both nonactivated and activated cultures produced increases in mutant frequency up to 6.3 and 4.2 times greater, respectively, than the mutant frequency of the solvent controls.

CASRN 25899-50-7 was mutagenic in this assay.

(2) *Salmonella typhimurium* strains TA98, TA100, TA1535 and TA1537 were exposed to CASRN 25899-50-7, at concentrations up to 10,000 $\mu\text{g/plate}$, with and without Aroclor-induced rat liver S9. Appropriate positive control and solvent control tests were conducted concurrently, but the results were not specified. Cytotoxicity was determined to be > 10,000 $\mu\text{g/plate}$ in strain TA1535. This study is a TSCATS submission (OTS0529919). **CASRN 25899-50-7 was not mutagenic in this assay.**

3-Pentenitrile (CASRN 4635-87-4)

Salmonella typhimurium strains TA97, TA98, TA100, TA104, TA1535 and TA1537 were exposed to CASRN 4635-87-4 in two studies at concentrations up to 6667 $\mu\text{g/plate}$, with and without Aroclor-induced rat and/or hamster liver S9-mix. Appropriate positive control and solvent control tests were conducted concurrently, giving the expected results. Cytotoxicity was observed in some strains at $\geq 3333 \mu\text{g/plate}$ with and without S9 activation. In the initial study, strain TA97 was weakly positive in two out of four trials with hamster liver S9-mix. Equivocal, weakly positive and positive results were seen in TA1535 in the presence of metabolic activation and in TA100 and TA97 without activation in the second study. Strain TA98 showed no evidence of mutagenic effects either in the presence or absence of metabolic activation.

CASRN 4635-87-4 was weakly positive in this assay.

4-Pentenitrile (CASRN 592-51-8)

In an NTP study conducted in 2002, *Salmonella typhimurium* strains TA98, TA100, TA1535 and TA97 were exposed to CASRN 592-51-8 at concentrations up to 10,000 $\mu\text{g/plate}$, with and without Aroclor-induced rat or hamster liver S9. Appropriate positive and solvent control tests were conducted concurrently, giving expected results. Cytotoxicity was seen at 10,000 $\mu\text{g/plate}$ in the presence and absence of metabolic activation.

CASRN 592-51-8 was not mutagenic in this assay.

Genetic Toxicity – Chromosomal Aberrations

In vitro

cis-2-Pentenitrile (CASRN 25899-50-7)

Chinese hamster ovary cells were exposed to CASRN 25899-50-7 at concentrations up to 810.5 $\mu\text{g/mL}$ in the presence and absence of an exogenous S9 metabolic activation system, for 4 or 20 hours in the non-activated systems and 4 hours in the activated system. All cells were harvested

20 hours after treatment initiation. Cytotoxicity was observed in the 4-hour activated cultures at $\geq 162.1 \mu\text{g/mL}$. The incidence of structural aberrations in the 4- and 20-hour nonactivated test systems was comparable to that of the controls, while the 4-hour S9 activated systems exhibited statistically significant ($p < 0.05$) increases in structural aberrations over controls. This study is a TSCATS submission (8EHQ-0105-15899A).

CASRN 25899-50-7 induced chromosomal aberrations in this assay.

Additional Information

Skin Irritation

2-Methyl-3-butenenitrile (CASRN 16529-56-9)

Male albino guinea pigs (10/group) were exposed to 1 drop of 100% CASRN 16529-56-9 or 50 or 25% in 1:1 solution with acetone-dioxane on shaved, intact skin. No dermal reaction was observed 1 – 2 days following treatment.

CASRN 16529-56-9 was not irritating to guinea pig skin in this study.

cis-2-Pentenitrile (CASRN 25899-50-7)

Six female New Zealand White rabbits were exposed to 0.5 mL of 98.6% CASRN 13284-42-9 on intact, shaved skin under semi-occluded conditions for 24 hours. The contact site was washed and the test sites were evaluated according to the Draize scale at 24 and 48 hours following application of the test material.

CASRN 25899-50-7 was not irritating to rabbit skin in this study.

3-Pentenitrile (CASRN 4635-87-4)

Male albino guinea pigs (10/group) were exposed to 1 drop of 100% CASRN 4635-87-4 or 43% in a 1:1 solution in acetone-dioxane containing 13% guinea pig fat on shaved, intact skin. One animal exposed to 100% CASRN 4635-87-4 exhibited mild erythema 1 day post-exposure. Reaction for primary irritation was not observed on day 2. No dermal reactions were observed in guinea pigs administered 43% CASRN 4635-87-4 1 – 2 days following treatment.

CASRN 4635-87-4 was not irritating to guinea pig skin in this study.

4-Pentenitrile (CASRN 592-51-8) Male albino guinea pigs (10/group) were exposed to 1 drop of 100% CASRN 592-51-8 or 48% in a 1:1 solution in acetone-dioxane containing 13% guinea pig fat on shaved, intact skin. No dermal reactions were observed 1 – 2 days following treatment.

CASRN 592-51-8 was not irritating to guinea pig skin in this study.

Eye Irritation

2-Methyl-3-butenenitrile (CASRN 16529-56-9)

Undiluted CASRN 16529-56-9 was instilled into the right conjunctival sac of each of two rabbits. Twenty seconds after contact, one exposed eye was washed with tap water for 1 minute, while the other exposed eye was not washed. Observations were made with a hand slit-lamp and examinations were conducted 1 day following exposure with fluorescein stain. Transient, very mild corneal injury and conjunctival irritation were seen in the unwashed eye. The similarly

dosed, promptly washed eye exhibited temporary, mild conjunctival irritation.

CASRN 16529-56-9 was mildly irritating to rabbit eyes in this study.

cis-2-Pentenitrile (CASRN 25899-50-7)

Female New Zealand White rabbits (2/dose) were administered CASRN 13284-42-9 by instillation of 0.1 mL into the lower conjunctival sac of the left eye. Twenty seconds after contact, the eyes of one rabbit were washed for 1 minute, while the eyes of the remaining rabbit were not washed. The eyes were examined at 1 and 4 hours, and 1, 2 and 3 days following initial exposure with illumination and magnification and scored according to Draize. No irritation was observed in the treated eye that had been washed after exposure. The treated, unwashed eye exhibited moderate conjunctival redness and blood-tinged discharge. No corneal injury was revealed with fluorescein stain and biomicroscopy.

CASRN 25899-50-7 was moderately irritating to rabbit eyes in this study.

3-Pentenitrile (CASRN 4635-87-4)

Undiluted CASRN 4635-87-4 was instilled into the right conjunctival sac of each of two rabbits. Twenty seconds after contact, one exposed eye was washed with tap water for 1 minute, while the other exposed eye was not washed. Transient, mild corneal injury and conjunctival irritation without significant iritic change was observed.

CASRN 4635-87-4 was mildly irritating to rabbit eyes in this study.

4-Pentenitrile (CASRN 592-51-8)

Undiluted CASRN 592-51-8 was instilled into the right conjunctival sac of each of two rabbits. Twenty seconds after contact, one exposed eye was washed with tap water for 1 minute, while the other exposed eye was not washed. Observations were made with a hand slit lamp and examinations were conducted 1 day following exposure with fluorescein stain. Mild conjunctival irritation without significant iritic or corneal change was observed.

CASRN 592-51-8 was mildly irritating to rabbit eyes in this study.

Skin Sensitization

2-Methyl-3-butenitrile (CASRN 16529-56-9)

Male albino guinea pigs (5/group) received nine dermal applications of 100% 2-methyl-3-butenitrile or four intradermal injections (1% solution in dimethyl phthalate) over a 3-week interval. A 2-week rest period was followed by challenge applications of 100% 2-methyl-3-butenitrile or 50% solution in 1:1 acetone-dioxane to intact and abraded skin. One guinea pig receiving 100% test material on intact skin exhibited mild erythema. No other reactions were reported.

CASRN 16529-56-9 was not a dermal sensitizer in this study.

3-Pentenitrile (CASRN 4635-87-4)

Male albino guinea pigs (5/group) received nine dermal applications of 100% CASRN 4635-87-4 or four intradermal injections (0.85% solution in dimethyl phthalate) over a 3-week interval. A 2-week rest period was followed by challenge applications of 100% CASRN 4635-87-4 or 43% solution in 1:1 acetone-dioxane containing 13% guinea pig fat to intact and abraded skin. Five guinea pigs with intact skin and six with abraded skin exhibited mild erythema one day after

exposure to 100% CASRN 4635-87-4. At 43%, six guinea pigs with abraded skin exhibited mild erythema after exposure. All reactions were negative in intact and abraded skin after 2 days and no sensitization reactions were observed.

CASRN 4635-87-4 was not a dermal sensitizer in this study.

4-Pentenitrile (CASRN 592-51-8)

Male albino guinea pigs (5/group) received nine topical applications of 100% CASRN 592-51-8 or four intradermal injections (0.85% solution in dimethyl phthalate) over a 3-week interval. A 2-week rest period was followed by challenge applications of 100% CASRN 592-51-8 or 48% solution in 1:1 acetone-dioxane containing 13% guinea pig fat to intact and abraded skin. Two and one guinea pigs at 100 and 48%, respectively, exhibited mild erythema in intact skin one day after administration of the challenge dose. No reactions were reported at the 2-day challenge observation for intact skin and at the 1- and 2-day observations for abraded skin.

CASRN 592-51-8 was not a dermal sensitizer in this study.

Conclusion: The acute toxicity of mononitrile category members is moderate via the oral route and moderate to high via the dermal and inhalation routes. In a 29-day oral repeated-dose toxicity study in rats, CASRN 25899-50-7 showed decreased bodyweight and atrophy of nasal dorsal olfactory mucosa at 10 mg/kg-bw/day. Female rats showed reduction in forelimb and hindlimb grip strength at this dose; the NOAEL for systemic toxicity was not established. Repeated inhalation exposure of rats for 28 days to ~ 0.1 mg/L/day CASRN 25899-50-7 showed decreased male body weights, changes in sorbitol dehydrogenase activity and microscopic nasal lesions in rats; the NOAEL for systemic toxicity was ~ 0.01 mg/L/day. No data were submitted for the reproductive/developmental toxicity endpoints. Mononitrile category members induced gene mutations in bacteria and mammalian cells *in vitro*, and induced chromosomal aberrations *in vitro*. Mononitrile category members are not irritating to rabbit or guinea pig skin; however, they do cause eye irritation in rabbits. Mononitrile category members are not skin sensitizers.

Table 6. Summary of the Screening Information Data Set under the U.S. HPV Challenge Program – Human Health Data

Endpoints	SPONSORED CHEMICAL 2-Methyl- 3-butenenitrile (16529-56-9)	SPONSORED CHEMICAL 2-Pentenitrile (13284-42-9)	SPONSORED CHEMICAL <i>cis</i>-2-Pentene- nitrile (25899-50-7)	SPONSORED CHEMICAL <i>trans</i>- 2-Pentenitrile (26294-98-4)	SPONSORED CHEMICAL 3-Pentene- nitrile (4635-87-4)	SPONSORED CHEMICAL <i>cis</i>-3-Pentene- nitrile (16545-78-1)	SPONSORED CHEMICAL <i>trans</i>- 3-Pentene- nitrile (16529-66-1)	SPONSORED CHEMICAL 4-Pentene- nitrile (592-51-8)
Acute Oral Toxicity LD₅₀ (mg/kg-bw)	No Data > 125 < 250 (RA)	No Data > 125 < 250 (RA)	> 125 < 250	No Data > 125 < 250 (RA)	No Data > 125 < 250 (RA)	No Data > 125 < 250 (RA)	No Data > 125 < 250 (RA)	No Data > 125 < 250 (RA)
Acute Inhalation Toxicity LC₅₀ (mg/L)	~ 10	~ 2.4	~ 2.81	No Data ~ 1.39 (RA)	~ 1.39	No Data ~ 1.39 (RA)	No Data ~ 1.39 (RA)	~ 8.46
Acute Dermal Toxicity LD₅₀ (mg/kg-bw)	482	> 63 < 125	No Data > 63 < 125 (RA)	No Data > 63 < 125 (RA)	699	No Data > 63 < 125 (RA)	No Data > 63 < 125 (RA)	> 200
Repeated-Dose Toxicity NOAEL/LOAEL Oral (mg/kg-bw/day)	No Data NOAEL = Not established LOAEL = 10 (RA)	No Data NOAEL = Not established LOAEL = 10 (RA)	NOAEL = Not established LOAEL = 10	No Data NOAEL = Not established LOAEL = 10 (RA)	No Data NOAEL = Not established LOAEL = 10 (RA)	No Data NOAEL = Not established LOAEL = 10 (RA)	No Data NOAEL = Not established LOAEL = 10 (RA)	No Data NOAEL = Not established LOAEL = 10 (RA)

Table 6. Summary of the Screening Information Data Set under the U.S. HPV Challenge Program – Human Health Data

Endpoints	SPONSORED CHEMICAL 2-Methyl- 3-butenenitrile (16529-56-9)	SPONSORED CHEMICAL 2-Pentenitrile (13284-42-9)	SPONSORED CHEMICAL <i>cis</i>-2-Pentene- nitrile (25899-50-7)	SPONSORED CHEMICAL <i>trans</i>- 2-Pentenitrile (26294-98-4)	SPONSORED CHEMICAL 3-Pentene- nitrile (4635-87-4)	SPONSORED CHEMICAL <i>cis</i>-3-Pentene- nitrile (16545-78-1)	SPONSORED CHEMICAL <i>trans</i>- 3-Pentene- nitrile (16529-66-1)	SPONSORED CHEMICAL 4-Pentene- nitrile (592-51-8)
Repeated-Dose Toxicity NOAEL/LOAEL Inhalation (mg/L/day)	No Data NOAEL ~ 0.01 LOAEL ~ 0.10 (RA)	No Data NOAEL ~ 0.01 LOAEL ~ 0.10 (RA)	(4-wk) NOAEL ~ 0.01 LOAEL ~ 0.10	No Data NOAEL ~ 0.01 LOAEL ~ 0.10 (RA)	No Data NOAEL ~ 0.01 LOAEL ~ 0.10 (RA)	No Data NOAEL ~ 0.01 LOAEL ~ 0.10 (RA)	No Data NOAEL ~ 0.01 LOAEL ~ 0.10 (RA)	No Data NOAEL ~ 0.01 LOAEL ~ 0.10 (RA)
Reproductive Toxicity NOAEL/LOAEL (mg/kg-day)	No Data							
Developmental Toxicity NOAEL/LOAEL (mg/kg-day) Maternal and Developmental Toxicity	No Data							
Genetic Toxicity – Gene Mutation <i>In vitro</i>	Weakly positive	Equivocal	Positive	No Data Positive (RA)	Weakly positive	No Data Positive (RA)	No Data Positive (RA)	Negative
Genetic Toxicity – Chromosomal Aberrations <i>In vitro</i>	No Data Positive (RA)	No Data Positive (RA)	Positive	No Data Positive (RA)	No Data Positive (RA)	No Data Positive (RA)	No Data Positive (RA)	No Data Positive (RA)

Table 6. Summary of the Screening Information Data Set under the U.S. HPV Challenge Program – Human Health Data								
Endpoint	SPONSORED CHEMICAL 2-Methyl- 3-butenenitrile (16529-56-9)	SPONSORED CHEMICAL 2-Pentenitrile (13284-42-9)	SPONSORED CHEMICAL <i>cis</i>-2-Pentene- nitrile (25899-50-7)	SPONSORED CHEMICAL <i>trans</i>- 2-Pentenitrile (26294-98-4)	SPONSORED CHEMICAL 3-Pentene- nitrile (4635-87-4)	SPONSORED CHEMICAL <i>cis</i>-3-Pentene- nitrile (16545-78-1)	SPONSORED CHEMICAL <i>trans</i>- 3-Pentene- nitrile (16529-66-1)	SPONSORED CHEMICAL 4-Pentene- nitrile (592-51-8)
Additional Information								
Skin Irritation	Not irritating	—	Not irritating	—	Not irritating	—	—	Not irritating
Eye Irritation	Mildly irritating	—	Moderately irritating	—	Mildly irritating	—	—	Mildly irritating
Skin Sensitization	Negative	—	—	—	Negative	—	—	Negative

Measured data in bold text; (RA) = Read Across; — Endpoint was not addressed for this chemical

4. Hazard to the Environment

Acute aquatic toxicity data submitted for CASRNs 16529-56-9, 13284-42-9, and 4635-87-4 were not included in this hazard characterization. EPA considers the data inadequate because nominal concentrations were used for volatile chemicals that require measured concentrations and closed test systems. A summary of aquatic toxicity data submitted for SIDS endpoints is provided in Table 7. The table also indicates where data for tested category members are read-across (RA) to untested members of the category.

Acute Toxicity to Fish

3-Butenenitrile (CASRN 109-75-1, supporting chemical)

Fathead minnows (*Pimephales promelas*) were exposed to the test substance (CASRN 109-75-1) at average measured concentrations of 0, 73.8, 105, 166, 240 and 350 mg/L under flow-through conditions for 96 hours. Temperature, dissolved oxygen, total hardness, total alkalinity and pH were monitored. Mortality occurred at ≥ 173 mg/L.

96-h LC₅₀ = 182 mg/L

Acrylonitrile (CASRN 107-13-1, supporting chemical)

(1) Fathead minnows (*Pimephales promelas*) were exposed to the test substance (CASRN 107-13-1) at unspecified concentrations under static conditions in hard and soft water. In all test concentrations, analyses were made for DO, pH, alkalinity, acidity, and versenate hardness, both initially and after fish mortality or at the end of the test periods.

96-h LC₅₀ = 14.3 – 18.1 mg/L

(2) Bluegill sunfish (*Lepomis macrochirus*) were exposed to the test substance (CASRN 107-13-1) at a minimum of four test concentrations (unspecified) under flow-through conditions for 96 hours. The test solutions were measured. Volatilization was minimized.

96-h LC₅₀ = 9.3 mg/L

Acute Toxicity to Aquatic Invertebrates

Acrylonitrile (CASRN. 107-13-1, supporting chemical)

(1) *Daphnia magna* were exposed to the test substance (CASRN 107-13-1) under static-renewal conditions for 48 hours with 24-hour renewal intervals. The test was conducted in a closed system.

48-h LC₅₀ = 7.6 mg/L

(2) *Daphnia magna* were exposed to the test substance (CASRN 107-13-1) under static-renewal conditions for 48 hours with 24-hour renewal intervals.

48-h LC₅₀ = 8.7 mg/L

Toxicity to Aquatic Plants

No adequate data were submitted for this endpoint.

Chronic Toxicity to Fish

Acrylonitrile (CASRN 107-13-1, supporting chemical)

Fathead minnows (*Pimephales promelas*), bluegills (*Lepomis macrochirus*) and guppies (*Lebistes reticulatus*) were exposed to the test substance (CASRN 107-13-1) under flow-through conditions for up to 30 days. Thirty-day median tolerance limits (TLM) ranged from 2.4 to 3.0 mg/L.

30-d ChV = 2.6 mg/L

Chronic Toxicity to Invertebrates

Acrylonitrile (CASRN 107-13-1, supporting chemical)

Daphnia magna were exposed to the test substance (CASRN 107-13-1) under static conditions with 24-hour renewal for 48 hours to 21 days.

21-d ChV (reproduction) = 0.71 mg/L

Conclusion: The 96-hr LC₅₀ value for the supporting chemical, CASRN 109-75-1, to fish, is 182 mg/L. The 96-hr LC₅₀ value for the supporting chemical, CASRN 107-13-1, to fish, is 9.3 - 18.1 mg/L and the 48-hr EC/LC₅₀ value to aquatic invertebrates is 7.6-8.7 mg/L. The 30-day chronic toxicity value of the supporting chemical, CASRN 107-13-1, to fish, is 2.6 mg/L and the 21-day chronic value for aquatic invertebrates is 0.71 mg/L.

Table 7. Summary of the Screening Information Data Set as Submitted under the U.S. HPV Challenge Program – Aquatic Toxicity Data

Endpoints	SPONSORED CHEMICALS					SUPPORTING CHEMICAL	SPONSORED CHEMICALS			SUPPORTING CHEMICAL
	4-Pentene-nitrile	2-Methyl-3-butenenitrile	3-Pentene-nitrile	cis-3-Pentene-nitrile	trans-3-Pentene-nitrile	3-Butenenitrile	2-Pentene-nitrile	cis-2-Pentene-nitrile	trans-2-Pentene-nitrile	Acrylonitrile
	(592-51-8)	(16529-56-9)	(4635-87-4)	(16545-78-1)	(16529-66-1)	(109-75-1)	(13284-42-9)	(25899-50-7)	(26294-98-4)	(107-13-1)
Fish 96-h LC₅₀ (mg/L)	No Data 182 (RA)	No adequate data 182 (RA)	No adequate data 182 (RA)	No Data 182 (RA)	No Data 182 (RA)	182	No Data 9.3 – 18.1 (RA)	No adequate data 9.3 – 18.1 (RA)	No Data 9.3 – 18.1 (RA)	9.3 – 18.1
Aquatic Invertebrates 48-h EC₅₀ (mg/L)	No Data 7.6 – 8.7 (RA)	No adequate data 7.6 – 8.7 (RA)	No adequate data 7.6 – 8.7 (RA)	No Data 7.6 – 8.7 (RA)	No Data 7.6 – 8.7 (RA)	–	No Data 7.6 – 8.7 (RA)	No adequate data 7.6 – 8.7 (RA)	No Data 7.6 – 8.7 (RA)	7.6 – 8.7
Aquatic Plants 72-h EC₅₀ (mg/L)	No Data	No Data	No Data	No Data	No Data	–	No Data	No adequate data	No Data	No Data
Chronic Toxicity to Fish 30-day ChV (mg/L)	No Data 2.6 (RA)	No Data 2.6 (RA)	No Data 2.6 (RA)	No Data 2.6 (RA)	No Data 2.6 (RA)	–	No Data 2.6 (RA)	No Data 2.6 (RA)	No Data 2.6 (RA)	2.6
Chronic Toxicity to Invertebrates 21-day ChV (mg/L)	No Data 0.71 (RA)	No Data 0.71 (RA)	No Data 0.71 (RA)	No Data 0.71 (RA)	No Data 0.71 (RA)	–	No Data 0.71 (RA)	No Data 0.71 (RA)	No Data 0.71 (RA)	0.71

bold = measured data (i.e., derived from testing); (RA) = Read Across; – indicates that endpoint was not evaluated for this substance.



TOXICOLOGICAL REVIEW

OF

ACRYLONITRILE

(CAS No. 107-13-1)

**In Support of Summary Information on the
Integrated Risk Information System (IRIS)**

June 2011

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U.S. Environmental Protection Agency
Washington, DC

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(CAS No. 107-13-1)**

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LIST OF ABBREVIATIONS AND ACRONYMS

ABS	AN butadiene styrene
ABT	1-aminobenzotriazole
ADAF	age-dependent adjustment factor
ADUR	AN-derived undialyzable radioactivity
AIC	Akaike's Information Criterion
ALT	alanine aminotransferase
AMAP	amplitude of the motor action potential
AN	acrylonitrile
ASAP	amplitude of the sensory action potential
AST	aspartate aminotransferase
ATP	adenosine triphosphate
ATPase	adenosine triphosphatase
ATSDR	Agency for Toxic Substances and Disease Registry
AUC	area under the curve
BAL	bronchoalveolar lavage
BMC	benchmark concentration
BMCL	95% lower bound of the BMC
BMD	benchmark dose
BMDL	95% lower confidence limit of benchmark dose
BMDS	benchmark dose software
BMR	benchmark response
BrdU	bromodeoxyuridine
BSO	buthionine sulfoximine
BUN	blood urea nitrogen
BW	body weight
CA	chromosomal aberration
CAIII	carbonic anhydrase III
CAP	compound action potential
CASRN	Chemical Abstracts Service Registry Number
CEMA	2-cyanoethyl mercapturic acid
CEO	2-cyanoethylene oxide
CEVal	N-(2-cyanoethyl)valine
CF	correction factor
CHL	Chinese hamster lung
CHO	Chinese hamster ovary
CI	confidence interval
CNS	central nervous system
con-A	concanavalin-A
CSF	cancer slope factor
CV	coefficient of variation
CYP450	cytochrome P450
DEM	diethylmaleate
DEX	dexamethasone
dGMP	deoxyguanosine-5'-monophosphate
DMSO	dimethyl sulfoxide
DNA	deoxyribonucleic acid

DPOAE	distortion product otoacoustic emission
DTH	delayed-type hypersensitivity
EC	exposure concentration
ECG	electrocardiogram
EH	epoxide hydrolase
ERK	extracellular signal-regulated kinase
EROD	ethoxyresorufin-O-deethylase
FISH	fluorescence in situ hybridization
FSH	follicle stimulating hormone
GAPDH	glyceraldehyde-3-phosphate dehydrogenase
GD	gestation day
GEI	gastric erosion severity index
GI	gastrointestinal
GJIC	gap junction intercellular communications
GSH	reduced glutathione
GSSG	glutathione disulfide
GST	glutathione-S-transferase
GSTM	GST of the μ subclass
γ-GTP	γ -glutamyl transpeptidase
Hb	hemoglobin
HbCO	carboxyhemoglobin
HbO	oxyhemoglobin
HEC	human equivalent concentration
HED	human equivalent dose
HMPA	hexamethylphosphoramide
HPLC	high performance liquid chromatography
hprt	hypoxanthine guanine phosphoribosyl transferase
IC₅₀	median inhibitory concentration
Ig	immunoglobulin
i.p.	intraperitoneal
IPCS	International Programme on Chemical Safety
IRIS	Integrated Risk Information System
IUR	inhalation unit risk
i.v.	intravenous
KCN	potassium cyanide
LC₅₀	median lethal concentration
LD₅₀	median lethal dose
LDH	lactate dehydrogenase
LEC	95% lower bound of exposure concentration
LH	luteinizing hormone
LOAEL	lowest-observed-adverse-effect level
LPS	lipopolysaccharide
MCMC	Markov chain Monte Carlo
MCV	motor conduction velocity
MDA	malondialdehyde
mEH	microsomal epoxide hydrolase
MEK	mitogen-activated/ERK-activating kinase
MEL	melatonin
MetHb	methemoglobin

MN	micronucleus, micronuclei
MNU	methylnitrosourea
MP	microsomal protein
4MP	4-methylpyrazole
NAC	N-acetylcysteine
NADP(H)	nicotinamide adenine dinucleotide phosphate (reduced)
NAS	National Academy of Sciences
NCI	National Cancer Institute
NCTB	Neurobehavioral Core Test Battery
NHA	normal human astrocyte
NIHL	noise-induced hearing loss
NIOSH	National Institute for Occupational Safety and Health
NMR	nuclear magnetic resonance
NOAEL	no-observed-adverse-effect level
NRC	National Research Council
NTP	National Toxicology Program
OBN	octave band of noise
OHC	outer hair cell
OR	odds ratio
OTC	L-2-oxothiazolidine-4-carboxylic acid
8-oxodG	8-oxodeoxyguanosine, also referred to as 8-oxo-7,8-dihydro-2'-deoxyguanosine and 8-hydroxy-2'-deoxyguanosine
PB	phenobarbital
PBN	phenyl-N-tertiary-butylnitron
PBPK	physiologically based pharmacokinetic
PC	partition coefficient
PCR	polymerase chain reaction
PH	phorone
PHA	phytohemagglutinin
PK	protein kinase
PMA	phorbol 12-myristate 13-acetate
PND	postnatal day
POD	point of departure
PRL	prolactin
RBC	red blood cell
RfC	reference concentration
RfD	reference dose
RLC	rat liver cell
RNA	ribonucleic acid
ROS	reactive oxygen species
RR	relative risk
s.c.	subcutaneous
SCE	sister chromatid exchange
SCV	sensory conduction velocity
SD	standard deviation
SDH	sorbitol dehydrogenase
SEER	Surveillance, Epidemiology and End Results
SGPT	serum glutamate pyruvate transaminase
SHE	Syrian hamster embryo

SIR	standardized incidence ratio
SMR	standardized mortality ratio
SOD	superoxide dismutase
SRBC	sheep red blood cell
STS	sodium thiosulfate
TAU	taurine
TBARS	thiobarbituric acid-reactive substances
TCPO	1,1,1-trichloropropane-2,3-oxide
6-TG	6-thioguanine
TNF-α	tumor necrosis factor
TPO	3,3,3-trichloropropylene oxide
TRX	trolox
TWA	time-weighted average
UCL	upper confidence limit
UDS	unscheduled DNA synthesis
UF	uncertainty factor
U.S. EPA	U.S. Environmental Protection Agency
v/v	volume/volume
WBC	white blood cell
WHO	World Health Organization
WT	wild-type

FOREWORD

The purpose of this Toxicological Review is to provide scientific support and rationale for the hazard and dose-response assessment in IRIS pertaining to chronic exposure to acrylonitrile. It is not intended to be a comprehensive treatise on the chemical or toxicological nature of acrylonitrile.

The intent of Section 6, *Major Conclusions in the Characterization of Hazard and Dose Response*, is to present the major conclusions reached in the derivation of the reference dose, reference concentration and cancer assessment, where applicable, and to characterize the overall confidence in the quantitative and qualitative aspects of hazard and dose response by addressing the quality of data and related uncertainties. The discussion is intended to convey the limitations of the assessment and to aid and guide the risk assessor in the ensuing steps of the risk assessment process.

For other general information about this assessment or other questions relating to IRIS, the reader is referred to EPA's IRIS Hotline at (202) 566-1676 (phone), (202) 566-1749 (fax), or hotline.iris@epa.gov (email address).

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1. INTRODUCTION

This document presents background information and justification for the Integrated Risk Information System (IRIS) Summary of the hazard and dose-response assessment of acrylonitrile (AN). IRIS Summaries may include oral reference dose (RfD) and inhalation reference concentration (RfC) values for chronic and other exposure durations, and a carcinogenicity assessment.

The RfD and RfC, if derived, provide quantitative information for use in risk assessments for health effects known or assumed to be produced through a nonlinear (presumed threshold) mode of action (MOA). The RfD (expressed in units of mg/kg-day) is defined as an estimate (with uncertainty spanning perhaps an order of magnitude) of a daily exposure to the human population (including sensitive subgroups) that is likely to be without an appreciable risk of deleterious effects during a lifetime. The inhalation RfC (expressed in units of mg/m³) is analogous to the oral RfD, but provides a continuous inhalation exposure estimate. The inhalation RfC considers toxic effects for both the respiratory system (portal of entry) and for effects peripheral to the respiratory system (extrarespiratory or systemic effects). Reference values are generally derived for chronic exposures (up to a lifetime), but may also be derived for acute (≤ 24 hours), short-term (> 24 hours up to 30 days), and subchronic (> 30 days up to 10% of lifetime) exposure durations, all of which are derived based on an assumption of continuous exposure throughout the duration specified. Unless specified otherwise, the RfD and RfC are derived for chronic exposure duration.

The carcinogenicity assessment provides information on the carcinogenic hazard potential of the substance in question and quantitative estimates of risk from oral and inhalation exposure may be derived. The information includes a weight-of-evidence judgment of the likelihood that the agent is a human carcinogen and the conditions under which the carcinogenic effects may be expressed. Quantitative risk estimates may be derived from the application of a low-dose extrapolation procedure. If derived, the oral slope factor is a plausible upper bound on the estimate of risk per mg/kg-day of oral exposure. Similarly, an inhalation unit risk is a plausible upper bound on the estimate of risk per $\mu\text{g}/\text{m}^3$ air breathed.

Development of the hazard identification and dose-response assessments for AN has followed the general guidelines for risk assessment as set forth by the National Research Council (NRC, 1983). U.S. Environmental Protection Agency (U.S. EPA) Guidelines and Risk Assessment Forum Technical Panel Reports that may have been used in the development of this assessment include the following: *Guidelines for the Health Risk Assessment of Chemical Mixtures* (U.S. EPA, 1986a), *Guidelines for Mutagenicity Risk Assessment* (U.S. EPA, 1986b), *Recommendations for and Documentation of Biological Values for Use in Risk Assessment* (U.S. EPA, 1988), *Guidelines for Developmental Toxicity Risk Assessment* (U.S. EPA, 1991), *Interim*

Policy for Particle Size and Limit Concentration Issues in Inhalation Toxicity (U.S. EPA, 1994a), *Methods for Derivation of Inhalation Reference Concentrations and Application of Inhalation Dosimetry* (U.S. EPA, 1994b), *Use of the Benchmark Dose Approach in Health Risk Assessment* (U.S. EPA, 1995), *Guidelines for Reproductive Toxicity Risk Assessment* (U.S. EPA, 1996), *Guidelines for Neurotoxicity Risk Assessment* (U.S. EPA, 1998a), *Science Policy Council Handbook: Risk Characterization* (U.S. EPA, 2000a), *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b), *Supplementary Guidance for Conducting Health Risk Assessment of Chemical Mixtures* (U.S. EPA, 2000c), *A Review of the Reference Dose and Reference Concentration Processes* (U.S. EPA, 2002), *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), *Supplemental Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens* (U.S. EPA, 2005b), *Science Policy Council Handbook: Peer Review* (U.S. EPA, 2006a), and *A Framework for Assessing Health Risks of Environmental Exposures to Children* (U.S. EPA, 2006b).

The literature search strategy employed for this compound was based on the Chemical Abstracts Service Registry Number (CASRN) and at least one common name. Any pertinent scientific information submitted by the public to the IRIS Submission Desk was also considered in the development of this document. The relevant literature was reviewed through February 2011.

2. CHEMICAL AND PHYSICAL INFORMATION

AN (CASRN 107-13-1) is a three-carbon alkene carrying a nitrile substituent group as part of the terminal carbon atom (carbon 1) (Figure 2-1). Synonyms for the compound include vinyl cyanide, propenenitrile, and cyanoethylene, and there are a variety of trade names. AN is a colorless, flammable, and volatile liquid with a weakly pungent onion- or garlic-like odor. Some physical and chemical properties are shown below (NLM, 2003; IPCS, 2002; ATSDR, 1990).

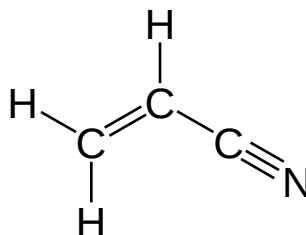


Figure 2-1. Chemical structure of AN.

Formula:	C ₃ H ₃ N
Molecular weight:	53.06
Melting point:	-83°C
Boiling point:	77.4°C
Density:	0.806 g/mL (at 20°C)
Log K _{ow} :	-0.92
Log K _{oc} :	-0.07
Vapor pressure:	100 mm Hg at 22.8°C
Henry's law constant:	8.8 × 10 ⁻⁵ atm-m ³ /mol
Conversion factors:	1 ppm = 2.17 mg/m ³ 1 mg/m ³ = 0.46 ppm

AN is a commercially important chemical with a wide range of uses in the chemical industry. It is used in the production of acrylic and modacrylic fibers, plastics (AN butadiene styrene [ABS] and AN-styrene resins), and nitrile rubbers and as an intermediate in the production of other important chemicals, such as adiponitrile and acrylamide. AN is used in the plastics industry in the formation of surface coatings and adhesives. It is a chemical intermediate in the synthesis of antioxidants, pharmaceuticals, and dyes and, in general, for processes requiring the introduction of a cyanoethyl group into a molecule (NLM, 2003). AN is also used in clinical practice in the form of dialysis tubing (Mulvihill et al., 1992). AN was used occasionally as a fumigant insecticide for stored grain. A measure of the commercial importance of AN may be judged by the amount produced in a given year. The Agency for Toxic Substances and Disease Registry (ATSDR, 1990) reports that 1,112,754 metric tons of AN were produced in the United States in 1987. Production had increased to 1,455,735 metric tons by

1995 (ACS, 2003). Exposure of the general public to AN can potentially occur through migration of residual monomer in polymeric products via contact with food or water. Exposure to airborne AN is possible among members of the general population living in the vicinity of emission sources such as acrylic fiber or chemical manufacturing plants or waste sites (ATSDR, 1990). In addition, smokers are expected to be exposed to AN, which has been detected in cigarette smoke at levels of 3.2–15 mg per cigarette (IARC, 1999).

3. TOXICOKINETICS

Although only limited information on the toxicokinetics of AN in exposed humans is available, a substantial body of evidence has accumulated on the absorption, distribution, metabolism, and excretion of AN in experimental animals. AN is rapidly and nearly completely absorbed, widely distributed among the tissues, and biochemically transformed into several discrete metabolites that are excreted in the urine and, to a much lesser extent, in feces and expired air. Two primary metabolic processes appear to be involved for AN: (1) interaction with reduced glutathione (GSH) and (2) cytochrome P450 (CYP450) 2E1-mediated formation of 2-cyanoethylene oxide (CEO). Each product of these processes can undergo further metabolic transformations. Important data elements that have contributed to the current understanding of the toxicokinetics of AN are summarized in the following sections.

3.1. ABSORPTION

3.1.1. Studies in Humans

Jakubowski et al. (1987) administered AN via inhalation to six male volunteers for 8 hours at concentrations of either 5 or 10 mg/m³ from a chamber. Lung ventilation and retention of AN in the lungs of these individuals were measured by determining the concentrations of AN in the inhaled and expired air. A respiratory retention of 52% was estimated, based on 90 minutes to 8 hours of observation.

3.1.2. Studies in Animals

Most of the available information on the absorption of AN has come from studies in experimental animals. Young et al. (1977) carried out a series of experiments in which [1-¹⁴C]-AN was administered to male Sprague-Dawley rats via the oral, inhalation, or intravenous (i.v.) route. Semiquantitative evidence of extensive absorption of AN has come from an inhalation experiment of Young et al. (1977) in which four rats/group were exposed nose only to 5 or 100 ppm AN vapor for 6 hours. Following the exposure, the animals were kept in metabolism cages and excreta were collected for 220 hours. Total recovered doses estimated from total recovered radioactivity for the low and high exposure levels were 0.7 and 10.2 mg/kg [1-¹⁴C]-AN, respectively. Substantial amounts of the recovered dose were found in urine, feces, and tissues, which indicated that AN has the capacity to be absorbed via the inhalation route (Table 3-1).

Table 3-1. Recovery of radioactivity from male Sprague-Dawley rats exposed to 5 or 100 ppm [1-¹⁴C]-AN for 6 hours via inhalation

Site of recovery	5 ppm	100 ppm
	Percentage of recovered dose (mean ± standard deviation [SD])	
Urine	68.50 ± 9.38	82.17 ± 4.21
Feces	3.94 ± 0.97	3.15 ± 0.82
¹⁴ CO ₂	6.07 ± 1.58	2.60 ± 0.83
Body	18.53 ± 4.68	11.24 ± 2.85
Cage wash	2.95 ± 3.95	0.85 ± 0.58
Total dose (mg/kg)	0.7	10.2

Source: Young et al. (1977).

In the gavage experiments, animals were kept in metabolic cages after a single dose of either 0.1 or 10 mg/kg [1-¹⁴C]-AN (vehicle not stated), and the fate of the radiolabel was monitored for 72 hours. As shown in Table 3-2, only about 5% of the administered radiolabel was recovered in the feces after 72 hours. By contrast, most of the administered radiolabel was recovered in the urine, carcass, and skin, with smaller fractions of the administered radiolabel expired as ¹⁴CO₂. These data suggest that at least 95% of administered AN was absorbed.

Table 3-2. Recovery of radioactivity after a single gavage dose of 0.1 or 10 mg/kg [1-¹⁴C]-AN to male Sprague-Dawley rats

Recovery site	0.1 mg/kg	10 mg/kg
	Percent of dose	
Urine	34.22 ± 6.26	66.68 ± 10.6
Feces	5.36 ± 1.43	5.22 ± 1.17
Expired CO ₂	4.56 ± 1.82	3.93 ± 1.79
Carcass	24.24 ± 5.02	16.04 ± 1.87
Skin	12.78 ± 1.17	10.57 ± 4.55
Total recovery	81.2	102.4

Source: Young et al. (1977).

Ahmed et al. (1983) administered a single oral dose of 46.5 mg/kg AN to male Sprague-Dawley rats in distilled water, using 50 µCi/kg of either [2,3-¹⁴C]- or [1-¹⁴C]-AN as a tracer. Only 8–10% of administered radioactivity from the [2,3-¹⁴C]-AN dose had been excreted in feces 72 hours after dosing, compared with 2% from orally administered [1-¹⁴C]-AN during the same period. Thus, AN was readily absorbed at the gastrointestinal (GI) tract. The time course of radioactivity released from feces showed a major spike of [2,3-¹⁴C]-AN-derived radiolabel after 72 hours. The delayed release suggests that at least a portion of this released radioactivity may have resulted from biliary elimination of absorbed AN. The major peak of released

radiolabel from [1-¹⁴C]-AN was at 24 hours postexposure, possibly indicative of a different metabolic fate for the nitrile portion of the molecule.

Kedderis et al. (1993a) administered [2,3-¹⁴C]-AN orally to male F344 rats (0.09–28.8 mg/kg) and male B6C3F₁ mice (0.09–10 mg/kg). Three to five percent of the administered dose was recovered in the feces of rats after 72 hours. Between 2 and 8% of the dose was recovered in the feces of male B6C3F₁ mice. These data indicate the near-complete absorption of the compound when administered via the oral route.

3.2. DISTRIBUTION

The toxicokinetic experiments of Young et al. (1977) provide data on the deposition of radiolabel when male Sprague-Dawley rats were exposed to [1-¹⁴C]-AN via inhalation, gavage, or i.v. injection. As shown in Table 3-1, an average of 11.24% of total recovered dose (10.2 mg/kg [1-¹⁴C]-AN) was obtained in the tissues when a group of four rats was exposed to 100 ppm of [1-¹⁴C]-AN for 6 hours via inhalation, and excreta were collected for 220 hours. Another group exposed to 5 ppm [1-¹⁴C]-AN had an average of 18.53% of the recovered radiolabel (0.7 mg/kg [1-¹⁴C]-AN) deposited in the tissues. When the fate of radiolabel administered by gavage was monitored, combining the recoveries of the administered radioactivity in carcass and skin gave a value of 37% for tissue deposition at the lower AN concentration (0.1 mg/kg) vs. 27% at the higher concentration (10 mg/kg) (Table 3-2), indicating possible metabolic saturation at the higher dose. The percentage of expired CO₂ was lower for the high-dose group than the low-dose group (3.93 vs. 4.56%), providing support for possible metabolic saturation.

Young et al. (1977) examined the distribution of the radiolabel among the major organs and tissues after oral and i.v. administration of [1-¹⁴C]-AN. Radioactivity was detected in several tissues, including lungs, liver, kidneys, stomach, intestines, skeletal muscle, heart, spleen, brain, thymus, testes, skin, carcass, and blood cells. Of these, the stomach, red blood cells (RBCs), and skin appeared to be the most important deposition sites for radiolabeled AN or its metabolites, regardless of the route of administration, dose level, or time. Radioactivity found in the stomach was highest after either oral or i.v. route and was not due to unabsorbed AN since similar results were obtained with either i.v. or oral dosing.

In a follow-up time course experiment, Young et al. (1977) administered 10 mg/kg [1-¹⁴C]-AN intravenously via the tail vein to three male Sprague-Dawley rats and examined the distribution of radioactivity to the stomach and adrenal glands. The level of radioactivity in the stomach and its contents increased from 5 minutes to 24 hours postinjection. On the other hand, radioactivity was high in the adrenal gland at 5 minutes but decreased 13-fold in 24 hours. Radioactivity was observed in the bile of a single bile-duct-cannulated rat, indicating biliary excretion. Maximal radioactivity in bile was observed after 15 minutes but declined at later time points.

When both sexes of adult Sprague-Dawley rats (including some pregnant animals) and two cynomolgus monkeys were exposed orally (26 mg/kg) or intravenously (13 mg/kg) to single doses of [1-¹⁴C]-AN, whole-body autoradiography from 20 minutes after injection primarily showed radioactivity in the bile, intestinal contents, and urine (Sandberg and Slanina, 1980). Other organs showing accumulation of isotope in the autoradiogram were blood, liver, kidney, lung, and adrenal cortex, in which the activity declined slowly during 24 hours. There also was uptake of the label in the stomach mucosa and hair follicles. Distribution of radioactivity in fetal tissue following i.v. and oral administration to pregnant rats was uniform and showed a low uptake compared to maternal tissue. An exception was found in the eye lens, in which the radioactivity exceeded that of the maternal blood (Sandberg and Slanina, 1980).

Jacob and Ahmed (2003a) used whole-body autoradiography to examine the distribution of 11.5 mg/kg [2-¹⁴C]-AN administered orally or intravenously to male F344 rats 5 minutes and 8, 24, and 48 hours postexposure. Levels of radioactivity per gram of tissue were highest 5 minutes after oral dosing for stomach lumen and mucosa, small intestine lumen and mucosa, liver, nasal mucosa, spleen, and kidney; other tissues (including the lung, brain, spinal cord, thyroid, and testis) had peak levels at 8 hours. Covalently bound radioactivity was detected 48 hours later in stomach mucosa, blood, and hair follicles. Five minutes following i.v. administration, the highest levels of radioactivity per gram of tissue were detected in the lung, liver, spleen, small intestine lumen, kidney, epididymis, and adrenal gland. At 24 hours, tissues that showed peak levels included bone marrow, brain, lacrimal gland, and testis. Tissues with the highest level at 24 hours included the lung, liver, and bone marrow. The levels of covalent bound radioactivity (nCi/g) were higher 48 hours after i.v. exposure compared with oral exposure, with bound radioactivity retained in liver, spleen, bone marrow, lung, kidney, and adipose tissue ranging from 2.5 to 23 times higher following i.v. exposure. The only organs that retained higher levels of covalently bound radioactivity 48 hours following oral exposure were the stomach mucosa (3×) and heart blood (5.7×). The total radioactive dose retained in animals after i.v. and oral exposures were 70 and 38%, respectively. Jacob and Ahmed (2003a) concluded that the metabolism and distribution of AN is greatly influenced by the portals of entry, with a higher amount of AN metabolized and excreted following oral exposure compared with exposure by i.v. injection. Rapid delivery of AN after i.v. treatment resulted in fast conjugation and/or covalent interaction of the parent compound with biological molecules, resulting in minimal metabolism and excretion in urine or feces.

Sapota (1982) administered 40 mg/kg AN in saline, containing either 40 µCi/kg [1,2-¹⁴C]-AN or [1-¹⁴C]-AN to male Wistar rats, either via gavage or intraperitoneally. Tissue distribution of radioactivity as measured by liquid scintillation counting after intraperitoneal (i.p.) and oral administration showed that the highest specific radioactivity in tissue (nCi/g) was found in RBCs, liver, and kidneys. Tissue-wide recovery of the radiolabel from either [1,2-¹⁴C]-AN or [1-¹⁴C]-AN at 2, 8, and 24 hours after a single oral dose is shown in Table 3-3.

Statistically significant differences were observed in the distribution of radioactivity from the two forms of labeled AN in RBCs, plasma, liver, and kidney at 8 and 24 hours after administration. More rapid loss of tissue radioactivity in the liver, kidneys, and brain was also observed after oral administration of [1-¹⁴C]-AN than [1,2-¹⁴C]-AN. These results suggested different pathways for disposition and biotransformation of the cyano and vinyl moieties of the AN molecule.

Table 3-3. Percentage recovery of radioactivity in tissues of male Wistar rats following a single oral dose of radiolabeled AN

Target organ/ tissue	Recovered radioactivity (percent of dose in tissue)					
	Distribution from [1- ¹⁴ C]-AN			Distribution from [1,2- ¹⁴ C]-AN		
	2 h	8 h	24 h	2 h	8 h	24 h
RBCs	5.36	4.82 ^a	5.45	5.31	7.27 ^a	6.72
Plasma	2.63	4.00 ^a	0.50 ^a	1.93	1.92 ^a	1.70 ^a
Liver	6.13	1.21 ^a	1.00 ^a	7.00	5.98 ^a	2.67 ^a
Kidney	1.17	0.27 ^a	0.15 ^a	0.82	0.77 ^a	0.30 ^a
Spleen	0.22	0.10	0.08	0.14	0.17	0.10
Lung	0.36	0.25	0.25	0.30	0.27	0.11
Brain	0.25	0.12 ^a	0.09	0.24	0.25 ^a	0.12
Total	16.1	10.8	7.5	15.7	16.6	11.7

^a*p* < 0.05; significant difference between [¹⁴CN]-AN and [1,2-¹⁴C]-AN at the same time point.

Source: Sapota (1982).

In an in vivo study on the interaction of orally administered 46.5 mg/kg [1-¹⁴C]-AN or 5 mg/kg K¹⁴CN with rat blood, Farooqui and Ahmed (1982) reported that up to 94% of ¹⁴C from AN in RBCs was covalently bound to cytoplasmic and membrane proteins. On the other hand, 90% of the radioactivity from K¹⁴CN in erythrocytes was bound to the heme fraction of hemoglobin (Hb), indicating that CN⁻ liberated from potassium cyanide (KCN) interacted with heme. In addition, distribution of ¹⁴C from erythrocytes of rats treated with [1-¹⁴C]-AN showed that more than 40% of total radioactivity was localized in membrane residue, 20–35% in the globin fraction, and 11–25% in the heme fraction. In contrast, 70% of ¹⁴C from K¹⁴CN in red cells was localized in the heme fraction, 14–25% in globin, and 5–10% in cell membrane. The study authors concluded that KCN interacted with rat blood mainly through liberation of CN⁻, which was bound to heme. Since AN was found to be mainly covalently bound to cell membranes, AN might cause damage to RBCs by mechanisms other than the release of CN⁻.

In male Wistar rats that received 40 mg/kg AN (about half the median lethal dose [LD₅₀]) by gavage in water, peak blood levels of AN (2 µg/mL) were detected 1.5 hours after dosing (Shibata et al., 2004). By 2.5 hours after dosing, blood levels of AN had dropped to less than 0.2 µg/mL. Ten hours after dosing, AN was still detectable in blood at 50 ng/mL.

Ahmed et al. (1983, 1982) studied the distribution of AN administered to male Sprague-Dawley rats by gavage with a dose equivalent to one-half the LD₅₀ (46.5 mg/kg). In the first experiment (Ahmed et al., 1982), the dose additionally contained 50 µCi/kg [1-¹⁴C]-AN. In the second experiment (Ahmed et al., 1983), both [2,3-¹⁴C]-AN (both carbons in the vinyl moiety were radiolabeled) and [1-¹⁴C]-AN were studied in rats administered an oral dose of 46.5 mg/kg.

Radioactivity from both forms of labeled AN or its metabolites initially was sequestered mainly in the stomach and stomach content, followed by the rest of the GI tract, including small and large intestines. The GI tract contained the highest levels of radioactivity up to 72 hours before beginning to decline, suggesting that AN or its metabolites were re-secreted in the stomach (Ahmed et al., 1983). In addition, radioactivity became widely distributed in all tissues within 1–6 hours after dosing, with liver, kidney, and blood showing higher radioactivity than muscle, fat, and bone (Ahmed et al., 1983, 1982). Heart, spleen, brain, and thymus showed maximum concentrations between 3 and 6 hours. By 24 hours after administration, the levels of radioactivity found in liver, kidney, and lung began to decline, resulting in 10- to several 100-fold reductions from peak concentrations over the course of the 10-day experiment. However, in several tissues, the decline of radioactivity was much lower: at 10 days postdosing, radiolabel concentrations had declined only 2.4-fold in skin, 2.9-fold in blood, 3.8-fold in spleen, and 4.9-fold in eyes, as compared with peak levels.

Two differently labeled AN preparations were administered to rats to elucidate potential differences in distribution and metabolism between the cyano and vinyl groups. One important finding of these studies was that radioactivity in blood was predominantly in the RBCs, especially for radioactivity from [2,3-¹⁴C]-AN. Radioactivity from [1-¹⁴C]-AN in plasma was higher than that from [2,3-¹⁴C]-AN. For radioactivity from [1-¹⁴C]-AN in RBCs, 40% was localized in membrane residue, 20–35% in the globin fraction, and 11–25% in the heme fraction. In contrast, 50% of radioactivity from [2,3-¹⁴C]-AN was in the membrane fraction, 45% in the globin fraction, and only a trace amount in the heme fraction.

In addition, compared to [1-¹⁴C]-AN administered to animals, the percentage of covalent binding of [2,3-¹⁴C]-AN to proteins was significantly higher even 72 hours after dosing. Subcellular distribution of radioactivity from [2,3-¹⁴C]-AN was also different from that derived from [1-¹⁴C]-AN. For [2,3-¹⁴C]-AN, the cytosol fraction attained the lowest covalent protein binding in tissues. The percentage of covalently bound radioactivity in tissues relative to the total increased four- to fivefold over that of the 1-hour level. At 72 hours after administration, the highest bound radioactivity was in the mitochondrial fractions of kidney, spleen, lung, and heart. However, in liver, the microsomal fraction contained the highest radioactivity (Ahmed et al., 1983).

For [1-¹⁴C]-AN, covalent binding to macromolecules in tissues remained unchanged over time. Cytosol contained the highest levels of total radioactivity in the six tissues (liver, kidney, spleen, brain, lung, and heart) selected for the study of subcellular distribution. Twenty to 40%

of total radioactivity was bound to nuclear, mitochondrial, or microsomal fractions. Only 6–14% of total radioactivity was bound to cytosol over 6 hours (Ahmed et al., 1982).

Farooqui and Ahmed (1983a) demonstrated the irreversible binding of radiolabel from [2,3-¹⁴C]-AN to proteins and nucleic acids *in vivo*. AN was administered by gavage as a bolus dose of 46.5 mg/kg (one-half LD₅₀) in distilled water to male Sprague-Dawley rats (3–4/group). Proteins were extracted by chloroform-isoamyl alcohol-phenol, and ribonucleic acid (RNA) and deoxyribonucleic acid (DNA) were isolated by hydroxyapatite chromatography. Protein binding at 1 hour after dosing was highest in spleen and stomach, followed by liver, brain, and kidney. Protein binding in spleen and stomach declined after 1 hour, whereas binding in liver, kidney, and brain increased. At 6 hours after dosing, protein binding fell to lower values in spleen and stomach but increased in other tissues. Binding plateaued in all tissues between 6 and 48 hours, with levels in spleen > liver > stomach > kidney > brain. Binding to RNA was highest in liver, stomach, and brain, with liver attaining a maximum by 6 hours and stomach and brain by 24 hours. The subsequent decline until 48 hours was also slow. DNA binding did not reach a maximum until 24 hours after dosing, with levels in brain > stomach > liver. Again, the decline of DNA-bound radioactivity during the following 24 hours was slow. Binding of AN to DNA in brain, stomach, and liver was 56, 45, and 5 µmol AN per mol DNA, respectively, at 24 hours. The study authors also calculated a covalent binding index for DNA, defined as the ratio of (µmol AN bound per mol DNA) to (mmol AN applied per kg body weight [BW]). The values for brain, stomach, and liver were 65, 52, and 6, respectively.

Silver et al. (1987) examined the distribution of 100 mg [1-¹⁴C]-AN in female Sprague-Dawley rats after *i.v.* injection to investigate why this procedure induced acute hemorrhagic necrosis of the adrenal gland 2 hours after administration and why this damage was more prominent with *i.v.* injection than with oral administration. Total radioactivity was found to be highest in the blood, liver, kidney, duodenum, and adrenals 15–90 minutes following *i.v.* injection of [1-¹⁴C]-AN. (This result was largely in agreement with the whole-body autoradiographic findings of Sandberg and Slanina [1980] in rats and monkeys.) Total radiolabel in blood increased over this time period, whereas the total radiolabel in other organs remained constant or decreased with time. The level of covalently bound radiolabel in the adrenals was lower than that observed in blood, liver, kidney, forestomach, and glandular stomach.

Silver et al. (1987) also administered the same dose of [1-¹⁴C]-AN in water by gavage to female Sprague-Dawley rats (four/group) and investigated the distribution of radiolabel up to 24 hours after dosing. Total radioactivity was highest during the first 8 hours after dosing in the blood, GI tract, liver, and kidney. At 24 hours after dosing, the highest total radioactivity was found in the blood, forestomach, and glandular stomach. The highest level of covalently bound radioactivity was found in these same tissues during the first 8 hours after dosing and remained highest in the blood and forestomach at 24 hours after dosing. The study authors concluded that their observations would not support a role of covalent binding in the hemorrhagic effect of AN

on the adrenals. Rather, the initial high concentrations of radiolabel from AN might play a role in the action of AN on the adrenal gland. However, it should be noted that in both studies, the cyano carbon, not the vinyl carbons, was labeled.

The rapidity with which AN was biotransformed and distributed to the brain as its epoxide metabolite, CEO, was reported in two studies (Kedderis et al., 1993b; Roberts et al., 1991). Roberts et al. (1991) administered 4 mg/kg AN to male F344 rats and B6C3F₁ mice (three/group) and measured CEO levels in blood at 0.5, 1, 4, or 24 hours after dosing. Higher levels of CEO were found in rat blood than in mouse blood. In addition, CEO was cleared from mouse blood in 4 hours, but was cleared in rat blood in 24 hours. The dose dependence of CEO concentrations in blood was also evaluated. Blood CEO was measured 0.5 hours after oral dosing in F344 rats given either 0, 1, 4, 10, or 30 mg/kg AN and in B6C3F₁ mice given either 0, 1, 4, 8, or 10 mg/kg AN. Blood CEO concentrations increased with dose in rats and mice but at higher concentrations in rats at the same doses.

In the first experiment by Kedderis et al. (1993b), three male F344 rats and three male B6C3F₁ mice were administered 10 mg/kg AN in water by gavage. The rats were sacrificed 10 minutes after dosing, while the mice were sacrificed 5 minutes after dosing. CEO concentrations from blood and brains of rats and mice were measured. Higher CEO concentrations were found in the blood and brains of rats than in mice (13% higher in blood and 23% higher in brain). In addition, CEO concentration in rat blood 10 minutes after oral administration was about twice the concentration previously reported by Roberts et al. (1991) at 30 minutes after oral dosing of 4 mg/kg AN to three male F344 rats. On the other hand, CEO concentration in mice 5 minutes after oral administration was about 10 times higher than that reported at 30 minutes after oral dosing of 4 mg/kg AN to three male B6C3F₁ mice (Roberts et al., 1991). These results suggested that CEO was rapidly cleared in both rats and mice and that the clearance of CEO in mice was more rapid than in rats.

Kedderis et al. (1993b) also administered 3 mg/kg [2,3-¹⁴C]-CEO orally to F344 rats and B6C3F₁ mice to determine the tissue distribution of radioactivity from labeled CEO after 2 and 24 hours. Radioactivity from labeled CEO was widely distributed in major organs of rats and mice 2 hours after administration, with the highest level of radioactivity found in the stomach and intestines of rats and mice. However, radioactivity detected in the stomach and intestines of mice was only about 15 and 40%, respectively, of that detected in rats, suggesting that mice absorbed CEO more rapidly than rats (Kedderis et al., 1993b). By 24 hours, radioactivity decreased by 71–90% in all tissues, including the brain, liver, and lung. Stomach and intestines continued to retain the highest level of radioactivity, probably due to covalent binding of CEO to macromolecules in these organs.

Burka et al. (1994) monitored the tissue distribution of radiolabel derived from [2-¹⁴C]-AN after oral dosing at 0.87 mmol/kg (46 mg/kg) to untreated, phenobarbital (PB)-pretreated, or SKF 525A pretreated male F344 rats (three/group). PB induces a number of

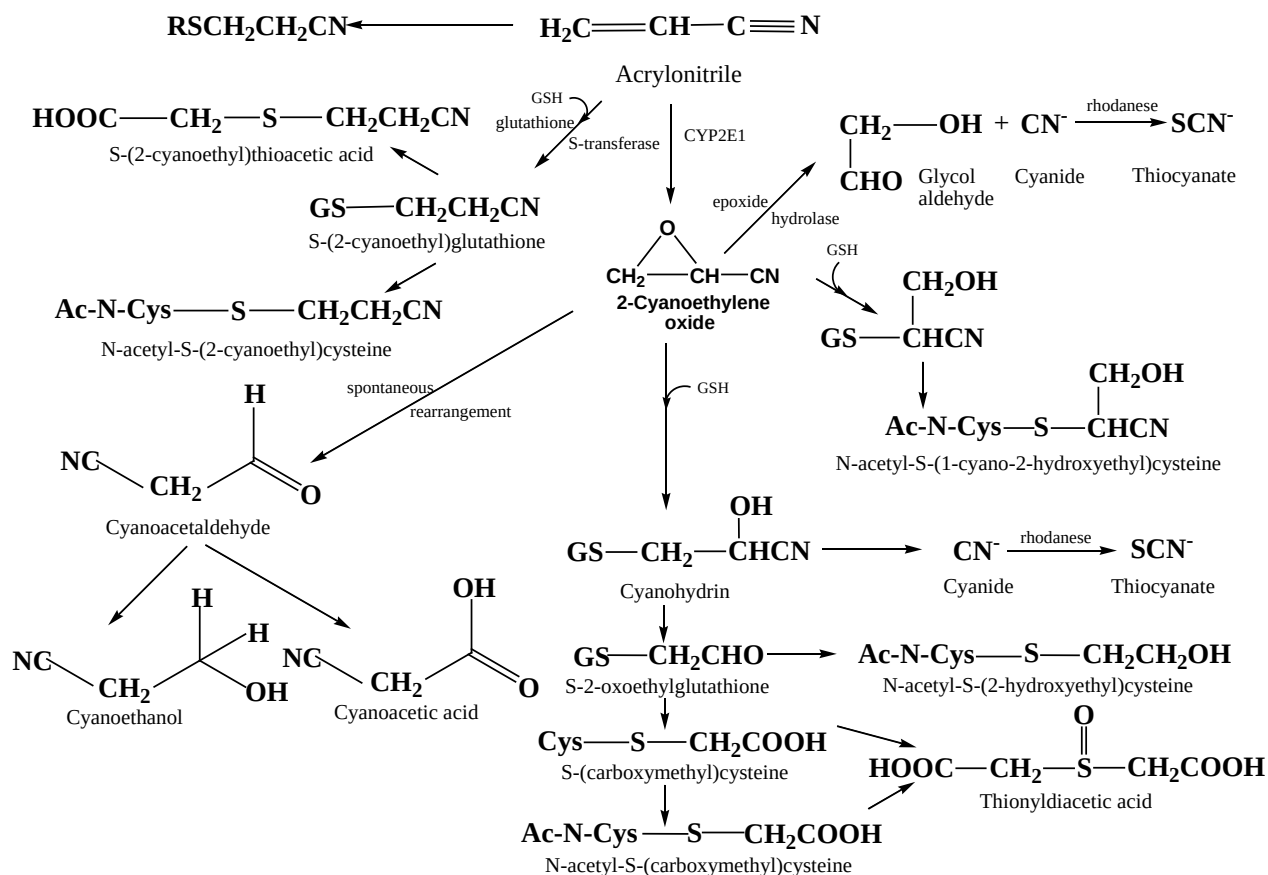
CYP450 isozymes, including CYP2B1 and CYP2B2, whereas SKF 525A is a general inhibitor of CYP450. After 24 hours, about 10% of the administered dose in untreated rats was present in the blood with a further 4% sequestered in the tissues. In PB-pretreated rats, a 40% increase in AN-derived radioactivity was found in both the liver and glandular stomach when compared with rats treated with AN alone, with no changes in other tissues. However, AN-derived radioactivity in most tissues of SKF 525A pretreated rats were up to 278% higher, suggesting the involvement of CYP450 metabolism in the disposition of AN and/or its metabolites. (AN reacts more rapidly with tissue nucleophiles than CEO; hence, decreasing its oxidative metabolism to CEO would increase tissue binding of radiolabel.) Because PB pretreatment had little effect on tissue distribution, the isoforms of CYP450 induced by PB are probably not the ones involved in the metabolism of AN. It is known that AN is metabolized by CYP2E1, not CYP2B1 or CYP2B2, to CEO (see Section 3.3). PB might increase AN-derived radioactivity in the liver and stomach by inducing other enzymes, such as nicotinamide adenine dinucleotide phosphate (NADPH)-cytochrome CYP450 reductase.

Ahmed et al. (1996a) monitored the tissue distribution of AN-derived radioactivity in F344 rats (four/group) up to 48 hours following i.v. injection of 11.5 mg/kg of [2-¹⁴C]-AN (50 µCi/kg). The study authors used whole-body autoradiography to chart a time course of tissue deposition and obtained the highest levels of activity in lung (998 nCi/mg), intestinal contents (752 nCi/mg), liver (713 nCi/mg), and spleen (539 nCi/mg) 5 minutes after dosing. Other tissues with high radiolabel at this time point were the kidney (283 nCi/mg), epididymis (266 nCi/mg), adrenal gland (241 nCi/mg), intestinal mucosa (245 nCi/mg), heart-blood (166 nCi/mg), bone-marrow (178 nCi/mg), thyroid (121 nCi/mg), adipose tissue (169 nCi/mg), and lacrimal gland (122 nCi/mg), while the brain, spinal cord, and testis had the lowest levels of radioactivity. At 8 hours after dosing, the contents of the large intestine, especially the cecum, had the highest level of radioactivity (852 nCi/mg). Radioactivity in brain (92 nCi/mg), lacrimal gland (294 nCi/mg), and thyroid (211 nCi/mg) peaked at 24 hours after dosing, while radioactivity level in bone marrow (698 nCi/mg) peaked at 48 hours. Covalent bound radioactivity, as determined after acid-extraction techniques on freeze-dried sections, was observed in the spleen, liver, bone marrow, and lung.

3.3. METABOLISM

A proposed scheme for the metabolic pathways of AN in mammals is shown in Figure 3-1. The scheme has been developed as a result of studies on the identification of urinary metabolites following acute exposure (Fennell and Sumner, 1994; Kedderis et al., 1993a; Fennell et al., 1991; Turner et al., 1989; Müller et al., 1987; Tardiff et al., 1987; Gut et al., 1985; Kopecky et al., 1980; Langvardt et al., 1980), measurements of the in vivo modulation of AN-induced toxicological and biochemical changes by enzyme inhibitors or inducers (Wang et al., 2002; Sumner et al., 1999; Burka et al., 1994; Kedderis et al., 1993c; Pilon et al., 1988a, b;

Ghanayem and Ahmed, 1986; Ahmed and Abreu, 1981; Abreu and Ahmed, 1980), determination of the subcellular distribution of metabolic products of AN (Nerland et al., 2001; Ahmed et al. 1996a), and analysis of metabolites formed in vitro by subcellular fractions of liver, lung, and kidney in response to AN administration (Mostafa et al., 1999; Kedderis et al., 1995; Kedderis and Batra, 1993; Roberts et al., 1991, 1989; Hogg, 1986; Geiger et al., 1983; Ahmed and Abreu, 1981; Guengerich et al., 1981; Abreu and Ahmed, 1980).



Source: National Toxicology Program (NTP) (2001).

Figure 3-1. Scheme for the metabolic transformation of AN.

Primary components of the scheme are formation of CEO by the action of mixed function oxidases (predominantly CYP2E1), detoxification of AN by interaction with GSH, and covalent binding of AN reactive metabolite to other biological macromolecules.

In rats, CYP2E1 is present in the liver and widespread among other tissues. This isoform can be induced in the tongue, esophagus, forestomach squamous epithelia (Shimizu et al., 1990), and intestinal mucosa (Subramanian and Ahmed, 1995). It is present, albeit at levels 10–20 times lower than in liver, in such tissues as kidney and lung. It is also present in the brain (Geng and Strobel, 1993; Sohda et al., 1993). The formation of CEO has important implications

for the toxicity of AN, because the intermediate has been proposed as the principal carcinogenic metabolite of AN. However, CEO can undergo a number of further transformations. These include the interaction with GSH to form a series of cysteine or N-acetyl cysteine derivatives and the production of cyanide via the action of epoxide hydrolase (EH). Subsequent detoxification of cyanide to thiocyanate is thought to occur under the action of rhodanese (Kopecky et al., 1980).

3.3.1. Oxidation of AN to CEO

Evidence for the oxidation of AN to CEO and its subsequent transformations came from a number of studies. Abreu and Ahmed (1980) studied the *in vitro* conversion of AN to cyanide in subcellular fractions of liver from Sprague-Dawley rats (also reported in Ahmed and Abreu [1981]). The metabolic activity was localized in the microsomal fraction and required NADPH, $MgCl_2$, and oxygen for maximal activity. Determination of the kinetic parameters (K_m and V_{max}) of the transformation of AN to cyanide pointed to a higher affinity and faster rate of product formation in microsomes from rats pretreated with CYP450-inducing agents, such as Aroclor 1254 and PB. (Six AN concentrations ranging from 10 to 300 mM were used for each preparation.) The K_m values calculated for the PB and Aroclor 1254 preparations were 54.8 and 40.9 mM, respectively, and were lower than the control (190 mM). Pretreatment of rats or addition to incubation mixtures with agents that inhibit CYP450 activity, such as SKF 525A or cobalt chloride, reduced the amount of cyanide formed by rat liver microsomes.

Abreu and Ahmed (1980) studied the effect on cyanide formation when 1,1,1-trichloro-propane-2,3-oxide (TCPO), a specific inhibitor of EH, was added to the microsomal incubation mixtures. Production of cyanide was dose-dependently reduced to 19% of control levels at TCPO concentration of 1×10^{-2} M. Abreu and Ahmed (1980) also tested the effect of sulfhydryl compounds on microsomal metabolism of AN, as measured by the rate of cyanide formation. Only cysteamine decreased cyanide formation; other sulfhydryl compounds, including GSH and cysteine, enhanced the rate of cyanide formation from AN.

Abreu and Ahmed (1980) suggested that probably more than one step was involved in the enzymatic conversion of AN to cyanide. The study authors proposed the initial product of AN oxidation to be CEO, which could then undergo a number of alternative transformations, one of which would be non-enzymatic conversion to cyanide. That another transformation might involve EH was indicated by the decrease in cyanide formation following administration of the inhibitor TCPO. Because cyanide production was enhanced in the presence of sulfhydryl compounds, such as GSH, chemical interaction of CEO with GSH could lead to formation of cyanohydrin. Rearrangement of this cyanohydrin to an aldehyde could result in the release of cyanide. Cysteamine might diminish cyanide formation due to its inhibition of CYP450-dependent metabolism (Buckpitt et al., 1979).

Geiger et al. (1983) studied the conversion of AN to its metabolic products in isolated rat hepatocytes and demonstrated the formation of CEO and its hydrolysis to cyanide, which itself was transformed and detected as thiocyanate.

In vitro incubation of AN with liver microsomes isolated from male F344 rats pretreated with inhibitors or inducers of specific members of the CYP450 family of mixed function oxidases indicated that CYP2E1 is the major catalyst in the oxidation of AN to CEO (Kedderis et al., 1993c). The rate ($V_{\max}$) at which rat liver microsomes oxidized AN to CEO was increased more than fivefold from $V_{\max}$ of 366 pmol CEO/minute-mg for untreated rats following acetone pretreatment, although K_m was increased from 11 to 19 μ M. Because acetone is a potent inducer of CYP2E1, the data suggest that this isoform is a primary catalyst of AN epoxidation in rats. Treatment with β -naphthoflavone to induce CYP1A1 and CYP1A2 or with dexamethasone (DEX) to induce the CYP3A enzymes increased $V_{\max}$ only less than twofold, but K_m was increased by 3.5 and 5.2-fold, respectively, for AN epoxidation in these two pretreatment systems. Treatment with PB to induce CYP2B1 and CYP2B2 slightly decreased the $V_{\max}$ but increased the K_m in microsomes from rats. These studies demonstrated that other forms of CYP450 (CYP2B1, CYP2B2, and the 3A enzymes) can oxidize AN but with specific activities much lower than CYP2E1.

The effect of a number of CYP450 inhibitors on epoxidation of 1.2 mM AN by rat hepatic microsomes was investigated (Kedderis et al., 1993c). Neither SKF 525A nor metyrapone were effective inhibitors, retaining 87% of control activity. After treatment of rats with DEX or PB, SKF 525A became a more effective inhibitor, retaining 45 and 47% of control activity. Metyrapone also became a more effective inhibitor of epoxidation of AN after DEX treatment. The CYP450 ligand, 1-phenylimidazole, was a potent inhibitor of AN epoxidation (4% of control activity). Chlorzoxazone (27%), ethanol (42%), and diethyldithiocarbamate (17%) also inhibited this pathway. The changes in the degree of inhibition of epoxidation of AN following DEX and PB treatments could be interpreted as multiple CYP450 enzymes from rat hepatic microsomes were capable of oxidizing AN.

Antibodies to CYP2E1 (sheep or goat anti-rabbit CYP2E1) inhibited more than 85% of AN epoxidation in liver microsomes from untreated or acetone-treated rats but only 40 and 60% inhibition following DEX and PB treatment, respectively (Kedderis et al., 1993c), suggesting that CYP450 enzymes other than CYP2E1 might participate in the epoxidation. However, it should be noted that the AN concentration in these in vitro studies was high (1 mM). Forms of CYP450 enzymes other than CYP2E1 might have been recruited to AN metabolism.

Kedderis et al. (1993c) also investigated the kinetics of the epoxidation of 1.2 mM AN by using human hepatic microsomes. K_m and $V_{\max}$ values for oxidation of AN by liver microsomes from six uninduced individuals ranged from 12 to 18 μ M and from 129 to 315 pmol/minute-mg, respectively. Antibodies to CYP2E1 produced 58–70% inhibition of AN epoxidation catalyzed

by the six human liver microsomal preparations. This suggests that, while CYP2E1 was the major catalyst of AN epoxidation in humans, other isoforms of CYP450 may also be involved.

Sumner et al. (1999) investigated the role of CYP450 in the metabolism of AN in mice. Three male wild-type (WT) mice and three to four male CYP450 2E1-null mice were treated orally with either 2.5 mg or 10 mg/kg of [1,2,3-¹³C] AN. Urinary metabolites in samples collected over 24-h were characterized using ¹³C nuclear magnetic resonance (NMR). In WT mice, urinary metabolites of CEO predominated, with metabolites derived from GSH conjugation at the 3-carbon of CEO accounting for 67–71%. Metabolites from GSH conjugation at the 2-carbon accounted for about 13%. Metabolites from direct GSH conjugation with the parent compound, AN, accounted for 15–21%. In the urine of CYP2E1-null mice, however, only metabolites from direct GSH conjugation were detected. Sumner et al. (1999) interpreted their data as indicating that CYP2E1 may be the only CYP450 involved in the metabolism of AN in mice.

Subramanian and Ahmed (1995), attempting to characterize the specific intestinal toxicity of AN, incubated microsomes isolated from male Sprague-Dawley rat intestinal mucosa in vitro with AN in the presence of NADPH. AN metabolism to cyanide was enhanced by the addition of sulfhydryl compounds such as GSH, cysteine, and D-penicillamine. AN metabolism to cyanide was also enhanced following the induction of microsomal proteins (MPs) by treating rats with PB (inducer of CYP2B1), β -naphthoflavone (inducer of CYP1A1), and 4-methylpyrazole (inducer of CYP2E1). AN metabolism to cyanide was inhibited to 8 and 20% of control, respectively, when dimethyl sulfoxide (DMSO) or ethanol (competitive inhibitors of CYP2E1) was added to the incubation mixtures.

Subramanian and Ahmed (1995) showed that the intestinal CYP450 isoform had a high affinity for AN, with a K_m of 1.1 μ M and a V_{max} of 1,250 pmol/mg protein/minute. Addition of DMSO in varying concentrations (final 30 mM) increased the K_m of the reaction to 10 μ M, but V_{max} remained unchanged. Since DMSO is a specific substrate and competitive inhibitor for CYP2E1, these studies indicated that CYP2E1 was the main CYP450 isoform that bio-activates AN in the intestine. In addition, anti-P450 3a immunoglobulin (Ig)G (which cross-reacts with rat CYP2E1) caused a concentration-dependent inhibition of the metabolism of AN to cyanide in the ethanol-induced intestinal microsomes (Subramanian and Ahmed, 1995). These results showed that CYP2E1 was the main intestinal mucosa enzyme metabolizing AN to cyanide.

Similarly, Abdel-Aziz et al. (1997) demonstrated the metabolism of AN to cyanide when AN was incubated in vitro with NADPH and a microsomal fraction prepared from Sprague-Dawley rat testis. The V_{max} of this reaction was 65 pmol CN⁻/mg protein/minute, and the K_m was 88.6 μ M AN. Addition of SKF 525A or benzimidazole (competitive inhibitors of CYP450) to the incubation mixture inhibited the formation of cyanide, whereas microsomes obtained from PB-treated rats increased activation of AN to cyanide. Thus, AN was metabolized in rat testis

via CYP450 mixed function oxidase. Addition of GSH, L-cysteine, D-penicillamine, or 2-mercaptoethanol also enhanced the release of cyanide from AN.

The capability of rat kidney to metabolize AN to cyanide was demonstrated by Mostafa et al. (1999) in an in vitro study that investigated the mechanism by which AN caused renal toxicity. In renal subcellular fractions from Sprague-Dawley rats, the metabolism of AN to cyanide was highest in the microsomal fraction. An NADPH-generating system in the presence of magnesium ions was required for maximal activity. The $V_{\max}$ of this reaction was 118 pmol $\text{CN}^-/\text{mg protein/minute}$, and the K_m was 160 μM AN. Metabolism of AN to cyanide was increased when microsomes were obtained from PB-, ethanol-, 4-methylpyrazole-, and 3-methylcholanthrene-treated rats. On the other hand, addition of SKF 525A or benzimidazole to the incubation mixture inhibited AN metabolism. These data suggested that AN was metabolized in the kidney via a CYP450-dependent mixed function oxidase system. Addition of GSH, L-cysteine, cysteamine, D-penicillamine, or 2-mercaptoethanol to the incubation mixture enhanced AN metabolism.

Ahmed and Patel (1981) carried out a series of single-dose gavage experiments on male Sprague-Dawley rats and male Swiss mice at fractions of the LD_{50} values of AN and KCN. (The LD_{50} of AN is 93 mg/kg in rats and 27 mg/kg in mice; the LD_{50} of KCN is 10 mg/kg in rats and 8.5 mg/kg in mice.) Cyanide was measured and detected in blood, liver, kidney, and brain of both rats and mice 1 hour after administration in a dose-dependent manner. However, cyanide concentrations from metabolism of one LD_{50} AN in blood and tissues of rats were significantly lower than those produced from one LD_{50} of KCN. On the other hand, comparable concentrations of cyanide in blood and tissues were observed after one LD_{50} AN or KCN was administered to mice. Blood and liver contained higher amounts of cyanide per unit volume than kidney and brain (the other two organs evaluated).

Observed signs of toxicity were also different in rats and mice administered an LD_{50} of AN. Rats developed severe cholinomimetic signs including salivation, lacrimation, diarrhea, wheezing on expiration, and peripheral vasodilatation within 10 minutes after administration of 93 mg/kg AN. These signs were not observed in rats treated with KCN. Severe central nervous system (CNS) effects such as depression, convulsions, and asphyxia were observed in rats 10–20 minutes after treatment with 10 mg/kg KCN (LD_{50}). These CNS signs of cyanide toxicity were observed in AN-treated rats 2–3 hours after dosing. No physiological adverse effects were observed in rats receiving 0.25 LD_{50} AN. Mild salivation, diarrhea, and vasodilation were observed after one-half LD_{50} AN in rats. However, in mice treated with equitoxic dose (LD_{50} 27 mg/kg) AN, CNS signs identical to those observed after KCN was administered were observed. These results demonstrated species differences in the toxicity and metabolism of AN.

Moreover, Ahmed and Patel (1981) showed that pretreatment of rats with Aroclor 1254 and PB increased AN metabolism to cyanide in rats, and pretreatment of rats with CoCl_2 or SKF 525A decreased blood cyanide concentrations. These results showed that the AN

transformations demonstrated by Abreu and Ahmed (1980) in vitro also could take place in vivo. In addition, increased metabolism of AN to cyanide would increase CNS effects. However, acute AN toxicity was also manifested as cholinomimetic signs, which were not from cyanide.

Shibata et al. (2004) employed headspace gas chromatography to simultaneously measure blood levels of AN and its metabolite, hydrogen cyanide, following oral administration to rats. Plasma and urinary thiocyanate concentrations were also measured by the colorimetric method. Male Wistar rats that received 40 mg/kg AN (about half the LD₅₀) by gavage in water showed toxic signs such as tachycardia 1 hour later. Peak blood levels of AN (2 µg/mL) and cyanide (0.7 µg/mL) were detected 1.5 hours after dosing. By 2.5 hours after dosing, blood levels of AN had dropped to less than 0.2 µg/mL and blood levels of cyanide decreased to 0.1 µg/mL; at that time, thiocyanate was detected in plasma (20 µg/mL). Plasma thiocyanate concentrations rose over time, peaking at 5 hours (31.3 µg/mL). At the same time, excretion of thiocyanate in urine began to increase significantly. Ten hours after dosing, AN was still detectable in plasma at 50 ng/mL, but cyanide had decreased to a background level of about 5 ng/mL. The cumulative urinary elimination of thiocyanate gradually increased, and at 10 hours, about 1.2 mg thiocyanate was excreted into the urine. This amount was calculated to be 7% of the total administered AN. Urinary AN level was not measured.

The capacity for formation of CEO from AN has been demonstrated in F344 rat liver microsomes, lung microsomes, and isolated lung cells. The rate of CEO formation in rat lung was cell specific, with the Clara cell-enriched fraction having a rate of CEO formation 7 times greater than other cell fractions (Roberts et al., 1989). The overall rate of CEO formation was about 15 times greater in the livers than the lungs (Roberts et al., 1989).

Roberts et al. (1991) provided data on the kinetics of CEO formation in liver and lung microsomes isolated from male F344 rats, B6C3F₁ mice, and humans (Table 3-4). While CEO was produced in vitro by lung and liver microsomes in both rats and mice, the metabolite was produced at a greater rate in liver compared with lung and in mice vs. rats. These data potentially implicated the liver as the primary site of CEO formation after oral challenge with AN but suggested differences in the kinetics of CEO formation between species. The rate of CEO formation in microsomes isolated from human livers was comparable to that of F344 rats, but about 4 times lower than that of B6C3F₁ mice. The average rate of CEO formation in liver microsome samples from six human donors was 501 ± 112 pmol/minute-mg protein. (The almost eightfold variation in enzymatic activity among these human samples appeared to correlate with the amount of CYP450 in each preparation.) However, after oral administration of AN, the concentration of CEO in mouse blood was about one-third that in rat blood at all doses and time points tested. Thus, blood CEO concentration did not correlate with rate of microsomal CEO formation, suggesting that species differences in detoxification of CEO might play a role in determining CEO concentrations in blood. The single human lung microsome sample tested in

the study formed 0.55 pmol CEO/minute-mg protein, which was much lower than that for rat liver or lung microsomes.

Table 3-4. Apparent kinetic parameters of CEO formation from AN in B6C3F1 mice, F344 rats, and humans

Tissue	Species	$V_{\max}$ (pmol/min-mg protein)	K_m (μ M) ^a
Liver	Mouse	2,801 ^a	67
	Rat	667 ^a	52
	Human	501 ^b	Not available
Lung	Mouse	570 ^a	1,229
	Rat	45 ^a	1,854
	Human	0.55 ^c	Not available

^aValues are the mean of eight replicates.

^bValue is the mean of six human donors.

^cValue is from one human donor.

Source: Roberts et al. (1991).

Guengerich et al. (1981) used a reconstituted enzyme system containing purified rat liver CYP450 and NADPH-P450 reductase and a NADPH-generating system to oxidize AN in vitro to a metabolite that they identified colorimetrically as CEO. The extent of CEO accumulation was decreased by the addition of purified rat liver EH to the incubation medium. When 0.5 mM CEO was incubated with 30 μ g/mL purified EH, the rate that CEO was hydrolyzed was 5.5 nmol/minute. The rate of disappearance of CEO (due to nonenzymatic hydrolysis) was 1.7 nmol/minute in the absence of EH or in the presence of inactivated EH. HCN was released at a rate of 1.5 nmol/minute during the hydrolysis of CEO by EH. (The rate of HCN release was 0.2 nmol/minute in the absence of EH.) The study authors suggested that the reason the HCN release was not stoichiometric with epoxide disappearance might be due to a finite level of cyanohydrin existing in solution. A K_m of 0.8 mM and a $V_{\max}$ of 300 nmol/minute-mg based on disappearance of CEO was estimated for the hydrolysis of CEO by purified rat liver microsomal EH. The half-life of CEO in 0.1 M potassium phosphate was estimated to be about 2 hours at 37°C (Guengerich et al., 1981).

Kopecky et al. (1980) also investigated the role of EH and the generation of hydrogen cyanide from AN metabolism. AN was incubated in vitro with liver microsomes isolated from female Wistar rats, with and without cofactors (NADP, Mg^{2+} , etc.) for 60 minutes. After the incubation, the mixtures were adjusted to either pH 1.8 (acidic processing) or 6.3 (alkaline processing). Cyanide release, in the presence of cofactors, was found to be fourfold higher under alkaline processing condition than acidic processing condition. The role of EH in AN metabolism in rats was supported by the increase in cyanide release after alkaline processing, indicating the existence of a cyanohydrin intermediate (glycolaldehyde cyanohydrin) in the

biotransformation of AN. (Cyanohydrins generally decompose spontaneously to hydrogen cyanide and a carbonyl compound at pH higher than 7.) Moreover, when 3,3,3-trichloropropylene oxide (TPO), a potent inhibitor of EH, was added to the incubation mixture, the conversion of AN to cyanide was decreased by 70%. This result also provided evidence for the participation of the cyanohydrin in AN metabolism because the hydration of CEO to glycolaldehyde cyanohydrin was significantly inhibited by TPO.

Kedderis and Batra (1993) compared the rates of CEO hydrolysis, enhanced by liver cytosol and microsomes from rats, mice, and humans, to the background rate of non-enzymatic hydrolysis displayed by the chemical. [2,3-¹⁴C]-CEO was incubated at pH 7.3 and 37°C for 5 minutes with liver cytosol or microsomes. [2,3-¹⁴C]-CEO and its hydrolysis products were separated by high performance liquid chromatography (HPLC). The identity of the hydrolysis products could not be determined and did not correspond to aldehydes. Human hepatic microsomes enhanced the formation of hydrolysis products of CEO, whereas both human hepatic cytosol and liver cytosol and microsomes from F344 rats and B6C3F₁ mice had no effect on hydrolysis product formation from CEO. The study authors concluded that rodent hepatic microsomal and cytosolic EHs were not active toward CEO, contrary to conclusions developed by Guengerich et al. (1981) on rat purified microsomal EH and the conclusions by Kopecky et al. (1980).

One possible explanation that EH activity was not observed by Kedderis and Batra (1993) in microsomes from rats and mice was that their enzymatic reactions had not been optimized. No cofactors were used in the incubation mixture, and the incubation duration was only for 5 minutes. Both Kopecky et al. (1980) and Guengerich et al. (1981) used an NADPH-generating system in their incubation mixture.

Kedderis and Batra (1993) also showed that the heat-labile human EH activity was inhibited by the specific inhibitor, 1,1,1-trichloropropene oxide (median inhibitory concentration [IC₅₀] of 23 µM), indicating that EH was the catalyst in the hydrolysis of CEO. The half-life of CEO in sodium phosphate buffer (pH 7.3), as estimated from hydrolysis by human liver microsomes, was 99 minutes. Estimated K_m using liver microsomes from six individuals ranged from 0.6 to 3.2 mM. V_{max} ranged from 8.3 to 18.8 nmol hydrolysis products/minute-mg protein. The affinity of the human liver microsomal EH for CEO was relatively low, suggesting that the contribution of the hydrolysis pathway to the clearance of CEO would be small at low substrate concentrations. Increase in microsomal hydrolysis was observed after treatment of mice and rats with PB or acetone, suggesting that CEO hydrolysis is inducible. However, treatment of rats and mice with AN did not induce hepatic EH activity towards CEO (Kedderis and Batra, 1993).

Studies that demonstrated that EH is present in rats and humans are also available. Immunoblot analysis of MPs was used by de Waziers et al. (1990) to measure EH in different organs and tissues of rats and humans. They reported that EH occurred in rat liver microsomes at 165 µg/mg protein and in human liver microsomes at 170 µg/mg protein. Therefore, the

concentrations of EH in MP are similar in rats and humans. Guengerich et al. (1979) also reported that multiple forms of EH exist in rats and humans.

EH activity was demonstrated in mice in a recent study, contrary to the conclusion by Kedderis and Batra (1993). El Hadri et al. (2005) demonstrated that microsomal EH was present in WT mice, which metabolized AN administered by gavage to cyanide in a dose- and time-dependent manner. Blood cyanide levels in microsomal EH-null mice treated with a gavage dose of 0.047–0.38 mmol/kg AN were lower than levels in similarly treated WT mice. Blood cyanide level was also largely abolished in CYP2E1-null mice and in WT mice pretreated with a nonselective CYP inhibitor, 1-aminobenzotriazole (ABT), confirming that CYP2E1 was the key enzyme for the epoxidation of AN and the subsequent formation of cyanide. AN-treated CYP2E1- and mEH-null mice showed less severe symptoms of cyanide poisoning (labored breathing, lethargy, and trembling) than similarly treated WT mice (El Hadri et al., 2005). Significantly higher levels of AN-derived blood cyanide levels were observed in male mice than in female mice, suggesting gender-related differences in toxicity. Western blot analysis also demonstrated that expression of soluble EH was greater in male than female mice.

Detection and identification of urinary metabolites of AN from male F344 rats or B6C3F₁ mice exposed orally to [1,2,3- ¹³C]-AN (10 or 30 mg/kg for rats, 10 mg/kg for mice), using ¹³C NMR spectroscopy, also offered more information of its possible metabolic interactions (Fennel and Sumner, 1994; Fennel et al., 1991). As detailed in Section 3.4.2.3, some of the hypothetical metabolites of AN shown in Figure 3-1 were detected in the urine of animals exposed to AN. A major urinary metabolite in rats was N-acetyl-S-(2-cyanoethyl)cysteine, from conjugation of AN with GSH. Other metabolites, formed following oxidation of AN to CEO and subsequent conjugation to GSH, were identified as N-acetyl-S-(2-hydroxyethyl)cysteine, thiodiglycolic acid, S-carboxymethylcysteine, and thionylidiacetic acid, all derived from addition of GSH to the 3-position of CEO. Thiocyanate was detected in urine as a metabolite of released cyanide. Moreover, N-acetyl-S-(1-cyano-2-hydroxyethyl)cysteine was formed after addition of GSH to the 2-position of CEO (Fennel and Sumner, 1994). These metabolites were also found in mouse urine.

Species differences in the extent of AN metabolism via oxidation to CEO, and subsequent conjugation of CEO with GSH, may exist. Fennell et al. (1991) and Fennell and Sumner (1994) noted differences in the relative abundance of these urinary metabolites in mice compared with rats. After oral administration of 10 mg/kg AN to mice, 80% of the urinary metabolites were derived from CEO, most notably thiodiglycolic acid and S-(carboxymethyl)-cysteine. By contrast, these metabolites made up only 60% of metabolites in the urine from rats administered orally with 10 or 30 mg/kg AN. This difference indicated that more CEO was produced in the mouse than in rats. In addition, the ratio of metabolites derived from glutathione conjugation of CEO at the 2- and 3-positions determined the amount of cyanide released, since cyanide is released from CEO metabolites conjugated at the 3-position. This ratio was 0.43 in

rats and 0.21 in mice, indicating that a greater percentage of the CEO produced in mice was metabolized to release cyanide. Thus, mice were likely to be exposed to a higher cyanide level produced from CEO, possibly accounting for the greater acute toxicity of AN in the mouse (Fennel and Sumner, 1994).

Wang et al. (2002) confirmed the central role of CYP2E1 in the metabolism of AN to cyanide via CEO. Male WT and CYP2E1-null mice were dosed by gavage with 0, 2.5, 10, 20, or 40 mg/kg AN, and cyanide was measured in blood and tissues. Expression of CYP2E1 and EH was monitored concurrently using Western blot techniques. Cyanide concentrations in blood and tissues of AN-treated WT mice increased dose dependently but remained at background levels in CYP2E1-null mice or control WT mice. Results from Western blots showed CYP2E1 to be well expressed in the liver, kidney, and lung of WT mice and not detected in tissues of CYP2E1-null mice. EH was equally expressed in both WT and CYP2E1 mice, supporting the hypothesis that CYP2E1-mediated oxidation of AN is an early step in the metabolism of AN to cyanide. The role of cyanide in the acute toxicity of AN was confirmed by the lack of acute symptoms of AN toxicity in CYP2E1-null compared with WT mice. Pretreatment of WT mice with a universal CYP450 inhibitor, ABT, likewise blocked cyanide formation and abolished the symptoms of acute toxicity. Wang et al. (2002) concluded that the metabolism of AN to CEO was exclusively catalyzed by CYP2E1.

3.3.2. Interaction of AN with GSH

Young et al. (1977) suggested that since the toxicity of AN was due to the parent compound (AN) or its oxidative metabolites, the cyanoethylation of sulfhydryl-containing compounds, such as GSH or cysteine, by AN represented a detoxification mechanism. This metabolic pathway was shown indeed to play a role in the detoxification of AN (Ghanayem and Ahmed, 1986; Ghanayem et al., 1985; Appel et al., 1981). The toxicity of AN would be expected to increase in severity as the GSH level becomes depleted (Benz et al., 1997a).

Kopecky et al. (1980) administered 0.75 mmol/kg AN or 0.5 mmol/kg [1-¹⁴C]-AN to female Wistar rats by different routes (oral, i.p., subcutaneous [s.c.], and i.v.) and measured radioactivity and thiocyanate excreted in the urine. “Non-thiocyanate” metabolites excreted in urine constituted about two-thirds of the administered dose. Paper chromatography of the metabolites in urine identified AN mercapturic acid as the key metabolite. Kopecky et al. (1980) proposed that there were at least two pathways for AN metabolism. The minor route was oxidative metabolism to cyanide, which was further metabolized to thiocyanate and other “non-thiocyanate” metabolites. The major route was conjugation with glutathione, catalyzed by glutathione S-alkenyltransferases, to N-acetyl-S-(2-cyanoethyl)cysteine.

Further support for this proposition came from Geiger et al. (1983), who studied AN metabolism in isolated F344 rat hepatocytes. GSH levels and AN-protein binding were measured after incubating rat hepatocytes with [1-¹⁴C]- or [2,3-¹⁴C]-AN. GSH-adduct levels

were determined by chromatographic procedures of aliquots of the trichloroacetic acid supernatant. Exposure to AN at 5 or 10 mM resulted in decrease of GSH levels to 15–20% of controls within 10 minutes. The primary radiolabeled product was S-(2-cyanoethyl)glutathione, and not S-(2-oxoethyl)GSH (the compound formed by reaction of CEO with GSH in the presence of purified GSH transferase).

Indirect evidence for the involvement of GSH in the metabolism of AN was provided by Langvardt et al. (1980, 1979), who used gas chromatography-mass spectrometry and gas chromatography-infrared spectroscopy to identify urinary components in male Sprague-Dawley rats 16 hours after exposure to [1-¹⁴C]- or [2,3-¹⁴C]-labeled AN by gavage. They identified two major components: the first was N-acetyl-S-(2-cyanoethyl)cysteine, which they assumed to be a product of AN conjugation with GSH, and the other was thiocyanate. A third metabolite, N-acetyl-S-(2-cyanoethyl)cysteine, was tentatively identified and was proposed by Langvardt et al. (1980) to have resulted from the action of GSH on the epoxide intermediate. The authors speculated that the detoxification of AN likely involved conjugation with GSH, and the toxicity of AN was likely affected by the status of GSH pools in target tissues, since a rapid and dose-dependent decrease in GSH stores in the liver, lungs, kidney, and adrenals was observed by Szabo et al. (1977) after i.v. injection of 1–15 mg/100 g AN to Sprague-Dawley rats. A sharp decrease in cerebral GSH concentrations occurred between 5 and 15 mg/100 g AN and correlated with the occurrence of mortality. On the other hand, oral dosing of 0.002–0.05% AN to rats for 21 days resulted in up to 25% increase in hepatic GSH and might represent a rebound phenomenon (Szabo et al., 1977).

Benz et al. (1997a) studied the time and dose dependence of the depletion of tissue GSH and tissue cyanide and the covalent binding to tissue after s.c. injection of 0, 20, 50 (LD₁₀), 80 (LD₅₀), or 115 mg/kg (LD₉₀) AN to male Sprague-Dawley rats. GSH levels in liver were the most sensitive marker of AN exposure and were depleted by 50% at 20 mg/kg, a dose without overt toxicity. At 50 mg/kg, the threshold dose for overt toxicity, GSH was depleted by ≥85% and followed by a rapid recovery of 60% at 4 hours. Liver GSH was depleted almost completely within 30 minutes when rats were injected with 80 mg/kg AN. The depletion was sustained through 120 minutes and followed by 40% recovery through the end of study period of 4 hours. Blood and brain GSH were more resistant to the GSH depleting effects of AN and were depleted less extensively in a dose-dependent manner as the doses were in the toxic range. (The highest dose of 115 mg/kg depleted only 40% brain GSH at 2 hours.) In addition, brain GSH levels showed little capacity for recovery during the study period, unlike liver and kidney. Glandular and forestomach GSH were also dose-dependently depleted by AN treatment and were unable to recover within the study period.

GSH depletion was accompanied by a dose-dependent increase of cyanide in the blood and brain during the first 60 minutes. At the lowest dose of 20 mg/kg, blood and brain cyanide declined after 60 minutes. At the higher doses, blood and brain cyanide continued to increase to

120 minutes and then declined. Covalent binding of AN to tissue protein increased in all tissues rapidly during the first 30 minutes at all doses. At 20 mg/kg, covalent binding reached a plateau at 30 minutes. At the three higher doses, covalent binding continued to increase after 30 minutes and reached a plateau level by 2–4 hours. Benz et al. (1997a) concluded that when liver GSH was depleted, detoxification of AN was terminated. Acute AN toxicity became apparent, and a sustained increase in covalent binding to tissue protein was observed.

Further experiments by Ahmed and coworkers (Ahmed et al., 1983, 1982; Ghanayem and Ahmed, 1982) confirmed the involvement of hepatic GSH in AN metabolism. When bile was collected from male Sprague-Dawley rats given a single oral dose of 46.5 mg/kg AN containing 12 $\mu\text{Ci/kg}$ [$1\text{-}^{14}\text{C}$]-AN, four metabolites were isolated and characterized in biliary extracts at 6 hours after treatment; the two main metabolites, glutathione conjugates of AN, were S-cyanoethyl glutathione and N-acetyl-S-(2-cyanoethyl)cysteine (Ghanayem and Ahmed, 1982). Pretreatment of rats with diethyl malate (a glutathione-depleting agent) significantly decreased or abolished all of the metabolites in the bile. The study authors proposed GSH conjugation as a major pathway of AN metabolism, probably catalyzed by glutathione transferases. The product, S-cyanoethyl glutathione, is further metabolized to N-acetyl-S-(2-cyanoethyl)cysteine. Nearly 27% of the administered dose was excreted in the bile after 6 hours (Ahmed et al., 1982).

Kedderis et al. (1995) compared the kinetics of AN and CEO interaction with GSH in vitro by measuring the formation of conjugates when [$2,3\text{-}^{14}\text{C}$]-labeled AN or CEO were incubated for a very short time (20 seconds for mice, 30 seconds for rats) with [glycine- $2\text{-}^3\text{H}$]-GSH in the presence of microsomal and cytosolic subcellular fractions of human, rat, or mouse liver. Because of the rapid non-enzymatic reaction of AN and CEO with GSH at pH 7.3, the steady-state kinetics of GSH conjugation were determined at pH 6.5. HPLC-mass spectrometry was used to separate and identify the conjugates, which included S-(2-cyanoethyl)-glutathione from AN; and S-(1-cyano-2-hydroxyethyl)glutathione and S-(2-cyano-2-hydroxyethyl)-glutathione from CEO. The apparent kinetic parameters for the conjugation reactions ($V_{\text{max app}}$ and $K_{\text{m app}}$) were estimated by fitting the Michaelis-Menten equation to the data, giving estimates of $V_{\text{max app}}$ for the conjugation reactions catalyzed by mouse cytosolic enzymes that were four to six times greater than those for rat cytosolic enzymes at pH 6.5 (Table 3-5). These data suggest that mouse liver cytosolic glutathione-S-transferase (GST) has a greater capacity for conjugating AN and CEO than do rat liver enzymes. Initial velocity studies were also carried out with microsomes and cytosol from human liver, providing data to suggest that GSH conjugation of AN with human liver cytosol was broadly similar to that of rodent liver cytosol (Table 3-6).

Table 3-5. Apparent kinetic parameters for glutathione conjugation of AN and CEO at pH 6.5

Fixed substrate	Species	$V_{\max \text{ app}} (\mu\text{mol/min-mg})^a$	$K_{\text{m app}} (\text{GSH}) (\text{mM})^a$
AN	Rat	3.32 ± 0.29	17.47 ± 3.60
	Mouse	21.68 ± 1.75	23.39 ± 3.99
CEO	Rat	2.00 ± 0.20	9.36 ± 2.27
	Mouse	8.34 ± 0.75	15.12 ± 2.84

^aValues are the means $\pm$ SDs of three determinations.

Source: Kedderis et al. (1995).

Table 3-6. Glutathione conjugation of AN and CEO with or without microsomal or cytosolic GST from rat, mouse, and human liver preparations

Species ^a	Substrate	Nanomoles of product		
		Non-enzymatic	Microsomal	Cytosolic
Rat	AN	25.5 ± 2.0	32.6 ± 1.9^b	36.1 ± 3.0^b
Mouse			31.3 ± 1.2^b	36.6 ± 1.4^b
Human			$19.5\text{--}24.5^c$	$25.2\text{--}34.4^c$
Rat	CEO	15.5 ± 1.3	16.6 ± 1.1	25.2 ± 1.1^b
Mouse			18.9 ± 1.0^b	25.9 ± 3.7^b
Human			$11.2\text{--}14.3^c$	$12.6\text{--}14.5^c$

^aRodent values are means $\pm$ SDs of three determinations.

^bStatistically significantly greater than the non-enzymatic reaction ($p < 0.05$) as calculated by the authors.

^cRange of values for subcellular fractions prepared from six human subjects.

Source: Kedderis et al. (1995).

In the same report, Kedderis et al. (1995) described the determination of the initial velocities of non-enzymatic vs. enzymatic GSH conjugation of AN and CEO at pH 7.3 in the absence or presence of GST (Table 3-6). Both substrates reacted rapidly with GSH in a non-enzymatic reaction, and addition of hepatic microsomes or cytosol from rats and mice statistically significantly enhanced the rate of product formation from AN ($p < 0.05$). Initial velocities indicated that AN conjugated more effectively with GSH than did CEO. Hepatic cytosol enhanced the rate of product formation from CEO to a greater extent (up to 52% higher) than did microsomes, suggesting that rodent cytosolic GST is more active toward CEO than microsomal GST. Under physiological conditions (pH 7.3), addition of liver cytosol from four out of six individuals similarly enhanced GSH conjugation with AN but not CEO. Human liver microsomes did not enhance the velocity of CEO or AN conjugation with GSH, suggesting that human microsomal GST forms do not catalyze this reaction (Table 3-6).

Similarly, Guengerich et al. (1981) studied the reaction of AN and CEO with glutathione in vitro. The pseudo first-order rate constant for disappearance of GSH at pH 7.7 (37°C) was 0.28/minute, when 0.5 mM was mixed with 0.1 M AN. The rate constant for the disappearance of GSH in the presence of 0.1 mM CEO was 0.11/minute.

Guengerich et al. (1981) also incubated [1-¹⁴C]-AN or -CEO with GSH at pH 6.5 in the presence of cytosolic fraction from rat liver, human liver, or rat brain to determine GST activity. Rat liver cytosol showed GSH S-transferase activity towards AN, with a K_m of 33 mM and a V_{max} of 57 nmol/minute-mg protein. Human liver and rat brain cytosolic fraction had no activity towards AN. Rat liver also showed activity towards CEO, with activity in rat brain more than an order of magnitude lower.

The importance of sulfhydryl compounds in the detoxification of AN was shown in a number of studies, particularly by the demonstration that treatment with exogenous sulfhydryl compounds could protect the organism from the harmful effects of AN. Appel et al. (1981) reported that sulfhydryl compounds such as cysteine were effective antidotes for both orally and intraperitoneally administered lethal doses of AN in rats. N-acetyl-cysteine was ineffective when given intraperitoneally and less effective than cysteine when administered orally. The GSH-depleting effect of a single s.c. dose of AN administered to male Sprague-Dawley rats and the subsequent GI bleeding and gastric mucosal necrosis could be blocked by pretreatment with sulfhydryl-containing agents such as L-cysteine or cysteamine (Ghanayem and Ahmed, 1986; Ghanayem et al., 1985).

Benz et al. (1990) studied the effectiveness of D- or L-cysteine and N-acetyl-D-cysteine or N-acetyl-L-cysteine in the detoxification of acutely administered AN by determining the s.c. LD₅₀ of AN in male Sprague-Dawley rats either administered AN alone or in combination with individual antidotes. The LD₅₀ of AN alone was determined to be 74.7 mg/kg. The LD₅₀ of AN when combined with other antidotes ranged from 93.3 mg/kg (with N-acetyl-D-cysteine) to 151.4 mg/kg (with L-cysteine). The antidote protective index [(LD₅₀ AN with antidote)/(LD₅₀ AN alone)] ranged from 1.25 for N-acetyl-D-cysteine to 2.03 for L-cysteine. Thus, N-acetyl-D-cysteine was less effective than other antidotes in reducing acute lethality of AN. Measurement of urinary N-acetyl-S-cyanoethyl cysteine, which was derived from conjugation with GSH pathway, following s.c. injection of 50 mg/kg AN alone or AN plus an antidote, indicated that none of the antidotes significantly increased the excretion of this metabolite.

Blood cyanide levels were also measured in rats at 0.5, 1, 2, 4, and 6 hours following s.c. injection of 50 mg/kg AN or AN plus an antidote. Benz et al. (1990) showed that all of the antidotes, except N-acetyl-D-cysteine, lowered blood cyanide levels. Since N-acetyl-D-cysteine was the least effective antidote, the antidotal effectiveness of these cysteine enantiomers was related to their cyanide detoxification mechanism. Discussing these findings, Borak (1992) pointed out that, because both D- and L-cysteine provided equivalent protection against AN poisoning but only L-cysteine could be incorporated into GSH, the antidotal effects of these

compounds may be unrelated to GSH repletion. In fact, since the potency of each antidote was proportional to their ability to lower cyanide levels, Borak (1992) suggested that their effects may be due to the ability of cysteine derivatives to serve as sulfur donors for the detoxification of cyanide via rhodanese-mediated transformation of cyanide to thiocyanate. The protection provided by these antidotes for cyanide poisoning from AN exposure, however, does not necessarily extend to other forms of AN-induced toxicity.

3.3.3. Covalent Binding of AN and Its Metabolites to Subcellular Macromolecules

When isolated hepatocytes from male F344 rats were incubated with 1 mM [2,3-¹⁴C]-AN for 2 hours (Hogy, 1986; Geiger et al., 1983), a radiolabeled protein adduct was formed that could be characterized after the removal of residual AN and other low molecular weight products by dialysis. Analysis of the hydrolyzed non-dialyzable fraction indicated that about 75% of the radioactivity was contained in a single major peak that was chromatographically identified as S-(2-carboxyethyl)cysteine, the hydrolysis product of S-(2-cyanoethyl)cysteine, indicating direct cyanoethylation of cysteinyl residues by AN. When isolated hepatocytes were incubated with 2 mM [2,3-¹⁴C]-AN for 60 minutes, Geiger et al. (1983) estimated the level of irreversible binding to protein to be 8.1 nmol/mg protein, a level of alkylation corresponding to modification of 1 in every 900 amino acid residues or roughly 1 of every 20 cysteine groups. In contrast to AN-protein binding, detection of binding of [2,3-¹⁴C]-AN-derived radiolabel to DNA and RNA in hepatocytes was limited by the low level of radioactivity that could be incorporated into [¹⁴C]-AN because of polymerization and microsynthesis problems and by the high level of protein binding. Therefore, hepatocytes were incubated with 2 mM [2,3-¹⁴C]-AN for 60 minutes in the presence of extracellular calf thymus DNA (0.5 mg/mL) (Hogy, 1986; Geiger et al., 1983). RNA and both intracellular and extracellular DNA were isolated. The isolated RNA contained 53 pmol AN metabolites per mg RNA of which 9 pmol/mg RNA could be attributed to the contaminating protein. The isolated extracellular DNA contained 47 pmol AN adducts per mg DNA, of which 30 pmol/mg DNA could be attributed to protein. A portion of incubated extracellular DNA was further purified; alkylation of extracellular DNA was not observed at a detection limit at 3.5×10^5 bases (Geiger et al., 1983).

Hogy and Guengerich (1986) treated an F344 rat intraperitoneally with 0.6 mg/kg [2,3-¹⁴C]-CEO to assess macromolecular binding in liver and brain 1 hour after treatment. DNA and RNA in liver and brain were isolated, and the amounts were estimated. Bound radioactivity was estimated by liquid scintillation counting. Covalent binding of CEO-derived radioactivity was detected in both liver and brain protein at rates of 1.1 and 1.0 alkylations per 10^6 amino acids, respectively. However, no covalent binding to DNA or RNA could be detected at the level of 0.3 alkylations per 10^6 bases in liver and brain.

In another experiment by Hogy and Guengerich (1986), liver and brain DNA were isolated from three rats 2 hours after treatment with 50 mg/kg AN i.p. or 6 mg/kg CEO i.p.

Using thin layer chromatography with fluorescent plates, the study authors were able to detect N⁷-(2-oxoethyl)guanine at 0.014 and 0.032 alkylations per 10⁶ DNA bases in liver for rats treated with CEO and AN, respectively. This DNA adduct was apparently derived from CEO, consistent with its recovery after AN and CEO treatment. The apparent lineage of this DNA adduct was different from the protein adduct, S-(2-cyanoethyl)cysteine, described previously, which was derived from AN.

N⁷-(2-oxoethyl)guanine was only at the level of detection in rat brain DNA. In addition, 1,N⁶-ethenoadenosine or 1,N⁶-ethenodeoxyadenosine were not detected in liver DNA, using HPLC with fluorescence detector in the analyses of these two adducts. Binding of AN and/or CEO to DNA is also discussed in Section 4.5.1.2.1.

As discussed in Section 3.3.2, when 0, 20, 50, 80, or 115 mg/kg [2,3-¹⁴C]-AN was injected subcutaneously into male Sprague-Dawley rats, GSH became almost completely depleted (>95%) in liver at 80 mg/kg within 30 minutes, while blood and brain GSH were more resistant to the depleting effect of AN. Brain and blood GSH were not affected at 20 mg/kg. The amount of cyanide in blood and brain increased dose dependently in the first hour after dosing (Benz et al., 1997a). Covalent binding to tissue proteins increased in a dose-dependent fashion during the first 30 minutes at all doses, with binding to blood proteins being 3–4 times greater than in any other tissue. Benz et al. (1997a) suggested that GSH depletion in liver was related to AN toxicity and covalent binding.

The effect of GSH depletion on the irreversible binding of AN to tissue macromolecules has been studied in male F344 rats exposed to 4 mg/kg [2,3-¹⁴C]-AN either by inhalation (Pilon et al., 1988a) or gavage (Pilon et al., 1988b). Binding of radiolabel to tissue macromolecules was evaluated in control rats or rats depleted of GSH by an i.p. injection of phorone (PH)/buthionine sulfoximine (BSO) about 30 minutes prior to AN exposure. GSH contents in control rats were as follows: liver (17.3 µmol/g), kidney (4.5 µmol/g), lung (3.1 µmol/g), stomach (5.3 µmol/g), brain (3.9 µmol/g), and blood (4.2 µmol/g). A significant depletion of GSH was produced in liver (43%), kidney (42%), and lung (22%) after PH/BSO treatment. No significant depletion of GSH was observed in blood, brain, or stomach 30 minutes after a combined PH/BSO treatment.

In the inhalation studies (Pilon et al., 1988a), three rats were exposed to initial AN concentrations of 0, 25, 50, 100, 500, or 750 ppm in a closed-circuit inhalation chamber for 240 minutes. AN was not replenished during the exposure, and the decrease in chamber AN concentration was monitored by taking samples every 10 minutes during the exposure. Uptake of AN vapor by control rats showed two distinct phases: an initial, rapid phase that lasted about 60 minutes, followed by a slower phase. An uptake rate of 4.82 mg/kg-hour was estimated for a concentration of 100 ppm using the uptake curve for the rapid phase. In GSH-depleted rats, the mortality rate was higher. The rate of AN uptake was increased in the rapid phase but decreased

at 500 and 750 ppm. In the slow phase, uptake was similar to that in control rats for concentrations below 200 ppm, but was elevated at 500 and 750 ppm.

Radioactivity irreversibly associated with tissue macromolecules was measured in control rats 1, 2, 4, 6, 12, or 24 hours after the AN dose. In GSH-depleted rats, radioactivity was measured 1, 6, or 24 hours postexposure. In most tissues, the concentration of AN-derived undialyzable radioactivity (ADUR) reached a maximum in ≤ 1 hour in both control and GSH-depleted rats. (In the brain, ADUR levels reached a maximum in 2 hours.) The time course of ADUR levels showed a plateau from 1 to 6 hours in studied organs of control rats, followed by a decrease thereafter during the next 6 hours. This was then followed by an increase between 12 and 24 hours in the lung and kidney (but not in the brain, stomach, and liver). The time course of ADUR levels in blood showed high level 1 hour after AN administration, decreased from 6 to 12 hours, and increased from 12 to 24 hours, resulting in a maximum level at 24 hours.

Total radioactivity recovered from brain, stomach, liver, kidney, and blood decreased by 54% in GSH-depleted rats compared with controls at both 1 and 24 hours. In GSH-depleted rats, ADUR levels remained constant in all organs evaluated throughout the 24-hour postexposure period and were lower than those in controls. The kidney was the most affected organ, with an average 52% decrease in ADUR levels, followed by the liver (44%). ADUR levels in the brain, stomach, and lung of GSH-depleted rats were 31% lower when compared with controls. Blood ADUR levels were decreased by 50% in GSH-depleted rats.

Irreversible binding of ADUR with total nucleic acids (RNA + DNA) of brain, stomach, and liver was also evaluated in control and GSH-depleted rats 1 hour after an inhalation dose of 4 mg/kg (40 μ Ci) AN vapor. In control rats, ADUR in total nucleic acids was found to be highest in the brain (63 pmol AN equivalents/mg), followed by that in the stomach (20 pmol AN equivalents/mg) and the liver (12 pmol AN equivalents/mg). In GSH-depleted rats, ADUR in nucleic acids in the brain was about 50% lower than that in controls, but no change was detected in stomach or liver. ADUR in DNA could not be detected in any tissue of control or GSH-depleted rats. The study authors suggested that ADUR in DNA was not detected because the analytical method used was not sensitive enough to detect radiolabel bound to DNA. Nevertheless, a preferential binding of ADUR with brain RNA was observed in both control and GSH-depleted rats, with ADUR in brain RNA about 50% lower in GSH-depleted rats.

It is unlikely that the observed decrease in radiolabel binding in GSH-depleted rats treated with PH/BSO was due to treatment effect on CYP2E1. BSO is a selective inhibitor of GST; PH depletes GSH in liver, kidney, and lung but not in the blood or brain by conjugation with GSH. PH was reported not to affect the hepatic microsomal mixed-function oxidase system (Younes et al., 1986).

In the oral studies on male F344 rats, total radioactivity recovered in the tissues examined was similar in control and GSH-depleted rats at both 1 and 24 hours. However, significantly

higher recovered radioactivity was found in stomach, lung, and blood of GSH-depleted rats than of control rats 1 hour after dosing, while the radioactivity in kidney was lower in GSH-depleted rats (Pilon et al., 1988b).

The concentration of ADUR in brain reached a maximum in ≤ 1 hour for both control and GSH-depleted rats and remained constant for 24 hours. In GSH-depleted rats, 60–80% increase in ADUR was measured in brain at 1, 6, and 24 hours after dosing. The highest ADUR level was found in stomach and increased with time in control rats. ADUR levels in liver and kidney of control rats was characterized by an increase over the first 6 hours and a decrease between 5 and 24 hours. GSH-depletion resulted in an increase in ADUR levels in liver, lung, kidney, stomach, blood, and brain between 6 and 24 hours after the dose.

GSH depletion also caused a significant increase in ADUR levels in total nucleic acid (DNA + RNA) in both brain and stomach (one and a half- and threefold, respectively) 6 hours after the dose. No change was found in liver. ADUR associated with DNA was detected in stomach tissue of control rats only. Pilon et al. (1988b) suggested that ADUR levels reflected the relative concentration of covalently bound radioactivity in control and GSH-depleted rats and that the reaction of AN with protein and other macromolecules was responsible for the rapid increase in ADUR at ≤ 1 hour. The slower increase in ADUR in metabolically competent organs (liver, kidney, and lung) of control rats and all organs of GSH-depleted rats might represent the binding of CEO to macromolecules. Urinary excretion of thiocyanate, a final metabolite from the epoxide pathway of AN metabolism, was increased twofold in GSH-depleted rats. Since urinary thiocyanate is indicative of CEO formation, Pilon et al. (1988a) interpreted their results as indicating that more CEO was formed after GSH-depletion.

Farooqui and Ahmed (1983a) also reported covalent binding of [2,3- ^{14}C]-AN to protein, DNA, and RNA of tissues of male Sprague-Dawley rats treated with a single oral dose of 46.5 mg/kg. DNA from tissue homogenate was isolated by extraction with chloroform/isoamyl alcohol/phenol and application of the aqueous extract to hydroxyapatite chromatography. DNA alkylation was higher in brain and stomach than that in the liver, with highest levels of covalent binding in the brain. The covalent binding indices for the liver, stomach, and brain at 24 hours after dosing were 5.9, 51.9, and 65.3, respectively.

Ahmed et al. (1992a) demonstrated the covalent binding of radiolabel from [2,3- ^{14}C]-AN to testicular DNA after a single gavage of radiolabeled AN (46.5 mg/kg) to male Sprague-Dawley rats. In a time course study, bound activity was shown to be greatest after 30 minutes (8.93 ± 0.80 μmol AN bound per mol nucleotide). Using an identical experimental protocol, Ahmed et al. (1992b) demonstrated the capacity of AN to bind covalently to DNA in the lung. Binding was associated with a 28–41% decrease in replicative DNA synthesis at time points up to 24 hours after dosing.

Jacob and Ahmed (2003a) used whole-body autoradiography to examine the distribution of [2- ^{14}C]-AN administered orally or intravenously to male F344 rats. Two days after oral

dosing, covalently bound radioactivity was retained at higher levels in the gastric mucosa, blood, and hair follicles. After i.v. injection, covalently bound radioactivity was retained in liver, spleen, bone marrow, adipose tissue, and lung. The amount of radioactivity associated with covalent binding was lower for oral dosing than for i.v. injection, which was consistent with the higher recovery of radioactivity excreted following oral dosing compared with injection (see Section 3.4.2.1).

Covalent binding of [2,3-¹⁴C]-AN to tissue protein and globin was also studied in male Sprague-Dawley rats after a single s.c. injection of 1.2–115 mg/kg (Benz et al., 1997b). Covalent binding to tissue protein reached completion in 1–4 hours and was linear in the low dose range (1.2–50 mg/kg), with the relative order (in descending order) as follows: blood > kidney = liver > forestomach = brain > glandular stomach > muscle. Covalent binding to globin followed a similar dose-response curve. Benz et al. (1997b) also measured an N-(2-cyanoethyl)-valine (CEVal) adduct of globin at this dose range. This adduct was formed by reaction of AN with the NH₂-terminal residue of globin (Osterman-Golkar et al., 1994) and represented only 0.2% of total AN binding to globin. However, regression of tissue protein binding vs. globin total covalent binding or globin CEVal adduct indicated that both globin biomarkers could be used as surrogates for the amount of AN bound to tissue protein.

Using a similar dosing regimen, Nerland et al. (2001) employed sodium dodecyl sulfate-polyacrylamide gel electrophoresis to separate labeled proteins isolated from subcellular fractions of liver from treated rats. Binding of AN was found to be associated preferentially with GST of the μ subclass (GSTM). Within this subclass, GSTM1 was labeled about seven times more strongly than GSTM2, while, from the α -subclass, only GSTA3 was labeled (at about 1/35 the strength of GSTM1). No label was associated with GSTA1 or GSTA2. The site of binding was identified as exclusively cysteine 86. Since this particular cysteine residue in rGSTM1 appeared to have been targeted specifically, the study authors hypothesized that high reactivity at cysteine 86 was due to its potential interaction with histidine residue at position 84, which would lower the pK_a of cysteine 86, increasing reactivity towards sulfhydryl reagents. These data would suggest that tissue proteins containing cysteine residues with an abnormally low pK_a value would be likely targets for AN. In an in vivo experimental approach, Nerland et al. (2003) demonstrated that subcutaneously administered AN preferentially bound to the cysteine 186 residue of carbonic anhydrase III (CAIII) in rat liver.

A considerable body of evidence demonstrated the ability of AN to bind to intercellular proteins, in particular to Hb. Osterman-Golkar et al. (1994) reported a method for quantifying an N-terminal cyanoethyl-valine adduct, CEVal, the product of reaction between AN and the N-terminal valine of Hb. The method was based on the N-alkyl Edman procedure involving the derivatization of globin with pentafluorophenyl isothiocyanate and gas chromatography-mass spectrometry analysis. Osterman-Golkar et al. (1994) showed that the method was applicable to experimental animals exposed to AN in drinking water and to humans exposed to AN in tobacco

smoke. Hb from smokers (10–20 cigarettes/day) with a daily intake of 0.5–5 µg AN per kg BW contained about 90 pmol CEVal/g, whereas adduct levels in the Hb of nonsmokers were below the detection limit of about 20 pmol/g Hb. This utility was confirmed by Tavares et al. (1996) for occupationally exposed workers and for smokers.

A subsequent study by Bergmark (1997) showed that the Hb of smokers contained adducts of ethylene oxide and acrylamide as well as AN. In nonsmokers, the CEVal Hb adduct of AN was below the detection limit of <2 pmol/g of globin. In the 10 smokers studied, the levels of this adduct ranged from 25 to 178 pmol/g (mean 106 pmol/g) and correlated with the number of cigarettes smoked per day (correlation coefficient = 0.94).

Fennell et al. (2000) determined CEVal from blood samples of 16 nonsmokers and 32 smokers and reported that CEVal Hb adducts increased with increased cigarette smoking. The estimated CEVal level from smoking was 170 fmol per mg Hb per pack-day. Two participants in a smoking cessation program showed a gradual reduction of CEVal levels (Perez et al., 1999). Thus, the use of the Hb adduct CEVal may have utility as a biomarker to assess low-level exposure to AN (in the region of 50 ppb), even in a complex mixture of toxicants, although smoking would be a confounding variable. Fennell et al. (2000) also reported that the null genotypes for GSTM1 or GSTT1 had little effect on CEVal levels when compared to active genotypes.

Borba et al. (1996) measured CEVal as a marker of AN exposure in occupationally exposed workers in an acrylic fiber factory. The values for CEVal among the subjects were 8.5–70.5 pmol/g globin in controls, 635.2–4,603.5 pmol/g globin for continuous polymerization workers, and 93.9–4,746 pmol/g globin for maintenance workers. These findings pointed to the ready formation of AN adducts with Hb in an occupational setting.

CEVal Hb adduct was also used as a follow-up dose monitor after accidental exposure of four cleaning workers to AN in an AN-containing tank wagon (Bader and Wrbitzky, 2006). On day 25 after exposure, CEVal adduct levels in Hb ranged from 640 (blood sample partly hemolyzed) to 2,020 pmol/g globin for the three smokers and was 566 pmol/g globin for the nonsmoker, indicating residual AN adducts from the accidental exposure. On day 175, CEVal adduct levels were 81–276 pmol/g globin for the smokers and 2 pmol/g globin for the nonsmoker and represented background CEVal of the study participants according to their smoking status. For both the smokers and nonsmoker, the adduct concentrations in blood declined linearly with time. Linear regression analysis of the data estimated a total elimination interval of 148 days, longer than the standard lifespan of 126 days of erythrocytes. Linear regression analysis also allowed estimation of the initial adduct levels of the workers on the day of the accident and estimation of the exposed AN concentrations based on the correlation from the former German exposure equivalent that 3 ppm AN yields 17,200 pmol/g globin.

3.4. ELIMINATION

3.4.1. Studies in Humans

In an inhalation study of six male volunteers exposed to 7.8–10 mg/m³ AN for 8 hours (Jakubowski et al., 1987), 44–58% (mean = 52%) of the inhaled AN was absorbed, of which about 22% of absorbed AN was metabolized and excreted in the urine over 31 hours from the start of exposure as N-acetyl-S-(2-cyanoethyl)-L-cysteine (2-cyanoethyl mercapturic acid [CEMA]). Elimination followed first-order kinetics, with a half-life of about 8 hours. The authors concluded that individual urinary CEMA levels were not a useful measure for exposure to AN.

3.4.2. Studies in Animals

3.4.2.1. Exhalation

In a study by Young et al. (1977), male Sprague-Dawley rats were exposed to [1-¹⁴C]-AN via inhalation (5 or 100 ppm) or a single oral dose (0.1 or 10 mg/kg). Exhalation of AN as CO₂ within 6 hours after dosing decreased with increasing dose, from 6.1 to 2.6% of the dose following inhalation exposure and from 4.6 to 3.9% after gavage (see Tables 3-1 and 3-2).

Ahmed et al. (1983) administered a single oral dose of 46.5 mg/kg AN to male Sprague-Dawley rats in distilled water, using 50 µCi/kg of either [2,3-¹⁴C]- or [1-¹⁴C]-AN as tracer. The recovery of total dose in expired ¹⁴CO₂ varied from 2% for [2,3-¹⁴C]-AN to 12% for [1-¹⁴C]-AN 24 hours after dosing. Burka et al. (1994) administered 0.87 mmol/kg (46.2 mg/kg) [2-¹⁴C]-AN by gavage to male F344 rats. About 2% of the dose was expired as volatile organic components, predominantly unchanged AN, while 11% was liberated as ¹⁴CO₂ 24 hours after dosing.

Jacob and Ahmed (2003a) compared excretion of 11.5 mg/kg [2-¹⁴C]-AN administered orally or intravenously to male F344 rats. In the 48 hours after oral dosing, 61% of the radioactive dose was excreted, with 4% in exhaled CO₂, 4% in urine, and 53% in feces. Following i.v. administration, 30% of the dose was eliminated over 48 hours, with 2% in expired air, 8% in urine, and 21% in feces. In the 8 hours after oral dosing, 3% of the radioactive dose was in exhaled CO₂, 2% in urine, and 48% in feces. Following i.v. administration, 2% of the dose was in exhaled CO₂, 3% in urine, and 0.2% in feces. Jacob and Ahmed (2003a) concluded that these results indicated a significant difference in biological fate of AN following i.v. or oral treatment.

3.4.2.2. Fecal Excretion

Young et al. (1977) investigated the fecal excretion of AN in male Sprague-Dawley rats. This route of excretion showed little dependence on the route of administration, amounting to 3–4% of the dose following inhalation exposure and about 5% following gavage within 6 hours of dosing. Young et al. (1977) also investigated the possibility of biliary excretion with subsequent reabsorption from the intestines in one male rat. A cannula was inserted between the common

bile duct and the duodenum for sampling of bile, and [^{14}C]-AN was given intravenously. Based on the concentrations of radioactivity in bile, urine, RBCs, and plasma as a function of time, the rate of elimination from the bile was found to be faster than from other fluids during the first 6 hours after dosage. The initial half-life for excretion of radioactivity via bile was estimated to be about 15 minutes. One unidentified metabolite was excreted in the bile and underwent enterohepatic circulation to the GI tract, contributing partially to 5% of the dose excreted in feces.

Kedderis et al. (1993a) estimated that 3–5% of AN doses were excreted in feces of F344 rats (0.09–28.8 mg/kg orally), while 2–8% of the dose were recovered from the feces of B6C3F₁ mice (0.09–10 mg/kg orally). In either species, the differences in fecal excretion were not related to the administered dose. Farooqui and Ahmed (1982) administered a single oral dose of 46.5 mg/kg [$1\text{-}^{14}\text{C}$]-AN to male Sprague-Dawley rats, the highest percentage of ^{14}C excreted in feces (2%) occurred between 12 and 24 hours after dosing. At the end of 10 days, 2.5% of the dose was excreted in feces. In another study, male Sprague-Dawley rats were given a single gavage dose of 46.5 mg/kg [$1\text{-}^{14}\text{C}$]-AN; four radioactive peaks were identified in biliary extracts at 6 hours after treatment. The two major metabolites in bile were GSH conjugates of AN: S-cyanoethyl glutathione and N-acetyl-S-(2-cyanoethyl)cysteine (Ghanayem and Ahmed, 1982). Nearly 27% of the dose appeared in the bile after 6 hours (Ghanayem and Ahmed, 1982; Ahmed et al., 1982). The GI tract contained the highest level of radioactivity up to 72 hours, suggesting resecretion of AN metabolites to the stomach or binding of metabolites to the stomach mucosa (Ahmed et al., 1982).

3.4.2.3. Urinary Excretion

A substantial number of studies demonstrated the rapid urinary elimination of AN or its metabolites when AN was administered to experimental animals via the oral or inhalation routes (Burka et al., 1994; Fennell and Sumner, 1994; Kedderis et al., 1993a; Ahmed et al., 1983, 1982; Young et al., 1977). For example, when Young et al. (1977) exposed male Sprague-Dawley rats to radiolabeled AN via inhalation (5 or 100 ppm) or a single oral dose (0.1 or 10 mg/kg), most of the radiolabel was recovered in the urine, with much lower proportions of the initial dose in feces or expired air (see Table 3-1 and 3-2). Urinary excretion increased with dose, from 69 to 82% of the dose following inhalation exposure and from 34 to 67% after gavage (Tables 3-1 and 3-2). Excreted dose in urine was mostly unidentified metabolites.

In another study, Sapota (1982) administered 40 mg/kg AN, containing either 40 $\mu\text{Ci/kg}$ [$1,2\text{-}^{14}\text{C}$]- or [$1\text{-}^{14}\text{C}$]-AN, in saline to male Wistar rats, either via gavage or intraperitoneally. In parallel to the depletion of radiolabel in tissues at 24 hours postexposure, 82–93% of the dose was eliminated from the body in the urine, with 3–7% exhaled unchanged in the breath in 24 hours, independent of the route of administration and the position of the radiolabel. However, when Farooqui and Ahmed (1982) administered an oral dose of 46.5 mg/kg [$1\text{-}^{14}\text{C}$]-AN to male

Sprague-Dawley rats, 40% of the radioactivity was excreted in urine over 24 hours after dosing. At the end of 10 days, 61% of the total dose was excreted in urine. Similarly, when Ahmed et al. (1983) gave a single oral dose of 46.5 mg/kg AN to male Sprague-Dawley rats in distilled water, using 50 $\mu\text{Ci/kg}$ of either $[2,3-^{14}\text{C}]$ - or $[1-^{14}\text{C}]$ -AN as tracer, 40% of the radioactivity from $[1-^{14}\text{C}]$ -AN but 60% of $[2,3-^{14}\text{C}]$ -AN-derived radiolabel were detected in the urine in the initial 24 hours. Neither of the two studies provided details that might explain the discrepancy. However, the observed discrepancy might indicate the impact of different strains used in the studies.

The single gavage dose experiment of Burka et al. (1994), in which male F344 rats were placed in metabolic cages after receiving 0.87 mmol/kg (46.2 mg/kg) $[2-^{14}\text{C}]$ -AN, resulted in 67% of the load being voided to the urine after 24 hours. This proportion of the recovered load was similar to the 55–56% value obtained when male F344 rats or B6C3F₁ mice were orally exposed to $[1,2,3-^{13}\text{C}]$ -AN (Fennell and Sumner, 1994). However, Ahmed et al. (1996a) recovered only about 4% of the counts in 48-hour urine samples when F344 rats were injected intravenously with 11.5 mg/kg $[2-^{14}\text{C}]$ -AN. About 27% of the load was eliminated via all routes combined. In this study, much higher levels of tissue binding were observed than in other studies.

Identification of the urinary metabolites derived from AN metabolism was attempted in several studies. Langvardt et al. (1980) administered $[2,3-^{14}\text{C}]$ - and $[1-^{14}\text{C}]$ -AN orally to male Sprague-Dawley rats, thereby specifically tracing the fate of the vinyl and cyano groups of AN. Two main urinary metabolites, thiocyanate and N-acetyl-S-(2-cyanoethyl)cysteine, were identified. Thiocyanate, formed from the epoxide metabolite CEO, was the predominant urinary metabolite following oral dosing with 30 mg/kg of $[1-^{14}\text{C}]$ -AN and accounting for 54% of the injected radioactivity within 16 hours after dosing. On the other hand, thiocyanate only accounted for 1% of the urinary radioactivity after dosing with $[2,3-^{14}\text{C}]$ -AN. The second metabolite, N-acetyl-S-(2-cyanoethyl)cysteine, a mercapturic acid derived from direct conjugation between AN and GSH, constituted 18% of the radiolabel derived from $[1-^{14}\text{C}]$ -AN and 28% from $[2,3-^{14}\text{C}]$ -AN. Another metabolite, tentatively identified as N-acetyl-3-carboxy-5-cyanotetrahydro-1,4-2H-thiazine, constituted 19% of the label from $[1-^{14}\text{C}]$ -AN and 35% from $[2,3-^{14}\text{C}]$ -AN. Evidently, this metabolite was formed from conjugation of CEO with GSH. Langvardt et al. (1980) found another four minor metabolites that were not identified structurally.

Tardiff et al. (1987) monitored the urinary metabolites of AN-treated male Sprague-Dawley rats 24 hours after i.v. or i.p. single doses (0.6, 3, or 15 mg/kg) or inhalation exposure to 4, 20, and 100 ppm for 6 hours. Three major metabolites were measured: thiocyanate, N-acetyl-S-(2-hydroxyethyl)cysteine, and N-acetyl-S-(2-cyanoethyl)cysteine, that were also detected by Langvardt et al. (1980). These three excreted metabolites represented 50–54% of the administered dose in urine within 24 hours, independent of the dose. Tardiff et al. (1987) found

that following i.p. or i.v. administration of AN, N-acetyl-S-(2-hydroxyethyl)cysteine and thiocyanate each represented between 5 and 10% of the urinary metabolites; the major metabolite was N-acetyl-S-(2-cyanoethyl)cysteine, representing 74–78% of the urinary metabolite when AN was administered intraperitoneally or intravenously. However, following inhalation exposure of AN, only 8% of the dose was excreted as N-acetyl-S-(2-cyanoethyl)-cysteine, and the major urinary metabolite was thiocyanate at 20 and 100 ppm. Moreover, N-acetyl-S-(2-hydroxyethyl)cysteine was excreted in larger amounts than N-acetyl-S-(2-cyanoethyl)cysteine. These results also showed that the percentage of dose excreted as urinary thiocyanate increased with dose when AN was administered by inhalation. The study authors concluded that the route of administration of AN had an important influence on the pattern of metabolic excretion.

Shibata et al. (2004) investigated the urinary excretion of thiocyanate in male Wistar rats that received 40 mg/kg AN (about half the LD₅₀) by gavage in water. Urinary excretion of thiocyanate became measurable at the time of peak plasma thiocyanate levels, 5 hours after dosing. Excretion of urinary thiocyanate gradually increased so that at 10 hours after dosing, about 1.2 mg thiocyanate (7% of administered dose) had been excreted into urine.

Gut et al. (1981) administered [1-¹⁴C]-AN to male Wistar rats (dose not given) by the oral, i.v., i.p., and s.c. routes. Total excretion of radioactivity after 48 hours was reported to be close to 100% following oral administration but 75–84% following the other routes of administration. The patterns of urinary radioactivity elimination were also different: after parenteral administrations, elimination of radioactivity was highest within the first 4 hours after dosing, with much smaller amounts for the remaining 44 hours. After oral dosing, between 6 and 8% of the dose was eliminated in urine for the time periods 4, 8, and 12 hours after dosing with much lower amounts thereafter. However, the major difference was found to be urinary thiocyanate elimination: 23% during the 48 hours after oral dosing and 4% following i.p., 4.6% following s.c., and 1.2% following i.v. administration.

In another study (Gut et al., 1985), male Wistar rats were exposed via inhalation to 57, 125, or 271 mg/m³ AN for 12 hours. A constant ratio between thiocyanate and the sum of thioether compounds (AN mercapturic acids) in urine was found throughout the three doses. Average total amounts of thioethers excreted in urine during 12 hours of exposure were 24, 63, or 83 µmol/kg for 57, 125, or 271 mg/m³ AN, respectively. Average total amounts of thiocyanate excreted were 14, 24, and 49 µmol/kg for 57, 125, and 271 mg/m³ AN, respectively. The ratio of thioethers to thiocyanate was about 2:4 during the 12 hours of exposure and was similar to that from oral exposure to AN. Thus, thioethers, not thiocyanate, were the major urinary metabolites following inhalation and oral exposure. These results were different from those reported by Langvardt et al. (1980) and Tardiff et al. (1987).

Müller et al. (1987) quantified four urinary metabolites and unchanged AN following inhalation exposure of male Wistar rats to 1–100 ppm of AN for 8 hours (thiocyanate was not

measured). At 24 hours postexposure, N-acetyl-S-(2-cyanoethyl)cysteine was the primary urinary metabolite, followed by N-acetyl-S-(2-hydroxyethyl)cysteine, thiodiglycolic acid (also known as thiodiacetic acid), S-carboxyethyl-L-cysteine, and unchanged AN. The excretion pattern of AN and its metabolites was dependent on the inhalation exposure concentrations. The study authors proposed cyanoethyl mercapturic acid was the most sensitive indicator metabolite of AN exposure at levels of 5 ppm.

Fennell et al. (1991) also measured GSH-derived metabolites, but not thiocyanate, in the urine of male F344 rats (10 or 30 mg/kg) and male B6C3F₁ mice (10 mg/kg), following oral administration of [1,2,3-¹³C]-AN. The results of this study are shown in Table 3-7. N-acetyl-S-(2-cyanoethyl)cysteine was formed by conjugation of AN with GSH, whereas the other metabolites were from reaction of CEO with GSH. The results support the finding that rats and mice differ in the way they metabolize AN, and mice evidently metabolize more AN through the CYP2E1-mediated formation of CEO.

Table 3-7. Urinary excretion of thioethers derived from AN

Metabolite (see Figure 3-2)	Rat (30 mg/kg)	Mouse (10 mg/kg)
	Percent of total metabolites	
N-acetyl-S-(2-cyanoethyl)cysteine	42.8 ± 4.8	20.5 ± 2.0
N-acetyl-S-(2-hydroxyethyl)cysteine	26.7 ± 1.8	22.3 ± 1.2
N-acetyl-S-(1-cyano-2-hydroxyethyl)cysteine	17.4 ± 2.2	13.9 ± 3.2
N-acetyl-S-(carboxymethyl)cysteine and thiodiacetic acid	7.4 ± 2.9	43.2 ± 3.5
Thionylidiacetic acid	5.7 ± 0.21	Not detected

Source: Fennell et al. (1991).

Kedderis et al. (1993a) studied the dose dependence of the urinary excretion of AN metabolites in male F344 rats and male B6C3F₁ mice. In rats during the 72 hours following oral doses of 0.09–28.8 mg/kg [2,3-¹⁴C]-AN, 73–99% of the dose was excreted in urine, while 3–5% was found in feces. In mice receiving 0.09–10 mg/kg [2,3-¹⁴C]-AN, 83–94% of the dose was excreted in urine and 2–8% in feces. Excretion of radioactivity by both routes was not dose dependent in either species.

The position of the radiolabel did not allow detection of thiocyanate. Radiochromatograms of urine from rats or mice identified five major peaks, two of which contained more than one compound. Following administration of [2,3-¹⁴C]-CEO, two of the five peaks were not found, indicating that those peaks were derived from direct conjugation of AN without metabolic activation to CEO. The sum of the percent of total radioactivity from CEO conjugate-derived peaks was higher than that from the AN conjugate-derived peaks in both rats and mice. None of the metabolites appeared to be glucuronides. Kedderis et al. (1993a) detected four of the five metabolites shown in Table 3-7, but could not identify thionylidiacetic acid. In addition,

S-(2-cyanoethyl)-thioacetic acid was detected in mouse urine only, likely a degradation product of N-acetyl-S-(2-cyanoethyl)cysteine.

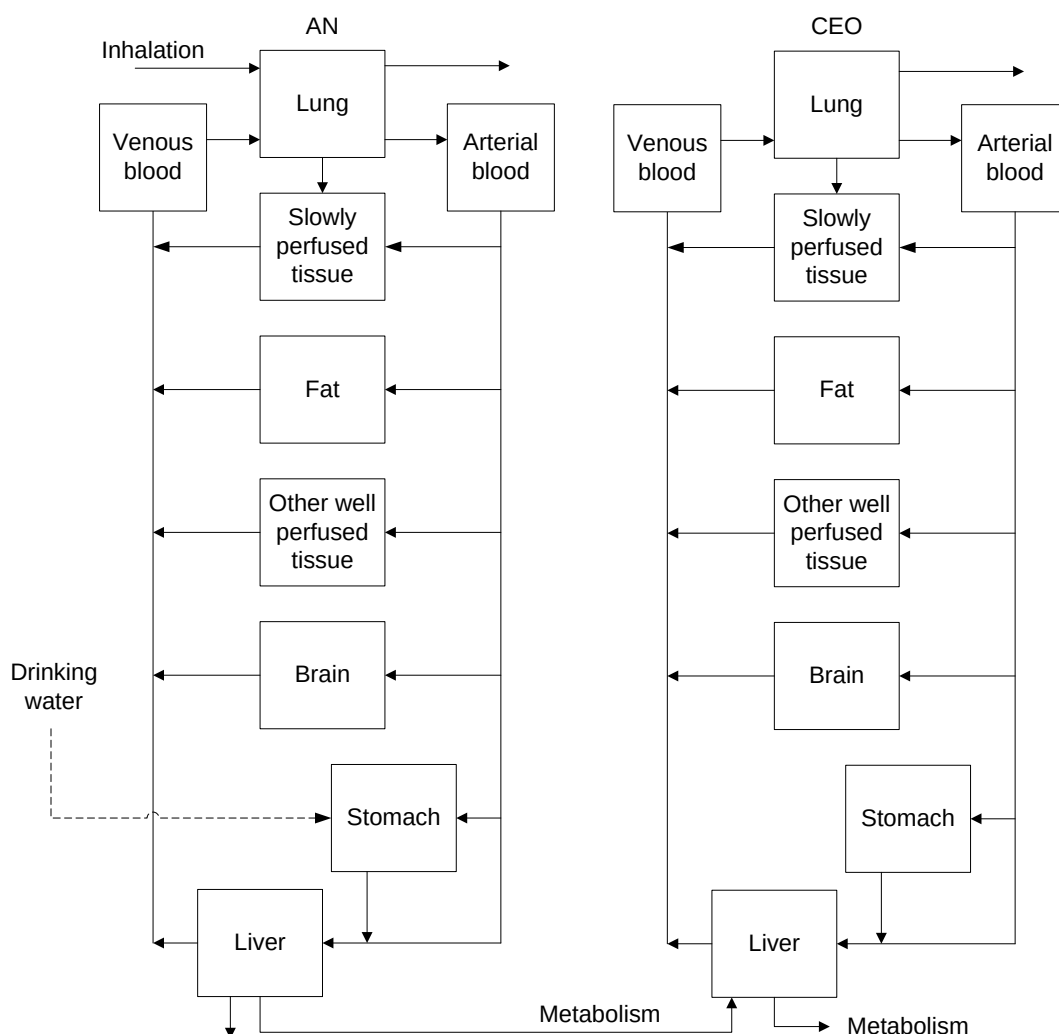
The excretion of metabolites derived from CEO was approximately linear with the AN dose in both rats and mice. However, the urinary excretion of N-acetyl-S-(2-cyanoethyl)cysteine increased nonlinearly with increasing dose of AN, an effect that was much more pronounced in rats than in mice. This probably indicated the presence of a competing pathway, namely, epoxidation of AN, with the conjugation of AN with GSH. The fraction of the total dose recovered as metabolite from CEO was 0.5 in rats and 0.67 in mice. The study authors estimated that the ratio of AN epoxidation to GSH conjugation ranged from 4.8 to 1.3 as the AN dose increased in rats and from 5.7 to 2.7 as the dose increased in mice. Kedderis et al. (1993a) also pointed specifically to the species differences detected in this study—a roughly 10-fold higher excretion of thiodiglycolic acid (thiodiacetic acid) in mice as compared with rats and measurable excretion of S-(2-cyanoethyl)thioacetic acid in mice. This urinary metabolite could not be detected in rats at all.

Sumner et al. (1999) treated three male WT and four male CYP2E1-null mice (C57BL/GN × Sv129) orally to 0, 2.5, or 10 mg/kg [1,2,3-¹³C]-AN and used NMR spectroscopy to characterize AN metabolites in urine samples collected over 24 hours. WT mice excreted metabolites derived from CEO (80–85% of total excreted) and from direct GSH conjugation with AN (15–21% of total excreted), with the largest percentage of metabolites from conjugation of GSH with the 3-carbon of CEO. CYP2E1-null mice displayed only metabolites derived from direct GSH conjugation with AN in their urine following administration of 2.5 or 10 mg/kg [1,2,3-¹³C]-AN. This confirmed the role of CYP2E1 in the oxidation of AN to CEO and its subsequent transformation to a range of other products. Since CYP2E1-null mice did not excrete metabolites that would be produced by oxidation by other CYP450s, CYP2E1 may be the only CYP450 enzyme involved in the metabolism of AN. In addition, CYP2E1-null mice excreted about the same percentage of administered dose as the WT mice, indicating CYP2E1-null mice compensated for the CYP2E1 deficiency by producing more metabolites from direct conjugation of AN with GSH.

Taken together, the animal data indicate that AN can be exhaled as parent compound at a low percentage of the administered dose, probably increasing at high doses (e.g., 10 mg/kg). Fecal excretion amounts to about 5% of a given dose. The biliary pathway leading to fecal excretion has not been well characterized. To a small extent, similar to fecal excretion, AN is metabolized completely and exhaled as CO₂. Both pathways appear to be quite independent of the administered dose. Urinary excretion of AN metabolites has been well characterized but is not without contradictory findings. There appears to be little doubt that mice metabolize more AN via the CYP2E1-mediated oxidative pathway than do rats. To what extent this pathway is likely to be overcome by large doses, or what contribution the GSH conjugation makes with varying doses of AN and different species exposed, is not known.

3.5. PHYSIOLOGICALLY BASED PHARMACOKINETIC MODELS

A physiologically based pharmacokinetic (PBPK) model for AN was previously developed in rats (Kedderis et al., 1996; Gargas et al., 1995) and extended to describe the dosimetry of both AN and CEO in humans (Sweeney et al., 2003). The PBPK model structure consists of two parallel modules, one for AN and one for CEO, interlinked by the rate of oxidative metabolism of AN to CEO in the liver, essentially as described by Gargas et al. (1995). Each module consists of seven dynamic tissue compartments representing the lung, slowly perfused tissues, fat, well-perfused tissues, brain, stomach, and liver (Figure 3-2). All perfusion-limited tissue compartments are linked through blood flow, following an anatomically accurate, typical, physiologically based description (Andersen, 1991).



Source: Sweeney et al. (2003).

Figure 3-2. Structure of the PBPK model for AN and CEO.

Because AN and CEO are retained by the tissue in each compartment according to their tissue/blood partition coefficients (PCs) (which were measured *in vitro*), the concentrations of both chemicals in venous blood (leaving the tissue) are lower than those in arterial blood during the equilibration phase (except CEO in the liver). Therefore, the rate of change in the amounts of both chemicals in each tissue compartment is given by the difference between concentration in blood entering and exiting the tissue multiplied by the blood flow. Simple differential equations for each compartment, corrected for the non-enzymatic conjugation with GSH, are integrated over time, giving the amounts of AN and CEO present in the tissue (Kedderis et al., 1996) (see equation below). Therefore, knowing (from the literature) the actual volume of each tissue, concentrations of AN and CEO in each tissue can be calculated over time.

$$dA_i/dT = Q_i(CA - CV_i) - (K_{SO} \times CV_i \times GSH) \times V_i$$

where

- Q_i = blood flow rate to the target tissue (i)
- CA = concentration of AN in arterial blood
- CV_i = concentration of AN in venous blood
- K_{SO} = rate constant for the conjugation of AN with glutathione (GSH)
- V_i = volume of the target tissue (i)

For the lung compartment, with two mass inputs (mixed venous blood and inhaled air) and two outputs (arterial blood and exhaled air), the amount of either chemical in alveolar air is in equilibrium with the amount in lung blood at the steady state. Thus, concentrations of AN and CEO in arterial blood can be calculated from simple mass balance equations. Such calculations take into account the alveolar ventilation rate and the rate of blood flow through the lung, a parameter made equal to cardiac output (both known from the literature) and corrected for binding to Hb and blood sulfhydryls.

For the liver compartment, with mass input from blood and two outputs (venous blood and metabolism, excluding biliary excretion that was not considered), the chemical mass transfer is given by the difference between concentrations in portal and venous blood multiplied by hepatic blood flow and corrected for: (1) metabolism of AN to yield CEO (calculated from the Michaelis-Menten equation, subtracted from the mass of AN, and added to the mass of CEO), (2) enzymatic hydrolysis of CEO (also described by the Michaelis-Menten equation), and (3) the first-order conjugation with GSH. A simplified scheme of the mass flow in the PBPK model for AN and CEO is shown in Figure 3-2 (Sweeney et al., 2003).

The model was initially calibrated in rats for three routes of AN administration—oral, *i.v.* (bolus), and inhalation (Kedderis et al., 1996)—by manually adjusting the metabolic parameters V_{max} and K_m for AN oxidation, first-order constants for AN- and CEO-GSH conjugation, and first-order constant for absorption of AN from the GI tract, guided by the approximate statistical likelihood calculation of SimuSolv. Absorption through the skin, which has been estimated at

about 1% of that through the lung (Rogaczewska, 1975), was not considered in this model. Chemical-specific modeling parameters were either: (1) measured in vitro in rats (PC [Teo et al., 1994]; macromolecular interaction constants [Gargas et al., 1995]), (2) fitted to the experimental data by the model (metabolism parameters: $V_{\max}$ and K_m), or (3) estimated from the literature (CEO elimination constants).

The model assumed that rats do not have EH activity, based on data from Kedderis and Batra (1993). As discussed previously in Section 3.3.1, this assumption is probably incorrect, based on data from de Waziers et al. (1990), Guengerich et al. (1981), and Kopecky et al. (1980). This PBPK model did not scale allometrically EH activity to humans, whose liver microsomes display EH activity toward CEO (Kedderis and Batra, 1993). Instead, Kedderis (1997) estimated this activity in humans in vivo based on the ratio of EH to CYP450 activity in subcellular hepatic fractions multiplied by the CYP450 activity in vivo. In addition, the human blood-to-air PC for AN was determined experimentally (Kedderis and Held, 1998). Because no in vivo pharmacokinetic data were available to validate the human model, human in vitro data were scaled to experimental data obtained from rats by using a “parallelogram approach.”

The model by Kedderis et al. (1996) overestimates the oral exposure of venous blood CEO concentration by 3- to 10-fold. This is a systematic bias, which suggests that the model parameters need to be reevaluated or that the model is not capturing an important kinetic process for CEO clearance. In particular, for all tissues except the liver, the measured rise in CEO concentration for the first 10–15 minutes after oral and i.v. exposures is much slower than predicted by the model, and the model continues to overpredict the oral data for all measured time points, with the overprediction in the brain being the worst. Kedderis et al. (1996) suggested that the overestimation of CEO concentration in blood at early time points is “most likely due to a large intrahepatic first-pass effect.” This hypothesis has not been further evaluated, but, even if correct, the model structure has not been revised to simulate this phenomenon. The model continues to overestimate CEO levels in blood (albeit to a lesser degree) at later time points when the hypothesized first-pass effect would be less of a contributing factor.

It should also be noted that Kedderis et al. (1996) did not compare their model predictions to data on the urinary elimination of AN-GSH and CEO-GSH conjugates and on total activity bound to Hb, as was done in a previous version of the model (Gargas et al., 1995). While fits to those data may have informed the parameters reported by Kedderis et al. (1996), this is clearly not the case in this instance. Also, the blood and tissue time-course data shown by Kedderis et al. (1996) do not include all of the points shown in the previous publication.

Since Kedderis and colleagues (1996) did not include EH activity in their rat model (but other evidence strongly indicates significant CEO hydrolysis by EH), did not perform a more global, numerical optimization of their parameters, may not have included the urinary and Hb data, and the subsequent model fits consistently overpredicted CEO pharmacokinetics in blood

and brain to a large extent, the model parameterization was revised to improve the model characterization of the rat pharmacokinetic data and provide a more sound footing for human-rat dosimetry comparisons and hence risk extrapolation, at least making the results numerically reproducible. Guengerich et al. (1981) measured CEO hydrolysis with purified rat EH and obtained a $V_{\max}$ of 0.3 $\mu\text{mol/minute-mg}$ protein and a K_m of 800 μM . Since the highest blood levels observed for CEO were $\sim 2 \mu\text{M}$ (0.1 $\mu\text{g/mL}$), to a good approximation hydrolysis can then be described using a first-order rate constant of $0.3/800 = 3.75 \times 10^{-4} \text{ L/minute-mg EH protein}$. The EH content of rat liver was measured by de Waziers et al. (1990), who found 0.165 mg EH/mg MP and a value of 40 mg MP/g liver from Ploemen et al. (1997) can be applied.

Finally, the liver fraction for the rat as used in the model 40 g/kg BW was applied, assuming a standard 0.25 kg rat. The rate constant for a standard rat would then be $k_{\text{EH}} = (3.75 \times 10^{-4} \text{ L/minute-mg EH}) \times (0.165 \text{ mg EH/mg MP}) \times (40 \text{ mg MP/g liver}) \times (40 \text{ g liver/kg BW}) \times (0.25 \text{ kg BW}) \times (60 \text{ minutes/hour}) = 1.49 \text{ L/hour}$. Because k_{EH} is effectively the ratio, $V_{\max}/K_m$, for EH, and a $V_{\max}$ is expected to scale with BW across species while K_m is not expected to scale with BW, k_{EH} is expected to scale in the same way as a $V_{\max}$. In particular, since k_{EH} is a total activity (rather than an activity per unit volume of liver), it is expected to scale as $\text{BW}^{0.7}$. Therefore, as for $V_{\max}$ values in general, one derives a scaled constant, $k_{\text{EHC}} = k_{\text{EH}}/(0.25 \text{ kg})^{0.7} = 3.92 \text{ L/h-kg}^{0.7}$. Based on similar in vitro (Krause et al., 1997) and in vivo (Kemper et al., 2001) EH activity data for butadiene mono-epoxide, it is suspected that the in vivo enzymatic hydrolysis of CEO is also similar to in vitro values, further supporting this direct extrapolation.

Because of the close dose spacing used in the protein kinase (PK) studies and the limited data on metabolite disposition, not all of the remaining parameters were readily identifiable from the in vivo PK data. Therefore, a value of K_m for AN oxidation to CEO from in vitro experiments with rat liver microsomes from Roberts et al. (1991) will be used: $52 \mu\text{M} \times 0.05306 \text{ mg AN}/\mu\text{mol} = 2.76 \text{ mg/L}$.

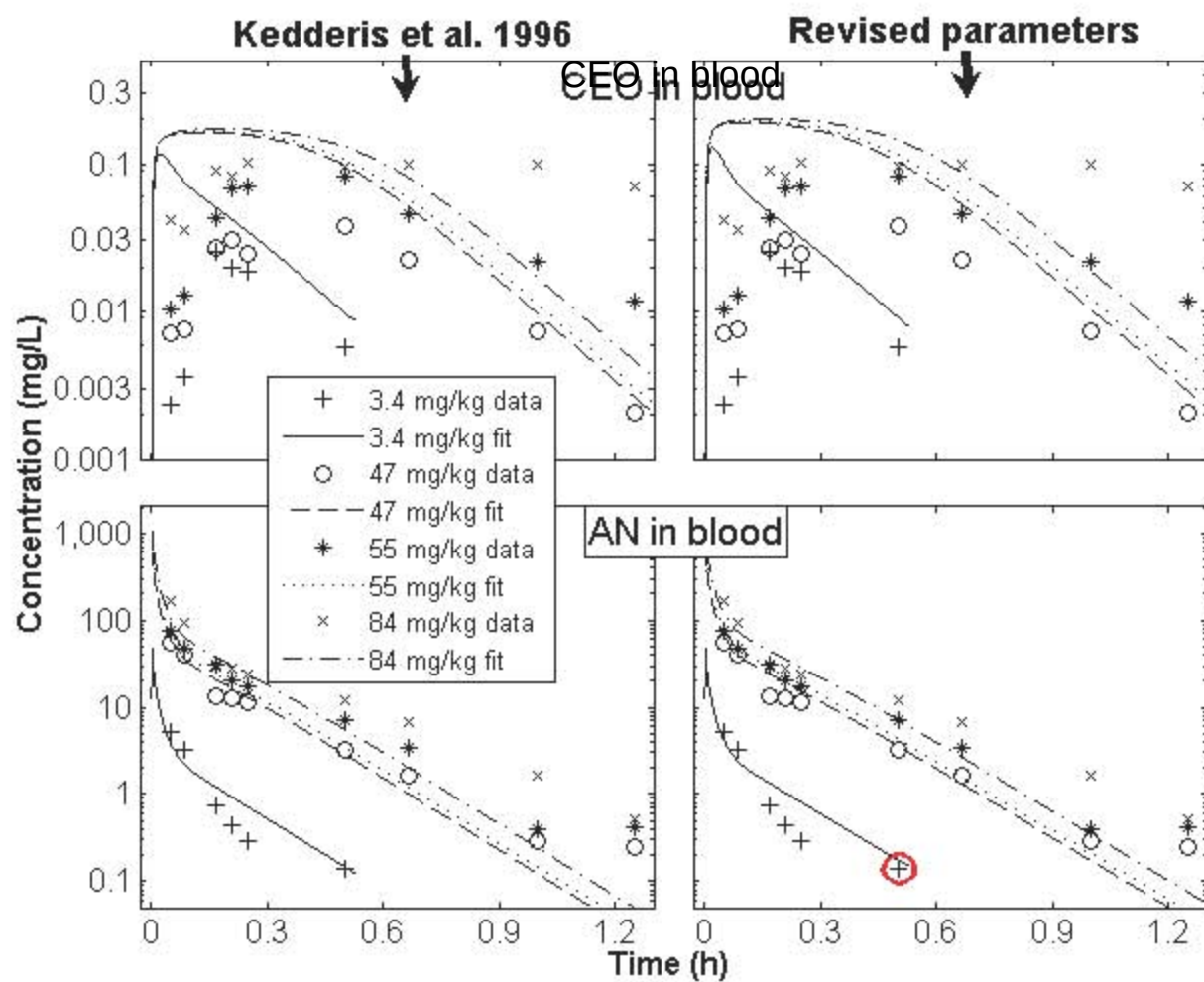
While the model predictions of AN and CEO blood levels after oral exposures tended to either match or exceed the measured levels, the model predictions of the Hb-binding data were below the measured levels, indicating that the corresponding binding constants that had been determined in vitro were too low. Since the data are only for total binding to Hb (AN plus CEO), the ratio of the AN:CEO binding constants from in vitro was held constant, but the absolute value of the constants was allowed to vary.

Given the values for K_m and the k_{EHC} obtained above, the parameters varied during model fitting were $V_{\max}$ (AN oxidation), k_{FC} (GST activity towards AN), k_{FC2} (GST activity towards CEO), k_A (oral absorption), and k_H (AN-Hb binding constant, with the CEO-Hb binding constant, k_{H2} , varied in direct proportion, so that k_H/k_{H2} remained equal to the ratio of the values measured in vitro). An approximate log likelihood function as described by Cole et al. (2001) was used as a measure of goodness of fit. During initial optimizations, it was assumed that the

heteroscedasticity values for AN and CEO were distinct but that the same value held for each compound among all three tissues sampled (brain, liver, and blood). However, examination of the standard deviations (SDs) noted in the data of Kedderis et al. (1996) and Gargas et al. (1995) indicated that measurement error was approximately proportional to the signal strength, so the heteroscedasticities were set to two.

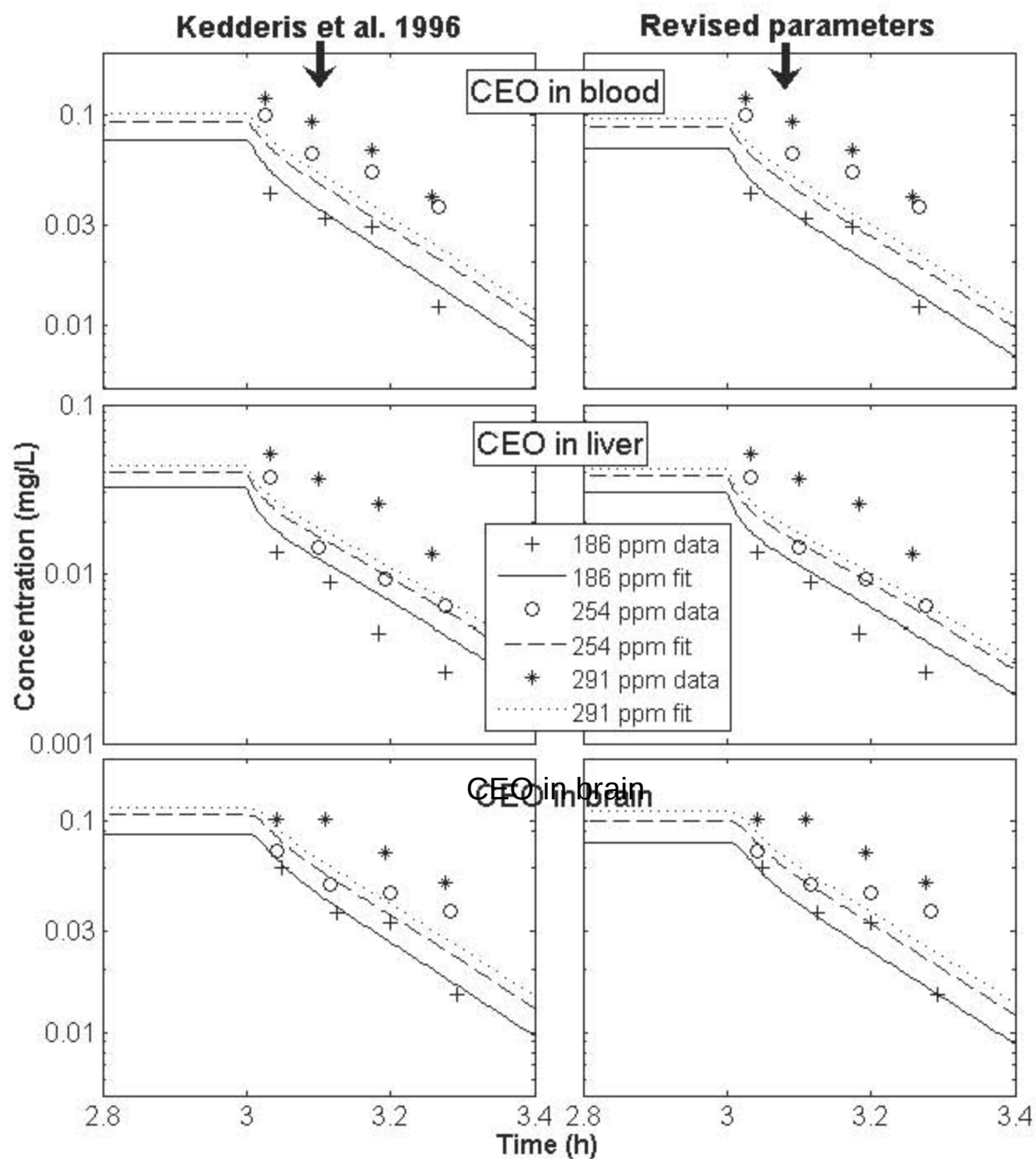
Initial attempts to fit the model to the entire data set by varying other model parameters were not satisfactory. While the results are not shown here in detail, a possible reason that the model could not entirely fit the data is that it assumes constant GSH levels in each tissue, while previous studies (e.g., Benz et al., 1997a) have shown significant GSH depletion at dose levels in the range of those used in the PK studies. Since GSH depletion will be least significant at the lowest doses used, and the most interest is in the low-dose range for extrapolation, it was decided to reestimate the model parameters by using only the lowest concentration pharmacokinetic data: the oral doses at or below 3 mg/kg, i.v. dose of 3.4 mg/kg, and inhalation concentration of 186 ppm. Also, of the data used to estimate parameters, it was determined that the last AN blood measurement from both the 3 mg/kg oral data and the 3.4 mg/kg i.v. data appeared to be outliers since they were well above the otherwise log-linear clearance curve defined by the preceding data, so they too were not used in the estimation. (Model simulations of all exposure concentrations and doses compared with the data are shown in figures in this section, but only the data for the exposure values specified two sentences above were used for fitting.)

The following figures show the model fits obtained with the parameters of Kedderis et al. (1996) as compared with EPA's revised parameters. (All parameter values are listed in Table C-1 of Appendix C.) The term "fits" is used here to describe the closeness of model simulations to the data, recognizing that only a subset of the data, as described in the preceding paragraph and indicated in the figure legends, was actually used in parameter estimation.) Overall, the revised model fits proved to be almost identical to the original model fits. Results are nearly identical for most of the i.v., inhalation, and oral (Figures 3-3 to 3-5a) blood- and tissue-time-course data. The revised fits to the CEO blood- and tissue-time-course data after oral dosing are slightly worse than in the original model (Figure 3-6b), but then the fits to the urine and especially the Hb-binding data (Figure 3-6c) are considerably better than those obtained with the parameters of Kedderis et al. (1996). Since only a few of the fits were degraded slightly, while others were improved, the revised model parameters are considered to represent the overall data set at least as well as the original. The fact that the revised parameters are specifically defined by the low-dose data, which are closest to the range where the model will be applied and are obtained using an objective criteria and numerically reproducible methods (the approximate likelihood function with numerical optimization), gives further support for their use.



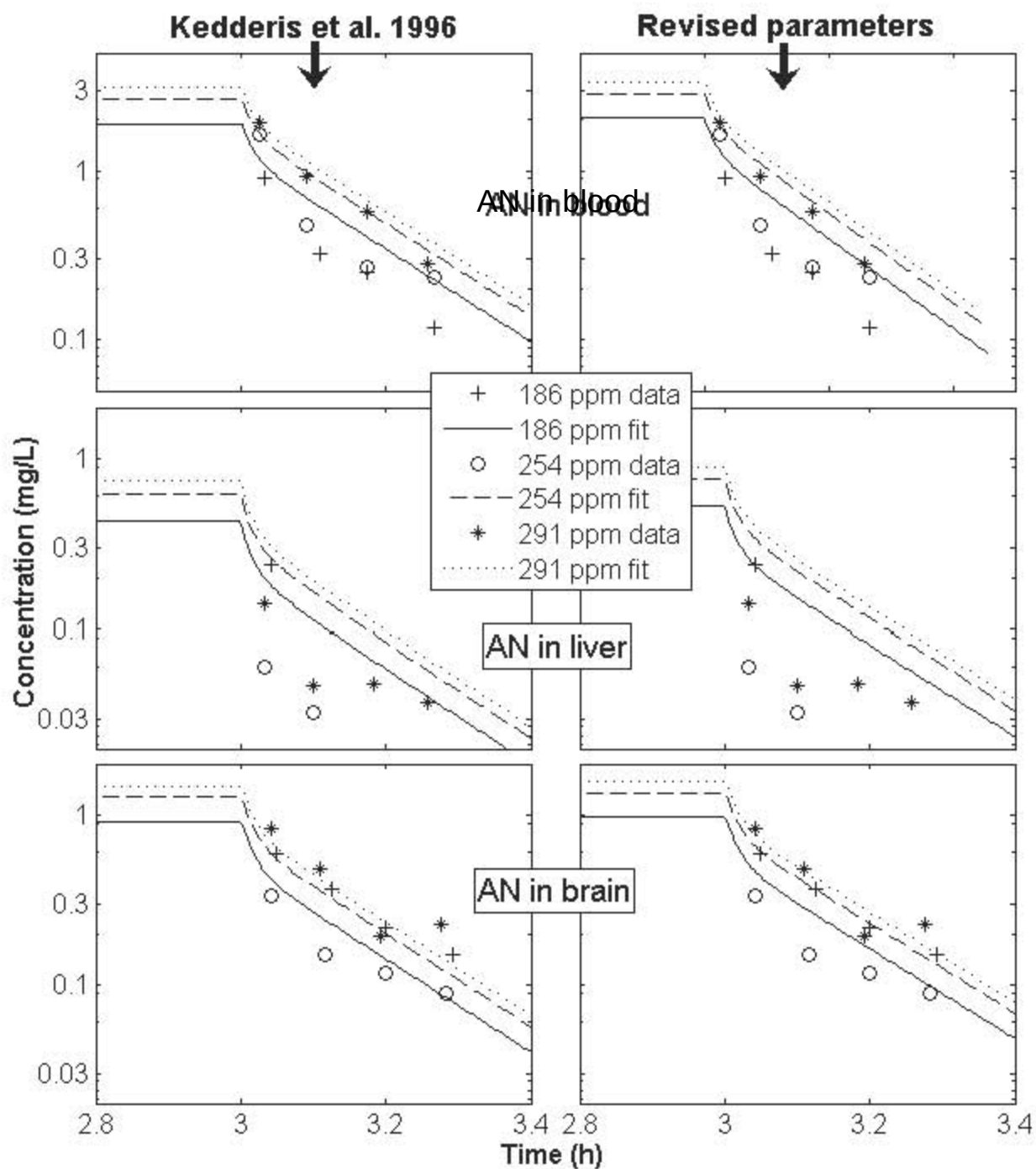
Note: Only 3.4 mg/kg data were used to estimate revised parameters, with circled point excluded.

Figure 3-3. Intravenous exposure, dosimetry, and model fits.



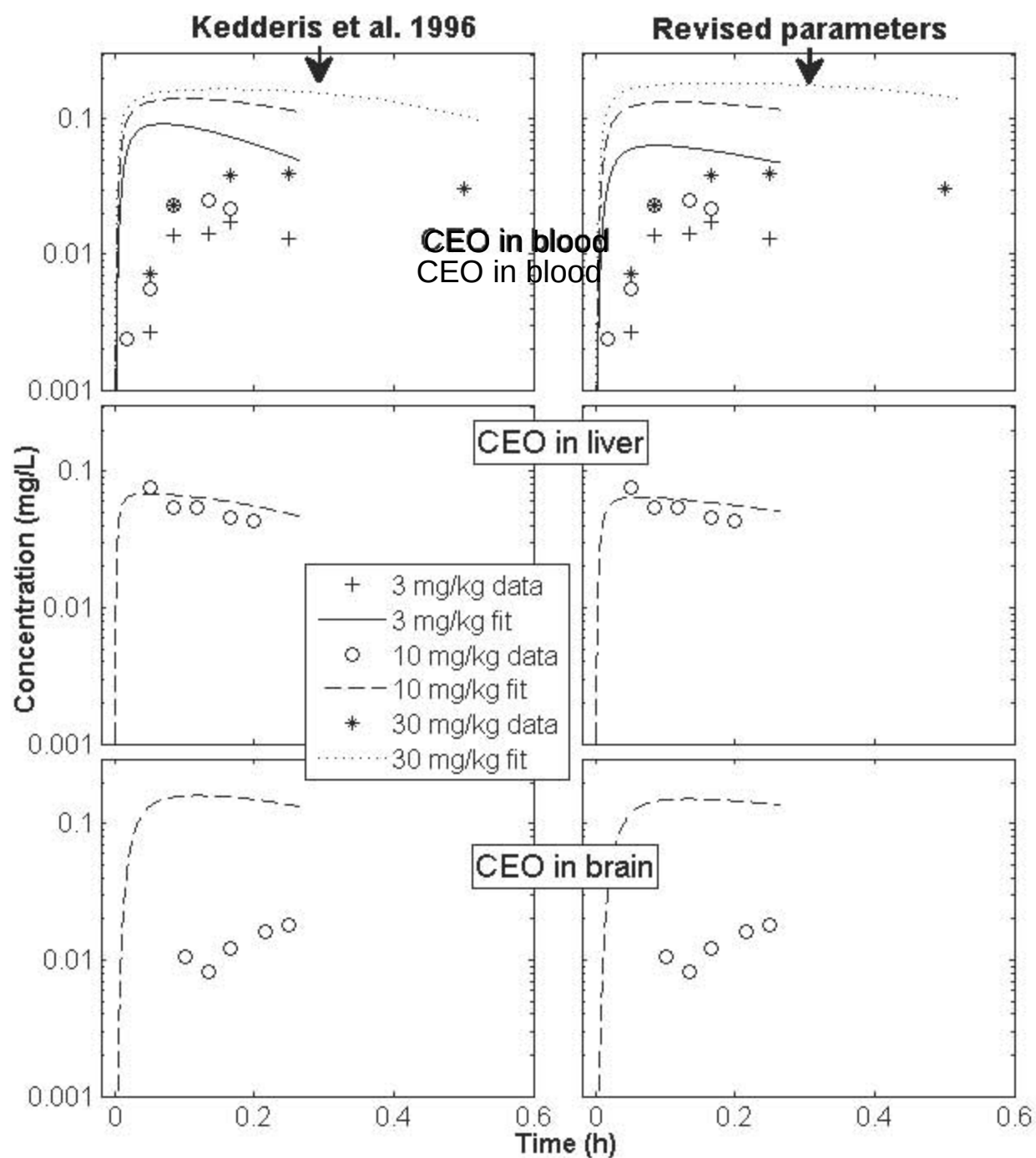
Note: Only 186 ppm data were used to estimate revised parameters.

Figure 3-4a. Inhalation exposure, CEO concentrations.



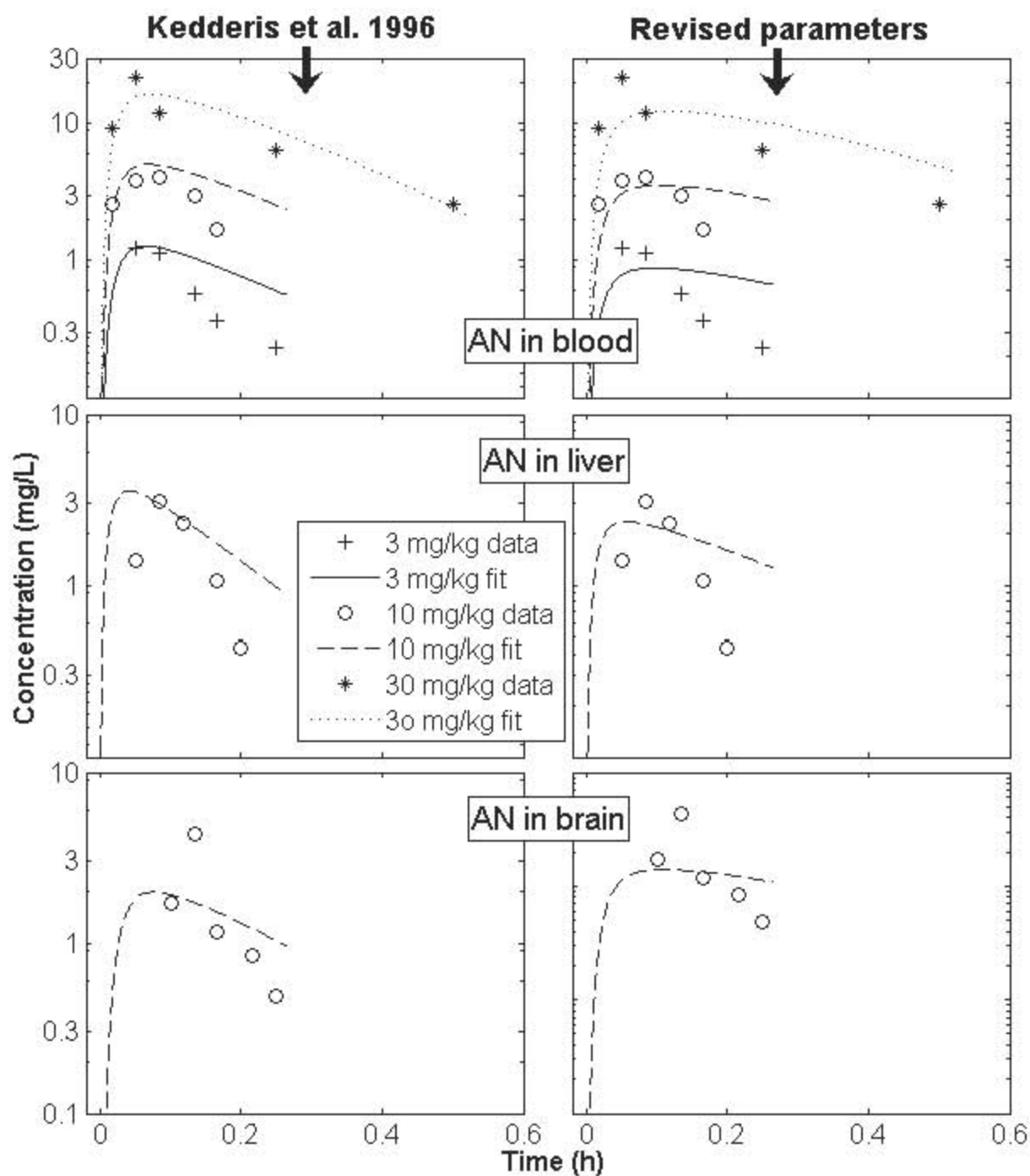
Note: Only 186 ppm data were used to estimate revised parameters.

Figure 3-4b. Inhalation exposure, AN concentrations.



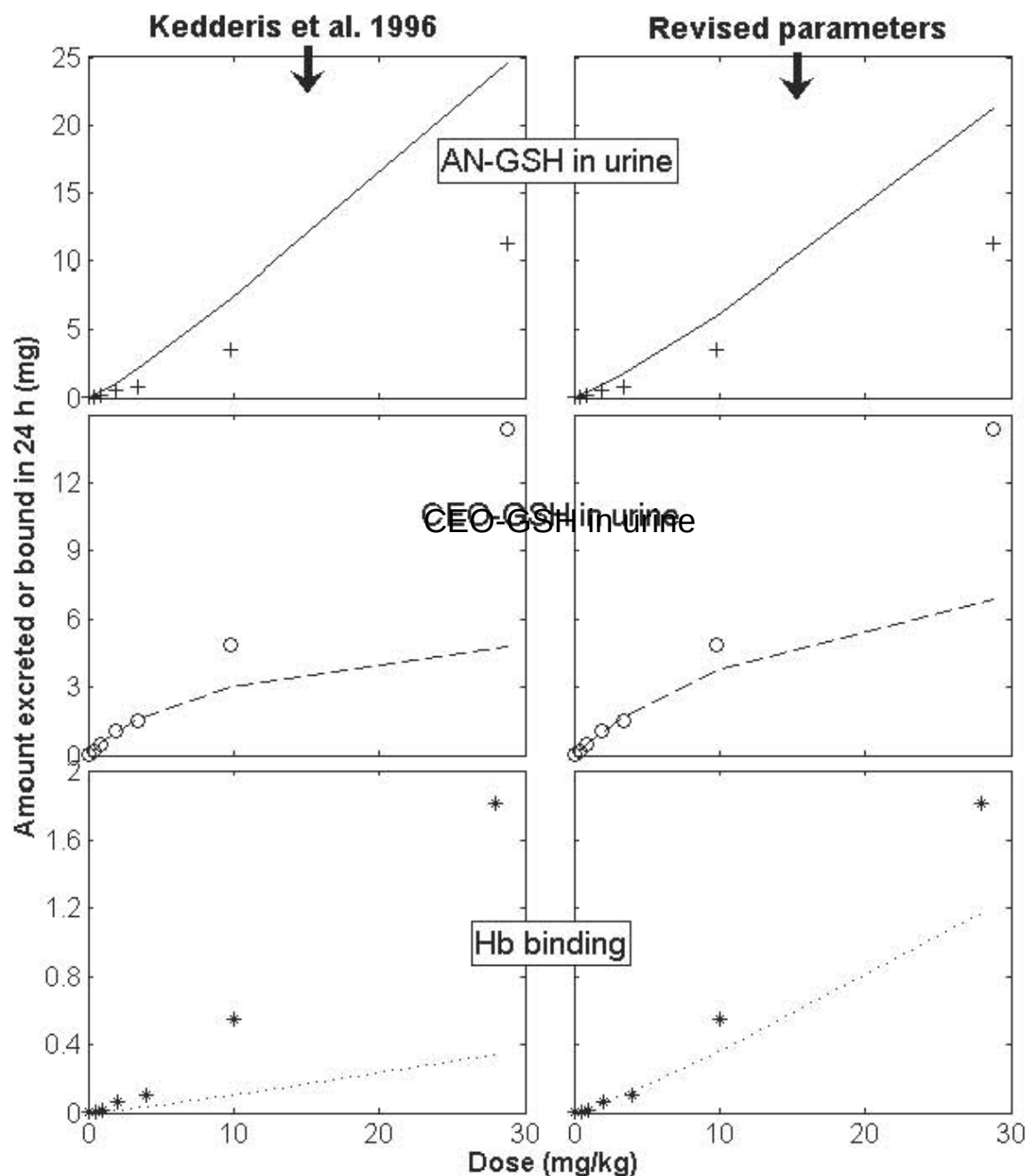
Note: Only 3 mg/kg data were used to estimate revised parameters.

Figure 3-5a. Oral exposure, CEO concentrations.



Note: Only 3 mg/kg data were used to estimate revised parameters.

Figure 3-5b. Oral exposure, AN concentrations.



Note: points are data; lines are model fits or simulations. Only data for doses ≤ 2 mg/kg were used to estimate revised parameters.

Figure 3-5c. Oral exposure, urinary excretion, and Hb binding.

Since the human metabolic parameters were extrapolated from in vitro measurements by using the relationship between in vitro measured and in vivo estimated values from the rat (Sweeney et al., 2003), it is appropriate to update the human metabolic parameters and model in parallel with EPA's update of those in the rat. For EH, Sweeney et al. (2003) used the in vivo:in vitro relationship for the CYP450-mediated metabolism, since there had been no parallel relationship for hydrolysis. Because in the revised model the rat EH is extrapolated from in vitro

to in vivo based only on enzyme content, microsomal content, liver fraction, and BW, a parallel approach for the human would be to do the same, rather than using a correction factor based on a different class of enzymes. In the case of humans, Kedderis and Batra (1993) measured EH activity in vitro by using hepatic microsomes, so that use of the amount of enzyme per mg MP was not needed in the calculation. Kedderis and Batra (1993) determined a $V_{\max}$ and K_m EH-mediated hydrolysis of CEO using liver samples from six individual humans. The lowest estimated K_m in the group was 600 μM , so again, the metabolism was described as first order, using the ratio of $V_{\max}/K_m$. The ratio of $V_{\max}/K_m$ was first calculated for each individual since $V_{\max}$ and K_m tend to be statistically correlated due to the way they are estimated, and an average value for the ratio was then determined to be 7.02×10^{-6} L/minute-mg MP. The following were then applied: the value of 56.9 mg MP per g liver from Lipscomb et al. (2003), the liver fraction of 25.7 g/kg BW, and the standard value of 70 kg for a human. The rate constant for a standard human is then $k_{\text{EH}} = (7.02 \times 10^{-6} \text{ L/minute-mg MP}) \times (56.9 \text{ mg MP/g liver}) \times (25.7 \text{ g liver per kg BW}) \times (70 \text{ kg BW}) \times (60 \text{ minutes/hour}) = 43.1 \text{ L/hour}$, assuming it also scales as $\text{BW}^{0.7}$, $k_{\text{EHC}} = k_{\text{EH}}/(0.70 \text{ kg})^{0.7} = 2.20 \text{ L/hour-kg}^{0.7}$. Recall that the value for rats was estimated to be $3.92 \text{ L/hour-kg}^{0.7}$.

The original PBPK model for humans was assessed for its sensitivity to changes in key input parameters, and the expected variability in CEO concentrations in humans under different AN exposure scenarios was estimated (Sweeney et al., 2003). In addition to updating the CEO hydrolysis rate constant for the human model (and using a first-order equation for that reaction) as previously discussed, the ratio of $V_{\max}$ for the oxidation step as estimated in vivo vs. measured in vitro for the rat was used to estimate the human in vivo $V_{\max\text{C}}$, and the enzymatic GSH conjugation rate constants for AN (k_{FC}) was likewise estimated from the rat estimated in vivo vs. measured in vitro ratio (k_{FC2} was unchanged during the reestimation). Since the rat values for $V_{\max\text{C}}$ and k_{FC} were revised, and these values were each one leg of the metabolic extrapolation “parallelogram,” the human in vivo values should be accordingly varied. The result is that the ratio of revised/original human values for each of these constants is simply equal to the respective revised/original in vivo values for the rat constants; the revised human values are $V_{\max\text{C}} = 22.1 \text{ mg/hour-kg}^{0.7}$ and $k_{\text{FC}} = 77 \text{ kg}^{0.3}/\text{hour}$. Finally, since the original model extrapolation assumed that the oral absorption constant, k_{A} , and the Hb-binding constants, k_{H} and k_{H2} , were the same in humans as in rats, these assumptions were retained, updating the human parameters appropriately.

Appendix C provides model source codes written in acslXtreme (AEGIS Technologies, Huntsville, AL) and Matlab (The Mathworks, Inc., Natick, MA) that were used to model AN/CEO pharmacokinetics with the PBPK models of Kedderis et al. (1996), as revised, and Sweeney et al. (2003) in this evaluation.

4. HAZARD IDENTIFICATION

4.1. STUDIES IN HUMANS—EPIDEMIOLOGY AND CASE REPORTS

4.1.1. Oral Exposure

No studies were identified that addressed the exposure of human beings to AN via the oral route.

4.1.2. Inhalation Exposure

4.1.2.1. *Acute Exposure*

In a study on six male volunteers, a single 8-hour inhalation exposure of 5–10 mg/m³ AN produced no subjective symptoms, such as headache, nausea, or general weakness (Jakubowski et al., 1987).

A report by Chen et al. (1999) collated 144 acute AN poisoning cases that had occurred between 1977 and 1994 in China. Each case involved a brief workplace accidental exposure to a high concentration of AN. There were few reliable data on the levels of exposure in these cases, although transient concentrations of AN were thought to range from 40 to 560 mg/m³ (18–258 ppm) for 60 cases and may have been over 1,000 mg/m³ for the remaining 84 cases. All but 9 of the 144 subjects were males, ranging in age from 18 to 53 years old. Forty-two of the cases were considered to have resulted in severe acute AN poisoning, while the rest fell into the mild acute category.

Table 4-1 summarizes the incidence of symptoms and signs of toxicity that were evident in the 144 cases. Other changes in monitored biochemical or physiological parameters included transient increases in peripheral white blood cell (WBC) count to greater than $10 \times 10^9/\text{L}$ in 66 cases and a number of apparent fluctuations in clinical chemistry parameters. Out of 120 subjects whose liver function was monitored, seven subjects showed abnormal increases in alanine aminotransferase (ALT) (slight), aspartate aminotransferase (AST), and cholyglycine (i.e., glycocholic acid). Up to 23 times the normal serum concentration of the latter compound was detected. The seven subjects with abnormal liver functions were poisoned at low concentrations in air (40–79 mg/m³ [18–36 ppm]), but for a comparatively longer duration (36 hours). Blood levels of GSH were depressed up to 50% in the severe cases, and urine thiocyanate was increased up to fourfold.

Table 4-1. Clinical signs in 144 subjects accidentally exposed to AN

Symptoms	Cases (%)	Symptoms	Cases (%)
Dizziness	144 (100)	Congestion of pharynx	105 (73)
Headache	144 (100)	Hoarseness	13 (9)
Feebleness	144 (100)	Pallor	108 (75)
Sore throat	87 (60)	Profuse diaphoresis	95 (66)
Chest tightness	144 (100)	Rough breathing sound	18 (13)
Cough	16 (11)	Rapid heart rate	36 (25)
Dyspnea	118 (82)	ECG abnormalities ^a	15 (10)
Nausea	133 (92)	High blood pressure	20 (13)
Vomiting	95 (66)	Low blood pressure	5 (4)
Abdominal pain	97 (68)	Liver tenderness	9 (7)
Numbness of the limbs	50 (40)	Hepatomegaly and splenomegaly	7 (5)
Fainting	104 (72)	Coma	7 (5)
Convulsion	46 (32)	Hyperactive knee jerk	137 (95)

^aAll 15 instances of electrocardiogram (ECG) abnormalities occurred in cases of severe poisoning.

Source: Chen et al. (1999).

These changes disappeared after poisoned subjects were removed from the accident site and underwent treatment that included amyl nitrite via inhalation and an i.v. injection of 3% sodium nitrite followed by 50% sodium thiosulfate (STS). Other treatments included infusion with glucose, adenosine triphosphate (ATP), coenzyme A, and DEX along with oxygen inhalation to prevent the development of cerebral edema and to protect brain and liver cells.

In a study that was possibly reflective of combined inhalation and dermal exposure to AN, Wilson et al. (1948) reported that workers exposed to AN vapors at 16–100 ppm (35–217 mg/m³) for periods of 20–45 minutes while involved in cleaning operations in polymerizer facilities complained of dull headache, fullness in the chest, irritation of all mucous membranes (eyes, nose, and throat), and a feeling of apprehension and nervous irritability. Some workers also complained of intolerable itching of the skin, but had no clinically demonstrable dermatitis. Workers who had direct skin contact with AN displayed a sequence of symptoms, including skin irritation and erythema, followed by bleb formation and then desquamation with slow healing (Wilson et al., 1948). An earlier report by Wilson (1944) reported the onset of nausea, vomiting, weakness, headache, fatigue, diarrhea, and an “oppressive feeling” in the upper respiratory passages following exposure to “mild concentrations” of the compound. Several cases of reversible mild jaundice and one case of slow-to-reverse severe jaundice were identified and associated with occasional liver tenderness and low-grade anemia, but it was unclear whether they were related to inhalation or dermal exposures to only AN or to a combination of AN with other industrial rubber manufacturing chemicals such as butadiene and styrene.

4.1.2.2. Chronic Exposure

4.1.2.2.1. Cancer epidemiology

4.1.2.2.1.1. Cohort studies

A retrospective cohort study involving workers at a DuPont textile fibers plant in Camden, South Carolina, was the first epidemiologic study to address the potential carcinogenicity of AN (O'Berg, 1980). Shortly thereafter, reports from other cohorts of AN-exposed workers in Germany, the Netherlands, the United Kingdom, and other parts of the United States, were published. Other smaller-scale occupational cohorts have also been utilized to assess the association between AN exposure and adverse human health effects.

Early studies did not utilize quantitative measures of AN exposure, nor were they able to control for smoking, an important consideration when lung cancer is an outcome of interest. These factors were being taken into account by the late 1980s. This section provides a summary of some of the major occupational cohort studies conducted both within and outside the United States. Some studies presented number of observed and expected cases, but did not calculate the 95% confidence interval (CI) corresponding to a standardized mortality or incidence ratio. In these cases, these values were calculated by EPA.¹

The DuPont studies

Researchers from DuPont conducted a series of studies among male workers potentially exposed to AN (Symons et al., 2008; Wood et al., 1998; Chen et al., 1987; O'Berg et al., 1985; O'Berg, 1980). The original study was conducted in South Carolina, with a follow-up study a few years later. A second DuPont plant, located in Virginia, was the site for another cohort study. Finally, Wood et al. (1998) combined the cohorts from South Carolina and Virginia to further investigate the relationship between AN exposure and cancer. Most recently, Symons et al. (2008) updated this combined cohort, adding 11 years of follow-up for a total follow-up period of over 50 years.

The first epidemiologic study addressing the potential carcinogenicity of AN (O'Berg, 1980) examined cancer incidence and mortality in a cohort of 1,345 male workers from a DuPont textile plant who were potentially first exposed to AN between 1950 and 1966. The cohort was chosen from a larger group of more than 10,000 workers, based on examination of work history (wage roll employees and some salaried employees), recollection of plant supervisors (wage roll maintenance employees and salaried employees), and survey of salaried employees. No individual or area exposure monitoring data were available during the period of study. Several surrogate measures of potential exposure were utilized in the analyses, including job title, initial

¹ Calculated using OpenEPI online calculator (<http://www.sph.emory.edu/~cdckms/exact-midP-SMR.html>); Boice-Monson CI are presented.

period of first exposure, length of exposure, and payroll (assuming that wage roll employees have higher potential exposure than supervision or salary roll employees).

Workers were followed through the end of 1976, thus allowing a latency period of at least 10 years for all surviving members of the cohort. Cancer incidence was ascertained through a company (i.e., Dupont) cancer registry for all cohort members who were diagnosed during their employment, and cause of death (based on death certificate data) was determined for all cohort members who died either while employed or after termination. Comparison rates for cancer incidence and mortality were derived from similarly collected data in a company-wide cancer incidence and mortality registry system. Additional mortality information was supplied by the Social Security Administration, and death certificates were obtained for all known deaths. Other standard comparison rates were also utilized and presented; however, the company-wide data represented the most comparable control group.

After observing only one incident case of cancer in the 217 employees with <6 months of exposure, examination of the incidence data was concentrated on the 1,128 employees who had more than 6 months of exposure. Among this group, cancer incidence was stratified by interval of diagnosis: 1956–1964, 1965–1969, and 1970–1976. The standardized incidence ratio (SIR = observed ÷ expected), comparing the observed to expected number of cases based on company-wide incidence rates was 1.26 (95% confidence interval [CI] = 0.82–1.85) for all cancers for the full study period (1956-1976); when limited to the latest interval (1970-1976), the SIR) 1.88, 95% CI = 1.17–2.88. Seventeen of the 19 cases were among wage roll employees, for an SIR of 2.05, 95% CI = 1.23–3.21, in this group (Table 4-2).

Table 4-2. Distribution of select incidence and mortalities among wage workers and all workers at an AN plant in South Carolina

Cause of disease/death	Wage workers				All workers			
	Observed cases	SIR ^a (CI)	Observed deaths	SMR ^a (CI)	Observed cases	SIR ^a (CI)	Observed deaths	SMR ^a (CI)
<i>Less than 6 months of exposure</i>								
All cancers	1	0.77 (0.04–3.19)	3	1.30 (0.33–3.55)	1	0.67 (0.03–3.29)	3	1.25 (0.32–3.40)
Respiratory cancer	0	0.00	1	1.11 (0.06–5.48)	0	0.00	1	1.11 (0.06–5.48)
<i>Greater than 6 months of exposure</i>								
All cancers (1956–1976)	20	1.30 (0.82–1.97)	15	1.16 (0.68–1.87)	24	1.26 (0.82–1.85)	17	1.13 (0.68–1.78)
All cancers (1970–1976)	17	2.05 ^b (1.23–3.21)	11	1.41 (0.74–2.45)	19	1.88 ^b (1.17–2.88)	13	1.44 (0.80–2.41)
Respiratory cancer (1956–1976)	8	2.35 ^b (1.09–4.47)	6	1.30 (0.53–2.71)	8	1.95 (0.91–3.71)	7	1.35 (0.59–2.66)
Respiratory cancer (1970–1976)	6	2.86 ^b (1.16–5.94)	5	1.61 (0.59–3.57)	6	2.40 ^b (0.97–4.99)	6	1.71 (0.69–3.57)

^aSIR and SMR standardized against company-wide rates.

^bStatistically significant ($p < 0.05$).

SMR = standardized mortality ratio

Source: Amended from O’Berg et al. (1980).

Eight incident respiratory cancer cases were observed in the cohort of 1,345 workers. All eight of the respiratory cancers occurred in the group of employees who had >6 months of exposure, and all were among wage roll employees. Six of the eight respiratory cancers occurred in the 1970–1976 time interval compared to 2.1 expected based on company-wide rates (SIR = 2.86, 95% CI = 1.16–5.94) (Table 4-2). The numbers were too small to perform any other cancer-specific analyses.

In order to consider the effects of latency and level of exposure, further analyses were performed looking at the group of workers who had first been exposed between 1950 and 1952, when AN was first used at the plant and when exposures were known to have been highest. Statistically significant differences between the number of observed and expected cases were found among the wage roll employees in the diagnosis interval from 1970 to 1976, with 17 cancers observed and 5.6 expected (SIR = 3.04, 95% CI = 1.83–4.78), with six of these cancer

cases being respiratory cancers with an expected value of 1.5 (SIR = 4.0, 95% CI = 1.62–8.32). This group is presumed to have the longest latency and highest exposures to AN.

Other factors related to significant differences in cancer incidence between observed and expected cases among the wage roll employees during the time period 1970–1976 were: (1) being a mechanic (10 observed vs. 3.7 expected), (2) duration of exposure of more than 10 years (9 observed vs. 2.8 expected), and (3) exposure above “low level” (13 cancers observed vs. 5.5 expected), low level exposure was not defined. Similar relationships were found among respiratory cancers except for duration of exposure of more than 10 years.

Incidence data among wage roll employees were examined by duration of exposure, excluding exposures of <6 months. The duration categories were <5, 5–9, and ≥10 years. The SIRs for all cancers in these three duration of exposure categories were 0.7 (95% CI = 0.23–1.79), 1.2 (95% CI = 0.49–2.50), and 2.3 (95% CI = 1.18–4.14), respectively.

A total of 89 deaths was observed in the entire cohort of 1,345 workers by the end of 1976. The mortality analyses using company-wide rates for comparison did not show any large deviations between observed and expected numbers for all cancers or for respiratory cancers alone. There were 11 observed and 7.8 expected cancer deaths between 1970 and 1976 for the wage roll employees with ≥6 months of exposure (standardized mortality ratio [SMR] = 1.41, 95% CI = 0.74–2.45), and 5 respiratory cancer deaths observed vs. 3.1 expected (SMR = 1.61, 95% CI = 0.59–3.57) (Table 4-2).

The use of company-wide rates as a comparison may be problematic, however, because the company-wide rates are based on other plants where exposure to carcinogenic agents may be possible. Additionally, company-wide rates include both exposed and unexposed workers, thus weakening the ability to observe increased risk among the exposed workers. The use of this referent, therefore, may result in a downward bias (i.e., weakening or hiding a true association between AN and cancer). Additionally, the number of incident cancer cases and cancer-specific mortalities was too small for further analysis. However, the fact that the cancer/respiratory cancer comparisons appeared to be stronger for workers with longer latency, longer exposure, jobs with higher exposure, and work during the early years of observation when exposures were highest add to the weight of the evidence in support of an association.

Another potential limitation of this study was under-ascertainment of incident lung cancer cases. Two confirmed lung cancer cases that should have been included in the cohort were omitted because of clerical error. A validity check of a subsample of 465 employees revealed five more omissions, suggesting that a problem existed with ascertainment of lung cancer cases. The omission of these cases may have resulted in the underestimation of the risk estimate for the incidence of lung cancer. Also, subjective exposure assessment could have resulted in misclassification of exposure. If the exposure misclassification was random, it would be expected to bias the risk ratio toward the null value. If it was nonrandom (i.e., differential based on disease), the effect on the risk estimate could result in a upwardly biased association; this type

of bias is unlikely, however, given the high probability that exposure classification was made without knowledge of disease status. Other metrics used in this study, including duration of exposure, time of diagnosis, and job category, support the finding of an association between AN exposure and cancer. Another limitation is the indication that workers may have been exposed to other chemicals in addition to AN. Additionally, there was no adjustment for smoking. Finally, the small sample size and limited follow-up restricted the ability to detect most cancers.

A second DuPont study extended the follow-up of the above cohort through 1983 for cancer incidence and 1981 for cancer mortality, adding 7 and 5 years of follow-up, respectively (O’Berg et al., 1985). The exposure assessment was not updated, and case ascertainment and calculation of expected incidence and mortality were unchanged from the earlier study. To evaluate dose-response relationships and latency, cumulative exposure was categorized into three groups (<2, 2–12, and ≥ 13 years), and latency was dichotomized into “less than 20 years” or “20 or more years.” Men with <6 months of exposure were included in the analyses. As with the earlier study, DuPont cancer registry data were used as a comparison for the cancer incidence rates observed in the exposed cohort. DuPont and U.S. death rates were used as a comparison for the mortality data in the exposed cohort.

There were 43 incident cancer cases, including 10 lung cancer cases and 6 prostate cancer cases (Table 4-3). The authors did not comment on the problems with ascertainment of incident lung cancer cases described in the O’Berg (1980) study. Two additional cases of lung cancer were identified. The SIRs for all-cancer incidence were similar to those seen in the full study period of the earlier study (O’Berg et al., 1980) of this cohort. The SIR for lung cancer was somewhat attenuated from the earlier study: among wage workers, lung cancer SIR = 1.67, 95% CI 0.85–2.95) (Table 4-3). Analyses were not stratified by year of diagnosis, but the data were stratified by latency period (<20 and ≥ 20 years) and by a cumulative exposure metric (summation of the product of the number of years and an exposure level measure, with values of <2, 2–12, and ≥ 13). The lung cancer SIR for a latency period of <20 years was based on 3 observed and 2.5 expected cases (SIR = 1.2, 95% CI = 0.38–3.7). For a latency period of ≥ 20 years, the SIR was based on 7 observed and 3.5 expected cases (SIR = 2.0, 95% CI = 0.95–4.2). The cumulative exposure index also showed a pattern of increasing risk with increasing score, albeit with wide and overlapping confidence intervals: SIR = 0.71 (95% CI = 0.10–5.1) for the 1 observed and 1.4 expected cases with a score of <2; SIR = 1.7 (95% CI = 0.54–5.2) for the 3 observed and 1.8 expected cases with a score of 2–12; and SIR = 2.1 (95% CI = 0.96–4.8) for the 6 observed and 2.8 expected cases with a score of ≥ 13 .

The number of prostate cancer cases was higher than expected (6 observed, 1.8 expected, SIR = 3.3, 95% CI = 1.35–6.93). All prostate cancer cases were observed among wage workers who had at least 20 years of latency (SIR = 5.5, 95% CI = 2.2–11.3).

Table 4-3. Distribution of select incidence and mortalities among wage workers and all workers at an AN plant in South Carolina (updated follow-up)

Cause of disease/death	Wage workers				All workers			
	Observed cases	SIR ^{a,b} (CI)	Observed deaths	SMR ^a (CI)	Observed cases	SIR ^{a,b} (CI)	Observed deaths	SMR ^a (CI)
All causes	–	–	139	1.18 (1.00–1.39)	–	–	155	1.15 (0.98–1.34)
All cancers	37	1.25 (0.89–1.70)	31	1.15 (0.79–1.61)	43	1.17 (0.86–1.56)	36	1.14 (0.81–1.56)
Lung cancer	10	1.67 (0.85–2.97)	12	1.17 (0.63–2.00)	10	1.39 (1.41–4.95)	14	1.21 (0.69–1.98)
Prostate	6	4.00 ^c (1.62–8.32)	1	1.11 (0.05–5.48)	6	3.33 ^c (1.35–6.93)	1	1.00 (0.05–4.93)

^aSIR and SMR standardized against company-wide rates.

^bCalculated based on observed and expected values provided.

^cStatistically significant ($p < 0.05$).

Source: Amended from O’Berg et al. (1985).

A total of 155 deaths was reported, 36 of which were attributed to cancer (SMR = 1.1, 95% CI = 0.81–1.56); the results for the full sample were similar to those seen in the wage workers (Table 4-3). Among the wage workers, 12 lung cancer deaths were observed vs. 10.2 expected (SMR = 1.17, 95% CI = 0.63–2.00), and 1 prostate cancer death was observed vs. 0.9 expected (SMR = 1.11, 95% CI = 0.05–5.48). The observation that prostate cancer was elevated in the incidence data but not in the mortality data could reflect the fact that prostate cancer has a relatively high 5-year survival rate.

In summary, O’Berg et al. (1985) added approximately 7 years of follow-up to the previous DuPont cohort. As in the earlier study (O’Berg et al., 1980), these data indicate that period of diagnosis or latency may be important considerations for the interpretation of the observed associations. The small number of site-specific cancer cases, however, limits the ability to draw firm conclusions based on these stratified analyses. Additional aspects of the analysis should also be noted. The population was exposed to chemicals other than AN, and these additional exposures were not accounted for in the analyses. Information regarding smoking habits of the workers in this plant compared with the referent groups was not available.

Chen et al. (1987) assembled a cohort of 1,083 mostly white male workers from a DuPont plant in Waynesboro, Virginia, that produced the acrylic fiber Orlon®. The manufacturing process was similar to that at the Camden plant except there was greater distance between the process areas at the Waynesboro facility (Wood et al., 1998). Workers employed at the plant between 1944 and 1970 who had a potential for AN exposure were included. The cohort consisted of 805 wage roll employees and 278 salary roll employees. Potential exposure was based on the review of work histories. No quantitative information was available for

exposure levels to AN; however, each job was classified as having either low, moderate, or high levels of AN exposure. Because data prior to 1957 were not available, the investigators analyzed only deaths between 1957 and 1981.

As with previous DuPont cohort studies, morbidity in the exposed cohort was compared with company-wide cancer incidence rates, while mortality was compared with company-wide rates and national rates. Death information was gathered for active and pensioned employees, and the names of terminated employees were submitted to the Social Security Administration for identification of vital status. Vital status for 20 people (1.8%) was unknown. A total of 92 deaths was observed, with 21 attributed to cancer. For both wage workers and salary workers, the number of observed deaths was lower than expected (Table 4-4).

No excess of observed cancer deaths was observed in either worker category. Among the wage workers, the lung cancer SMR was 0.59 (95% CI = 0.22–1.32) (Table 4-4). No lung cancer deaths were observed among the salary workers. As for other cause-specific deaths, no significant trends were detected among either wage or salary workers by time period or duration of exposure. Analyses by the level of AN exposure and cumulative exposure did not show significant differences between observed deaths and expected values.

Table 4-4. Distribution of select incidence and mortalities among wage and salary workers at an AN plant in Virginia

Cause of disease/death	Wage workers			Salary workers			Total (incidence)	
	Observed deaths	SMR _{US} ^a (CI)	SMR _{DuPont} ^a (CI)	Observed deaths	SMR _{US} ^a (CI)	SMR _{DuPont} ^{a,b} (CI)	Observed cases	SIR _{DuPont} ^{a,b} (CI)
All causes	68	0.57 ^b (0.44–0.78)	0.77 ^b (0.61–0.98)	24	0.41 ^b (0.27–0.60)	0.66 ^b (0.43–0.97)	–	–
All cancers	18	0.75 (0.44–1.16)	0.88 (0.54–1.37)	3	0.24 ^b (0.06–0.66)	0.31 ^b (0.08–0.85)	37	1.01
Lung cancer	5	0.59 (0.22–1.32)	0.66 (0.24–1.46)	0	Not determined	Not determined	5	0.72 (0.26–1.61)
Prostate cancer	1	1.11 (0.06–5.48)	1.11 (0.06–5.48)	1	2.0 (0.03–2.47)	2.0 (0.03–2.47)	5	2.63 (0.96–5.83)

^aCalculated based on observed and expected values provided.

^bStatistically significant ($p < 0.05$).

SMR_{DuPont} = SMR based on DuPont Registry; SMR_{U.S.} = SMR based on U.S. statistics

Source: Amended from Chen et al. (1987).

Analyses of cancer incidence or morbidity reported 37 incident cancers in the cohort (Table 4-4). Twenty-seven were among the wage roll employees (vs. 26.0 expected) and 10 were among the salary roll employees (vs. 10.5 expected). No increase was noted in lung cancer incidence, with 5 cases observed in the total group vs. 6.9 expected (SIR = observed ÷

expected = 0.72, 95% CI = 0.26–1.61). There were 5 observed prostate cancer cases compared to 1.9 expected based on the company-wide database (SIR = 2.63, 95% CI = 0.96–5.83).

In summary, no evidence of an excess in overall cancer incidence or mortality was found among AN workers. An increase in prostate cancer incidence was noted. As there is a high rate of survival for prostate cancer cases, cancer incidence rather than mortality is generally preferred for the evaluation of AN exposure and disease. The small sample size and number of cancer cases, subjective exposure assessment, lack of smoking information, and lack of stratification by latency and dose in the data analysis are limitations of this study. There was the possibility of under-ascertainment of cases, particularly prostate cancer cases, by relying on the DuPont Cancer Registry (which is limited to active employees) as the source of information on cancer incidence. The results of this study support the findings of an excess in prostate cancer by O’Berg et al. (1985), but the aforementioned study limitations may have hindered the observation of an association between lung cancer and AN.

Wood et al. (1998) combined the Virginia and South Carolina cohorts described above (Chen et al., 1987; O’Berg et al., 1985) and analyzed 2,428 male workers with an added decade of follow-up. The exposure assessment was updated, taking into account plant histories, descriptions of manufacturing processes, and changes that would affect exposures, a matrix of job titles, and work area names, documentation of personal protective equipment use, personal and area air sampling data from 1975, and descriptions of working conditions by long-term employees. Environmental air samples confirmed changes in plant processes, engineering, and ventilation. Exposure levels for the period before 1975 were estimated from information provided by a panel of “knowledgeable employees.” Wood et al. (1998) estimated peak AN exposure in parts per million for a 40-hour work week for each job title/work area combination and averaged this over a year. Peak exposure level categories, reported as the interval averages, were low (0.11 ppm), moderate (1.1 ppm), high (11 ppm), and very high (30 ppm). Other exposure variables analyzed included latency (<20 and ≥20 years of observation), duration of exposure (<5, 5–9, and ≥10 years), and cumulative exposure (<10, ≥10–<50, ≥50–<100, and ≥100 ppm-years). There was no information on exposure to other chemicals or on smoking status of the subjects.

The cohort included all employees who worked in exposed areas in either plant until the plants were closed. The DuPont Cancer Registry was used to identify incident cases of cancer among employees during employment. Thus, incident cancer cases occurring after tenure at DuPont would not have been identified. Vital status of past employees was determined through a review of the National Death Index (1979–1991) and the Social Security Administration (all years). Vital status of living employees was confirmed through pension records, motor vehicle records, and credit bureau reports. Expected numbers of deaths were derived from the DuPont mortality files and the U.S. population. The period of follow-up was extended through 1991 in the South Carolina plant and 1990 in the Virginia plant. At the end of the follow-up period,

approximately 18% of the cohort was deceased ($n = 454$). The entire cohort had a total of 72,083 person-years of follow-up for the mortality analyses and 29,461 person-years for the morbidity analyses. More than half of the study population was born before 1930, and 82% were first exposed before 1971, thus allowing adequate follow-up to examine latency and adequate attained age to examine cancers occurring at older ages. A total of 37% of the population had a cumulative exposure level estimated at 50 or more ppm-years.

The SMR analysis revealed that the 454 deaths observed in the cohort were below the expected number of deaths based on U.S. rates ($SMR = 0.69$, 95% $CI = 0.62-0.75$). A comparison of the cohort death rates with those derived from the DuPont mortality registry showed SMRs that were similar to those derived from the U.S. data. There were 126 deaths from cancer, which include 46 lung cancer deaths, 27 digestive cancer deaths, and 11 prostate cancer deaths (Table 4-5). The SMR for all cancers was also lower than expected ($SMR = 0.78$, 95% $CI = 0.64-0.93$), indicating a possible healthy worker effect. Among the site-specific cancer mortalities, the SMR for prostate cancer was 1.29 (95% $CI = 0.64-2.30$). For respiratory (lung) cancer, the SMR for the full cohort was 0.76 (95% $CI = 0.56-1.02$). In the analysis stratified by latency, the SMR was 0.50 (95% $CI 0.21-1.07$) for a latency period of <20 years and 0.79 (9% $CI 0.56-1.08$) for a period of ≥ 20 years. The SMRs stratified by highest exposure level were 0.0 (95% CI not calculated), 0.70 (95% $CI 0.14-2.03$), 0.71 (95% $CI 0.44-1.09$) and 1.23 (95% $CI 0.80-1.85$) for the low (mean 0.11 ppm), moderate (mean 1.10 ppm), high (mean 11.0 ppm) and very high (mean 30.0 ppm) groups, respectively.

Cancer incidence in the cohort was compared with company-wide incidence rates derived from the DuPont Cancer Registry. There was no evidence of an elevated incidence for all cancers, lung cancer, or digestive cancers, although an excess in prostate cancer cases was reported ($SIR = 1.58$, 95% $CI = 0.82-2.76$) (Table 4-5). There were sufficient deaths in several categories to allow examination of the patterns of incidence by the four measures of exposure utilized in the mortality analyses. Specifically, the exposure analyses. There was no evidence of a higher risk with any measure of exposure for respiratory cancer incidence. For prostate cancer, the SIR was 1.34 (95% $CI = 0.58-2.63$) for a latency period of ≥ 20 years and 0.83 (95% $CI = 0.22-2.12$) for < 20 years. A pattern of higher risks for prostate cancer incidence was also seen with increasing duration of exposure (SIR 1.06, 0.88 and 1.97 in the < 5 , 5-9 and ≥ 10 years groups, respectively) and highest exposure level (SIR 0.0, 1.33, 1.52 and 1.92 across 4 exposure groups, respectively).

Table 4-5. Distribution of select incidences and mortalities among exposed workers in two AN plants in South Carolina and Virginia

Cause of disease/death	South Carolina cohort		Virginia cohort		Combined cohort			
	Observed deaths	SMR ^a (CI)	Observed deaths	SMR ^a (CI)	Observed deaths	SMR ^a (CI)	Observed cases	SIR ^a (CI)
All causes	271	0.76 ^b (0.67–0.85)	185	0.60 (0.51–0.69)	454	0.69 ^b (0.62–0.75)	–	–
All cancers	77	0.88 (0.69–1.10)	50	0.66 (0.49–0.87)	126	0.78 ^b (0.64–0.93)	101	0.97 (0.79–1.18)
Lung cancer	35	1.06 (0.74–1.47)	11	0.40 ^b (0.20–0.71)	46	0.76 (0.56–1.02)	17	0.81 (0.48–1.28)
Digestive cancer	12	0.57 ^b (0.29–0.99)	15	0.81 (0.45–1.33)	27	0.69 (0.45–1.00)	22	0.89 (0.56–1.34)
Prostate cancer	5	1.23 (0.40–2.86)	6	1.32 (0.48–2.88)	11	1.29 (0.64–2.30)	12	1.58 (0.82–2.76)

^aSIR and SMR standardized against U.S. mortality rates.

^bStatistically significant ($p < 0.05$).

Source: Amended from Wood et al. (1998).

In summary, this study provided better exposure assessment than previous studies of this group of workers. Additional follow-up and the combination of two small cohorts enhanced the information available for inclusion; however, the apparent healthy worker effect may have masked a relationship between AN exposure and death from or incidence of lung cancer or other cancers. An additional limitation is that the cancer incidence data only includes cases diagnosed during the active employment period of the workers.

Symons et al. (2008) provided an update based on the combined Virginia and South Carolina cohorts from Wood et al. (1998), adding 11 years of follow-up. The exposure assessment was the same as described in Wood et al. (1998) with exposure being based on a job-exposure matrix, documentation of personal protective equipment use, personal and area air sampling data, and descriptions of working conditions by long-term employees. Vital statistics were obtained through the DuPont Epidemiology Registry (covering active and pensioned employees) and verified by the National Death Index. New in this update was the assignment of mean intensity values based on estimated intensity categories ranging from <0.2 to >20 ppm. These intensity categories were coupled with duration of employment to determine cumulative exposure. For SMR calculations, the expected number of deaths were derived using both the U.S. population and regional DuPont employees by means of the Occupational Mortality Analysis Program (OC-MAP) developed by Marsh et al. (1998). For the estimation of relative mortality risk via a hazard ratio, a log-linear model for AN exposure was assumed for all-cause and cause-specific outcomes.

Of the 2,559 workers from Wood et al. (1998), 11 workers were found to be exposed to

AN for <6 months, and thus were excluded from the cohort in Symons et al. (2008). A total of 839 deaths (32%) were observed in this updated cohort of 2,548 male workers. Of these, 240 deaths were due to cancer, with 88 deaths being specific to lung cancer (Table 4-6). One quarter of the updated cohort was found to be in the mean intensity exposure group of <2.0 ppm, while, two-thirds were in the 2.0–19.9 ppm mean intensity exposure category. The number of deaths, and cause-specific deaths in particular, in these exposure groups was not reported. The SMR for lung cancer mortality was 0.74 (95% CI = 0.60–0.91) in the full cohort; this estimate increased to 0.93 (95% CI = 0.74–1.16) in the group with cumulative exposure >10 ppm-years.

Table 4-6. Distribution of select mortalities among exposed workers in two AN plants in South Carolina and Virginia (updated follow-up)

Cause of death	South Carolina cohort		Virginia cohort		Combined cohort		
	Observed deaths	SMR ^a (95% CI)	Observed deaths	SMR ^a (95% CI)	Observed deaths	SMR ^a (95% CI)	SMR ^b (95% CI)
All causes	481	0.98 (0.89–1.07)	358	0.85 ^c (0.76–0.95)	839	0.92 ^c (0.86–0.98)	0.69 ^c (0.64–0.74)
All cancers	144	1.00 (0.84–1.18)	96	0.81 ^c (0.67–0.99)	240	0.92 (0.81–1.04)	0.73 ^c (0.64–0.82)
Lung cancer	61	1.14 (0.88–1.49)	27	0.64 ^c (0.42–0.93)	88	0.92 (0.75–1.14)	0.74 ^c (0.60–0.91)
Prostate cancer	12	0.93 (0.48–1.63)	13	1.12 (0.60–1.92)	25	1.02 (0.66–1.51)	0.91 (0.59–1.35)

^aBased on company regional rates.

^bBased on U.S. population rates.

^cStatistically significant ($p < 0.05$).

Source: Amended from Symons et al. (2008).

Symons et al. (2008) also reported hazard ratio estimates for 100-ppm-year increases in cumulative exposure. In addition to the cumulative exposure variables used in the crude model, the adjusted model included the variables ‘birth period’ (6-decade ordinal variable) and ‘employment in South Carolina start-up group’ (binary indicator) in generating hazard ratio estimates. The authors noted an increase in all-cause mortality associated with increasing cumulative exposure to AN in the crude model, but this effect was attenuated in the adjusted model and was said to reflect in large part an increased risk of cardiovascular mortality among older workers. Hazard ratios for the cumulative exposure and for the intensity measure for site-specific cancers are shown in Table 4-7. Colorectal and brain cancers had elevated hazard ratios, but were not statistically significant in either the crude or adjusted models.

Table 4-7. Crude and adjusted hazard ratio estimates for cumulative exposure and adjusted hazard ratio estimates for exposure intensity among workers in two AN plants in South Carolina and Virginia (updated follow-up)

Cause of death	Cumulative Exposure ^a				Intensity ^b	
	Crude		Adjusted ^c		Adjusted ^c	
	Hazard ratio	95% CI	Hazard ratio	95% CI	Hazard ratio ^c	95% CI
All causes (n=839)	1.12 ^d	1.04–1.21	1.05	0.97–1.14	Not reported	
All cancers (n=240)	1.07	0.92–1.24	1.00	0.86–1.17	1.00	0.75–1.3
Lung cancer (n=88)	1.04	0.81–1.33	0.95	0.73–1.23	1.09	0.67–1.77
Prostate cancer (n=25)	0.81	0.48–1.35	0.78	0.46–1.32	1.45	0.56–3.81
Colorectal cancer (n=28)	1.13	0.74–1.71	1.16	0.75–1.81	0.96	0.41–2.28
Brain and CNS (n=6)	1.37	0.59–3.16	1.03	0.38–2.78	Not reported	
Lymphatic and hematopoietic (n=20)	1.01	0.60–1.72	0.90	0.51–1.60	1.09	0.40–2.96

^a per 100-ppm-year increases in cumulative exposure

^b mean intensity ≥ 10.0 ppm compared with < 10.0 ppm

^cModel includes exposure term, birth period, and employment in South Carolina start-up group.

^dStatistically significant ($p < 0.05$).

Source: Amended from Symons et al. (2008).

Hazard ratio estimates were also derived based on lagged cumulative exposure (Table 4-8). The inclusion of an exposure lag or varying length had little effect on the hazard ratios for all cancers or lung cancer. Increasing exposure lag resulted in a decreasing hazard ratio for colorectal cancer, but the opposite pattern (i.e., increasing hazard ratio estimates with increasing lag period) was observed for brain and CNS cancer and lymphatic and hematopoietic cancer. It should be noted that the number of events for these cancers was very small (Table 4-8).

Table 4-8. Adjusted hazard ratio estimated for select cancer mortality by lagged cumulative exposure for 100-ppm-year increases in cumulative exposure among workers in two AN plants in South Carolina and Virginia (updated follow-up)

Cause of death	5-Yr lag (n = 2,224)		10-Yr lag (n = 2,066)		15-Yr lag (n = 1,907)	
	Events	Hazard ratio ^a (95% CI)	Events	Hazard ratio ^a (95% CI)	Events	Hazard ratio ^a (95% CI)
All cancers	210	1.03 (0.87–1.23)	199	0.98 (0.80–1.20)	179	0.94 (0.74–1.19)
Lung	75	0.95 (0.70–1.28)	72	0.84 (0.59–1.19)	63	0.80 (0.53–1.22)
Prostate	22	0.86 (0.48–1.53)	19	0.98 (0.50–1.92)	15	0.95 (0.41–2.22)
Colorectal	26	1.06 (0.64–1.76)	24	0.90 (0.49–1.66)	23	0.81 (0.40–1.66)
Brain and CNS	4	1.38 (0.43–4.47)	4	1.55 (0.44–5.47)	4	1.96 (0.49–7.84)
Lymphatic and hematopoietic	16	1.09 (0.59–2.01)	14	1.29 (0.66–2.54)	13	1.27 (0.57–2.86)

^aModel includes exposure term, birth period, and employment in South Carolina start-up group.

Source: Amended from Symons et al. (2008).

In summary, Symons et al. (2008) followed workers for over 50 years and, among the 33% mortality in the cohort, found no statistically significant observed excess in overall mortality in these AN workers. Unlike the previous studies based on the DuPont cohort, Symons et al. (2008) did not provide cancer incidence data or address previous issues with this cohort such as smoking status and concomitant exposure to other chemicals. Differences between U.S. population-based SMRs and regional worker-based SMRs indicated that there may still be a strong healthy worker effect. Although Symons et al. (2008) categorized workers in different mean intensity exposure and cumulative exposure groups, the authors did not report the observed number of cause-specific deaths in these categories or provide cause-specific SMR estimates. In deriving hazard ratio estimates, Symons et al. (2008) adjusted for workers ‘employment in South Carolina start-up group.’ Based on O’Berg (1980), it appears that workers in the South Carolina start-up group may have had higher levels of exposure to AN, and thus inclusion of this variable may have resulted in an underestimation of the effect of the other exposure metrics..

Overall summary

The DuPont cohort studies were the first to hypothesize an association between AN exposure and cancer, specifically lung and prostate cancer. The most recent of these studies also suggest brain and hematopoietic cancers may be relevant sites for further examination, but these data are limited by the small number of these specific types of cancers. It should be noted that

the DuPont cohort studies are limited to male workers and lack appropriate unexposed worker comparison groups to better assess the risk of developing cause-specific diseases, namely cancer. Even in the most recent cohort study by Symons et al. (2008), smoking and concomitant exposures were not adequately addressed.

The DuPont cohort studies (other than Symons et al. , 2008) are the only cohort studies that evaluate the association between AN exposure and cancer incidence rather than relying on cause-specific death. For cancer incidence, the cohort studies used data from the DuPont cancer registry which is limited to cancers diagnosed among current (rather than retired or former workers). In addition, the quality assurance and quality control procedures for diagnoses in this Dupont system were not described.

Symons et al. (2008) assessed risk based on mortality. As with the other DuPont studies, the reliance on mortality statistics from the U.S. population as a comparison group is less desirable given the potential for bias from the healthy worker effect. Symons et al. (2008) attempted to address this issue by employing DuPont regional workers as a comparison group instead of using company-wide statistics as in other DuPont studies. Information regarding other chemical exposures among regional workers would aid in reducing the uncertainty of exposure to other potential carcinogens in this comparison group.

As with its predecessor, Wood et al. (1998), the most recent DuPont cohort study by Symons et al. (2008) may also suffer from exposure misclassification, as no exposure monitoring existed prior to 1975, raising some uncertainty regarding the interpretation of the exposure-response analyses. However, even given the shortcomings of each of the individual DuPont studies, they do provide evidence that there may be an association between AN exposure and the risk of specific cancers, particularly with consideration of latency.

American Cyanamid Company

Collins et al. (1989) conducted a retrospective cohort study of 2,671 men who worked at two American Cyanamid Company plants in Louisiana and Florida from start-up (1951 for the Louisiana plant, 1957 for the Florida plant) through December 1973. One facility manufactured AN and other materials, and the other facility utilized large quantities of AN in the manufacturing of acrylic fiber. All 2,671 study subjects were followed through the end of 1983 for mortality, thus allowing at least 10 years of follow-up for the cohort. According to Collins et al. (1989), for exposure estimation, industrial hygiene monitoring, which began in 1977, was considered representative of previous exposure levels, with adjustments made for changes in practices and engineering controls. Any actual measurements that were available were also used to tailor job-specific exposure. The investigators created four exposure categories: 0–<0.01, 0.01–0.7, 0.7–7.0, and >7 ppm/year. Exposed workers were defined as having a cumulative exposure of >0.01 ppm/year. Smoking information was available from medical records for 58% of the workers. Each person in the cohort was coded as smoker

(smoked for ≥ 3 months), nonsmoker (smoked for < 3 months), or unknown (no information in medical record on smoking status). The authors used an internally standardized method of adjustment for age (< 45 , 45–54, 55–64, 75+ years), race (white, nonwhite), smoking status (smoker, nonsmoker, unknown), latency (< 10 , 10–19, 20+ years), and time period (< 1965 , ≥ 1965). In the calculation of the SMR, the expected number of cause-specific deaths was derived from U.S. general population data on men.

More than 50% of the exposed workers in this study were followed for at least 20 years. By the end of the study, a total of 237 deaths (92 from the unexposed group and 145 from the exposed group) were observed, and death certificates were located for 224 of these workers. From both groups combined, there were 65 cancers, including 23 respiratory cancers, of which 22 were lung cancers. For all-cancer deaths, the SMR in unexposed group was 1.08 (95% CI = 0.69–1.61); SMR in exposed group was 1.01 (95% CI = 0.74–1.35). A similar pattern was observed among the lung cancer deaths (SMR in unexposed group = 1.01, 95% CI = 0.44–2.01 [7 cancer deaths]; SMR in exposed group = 1.00, 95% CI = 0.58–1.61 [15 cancer deaths]). The SMRs for the AN exposure categories for lung cancer were 1.09 (95% CI = 0.51–2.08), 0.63 (95% CI = 0.10–2.06), 0.64 (95% CI = 0.20–1.53), and 1.41 (95% CI = 0.68–2.58) for categories 0– < 0.01 , 0.01–0.7, 0.7–7.0, and > 7 ppm/year, respectively. Using an internally standardized method that adjusted for smoking, race, latency, age, and time period, the SMRs were 1.11 (95% CI = 0.52–2.11), 0.72 (95% CI = 0.12–2.36), 0.71 (95% CI = 0.23–1.72), and 1.22 (95% CI = 0.59–2.23), respectively, for the above exposure categories. None of the trend tests was statistically significant (information regarding details of the trend test not provided).

The inclusion of an analysis for the unexposed group allowed an evaluation of whether elevations in cancer rates in the exposed group were also observed in the unexposed group, and thus were not likely to be related to exposure. It should be noted that indirect standardization (the use of age-specific mortality rates from the standard U.S. population to derive regional expected deaths) was used, thus hindering the ability to compare SMRs across groups. This study attempted to quantify exposure levels and control for smoking history. Approximately 50% of the exposed cohort had at least 20 years of follow-up, thus strengthening the possibility that the study period included sufficient time to assess effects of a long latency period for specific types of cancers. However, this study may have had insufficient power as portrayed by the observation of only 15 lung cancer deaths in the exposed group. It should also be noted that this study, as with many other cohorts assembled to assess the relationship between AN and cancer, consists of only men.

Unlike other studies that assumed early exposure levels were higher, Collins et al. (1989) assumed that AN exposure levels in 1977 were representative of the time frame prior to that date. This assumption may have led to exposure misclassification, resulting in a flattening of the dose-response gradient. Other limitations included low statistical power to evaluate lung or rarer cancers, particularly in subgroup analyses, incomplete smoking information, and use of SMRs

rather than comparable unexposed controls. In summary, this study provided only limited data that can be used to assess the relationship between AN exposure to cancer deaths.

Synthetic chemical plant in the U.S.

Waxweiler et al. (1981) examined the mortality rates in a cohort of 4,806 chemical plant workers who were exposed to many potential carcinogens, including AN. The cohort was identified as all workers at the synthetic chemical plants who were first employed between 1942 and 1973. These workers were followed through the end of 1973. Since the majority of the cohort (63%) were actually hired before 1954, this allowed for at least 20 years of latency and follow-up for the majority of the workers. However, it should be noted that some workers had less than 1 year of follow-up, latency, or exposure. The cohort was described as young, with only 30% of live workers being over 55 years of age.

Mortality rates in the plant cohort were compared to those derived from the U.S. white male population. Under the assumption that all workers were considered at risk from the first day of employment, no difference was noted between the observed deaths and the expected deaths (556 observed deaths vs. 550.2 expected deaths, SMR = 1.01, 95% CI = 0.93–1.10). The SMR for all cancers was 1.18 (95% CI = 0.97–1.42), based on 109 observed and 92.5 expected deaths. Examination by type of cancer revealed higher numbers of respiratory system and CNS cancers among the workers (respiratory cancer SMR = 1.49, 95% CI = 1.09–1.99; CNS cancer SMR = 2.09, 95% CI = 1.02–3.84).

A secondary analysis was performed among workers who had at least 10 years of exposure. The authors reported 39 observed deaths from cancers of the respiratory system compared to 25 expected (SMR = 1.56, 95% CI = 1.12–2.11). A similar elevation had been observed by the authors in a previous publication (Waxweiler et al., 1976) that focused on the workers with high vinyl chloride monomer exposure; thus, the authors concluded that the excess lung cancer risk may not solely be due to exposure to a single chemical.

Serially additive expected dose modeling was performed to determine whether exposure to chemicals, including AN, was associated with excess lung cancer risk. Job histories were used to assess the potential for exposure to 19 chemicals routinely used at the plant. Each job was assigned a qualitative exposure rating (from 0 for no exposure to 5 for intimate contact on the skin or high inhalation potential) for each year of the study. Thirty-five of the 80 (56%) job categories ranked had no exposure to AN, with another 30% reporting minimal to low levels of exposure. An exposure score was derived for each chemical for all workers by summing each exposure rating for each year. The exposure score for each cohort member dying from respiratory system cancers was compared to the score for a group of workers with a similar birth year and year of first hire (the “subcohort” from which the case arose). Scores in the nonrespiratory death subcohorts (expected scores) were subtracted from the observed scores in the respiratory cancer deaths to obtain an observed-minus-expected cumulative dose difference

per lung-cancer case. For AN, this calculation resulted in a negative unit, reflecting that expected cumulative dose greatly exceeded the observed cumulative dose. No significant differences with regard to AN exposure were observed in this analysis.

While this study showed significant increased risks of death from lung and CNS cancer in a cohort of workers exposed to multiple carcinogens, limitations with the study design hindered the ability to assess the relationship between the observed deaths and exposure to AN. The population of workers was potentially exposed to multiple carcinogens, so any effect of exposure to AN may have been impossible to measure.

Rubber manufacturing plant

Delzell and Monson (1982) analyzed the mortality among workers from a rubber manufacturing plant in order to determine if potential exposure to AN might be associated with excess deaths. The study included 327 white males who had been employed at the plant for at least 2 years between January 1940 and July 1971. These employees were selected from over 15,000 workers because they worked in departments where AN exposure was most likely. Mortality information was gathered on both active and pensioned workers through July 1978, allowing at least 7 years of follow-up for most workers in the cohort. Workers without a record of death were assumed to be alive. Mortality rates were compared with the white male subset of the U.S. general population rates, stratifying by cause of death, age, and calendar time.

By mid-1978, a total of 74 deaths (~ 22%) were observed, 22 of which were from various types of cancers. The SMRs for all causes and for total cancer mortality were 0.8 (95% CI = 0.7–1.0) and 1.2 (95% CI = 0.8–1.9), respectively. Nine lung cancer deaths were observed as compared to the 5.9 expected (SMR = 1.52, 95% CI = 0.74–2.79). The numbers of deaths from bladder, lymphatic, and hematopoietic cancers exceeded the expected values, but the number of deaths within each of these cause was small (<5). Lung cancer deaths were examined by duration of employment and latency. There were no deaths in the group employed for ≥ 15 years. However, 7 lung cancer deaths were observed as compared to the 4.1 expected in the group with 15 or more years of latency since first exposure (SMR 1.71, 95% CI = 0.81-3.6).

This study was based on a small number of study participants (n=327), with less than a quarter reaching the study endpoint of death. The study provides no quantitative assessment as to the level of AN exposure. Mixed exposure is also an issue, since it is noted that butadiene, styrene, and vinyl pyridine were utilized at the plant during the exposure time frame. However, there is no information in the study as to whether the study participants were potentially exposed to these other agents. Finally, though lung cancer was specifically analyzed, no mention of data collection or adjustment for smoking history was reported. These shortcomings limit the weight that this study carries with regard to assessing the relationship between AN exposure and cancer mortality.

The Netherlands cohort

Three successive papers (Swaen et al., 2004, 1998, 1992) reported mortality analyses of a Dutch cohort consisting of 2,842 male workers employed ≥ 6 months from 1956 to 1979 in eight companies that produced AN, latex polymer, acrylic fiber, AN polymers, resins, or acrylamide. This cohort was compared to 3,961 workers at a neighboring plant during the same time frame who were not exposed to any known carcinogens in the normal work setting. Use of this reference population aided in minimizing the impact of a potential healthy worker effect.

In the original study (Swaen et al., 1992), exposure assessment for most companies was conducted using a job matrix model and air AN samples collected in 1978–1979. The exposure assessment took into account changes in the production process, industrial hygiene control measures, and work procedures over time. The potential for exposure misclassification was enhanced by the extrapolation of exposure monitoring data from one plant to the other seven plants, for which there were no exposure monitoring data. For all of the companies involved, most jobs were classified in the following ranges: 0–0.5, 0.5–1, 1–2, and 2–5 ppm. However, for some jobs, only two categories could be distinguished: 0–2 and 2–5 ppm. For all exposed workers in the study, a cumulative measure of exposure was derived by multiplying the average concentration for a particular exposure class by the number of years in that class. Although workers were characterized by duration of exposure and duration of follow-up, these variables were not used in the evaluation of the relationship between AN and cancer deaths.

Both the exposed and the unexposed groups were compared with national Dutch death rates to generate SMRs. No direct comparison of rates in the exposed and unexposed groups was undertaken, with the authors citing differences in age and calendar time between the groups as the rationale. Nearly half of the exposed group worked with AN for at least 5 years, and 26% of the unexposed group was followed for ≥ 20 years after entry into the cohort. Almost 24% of the exposed cohort was categorized in the highest category of cumulative exposure of ≥ 10 ppm-years, a cutoff higher than the ≥ 8 ppm used in the Blair et al. (1998) study.

Mortality information was collected for both groups through the end of 1987, allowing at least 8 years of follow-up for all surviving study members. A total of 134 deaths was observed in the exposed group (SMR = 0.78, 95% CI = 0.65–0.92) and 572 deaths in the unexposed group (SMR = 0.77, 95% CI = 0.71–0.84). As both exposed and unexposed workers were compared to a national rate, the lower observed deaths may be attributable to the healthy worker effect. Among the workers exposed to AN, the number of observed and expected cancer deaths (42 observed deaths vs. 50.8 expected) resulted in an SMR = 0.83 (95% CI = 0.60–1.10). Among the unexposed workers, the number of observed deaths from cancer of the trachea and lung was lower than expected (i.e., 67 observed deaths vs. 93.3 expected deaths, SMR = 0.72, 95% CI = 0.56–0.90). Among exposed workers in the highest exposure category, the lung cancer SMR was 1.11 (95% CI = 0.48–2.19). Additional evidence of the healthy worker effect is provided by the fact that the observed number of deaths among the unexposed group, regardless of disease

category, is significantly lower than the expected estimates derived from the national population statistics.

In an updated analysis by Swaen et al. (1998), an additional 8 years of follow-up yielded 156 more deaths in the exposed cohort and 411 more deaths in the unexposed group, bringing the total number of observed deaths to 290 and 983, respectively. The exposure assessment was not updated because more recent exposures were considered to be negligible. For both groups, the total number of cancers observed was lower than expected. The exposed group had 97 deaths from neoplasms observed vs. 110.8 expected (SMR = 0.88, 95% CI = 0.71–1.06) and the unexposed group had 332 deaths observed vs. 400.4 expected (SMR = 0.83, 95% CI = 0.74–0.92). With the increased follow-up time, slight increases in cancer of the brain (SMR = 1.74, 95% CI = 0.63–3.78), large intestine (SMR = 1.26, 95% CI = 0.57–2.39), and all leukemias (SMR = 1.67, 95% CI = 0.54–3.90) for the exposed group were observed that were not previously evident.

The association between AN exposure and mortality, all-cancer mortality, and lung cancer mortality was examined by utilizing the following exposure variables: latency, peak exposure, cumulative exposure, respirator use, and exposure to other carcinogens. Overall, among workers exposed to AN, the number of observed deaths did not differ greatly from expected.

Swaen et al. (2004) revisited this cohort of AN workers and added 5 years of follow-up to the analysis, for a minimum of 22 years of follow-up. This updated study added 142 new deaths for the exposed cohort and 360 deaths to the unexposed cohort, bringing the total number of observed deaths for 432 and 1,343, respectively. The number of deaths was 2.5-fold higher than in the original cohort study and accounted for over a quarter of the original study population. The exposure assessment was not updated from the first study.

As in the previous analyses, SMRs were calculated for both the exposed and unexposed cohorts, using the Dutch general population rates as a comparison (Table 4-9). In contrast to the reduced risk of lung cancer deaths in the unexposed group (SMR = 0.78, 95% CI = 0.67-0.92), the SMR for lung cancer in the exposed group, based on 67 observed vs. 62.5 expected deaths was 1.07 (95% CI = 0.83–1.36). Analyses by peak exposure, respirator use, and possible exposure to cocarcinogens were also performed, yielding no indication of elevated site-specific cancer risks in any of the subgroups. Additional analyses were performed by examining the SMRs for lung cancer as a function of various measures of dose and latency (Table 4-10). A slightly increasing SMR was seen with increasing levels of exposure (i.e., 0.92, 1.06, and 1.15 for low, medium, and high exposure, respectively).

Table 4-9. Distribution of select mortalities among AN-exposed and unexposed workers in the Netherlands

Cause of death	Exposed workers				Unexposed workers			
	Observed	Expected	SMR	95% CI	Observed	Expected	SMR	95% CI
All causes	432	467.8	0.92	0.84–1.01	1,343	1,545.2	0.87	0.82–0.92 ^a
All cancers	146	164.5	0.89	0.75–1.04	447	519.8	0.86	0.78–0.94 ^a
Lung and trachea cancer	67	62.5	1.07	0.83–1.36	160	203.8	0.78	0.67–0.92 ^a

^aStatistically significant ($p < 0.05$).

Source: Amended from Swaen et al. (2004).

Table 4-10. Lung cancer mortality among AN-exposed workers in the Netherlands, stratified by cumulative dose and latency

Dose	All cancer mortality				Lung cancer mortality			
	Observed	Expected	SMR	95% CI	Observed	Expected	SMR	95% CI
<i>Low (<1 ppm/yr)</i>								
<10 Yrs latency	0	1.9	–	–	–	0.7	–	–
10–20 Yrs latency	7	7.5	0.93	0.37–1.91	3	2.9	1.03	0.21–2.97
≥20 Yrs latency	10	11.3	0.88	0.42–1.62	4	4.0	1.00	0.27–2.53
Total	17	20.7	0.82	0.48–1.31	7	7.6	0.92	0.37–1.89
<i>Moderate (1–10 ppm/yr)</i>								
<10 Yrs latency	8	8.4	0.95	0.41–1.87	1	3.2	0.31	0.40–1.58
10–20 Yrs latency	31	32.1	0.96	0.66–1.37	16	12.4	1.29	0.74–2.09
≥20 Yrs latency	39	49.4	0.79	0.56–1.08	19	18.2	1.04	0.63–1.63
Total	78	89.9	0.87	0.69–1.08	36	33.8	1.06	0.75–1.47
<i>High (>10 ppm/yr)</i>								
<10 Yrs latency	8	6.0	1.33	0.57–2.62	3	2.5	1.20	0.24–3.44
10–20 Yrs latency	25	21.1	1.18	0.77–1.75	12	8.4	1.43	0.74–2.49
≥20 Yrs latency	18	26.3	0.68	0.40–1.08	9	10.0	0.90	0.41–1.70
Total	51	53.4	0.95	0.71–1.26	24	20.9	1.15 ^a	0.75–1.68 ^a

^aCalculated based on reported data.

Source: Amended from Swaen et al. (2004).

In summary, as with previous analyses of this cohort, the interpretation of the results from this study is limited by the following: potential misclassification of AN exposure because of the use of current measures to derive past exposures and the use of subjective information about exposure, use of a population-based control group, pooling of data from factories with different kinds of AN production and exposures without adjusting for these differences, and lack of information on smoking.

Epidemiology studies based on this Dutch cohort provided better exposure assessment than studies using the “serially additive expected dose” method described by Waxweiler et al., (1981). Here, exposure categories represented estimates based, in part, on actual measurements rather than ordinal ranking. The number of lung cancer deaths observed among the AN-exposed workers was higher than expected. The low SMRs observed could indicate the presence of a potential healthy worker effect, making it more difficult to detect an association with AN.

The Dutch studies utilized an unexposed worker cohort for a “comparison” group. The use of this unexposed group was not fully explored by developing rates by age and calendar time in the unexposed group to be used as a basis for developing expected rates in the exposed group. Instead, the unexposed group was compared to national rates and then used as a “standard” to see if the patterns of SMRs generated in the exposed cohort looked similar to those generated in the unexposed cohort. Therefore, the true comparison group used in these studies was the national population. It should be noted that the lung cancer SMR among workers exposed to AN was found to be higher than that among unexposed workers. Direct comparison between the exposed and unexposed workers in terms of an RR was not derived, as the demographics between the exposed and unexposed workers may have differed. Though no explicit details were provided, it is noted that the number of person-years and crude mortality rate are higher in the unexposed workers (recruited from a different plant) than in the exposed workers. Thus, the expected number of deaths for each group, on which the SMRs are based, would be dependent on different distributions or standards, a situation in which comparing the SMRs between the exposed and unexposed groups would not be recommended. Additional support is seen in the increasing SMR with level of exposure; a progressive increase of 0.92 in the low exposure group to 1.15 in the high exposure group was reported.

Finally, the statistical measure used in all of the Dutch cohort studies was the SMR. This statistic allows for the comparison of cause-specific deaths and not the incidence of disease. Using deaths as a surrogate for disease may underestimate the true relationship between AN exposure and cancer, particularly for cancer sites with a high survival rate or cancer sites that are not accurately ascertained using death certificate data.

BASF plants in Germany

A mortality study was conducted among workers from 12 BASF plants in Germany (Thiess et al., 1980). Though none of these plants manufactured AN, this substance, along with styrene and butadiene, was used in many of their processes. The number of employees in each plant varied, ranging from 30 to 334. The first uses of AN at these plants did not occur simultaneously, but rather over a course of 14 years, from 1954 to 1968. A total of 1,469 active and former employees who had worked for >6 months processing AN were identified for mortality follow-up. The cohort was followed through May 15, 1978, and death certificates were obtained and coded for cause of death. There were 1,081 German workers in the cohort, and the

vital status was traced for 98% of these workers. Tracing was less successful for the 388 foreign workers in the cohort, with only 56% follow-up.

No measurements of levels of AN exposure were available for use in this study. It was noted that prior to 1976, AN was handled manually, and as a result, there may have been higher exposures during that time (Thiess et al., 1980). In later years, closed systems were utilized, likely leading to reduced exposures. Due to the lack of exposure measures, this study assumed that workers were occasionally exposed to levels of ≥ 20 ppm for short periods, based on the manual handling of AN at specific work sites prior to 1976. Several other known carcinogens were used at some of the facilities, and could have presented a confounding effect in terms of cancer outcomes. One plant (Plant 5) was subsequently excluded from the analysis because of the potential for concurrent exposure to β -naphthylamine.

The comparison rates used for the majority of the analyses were derived from mortality rates for the Federal Republic of Germany. The 1,469 workers accounted for a total of 15,350 person-years of follow-up. A total of 89 deaths were observed, compared with the 99 predicted deaths based on the general population rates (SMR = 0.90, 95% CI = 0.72–1.10). A total of 27 cancer deaths was observed for the entire cohort, compared to the 20.5 expected deaths (SMR = 1.32, 95% CI = 0.89–1.89).

In the analysis that excludes Plant 5, the number of observed deaths dropped to 74, with the expected deaths at 78.8 (SMR = 0.94, 95% CI = 0.74–1.17). Among these deaths, 20 were attributed to cancer, with a calculated expected value of 16.1 deaths (SMR = 1.24, 95% CI = 0.78–1.88). When cause-specific cancer deaths were examined, a difference was noted between the observed and expected bronchial carcinoma (lung cancer) deaths, regardless of the inclusion of Plant 5 in the analysis (i.e., SMR = 1.9 based on 11 observed deaths vs. 5.7 expected in the full cohort and SMR = 2.0 based on 9 observed vs. 4.4 expected in the cohort without Plant 5). The 95% confidence intervals were not provided but these estimates were noted to be statistically significant.

Neoplasms of the lymphatic and hematopoietic organs were also observed to be elevated, albeit based on very limited number of cases (i.e., 4 observed vs. 1.7 expected in the full cohort and 4 observed vs. 1.4 expected in the cohort without Plant 5; these observations correspond to SMR = 2.4, 95% CI = 0.88–6.3, and SMR = 2.9, 95% CI = 1.1–7.6, respectively). Because of the small number of deaths in this category, further stratification or examination was precluded. It should be noted that two of the four deaths in this category were from Hodgkin's disease, compared with 0.3 expected deaths (SMR = 6.7, 95% CI 1.7–26.6).

Analyses were conducted, excluding Plant 5, for the group of workers who were followed for at least 5 years before death or loss to follow-up. This comprised 944 workers, with only seven bronchial carcinomas reported. These carcinoma deaths were stratified by duration of exposure into three categories: 0–4, 5–9, and ≥ 10 years. There were no bronchial carcinomas in the 0–4 year exposure category, four in the 5–9-year exposure category and three in the highest

exposure category. For the latter two categories, the $SMR_{5-9 \text{ years}} = 3.86$ (95% CI = 1.23–9.31) and $SMR_{\geq 10 \text{ years}} = 2.23$ (95% CI = 0.57–6.07).

The study of BASF workers showed an approximate two-fold excess in lung-cancer deaths in workers potentially exposed to AN. The follow-up time was not specifically quantified; however, examination of the exposure (1954–1968) and follow-up (5/15/1978) dates indicates that most workers in the cohort were followed for at least 9 years. Only 6% of the study cohort was recorded as deceased and thus the number of site-specific cancer deaths is limited. An additional limitation of this study is the fact that the cohort was assembled from 12 different plants, and some of the plants were acknowledged to have concurrent exposures to known carcinogens such as β -naphthylamine, vinyl chloride, or solvents. The study did not indicate when these overlaps in exposures could have occurred or how many workers at the plant could have been exposed to these other agents, and no information on the level of exposure was provided.

Six factories in the United Kingdom

Two successive studies have evaluated the mortality rates in a cohort of male workers potentially exposed to AN at six United Kingdom polymerization and spinning factories (Benn and Osborne, 1998; Werner and Carter, 1981). For both studies, workers were included if they were employed for at least 1 year between 1950 and 1968. Werner and Carter (1981) examined the mortality rates in a cohort of 1,111 men drawn from six factories in England, Wales, Scotland, and Northern Ireland. This cohort was followed through the end of 1978, allowing for a minimum 10-year follow-up for all surviving workers. The workers included in the study were deemed to have the potential for the highest level of AN exposure, since they were involved in either the AN polymerization process or spinning of acrylic fiber. However, no exposure monitoring data were available for the period of the study. Additionally, there was potential for concomitant exposure to styrene and butadiene in the work environment.

Each worker in the cohort was classified according to the length of time spent in a high-exposure job. This categorization resulted in 934 workers with ≥ 1 year and 177 workers with < 1 year of potential for high exposure to AN. The remainder of the analyses focused on the 934 workers with ≥ 1 year in a job with potential for high exposure. Examination of the person-years distribution by age group in these 934 workers revealed that $< 2\%$ of the person-years were observed in persons over age 65, while 65% of the person-years were observed in the 15 to 44-year age range.

Among the 934 workers, 68 deaths were observed compared to the 72.4 expected based on mortality rates for the total male population of England and Wales ($SMR = 0.93$, 95% CI = 0.73–1.18). Of these observed deaths, 21 were attributed to cancer, with 9 specifically attributed to cancer of the trachea, bronchus, and lung. The SMRs for all malignant neoplasms and cancer of the trachea, bronchus, and lung are 1.10 (95% CI = 0.72–1.70) and 1.20 (95% CI = 0.58–

2.17), respectively. Incidentally, the number of observed stomach cancer deaths was higher than expected (5 observed vs. 1.9 expected, SMR = 2.63, 95% CI = 0.96–5.83).

The distribution of cancer deaths was also examined by age at death (age groups: 15–44, 45–54, 55–64, and ≥ 65 years). The all-cancer SMRs for these groups were 1.93 (95% CI = 0.78–4.02), 1.17 (95% CI = 0.51–2.31), 0.92 (95% CI = 0.37–1.92) and 0.67 (95% CI = 0.11–2.20), respectively. Of the 14 deaths observed in the 15 to 44-year age group, 3 deaths were attributed to cancer of the trachea, bronchus, and lung compared to an expected value of 0.7 (SMR = 4.28, 95% CI = 1.09–11.66).

All-cause deaths were also stratified based on the year of first exposure to determine if cancer risks were higher for those who were exposed in the early years of the factories' operations (usually a surrogate for longer, and often higher, exposures, as well as longer latency) compared with more recent hires. Three time periods were examined: 1950–1958, 1959–1963, and 1964–1968, with the observed number of deaths being 35, 21, and 12, respectively. Observed and expected deaths from all cancer types, including cancer of the trachea, bronchus, and lung, were similar in all three time periods, with slightly higher risks seen in the most recent time period (i.e., 1964–1968). For cancer of the trachea, bronchus, and lung, the SMR for the time periods 1950–1958, 1959–1963, and 1964–1968 were 1.11 (95% CI = 0.35–2.68), 0.87 (95% CI = 0.15–2.87), and 1.88 (95% CI = 0.48–5.10), respectively.

In order to further examine latency, an analysis of cancer deaths by length of time since first exposure was performed. No increases in cancers of the stomach or cancers of the trachea, bronchus, and lung were noted with increasing time since first exposure. Similarly, the observed increases in cancers of the stomach and of the trachea, bronchus, and lung could not be related to duration of exposure, year of first exposure, or latency. It should be noted, however, that the power of the study to assess the significance of these parameters was limited. Furthermore, 25% of the cohort (foreign workers, who may have had the highest exposure) were lost to follow-up, potentially introducing a bias resulting from an under-ascertainment of deaths. Another shortcoming of this study was that the comparison group selected to derive the expected values may not have been representative of the specific study regions, and the comparisons may have resulted in a bias downward of the effect estimate because of the healthy worker effect. This study was also limited by the short length of follow-up and small number of deaths observed. Aside from the shortcomings of this study, if an effect of AN was to be observed, one would expect cancer rates to be elevated in the group with the earliest years of first exposure, which represents the workers with the highest potential for greater exposure, longest follow-up, and longest latency. The fact that increases were observed in the most recent group (i.e., those first exposed between 1964 and 1968) weakens the argument that there was an exposure effect demonstrated in this study, because the latter group probably had lower exposure levels and fewer than 15 years latency and follow-up.

In the update of the above study, Benn and Osborne (1998) expanded the sample to include workers employed in the same six AN polymerization and acrylic fiber spinning factories from 1969 to 1978 and extended follow-up through 1991, allowing for at least 13 years of follow-up. Added to the study were craftsmen with possible AN exposure, control laboratory workers, other possibly exposed workers, and unexposed workers. The sample size was 2,763 men employed for ≥ 1 year. The exposure assessment in this study was based on a threshold limit enacted by the British government in 1981, a few measurements from the late 1970s, and an exposure estimated for the period 1958–1977 by a chemist at one of the factories. The available exposure measurements were found to be lower than the calculated 8-hour time-weighted average (TWA) (0.4–2.7 vs. 20 ppm, respectively). Job titles were collapsed into three AN exposure categories: polymer workers and spinners—“high”; craftsmen, control laboratory workers, and others with possible AN exposure—“other or medium”; and all others into the “little or no” AN exposure group. No details were provided on how these categories were based on the previously described exposure estimates. Unlike the earlier study by Werner and Carter (1981), death rates for England and Wales were used to calculate expected deaths for the factories that were located in England and Wales. Scottish rates were used for the factories located in Scotland and Northern Ireland (rates for Northern Ireland were not available).

The 13 years of follow-up resulted in a total 409 observed deaths compared to 485.5 expected (SMR = 0.84, 95% CI = 0.76–0.93). When stratified by cause of death, no significant differences were noted between observed and expected deaths (Table 4-11). In the case of all-circulatory diseases, the number of observed deaths was lower than expected (SMR = 0.86, 95% CI = 0.75–0.99). Upon stratification by level of AN exposure, a higher SMR for lung, trachea, and bronchial cancers was seen in the highest exposure group (SMR = 1.41, 0.52 and 0.99 in the high, possible, and little or no categories, respectively). The increasing trend between stomach cancer mortality and exposure level was even stronger (Table 4-11), and was reported to be a statistically significant trend. (This trend was driven by the markedly reduced risk, based on one observed death, in the lowest exposure group.) The distribution of cause-specific deaths was also examined by age of death, with age categorized in the same manner as by Werner and Carter (1981). As was seen in the earlier study, the number of observed deaths from respiratory cancers was higher than expected (5 observed vs. 0.8 expected, SMR = 6.10, 95% CI = 2.23–13.51) among the youngest (ages 15-44) cohort of AN-exposed workers,

Table 4-11. Distribution of select mortalities among AN-exposed and unexposed workers in the United Kingdom

Cause of death	Exposure level									Total		
	High			Possible			Little or none					
	O ^a	E ^a	SMR	O ^a	E ^a	SMR	O ^a	E ^a	SMR	O ^a	E ^a	SMR
All causes	170	181.2	0.94 (0.80–1.09)	97	124.7	0.78 ^b (0.63–0.94)	142	179.6	0.79 ^b (0.67–0.93)	409	485.5	0.84 ^b (0.76–0.93)
All cancers	58	50.1	1.16 (0.89–1.49)	22	35.9	0.61 ^b (0.39–0.91)	41	51.1	0.80 (0.58–1.08)	121	137.1	0.88 (0.74–1.05)
Lung, tracheal, bronchial cancer	27	19.1	1.41 (0.95–2.03)	7	13.3	0.52 (0.23–1.04)	19	19.1	0.99 (0.62–1.52)	53	51.5	1.03 (0.78–1.34)
Stomach cancer ^c	7	4.2	1.66 (0.73–3.30)	3	2.9	1.03 (0.26–2.81)	1	4.3	0.23 (0.01–1.15)	11	11.4	0.96 (0.51–1.68)
Circulatory disease	81	86.9	0.93 (0.74–1.15)	49	59.1	0.83 (0.62–1.09)	70	86.2	0.81 (0.64–1.02)	200	232.2	0.86 ^b (0.75–0.99)

^aO = observed deaths; E = expected deaths.

^bStatistically significant ($p < 0.05$).

^cStatistically significant trend ($p < 0.05$).

Source: Amended from Benn and Osborne (1998).

Cause-specific mortality data were also analyzed by stratifying by year of first exposure, time since first exposure, and length of exposure. The year of first exposure differed from the previous study by Werner and Carter (1981) in that workers were divided into the following three groups: pre-1960, 1960–1968, and post-1968. No differences in all-cause or cancer deaths were noted between the observed and expected values. For respiratory cancer deaths in the subgroup of workers that were exposed post-1968, the SMR was 2.70 (95% CI = 1.18–5.32), based on 7 observed deaths vs. 2.6 expected deaths. The time since first exposure and length of exposure variables were divided into the following groups: <5, 5–10, 10–15, and >15 years. When cause-specific deaths, including all cancers and respiratory cancers, were examined within these categories, no significant differences were noted between observed and expected values. However, a significant increasing trend was noted for all deaths and circulatory diseases based on time since first exposure, but not with length of exposure.

The analysis that focused on cause-specific mortality and the year of first exposure differed from the previous study by Werner and Carter (1981) in that workers were divided into the following three groups: pre-1960, 1960–1968, and post-1968. No differences in all-cause or cancer deaths were noted between the observed and expected values, but a significant increase in respiratory cancer deaths was noted in the subgroup of workers that were exposed post-1968 (7 observed deaths vs. 2.6 expected deaths, SMR = 2.70, 95% CI = 1.18–5.32).

This study increased the number of observed deaths sixfold as compared with the number of deaths reported in the previous study by Werner and Carter (1981). The number of cancer deaths, including deaths from lung cancer, also rose proportionally. The number of observed deaths is still limited, however, resulting in imprecise estimates in the stratified analyses for site-specific cancer mortality risk. As seen in the initial study, cancers of the trachea, bronchus, and lung (respiratory cancers) were elevated in the youngest age group. While significant, because of the rarity of lung cancer at these ages, this statistic was based on five subjects in the younger age group. Also noted was the fact that there was an increased risk of deaths from cancers of the trachea, bronchus, and lung in the workers who were first exposed in 1969 and later, rather than those first exposed prior to that year. Smoking history was not addressed, which is of particular concern when examining lung cancer risk. The analyses of the high-exposure group included fewer than 800 workers from the total cohort; therefore, power to detect trends and excess deaths was limited; the SMR for lung, tracheal, and bronchial cancers in the high exposure group was 1.41 (95% CI = 0.95–2.03, $n = 27$). The finding of an increase in stomach cancer in the high exposure group is interesting, but the small total number of stomach cancer deaths ($n = 11$) and the influence of the reduced risk seen in the lowest exposure group makes a definitive interpretation of these data difficult. In summary, this study had no quantitative measure of AN exposure levels, the use of a population reference group could have masked associations, and the statistical power needed to detect rare cancers, such as stomach cancer, was low.

Acrylic fiber factory in Italy

A retrospective cohort study was conducted with 671 male workers who had at least 12 months of exposure to AN (or mixed exposure to AN and dimethylacetamide) at an acrylic fiber factory in Venezia, Italy (Mastrangelo et al., 1993). Mortality patterns were examined to determine whether excess cancer cases were related to these exposures. Occupational exposure to AN occurred between 1959 and the end of 1988, with mortality tracked until the end of 1990, thus allowing at least 2 years of follow-up for every person in the cohort. Workers with past exposure to vinyl chloride or benzidine were excluded from the study cohort. Study participants were categorized based on their level of AN exposure as follows: (1) high exposure to AN only, (2) low exposure to AN plus exposure to dimethylacetamide, and (3) episodic exposure to AN plus exposure to dimethylacetamide. SMRs were calculated based on the observed deaths and the expected number of deaths in the general population. The expected death rate took into account age, gender, year, cause, and person-time.

During 1959–1990, 32 deaths (4.7% of total cohort) were reported. A total of 12 deaths from cancer was observed in the cohort. This observation was slightly higher than the 8.73 expected cancer deaths (SMR 1.37, 95% CI 0.78–2.4). None of these cancer deaths was observed among the 100 workers who were only exposed to high levels of AN. Of the 12 cancer cases, there were 2 lung cancer cases and 4 intestinal cancer cases. The number of lung cancer

cases observed among the 272 workers who had discontinuous, episodic exposure to AN and dimethylacetamide was higher than expected (2 observed versus 1.2 expected),. The intestinal and colon cancer cases were equally distributed between the groups that had concomitant exposure to dimethylacetamide and were significantly higher than expected in both groups.

When analyses were stratified by duration of exposure and time since first exposure, no relationship was found with regard to all-cause mortality or all cancers. Among individuals exposed for 1–4 years, significant differences were noted between the number of observed testicular, rectal, intestinal, and colon cancer deaths and the expected values. However, the number of cancer-specific deaths was small, with only one or two deaths being attributed to the above cancer types.

In addition to questions about the comparison group, the ability of this study to inform an assessment of the relationship between AN and cancer is quite limited due to its small sample size. The follow-up time is also short, as reflected by the fact that less than 5% of the cohort was deceased by the end of the follow-up period. These factors combined may contribute to the study's lack of sufficient power to determine if AN exposure is associated with cancer.

National Cancer Institute (NCI) cohort study

Many studies have investigated the relationship between AN exposure and cause-specific death using a cohort assembled by the NCI (Starr et al., 2004; Marsh et al., 2001, 1999; Blair et al., 1998). The studies differed by the types of analyses used, comparison groups, cohort subsets, or years of follow-up.

Blair et al. (1998) assembled a cohort of 25,460 workers (18,079 white males, 4,293 white females, 2,191 nonwhite males, and 897 nonwhite females) who were employed in AN production or use beginning in the 1950s through 1983. The cohort included workers who were employed prior to 1984 and after the start-up of AN operations (between 1952 and 1965) at one of eight plants located in Alabama, Florida, Louisiana, Ohio, Texas, and Virginia. This method allowed for the examination of both AN-exposed workers and unexposed workers. Workers were followed through the end of 1989, allowing a minimum of 6 years of follow-up from time of first exposure.

Exposure was assessed for each plant by developing a quantitative estimate for each job, department, and time period. Sources used to develop the estimates were walk-through surveys, personal and area monitoring data, and interviews with longtime workers. The exposure assessment (Stewart et al., 1998) for this study was more detailed than for any previously published study. In Stewart et al. (1998), more than 10,000 estimates were developed for 3,662 job, department, and plant combinations for a 30-year period of time. Individual worker exposure estimates were developed, including estimates for workers whose exposures were difficult to estimate because of their movement through all areas of a plant (i.e., maintenance workers). The estimation procedures were reviewed by the Acrylonitrile Advisory Committee,

the company, union personnel, government, university experts and other interested parties. The estimation methods were compared with actual data for validation. Other than AN, Stewart et al. (1998) qualitatively assessed exposure to 340 substances and reported that 25 of these substances (7%) had exposure levels with more than 20,000 person-years.

SMR analyses were performed to compare observed mortality in both the exposed and unexposed groups to expected numbers of deaths based on U.S. race- and gender-specific death rates. Subsequent analyses used the unexposed worker rates to develop internal comparison rate ratios adjusted for birth year, plant, calendar time, race, gender, wage, and salary status. These comparisons alleviate the healthy worker effect that is expected when using external general population rates. Smoking history was obtained on a sample of workers by using a case-cohort design to allow statistical adjustment for risk estimates calculated for known smoking-related cancers, such as lung cancer. A 10% sample of living workers was chosen at random to be interviewed regarding their smoking history. A 10% sample of persons deceased prior to 1983 was also chosen, and next-of-kin interviews were attempted. Also, all brain and lung cancer deaths that were not chosen in the 10% sample of deceased persons were also selected for next-of-kin interview.

At the end of the study, the total person-years for the exposed workers was 348,642, while the person-years for the unexposed workers tallied 196,727. More than 66% of the members of the cohort had at least 20 years of follow-up. A total of 1,217 exposed workers and 702 unexposed workers were known to be deceased. For all-cause mortality, lower numbers of observed deaths than expected were reported for both AN-exposed and unexposed groups (Table 4-12). The patterns for all-cancer and for lung and tracheal cancer deaths were similar. The SMR for lung and tracheal cancer for AN-exposed and unexposed workers was 0.9 (95% CI = 0.8–1.1) and 0.8 (95% CI = 0.6–1.1), respectively (Table 4-12). Among the exposed workers, the numbers of observed deaths from pancreatic cancer, lymphosarcoma, and reticulosarcoma, as well as noncancer deaths due to diabetes, cerebrovascular disease, and liver cirrhosis, were significantly lower than expected values. Lung and tracheal cancer was one of the few causes of death (out of the 29 specific causes) for which the SMR in the exposed workers was higher than in the unexposed workers (see RR in Table 4-12). The other causes for which this pattern was seen (not shown in Table 4-12) were esophageal cancer, stomach cancer, rectal cancer, and Hodgkin's disease.

Table 4-12. Distribution of select mortalities among AN-exposed and unexposed workers, United States (NCI study)

Cause of death	Exposed workers			Unexposed workers			RR (95% CI)
	Observed	SMR	95% CI	Observed	SMR	95% CI	
All causes	1,217	0.7	0.6–0.7 ^a	702	0.7	0.7–0.8 ^a	0.9 (0.8–1.0)
All cancers	326	0.8	0.7–0.9 ^a	216	0.9	0.8–1.0	0.8 (0.7–1.0)
Lung and tracheal cancer	134	0.9	0.8–1.1	59	0.8	0.6–1.1	1.2 (0.9–1.6)
Pancreatic cancer	10	0.5	0.3–0.9 ^a	13	1.2	0.7–2.1	0.4 (0.2–1.0)
Cerebrovascular disease	37	0.5	0.4–0.7 ^a	23	0.5	0.4–0.8 ^a	0.9 (0.5–1.6)

^aStatistically significant ($p < 0.05$).

Source: Amended from Blair et al. (1998).

Data were stratified by time since first exposure (<10, ≥10–20, and ≥20 years) to examine any evidence of latency effects. Using the unexposed group for comparison, no evidence of increasing risk with increasing latency was seen for lung cancer mortality (RR 0.4, 1.6, and 0.31 in the < 10, ≥10–20, and ≥20 years groups, respectively). The other cancers with a RR >1.0 in the highest latency period were esophageal cancer (RR 3.6, 95% CI 0.4–29.0; 10 exposed cases), rectal cancer (RR 3.3, 95% CI 0.4–28.2; 7 exposed cases), breast cancer (RR 1.4, 95% CI 0.3–7.2; 2 exposed cases), and multiple myeloma (RR 1.4, 95% CI 0.3–6.8; 7 exposed cases).

Cumulative exposure was examined by stratifying the data into five exposure groups: <0.13, ≥0.13–0.57, ≥0.57–1.5, ≥1.5–8.0, and ≥8.0 ppm-years. There was no evidence of a dose-response effect across the quintiles of exposure when death rates for all cancers combined were examined (RR 0.8, 0.9, 0.8, 0.8, 0.8, respectively). Similarly, none of the individual site-specific cancers exhibited a clear pattern of increasing risk with increasing exposure. For lung cancer, the RRs were 1.1, 1.3, 1.2, 1.0, and 1.5, respectively, from the lowest to highest exposure group.

A sufficient number of lung cancer deaths were available to analyze the relationship between latency and cumulative exposure. Among the lung cancer deaths that occurred within 10 years since first exposure to AN, there was no difference in the mortality risk seen with increasing exposure (RRs 0.4 for each of the five exposure groups). For lung cancer deaths occurring 11–19 years after first exposure to AN, the highest risks were seen in the middle exposure groups (RR 0.5, 2.6, 2.0, 1.2 and 0.9 for the lowest to highest exposures, respectively). For the workers with ≥20 years since first exposure, the RR in the highest cumulative exposure quintile was 2.1 (95% CI = 1.2–3.8), with a trend p -value = 0.11 for the RRs across the five groups (1.1, 1.0, 1.2, 1.2 and 2.1).

The risk of lung cancer mortality was also analyzed by other exposure variables, including duration, intensity, frequency of peak exposures, and cumulative exposures, considering different lag periods and subgroups of workers. Most of these analyses showed a

pattern that was similar to that seen with the cumulative, unlagged exposure analyses (i.e., RR 1.1, 1.3, 1.2, 1.0 and 1.5 across quintiles of exposure, adjusting for race, gender, age, calendar time, and salary-wage classification). None of these analyses yielded a strong exposure-response gradient or statistically significant estimate in the highest category.

Additional analyses focused on the workers with 20 or more years since first exposure in order to examine the factors that might contribute to increased risk of lung cancer at the highest cumulative exposure level in this group. The increased risk was not observed when analyses were restricted to workers first employed between 1960 and 1969. This could be because workers who were first employed between 1960 and 1969 would have at least 10 fewer years of follow-up than those hired before 1960 and would tend to be younger. Also, having a later hire date would allow workers in this group less time to work and accumulate exposure, so they would be less likely to be included in the highest quintiles of exposure. This is confirmed by the fact that 42 lung cancer cases were observed in the two highest quintiles for those first hired before 1960, and 9 were observed in the two highest quintiles for those first hired between 1960 and 1969. The increase in risk in the highest exposure quintile was evident in both wage and salary employees and for both fiber and nonfiber plants for this group that was followed for 20 or more years after first exposure. None of the trend tests for cumulative exposure in workers with 20 or more years since first exposure was significant.

Smoking history was sought on a 10% sample of study subjects. A total of 2,655 workers was identified for interview, and 1,890 (71%) of this group were interviewed. For lung cancer deaths, 64 next-of-kin interviews were conducted. Additional analyses were performed by controlling for smoking status. These analyses did not change previous results, although they did result in a slight reduction in the risk ratios in the highest quintile of exposure. Blair et al. (1998) stated that they assumed that if smoking data were available for the full cohort, then the smoking adjustment would yield the same proportion of effects in the full cohort as in the subcohort.

In summary, the sample size and follow-up time in this study were likely large enough to detect any substantial elevation of cause-specific cancer deaths. For lung and tracheal cancer deaths, a RR of 1.2 (95% CI = 0.9–1.6) was observed in AN-exposed workers compared to the unexposed worker population. The study authors state that increased risk with latency or cumulative exposure in separate analyses was not demonstrated. However, a statistically significant RR of 2.1 (95% CI = 1.2–3.81) was observed in the highest cumulative exposure quintile among workers with ≥ 20 years since first exposure.

Marsh et al. (1999) added 7 years of follow-up and focused on analyzing a subset of the original cohort of Blair et al. (1998). The subcohort was comprised of 992 white male workers who had worked for ≥ 3 months between 1960 and 1996 at a chemical plant in Ohio. Analyses included the calculation of SMRs and RRs for categories of exposure and latency for lung cancer and the calculation of SMRs (based on regional mortality rates) and RRs for stomach, prostate, large intestine, and lymphohematopoietic cancers by cumulative exposure level.

The exposure assessment for this subcohort is similar to but not as rigorous as the exposure assessment conducted by Stewart et al. (1998) for the original cohort study by Blair et al. (1998). Marsh et al. (1999) reported that a panel of industrial hygienists used all of the exposure data collected to assign calendar time-specific categories to each job title. The following categories were designated: <0.2 , ≥ 0.2 – 2.0 , 2.1 – 20.0 , and >20.0 ppm. Job titles were also assessed for the potential for exposure to nitrogen products in a qualitative manner (i.e., “potential” versus “no potential”). For AN exposure, the quantitative evaluations for each job title were used to compute three time-dependent measures of exposure for each worker who held any job where AN exposure was possible. These measures included duration of exposure, cumulative exposure, and average intensity of exposure. Cumulative exposure was recorded in ppm-years, with workers being assigned to one of the following categories: >0 – 0.139 , 0.14 – 0.579 , 0.58 – 1.509 , 1.51 – 7.999 ppm-years, and ≥ 8.000 ppm-years. Unlike the study by Blair et al. (1998), which measured person-year accumulation from the first day of employment at the plant to the end of 1989 or date of death or last date known to be alive, Marsh et al. (1999) extended their 1960–1988 job exposure matrix to include new job categories and monitoring data through 1996. Thus, the exposure assessment by Marsh et al. (1999) covers all jobs held from the beginning of the plant operation (1960) through the end of 1996.

Marsh et al. (1999) mentioned that other known potential occupational hazards at the plant included asbestos, 1,3-butadiene, and depleted uranium; however, no further information was provided on the duration, level of exposure, or the actual opportunity for exposure to these chemicals. In the exposure assessment by Stewart et al. (1998) on the larger cohort, in which this subcohort is included, the aforementioned chemicals were not singled out as impactful potential occupational hazards. In Stewart et al. (1998), only asbestos was among the 25 substances that had exposures with more than 20,000 person-years of exposure; however, the Blair et al. (1998) study on this larger cohort did not observe any deaths from asbestosis or mesothelioma that would have been indicative of asbestos exposure.

In Marsh et al. (1999), the total cohort of 992 workers included 474 workers who never worked in a job where AN exposure was possible and 518 workers who were potentially exposed to AN in at least one of their jobs. A total of 110 deaths was observed in the cohort through the end of 1996. Smoking history was collected for 90.3% of the total cohort and 93.2% of the AN-exposed group using a mail survey and review of the medical records. The prevalence of smokers was similar between the two groups with 58% of the unexposed workers being smokers and 62.5% of the exposed workers being smokers. However, the prevalence of smoking in the exposed group was associated with the level of cumulative AN exposure and increased with increasing levels of exposure.

Of the 110 deaths observed, 43 deaths were attributed to cancer. The observed number of cancer deaths did not differ from expected values based on the U.S. mortality rates or the regional death rates, with SMRs of 0.98 (95% CI = 0.71–1.32) and 0.97 (95% CI = 0.70–1.31),

respectively. Fifteen of the observed cancer deaths were attributed to respiratory cancers (SMR = 0.88 and 0.92 for the two comparison groups) (Table 4-13). With the exception of bladder cancer mortality, none of the other site-specific cancers evaluated showed an increased risk using either the U.S. mortality rates or the regional mortality rates; however, all the deaths occurred among workers not exposed to AN.

Table 4-13. Distribution of mortality among AN-exposed workers, United States (one site from NCI study)

Cause of death	Exposed workers		Unexposed workers		Overall cohort			
	Ob	SMR (95% CI)	Ob	SMR (95% CI)	Ob	SMR _{US} (95% CI)	SMR _{regional} (95% CI)	RR (95% CI)
All causes	41	0.58 ^a 0.42–0.79	69	0.78 ^b 0.60–0.98	110	0.64 ^a 0.53–0.77	0.69 ^a 0.57–0.83	0.74 0.5–1.1
All cancers	17	0.88 0.52–1.42	26	1.04 0.68–1.53	43	0.98 0.71–1.32	0.97 0.70–1.31	0.87 0.4–1.7
Respiratory cancer	9	1.28 0.58–2.42	6	0.64 0.24–1.40	15	0.88 0.49–1.43	0.92 0.51–1.51	1.98 0.6–6.9
Bladder and other urinary cancer	0	– 0.00–8.23	4	7.01 ^a 1.91–17.96	4	4.50 ^a 1.23–11.53	3.93 ^a 1.07–10.06	Not determined

^aStatistically significant ($p < 0.05$).

Ob = observed deaths

Source: Amended from Marsh et al. (1999).

For respiratory cancers, there were nine observed deaths in the exposed group and six in the unexposed group, leading to a risk ratio of 1.98 (95% CI = 0.6–6.9) (Table 4-13). Respiratory cancer deaths were further examined using RR regression models that were able to adjust for age and calendar time as well as one other potential confounding factor, such as year of hire or smoking. Two variables were marginally associated with lung cancer risk in the total cohort (exposed and unexposed workers): duration of employment and time since first exposure. Smoking was not related to lung cancer risk; therefore, it is probable that there was misclassification of this risk variable, and use of smoking information in models of time-related exposure variables to control for a smoking effect was not possible. Regression analyses performed using categories of duration of exposure, cumulative exposure, and average exposure compared to no exposure are shown in Table 4-14. For each analysis, the exposed worker RR was approximately 2 times that for unexposed workers across all categories of exposure. Marsh et al. (1998) did not conduct a trend test to determine if there was any deviation from a monotonic response, and so the only trend value reported is for the cumulative exposure analysis (trend $p = 0.20$).

Table 4-14. Summary of relative regression analyses for cancer of the bronchus, trachea, and lung, United States (one site from NCI study)

Exposure measure	Category	Observed deaths	RR	95% CI
Duration (yrs)	Unexposed	6	1.00	
	>0–4.9	3	1.71	0.25–8.94
	5.0–13.9	3	2.28	0.35–11.38
	14.0+	3	2.15	0.34–10.70
Cumulative exposure (ppm-yrs)	Unexposed	6	1.00	
	>0–7.9	2	1.96	0.81–12.04
	8.0+	7	2.07	0.58–7.58
Cumulative exposure (ppm-yrs)	Unexposed	6	1.00	
	>0–7.9	2	1.97	0.18–12.10
	8.0–109.9	4	2.15	0.43–9.33
	110.0+	3	1.97	0.31–9.42
Average exposure (ppm)	Unexposed	6	1.00	
	>0–4.9	3	1.97	0.31–9.54
	5.0–11.9	3	1.70	0.26–8.26
	12.0+	3	2.64	0.42–12.67

Source: Amended from Marsh et al. (1999).

The risk ratio for all-cancer mortality and AN exposure derived by Marsh et al. (1999) was similar to the risk ratio derived in the larger-scale study by Blair et al. (1998). Despite the limitations of the small number of observed deaths and the focus on only white males, this study indicates that there may be an association between AN exposure and increased risk in respiratory cancer deaths.

Marsh et al. (2001) performed a sensitivity analysis on data from the original cohort of Blair et al. (1998), examining dependency of lung cancer RR estimates on selection of referent populations. Exposure categorization from the Blair et al. (1998) study was retained for this analysis, but the comparison groups differed. Mortality analyses were performed using U.S. mortality rates and local county rates. SMRs were calculated for both AN-exposed and unexposed workers.

Upon comparison with U.S. and regional mortality rates, both exposed and unexposed workers were found to have significantly lower rates of all-cause mortality. Using the U.S. mortality rates, the SMRs for the unexposed group and the exposed group were 0.75 (based on 702 deaths, 95% CI = 0.7–0.8) and 0.66 (based on 1,217 deaths, 95% CI = 0.6–0.7), respectively. The SMRs for all-cause mortality utilizing regional rates were nearly identical to those using U.S. rates. Additionally, the number of lung cancer deaths observed among workers, both exposed and unexposed, was lower than regional estimates, with SMRs for each group of

workers being 0.74 (95% CI = 0.6–0.9) and 0.68 (95% CI = 0.5–0.9), respectively, compared with U.S. population rates. These findings indicate a potential healthy worker effect.

Observed lung-cancer deaths were stratified by the cumulative exposure to AN and time since first exposure to derive RRs and regional-mortality-rate-based SMRs (Table 4-15). Results were similar to those reported by Blair et al. (1998). Workers with the highest cumulative exposures and at least 20 years of exposure were twice as likely to have lung cancer as unexposed workers (RR = 2.1, 95% C.I = 1.2–3.8). The numbers of observed and expected lung cancer deaths in this highest exposure, longest duration category did not yield a significantly elevated risk using external comparisons (SMR = 1.07, 95% CI = 0.7–1.6). This illustrates how the use of an external comparison population can mask an apparent association.

Table 4-15. Distribution of observed lung cancer deaths among AN-exposed workers, using regional rates for comparison, United States (NCI study)

Cumulative exposure (ppm-yrs)	Time since first exposure								
	Less than 10 yrs			10–19 Yrs			At least 20 yrs		
	Ob	RR (CI)	SMR (CI)	Ob	RR (CI)	SMR (CI)	Ob	RR (CI)	SMR (CI)
>0–0.13	7	0.4 (0.2–1.2)	0.72 (0.3–1.5)	9	0.5 (0.5–3.2)	0.71 (0.3–1.4)	11	1.1 (0.6–2.2)	0.56 (0.3–1.0)
0.13–0.57	3	0.4 (0.1–1.4)	0.63 (0.1–1.8)	12	2.6 ^a (1.2–5.7)	1.18 (0.6–2.1)	11	1.0 (0.6–2.2)	0.57 (0.3–1.0)
0.57–1.50	2	0.4 (0.1–1.6)	0.70 (0.1–2.5)	10	2.0 (0.9–4.8)	1.01 (0.5–1.8)	16	1.2 (0.5–2.1)	0.71 (0.4–1.2)
1.50–8.00	2	0.4 (0.1–2.0)	0.87 (0.1–3.1)	7	1.2 (0.5–3.1)	0.66 (0.3–1.4)	18	1.2 (0.6–2.2)	0.61 (0.4–1.0)
≥8.00	1	0.4 (0.1–3.1)	0.81 (0.02–4.5)	4	0.9 (0.3–1.2)	0.54 (0.2–1.4)	21	2.1 ^a (1.2–3.8)	1.07 (0.7–1.6)

^aStatistically significant ($p < 0.05$).

Ob = observed deaths

Source: Amended from Marsh et al. (2001).

To look for plant-specific risks, the lung-cancer deaths in each of the eight study plants were analyzed separately by cumulative level of exposure. Only one plant showed an increased risk of lung-cancer deaths among the exposed workers, with the highest level of exposure (i.e., ≥8 ppm-years) having an SMR of 2.68 (based on 10 deaths, 95% CI = 1.3–4.9). There was no comparison of process differences in the plants, thus raising uncertainty as to whether workers at this plant were exposed to other potential carcinogens or if these findings were due to chance. In addition, the small number of observed lung-cancer deaths in the plant-specific exposure categories lowers the power of this study to discern trends in SMRs that might provide more information on the association between AN exposure and cancer.

In summary, Marsh et al. (2001) focused on external comparison groups rather than the available unexposed worker cohort. The use of an external comparison group, rather than a cohort more comparable to the exposed population (i.e., internal comparison group), may subject the relationship between exposure and lung cancer to biases such as the healthy worker effect. Internal comparison groups are generally preferred since they tend to be less influenced by the healthy worker effect, other sources of selection bias, and confounding (Checkoway et al., 1989). The reanalysis by Marsh et al. (2001), particularly the RR results, supports the association, found by Blair et al. (1998), between AN exposure and increased lung cancer mortality risk among workers with the highest exposure level, but the healthy worker effect, small sample sizes within subcategories, use of external controls, and short follow-up may limit the detection of stronger support for such an association.

Data from this cohort were reanalyzed employing semiparametric Cox regression models with time-dependent covariates to estimate additional risk of death from lung cancer for several different AN occupational exposure scenarios (Starr et al., 2004). The “cumulative exposure estimate” was a time-dependent covariate, and “plant worked” was a time-independent covariate. The analysis focused on the largest race-sex group (18,079 white males) from the original Blair et al. (1998) study. The Cox models allowed for the calculation of the cumulative risk of dying from a disease by a certain age. The outcome measurement used was the risk of dying from lung cancer by age 70 years. Baseline rates were developed for the unexposed worker population and for three different AN exposure scenarios: (1) early intense exposure, (2) long moderate exposure, and (3) late intense exposure. All scenarios provided 50 ppm-years of cumulative exposure by age 55 years. The increased number of lung cancers per 1,000 workers that would develop by age 70 was calculated as 0.77–1.56, 0.74–1.50, and 0.68–1.56, respectively (the ranges reflect the use of different plant-specific baseline rates). The upper bound of additional risk was in the range of 7.5–15.1 per 1,000 workers with the upper bound on the exposure parameter being 0.0048 per ppm-working year. It is important to note that this study analysis did not control for smoking. Also, based on the extent of exposure misclassification, any exposure-response association may have been underestimated.

In summary, the study by Blair et al. (1998) reported an elevated risk of lung cancer deaths among AN-exposed workers as compared to the unexposed workers, particularly among workers in the highest cumulative exposure quintile with ≥ 20 years since first exposure (RR = 2.1, 95% CI = 1.2–3.8). However, the short follow-up may have contributed to the study’s inability, overall, to demonstrate an increased risk associated with latency or cumulative exposure. Similar to Blair et al. (1998), Marsh et al. (1999) found an increased risk of lung cancer deaths among AN-exposed workers as compared to the unexposed workers, though the point estimate of 1.98 was not statistically significant. However, Marsh et al. (1999) only focused on a small subset of the NCI cohort. Though the small sample size and the study

inclusion of only white males reduces the statistical power and generalizability of the study, excess lung cancer risk was observed in Marsh et al. (1999).

Marsh et al. (2001) utilized primarily an external comparison group. While they provide better stability of comparison rates compared to internal controls as used by Blair et al. (1998), a limitation of external controls is potential reduction in the comparability of the populations (i.e., a trade-off between precision and validity). The external comparison group increases the risk of having potential associations masked by a healthy worker effect or other factors that may be more similar within an occupational cohort than between the cohort and the general population. The results from Marsh et al. (2001) indicate the presence of such an effect dampening the observation of an association between AN and cancer.

Though the studies by Marsh et al. (2001) and Blair et al. (1998) have a relatively large cohort size, the number of observed deaths is small. These studies, though they rely on cancer-specific mortality ratios rather than cancer incidence as in the Wood et al. (1998) study, have several advantages to the Wood et al. (1998) study. The low SMR for overall mortality reported by Wood et al. (1998) suggests the potential presence of a number of biases, including the healthy worker effect, incomplete cohort identification, and incomplete ascertainment of the outcome measure. Furthermore, Blair et al. (1998) provide a better job exposure matrix than Wood et al. (1998). Although cancer incidence is typically preferred, mortality rates serve as a good surrogate for incidence for some cancers like lung cancer with relatively low survival rates. In contrast, these mortality studies may miss associations with treatable cancers such as prostate cancer.

The small percentage of deaths in the NCI cohort suggest a young mean age, which can impact statistical power. Thus, the observation of a statistically significant elevation in SMR among those with the longest latency and high cumulative exposure is noteworthy. Additional follow-up of this cohort may be useful to further assess the association between AN exposure and cancer suggested by the excess in lung cancer deaths that has been observed among workers exposed to high levels of AN.

4.1.2.2.1.2. Case-control studies

A large case-control study conducted in seven European countries investigated the association between occupational exposure to vinyl chloride, AN, and styrene and the risk of lung cancer (Scélo et al., 2004). The study included new cases of lung cancer occurring between 1998 and 2002 in 15 centers in six Central and Eastern European countries and in Liverpool in the United Kingdom. Controls, consisting of subjects hospitalized in general public hospitals in the same areas as cases, were frequency matched to cases based on age and gender. Controls had to have been hospitalized within 3 months of diagnosis of the case and could not have cancer or any tobacco-related diseases. A total of 3,403 cases and 3,670 controls met the study inclusion

criteria; after exclusions and refusals to participate, the final study group included 2,861 cases and 3,118 controls.

In order to ascertain exposure and lifestyle information, such as tobacco consumption, each study participant was interviewed using a standard questionnaire. Exposure assessment was obtained from the work history portion of the questionnaire, where information was collected for each job held for ≥ 1 year. Experts evaluated the frequency and intensity of exposure to AN, among other agents, for each job held by each study subject. The following exposure models were constructed for analysis: (1) duration of exposure, (2) weighted duration of exposure (which considered both duration and frequency), and (3) cumulative exposure in ppm-years. Models included age, gender, center, tobacco consumption, and other occupational factors.

A total of 10,555 jobs were held by the 2,861 study participants with lung cancer, and a total of 11,174 jobs were held by the 3,118 controls. AN exposure was associated with 48 jobs held by the cases and 26 jobs held by the controls. Thirty-nine of the 2,861 cases and 20 of the 3,118 controls were characterized as being exposed to AN, resulting in an odds ratio (OR) of 2.20 (95% CI = 1.11–4.36) (i.e., cases were 2 times more likely to be exposed to AN than controls). However, it was found that more than half of the study participants that were exposed to AN were also exposed to styrene. In the determination of the odds ratios of lung cancer for exposure to acrylonitrile, the authors noted that adjustments were made for the following in their analysis: center, gender, age, tobacco consumption, vinyl chloride, styrene, carbon black, and plastics pyrolysis products. In estimating the OR, unconditional logistic regression models were fit to the data. Variables, as indicated above, were selected for inclusion into the model when they appreciably modified the OR. This process thereby adjusts for the effect of that variable on the association between AN exposure and lung cancer. Further analysis was conducted on individuals exposed to AN and not exposed to styrene (17 cases and 10 controls). This resulted in a similar OR estimate but with a wider CI due to the smaller sample size (OR = 2.08, 95% CI = 0.82–5.27). Increasing linear trends for lung cancer were noted for both weighted duration of exposure ($p = 0.05$) and cumulative exposure ($p = 0.06$) (Table 4-16). Additional analyses employed a 20-year lag for exposures, which did not change the results appreciably. The authors reported an increased risk of exposure among lung cancer cases diagnosed before the age of 60 (43% of the cases), where the OR for ever being exposed was 2.79 (95% CI = 1.01–7.70), while the OR for ever being exposed among those over 60 years was 1.02 (95% CI = 0.35–2.92). An age-exposure interaction test yielded nonsignificant results.

Table 4-16. ORs of lung cancer for AN exposure, multisite case-control study in Europe^a

Exposure measure	Cases	Controls	OR	95% CI
Weighted duration of exposure (yrs)				
Not exposed	2,822	3,098	1.00	
0.01–1.00	13	9	2.03	0.72–5.73
1.01–2.25	9	5	2.73	0.73–10.20
>2.25	17	6	2.91	0.87–9.79
	Linear trend: $p = 0.05$			
Cumulative exposure (ppm-yrs)				
Not exposed	2,822	3,098	1.00	
0.01–0.46	13	9	2.03	0.72–5.73
0.47–1.61	10	4	2.76	0.68–11.22
>1.61	16	7	2.87	0.85–9.66
	Linear trend: $p = 0.06$			

^aAdjusted for center, gender, age, tobacco consumption, vinyl chloride, styrene, carbon black, plastics pyrolysis products.

Source: Amended from Scélo et al. (2004).

Because of the personal interviews conducted with each case and control, this study was able to provide an in-depth assessment of potential confounding factors, such as smoking history and lifestyle factors. The exposure assessment, though different than for the recent generation of cohort studies where actual plant measurements were possible, utilized reasonable and standardized methods to assign various levels of AN exposure to different jobs. As a multi-industry study, the possibility of exposure misclassification in this study was probably greater than in single-industry studies, though exposure misclassification is probably nondifferential with respect to disease and therefore would serve to lessen the outcome measures (ORs) calculated. A key observation in this study is the fact that, even after adjustment for confounding factors such as smoking history and exposure to other potential carcinogens, the data suggest a potential association between AN exposure and lung cancer incidence.

4.1.2.2.1.3. Cross-sectional studies

A detailed cross-sectional study was conducted among workers at a Hungarian AN factory in June 2000 (Czeizel et al., 2004). Of the 888 employees, 72 employees did not work during the study time frame and 33 refused to participate. The remaining 783 employees were interviewed, with information gathered on demographics, lifestyle and habits, occupational exposures, and history of general and occupational diseases, among other factors. Medical records aided in the validation of the workers' responses. Workers were categorized into three groups based on level of contact with AN (i.e., direct exposure, indirect or sporadic exposure,

and no exposure). Since the interviews were done with living current workers, cancer information for any worker who had left the plant or died before the study was conducted was anecdotal.

It is known that 12 former workers from the factory had died from cancer between 1990 and 1999, and none of these deaths was due to lung cancer. Of the 783 workers interviewed, 12 workers were found in the interview sample that had cancer. Of these, only one lung-cancer case was identified. This worker, categorized as having direct exposure to AN, started working at the plant in 1973 and was diagnosed 15 years later. Five of the 12 cancer cases were among the group ($n = 452$) thought to have the highest level of exposure to AN, while 4 cancer cases were noted among workers with no exposure to AN. No significant association between AN exposure and the development of cancer was observed. The study mentioned three of its shortcomings: persons with serious disorders who died or had premature pensions were not included, updated information on occupational exposures was unavailable, and there was difficulty in identifying appropriate controls. It should be noted that workers were not exposed exclusively to AN, but to a mixture of other chemicals as well. The small number of cancer incidences and the identified shortcomings hinder the reliability of this study in evaluating the association between AN exposure and the development of cancer.

Other supporting studies

In 2006, the Ohio Department of Health assessed the burden of cancer among residents of Addyston, Hamilton County, Ohio, who lived near a thermoplastics manufacturing plant that emitted AN and 1,3-butadiene into the environment (Ohio Department of Health, 2006). The study population consisted of invasive cancer cases identified through the Ohio Cancer Incidence Surveillance System between 1996 and 2003. The incidence of site-specific cancer was compared to the expected number of cases, the latter being derived from national background cancer incidence rates from NCI's Surveillance, Epidemiology and End Results (SEER) program in 1998–2002 and region-specific cancer incidence rates from 1993 to 2003. A total of 55 invasive cancer cases were identified among the 1,010 residents in the area, with an SIR = 1.8 (95% C.I. = 1.3–2.3) based on the 1998–2002 SEER age-specific incidence rates. Cancer of the lung and bronchus was the most common cancer identified (13 cases, 23.6%), followed by colorectal cancer (10 cases, 18.2%). The number of observed cancer cases in both instances was higher than expected (lung and bronchus SIR = 3.2, 95% C.I. = 1.7–5.4; colon and rectum SIR = 3.0, 95% C.I. = 1.5–5.6). SIRs based on the region-specific cancer incidence yielded similar results. Although cancer incidence in this study area was higher than expected, the association between AN exposure and the incidence of lung cancer may be confounded by other risk factors, such as smoking, that were acknowledged, but not controlled for in the analyses.

Overall summary of epidemiology data

The early DuPont studies found potential increases in incidence and/or death from lung cancer and prostate cancer among persons possibly exposed to AN. These studies were the impetus for generating several additional studies spanning more than 20 years after the first publication. Exposure assessment was not fully developed until recently, although older studies did examine qualitative distributions of exposure. The cohorts ranged from a few hundred workers to over 25,000 workers. The follow-up period for many of the studies was short, limiting the ability to detect outcomes such as site-specific cancer mortality with a long latency period. Over time, the cohort studies increased in power by increasing the number of workers, length of follow-up, and sophistication of the exposure assessment.

A composite of the major cohort studies reviewed, along with all-cancer SMRs, is provided in Table 4-17. Table 4-18 summarizes SMRs for lung cancer, a cancer type that has been assessed in most of the epidemiology studies reviewed. In both tables, the SMRs are based on the cohort population most likely to be exposed to AN, as the actual cohort sample size in many cases included an unexposed worker group. As in most studies, the number of deaths on which the SMRs are based is a fraction ($\leq 33\%$) of the actual cohort studied; thus, the statistical power of the study is better reflected by the number of observed events (i.e., cause-specific deaths). These SMRs were evaluated by the size of the exposed cohort, number of observed deaths, percentage of observed deaths within each study, and year of publication to determine if any of these factors was associated with increased SMR values. For both all-cancer mortality and lung-cancer mortality, no discernable association was observed between increased SMRs and the size of the exposed cohort, number of observed deaths, percentage of observed deaths within each study, or year of publication (data not shown).

Table 4-17. Derived SMRs for all-cancer mortality and AN exposure in major cohort studies

Reference	Study population	Comparison group	Potentially exposed cohort	Observed deaths	All-cancer SMR ^a
<i>DuPont</i>					
O’Berg (1980)	Male workers exposed to AN between 1950 and 1966 at a DuPont Plant in South Carolina and followed through 1976.	DuPont Registry	1,128 ^b	17	1.13 (0.68–1.78)
O’Berg et al. (1985)	As above but updated extended to 1983	DuPont Registry	1,345	36	1.14 (0.81–1.56)
Chen et al. (1987)	Male workers exposed to AN between 1944 and 1970 at DuPont Plant in Virginia and followed through 1983.	White male subset of U.S. population	1,083	18 ^c	0.75 (0.44–1.16)
Chen et al. (1987)	As above.	DuPont Registry	1,083	18 ^c	0.88 (0.54–1.37)
Wood et al. (1998)	Combined O’Berg et al. (1985) and Chen et al. (1987)	U.S. general population	2,559	126	0.78 ^d (0.64–0.93)
Wood et al. (1998)	As above.	DuPont Registry	2,559	126	0.86 (0.72–1.02)
Symons et al. (2008)	Update from Wood et al. (1998) with 11 yrs of follow-up	Regional Dupont workers	2,548	839	0.92 (0.81–1.04)
Symons et al. (2008)	As above.	U.S. general population	2,548	839	0.73 ^d (0.64–0.82)
<i>NCI</i>					
Blair et al. (1998)	Workers employed in eight AN-producing facilities from 1950s to 1983, followed through 1989.	U.S. general population	25,460	326	0.80 ^d (0.70 -0.90)
Blair et al. (1998)	As above.	Unexposed workers	25,460	326	RR = 0.80 (0.7–1.0)
Marsh et al. (1999)	Subset of Blair et al. (1998).	County mortality rates	518	17	0.88 (0.52–1.42)
Marsh et al. (1999)	As above.	Unexposed workers	518	17	RR = 0.87 (0.4–1.7)
Marsh et al. (2001)	Same as Blair et al. (1998)	Regional mortality rates	25,460	–	
<i>American Cyanamid Company</i>					
Collins et al. (1989)	Male workers at two plants employed between 1951 to 1973, followed through 1983.	White male subset of U.S. population	1,774	43	1.01 (0.74–1.35)
<i>Synthetic chemical plant</i>					
Waxweiler et al. (1981)	Chemical plant workers employed between 1942 and 1973, followed through 1973.	White male subset of U.S. population	4,806	101	1.18 (0.97–1.43)

Table 4-17. Derived SMRs for all-cancer mortality and AN exposure in major cohort studies

Reference	Study population	Comparison group	Potentially exposed cohort	Observed deaths	All-cancer SMR ^a
<i>Rubber industry</i>					
Delzell and Monson (1982)	White male rubber chemical plant workers employed for at least 2 yrs between 1940 and mid-1971, followed through mid-1978.	White male subset of U.S. population	327	22	1.20 (0.77–1.79)
<i>Netherlands cohort</i>					
Swaen et al. (1992)	Male workers exposed to AN in eight factories for ≥6 mos before mid-1979, followed through 1987.	Dutch general population	2,842	42	0.83 (0.61–1.11)
Swaen et al. (1998)	As above, but followed through 1995.	Dutch general population	2,842	97	0.88 (0.72–1.07)
Swaen et al. (2004)	As above, but followed through 2000.	Dutch general population	2,842	146	0.89 (0.75–1.04)
<i>BASF (Germany)</i>					
Thiess et al. (1980)	Male workers from 12 plants followed through mid-1978.	German mortality rates	1,469	27	1.32 (0.89–1.89)
<i>Six factories (U.K.)</i>					
Werner and Carter (1981)	Male workers employed for at least 1 yr in one of six factories from 1950 to 1968, followed through 1978.	Male mortality rates in England and Wales	934	21	1.10 (0.70–1.65)
Benn and Osborne (1998)	As above but employed from 1969 to 1978, followed through 1991.	Mortality rates from different European countries	2,963	121	0.88 (0.73–1.05)
<i>Acrylic fiber factory (Italy)</i>					
Mastrangelo et al. (1993)		General population	671	12	1.37 (0.74–2.33)

^aSMR may be calculated from article based on available data.

^bOnly workers employed for ≥6 mos.

^cBased only on wage workers.

^dStatistically significant ($p < 0.05$).

Table 4-18. Derived associations between lung cancer and AN exposure in major cohort and case-control studies

Reference	Study population	Comparison group	Potentially exposed cohort	Observed lung cancer deaths or cases	Lung cancer associations ^a (full cohort)	Lung cancer associations ^a (subsets of cohort) ^b
DuPont						
O'Berg (1980)	Male workers exposed to AN between 1950 and 1966 at a DuPont Plant in South Carolina and followed through 1976.	DuPont Registry	1,128 ^c	7	1.35 (0.59–2.66)	Most recent period (1970–1976) with exposure > 6 months: SMR 1.71 (95% CI 0.69–3.57); SIR = 3.13 (95% CI 1.14 – 6.92)
O'Berg et al. (1985)	As above, but updated and extended to 1983.	DuPont Registry	1,345	14	1.21 (0.69–1.98)	Latency 20 + yrs : SIR = 2.0 (95% CI 0.95–4.2);
Chen et al. (1987)	Male workers exposed to AN between 1944 and 1970 at DuPont Plant in Virginia and followed through 1983.	White male subset of U.S. population	1,083	5 ^d	0.59 (0.22–1.32)	Analysis by latency or time period not provided.
Chen et al. (1987)	As above.	DuPont Registry	1,083	5 ^d	0.66 (0.24–1.46)	Latency 20 + years: SIR = 0.92 (95% CI 0.51 – 1.53) Most recent period (1975 – 1983) : SIR 1.11 (95% CI 0.70 – 1.68)
Wood et al. (1998)	Combined O'Berg et al. (1985) and Chen et al. (1987).	U.S. general population	2,559	47	0.74 ^e (0.55–0.98)	Analysis by latency or exposure of results using US general population rates not provided.
Wood et al. (1998)	As above.	DuPont Registry	2,559	47	0.89 (0.66–1.17)	Exposure level ≥30 ppm: SMR 1.23 (95% CI 0.80–1.85) Duration ≥ 10 yrs: SMR 0.91 (95% CI 0.59 – 1.35)
Symons et al. (2008)	Update from Wood et al. (1998) with 11 yrs of follow-up	Regional Dupont workers	2,548	88	0.92 (0.75–1.14)	Exposure > 10 ppm-yrs: SMR = 0.93 (95% CI 0.74 – 1.16) HR per 100 ppm-year increase in cumulative exposure: 0.95 (95% CI 0.73–1.23)

Table 4-18. Derived associations between lung cancer and AN exposure in major cohort and case-control studies

Reference	Study population	Comparison group	Potentially exposed cohort	Observed lung cancer deaths or cases	Lung cancer associations ^a (full cohort)	Lung cancer associations ^a (subsets of cohort) ^b
Symons et al. (2008)	As above.	U.S. general population	2,548	88	0.74 ^e (0.60–0.91)	Analysis by latency or exposure of results using US general population rates not provided
<i>NCI</i>						
Blair et al. (1998)	Workers employed in eight AN-producing facilities from 1950s to 1983, followed through 1989.	U.S. general population	25,460	134	0.90 (0.8–1.1)	Analysis by latency or exposure of results using US general population rates not provided
Blair et al. (1998)	As above.	Unexposed workers	25,460	134	RR = 1.2 (0.9–1.6)	Exposure > 8 ppm-yr: RR 1.5 (95% CI 0.9 – 2.4) Latency 20+ years and exposure > 8 ppm-yr: RR 2.1 (95% CI 1.2–3.8)
Marsh et al. (1999)	Subset of Blair et al. (1998).	County mortality rates	518	9	1.32 (0.64–2.42)	SMR for cumulative exposure (ppm-yrs): Unexposed 0.66 (0.24 – 1.44) >0 – 7.9 1.53 (0.19 – 5.54) ≥8.0 1.27 (0.51 – 2.63)
Marsh et al. (1999)	As above.	Unexposed workers	518	9	RR = 1.98 (0.6–6.9)	Adjusted for time since first employment, RR for cumulative exposure (ppm-yrs): Unexposed 1.00 (referent) >0 – 7.9 1.27 (0.10 – 8.94) 8.0 – 109.9 1.60 (0.29 – 7.57) 110.0 2.19 (0.34 – 10.70) Similar patterns seen with intensity measures

Table 4-18. Derived associations between lung cancer and AN exposure in major cohort and case-control studies

Reference	Study population	Comparison group	Potentially exposed cohort	Observed lung cancer deaths or cases	Lung cancer associations ^a (full cohort)	Lung cancer associations ^a (subsets of cohort) ^b
Marsh et al. (2001)	Same as Blair et al. (1998).	Regional mortality rates	25,460	134	0.74 ^e (0.62–0.87)	Exposure ≥ 8 ppm-yr: SMR 0.92 (95% CI 0.6 – 1.4) Latency 20+ years and exposure ≥ 8 ppm-yr: SMR 2.1 (95% CI 1.2 - 3.8) (using internal controls)
<i>American Cyanamid Company</i>						
Collins et al. (1989)	Male workers at two plants employed between 1951 and 1973, followed through 1983.	White male subset of U.S. population	1,774	15	1.00 (0.58–1.61)	High exposure (> 7 ppm-yr): SMR 1.22 (95% CI 0.59-2.23)
<i>Synthetic chemical plant</i>						
Waxweiler et al. (1981)	Chemical plant workers employed between 1942 and 1973, followed through 1973; exposure to other potential carcinogens likely	White male subset of U.S. population	4,806	42	1.49 ^e (1.09–1.99)	Duration of exposure > 10 yrs: SMR 1.56 (95% CI 1.12 - 2.11)
<i>Rubber industry</i>						
Delzell and Monson (1982)	White male rubber chemical plant workers employed for at least 2 yrs between 1940 and mid-1971, followed through mid-1978.	White male subset of U.S. population	327	9	1.52 (0.74–2.79)	Latency 15 + years: SMR 1.71 (95% CI 0.81-3.6)
<i>Netherlands cohort</i>						
Swaen et al. (1992)	Male workers exposed to AN in eight factories for ≥6 mos before mid-1979, followed through 1987.	Dutch general population	2,842	16	0.82 (0.48–1.30)	High exposure (>10 ppm-yr): SMR 1.11 (95% CI 0.48 – 2.19)

Table 4-18. Derived associations between lung cancer and AN exposure in major cohort and case-control studies

Reference	Study population	Comparison group	Potentially exposed cohort	Observed lung cancer deaths or cases	Lung cancer associations ^a (full cohort)	Lung cancer associations ^a (subsets of cohort) ^b
Swaen et al. (1998)	As above, but followed through 1995.	Dutch general population	2,842	47	1.10 (0.82–1.45)	High exposure (>10 ppm-yr): SMR 1.18 (95% CI 0.70 – 1.87)
Swaen et al. (2004)	As above, but followed through 2000.	Dutch general population	2,842	67	1.07 (0.84–1.35)	High exposure (>10 ppm-yr): SMR 1.2 (95% CI 0.79 – 1.76)
<i>BASF (Germany)</i>						
Thiess et al. (1980)	Male workers from 12 plants followed through mid-1978.	German mortality rates	1,469	11	1.85 (0.97–3.22)	SMR for duration of exposure (yrs): < 4 0.0 (no observed cases) 5-9 3.86 (95% CI 1.22 – 9.31) ≥ 10 2.04 (95% CI 0.57 – 6.07)
<i>Six factories (U.K.)</i>						
Werner and Carter (1981)	Male workers employed for ≥1 yr in one of six factories from 1950 to 1968, followed through 1978.	Male mortality rates in England and Wales	934	9	1.20 (0.58–2.20)	Age at death (15- 44yrs): SMR = 4.28 (95% CI = 1.09–11.66)
Benn and Osborne (1998)	As above but employed from 1969 to 1978, followed through 1991.	Mortality rates from different European countries	2,963	53	1.03 (0.78–1.34)	High exposure group: SMR 1.41 (95% CI 0.95–2.03) Exposures occurring after 1969: SMR 2.69 (95% CI 1.18 – 5.33) Age at death (15- 44yrs): SMR = 6.10 (95% CI = 2.23–13.5)
<i>Acrylic fiber factory (Italy)</i>						
Mastrangelo et al. (1993)		General population	671	2	0.77 (0.13–2.54)	Stratification identified that both cases occurred within 9 years of exposure.

Table 4-18. Derived associations between lung cancer and AN exposure in major cohort and case-control studies

Reference	Study population	Comparison group	Potentially exposed cohort	Observed lung cancer deaths or cases	Lung cancer associations ^a (full cohort)	Lung cancer associations ^a (subsets of cohort) ^b
Casae-control study (Europe)						
Scélo et al. (2004)	Incident lung cancer cases (n=3118) and hospitalized controls (n=3118) from 15 centers in Europe; Detailed work lifetime work history and expert exposure assessment		Not applicable	3118 (cases)	OR 2.20 (95% CI 1.11–4.36)	Younger cases (under age 60): OR 2.79, 95% CI 1.01-7.70 Exposure > 2.25 yrs: OR 2.91 (95% CI 0.87 – 9.79)

^aSMR (may be calculated from article based on available data) or OR.

^bSelected results were primarily for higher exposure groups (based on cumulative exposure, duration, or intensity measures) or longer latency periods, if available, or for other subgroups with elevated risk estimates, if any.

^cOnly workers employed for ≥6 mos.

^dBased only on wage workers.

^eStatistically significant ($p < 0.05$).

To date, the largest cohort assessed in determining the relationship between AN and cancer is the NCI cohort. The NCI/National Institute for Occupational Safety and Health (NIOSH) cohort study of Blair et al. (1998) provides the strongest evidence for carcinogenicity, although this evidence is not conclusive. This study of 25,460 subjects in eight plants was designed to evaluate a relationship between AN exposure and site-specific cancer, including lung cancer, an a priori hypothesis. Both AN-exposed and unexposed subjects showed a favorable all-cause mortality rate compared to the all-cause mortality rate of the U.S. population. Although only 5% of the cohort had died, this study has a large number of observed deaths that could be analyzed; the 134 lung cancer deaths observed in this study is two- to three times larger than any of the other cohort studies. The study addressed known problems occurring with earlier studies by quantifying exposures, estimating the effect of smoking, and using an internal control group of unexposed workers.

The study by Blair et al. (1998) is of particular interest because a possible association between AN exposure and death from lung cancer was observed among workers in the highest level of AN exposure. Specifically, workers with at least 20 years since first exposure and exposed to a high level of AN were 2 times more likely to die of lung cancer than unexposed workers (RR 2.1, 95% CI 1.2–3.8). However, the analyses of this and other exposure metrics in the Blair et al. (1998) study did not provide strong or consistent evidence for an exposure-response trend as it was only the highest quintile of exposure in which the association was seen. The observations of Blair et al. (1998) are supported by the patterns seen in analyses stratified by exposure level, latency period or age group in numerous other studies (Table 4-18). These results indicate that the association seen in the full study population may not correctly represent the association seen in specific higher risk groups. This pattern of results is frequently seen in epidemiological studies of cohorts experiencing a wide range of exposure scenarios and levels; a true risk in a small group can be attenuated when subsumed within a much larger group that does not experience this risk. Furthermore, the two-fold increased risk (OR 2.20, 95% CI 1.11–4.36) in the large lung-cancer case control study of Scélo et al. (2004) provides additional support for this association. This study adjusted for effects related to individual smoking history and to a number of potential coexposures found in a subject's occupational setting. The finding of lung-cancer risk increasing with increasing exposure duration or with increasing cumulative exposure in this study provides further evidence of an association with AN. It should be noted, however, that the most recent follow-up of the Dupont cohort (Symons et al., 2008) and the studies of the Netherlands cohort (Swaen et al., 2004, 1998, 1992) do not provide evidence of an increasing risk with increasing exposure, or with other characteristics that could be used to define a higher risk group.

Within the body of epidemiologic literature examining a potential relationship between exposure to AN and cancer in occupational cohorts, the following shortcomings are noted: low power from small numbers of exposed subjects and, in many studies, the small number of cases

of lung cancer or other specific diseases, lack of quantitative exposure information on individual study subjects leading to a greater potential for exposure misclassification bias, assessment of an insensitive outcome (i.e., mortality rather than incidence, particular for treatable diseases such as prostate cancer), and insufficient follow-up period for cancer latency. In addition, many of the studies used external comparison groups, and their results were subject to a downward bias from the healthy worker effect.

Blair et al. (1998) and many other studies observed a lower rate of lung-cancer deaths among AN-exposed workers compared with the general population. Although this could be interpreted as evidence of no carcinogenic effect of AN, this type of pattern is likely a manifestation of the healthy worker effect. Blair et al. (1998) addressed this methodological issue by conducting an additional set of analyses using internal controls (i.e., workers from the cohort who were not exposed to AN) to draw inferences about AN risks.

The earlier studies based on the DuPont cohort utilized cancer incidence as well as cancer mortality data. In these studies, although a potentially better outcome measurement (i.e., cancer incidence rather than mortality) is used, case ascertainment was limited to diagnoses occurring during employment, and so would have missed diseases among retirees and others who were no longer working at the company. These studies thus essentially focused on incidence of disease among relatively young (i.e., < age 65) workers, and are most directly comparable to the age-stratified data presented by the studies from the United Kingdom (Benn and Osborne, 1998; Werner and Carter, 1991) and the European case-control study (Scélo et al., 2004).

4.1.2.2.2. *Epidemiological studies of AN in humans (noncancer effects).*

Several cross-sectional studies of occupational exposure to AN evaluated subjective symptoms, physical signs, and clinical chemistry parameters among acrylic fiber workers in Japan and China. A few studies examined neurological effects. These studies are summarized in Table 4-19, and briefly described below.

Table 4-19. Epidemiology studies of general symptoms, clinical chemistry, and neurological outcomes among cohorts of workers exposed to AN

Reference	Study population	Exposure assessment	Toxic effects/outcome
Sakurai et al. (1978) Cross-sectional study; December 1975 – March 1976	102 male workers in six Japanese acrylic fiber plants with averages of 10–12 yrs of employment; 62 age- matched controls	Mean 8-hr TWAs in personal samples: Group A factories = 0.1 ppm; Group B factories = 0.5 ppm; Group C factories = 4.2 ppm	Statistically insignificant increase in the incidence of palpable liver, reddening of the conjunctiva and pharynx, and skin rashes compared with controls; prevalence of subjective symptoms was not evaluated

Table 4-19. Epidemiology studies of general symptoms, clinical chemistry, and neurological outcomes among cohorts of workers exposed to AN

Reference	Study population	Exposure assessment	Toxic effects/outcome
Muto et al. (1992) Cross-sectional study; April – December 1988 Follow-up of plants studied by Sakurai et al. (1978)	157 male shift workers employed in seven Japanese acrylic fiber plants with average of 17 yrs employment; 537 unexposed male shift workers in polyester fiber plants, power supply and finishing branches of the acrylic fiber plants	Personal sampling (142/157 exposed; 90.4%): Group A factories = 0.19 ppm; Group B factories = 1.13 ppm	Statistically significant increased prevalence of subjective symptoms in Group B factories (e.g., heaviness of the stomach, decreased libido, poor memory, irritability, conjunctival reddening, eye irritation); increased prevalence of heaviness of the stomach in Group A; no significantly increased prevalence of physical signs or abnormal values in urine, hematological, liver function, or blood pressure variables in Group A or B
Kaneko and Omae (1992) Cross-sectional study	504 male workers in 7 Japanese acrylic fiber plants, averages of 5.6, 7.0, and 8.6 yrs of employment at low-, medium-, and high-level workplace exposure; 249 unexposed matched controls	Group L factories = 1.8 ppm; Group M factories = 7.4 ppm; Group H factories = 14.1 ppm; air concentrations reported as “means” without other information	Statistically significant increased prevalence of subjective symptoms, such as headaches, tongue trouble, choking lump in chest, fatigue, general malaise, heavy arms, and heavy sweating in workers in Groups L, M, and H factories, compared with controls
Chen et al. (2000) Cross-sectional study	224 workers (180 males and 44 females) in an acrylic fiber plant with average of 13 yrs of employment; 224 unexposed controls at a different plant	Average of multiple samples in four work areas = 0.48 ppm	Statistically significant increased prevalence of subjective symptoms (e.g., headache, dizziness, poor memory, choking feeling in the chest, loss of appetite) compared with controls; increase in serum γ -GTP and USCN but not in other clinical chemistry or hematological variables. Increased micronucleus rate in peripheral lymphocytes
Dong et al. (2000a) Occupational survey	93 workers at a Chinese chemical fiber plant; 96 unexposed controls	Unclear presentation of exposure data; air samples in multiple locations over 3 years: 2–2.8 mg/m ³	Statistically significant increased prevalence of subjective symptoms (headache, dizziness, sleeping disorders, and a feeling of choking in the chest) compared with controls
Xiao (2000a) Occupational survey	372 workers exposed to AN in a chemical factory; 186 unexposed controls	No data	Statistically significant increase in prevalence of individuals with serum ALT activity above threshold
Xiao (2000b) Occupational survey	237 workers exposed to AN in a chemical factory; 184 unexposed controls	Workshop A = 7 ppm; workshop B = 3.3 ppm; workshop C = 3 ppm	Reduction in whole blood cholinesterase activity; subjective symptoms such as neurological disorder, sweating, trembling, and discomfort in the chest
Lu et al. (2005a) Prevalence study	Chinese acrylic fiber workers: 81 monomer workers (68 male and 13 female); 94 fiber workers (67 male and 27 female); 174 unexposed workers	Mean area AN concentrations: Monomer work areas = 0.11 ppm (0–1.7 ppm); fiber manufacture work areas = 0.91 ppm (0–8.34 ppm)	Small but statistically significant deficits in tests of neurobehavior in monomer and fiber workers compared with controls; significant deficits in tests of mood (increased scores for anger, confusion, depression, fatigue, and tension), attention and response speed, auditory memory, and motor steadiness; not in tests of manual dexterity or perceptual motor speed

Table 4-19. Epidemiology studies of general symptoms, clinical chemistry, and neurological outcomes among cohorts of workers exposed to AN

Reference	Study population	Exposure assessment	Toxic effects/outcome
Ding et al. (2003) Cross-sectional study (in Chinese, abstract in English)	47 AN exposed workers in chemistry department of petrochemical company; 47 unexposed teachers and staff at a college	Geometric mean area concentration: 0.25 mg/m ³ (0.11 ppm); 0–3.7 mg/m ³	Statistically significant increase in prevalence of deletion rate in mitochondrial DNA in exposed workers compared to controls

Sakurai et al. (1978) performed a cross-sectional health examination in 1976 of 102 male workers exposed to AN in six acrylic fiber manufacturing factories in Japan. Also examined were 62 nonexposed age-matched control workers from polyester fiber manufacturing plants, power supply plants, or finishing branches of the acrylic fiber plants. Exposed and referent workers were selected randomly from the eligible population at each factory and 99.2% and 96.7% of this group were given a health examination. By design, all exposed subjects were shift workers who had been exposed to AN in the workplace for at least 5 years, but had no history of exposure to other chemicals. All subjects underwent medical examinations, and blood and urine samples were collected for chemical analysis. Clinical chemistry analyses included urinary protein and other parameters, including Hb, total cholesterol, AST, ALT, alkaline phosphatase, cholinesterase, γ -glutamyl transpeptidase (γ -GTP), and lactate dehydrogenase (LDH). Parameters that measured liver injury were a focus of the clinical examinations because a previous epidemiological study of 576 Japanese acrylic fiber manufacturing workers from the same factories exposed to <5 or <20 ppm AN between 1960 and 1970 had reported an increase in subjective symptoms and mild injury to the liver in exposed workers (Sakurai and Kusumoto, 1972). AN and thiocyanate concentrations in urine were also measured to evaluate individual exposure levels.

Levels of AN in the air (Sakurai et al., 1978) were measured from “spot” samples (55–159 stationary air samples per factory over two days) and from exposed subjects wearing personal samplers. A daily time-weighted average concentration was estimated for each worker from four 100-minute personal samples. As shown in Table 4-20, the factories and exposed workers were classified into three groups (A, B, and C) according to their level of AN exposure. SDs for the means and ranges of the exposure concentrations were not reported. Mean exposure durations for workers in the three groups of factories were 10.3 years (SD = 4.5) for group A, 10.8 years (SD = 4.4) for group B, and 12.6 years (SD = 2.1) for group C.

Table 4-20. Industrial AN exposure, levels of AN and thiocyanate in urine, and prevalence of physical signs of adverse effects in workers exposed to AN at six acrylic fiber factories in Japan

Factory group (n = number of factories)	Mean 8-hr TWA AN concentration (ppm)		AN in urine (µg/L)	Thiocyanate in urine (µg/L)	Prevalence of adverse effects in exposed vs control workers (%)		
	Spot samples	Personal samples			Reddening of pharynx or conjunctiva	Palpable liver	Rashes or pigmentation of skin
A (n = 2)	2.1 (n = 116)	0.1 (n = 11)	3.9 (n = 35)	4.50 (n = 19)	19.4 (n = 31) vs. 18.2 (n = 22)	16.1 (n = 31) vs. 9.1 (n = 22)	9.7 (n = 31) vs. 9.1 (n = 22)
B (n = 3)	7.4 (n = 394)	0.5 (n = 37)	19.7 (n = 51)	5.78 (n = 58)	11.3 (n = 53) vs. 10.0 (n = 30)	15.1 (n = 53) vs. 10.0 (n = 30)	3.8 (n = 53) vs. 0 (n = 30)
C (n = 1)	14.1 (n = 98)	4.2 (n = 14)	359.6 (n = 22)	11.41 (n = 14)	50.0 (n = 18) vs. 30.0 (n = 10)	38.9 (n = 18) vs. 30.0 (n = 10)	11.0 (n = 18) vs. 0 (n = 10)
Control	—	—	0 (n = 22)	4.00 (n = 52)	—	—	—

Source: Sakurai et al. (1978).

Although there were some differences in mean age between the groups (38.1 years for group C, 33.9 years for group B, and 30.5 years for group A), the age distributions of exposed and control subjects were similar within each group (Sakurai et al., 1978). No meaningful differences in mean clinical chemistry parameters were found between exposed workers and controls. Medical histories of exposed workers and controls suggested a transient AN-related increase in such symptoms as irritation of the conjunctiva and upper respiratory tract, runny nose, and skin irritation (for example, at the scrotum). Physical examination of subjects suggested a slight increase in the incidence of palpable liver, reddening of the conjunctiva and pharynx, and occurrence of skin rashes (see Table 4-20). However, the difference between groups was not statistically significant. There were no AN-related changes in blood pressure or neurological findings.

EPA determined 4.2 ppm (average 8-hour TWA from the personal samples of the high exposure group) as an equivocal lowest-observed-adverse-effect level (LOAEL) for physical signs of eye or throat irritation, liver enlargement, or skin irritation in male workers with average durations of 10–12 years of occupational exposure to airborne AN. Limitations to this LOAEL are that workplace air concentrations across the 10–12 years of exposure were not available, workers who underwent medical examinations were not necessarily the same as those whose air and urine were sampled, and self-reported symptoms were not evaluated. Confidence in the LOAEL is strengthened by the correspondence between the urinary concentrations of biomarkers of exposure and the AN concentrations measured in air (see Table 4-20).

Muto et al. (1992) performed another cross-sectional health examination of male workers in seven Japanese acrylic fiber manufacturing plants in 1988. The seven factories included the six factories studied in the 1976 cross-sectional health examination of Japanese acrylic fiber workers (Sakurai et al., 1978). Exposed workers selected for the study were 157 male shift workers with at least 5 years of experience on production lines. The mean years of exposure for these workers was 17 ± 6.6 years. A nonexposed control group consisted of 537 male shift workers in polyester fiber plants, power supply plants, or finishing branches in the acrylic fiber plants. Controls were similar to exposed workers in average age (42.2 years, controls; 41.9 years, exposed), percentage who drank alcohol (76.5%, controls; 77.1%, exposed), and percentage who smoked (58.1%, controls; 58.6%, exposed). All subjects underwent a medical examination that documented past illnesses, work history, exposure to AN, smoking and drinking habits, subjective symptoms, physical condition, urinalysis, hematology, liver function blood variables (total bilirubin, AST, ALT, and γ -GTP), and chest X-rays. Time-weighted-average exposure levels were calculated for each worker using area sampling data and time studies. Personal air samples were collected over a 2-day period for 142 of the 157 exposed workers. Overall, the TWA AN concentrations for the exposed workers were 0.53 ± 0.52 ppm (range, 0.01–2.80 ppm) and personal air concentrations were 0.62 ± 0.90 ppm (range, 0.01–5.70 ppm). The mean AN exposure measurements were lower than the exposure measurements made in 1976 (see Table 4-19), suggesting that workplace air concentrations in the plants had declined over the 12-year period between the studies.

More exposed workers reported a “heaviness in stomach” ($p < 0.01$) and decreased libido ($p < 0.05$) compared to the referent group (Muto et al., 1992). Reported prevalence for heaviness of stomach was 29.3% in the exposed group vs. 18.7% in the control group. No significant differences were found in prevalence for other GI effects such as anorexia, nausea, vomiting, heartburn, or stomachache.

When workers were grouped into those from factories with mean TWA AN concentrations below 0.3 ppm (four factories; mean TWA and personal air concentrations of 0.27 and 0.19 ppm, respectively; Group A) or above 0.3 ppm (three factories; mean TWA and personal air concentrations of 0.84 and 1.13 ppm, respectively; Group B), statistically significant increased prevalence for the following subjective symptoms (compared with controls) were found for the workers in the factories with higher exposure levels (Group B): decreased libido (54.9 vs. 40.2% for controls), poor memory (76.1 vs. 64.0% for controls), irritability (35.2 vs. 24.1% for controls), reddening of conjunctiva (21.1 vs. 11.7% for controls), and eye pain or lacrimation (32.4 vs. 19.2% for controls). There were no statistically significant differences between the exposed and control groups in the prevalence of clinically observed physical signs (skin rashes or reddening of conjunctiva) or abnormal findings in urinalytic, hematological, liver function, or blood pressure variables. Prevalence of chest X-ray abnormalities were likewise not different between exposed and control groups.

The mean personal air concentration of workers in the Group B factories, 1.13 ppm, was considered by EPA to be a LOAEL for small but statistically significant increased prevalence of several subjectively reported symptoms (e.g., poor memory and irritability) in the absence of statistically significant increases in the prevalences of physical signs or abnormal values for a number of urinalytic, hematological, liver function, or blood pressure variables (Muto et al., 1992). The average personal air concentration in the Group A factories, 0.19 ppm, was judged to be a no-observed-adverse-effect level (NOAEL). Like the LOAEL identified in the earlier cross-sectional health examination of Japanese acrylic fiber workers (Sakurai et al., 1978), a limitation to the Muto et al. (1992) LOAEL is that historical measurements of air concentrations were not available.

Kaneko and Omae (1992) performed a cross-sectional health questionnaire study of neurological and subjective symptoms among exposed and nonexposed workers from seven acrylic fiber manufacturing plants in Japan. The questionnaire for surveying subjective symptoms was administered to 1,220 exposed male workers and 757 nonexposed male workers who were either from the same factory or a close-by factory of the same company. The selected study population included 504 exposed individuals and 249 unexposed controls. Subjects who were excluded from the study were workers with administrative or nonshift jobs, a history of exposure to other chemicals, ages not able to be matched, or incomplete information on the questionnaire. Workplace air concentrations of AN were measured on 2 consecutive days in each factory by using a portable gas chromatograph. Factories were grouped into three exposure groups with the following mean workplace air concentrations: Group L = 1.8 ppm, Group M = 7.4 ppm, and Group H = 14.1 ppm. Further information on the exposure measurements was not reported (e.g., SD of means, ranges of values, or whether or not the reported concentrations represented 8-hour TWA concentrations). Mean durations of exposure in the three groups were 5.6, 7.0, and 8.6 years for the L, M, and H groups, respectively.

Neurological status, was assessed among all the workers using the Japanese version of the Cornell Medical Index with additional questions. Prevalence of neurosis (defined using Fukamachi's criteria) was slightly higher among the AN-exposed workers compared to control workers, although the differences were not statistically significant. Subjective symptoms with significantly higher prevalence in the AN-exposed groups, compared with the referent groups, included headaches, tongue trouble, choking lump in the throat, fatigue, general malaise, heavy arms, and heavy sweating. The numbers of subjective symptoms that were significantly more prevalent in exposed workers were as follows: 8 in group L, 19 in group M, and 14 in group H. Only the prevalence of one subjective symptom, "often feel a choking lump in the throat," had a tendency to increase with increasing length of exposure to AN in all factories and in group L. EPA identified 1.8 ppm as an equivocal LOAEL (Group L mean air concentration) for statistically significantly increased prevalences of subjective symptoms.

In a translated study from China, Chen et al. (2000) examined the health effects of occupational exposure to AN in 224 workers at an acrylic fiber plant. The exposed group consisted of 180 males and 44 females, with an average age of 38.6 years (range 19–57 years) and an average of 13 years of service. The average AN concentration in the work areas at the plant was reported to be 1.04 mg/m³ (0.48 ppm). All subjects were given a physical examination. The results of these investigations and of subjects' hematological and clinical chemistry parameters were compared with those of a referent group of 224 workers from a different, unidentified plant. The authors stated that this group was of similar age, duration of employment, and smoking habit. Reported symptoms that had significantly higher prevalence in exposed subjects than the referent group included headache and dizziness (41 vs. 21%), poor memory (30 vs. 13%), feelings of choking in the chest (13 vs. 8%), and loss of appetite (13 vs. 8%). However, of the other parameters evaluated in this study, all hematological data and all but one of the clinical chemistry parameters gave similar values to those of controls. The serum activity of γ -GTP was significantly higher ($p < 0.05$) in exposed subjects than in controls (44.32 ± 32.21 vs. 40.22 ± 31.06 IU/L). Urine SCN, a marker of AN exposure, also was higher in the exposed group (47.18 ± 20.66 vs. 43.38 ± 11.88 mmol/L). Finally, the micronucleus rate in peripheral lymphocytes was higher in the AN exposed workers (2.6% versus 0.62%, $p < 0.05$). The study authors identified 0.48 ppm, the average AN air concentration, as a LOAEL for statistically significantly increased prevalences of subjective symptoms (including headache, dizziness, poor memory, and loss of appetite) in workers employed in an acrylic fiber manufacturing plant for an average of 13 years, without significant changes in most hematological and clinical chemistry variables except for γ -GTP activity.

The toxicity of AN in an occupational setting has been the subject of a number of other reports from China (Dong et al., 2000a; Xiao, 2000a, 2000b), which were collectively submitted to the U.S. EPA as a Toxic Substances Control Act Test Submissions report (Acrylonitrile Group, 2000). While these studies are discussed below for hazard identification purposes, some reports lack sufficient detail to support reported findings of AN-related toxicity. One of the reports described a statistically significant increased prevalence of symptoms similar to those found in other studies (e.g., headache, dizziness, sleeping disorders, and choking in the chest) among 93 workers at a plant with AN concentrations between 2 and 22.79 mg/m³ (Dong et al., 2000a).

Xiao (2000a) reported the results of an unpublished occupational survey that measured fasting serum activities of serum glutamate pyruvate transaminase (SGPT, also known as ALT) in 372 workers exposed to AN for 1–31 years in a chemical factory in China and compared the levels with those in 186 unexposed administrators and researchers from a research institute. A significantly higher percentage of individuals with SGPT level ≥ 19 μ mol/L-minute were found in the exposed group compared with controls (41.13 vs. 4.8%), and exposed males were more severely affected than exposed females (50.23 vs. 27.82%). Prevalence was higher among males

with more than five years employment compared to males employed 1–5 years. No data were provided on the level of AN exposure.

Xiao (2000b) also reported on whole blood cholinesterase activity in 237 workers exposed to AN in a chemical factory (average age, 37 years) in comparison with those in 184 unexposed workers from a local research institute (average age, 39 years). AN measurements were provided for three separate workshops, although it is not evident from the report whether the measurements were taken as spot samples or from personal samplers. The average AN concentrations in air for the three workshops were 7, 3.3, and 3 ppm, respectively. A colorimetric method was used to measure cholinesterase activity. The authors reported that whole blood cholinesterase activity in AN-exposed workers from the three workshops was 47–65% that of controls ($p < 0.05$). Health examination results showed there was also an apparent increase in the incidence of symptoms related to lowered cholinesterase activity in exposed subjects compared with controls. These symptoms included neurological disorder, excessive sweating, trembling, and discomfort in the chest.

Lu et al. (2005a) employed the World Health Organization (WHO)-recommended Neurobehavioral Core Test Battery (NCTB), which includes seven components, to evaluate neurobehavioral effects of workers exposed to AN in a Chinese plant. The subjects included 81 workers (68 males and 13 females) in the AN-monomer department, 94 workers (67 males and 27 females) in the acrylic fibers department, and 174 workers (130 males and 44 females) in the administrative or embroidery departments with no AN exposure. The monomer and fiber workers represented 96% of the eligible exposed workers in the two departments. Periodic short-term area sampling between 1997 and 1999 indicated that the geometric means of AN exposure were 0.11 ppm (range 0.00–1.70 ppm for 390 samples) in the monomer department and 0.91 ppm (range 0.00–8.34 ppm for 570 samples) in the fiber department; no personal sampling data were collected. As categorized by duration of employment, 23% of monomer workers were exposed for 1–10 years, 42% for 11–20 years, and 35% for more than 20 years; 47% of fiber workers were exposed for 1–10 years, 23% for 11–20 years, and 30% for more than 20 years. Mean durations of employment were not reported for the exposed groups. Monomer workers were also potentially exposed to cyanide and fiber workers to methyl methacrylate and heat, but levels of exposure to these possible confounders were not monitored. The exposed workers were frequency matched on age (within 5 years) and years of education (within 1 year) with the unexposed workers. Exposed workers (mean age 40.8 years; range 25–53) were slightly older than unexposed workers (mean age 36.4 years; range 21–53); the percentage of females and years of education were similar across groups. All subjects were interviewed for demographic data, general health status, and lifestyle. All tests were conducted by three specially trained physicians using a Chinese operational guide of the NCTB.

Results of the analysis revealed that exposure to AN had adverse effects for some components of the NCTB, indicating neuropsychological impairment; scores from the following

tests were statistically significantly different ($p < 0.05$) from controls in analyses of covariance that took into account age, sex, and education level. In the Profile of Mood States test, all scores for negative moods (anger, confusion, depression, fatigue, and tension) were significantly higher in the exposed groups than in the unexposed group and higher for monomer workers (41–68% higher than controls) than for fiber workers (20–44% higher than controls). Simple reaction time, a test of attention and visual response speed, was longer in the two exposed groups than in the unexposed group: 16% longer for monomer workers and 10% longer for fiber workers. Exposed workers performed more poorly (by 21% for monomer workers and 24% for fiber workers) in the backward sequence of the digit span test, a measure of auditory memory, but fiber workers had better performance in the forward sequence than monomer and unexposed workers. Both groups of exposed workers also had a 4% poorer performance in the Benton Visual Retention test, a measure of visual perception and memory; scores in the Pursuit Aiming II test, which assesses fine motor skills and perceptual speed, were 14% lower for monomer workers and 10% lower for fiber workers compared with controls. Exposure to AN had no significant effect on scores for manual dexterity in the Santa Ana test or for perceptual speed in the digital symbol test. In examining effects by duration of AN exposure, there was no statistical relationship for mood scores and duration of exposure. However, there was an insignificant decrease in the simple reaction test with duration of exposure for both monomer and fiber workers. Inverse relationships were found between performance and duration in both the digital symbol test and total scores of the digit span test in the two exposed groups. Decreased performance with duration of exposure was also found in the Pursuit Aiming II test for exposed monomer workers.

Several limitations of the study were noted by Lu et al. (2005a) or by EPA. The primary limitation of the study was the extent of exposure data, with exposure measures based on area sampling during 1997 to 1999; no contemporaneous personal monitoring data were available. Although the monomer department exposure levels were somewhat lower (mean 0.11 ppm) compared with the fiber department (mean 0.91 ppm), it is unclear if these differences were large enough (or estimated with enough precision) to allow for valid estimation of differing levels of effects between these groups. Coexposure to cyanide and methyl methacrylate occurred among different sets of the exposed workers, but not among the controls; however, information provided by Dr. Lu to EPA indicates these compounds were present in only trace amounts.² The NCTB was developed for populations in Europe and North America, and it is not known to what extent cultural differences may have affected results of the Profile of Mood States test, shown to be sensitive to cultural differences. This limitation may affect the sensitivity of the scale in assessing effects, but would not be expected to produce spurious associations since the controls were selected from a similar cultural group. EPA determined the results from this study were

² Email from Dr. Rongzhu Lu, Department of Preventive Medicine, College of Medicine, Jiangsu University, China, to Dr. Diana Wong, U.S. EPA, dated 5/15/2008.

consistent with the designation of the average exposure levels for the monomer workers, 0.11 ppm, and the fiber workers, 0.91 ppm, as LOAELs for small deficits in neurobehavioral tests of mood, attention and response speed, auditory memory, and motor steadiness, but not in tests of manual dexterity or perceptual motor speed.

In an article published in a Chinese journal (abstract in English), Ding et al. (2003) evaluated mitochondrial DNA damage in a group of 47 Chinese workers randomly selected from 1,020 active workers in the chemistry department of a petrochemical company (aged 40.0 ± 7.1 years). These workers were exposed to AN at a geometric mean concentration of 0.25 mg/m^3 (0.11 ppm) (median 0.36 mg/m^3 [0.17 ppm], range 0– 3.70 mg/m^3) for an average of 17.3 ± 3.8 years. An unexposed control group of 47 persons was selected from the teachers and staff of a college (aged 40.4 ± 8.1 years), with an average length of employment of 18.7 ± 4.1 years. DNA was extracted from peripheral blood samples from each subject and evaluated using the polymerase chain reaction (PCR) with specific primer pairs to detect deletions in mitochondrial DNA. Deletions in mitochondrial DNA were detected in 8/47 exposed workers compared with 0/47 nonexposed workers. A deletion rate of 0.00225 ± 0.00171 was calculated based on optical densities from gel scans of the deletion fragment compared with a fragment synthesized by using primer pairs to a conserved region of mitochondrial DNA; this deletion rate was statistically significantly different ($p < 0.05$) from the rate of 0 for the controls. For studying the effects of aging, a group of 12 healthy nonexposed retirees from governmental organizations (average age 79.15 ± 3.80 years) and a group of 12 healthy nonexposed high school students (average age 14.23 ± 1.52 years) were also examined. Deletion fragments were detected in 3/12 elderly subjects and 0/12 young subjects. The deletion rate for the elderly was calculated as 0.00193 ± 0.00086 , which was not significantly different from that calculated for AN-exposed workers. The study authors suggested that exposure to AN might have an effect on the molecular process(es) of aging. The abstract did not report the protocol for selection of controls and the gender composition in each group. The results identified the mean workplace AN air concentration, 0.11 ppm, as a LOAEL for increased prevalence of workers with deletions in peripheral blood mitochondrial DNA compared with controls.

Borba et al. (1996) measured cyanoethylvaline-hemoglobin (CEVal-Hb) adducts as a marker of AN exposure in three groups of occupationally exposed workers in an acrylic fiber factory in Portugal. In addition, the induction of CYP450 species was determined by the excretion of D-glucaric acid (an end product of the glucuronic pathway) in the urine, and formation of malondialdehyde (MDA) (a final product of lipid peroxidation) in RBCs was determined as a surrogate measure of oxidative stress. The groups comprised 20 administrative workers who were not exposed to AN in the same plant, 14 individuals employed in the continuous polymerization department, and 10 equipment maintenance workers. Considered a measure of the biologically effective dose, CEVal values in non-smokers were 8.5–70.5 pmol/g Hb in controls, 635.2–4,603.5 pmol/g Hb for continuous polymerization workers, and 93.9–

4,746 pmol/g Hb for maintenance workers. The study authors found no indication of AN-induced CYP450 induction, but a significant increase was seen for the oxidative stress marker in the group of maintenance workers. Smoking had no influence on these metrics. Borba et al. (1996) also investigated markers for genotoxicity (viz., gene reversion activity of urine extracts, chromosomal aberrations (CAs), and sister chromatid exchanges [SCEs]). These are discussed in the genotoxicity section (Section 4.5.2).

4.1.3. Dermal Exposure

4.1.3.1. Acute Exposure

A case report by Vogel and Kirkendall (1984) described a 24-year-old ship's officer who was accidentally sprayed with AN when a valve burst while he was unloading the chemical. Because the man's face, eyes, and body were covered with AN, it is likely that he was exposed via the oral and inhalation routes as well as to the skin and eyes. Immediate responses to exposure included dizziness, flushing, and nausea with vomiting. During hospitalization, acute toxicological impacts included a rapid pulse rate (100 beats/minute) and a respiratory rate of 16/minute. The subject displayed erythema and mild conjunctivitis, tachycardia, and striking hematological changes (WBC count of 26,400 cells/cm³, of which 76% was polymorphonuclear leukocytes, 10% lymphocytes, and 7% each basophiles and monocytes). Methemoglobin (MetHb) concentration was 10.3% on admission. The patient received nitrite/thiosulfate treatment, underwent dialysis, and, overall, showed steady recovery over his 5-day hospitalization.

There are a number of additional case studies of the toxic effects of AN resulting from acute exposure after accidental spillage in the workplace. These support the designation of AN as a skin irritant. In several cases, erythemas were shown to result from direct dermal contact with solutions of AN (Davis et al., 1973 [as cited in IPCS, 1983]; Zeller et al., 1969; Wilson et al., 1948; Dudley and Neal, 1942). The lesions were followed by delayed blistering and burns, typically 1 or 2 days following exposure (Davis et al., 1973 [as cited in IPCS, 1983]; Zeller et al., 1969; Babanov et al., 1959; Dudley and Neal, 1942). In some cases, clinically diagnosed dermatitis was associated with irritation (Bakker et al., 1991; Davis et al., 1973 [as cited in IPCS, 1983]). For example, Davis et al. (1973) (as cited in IPCS, 1983) reported a wide range of dermal effects from AN contact, including skin dermatitis, local irritation, erythema, swelling, blistering, and burns. However, dermatitis has not always resulted from AN-induced skin irritation (Zeller et al., 1969; Babanov et al., 1959; Wilson et al., 1948; Dudley and Neal, 1942).

When Dudley and Neal (1942) investigated the effects of AN exposures to laboratory animals, an accident in their laboratory resulted in a case of occupational exposure. Symptoms similar to those described later by Wilson et al. (1948) were reported for a male laboratory worker who spilled small quantities of liquid AN on his hands. Diffuse erythema on hands and wrists was evident after 24 hours, with subsequent blistering on the fingertips on day 3. Both

hands became slightly swollen, erythematous, itching, and painful. By day 10 after exposure, the skin of the fingers had cracked and peeled and the skin was dry and scaly with large areas of tender new skin.

Zeller et al. (1969) identified 137 cases of accidental exposure to nitriles when reviewing industrial hygiene data from Germany on accidental exposures to chemical agents in an occupational setting over a 15-year period. Of the 137 cases, 66 cases related to AN exposure, of which 50 cases resulted from direct skin contact and the remaining 16 to inhalation or exposure to AN vapors. All 66 cases were judged to be minor and did not require hospitalization. However, up to 3 weeks of recuperation was required as a result of direct skin contact with the compound. Typically, the first symptoms appeared between 5 minutes to 24 hours after initial dermal contact with AN. For the most part, the workers complained of burning sensations of the skin, followed by reddening of the exposed area and the formation of blisters at any point during the first 24 hours. In one case, AN was thought to have diffused through the leather of a shoe on which it was spilled, resulting in a delay before blisters appeared and delayed healing. There was no indication of resorptive damages from the dermal exposure in any of the 50 cases.

In another study (Babanov et al., 1959), blistering was also observed on workers' legs within 6–8 hours of contact with spilled AN, while a diluted (5%), heated (50°C) solution of AN caused serious skin burns.

A fatal case of dermal contact exposure with AN was described by Lorz (1950), when application of a delousing agent containing AN resulted in the death of a 10-year-old girl. Following dermal application of the delousing agent to the scalp, the girl's head was wrapped in a cloth and she went to bed. Symptoms of nausea, headaches, and dizziness were followed by repeated vomiting and coma. Cramps and increasing cyanosis were followed by death 4 hours after application. A similar case of fatal poisoning was reported by Grunske (1949) in which a 3-year-old girl died after reentry into a home that had been treated with an AN-containing fumigant. In both of these cases, exposure was likely to have occurred via inhalation as well as the dermal route.

4.1.3.2. Chronic Exposure

In addition to the known skin irritation effects of acute exposures to high-concentration liquids and vapors, various chronic dermal exposure effects were reported. There was limited evidence that skin sensitization resulted in dermal allergies to AN. Therefore, an intrinsic capacity of AN to act as a skin sensitizing agent was suggested.

The abstract of a Japanese language report by Hashimoto and Kobayasi (1961) discussed the case of a chemical laboratory worker who developed skin lesions through contact with AN. Although much of the detail remained uncertain, including period and duration of exposure and the influence of other chemicals on the subject's condition, the lesions apparently spread across the subject's body from the contact site, consistent with a direct contact allergic reaction.

Bakker et al. (1991) reported that 10 employees at an acrylic fiber factory complained of skin irritation. While five of the subjects developed irritant dermatitis, the other five subjects gave positive patch tests with AN, suggesting an allergic reaction. This finding also supported the designation of AN as a sensitizing agent.

Prolonged dermal contact for 6 weeks to methyl methacrylate, a copolymer of AN, resulted in an allergic skin sensitization reaction in the case of a 27-year-old man who had his finger splinted for a torn ligament (Balda, 1975). The unpolymerized AN of the Plexidur copolymer resulted in strong skin irritation response, with erythema and formation of scaly skin and scattered blisters. Patch testing confirmed that the allergic sensitization was to AN and not to a copolymer or the polymerization catalyst, benzoyl peroxide. A contributing factor may have been the man's prior hyperhydrosis episode of the hands that led to blister formation.

Very few studies hinted at a possible desensitization or adaptive effect for prolonged chronic exposures. Based on investigations carried out over a period from 1965 to 1971, Zotova (1975) reported complaints of poor health, which included skin irritation, in workers at an AN manufacturing facility. Gincheva et al. (1977) (as cited in IPCS, 1983) did not find changes in the health status of a group of 23 men exposed to 4.2–7.2 mg/m³ (2–3.3 ppm) of AN for exposure durations of 3–5 years. Details of the study were not provided.

4.1.4. Ocular Exposure

Secondary routes of exposure to AN include ocular exposure to either AN liquid or vapor. For example, in the Wilson et al. (1948) study, subjects exposed to AN at concentrations varying from 16 to 100 ppm (35–217 mg/m³) for 20–45 minutes demonstrated irritation of all mucous membranes, including the eyes, nose, and throat. In other studies, blepharoconjunctivitis was reported in workers exposed to the compound (Delivanova et al., 1978). Of 302 workers examined over 2 years (138 in 1976 and 164 in 1978), 42 had severe cases of blepharoconjunctivitis related to AN exposure.

In the case report by Vogel and Kirkendall (1984), the mucous membranes of the eyes of the 24-year-old man had been sprayed with AN. Among other symptoms, mild conjunctivitis but no apparent corneal clouding was observed. Eye irritations and nasal discharge also were reported in workers exposed to relatively high levels of AN at an acrylic fiber plant (Sakurai, 2000; Sakurai et al., 1978).

4.2. SUBCHRONIC AND CHRONIC STUDIES AND CANCER BIOASSAYS IN ANIMALS—ORAL AND INHALATION

4.2.1. Oral Exposure

4.2.1.1. Subchronic Studies

Single studies in dogs and mice are the only well-documented standard bioassays for the subchronic toxicity of AN in experimental animals by the oral route (NTP, 2001; Quast et al.,

1975). A full report of a study in rats is not available (Humiston et al., 1975 [as cited in Quast, 2002]). Additional subchronic studies have evaluated only functional effects of AN exposure in specific organs, such as the adrenals (Szabo et al., 1984) and the brain (Gagnaire et al., 1998). These endpoint-specific studies are discussed in Section 4.4.

Humiston et al. (1975) (as cited in Quast, 2002) exposed groups of Sprague-Dawley rats (10/sex/group) to AN in drinking water at concentrations of 0, 35, 85, 210, or 500 ppm for 90 days. Reported intakes of AN for males/females, respectively, were 0/0, 4/5, 8/10, 17/22, or 38/42 mg/kg-day. Water consumption was decreased in a dose-related manner in both sexes, with females more affected than males. However, the lowest dose affecting males was not identified in the summary; in females exposed at 35 ppm, 9 of 26 measured intervals showed statistically significant decreases compared with controls. In the 210 and 500 ppm groups, there were significant decreases in water consumption, food consumption, and BW, but no information was provided as to the magnitude of these changes. Exposure to AN had no effect on hematology, clinical chemistry, or histopathologic findings; urinalysis results were also unaffected by treatment, except for an increase in specific gravity that was correlated with increasing dose. Apparently, a number of treatment-related effects was observed in the 85 ppm group, but neither their identity nor the magnitude of change were specified. The summary provided by Quast (2002) did not provide sufficient detail to accurately identify NOAEL or LOAEL values from the study by Humiston et al. (1975) (as cited in Quast, 2002).

Szabo et al. (1984) evaluated the effect of subchronic oral exposure to AN on the structure and function of the adrenal gland and intestinal tract in rats. Female Sprague-Dawley rats (three to four per group) were exposed for 7, 21, or 60 days to AN in drinking water at concentrations of 0, 1, 20, 100, and 500 ppm, representing approximate daily doses of 0, 0.2, 4, 20, and 100 mg/kg. Other groups of rats received equivalent doses of AN by gavage for the same duration. Water and food intakes were monitored continuously and BWs were recorded every fourth day. At the end of the studies, blood samples were taken to measure plasma corticosterone and aldosterone, and a complete necropsy was carried out on all survivors. The adrenals, thyroid, liver, and one kidney were weighed. Samples of liver, kidney, lung, brain, and the entire adrenal and thyroid glands were measured for levels of nonprotein sulfhydryls in tissue homogenates. Adrenals, “other” endocrine organs, stomach, duodenum, liver, kidney, lung, and heart were evaluated for histopathology.

BWs were reduced by about 25% in rats exposed to 500 ppm in drinking water up to 60 days, but no reduction in BW was observed in rats receiving the equivalent dose of 100 mg/kg-day by gavage (Szabo et al., 1984). Water intake was reduced in rats exposed to 500 ppm in drinking water but increased at the equivalent gavage dose. Adrenal weights were slightly lower than in controls in the 7- and 21-day exposure groups, but significant increases in relative adrenal weights were observed after 60 days of exposure to 500 ppm in drinking water or

to ≥ 0.2 mg/kg-day by gavage. The kidneys were enlarged in rats exposed to 100 or 500 ppm AN in drinking water for 60 days or 500 ppm for 21 days.

Histopathological lesions included adrenocortical hyperplasia in all rats exposed by gavage at ≥ 0.2 mg/kg-day for 60 days. Enlarged kidneys and hyperplasia of the gastric mucosa at the junction of the glandular stomach and forestomach was observed in rats exposed to 100 or 500 ppm in drinking water for 21 or 60 days. No incidence data were provided for these lesions. Plasma corticosterone levels were significantly decreased by both 500 ppm AN in drinking water or by gavage in the 21-day study. Even the lowest concentration of 1 ppm AN by gavage resulted in a 50% reduction in corticosterone. Plasma aldosterone concentration was reduced, starting from 20 ppm AN. In the 60-day study, significant suppression of plasma corticosterone was observed after exposure to 100 or 500 ppm AN in drinking water and in all exposure levels given by gavage.

Levels of nonprotein sulfhydryls (glutathione) were increased by 20–50% in the 7- and 21-day studies but were significantly reduced by 20–30% in the adrenals after 60 days of gavage exposure to 4–60 mg/kg-day. Increases in nonprotein sulfhydryl concentrations in duodenal mucosa were observed in the 100 or 500 ppm AN exposure groups in the 7- and 21-day studies and in all doses after 60 days, but not in the control group of rats that received water by gavage. For the drinking water study, a NOAEL of 20 ppm (4 mg/kg-day) and a LOAEL of 100 ppm (20 mg/kg-day) were identified for enlarged kidneys and increases in regional hyperplasia of the gastric mucosa in female rats exposed for 60 days. For the gavage study, no NOAEL was identified, but a LOAEL of 0.2 mg/kg-day was identified for adrenocortical hyperplasia in rats exposed for 60 days.

In a separate experiment, Szabo et al. (1984) evaluated age-dependency in the sensitivity of Sprague-Dawley rats to the action of AN on the adrenals. Groups of weanling rats (40 g) and adult rats (190 g) were treated with 0, 0.002% (20 ppm), 0.01% (100 ppm), or 0.05% (500 ppm) of AN in drinking water for 21 days, or were given by the corresponding amount of AN by daily gavage for 21 days. The animals were sacrificed, and plasma and adrenals were collected as in the previous experiments. Young, immature rats treated with AN were found to have lower (up to 66%) levels of plasma corticosterone and aldosterone than adult rats. These differences were statistically significant with 0.01% AN given by gavage or 0.05% AN in drinking water.

Quast et al. (1975) exposed beagles (four/sex/group) to 0, 100, 200, and 300 ppm AN (purity >99%) in drinking water for 6 months; the reported calculated doses were approximately 0, 10, 16, and 17 mg/kg-day in males, respectively, and 0, 8, 17, and 18 mg/kg-day in females, respectively. Dogs were evaluated daily for clinical signs and weighed weekly; food and water consumption were calculated from the consumption by groups of dogs penned together. Routine hematology examinations were conducted on all samples taken from all dogs 20 days before exposure and on days 83, 130, and 179. Blood and urine samples for standard biochemical analyses were collected from all dogs 8 days before exposure, on days 84, 135, and 176, and at

termination (day 182 for males and day 183 for females). Serum samples were collected from all dogs on day 155 to determine total protein concentrations and evaluate the percentages of specific Igs by electrophoresis. Ophthalmic examinations were conducted pretest and at 85, 112, and 175 days. All dogs were subjected to gross necropsy at which time organ weights were recorded for brain, heart, liver, kidneys, and testes; a full set of tissues from all dogs was examined for histopathology. Samples of liver and kidney were analyzed for nonprotein free sulfhydryl content.

The following treatment-related effects were observed in dogs exposed to AN in drinking water for 6 months (Quast et al., 1975). No mortality was observed in the control or 100 ppm groups, but dogs exposed at 200 ppm (2/4 males and 3/4 females) and 300 ppm (3/4 males and 2/4 females) either died prematurely or were euthanized in a moribund condition. Signs of toxicity manifested in these dogs included reduced consumption of food and water, decreased BW, roughened hair coat, and a nonproductive cough. These dogs subsequently exhibited lethargy, weakness, emaciation, respiratory distress, and terminal depression. Decreased water consumption was observed throughout the study in males at 300 ppm and sporadically in females at ≥ 200 ppm. Food consumption was reduced in males at 300 ppm and females at ≥ 100 ppm. A supplemental study was conducted on eight female dogs treated with 100 ppm AN for 5 weeks, and no reduction in food or water consumption was observed.

Substantial reductions in BW were observed among dogs that died (200 and 300 ppm); group mean weights among surviving male and female dogs were not statistically significantly different from controls. RBC and Hb counts were reduced by 19–21% in males exposed to 200 ppm for 83 days but not at later time points; females exposed at 300 ppm exhibited 22–26% decreases in RBC counts after 83 and 130 days of exposure. No treatment-related changes in hematology parameters were evident after 179 days of exposure. Exposure to AN had no effect on urinalysis, clinical chemistry, ophthalmoscopic examinations, nonprotein free sulfhydryl content in liver or kidney, or the electrophoretic behavior of serum proteins. Statistically significant alterations in organ weight, such as increases in relative kidney weights in males treated at 100 and 200 ppm, were not biologically significant (less than 10% change). Histopathologic changes were observed in the esophagus (focal erosions and/or ulcerations in the middle one-third, dilations, and thinning of the walls) and tongue (increased thickness of epithelium lining of the dorsal surface) of male and female dogs treated at 200 and 300 ppm. Lesions of the lung were attributed to parasitic nematode infection that was present in all dogs. In this study, a NOAEL of 100 ppm (8–10 mg/kg-day) and a LOAEL of 200 ppm (16–17 mg/kg-day) were identified for early mortality and histopathological lesions of the esophagus and tongue in male and female dogs.

In a comparative study of the neurotoxicity of nitriles, Gagnaire et al. (1998) administered AN (>99% purity) at doses of 0, 12.5, 25, or 50 mg/kg-day to male Sprague-Dawley rats (12/group plus 10 controls) by gavage in olive oil, 5 days/week for 12 weeks. BWs

were measured weekly. After 3, 6, 9, and 12 weeks of treatment and after an 8-week recovery period (week 20), rats were evaluated for electrophysiological parameters, with testing occurring 16 hours after dosing (or 48 hours for testing after weekends). Electrical stimulation of the tail nerve was used to assess four neurological properties, the motor conduction velocity (MCV), sensory conduction velocity (SCV), and amplitudes of the sensory and motor action potentials (ASAPs and AMAPs).

One high-dose rat died during the first week of treatment, but no other mortality was observed. High-dose rats showed reduced BW gain that became significant after the fourth week of treatment, leading to a terminal BW 17% lower than in controls. BWs of mid-dose rats became significantly lower than controls after the fifth week, resulting in a terminal weight approximately 7% lower than controls (as estimated by visual inspection of the data graph); this weight change was not considered biologically significant. Five of 11 high-dose rats exhibited significant weakness of the hind limbs that somewhat improved during the recovery period. Rats exposed to AN showed acute signs of behavioral abnormalities, including salivation, locomotor hyperactivity, and fur wetting within 1 hour of dosing. Gagnaire et al. (1998) attributed these findings to cholinomimetic effects possibly caused by AN-induced changes in muscarinic acetylcholine receptors or by alterations in hepatic metabolism. Exposure to AN had no effect on MCV or AMAP except for a 40% increase in AMAP observed in high-dose rats at the 9-week time point. The most consistent effect of AN was a significant reduction in SCV compared with that in controls (Table 4-21), ranging from 7.5 to 15% between weeks 6 and 12 ($p < 0.05$ to $p < 0.001$); this effect abated following the cessation of exposure, but a 10.6% reduction compared with controls ($p < 0.001$) was observed after 8 weeks of recovery (week 20). A 25% reduction in ASAP was also observed in high-dose rats at week 20, but no effect on this parameter was observed during the treatment period. A NOAEL of 25 mg/kg-day and a LOAEL of 50 mg/kg-day were identified in the study by Gagnaire et al. (1998), based on reduced BW and neurotoxic effects (weakness of the hind limbs and reduced SCV) in male rats exposed to AN by gavage.

Table 4-21. Effect on SCV in male Sprague-Dawley rats exposed to AN via gavage for 12 weeks

Group (mg/kg)	SCV (m/s) ^a					
	Exposure (weeks)					Recovery
	0	3	6	9	12	20
0	34.2 ± 0.7	43.2 ± 0.6	45.2 ± 1.3	48.4 ± 1.1	46.6 ± 0.8	53.8 ± 1.5
12.5	34.9 ± 0.5	42.6 ± 0.9	45.7 ± 0.7	47.8 ± 0.7	46.7 ± 0.9	51.8 ± 0.9
25	34.6 ± 0.8	40.0 ± 1.0	45.5 ± 0.6	46.0 ± 0.9	44.4 ± 0.9	51.3 ± 0.7
50	35.8 ± 1.1	42.2 ± 1.1	41.8 ± 1.3 ^b	44.0 ± 1.2 ^c	39.8 ± 0.6 ^c	48.1 ± 0.7 ^c

^aValues are means ± SDs, n = 12 for treated rats and n = 10 for controls.

^bStatistically significant compared with controls ($p < 0.05$), as calculated by the authors.

^cStatistically significant compared with controls ($p < 0.001$), as calculated by the authors.

Source: Gagnaire et al. (1998).

In a comparative study on the effects of nine compounds related to acrylamide (Barnes, 1970), AN was administered by gavage over a period of 7 weeks to six young adult albino rats of the Porton strain as 15 daily doses of 30 mg/kg, followed by 7 doses of 50 mg/kg, and finally 13 doses of 75 mg/kg. The time-adjusted average dose administered over the course of the study was 36 mg/kg-day. Rats were weighed weekly, and their gait and stance when walking on a sloping nonslippery surface including an ascent up a sloping wooden board were evaluated. Treated rats were also held by the tail in front of a sloping bar, and tested for the ability to grasp the bar with the front paws, and then grasp it with the hind feet, a reflex typically lost early in rats with peripheral neuropathies. No data were provided for this experiment, but the study author reported that there was no evidence of adverse effects.

In a recent study that explored the neurobehavioral effects of AN in rats (Rongzhu et al., 2007), male Sprague-Dawley rats (10/group) were exposed to 0, 50, or 200 ppm AN in drinking water. The study authors estimated AN doses to be 0, 4.03, and 13.46 mg/kg-day. Three neurobehavioral tests, including the open field test, rotarod test, and spatial water maze, were conducted to evaluate locomotor activities, motor coordination, and learning and memory, respectively, prior to initiation of exposure and at 4, 8, and 12 weeks of exposure. Thiocyanate levels in urine were measured in a minimum of five rats from each group at week 12 and were reported to be 2.79, 6.10, and 25.03 mg/g creatinine, respectively.

Beginning from the sixth week of AN administration, three rats in the 50 ppm AN group and five rats in the 200 ppm AN group showed behavioral changes. The coat appearance in all treated rats was soiled, and the main changes were head twitching, trembling, circling, backwards pedaling, and decreased home-cage activities. The two treatment groups also showed less BW gain than the control group. In the open field test, there were no significant differences in start-up latency among the exposed and unexposed groups. The 200 ppm group consistently had higher locomotor activity than the control group, from pretreatment to 12 weeks of exposure.

There were also no changes in the number of rearing and grooming episodes. Therefore, there were no uniform changes in exploration and locomotion. In the rotarod test, the maximal and the total and falling latency in the 50 and 200 ppm groups were significantly decreased in a dose- and time-dependent manner. In the spatial water maze test, rats in the 200 ppm group had significantly increased training time and training duration, compared with the control and 50 ppm groups. However, these two parameters in the exposed groups returned to close to control level at the end of the experiment. Rongzhu et al. (2007) suggested that this reversible phenomena may be caused by tolerance. The study authors concluded that oral exposure to AN induced neurobehavioral alterations. The neurochemical mechanisms need to be further investigated. The LOAEL for neurobehavioral alterations is 4 mg/kg-day.

In a subchronic study, the National Toxicology Program (NTP) (2001) treated B6C3F₁ mice (10/sex/group) with 0, 5, 10, 20, 40, or 60 mg/kg-day AN (purity >99%) by gavage in water, 5 days/week for 14 weeks; adjusted for intermittent exposure (5 days/7 days), the intakes were 0, 3.6, 7.1, 14.3, 28.6, and 42.9 mg/kg-day. Clinical findings were recorded on day 8 and once weekly thereafter. BWs were recorded before treatment, weekly, and at study termination. Necropsies were performed on all animals, at which time organ weights were recorded for heart, right kidney, liver, lung, spleen, right testis, and thymus. Hematology analysis was conducted on all mice surviving at the end of the study. Complete histopathologic analyses were conducted on all control mice and those treated with 40 and 60 mg/kg-day; males receiving 20 mg/kg-day were also examined. At the end of the study, 10 males/group in the groups receiving 0, 5, 10, and 20 mg/kg-day were selected for reproductive evaluations; the left cauda, left epididymis, and left testis were weighed and sperm samples were evaluated for sperm counts and motility. Also, 10 females/group in the groups receiving 0, 10, 20, and 40 mg/kg-day were evaluated for vaginal cytology (estrous cycle and stage length) in the last 12 days of the study before termination.

The following effects were observed in mice exposed by gavage to AN. Aside from one control male at week 9, there were no deaths at exposures up to and including 20 mg/kg-day. Mortality at the two highest doses comprised 9/10 males and 3/10 females at 40 mg/kg-day and all mice treated at 60 mg/kg-day. All deaths occurred on the first day, except for one male in the 40 mg/kg-day group. Slight (2–8%) decreases in BW in treated mice compared with controls were not biologically significant. Survivors (seven females and one male) receiving 40 mg/kg-day exhibited lethargy and abnormal breathing immediately after dosing “for several days” but then appeared to develop tolerance to AN.

Sporadic, statistically significant alterations in hematological parameters included reductions in platelet counts by 20% in males at 20 mg/kg-day, in leukocyte and lymphocyte counts (~30% in males at 20 mg/kg-day and ~37% in females at 40 mg/kg-day), and in Hb and RBC counts by 10% in females at 40 mg/kg-day; other statistically significant changes of doubtful biological significance in females included reductions in RBC counts by 4% in groups

treated with 5–20 mg/kg-day and in hematocrit by 6% in the 40 mg/kg-day group. Some of these hematological effects may have been secondary to stomach ulceration observed in some mice.

Absolute and relative heart weights were increased by 20 and 30%, respectively, in males at 20 mg/kg-day. The absolute weights of the left cauda epididymides were increased by 15% in groups exposed at 10 and 20 mg/kg-day. However, no histopathological findings were reported in these organs. The only treatment-related lesions were in the forestomach of females at 40 mg/kg-day: 4/7 with chronic active inflammation (associated with hyperplasia) and 5/7 with focal epithelial hyperplasia. Two females in this group exhibited focal ulceration of the forestomach associated with the hyperplasia. No other treatment-related histopathology was observed. Exposure to AN produced no effects on sperm motility in males at ≤ 20 mg/kg-day. There were no differences in vaginal cytology parameters in females at ≤ 40 mg/kg-day. A NOAEL of 20 mg/kg-day and a LOAEL of 40 mg/kg-day were identified in the NTP (2001) bioassay, based on hyperplastic lesions in the forestomach of female mice.

4.2.1.2. Chronic Studies

4.2.1.2.1. Quast (2002) and Quast et al. (1980a). Quast (2002) and Quast et al. (1980a) conducted a 2-year toxicity and carcinogenicity study in Sprague-Dawley rats (48/sex/group) exposed to AN (purity >99%) in drinking water at concentrations of 35, 100, or 300 ppm; groups of 80/sex receiving untreated drinking water served as controls. Additional interim-sacrifice groups of 10/sex/dose were exposed for 1 year and analyzed under the same protocol as the main study. The reported intakes of AN were 0, 3.4, 8.5, and 21.3 mg/kg-day for male rats and 0, 4.4, 10.8, and 25.0 mg/kg-day for female rats. Rats were observed daily for clinical signs of toxicity and were weighed and examined monthly for palpable masses. Food and water consumptions were determined for 30 rats/sex/group weekly for the first 3 months of the study and for 1 week during each of the following months: 4, 5, 6, 7, 9, 11, 12, 15, 18, 21, and 24. Hematology examinations and urinalysis were conducted on 10 rats/sex in the control and the highest dose group on days 45, 87, 180, and 355. Additional hematology examinations were conducted on 10 rats/sex from all groups on days 544 (males) and 545 (females) and at study termination on day 724 (males and females); an additional urinalysis was conducted on 10 rats/sex from all groups on day 181 to evaluate a dose response for increased urine specific gravity observed previously at the highest dose group. Clinical chemistry examinations were conducted on 10 rats/sex from the control and highest dose groups on days 46 and 356, on 10 rats/sex from all groups on days 88, 180, and 550, and on all survivors on day 746. All rats, whether dying prematurely, sacrificed in a moribund condition, or sacrificed on schedule, were subjected to a gross necropsy, which included an ophthalmologic examination. Necropsies of rats on scheduled sacrifice included organ weight determinations for brain, heart, liver, kidneys, and testes. Complete histopathologic examinations were conducted for rats in the control and 300 ppm groups in the 1-year interim and 2-year studies, and, based on those results, a set of 22 tissues

was examined microscopically in nearly all rats exposed at 35 and 100 ppm; tumors and other lesions identified at gross necropsy were also examined microscopically.

Noncancer results

Exposure to AN in drinking water reduced survival, BW, and consumption of food and water by Sprague-Dawley rats in a dose-related manner (Quast, 2002; Quast et al., 1980a). In male rats exposed at 300 ppm, survival was significantly reduced compared with controls ($p < 0.05$), beginning at 16 months, and none survived after 22 months; survival in other treated male groups was not significantly different from controls. In female rats, exposure at 300, 100, and 35 ppm resulted in significantly reduced survival beginning at 10, 12, and 18 months, respectively, with no high-dose females surviving past month 22; at 24 months, survival was 25, 8.3, 2.1, and 0% for the control and low- to high-dose groups, respectively. The magnitude, time of onset, and duration of significantly lower BWs in exposed rats compared with controls were dose related. Only the 17–22 and 17–18% decreases observed in males and females, respectively, at 300 ppm were biologically significant. Reductions only reached ~8–9% in the 100 ppm groups and ~6–9% in the 35 ppm groups.

The study authors mentioned that BW comparisons near the end of the study tended to be confounded by geriatric changes, few surviving rats, and excessive tumor growth in exposed rats. Food intake (g/rat/day) was significantly lower in 100 and 300 ppm groups compared with controls beginning during the first week of the study and only in females at 35 ppm. Significantly lower feed intake values for males and females, respectively, compared with controls were measured on 12/24 and 16/24 occasions at 300 ppm, 8/24 and 11/24 times at 100 ppm, and 9/24 times in females only at 35 ppm. The largest difference in high-dose rats was a 25% reduction of feed intake in males after 6 months and a 27% reduction in females after 9 months. Feed intakes during the last weeks of the study were not significantly different among the different groups.

Water intake was reduced during the first 10 days by 36% in males and 38% in females exposed to 300 ppm AN and remained significantly lower for all 26 measurements throughout the study. Significantly lower water consumption was also measured 24/26 times for males and 23/26 times for females at 100 ppm and 13/26 times for males and 21/26 times for females at 35 ppm. Male and female rats in the 100 and 300 ppm dose groups showed a lack of normal grooming later in the study. In addition, signs of nervous system dysfunction (erratic movements, trembling, circling, and limb weakness) were observed in the higher dose groups rats in the absence of end-stage kidney disease or pituitary tumors. These signs of nervous system dysfunction when they occurred in controls were correlated with end-stage kidney disease or pituitary tumors and occurred more frequently in males than in females. The study authors were unable to detect microscopic tumors or lesions that would correlate with the observed clinical signs.

AN had no primary effect on hematological parameters, except for lowered RBC counts associated with blood loss from ulcerated tumors or nutritional anemia during the later stages of the study. The only effect of AN on urinalysis was a slight, but statistically significant increase (1.3–3.0%) in urine specific gravity noted for 300 ppm group males at five time points (45–355 days) and females at all six time points (45–545 days). Significant increase in urine specific gravity was also observed in 100 ppm male and female rats on day 181 and day 544 (females only). The lack of significant effect in treated males on days 544 and 724 was attributed by Quast (2002) to higher incidence of advanced chronic renal disease in controls that resulted in an inability to concentrate urine normally. All 300 ppm male and female rats were dead by day 724. No toxicological significant alterations were observed in clinical chemistry parameters or in absolute or relative organ weights in the few treated rats surviving at termination.

Lesions of the forestomach were the most prominent nonneoplastic histopathologic effects observed in Sprague-Dawley rats (Quast, 2002; Quast et al., 1980a). In the 1-year interim sacrifice, the only treatment-related noncancer lesion was squamous cell hyperplasia of the forestomach, which was observed in 10/10 males and 9/10 females in the 300 ppm group and 4/10 males and 7/10 females in the 100 ppm group. At 2 years, the incidence of forestomach lesions (hyperplasia and/or hyperkeratosis of the squamous epithelium) was dose related and significantly elevated in males at ≥ 100 ppm and in females at ≥ 35 ppm; incidences were 15/80, 15/47, 44/48, and 45/48 for males and 20/80, 23/48, 41/48, and 47/48 for females in the 0, 35, 100, and 300 ppm dose groups, respectively. Minimal progressive chronic nephropathy was also elevated in females treated at ≥ 100 ppm; incidences were 37/80, 24/48, 37/48, and 38/48 for control to high-dose groups. In males, minimal progressive nephropathy was elevated only in the 300 ppm group. However, significant increase in severe progressive nephropathy was observed in males in the 35 and 100 ppm groups; incidences were 11/80, 13/47, 13/48, and 10/48 for control to high-dose groups. Gliosis of the brain, with or without perivascular cuffing, was significantly increased in the low- and middle-dose females (8/48 and 13/48, respectively, vs. 2/80 in controls), although the incidence (5/48) did not reach statistical significance in the high-dose females. In males, it was present in one rat exposed at 100 ppm and three rats exposed at 200 ppm. A NOAEL was not identified in this drinking water study. A LOAEL of 4.4 mg/kg-day was identified for increases in forestomach lesions, decreased survival, and gliosis in brain in female rats exposed to 35 ppm AN in drinking water. Table 4-22 summarizes incidence of nonneoplastic lesions in Sprague-Dawley rats exposed to AN in drinking water for 2 years.

Table 4-22. Incidence of nonneoplastic lesions in Sprague-Dawley rats exposed to AN in drinking water for 2 years

AN in drinking water (ppm)	0	35	100	300
Male rats Dose (mg/kg-d)	0	3.4	8.5	21.3
Forestomach hyperplasia and/or hyperkeratosis	15/80 (19%)	15/47 (32%)	44/48 (92%) ^a	45/48 (94%) ^a
Kidneys—chronic progressive nephropathy				
Severity: minimal	10/80 (12%)	4/47 (9%)	7/48 (15%)	16/48 (33%) ^a
moderate	10/80 (12%)	5/47 (11%)	10/48 (21%)	16/48 (33%) ^a
severe	11/80 (14%)	13/47 (28%) ^a	13/48 (27%) ^a	10/48 (21%)
Female rats Dose (mg/kg-d)	0	4.4	10.8	25.0
Forestomach hyperplasia and/or hyperkeratosis	20/80 (25%)	23/48 (48%) ^a	41/48 (85%) ^a	47/48 (98%) ^a
Kidneys—chronic progressive nephropathy				
Severity: minimal	37/80 (46%)	24/48 (50%)	37/48 (77%) ^a	38/48 (79%) ^a
moderate	17/80 (21%)	13/48 (27%)	8/48 (17)	5/48 (10%)
severe	13/80 (16%)	9/48 (19%)	1/48 (2%)	4/48 (8%)
Brain—gliosis and perivascular cuffing	2/80 (3%)	8/48 (17%) ^a	13/48 (27%) ^a	5/48 (10%)

^aStatistically significant at $p < 0.05$.

Source: Quast (2002).

Cancer results

The drinking water study by Quast (2002) and Quast et al. (1980a) provided evidence of the carcinogenicity of AN in male and female Sprague-Dawley rats. Tumor findings in the 1-year sacrifices included forestomach papillomas in males: 1/10 at 100 ppm and 7/10 at 300 ppm. In females, the occurrence was 5/10 at 300 ppm. Also observed after 1 year of exposure were microscopic tumors of the CNS (brain) in 2/10 males and 4/10 females at 100 ppm and 1/10 males and 2/10 females at 300 ppm. Carcinoma of Zymbal gland and benign and malignant tumors of the mammary gland, also observed after 1 year of exposure, were considered by the study authors to be related to treatment.

Histopathologic examinations after 2 years of exposure revealed statistically significant and dose-dependent increase in incidences of several types of tumors (Table 4-23). Squamous cell papillomas or carcinomas of the forestomach were significantly elevated in males and females exposed at ≥ 100 ppm. Carcinomas of Zymbal gland were significantly increased in males at 300 ppm and in females at ≥ 35 ppm.

Table 4-23. Selected tumor incidences in response to AN administered to Sprague-Dawley rats in drinking water for up to 2 years

Tissue type	Incidence of tumor formation							
	Male rats				Female rats			
	Exposure concentration (ppm)							
	0	35	100	300	0	35	100	300
	Dose (mg/kg-d)							
	0	3.4	8.5	21.3	0	4.4	10.8	25.0
Astrocytomas only	1/80	8/47 ^a	19/48	23/48 ^a	1/80	17/48 ^a	22/48 ^a	24/48 ^a
Combined astrocytomas/glial cell proliferation	1/80	12/47 ^a	22/48 ^a	30/48 ^a	1/80	20/48 ^a	25/48 ^a	31/48 ^a
Tongue (papilloma or carcinoma)	1/80	2/47	4/48	5/48 ^a	0/80	1/48	2/48	12/48 ^a
Forestomach (papilloma or carcinoma)	0/80	2/47	23/48 ^a	39/48 ^a	1/80	1/48	12/48 ^a	30/48 ^a
Zymbal gland (carcinoma or adenoma)	3/80	4/47	3/48	16/48 ^a	1/80	5/48 ^a	9/48 ^a	18/48 ^a
Small intestine (mucous cystadenocarcinoma)	ND ^b	ND	ND	ND	0/80	1/48	4/48 ^a	4/48 ^a
Mammary gland (malignant)	ND	ND	ND	ND	1/80	1/48	3/48	10/48 ^a
Mammary gland (malignant or benign)	ND	ND	ND	ND	58/80	42/48 ^a	42/48 ^a	35/48

^aSignificantly different from controls ($p < 0.05$), as calculated by the study authors.

^bND = not determined.

Sources: Quast (2002); Quast et al. (1980a).

An increase in papillomas or carcinomas of the tongue in males at 300 ppm was also considered to be related to exposure. Increases were also observed in the incidences of malignant tumors of the mammary gland. The incidence of malignant or benign mammary tumors was significantly increased in the low- and high-dose females but decreased in the high-dose group. Quast (2002) noted that the lower mammary tumor incidence in the 300 ppm group was likely due to the marked early mortality in this group, despite the earlier occurrence of these tumors. The incidence of mammary tumors increased considerably in controls during the latter portion of the study, when few high-dose females survived.

Two diagnostic categories—focal or multifocal glial cell proliferation (suggestive of early tumors), and focal or multifocal glial cell tumor (astrocytomas)—were used by Quast (2002) for tumors present in the brain or spinal cord. These diagnoses were mutually exclusive and primarily based on the size of the lesion, with glial cell proliferation a smaller-sized lesion than astrocytoma. For enumerating the astrocytomas of the CNS, the incidence of glial proliferation was combined with the incidence of astrocytomas and was elevated in both males and females in all exposed groups (Table 4-23).

Distribution of astrocytomas in the various regions of nervous tissue in male and female Sprague-Dawley rats is shown in Table 4-24. No tumors were found from 0 to 12 months in any location in either male or female rats. As noted by Quast (2002), the sections of cerebral cortex contained most of the tumors because of the cortex's larger size. However, astrocytomas were also found in the cerebellum, brain stem, and spinal cord in male and female rats. Smaller-sized lesions (glial cell proliferation) had a distribution similar to astrocytomas.

Table 4-24. Histopathologic location of astrocytomas in the CNS of male Sprague-Dawley rats administered AN in drinking water for 2 years

Age at sacrifice	Location of astrocytomas ^a							
	Male rats				Female rats			
	Cerebral cortex	Cerebellum	Brain stem	Spinal cord	Cerebral cortex	Cerebellum	Brain stem	Spinal cord
0 ppm								
13–18 mos	0/23	0/23	0/23	0/22	0/11	0/11	0/10	0/11
19–24 mos	1/43	0/43	0/43	0/43	0/48	0/48	0/47	0/46
Terminal kill	0/7	0/7	0/7	0/7	1/20	0/20	0/20	0/20
35 ppm								
13–18 mos	2/14	0/14	1/14	0/14	1/13	0/13	1/13	0/13
19–24 mos	5/26	1/26	1/25	0/26	5/30	2/29	6/30	0/30
Terminal kill	1/5	0/5	1/5	0/5	3/4	0/4	2/4	0/4
100 ppm								
13–18 mos	3/16	1/16	2/16	1/16	5/19	2/20	1/19	1/19
19–24 mos	13/26	0/26	6/26	1/26	12/24	1/24	9/24	1/24
Terminal kill	2/5	0/5	0/5	0/5	0/1	0/1	1/1	0/1
300 ppm								
13–18 mos	10/26	0/26	2/26	1/26	11/23	2/23	4/23	3/22
19–24 mos	11/18	2/18	4/18	2/18	8/11	2/11	3/11	2/11
Terminal kill	0/0	0/0	0/0	0/0	0/0	0/0	0/0	0/0

^aNo astrocytomas were found in any locations from 0 to 12 mos.

Sources: Quast (2002); Quast et al. (1980a).

4.2.1.2.2. Johannsen and Levinskas (2002a) and Biodynamics (1980a): drinking water study.

Johannsen and Levinskas (2002a) and Biodynamics (1980a) carried out another 2-year drinking water study of AN in Sprague-Dawley rats. Groups of 100 rats/sex/group were exposed to 0, 1, or 100 ppm AN (100% purity) in drinking water; as calculated by the study authors, average daily doses were 0, 0.09, and 8.0 mg/kg-day, respectively, for males and 0, 0.15, and 10.7 mg/kg-day, respectively, for females. Ten rats/sex/group were selected from these groups for interim evaluations at 6, 12, and 18 months and at study term, leaving a maximum of 70/sex/group for lifetime exposure. Although the study was designed to last 24 months, because of high mortality among high-dose rats, surviving males were necropsied after 22 months and

females at 19 months to ensure that a sufficient number of animals would be available for clinical and pathological analyses. Rats were observed daily for morbidity and mortality and examined and palpated weekly to detect growths. All rats received ophthalmoscopic examinations in the period before treatment started and again at the time of necropsy.

BWs were recorded weekly for the first 14 weeks, biweekly between weeks 16 and 26, and monthly thereafter. Food intake and water consumption were recorded over 3-day periods for about 25 rats/sex/group at the same intervals at which BWs were recorded. Ten rats/sex from each group were selected for hematological, clinical chemical, and urinalysis examinations at 6, 12, and 18 months and at study termination (22 months for males and 19 months for females). All rats, whether dying prematurely, sacrificed in a moribund condition, or sacrificed on schedule, were subjected to a gross necropsy that included preservation of 40 tissues and organs, gross lesions, and tissue masses for possible histopathological examination. At interim and terminal necropsies, weights were recorded for selected organs (brain, pituitary, adrenal, gonads, heart, kidney, and liver) in 10 rats/sex/group. Interim gross necropsies were performed after 6, 12, and 18 months on 10 rats/sex/group and on the remaining rats at termination. For the scheduled interim and terminal sacrifices, complete histopathologic examinations were conducted on 10 rats/sex from the control and 100 ppm groups; on all other rats, a limited set of tissues was examined microscopically that included potential target organs (brain, ear canal, spinal cord, and stomach) and any tissue masses observed at necropsy.

Noncancer results

Treatment-related noncancer effects were observed in Sprague-Dawley rats exposed to AN for 19–22 months (Johannsen and Levinskas, 2002a; Biodynamics, 1980a). Statistically significant increases in early deaths were observed in high-dose male and female rats after 10 months and became particularly severe during the last 5 months of the study. Reduction in survival compared with controls was not significant in high-dose males at termination (22 months) but was significant in high-dose female rats terminated at 19 months. Food and water consumption in high-dose rats was lower than in controls throughout the study. Reduction in mean BW compared with controls was observed in high-dose males and females throughout the study (a significant 10% reduction in males and an insignificant 8% reduction in females at term). Slight reductions in hematocrit, RBC count, and Hb values compared with controls were observed during the study in high-dose groups but were generally not statistically significant; 3–6% reductions in Hb values in high-dose males were statistically significant at the 6- and 18-month interim time points. Exposure to AN had no effect on clinical chemistry or urinalysis parameters or ophthalmoscopic examinations; there were no treatment-related clinical signs except for some neurological symptoms at the time of terminal necropsy in a few rats with astrocytomas of the brain or spinal cord. The only significant treatment-related effects on organ weights were lower absolute pituitary weights in high-dose males at 12 months and in females at

termination. Significant increase in mean relative kidney and liver weights observed in high-dose males and females were considered by the study authors to reflect the reduced BWs rather than target organ effects, since this effect did not occur at other study intervals.

Statistically significant increases in nonneoplastic lesions related to exposure to AN included renal transitional cell hyperplasia in high-dose females at termination (Table 4-25). Significant increase in squamous metaplasia of the uterus was observed in high-dose females at 12 months compared with controls (5/10 vs. 0/10), and in low-dose females at 18 months (3/3 vs. 2/10). This effect was not significant in high-dose females at 18 months (4/10), and was not observed at terminal sacrifice.

Table 4-25. Incidence of nonneoplastic lesions in Sprague-Dawley rats exposed to AN in drinking water for 2 years

AN in drinking water (ppm)	0 ^a	1	100
Male rats <i>Dose (mg/kg-d)</i>	0	0.09	8.0
Kidney transitional cell hyperplasia	1/15	2/18	0/12
Forestomach squamous cell hyperplasia			
Incidence at termination ^b	14/19	24/26	14/15
Severity: mild	5	4	1
moderate	7	14 ^c	8 ^c
severe	2	6 ^c	5 ^c
Forestomach squamous cell hyperplasia			
Incidence in early deaths or unscheduled sacrifices	36/46	31/37	37/42
Severity: mild	9	10	4
moderate	17	15	18 ^c
severe	10	6	15 ^c
Female rats <i>Dose (mg/kg-d)</i>	0	0.15	10.7
Kidney transitional cell hyperplasia	2/27	1/18	8/14 ^d
Forestomach squamous cell hyperplasia			
Incidence at termination ^b	39/48	40/57	17/17
Severity: mild	12	8	4
moderate	18	25	9
severe	9	7	4
Incidence in early deaths or unscheduled sacrifices	14/20	6/12	33/43
Severity: mild	4	1	1
moderate	7	2	21 ^d
severe	3	3	11 ^d

^aDrinking water control.

^bMales exposed for 22 mos, females for 19 mos.

^cSignificantly different from controls as calculated by authors ($p \leq 0.05$).

^dSignificantly different from controls as calculated by authors ($p \leq 0.01$).

Sources: Johannsen and Levinkas (2002a); Biodynamics (1980a).

In addition, the incidence of squamous cell hyperplasia was increased in high-dose males and females at terminal sacrifice and in spontaneous deaths in high-dose groups (Table 4-25). The severity of squamous cell hyperplasia of the forestomach was significantly greater (characterized as moderate or severe) than in controls in male rats at termination after exposure to 1 or 100 ppm AN; a significant increase in severity in high-dose males was also observed at 12 months (not shown) but not at 18 months. Increased incidences in forestomach hyperplasia characterized as moderate or severe were also observed in high-dose rats of both sexes that died prematurely or were sacrificed in a moribund condition. A NOAEL for nonneoplastic effects was not identified in this study. A LOAEL of 1 ppm (0.09 mg/kg-day) was identified for increased severity of squamous cell hyperplasia of the forestomach in male Sprague-Dawley rats exposed to AN in drinking water for 2 years (22 months).

Cancer results

Significant increases in the cumulative incidences of neoplasms of the CNS, ear canal (Zymbal gland), and forestomach were observed in Sprague-Dawley rats exposed to 100 ppm AN in drinking water for 2 years (Johannsen and Levinskas, 2002a; Biodynamics, 1980a). Statistically significant, dose-dependent increases were observed for astrocytomas of the brain in both sexes and astrocytomas of the spinal cord in females. The astrocytomas varied from discrete solid masses to localized areas of diffuse infiltrations of neoplastic cells. In addition, adenomas of Zymbal gland (ear canal) in both sexes, carcinomas of Zymbal gland in both sexes, and squamous cell papillomas of the forestomach in females were increased. Intestinal adenocarcinomas were also found in high-dose male and female rats (see Table 4-26).

Table 4-26. Selected tumor incidences in Sprague-Dawley rats exposed to AN in drinking water for up to 2 years

	Tumor incidence ^a					
Tissue type	Male rats			Female rats		
	Exposure concentration (ppm)					
	0	1	100	0	1	100
	Dose (mg/kg-d)					
	0	0.09	7.98	0	0.15	10.7
CNS						
Brain (astrocytomas)	2/78	3/75	23/77 ^b	0/79	1/80	32/77 ^b
Spinal cord (astrocytomas)	ND ^c	ND	ND	0/76	0/79	7/78 ^b
Total	2/78	3/75	23/77 ^b	0/79	1/80	39/78 ^b
Zymbal gland						
Carcinomas	1/80	0/71	14/83 ^b	0/79	0/75	7/78 ^b
Adenomas	0/80	0/71	5/83	1/79	0/75	5/78
Total	1/80	0/71	19/83 ^b	1/79	0/75	12/78 ^b
Forestomach						
Carcinomas	0/78	1/78	3/77	0/80	0/79	0/79
Papillomas	3/78	2/78	8/77	1/80	4/79	7/79 ^b
Total	3/78	3/78	11/77 ^b	1/80	4/79	7/79 ^b
Intestine						
Adenocarcinomas	0/40	0/34	2/41	0/78	0/79	2/70

^aDenominators are calculated from the total number of animals examined (as reported in Table 4 of Johannsen and Levinskas, 2002a) minus the animals scheduled for sacrifice at 6 and 12 mos. Thus, incidences are for animals scheduled for the 18-mo, spontaneous deaths and terminal sacrifices (22 mos for males and 19 mos for females). For Zymbal gland tumors in the 100 ppm male, one carcinoma and adenoma occurred in the 12-mo sacrifice; therefore, adjustment to the denominator was only made for the 6-mo sacrifice.

^bSignificantly different from controls ($p < 0.05$), Fisher's exact test.

^cND = no data collected.

Sources: Johannsen and Levinskas (2002a); Biodynamics (1980a).

Johannsen and Levinskas (2002a) reported that most of the tumors occurred in rats after 12 months of exposure. CNS astrocytomas were not detected at the 6- or 12-month interim sacrifices (at which about 10 rats of each gender were sacrificed from each group). However, brain astrocytomas and Zymbal gland carcinomas were detected in high-dose females as early as after 6 months of exposure. One each of Zymbal gland carcinoma, adenoma, and squamous cell carcinoma of the forestomach was detected at the 12-month interim sacrifice in high-dose males. This finding was consistent with findings in the other drinking water bioassay with Sprague-Dawley rats, indicating that some male and female rats exposed to 300 ppm AN developed tumors after only 7–12 months of exposure (Quast, 2002). Mammary gland carcinomas were detected in female rats scheduled for the terminal sacrifice but not in male or female rats scheduled for sacrifice at 6, 12, or 18 months. Incidences of female rats (scheduled for the

terminal sacrifice) with mammary gland carcinomas, however, did not show exposure-related increases: 13/80, 4/79, and 14/79 for the control, 1 ppm, and 100 ppm female groups, respectively.

4.2.1.2.3. *Johannsen and Levinskas (2002a) and Biodynamics (1980b): gavage study.* In parallel to the chronic drinking water study in Sprague-Dawley rats, Johannsen and Levinskas (2002a) and Biodynamics (1980b) conducted a lifetime study in which groups of Sprague-Dawley rats (100 rats/sex/group) received 0, 0.1, or 10 mg/kg-day AN by gavage in water for 7 days/week. The doses were selected to match the daily intake levels in the drinking water study. The schedule and protocols used in the gavage study for observations of clinical signs, measurements of food and water consumption and BW, clinical biochemistry analyses, and gross necropsy and histopathologic analyses were identical to those in the drinking water study.

Ingestion of 10 mg/kg-day AN resulted in increased mortality after 12 months and was significantly higher in males at termination and in females beginning at month 14 compared with controls. The study was terminated after 20 months of exposure because of high treatment-related deaths, with a decreased survival of about 30 and 50% in males and females compared with their respective controls. BWs showed a significant reduction of about 6% in high-dose males compared with controls, beginning on week 12 and reaching 13% by termination; there were no BW effects in exposed females.

Exposure to AN had no effect on food consumption in either sex or on water consumption in males; high-dose females had slightly increased water consumption during the first 12 months only. Small reductions in hematocrit, Hb, and RBC counts were observed in high-dose males at 12 and 18 months and reached statistical significance at terminal sacrifice (hematocrit, 15%; Hb, 19%; and RBC counts, 18%). Significant increases in absolute mean liver weights were observed in the high-dose males and females and low-dose males at the 18-month interval. Relative liver weights for high-dose males and females were significantly increased in most intervals and might be reflective of lower BWs. Absolute and relative kidney weights of high-dose males were increased significantly at 18 months and increased insignificantly in both males and females at study term. The adrenal gland of high-dose males was the organ that showed the most significant weight increase of 43% at termination.

Noncancer results

Nonneoplastic histopathological effects were observed in male and female Sprague-Dawley rats exposed to 10 mg/kg-day AN by gavage for 20 months (Table 4-27). A significant increase in epidermal inclusion cysts was observed in male and female rats, most notably in animals dying spontaneously. Significant increases in renal transitional cell hyperplasia were observed in high-dose females at 12-month sacrifice and in high-dose males after 18 months. In addition, there was a significant increase in the severity of squamous cell hyperplasia of the

forestomach in high-dose males and females. This effect was most noticeable among rats dying early or at scheduled sacrifices after at least 12 months. High-dose rats showed a significant elevation in the incidence of moderate or severe hyperplasia. In this gavage study, a NOAEL of 0.1 mg/kg-day and a LOAEL of 10 mg/kg-day were identified for increased severity of forestomach lesions (squamous cell hyperplasia) in male and female rats.

Table 4-27. Incidence of nonneoplastic lesions in Sprague-Dawley rats exposed to AN by gavage for 20 months

Dose (mg/kg-d)	0 ^a	0.1	10
Male rats			
Skin: Epidermal inclusion cysts (in spontaneous deaths)	2/59	4/68	8/56 ^b
Kidney: Transitional cell hyperplasia (18 mos)	3/11	0/8	10/10 ^c
Heart: Cardiomyopathy (18 mos)	3/11	No data	8/10 ^b
Forestomach: Squamous cell hyperplasia			
Incidence at terminal sacrifice	10/10	No data	8/10
Severity: mild	2		0
moderate	5		2
severe	3		6
Incidence in early deaths or unscheduled sacrifices	46/58	46/67	49/56
Severity: mild	18	11	2
moderate	19	26	13 ^c
severe	9	10	34 ^c
Female rats			
Skin: Epidermal inclusion cysts (in spontaneous deaths)	0/10	No data	4/10 ^b
Kidney: Transitional cell hyperplasia (12 mos)	0/10	0/1	4/10 ^b
Heart: Cardiomyopathy (18 mos)	4/10	No data	3/10
Forestomach squamous cell hyperplasia			
Incidence at terminal sacrifice	8/10	No data	9/10
Severity: mild	1		1
moderate	5		3
severe	2		5
Incidence in early deaths or unscheduled sacrifices	47/57	63/69	53/59
Severity: mild	12	12	0
moderate	23	38	14 ^c
severe	12	13	39 ^c

^aWater vehicle control.

^bSignificantly different from controls as calculated by the study authors ($p < 0.05$).

^cSignificantly different from controls as calculated by the study authors ($p \leq 0.01$).

Sources: Johannsen and Levinskas (2002a); Biodynamics (1980a).

Cancer results

The carcinogenic effects of AN on Sprague-Dawley rats exposed via gavage were similar to those in the drinking water studies (Johannsen and Levinskas, 2002a; Biodynamics, 1980b). Significant increase in tumor incidences were observed in male and female rats dosed with 10 mg/kg-day and included carcinomas of Zymbal gland, astrocytomas of the brain, and tumors of the squamous epithelium of the forestomach (papillomas and carcinomas in males and papillomas in females) (Table 4-28). Adenocarcinomas of the intestine were also observed in high-dose male rats that died or were killed after at least 12 months of exposure. Increases in carcinomas of the mammary gland were observed in high-dose females that died prematurely.

Table 4-28. Cumulative incidence of tumors in response to AN administered to Sprague-Dawley rats by gavage for up to 2 years

Tissue type	Tumor incidence ^a					
	Male rats (mg/kg-d)			Female rats (mg/kg-d)		
	0	0.1	10	0	0.1	10
Brain astrocytomas	2/80	0/79	16/77 ^b	1/80	2/78	17/80 ^b
Spinal cord astrocytomas	0/74	0/73	1/77	0/80	0/75	1/79
Zymbal gland papillomas	0/76	0/73	3/76	0/65	0/74	0/74
Zymbal gland carcinomas	1/76	0/73	10/76 ^b	0/65	0/74	9/74 ^b
Zymbal gland adenomas	0/76	1/73	5/76 ^b	1/65	0/74	5/74
Forestomach carcinomas	0/79	0/77	18/79 ^b	0/79	0/79	1/79
Forestomach papillomas	2/79	6/77	19/79 ^b	2/79	4/79	14/79 ^b
Intestine adenocarcinomas	0/80	0/80	6/80 ^b	0/40	0/40	1/41
Mammary gland carcinomas	0/80	0/78	0/80	5/80	6/80	21/80 ^b

^aDenominators are calculated from the total number of animals examined (as reported in Table 4 of Johannsen and Levinskas, 2002a) minus the animals scheduled for sacrifice at 6 and 12 mos; thus, incidences are for animals scheduled for the 18-mo, spontaneous death, and terminal sacrifices (20 mos).

^bSignificantly different from controls ($p < 0.05$), as calculated by the study authors.

Sources: Johannsen and Levinskas (2002a); Biodynamics (1980a).

4.2.1.2.4. Johannsen and Levinskas (2002b); Biodynamics (1980c). A 2-year drinking water assay was conducted in F344 rats (Johannsen and Levinskas, 2002b; Biodynamics, 1980c). Groups of rats (100/sex/group) were given AN (100% purity) in drinking water at concentrations of 0, 1, 3, 10, 30, or 100 ppm; two groups of 100/sex served as untreated controls. The study authors reported the equivalent average daily doses of AN as 0, 0.1, 0.3, 0.8, 2.5, and 8.4 mg/kg-day for males and 0, 0.1, 0.4, 1.3, 3.7, and 10.9 mg/kg-day for females. Rats were observed twice daily for overt signs of toxicity and examined and palpated weekly to detect growths. Ophthalmoscopic examinations were carried out before testing and again at the time of necropsy. BWs were recorded weekly for the first 14 weeks, biweekly between weeks 16 and 26, and monthly thereafter. Food intake and water consumption were recorded over a 3-day period at the

same intervals that BWs were recorded for about 25 rats/sex/group for all groups. At intervals of 6, 12, and 18 months and at study termination (26 months for males and 23 months for females), 10 rats/sex/group from each exposed and control group were sacrificed for clinical pathology and microscopic evaluation.

At 6, 12, and 18 months and at termination, 10 rats/sex from the 100 ppm group and 5 rats/sex from each of the control groups were selected for hematology, clinical chemistry, and urinalysis examinations. Lower dose group rats were evaluated as needed to determine possible dose-response relationships. All rats, whether dying prematurely, sacrificed in a moribund condition, or sacrificed on schedule, were subjected to a gross necropsy that included preservation of 40 tissues and organs, all gross lesions, and tissue masses for possible histopathologic examination. At interim and terminal sacrifice, weights were recorded for selected organs (brain, pituitary, adrenal, gonads, heart, kidney, and liver) for 10 rats/sex from treated groups and 5 rats/sex from each control group. For the interim sacrifices, complete histopathologic examinations were conducted on 10 rats/sex from the 100 ppm group and 5 rats/sex from the two control groups. Potential target organs (e.g., brain, ear canal, spinal cord, and stomach), gross lesions, and tissue masses were examined microscopically in all study animals. All surviving females were terminated after 23 months and all males after 26 months. Terminal necropsies included complete microscopic examinations for 10 rats/sex exposed to 100 ppm AN and 5/sex/group for the two control groups. Potential target organs and suspicious lesions were examined in all animals in other dose groups.

Noncancer results

Treatment-related noncancer effects were observed in F344 rats exposed to AN in drinking water for 2 years (Johannsen and Levinskis, 2002b; Biodynamics, 1980c). Statistically significantly early deaths ($p < 0.05$), compared with controls, were observed in male and female rats exposed to 100 ppm, beginning after 14 months of exposure. A statistically significant decrease in survival was also observed in female rats exposed to 30 ppm but not to 10 ppm, beginning after 18 months of exposure. Exposure to AN did not result in any overt neurological impairments or ophthalmoscopic findings.

Statistically significant decrease in BWs (~12% lower than controls, $p < 0.01$) were observed in male and female rats exposed to 100 ppm AN. A statistically significant decrease in BWs of less than 5% was found in male rats exposed to 30 ppm AN and was not biologically significant. Total food consumption (g/week) was reduced compared with controls in rats exposed at 100 ppm (more prominently in female rats after week 13), but consumption on a BW basis (g/kg) for both 100 ppm male and female rats was not significantly different from that for controls. Total water consumption (mL/week) was significantly lower in the 100 ppm male and female rats compared with controls. No differences in food and water consumption from controls were found for groups exposed to ≤ 30 ppm AN. Hematological analyses revealed slight

reductions in Hb, hematocrit, and RBC counts in the 100 ppm group, beginning at 12 months, with Hb significantly lower in female rats at 12 and 18 months (13% at 18 months). Hematocrit in 100 ppm female rats was 4% lower at 12 months. However, no statistically significant differences from controls were noted at termination.

Serum alkaline phosphatase levels were significantly elevated by 80 and 65% ($p < 0.05$), respectively, in 100 ppm females at 18 and 23 months. Results of clinical chemistry for the 30 and 10 ppm groups were not reported. However, the study authors noted that female rats in these dose groups also showed significant elevation of this enzyme. A significant 33% increase in SGPT was also observed in 100 ppm female rats at 18 months but not at other intervals. The only treatment-related urinalysis finding was a slight increase in urine specific gravity in 100 ppm males at 18 and 26 months. No significant dose-related changes were observed for absolute organ weights; elevations in relative organ weights (kidney, brain, liver, adrenal, testis, heart, and pituitary in females) sporadically observed at 100 ppm at intervals throughout the study were attributed by the study authors to lower BWs rather than target-organ toxicity.

Unlike Sprague-Dawley rats exposed to AN in drinking water (see above, Quast [2002]), F344 rats did not show forestomach lesions at the 6- or 12-month sacrifices. In rats exposed for periods >1 year, treatment-related nonneoplastic effects were observed in the forestomach (Table 4-29) and skin. The incidence of squamous cell hyperplasia or hyperkeratosis of the forestomach was elevated in male and female rats exposed to 3, 10, and 30 ppm but not to 100 ppm. Johannsen and Levinskas (2002b) suggested that, because the lesions were observed more frequently in late surviving animals, the reduced survival in 100 ppm group may have been the reason that no significant increase was observed for that dose group. Because these forestomach lesions were not observed in rats examined during the 6- or 12-month sacrifices, no such incidence data are listed in Table 4-29. An increase in epidermal inclusion cysts of the skin, observed in 4/50 male rats treated at 100 ppm but in no other group, was also considered by the study authors to be treatment related. A NOAEL of 1 ppm (0.1 mg/kg-day) and a LOAEL of 3 ppm (0.3 and 0.4 mg/kg-day for males and females, respectively) were identified for increases in squamous cell lesions (hyperplasia and/or hyperkeratosis) of the forestomach in male and female F344 rats exposed to AN in drinking water for 2 years.

Table 4-29. Incidences of nonneoplastic lesions in F344 rats exposed to AN in drinking water for 2 years

AN in drinking water (ppm)	0^a	1	3	10	30	100
Male rats Dose (mg/kg-d)	0	0.1	0.3	0.8	2.5	8.4
Forestomach squamous cell hyperplasia or hyperkeratosis ^b	11/159	3/80	18/75 ^c	13/80 ^d	17/80 ^c	9/77
Foci of cellular alteration in liver	7/94	5/31	11/51	8/45	14/50 ^c	6/68
Epidermal inclusion cysts	0/61	0/12	0/12	0/11	0/17	4/50 ^d
Chronic nephropathy, bilateral	118/149	57/58 ^d	62/64 ^c	52/54 ^d	57/60 ^d	42/62
Atrophy of seminiferous tubules, bilateral	150/172	72/75 ^d	68/84	64/74	78/78 ^c	64/87
Female rats Dose (mg/kg-d)	0	0.1	0.4	1.3	3.7	10.9
Forestomach squamous cell hyperplasia or hyperkeratosis ^b	4/156	2/80	16/80 ^c	23/74 ^c	13/80 ^c	5/74
Foci of cellular alteration in liver	1/84	2/20	4/39 ^d	3/49	0/40	1/70
Chronic nephropathy, bilateral	52/68	10/19	21/26	20/29	23/26	18/50

^aDrinking water control.

^bMales exposed for 18–26 mos, females for 18–23+ mos; excludes rats sacrificed at 6 or 12 mos. These incidences were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study. Rats dying during this time period were determined from page 6 of Appendix H and Table 1 in Biodynamics (1980c) and Table 8 in Johannsen and Levinskas (2002b). Unscheduled deaths between 0 and 12 mos in the study occurred in two female controls, two males at 3 ppm, three females at 10 ppm, and three males and three females at 100 ppm.

^cSignificantly different from vehicle control as calculated by study authors ($p \leq 0.01$).

^dSignificantly different from vehicle control as calculated by study authors ($p \leq 0.05$).

Sources: Johannsen and Levinskas (2002b); Biodynamics (1980c).

Other microscopic findings included increase in chronic nephropathy and atrophy of seminiferous tubules, which were significant at 1 ppm. However, the study authors did not consider these effects to be related to treatment, but rather to be due to the sample selection procedure that only tissues showing questionable or suspicious lesions were selected for microscopic examination for the intermediate dose groups (1–30 ppm), resulting in the selection of tissues from more aged animals.

Cancer results

Increases in tumor incidences (Table 4-30) were observed in multiple organs, following chronic exposure of F344 rats to AN in drinking water (Johannsen and Levinskas, 2002b; Biodynamics, 1980c). No tumors were detected in rats sacrificed after only 6 months of exposure. One female rat exposed to 100 ppm for 12 months had an adenocarcinoma of the mammary gland that the study authors considered to be possibly related to treatment. Treatment-related tumors, appearing at the 18-month interim sacrifice, included brain astrocytomas (one/sex/group) in male and female rats exposed to 30 and 100 ppm, squamous cell papillomas of the ear canal (Zymbal gland) in 2/9 males at 100 ppm and 1/9 females at 30 ppm, and squamous cell carcinomas of the latter organ in one female at 100 ppm. AN-related neoplastic

lesions were observed at terminal sacrifice in the brain, spinal cord, forestomach, ear canal (Zymbal gland), and mammary gland (females only). Significant dose-related elevations in astrocytomas were observed in the brains of male and female rats exposed to 30 and 100 ppm and in the spinal cord of male rats exposed to 100 ppm (see Table 4-30). Dose-related increases in squamous cell adenomas/carcinomas of the ear canal (Zymbal gland) were observed in males exposed to 30 and 100 ppm and in females at 10–100 ppm. Increased incidences of squamous cell papillomas or carcinomas of the forestomach, observed in males exposed to 3 and 10 ppm and in both sexes exposed to 30 ppm, were considered by the study authors to be likely treatment related despite the lack of a dose response. Fibroadenomas of the mammary gland were slightly increased in females treated with 10 and 30 ppm. Other lesions considered by the study authors to be possibly treatment related included a single case of squamous cell carcinoma of the salivary gland in one male at 100 ppm and squamous cell papillomas of the tongue in female rats (one/group) exposed to 10 and 30 ppm.

Table 4-30. Selected tumor incidences in F344 rats exposed to AN in drinking water for 2 years

AN in drinking water (ppm)		0 ^a	1	3	10	30	100
<i>Male rats^b</i>	<i>Dose (mg/kg-d)</i>	0	0.1	0.3	0.8	2.5	8.4
Brain astrocytoma	Total ^c	2/200 ^c	2/100	1/100	2/100	10/99 ^e	21/99 ^e
	Adjusted ^d	2/160 ^d	2/80	1/78	2/80	10/79 ^e	21/76 ^e
Spinal cord astrocytoma		1/196 1/156	0/99 0/79	0/92 0/70	0/98 0/78	0/99 0/79	4/93 ^f 4/70 ^f
Ear canal (Zymbal gland) squamous cell papilloma/adenoma and carcinoma		2/189 1/147	1/97 1/76	0/93 0/73	2/88 0/67	7/94 ^e 2/71 ^e	16/93 ^e 14/68 ^e
Forestomach squamous cell papilloma/carcinoma		0/199 0/159	1/100 1/80	4/97 ^f 4/78 ^f	4/100 ^f 3/80 ^f	4/100 ^f 4/80 ^f	1/101 1/77
Mammary gland fibroadenoma		2/48	1/4	2/7	2/12	0/7	2/45
Mammary gland carcinoma		1/48	0/4	0/7	0/12	0/7	0/45
<i>Female rats^b</i>	<i>Dose (mg/kg-d)</i>	0	0.1	0.4	1.3	3.7	10.9
Brain astrocytoma		1/199 1/157	1/100 1/80	2/101 2/80	4/95 4/75	6/100 ^e 6/80 ^e	23/98 ^e 23/76 ^e
Spinal cord astrocytoma		0/197 0/155	0/97 0/78	0/99 0/79	1/92 1/72	0/96 0/77	1/91 1/69
Ear canal (Zymbal gland) squamous cell papilloma/adenoma and carcinoma		0/193 0/157	0/94 0/73	2/92 0/73	4/90 ^e 0/70 ^e	5/94 ^e 2/73 ^e	10/86 ^e 8/62 ^e
Forestomach squamous cell papilloma/carcinoma		1/199 1/157	1/100 1/80	2/100 2/79	2/97 2/77	4/100 ^f 4/80 ^f	2/97 2/75
Mammary gland fibroadenoma		12/65 12/156	5/14 5/80	6/14 6/80	9/16 ^e 8/79	10/22 ^f 9/80	9/49 9/73
Mammary gland carcinoma		1/65 3/156	2/14 4/80	0/14 0/80	0/16 1/78	3/22 3/80	2/49 6/73

^aDrinking water control.

^bMales exposed for 26 mos, females for 23+ mos.

^cTotal cumulative number of rats with lesion.

^dThe denominators for incidences excluded rats from the 6- and 12-mo sacrifices and rats that died before the appearance of the first tumor for each of three tumor sites: CNS, Zymbal gland, and forestomach. The termination history reports in Appendix C and the individual animal histopathology reports in Appendix H of the Biodynamics (1980b) report were examined to determine time of death and tumor occurrence for each of the F344 rats. Times of first detection of tumors were 419 d for forestomach tumors, 495 d for CNS tumors, and 475 d for Zymbal gland tumors. Due to the limited number of mammary glands examined in most groups, the adjusted denominators represented the number of animals that were exposed for more than 12 mos for each group; mammary gland tumor incidences are for animals scheduled for the 18-mo and terminal sacrifices.

^eSignificantly different from vehicle control as calculated by study authors (cumulative only) ($p \leq 0.01$).

^fSignificantly different from vehicle control as calculated by study authors (cumulative only) ($p \leq 0.05$).

Sources: Johannsen and Levinskas (2002b); Biodynamics (1980c).

4.2.1.2.5. NTP (2001). NTP (2001) evaluated the toxicity and carcinogenicity of AN (>99% purity) given by gavage in water to B6C3F₁ mice (50/sex/dose) at doses of 0, 2.5, 10, and 20 mg/kg-day, 5 days/week for 2 years. Adjusted for discontinuous exposure (5 days/7 days), the intakes were 0, 1.8, 7.1, and 14.3 mg/kg-day. The doses were chosen based on results of the

14-week assay described in Section 4.2.1.1. Mice were observed twice daily for clinical signs that were recorded on day 29, every 4 weeks, and at study termination. BWs were recorded before the start of the exposure period, then every 4 weeks, and at study termination. Five male and five female mice were evaluated at 2 weeks and 3, 12, and 18 months for urinalysis parameters. All mice were subjected to gross necropsies and complete histopathologic examinations.

Noncancer results

Survival was significantly reduced in male and female mice treated with 20 mg/kg-day; 38/50, 42/50, 39/50, and 14/50 males and 39/50, 32/50, 39/50, and 23/50 females survived to 104–105 weeks with increasing dose. Despite a tendency for BWs in high-dose mice to be slightly lower compared with controls after 30 weeks of treatment, AN had no significant effect on terminal BWs; no treatment-related clinical signs were observed.

Exposure to AN significantly increased the incidences of nonneoplastic lesions in male and female mice compared with control mice (Table 4-31). Statistically significant increases in the incidences of mild focal or multifocal epithelial hyperplasia of the forestomach were observed in males treated with 10 or 20 mg/kg-day and in focal or multifocal epithelial hyperplasia of the forestomach in females treated with 20 mg/kg-day. The hyperplastic lesions were often accompanied by focal hyperkeratosis and occasionally associated with chronic inflammation. The incidence of hyperkeratosis (diffuse or focal) of the forestomach was statistically significantly elevated in males treated at 20 mg/kg-day. AN-treated males showed a higher incidence of hyperplasia of the Harderian gland, but only the increase observed at 10 mg/kg-day was statistically significantly different from the control. No significant increase was found in treated females (Table 4-31). Statistically significant elevations were observed in the incidences for ovarian cysts in females treated with 2.5–20 mg/kg-day and for ovarian atrophy in females treated with 10–20 mg/kg-day; for both ovarian lesions, the highest incidences were observed at 10 mg/kg-day. A NOAEL was not identified for noncancer effects in this gavage study. A LOAEL of 1.8 mg/kg-day (adjusted for continuous exposure) was identified for increased incidence of ovarian cysts in female mice. A NOAEL of 1.8 mg/kg-day and a LOAEL of 7.1 mg/kg-day were identified for increased incidences of forestomach hyperplasia in male mice.

Table 4-31. Incidence and severity of nonneoplastic lesions in B6C3F₁ mice exposed by gavage to AN for 2 years

Adjusted dose (mg/kg-d) ^a	0 ^b	1.8	7.1	14.3
<i>Males</i>				
Number of male mice examined	50	50	50	50
Forestomach hyperkeratosis, diffuse/focal	2 ^c (2.5) ^d	3 (2.0)	7 (1.7)	12 ^f (1.8)
Forestomach epithelial hyperplasia, focal	2 (3.0)	4 (2.3)	8 ^e (2.0)	9 ^f (1.9)
Harderian gland hyperplasia	1 (2.0)	4 (2.3)	7 ^e (3.4)	4 (2.3)
<i>Females</i>				
Number of female mice examined	50	50	50	50
Forestomach hyperkeratosis, diffuse/focal	2 (1.5)	1 (2.0)	2 (2.0)	4 (2.0)
Forestomach epithelial hyperplasia, focal, or multifocal	2 (1.5)	2 (3.0)	5 (1.8)	7 ^e (1.6)
Harderian gland hyperplasia	5 (3.0)	4 (3.3)	6 (2.2)	8 (3.5)
Ovarian atrophy	6 (3.0)	8 (3.9)	45 ^f (4.0)	40 ^f (4.0)
Ovarian cyst	12 (2.3)	20 ^e (2.3)	27 ^f (2.1)	19 ^e (2.1)

^aDoses administered 5 d/wk.

^bVehicle control.

^cNumber of mice with lesion.

^dAverage severity grade of lesions in affected mice: 1 = minimal, 2 = mild, 3 = moderate, 4 = marked.

^eSignificantly different from vehicle control as calculated by authors ($p \leq 0.05$).

^fSignificantly different from vehicle control as calculated by authors ($p \leq 0.01$).

Source: NTP (2001).

Cancer results

In mice exposed to AN, treatment-related carcinogenic effects (Table 4-32) were observed in the forestomach, Harderian gland, and, less consistently, lung and ovary of treated female mice (NTP, 2001). Histopathologic examination revealed significantly increased incidences of forestomach squamous cell papillomas and in overall incidence of papillomas or carcinomas in male and female mice treated with 10 or 20 mg/kg-day. Forestomach squamous cell carcinomas alone were significantly increased in males at ≥ 10 mg/kg-day and in females at 20 mg/kg-day. Incidences of Harderian gland adenoma and adenomas or carcinomas were significantly elevated in males at ≥ 2.5 mg/kg-day and in females at ≥ 10 mg/kg-day. The overall incidence of alveolar/bronchiolar adenomas or carcinomas was significantly elevated in females treated at 10 mg/kg-day but not at the highest dose. Increase in benign or malignant granulosa cell tumor of the ovary was observed in females treated with 10 mg/kg-day AN. However, the increase was not statistically significant. Significant positive trends ($p \leq 0.001$) were observed in both male and female mice for the incidences of forestomach squamous cell papilloma or carcinoma and Harderian gland adenoma or carcinoma; there was also a significant positive trend ($p = 0.029$) for alveolar/bronchiolar adenoma or carcinoma in treated females. An inverse relationship between tumor latency and dose was observed for forestomach squamous cell papillomas or carcinomas in females and for Harderian gland adenomas or carcinomas in males.

Table 4-32. Incidences of selected neoplastic lesions in B6C3F₁ mice exposed by gavage to AN for 2 years

Adjusted Dose (mg/kg-d) ^a	Control ^b	1.8	7.1	14.3
<i>Males^c</i>				
Number of mice examined	50	50	50	50
Forestomach				
Squamous cell papilloma (includes multiple)	3	4	19 ^d	25 ^d
Squamous cell carcinoma	0	0	8 ^d	9 ^d
Squamous cell papilloma or carcinoma	3	4	26 ^e	32 ^e
Harderian gland				
Adenoma (includes bilateral)	5	16 ^d	24 ^d	27 ^d
Carcinoma	1	1	4	3
Adenoma or carcinoma	6	16 ^f	27 ^e	30 ^e
<i>Females^c</i>				
Number of mice examined	50	50	50	50
Forestomach				
Squamous cell papilloma (includes multiple)	3	6	24 ^d	19 ^d
Squamous cell carcinoma	0	1	1	11 ^d
Squamous cell papilloma or carcinoma	3	7	25 ^e	29 ^e
Harderian gland				
Adenoma (includes bilateral)	10	10	25 ^d	23 ^d
Carcinoma	1	0	3	2
Adenoma or carcinoma	11	10	26 ^e	25 ^e
Lung				
Alveolar/bronchiolar adenoma or carcinoma	6	6	14 ^g	9
Ovary				
Benign or malignant granulosa cell tumor	0	0	4	1

^aDoses administered 5 d/wk.

^bVehicle control.

^cNumber of mice with tumor.

^dSignificantly different from vehicle control as calculated by authors ($p \leq 0.01$).

^eSignificantly different from vehicle control as calculated by authors ($p \leq 0.001$).

^fSignificantly different from vehicle control as calculated by authors ($p = 0.014$).

^gSignificantly different from vehicle control as calculated by authors ($p = 0.039$).

Source: NTP (2001).

4.2.1.2.6. Gallagher et al. (1988). In a cancer bioassay, groups of 20 male Sprague-Dawley-derived CD rats were exposed to 0, 20, 100, or 500 ppm AN in drinking water for 2 years (Gallagher et al., 1988). As calculated from the reported AN concentration and average daily drinking water consumption data, the intakes of AN were 0, 1.5, 7.1, and 28 mg/kg-day. Rats were weighed weekly, and feed intake and water consumption were measured for 1 week each month. All rats, whether dying prematurely or sacrificed at termination, were subjected to a

complete gross necropsy. Tissues exhibiting gross lesions and a selection of organs (liver, stomach, adrenal, kidney, heart, brain, pituitary, and lung) were examined for histopathology.

Significantly reduced survival compared with controls was observed in the high-dose group after 1 year of exposure but not in the other treatment groups. The last high-dose rat died shortly before the scheduled termination. BWs were significantly reduced in high-dose rats after 8 months and in mid-dose rats after 16 months; compared with terminal BWs of the control group, reductions of >50% at 500 ppm and >20% at 100 ppm were estimated from the data graph. Exposure to AN had no effect on food consumption, but water consumption was reduced in a dose-related fashion (by 7.5, 11.3, and 30% in the low- to high-dose groups). A NOAEL of 1.5 mg/kg-day and a LOAEL of 7.1 mg/kg-day were identified for reduced BW in rats exposed to AN for 2 years. This study was limited as to its usefulness for noncancer risk assessment because few tissues were evaluated for histopathology and noncancer histopathology was not reported.

Gallagher et al. (1988) reported dose-related increases for the incidences of tumors of the forestomach and ear canal (Zymbal gland). Four of 20 high-dose rats (20%) had papillomas of the squamous epithelium of the forestomach, a tumor type not observed in the other groups. Squamous carcinomas of Zymbal gland were observed in 1/20 (5%) mid-dose rats and 9/20 (45%) high-dose rats but not in low-dose rats or controls. This study provided evidence of the carcinogenicity of AN to the forestomach and Zymbal gland in male rats. Limitations of the study in evaluation of AN carcinogenicity included small group sizes, lack of testing in females, and only limited tissues were evaluated in gross and histopathological examination.

4.2.1.2.7. Bigner et al. (1986). In an interim (18-month) report of a 2-year drinking water study (Bigner et al., 1986), F344 rats were exposed to AN at targeted concentrations of 0 ppm (51 males and 49 females), 100 ppm (50 rats/sex), and 500 ppm (two subgroups, with a total of 197 males and 203 females). One group of the 500 ppm rats, 147 males and 153 females, was allocated for studies of morphology, tumor biology, and karyotyping, leaving the remaining rats for studies of comparative survival and tumor incidences. By using reference average BWs for F344 rats in a chronic-duration study and an allometric equation deriving drinking water consumption from BW (U.S. EPA, 1988), the average daily doses of AN were calculated as 0, 12.9, and 64.5 mg/kg-day for males and 0, 14.8, and 74.2 mg/kg-day for females. Rats were observed twice daily for neurological signs and death and were weighed weekly. Complete gross necropsies were conducted on all rats. Brains were evaluated for tumors using light and electron microscopy. The only endpoints related to noncancer effects reported for this study were mortality, BW, and clinical signs. Incomplete quantitative data were provided for mortality, which was reported to show dose-response effect in both sexes, occurring earlier in 100 and 500 ppm females than in males. A total of 215 high-dose rats died between month 6 and 18, whereas only “a few” male and female controls were reported to have died by month 18. No

quantitative results were provided for the magnitude or statistical significance of BW reductions that affected high-dose male rats by the third week and high-dose females “slightly” later. BW reductions in the mid-dose group occurred in males after 2 months and females sometime during the second year. The incidences of neurological clinical signs (paralysis, head tilt, circling, and seizures) were dose related, affecting a total of 45/400 rats treated at 500 ppm, 4/100 rats at 100 ppm, and 0/100 controls exposed for 12–18 months. The reported data were insufficient to accurately identify a NOAEL or LOAEL for noncancer effects in this study. A final report of this study was not located.

In 215 rats in the 500 ppm group that died between months 6 and 18, the types of tumors frequently found included s.c. papillomas, papillomas of the forestomach, and tumors in Zymbal gland, but no incidence data were provided for these lesions (Bigner et al., 1986). A statistically significant increase in tumors of the brain bearing similarity to astrocytomas was observed in rats exposed to 500 ppm AN, with 49 primary brain tumors observed among the 215 rats dying between months 6 and 18; incidences in other treatment groups were not reported. The tumors were observed mostly in the cerebral cortex (about 75%) and also in the brain stem and cerebellum. When the brain tumors were classified according to size, 10/49 of these tumors were larger than 5 mm, 28/49 were between 1 and 5 mm in diameter, and 11/49 were detected only microscopically. Although this study provided support for the carcinogenic effect of AN at multiple sites in rats, the lack of numerical results rendered it inadequate for the purpose of quantifying cancer risk.

4.2.1.2.8. Maltoni et al. (1988, 1977). In a study conducted at the Ramazzini Institute, Maltoni et al. (1988, 1977) exposed Sprague-Dawley rats (40/sex) to 5 mg/kg AN by gavage in olive oil, 3 days/week for 52 weeks; a control group of 75 rats/sex received olive oil alone on the same schedule (study designated BT203). After the exposure period, rats were maintained without further treatment for the rest of their natural lives (the study ending on week 131). Rats were examined 3 times daily for their general health status and were subjected to a clinical examination for gross changes every 2 weeks. Rats were weighed every 2 weeks during the first year and monthly thereafter. All rats were examined by gross necropsy. All tissues with gross lesions and a limited set of 12 tissues/organs from each rat were examined microscopically.

No statistically significant increases in tumors were observed in treated rats in this study; however, decreases in tumor latency or increased incidence were observed for some tumors types identified in other studies of AN. Papillomas and acanthomas of the forestomach were observed in 1/40 treated males (latency 92 weeks), 4/40 treated females (average latency 97.5 weeks), 0/40 control males, and 1/75 control females (latency 54 weeks). Maltoni et al. (1977) considered the increase in forestomach tumors to be treatment related. Gliomas (a category that includes astrocytomas) appeared in 1/40 treated females (latency of 33 weeks), 0/40 treated males, 2/75 control females (average latency 104 weeks), and 1/74 control males (latency 98 weeks).

Tumors of the mammary gland and Zymbal gland were reported in treated animals, but the incidences were not elevated over the control. NTP recently released a memorandum (Malarkey et al., 2010) that discussed differences of opinion between NTP scientists and the Ramazzini Institute in the diagnoses of certain cancers reported in a methanol study conducted by the Ramazzini Institute. See Section 5.4.4.3 for additional information on the use of the Maltoni study in this assessment.

4.2.1.2.9. Friedman and Beliles (2002); Litton Bionetics (1992). In a three-generation reproductive toxicity study in Sprague-Dawley rats, Friedman and Beliles (2002) and Litton Bionetics (1992) provided further evidence of the possible carcinogenicity of AN (methods and reproductive/developmental findings of this study are presented in Section 4.3.2.1.3). In this study, 15 males and 30 females per dose level (F0 parents) were exposed to 0, 100, and 500 ppm AN in drinking water for 100 days prior to mating for 6 days. As calculated by the study authors, the concentrations were equivalent to doses of 0, 11, and 37 mg/kg-day for males and 0, 20, and 40 mg/kg-day for females. A subset of F0, F1, and F2 females underwent two cycles each of breeding, then were held for a further 20 weeks after weaning of the second litter prior to termination.

Considering each generation separately, F1b female breeders in the 500 ppm group showed statistically significant increased incidences of brain and Zymbal gland tumors, while increased incidences of tumors in F0 or F2b female breeders in the 100 or 500 ppm groups compared with controls were consistent with the F1b responses, but not statistically significant (Table 4-33). These were relatively small groups, however, with low power to detect responses as high as 10% statistically significant. Across all of the generations, there was a statistically significant increasing trend in both tumor types, supporting the conclusion that exposure to AN for up to 51 weeks at 100 or 500 ppm in drinking water was associated with increased tumor incidence in female Sprague-Dawley rats.

Table 4-33. Incidence of tumors in female Sprague-Dawley rats exposed to AN in drinking water for up to 48 weeks

Generation	Tumor incidence in rats					
	Brain astrocytomas			Zymbal gland		
	Exposure concentration (ppm)					
	0	100	500	0	100	500
	Dose (mg/kg-d)					
	0	20	40	0	20	40
F0	0/19	1/20	2/24	0/19	0/20	2/24
F1b	0/20	1/19	4/17 ^a	0/20	2/19	3/17 ^a
F2b	0/20	1/20	1/20	0/20	0/20	3/20
Total	0/59 ^b	3/59	7/61 ^a	0/59 ^b	2/59	8/61 ^a

^aSignificantly different from controls ($p < 0.05$), as calculated by the authors.

^bStatistically significant by Cochran-Armitage trend test, $p < 0.01$.

Sources: Friedman and Beliles (2002); Litton Bionetics (1992).

Tables 4-34 and 4-35 summarize the noncancer and cancer findings, respectively, from chronic oral studies of AN in rats and mice.

Table 4-34. Summary of chronic oral toxicity studies of AN: noncancer findings in rats and mice

Strain number/sex	Exposure route/duration ^a	Doses	Effects	NOAEL/LOAEL ^a	References	Comments
Rats						
F344 100/sex/group Unexposed controls = 200/sex	Drinking water/ 2 yrs	M: 0, 0.1, 0.3, 0.8, 2.5, 8.4 mg/kg-d; F: 0, 0.1, 0.4, 1.3, 3.7, 10.9 mg/kg-d	Squamous cell hyperplasia/hyperkeratosis of the forestomach; decreased survival in high-dose groups, increase in epidermal inclusion cysts of the skin	NOAEL = 0.1 mg/kg-d; LOAEL = 0.3 mg/kg-d (M) 0.4 mg/kg-d (F)	Johannsen and Levinskas (2002b); Biodynamics (1980c)	10 rats/sex/group were taken from exposed and control groups for interim sacrifice at 6, 12, and 18 mos
Sprague-Dawley 100/sex/group	Drinking water: 22 months (M); 19 months (F)	M: 0, 0.09, 8.0 mg/kg-d; F: 0, 0.15, 10.7 mg/kg-d	Squamous cell hyperplasia of the forestomach, decreased survival in high-dose groups, reduction in absolute/relative pituitary weight (F)	LOAEL = 0.09 mg/kg-d (M)	Johannsen and Levinskas (2002a); Biodynamics (1980a)	10 rats/sex/group were taken from exposed and control groups for interim sacrifice at 6, 12, and 18 mos

Table 4-34. Summary of chronic oral toxicity studies of AN: noncancer findings in rats and mice

Strain number/sex	Exposure route/duration ^a	Doses	Effects	NOAEL/LOAEL ^a	References	Comments
Sprague-Dawley 100/sex/group	Gavage/ 20 months	0, 0.1, 10 mg/kg-d	Squamous cell hyperplasia of the forestomach, small reductions in hematocrit, Hb, and RBC count in high-dose males, increase in absolute/relative liver weight in high-dose groups	NOAEL = 0.1 mg/kg-d; LOAEL = 10 mg/kg-d	Johannsen and Levinskas (2002a); Biodynamics (1980b)	10 rats/sex/group were taken from exposed and control groups for interim sacrifice at 6, 12, and 18 mos
Sprague-Dawley 48/sex/group; Controls: 80/sex	Drinking water/ 2 yrs	M: 0, 3.4, 8.5, 21.3 mg/kg-d; F: 0, 4.4, 10.8, 25.0 mg/kg-d	Hyperplasia/hyperkeratosis of the forestomach, reduced survival and BW, minimal progressive nephropathy, gliosis of the brain	LOAEL = 4.4 mg/kg-d (F)	Quast (2002); Quast et al. (1980a)	
F344 50/sex low-dose; 197 males and 203 females high-dose. Controls: 51-males and 49-females:	Drinking water/ lifetime	M: 0, 12.9, 64.5 mg/kg-d; F: 0, 14.8, 74.2 mg/kg-d	Neurological signs	No data	Bigner et al. (1986)	Doses calculated using default assumptions (U.S. EPA, 1988); noncancer effects not evaluated in the study
Mice						
B6C3F ₁ 50/sex/group	Gavage/ 2 yrs	0, 2.5, 10, 20 mg/kg-d, 5 d/wk; continuous exposure-adjusted doses: 0, 1.8, 7.14, 14.3 mg/kg-d	Reduced survival in high dose group; hyperkeratosis/hyperplasia of the forestomach; ovarian cysts and atrophy (F)	LOAEL: 1.8 mg/kg-d (F); NOAEL = 1.8 mg/kg-d; (M) LOAEL = 7.1 mg/kg-d (M)	NTP (2001)	

^aM = male; F = female.

Table 4-35. Summary of chronic oral toxicity studies of AN: cancer findings in rats and mice

Strain number/sex	Exposure route/ Duration	Doses ^a	Effects ^a	References	Comments
<i>Rats</i>					
F344 100/sex/group Unexposed controls = 200/sex	Drinking water/ 2 yrs	M: 0, 0.1, 0.3, 0.8, 2.5, and 8.4 mg/kg-d; F: 0, 0.1, 0.4, 1.3, 3.7, and 10.9 mg/kg-d	Males: increase in brain astrocytomas and Zymbal gland tumors, and forestomach tumors; females: increase in brain astrocytomas, Zymbal gland tumors, forestomach tumors, and mammary gland tumors	Johannsen and Levinskaskas (2002b); Biodynamics (1980c)	10 rats/sex/group were taken from exposed and control groups for interim sacrifice at 6, 12, and 18 months
Sprague- Dawley 100/sex/group	Drinking water/ 22 months (M); 19 months (F)	M: 0, 0.09, and 8.0 mg/kg-d; F: 0, 0.15, and 10.7 mg/kg-d	Increases in tumors of the CNS, Zymbal gland, and forestomach	Johannsen and Levinskaskas (2002a); Biodynamics (1980a)	10 rats/sex/group were taken from exposed and control groups for interim sacrifice at 6, 12, and 18 months
Sprague- Dawley 100/sex/group	Gavage/ 20 months	0, 0.1, and 10 mg/kg-d	Increase in brain astrocytomas, and tumors of Zymbal gland, forestomach, and intestine; mammary gland carcinomas in females	Johannsen and Levinskaskas (2002a); Biodynamics (1980b)	10 rats/sex/group were taken from exposed and control groups for interim sacrifice at 6, 12, and 18 months
Sprague- Dawley 48/sex/group; Controls: 80/sex	Drinking water/ 2 yrs	M: 0, 3.4, 8.5, and 21.3 mg/kg- d; F: 0, 4.4, 10.8, and 25.0 mg/kg-d	Increases in CNS tumors, squamous cell papillomas or carcinomas of the forestomach, Zymbal gland carcinomas, benign and malignant mammary gland tumors, tongue tumors, small intestine tumors	Quast (2002); Quast et al. (1980a)	
F344 50/sex low- dose; 197 M and 203 F high-dose. Controls: 51 M and 49 F	Drinking water/ lifetime	M: 0, 12.9, and 64.5 mg/kg-d; F: 0, 14.8, and 74.2 mg/kg-d	Brain astrocytomas, Zymbal gland tumors, and papillomas of the forestomach	Bigner et al. (1986)	Doses calculated using default assumptions (U.S. EPA, 1988); no tumor incidence data were provided
Sprague- Dawley 40/sex Control: 75/sex	Gavage/ 3 d/week for 52 weeks; rats maintained without treatment until natural death (study ended week 131)	0, 5 mg/kg in olive oil	Females: increases in forestomach tumors; not statistically significant compared to controls	Maltoni et al. (1977)	Study limitations: single dose, short exposure period
Sprague- Dawley 20 M/group	Drinking water/2 yrs	0, 1.5, 7.1, and 28 mg/kg-d	Increases in tumors of the forestomach and Zymbal gland	Gallagher et al. (1988)	Study limitations: small group size, lack of testing in

Table 4-35. Summary of chronic oral toxicity studies of AN: cancer findings in rats and mice

Strain number/sex	Exposure route/ Duration	Doses ^a	Effects ^a	References	Comments
					females, and only limited tissues were examined for histopathology.
Sprague-Dawley 15 M/group, 30 F/group	Drinking water/ 48 weeks (3-generation reproduction/ developmental study)	0, 11, 37 mg/kg-d (M); 0, 20, and 40 mg/kg-d (F)	Increases in brain and Zymbal gland tumors	Friedman and Beliles (2002); Litton Bionetics (1992)	Study suggested increased susceptibility to the carcinogenicity of AN from early-life exposure (see Section 4.8.1).
Mice					
B6C3F ₁ 50/sex/group	Gavage/ 2 yrs	0, 2.5, 10, and 20 mg/kg-d, 5 d/wk, continuous exposure-adjusted doses: 0, 1.8, 7.14, and 14.3 mg/kg-d	Increase in tumors of the forestomach and of Harderian gland	NTP (2001)	Overall incidence of alveolar/bronchiolar adenomas or carcinomas was significantly elevated in F at 10 mg/kg-d, but not at 20 mg/kg-d.

^aM = male; F = female.

4.2.2. Inhalation Exposure

4.2.2.1. Subchronic Studies

The only subchronic inhalation study of AN in animals was a comparative study of the neurotoxicity of nitriles (Gagnaire et al., 1998). Groups of 12 male Sprague-Dawley rats were exposed (whole body) to AN vapor at concentrations of 25, 50, or 100 ppm, 6 hours/day, 5 days/week for 24 weeks. A control group of 10 male rats was exposed to filtered air. BWs were measured weekly. Following 4, 8, 12, 16, 20, and 24 weeks of exposure and an 8-week recovery period (week 32), rats were evaluated for the same electrophysiological parameters that were tested in parallel experiments on orally exposed rats (see Section 4.2.1.1). As in the companion study, electrophysiological testing was performed at least 16 hours after daily exposure (waiting period was 48 hours for weekends). Electrical stimulation of the tail nerve was used to assess MCVs, SCVs, ASAPs, and AMAPs. No mortality was observed during the treatment period, but, during the first and second week of the recovery period, 2/12 rats in the 100 ppm group died and one rat each in the 100 and 25 ppm groups was euthanized in week 31 because of tumors in the neck. BW gain in the 100 ppm group was significantly lower than in controls in weeks 4, 8, 16, and 21–24, such that the BW was 11% lower at the end of week 24.

Rats exposed to AN did not develop weakness of the hind limbs or disturbances in gait. After 1 or 2 weeks of exposure, rats exposed at ≥ 50 ppm exhibited clinical signs of gross toxicity (wet fur and excessive salivation but not hyperactivity). Excessive salivation was attributed by the study authors to a cholinomimetic effect of AN. Exposure to AN had no effect on neurophysiological parameters during the first 8 weeks and no effect on the AMAP at any time during the study. Statistically significant concentration-dependent SCV reductions of $\sim 9\%$ compared with controls were observed in the 100 ppm group from weeks 12–24 (Table 4-36). In week 12, an $\sim 7\%$ reduction was observed in the 50 ppm group, and in week 24 the SCV was reduced by 5% in the 25 ppm group (not biologically significant) and by $>8\%$ in the 50 and 100 ppm groups. The ASAP was significantly reduced by 14.5–20% in the 50 ppm group and by 29–30% in the 100 ppm group from weeks 16–24. After recovery in week 32, a 21% reduction in ASAP persisted in the 100 ppm group. Sporadic reductions in MCV were observed in 100 ppm rats beginning in week 16 (11% reduction) but were not concentration dependent in week 24 or after recovery in week 32. A LOAEL of 25 ppm was identified for reductions in SCV in rats exposed to AN by inhalation for 24 weeks. A NOAEL was not identified.

Table 4-36. Effect on SCV in male Sprague-Dawley rats exposed to AN via inhalation for 24 weeks

Exposure (ppm)	SCV (m/s) ^a					
	Exposure (weeks)					Recovery
	0	12	16	20	24	32
0	35.0 $\pm$ 0.5	49.7 $\pm$ 0.8	49.9 $\pm$ 1.0	50.3 $\pm$ 0.5	53.3 $\pm$ 1.0	53.4 $\pm$ 0.6
25	35.2 $\pm$ 0.4	48.2 $\pm$ 0.7	47.8 $\pm$ 1.0	50.2 $\pm$ 0.7	50.5 $\pm$ 0.8 ^b	51.8 $\pm$ 0.8
50	35.3 $\pm$ 0.6	46.3 $\pm$ 0.8 ^c	48.0 $\pm$ 1.1	50.5 $\pm$ 0.6	49.1 $\pm$ 0.5 ^d	51.3 $\pm$ 1.0
100	35.8 $\pm$ 0.5	45.3 $\pm$ 1.0 ^c	46.2 $\pm$ 0.7 ^b	48.1 $\pm$ 0.7 ^b	48.4 $\pm$ 1.0 ^d	50.4 $\pm$ 0.8

^aValues are means $\pm$ SDs (n = 12 for treated, n = 10 for controls).

^bStatistically significant compared with controls ($p < 0.05$) as calculated by the study authors.

^cStatistically significant compared with controls ($p < 0.01$) as calculated by the study authors.

^dStatistically significant compared with controls ($p < 0.001$) as calculated by the study authors.

Source: Gagnaire et al. (1998).

4.2.2.2. Chronic Studies

4.2.2.2.1. Dow Chemical (1992a) and Quast et al. (1980b). Dow Chemical (1992a) and Quast et al. (1980b) evaluated the effects of AN in Sprague-Dawley rats (100/sex/group) exposed by inhalation at concentrations of 0, 20, or 80 ppm (0, 43.4, or 173.6 mg/m³) 6 hours/day, 5 days/week for 2 years. Additional groups of 7 and 13 rats/sex/group were exposed and sacrificed at 6 and 12 months, respectively. BWs were determined 10 times during the first 3 months and monthly thereafter. Rats were observed daily for clinical signs and mortality, and beginning after 6 months, were examined for palpable masses and dental condition. Moribund

animals or those with ulcerating tumors were sacrificed and subjected to gross necropsy. Hematology and urinalysis examinations were conducted on 10 rats/sex/group on days 174/175, 365/366, 616/617, and 727/727 for males/females, respectively. The hematology determinations of male rats was also conducted on day 183 to verify observations made on day 174. Clinical chemistry analyses were conducted on 10 rats/sex/group on days 176, 372, and 735. Water consumption was determined for representative male and female rats in each group for the first 8 months. At terminal sacrifice, all rats received an ophthalmologic examination and necropsy during which organ weights were recorded for brain, heart, liver, kidneys, and testes. Complete histologic examinations were carried out on all rats in the control and 80 ppm groups at terminal sacrifice. More than 80% of rats in the 20 ppm group were examined for gross lesions, and 23 selected organs and all tissues with grossly recognized tumors were collected for histopathology examination. Because there were signs of upper respiratory tract irritation in the nasal turbinate, about 10 rats/sex/group from the terminal sacrifice were evaluated by light microscopy. In addition, because of brain lesions observed during drinking water studies, nine sections from various regions of the CNS of all rats were examined microscopically.

Noncancer results

Inhalation exposure to AN resulted in significant concentration-related noncancer effects compared with controls (Quast et al., 1980b). Statistically significant ($p < 0.05$) decreases in survival with respect to controls were observed in males after 6 months of exposure at 80 ppm and in females after 10 months of exposure at 80 ppm or 22 months at 20 ppm. The numbers of rats surviving at termination (out of 100/sex) were 18, 14, and 4 males and 22, 9, and 1 females in the control, 20 ppm, and 80 ppm groups, respectively. BWs were decreased by about 10–15% in male and female rats after 9 months of exposure to 80 ppm AN. A significant decrease of less than 10% was also observed in 20 ppm female rats. By the end of the study, BWs in 20 and 80 ppm females were not significantly different from those in controls.

Hb and RBC counts were significantly lower (by ~9%) in rats exposed to 80 ppm for 4–8 months but not later. However, the study authors considered these changes to be a secondary effect of reduced growth, tumor formation, and hemorrhage, resulting from exposure and not due to bone marrow toxicity. Statistically significant increases in water consumption were observed in both exposed groups of male and female rats during the first 6 months of the study and were consistent with slightly decreased urine specific gravity measured in 80 ppm groups during that period. No significant effects on urinalysis parameters were observed after 6 months. Exposure to AN had no consistent significant effect on clinical chemistry parameters or results of ophthalmoscopic examinations. A significant elevation (about 26%) in blood urea nitrogen (BUN) was observed in the 20 and 80 ppm female rats on day 176. SGPT was also elevated by 57% in the 80 ppm females at that time. However, no significant findings of these parameters were found upon subsequent evaluation at a later time interval. Hence, Quast et al. (1980b)

considered these changes as secondary responses and not indications of direct renal or hepatotoxicity from AN exposure. Significant increases in relative weights of brain, heart, and testes of male rats exposed at 80 ppm were considered by the study authors to be a consequence of the reduction in BW.

Gross pathological examinations found statistically significant findings in the nasal turbinates, lungs, teeth (malocclusion), and liver of the 80 ppm rats. Gross observation of male rats indicated significant increase in minimal chronic nephropathy in the 80 ppm group (40/100 vs. 24/100). Significant increase in pneumonia, atelectasis, or edema was found in the 20 and 80 ppm males (14/100, 27/100, and 30/100 for 0, 20, and 80 ppm groups, respectively). Gross observations of noncancer changes included enlarged liver in female rats, with incidence of 2/100, 9/100, and 7/100 in 0, 20, and 80 ppm groups, respectively. (The increased incidence in the 20 ppm group was statistically significant.)

Statistically significant increases in the incidence of histopathologic lesions of the nasal turbinates were observed in all rats exposed at 80 ppm and most rats in the 20 ppm group. These effects were considered by the study authors to be the result of irritant effects of AN (Table 4-37). These lesions appeared in rats sacrificed after at least 13 months (usually 19 months) of exposure. These inflammatory and degenerative changes included hyperplasia, flattening, focal erosion, and squamous metaplasia of the respiratory epithelium and hyperplasia of mucus secreting cells. Flattening of the respiratory epithelium in females and hyperplasia of mucus-secreting cells in males were both significantly increased at the 20 ppm exposure level.

Table 4-37. Incidence of histopathological lesions of the nasal turbinates in Sprague-Dawley rats exposed to AN via inhalation for 2 years

Response	Concentration (ppm)		
	0	20	80
	Incidence		
Males			
Suppurative rhinitis	0/11	1/12	5/10 ^a
Hyperplasia of respiratory epithelium	0/11	4/12	10/10 ^a
Focal erosion of mucous lining	0/11	0/12	4/10 ^a
Squamous metaplasia of the respiratory epithelium	0/11	1/12	7/10 ^a
Hyperplasia of mucus-secreting cells	0/11	7/12 ^a	8/10 ^a
Focal inflammation	0/11	1/12	1/10
Flattening of the respiratory epithelium	0/11	2/12	3/10
Females			
Suppurative rhinitis	1/11	0/10	2/10
Hyperplasia of respiratory epithelium	0/11	2/10	5/10 ^a
Focal erosion of mucous lining	0/11	1/10	1/10
Squamous metaplasia of the respiratory epithelium	0/11	2/10	5/10 ^a
Hyperplasia of mucus-secreting cells	0/11	2/10	8/10 ^a

Table 4-37. Incidence of histopathological lesions of the nasal turbinates in Sprague-Dawley rats exposed to AN via inhalation for 2 years

Response	Concentration (ppm)		
	0	20	80
	Incidence		
Focal inflammation	2/11	6/10	7/10 ^a
Flattening of the respiratory epithelium	1/11	7/10 ^a	8/10 ^a

^aStatistically significant ($p < 0.05$), as calculated by the study authors.

Source: Quast et al. (1980b).

Increase in acute suppurative pneumonia was observed in the lungs of the 80 ppm male rats during the 7–12-month time interval. A nonsignificant increase was also observed in the 20 ppm group.

Other histopathological observations included an increase in the incidence of gliosis and perivascular cuffing in the brain of high-dose rats (either sex) and, in males only, minimal chronic focal progressive nephrosis and formation of keratinized cysts in the thyroid gland (Table 4-38). Incidences of focal necrosis of the liver were increased in 20 and 80 ppm female rats. The incidence of AN-related hyperplasia and hyperkeratosis of the nonglandular portion of the stomach did not achieve statistical significance in either sex but was statistically significant ($p < 0.05$) by Fisher's exact test when the data were combined. There were concentration-related increases in the incidences of several lesions that were secondary to other effects of AN exposure (numerical data not provided here). These included hepatocellular atrophy without fatty changes and atrophy of mediastinal fat in 80 ppm male rats, attributed by the study authors to the decreased feed intake of the rats at the end of the study, and extramedullary hematopoiesis of the spleen in females, a consequence of the reductions in RBC and Hb counts. An increase in lymphoid hyperplasia in males at 80 ppm was interpreted by the study authors to be secondary to tumors of the ear canal and inflammatory changes in the nasal turbinates. A NOAEL was not identified in this study. A LOAEL of 20 ppm was identified for increased lesions of the nasal turbinates (hyperplasia of mucus-secreting cells in males and flattening of the respiratory epithelium in females) and focal necrosis in liver of female rats exposed to AN vapor for 2 years.

Table 4-38. Incidence of dose-related noncancerous histopathological lesions in Sprague-Dawley rats exposed to AN via inhalation for 2 years

Response	Concentration (ppm)		
	0	20	80
	Incidence		
Males			
Focal nephrosis (progressive)	22/100	24/100	48/100 ^a
Minimal chronic nephropathy	24/100	21/100	40/100 ^a
Thyroid cyst	4/95	9/97	13/96 ^a
Gliososis and perivascular cuffing (brain)	1/100	2/99	7/99 ^a
Acute suppurative pneumonia	0/100	4/100	10/100 ^a
Pulmonary changes	15/100	26/100 ^a	20/100
Hyperplasia of the nonglandular epithelium (stomach)	8/98	7/100	16/99
Females			
Gliososis and perivascular cuffing (brain)	0/100	2/100	8/100 ^a
Focal necrosis in liver	3/100	16/100 ^a	10/100 ^a
Vasculization of spinal myelin (minimal)	42/100	67/100 ^a	47/100
Hyperplasia of the nonglandular epithelium (stomach)	2/99	3/99	7/97

^aStatistical significance ($p < 0.05$), as calculated by the study authors.

Source: Quast et al. (1980b).

Cancer results

In rats chronically exposed to AN vapor, there were significant increases in tumors at multiple sites, several of which also had been affected in oral exposure bioassays (Quast et al., 1980b). In males and females exposed to 80 ppm AN, there were increased incidences of astrocytomas of the brain as well as glial cell proliferation that was considered an earlier stage in the progression to astrocytomas. The incidence data in Table 4-39 combine the incidences of astrocytomas and glial cell proliferation. The incidence of CNS tumors was also significantly increased in females exposed to 20 ppm AN and insignificantly increased in males exposed to 20 ppm AN. Carcinomas of Zymbal gland were significantly elevated in the 80 ppm rats of both sexes. Other tumor increases observed in the 80 ppm groups were squamous cell papillomas or carcinomas in the tongue and carcinomas of the intestinal tract of males and adenocarcinomas of the mammary gland in females. An increase was observed in forestomach tumors in 80 ppm male rats and nasal turbinate tumors in 80 ppm female rats. Both types of tumors were considered by the study authors to be treatment related, although the increase was not statistically significant. Table 4-39 presents incidences of AN-induced target organ-specific tumor formation. All tumors were found in exposed rats that died or were sacrificed after 12 months of exposure, with the exception of one male rat with a CNS tumor and three female rats with mammary gland adenocarcinomas that died between 7 and 12 months.

Table 4-39. Cumulative incidence of tumors in Sprague-Dawley rats exposed to AN via inhalation for up to 2 years

Tissue	Males (ppm)			Females (ppm)		
	0	20	80	0	20	80
All brain/CNS ^a	0/96	4/93	22/82 ^b	0/93	8/99 ^b	20/89 ^b
Zymbal gland	2/96	4/93	11/82 ^b	0/93	1/98	11/89 ^b
Intestinal tract	4/96	3/93	17/82 ^b	Not increased		
Mammary gland (adenocarcinomas)	0/100	0/100	1/100	9/93	8/98	20/99 ^b
Mammary gland (total, benign and malignant)	4/100	5/100	7/100	88/100	95/100	85/100
Forestomach (squamous cell papilloma)	1/98	1/100	4/99	0/99	0/99	1/97
Tongue	1/95	0/14	7/82 ^b	0/96	0/9	1/91
Nasal turbinate (carcinoma in respiratory epithelial region)	Not increased			0/11	0/98	2/10

^aIncidence data include all brain/CNS tumors (astrocytomas and glial cell proliferation). Male tumor incidence data are from Tables 22, 25, and 26 of the Quast et al. (1980b) report; female tumor incidence data are from Tables 31, 34, and 35 in the same report. For all incidence data, the denominators excluded rats dying earlier than 12 mos in the study. These data were ascertained from Tables 22, 25, 31, 34, and 35 in the original study report by Quast et al. (1980b).

^bSignificantly different from controls ($p < 0.05$) as calculated by the study authors.

Sources: Dow Chemical (1992a); Quast et al. (1980b).

An apparent decrease in the incidence of tumors of the pituitary, adrenals, thyroid, and pancreas of male and female treated rats, and testes of males, was observed when compared with controls.

4.2.2.2.2. Maltoni et al. (1988, 1977). In studies conducted at the Ramazzini Institute, Maltoni et al. (1988, 1977) reported the results of three cancer bioassays in Sprague-Dawley rats exposed to AN by inhalation. In the first (designated BT201 by the authors), 30 rats/sex/group were exposed to 0, 5, 10, 20, and 40 ppm AN for 4 hours/day, 5 days/week for 52 weeks; the animals then were allowed to complete their natural life spans, with the final deaths occurring in week 136 (Maltoni et al., 1977). Rats were examined 3 times weekly for general health status and subjected to a clinical examination for gross changes every 2 weeks. Rats were weighed every 2 weeks during the exposure period and monthly thereafter. All rats were subjected to gross necropsy. Histopathologic examinations were conducted on all gross lesions and a selection of about 12 organs and tissues, including the Zymbal glands, interscapular brown fat, salivary glands, tongue, lungs, liver, kidneys, spleen, stomach, intestine, bladder, and brain. Only the incidence of neoplastic lesions was reported.

Exposure to AN had no significant effect on survival or BWs in male or female rats. Increased incidences of gliomas, forestomach tumors, Zymbal gland carcinomas, and mammary tumors were reported in the treated group; however, increases in the incidences of these tumors

in treated rats were not statistically significant. Gliomas were found in 1/30 and 2/30 males at 20 and 40 ppm, respectively, but not in controls and other exposed groups of male and female rats. The average latency of the gliomas was shorter at the higher concentration (84 weeks in 20-ppm males vs. 63.5 weeks in 40-ppm males). Forestomach papillomas and acanthomas were found in 0/30, 1/30, 2/30, 0/30 and 3/30 male rats at 0, 5, 10, 20, and 40 ppm, respectively. In females, the incidence of forestomach tumors was 0/30, 1/30, 2/30, 1/30, and 0/30 at 0, 5, 10, 20, and 40 ppm, respectively. The average latency of forestomach tumors ranged from 103 to 124 weeks. Zymbal gland carcinomas were found in 1/30 males in the 10-ppm group and 1/30 females in the 20-ppm group. No Zymbal gland carcinomas were found in the control and other dose groups. The incidence of benign and malignant mammary gland tumors in treated female rats was increased over controls, but the increase was not dose related (5/30 [controls], 10/30 [5 ppm], 7/30 [10 ppm], 10/30 [20 ppm], and 7/30 [40 ppm]) (Maltoni et al., 1977).³

In two additional cancer bioassays, Maltoni et al. (1988) exposed Sprague-Dawley rats to AN by inhalation beginning in gestation. In the first bioassay (designated BT4003), 54 adult pregnant females, beginning on gestation day 12, were exposed to 60 ppm AN for 4 hours/day, 5 days/week for 7 weeks and then 7 hours/day, 5 days/week for 97 weeks. A group of 60 unexposed adult females served as controls. Gestation was permitted to proceed normally and the offspring were exposed on the same schedule as the dams. The exposed offspring included 67 males and 54 females; the controls included 158 males and 149 females.

Overall, there was a statistically significant treatment-related increase in the percentage of dams with malignant tumors at all sites (37 vs. 15%). Increased incidences in exposed dams compared with controls were observed for several sites (Zymbal gland carcinomas, mammary gland carcinomas, malignant mammary gland tumors, extrahepatic angiosarcomas, and encephalic gliomas), but none of these was statistically significantly different from controls. No hepatomas were observed in exposed or unexposed dams. These tumor results are summarized in Table 4-40.

³ Discrepancies were noted in the incidence of mammary gland tumors for controls and 40-ppm females as reported in a subsequent report of this study (Maltoni et al., 1988). In the 1988 publication, the incidence of mammary gland tumors was reported as 20% (6/30) in control females and 26.7% (8/30) in 40-ppm females. Also in the 1988 publication, encephalic gliomas were reported in both male and female rats at 20- and 40-ppm, whereas the 1977 publication reported gliomas in male rats only; glioma incidences reported in Maltoni et al. (1988) were: 20-ppm females—3.3% (1/30); 40-ppm females—3.3% (1/30); 20-ppm males—3.3% (1/30); 40-ppm males—6.7% (2/30)..

Table 4-40. Comparison of carcinogenic effects of chronic exposure to AN at 60 ppm starting either in utero or in adulthood, in Sprague-Dawley rats

Stage during exposure	Exposure protocol	Sex ^a	Number of rats at start ^b	Percent with tumor				
				Brain tumors (encephalic gliomas)	Zymbal gland carcinomas	Hepatomas	Malignant mammary tumors	Extra-hepatic angio-sarcomas
Adult only	Chronic ^c	F	54	5.5	5.5	0.0	5.5	1.8
	Unexposed controls	F	60	0.0	1.7	0.0	3.3	0.0
Starting at GD 12	Chronic	M	67	16.4	14.9 ^c	7.5 ^d	0.0	4.4
		F	54	18.5	1.8	1.8	16.7 ^d	5.5 ^d
		M+F	121	17.3	9.1	4.9	7.4	4.9
	Subchronic ^e	M	60	5.0	6.7	1.7	0.0	5.0
		F	60	3.3	1.7	0.0	6.7	1.7
		M+F	120	4.2	4.2	0.8	3.3	3.3
	Unexposed controls	M	158	1.3	1.3	0.6	1.9	0.6
		F	149	1.3	0.0	0.0	5.4	0.0
		M+F	307	1.3	0.7	0.3	3.6	0.3

^aF = female; M = male.

^bAnimals were allowed to live until spontaneous death.

^cChronic: 60 ppm AN for 4 hrs/d, 5 d/wk for 7 wks (starting during gestation), followed by 7 hrs/d for 97 wks.

^dStatistically significantly higher than corresponding control incidence, $p < 0.05$.

^eSubchronic: 60 ppm AN for 4 hrs/d, 5 d/wk for 7 wks (starting during gestation), followed by 7 hrs/d for 8 wks.

Source: Maltoni et al. (1988).

In contrast, chronically exposed male and female offspring showed statistically significant increases in the incidences of malignant tumors of the mammary gland in females, extrahepatic angiosarcomas in females, hepatomas in males, Zymbal gland carcinomas in males, and encephalic gliomas (see Table 4-40).

In the second bioassay (designated BT4006), Sprague-Dawley rats were initially exposed to AN under the same exposure conditions as bioassay BT4003; however, exposure of the offspring (127 males and 114 females) ended after 15 weeks (Maltoni et al., 1988). This group of offspring was exposed for 4 hours/day, 5 days/week for 7 weeks starting on GD 12, followed by exposure for 7 hours/day, 5 days/week for 8 weeks. All animals were kept under observation until spontaneous death, at which time they were examined for the presence of tumors. The control group of offspring was the one used in experiment BT4003 (158 males and 149 females). There was a statistically significant increase in the total incidence of malignant tumors in exposed offspring compared with controls for both males (31.7 vs. 17.1%, $p < 0.05$) and females (35.0 vs. 17.4%, $p < 0.01$). Increased incidences of the following tumors were observed when compared with controls, although the incidences were not statistically significantly different: Zymbal gland tumors in males and females combined; extrahepatic angiosarcomas in males; encephalic gliomas in males and females combined; and hepatomas in males (see Table 4-40).

NTP recently released a memorandum (Malarkey et al., 2010) that discussed differences of opinion between NTP scientists and the Ramazzini Institute in the diagnoses of certain cancers reported in a methanol study conducted by the Ramazzini Institute. See Section 5.4.4.3 for additional information on the use of the Maltoni et al. studies in this assessment.

4.3. REPRODUCTIVE/DEVELOPMENTAL STUDIES—ORAL AND INHALATION

4.3.1. Studies in Humans

Four studies of reproductive history of women occupationally exposed to AN in chemical factories in China were identified; two of these also included data pertaining to birth outcomes among partners of male workers in these factories. Two other studies examined effects on testosterone and sperm parameters among male workers, and one other case-control study examined congenital abnormalities in relation to proximity to a factory that used AN. These studies are summarized in Table 4-41, and briefly described below.

Table 4-41. Epidemiology studies of reproductive and developmental outcomes among cohorts of workers exposed to AN

Reference	Study population	Exposure assessment	Toxic effects/outcome
Wu et al. (1995)	477 female AN workers; 527 workers	No data	Increased vomiting, anemia, preterm delivery, and birth defects in pregnant females
Dong et al. (2000b); (update of Dong and Pan [1995])	548 male and 391 female workers in a Chinese chemical fiber plant with averages of 11.0 and 10.4 yrs of employment; 496 male and 427 female unexposed controls	Workplace air concentrations = 0.05–7.1 ppm; midpoint = 3.6 ppm	Statistically significantly increased prevalence of adverse reproductive outcomes (increased stillbirths, birth defects, premature deliveries, and sterility) in female workers compared with controls; similar results with respect to stillbirth and birth defects seen for wives of male workers; see Table 4-23
Li (2000)	379 female AN manufacturing workers employed an average of 14 yrs; 511 unexposed controls	Average workplace air concentration = 7.5 ppm; range = 0–70 ppm	Statistically significant increased prevalence of adverse reproductive outcomes (sterility [2.6 vs. 0.8%], pregnancy complications [20.8 vs. 7.1%], premature deliveries [11.6 vs. 4.7%], and congenital defects [25.4 vs. 4.2%]) in female exposed workers compared with controls
Czeizel et al. (2000, 1999)	Case control study of babies born with congenital abnormalities in the vicinity of an AN-using factory	No data	Incidence of undescended testis possibly related to proximity to the AN-using facility
Xu et al. (2003)	30 AN-exposed workers, age range = 25–30 yrs, with exposure time of 2.8 yrs; 30 unexposed controls, age range = 24–35 yrs	Average workplace air concentration = 0.37 ppm	Statistically significant decrease in sperm density ($75 \times 10^6 \text{ ml}^{-1}$ vs. $140 \times 10^6 \text{ ml}^{-1}$); statistically significantly higher comet sperm nuclei (28.7 vs. 15%); increase in sex chromosome disomy (0.69 vs. 0.35%)
Ivanescu et al. (1990)	39 subjects (May 1975), 109 subjects (March 1976), 149 subjects (May 1977)	No data	Reduced serum testosterone

Wu et al. (1995) reported statistically significant increases in the incidence of pernicious vomiting, anemia, preterm delivery, and birth defects in 477 females exposed to AN in the workplace compared with 527 controls; increased risk of birth defects and preterm birth was seen in logistic regression analyses of these data. Only limited information is currently available concerning details of this study, however, because it was published in a Chinese journal with only the abstract translated to English. EPA did not obtain a translation of the full article because more recent papers with similar data were available.

Dong et al. (2000a,b) examined reproductive outcomes in 548 male and 391 female workers at a chemical fiber plant in China. (This is an expanded follow-up to an earlier study conducted in this plant, described in Dong and Pan, 1995⁴). The mean concentration of AN in the air in work areas of the plant was over 2 mg/m³ in most areas of the plant, and ranged from 0.11 to 15.5 mg/m³ (0.05–7.1 ppm; midpoint = 7.8 mg/m³ [3.6 ppm]). Controls in the study were 496 male and 427 female workers who had not been exposed to AN. Average employment durations for the exposed males and females were 11.0 ± 4.5 and 10.4 ± 3.8 years, respectively. The average ages of the male and female workers were 33.8 ± 4.6 and 32.4 ± 3.9 years, respectively. The age, length of service, and lifestyle of the controls were similar to the exposed workers. Data were collected using a structured interview with questions pertaining to medical history, smoking and alcohol use, occupational history, and menstrual and reproductive history. This information was also obtained for the female spouses of the exposed workers and controls.

There were 614 pregnancies and 574 live births among the 548 wives of exposed men, and 510 pregnancies and 494 live births among the 496 wives of the control men (Dong et al., 2000b). The rate of stillbirths among all pregnancies (n = 13, 2.1% among exposed wives and n = 3, 0.59% in control wives) and the rate of birth defects among live births (n = 9, 15.7 per 1000 among exposed wives and n = 3, 6.1 per 1000 among control wives) were more than doubled in the exposed group. Similar or even stronger associations were seen in the analysis of reproductive outcomes among female workers and their controls. There were 413 pregnancies and 375 live births among the 391 female exposed workers, and 439 pregnancies and 416 live births among the 427 female controls. A more than twofold increase was seen in the rate of several outcomes. The rate of premature births was 8.2% (n = 34) in exposed and 3.9% (n=17) in controls and the rate of stillbirth was 2.7% (n = 11) in exposed and 1.1% (n = 5) in controls. Among live births, the rate of birth defects was 21.3 per 1000 (n = 8) in exposed and 4.8 per 1000 (n = 2) among controls, and the newborn mortality rate was 10.7 per 1000 (n = 4) among exposed and 4.8 per 1000 (n = 2) among controls. All of these differences, except the mortality rates, were statistically significant (*p* < 0.05). Based on these results, EPA identified the midpoint of the range of workplace air concentrations, 3.6 ppm, as a LOAEL for statistically significantly increased prevalence of adverse reproductive outcomes (increased stillbirths, birth

⁴ The publication date is variously reported in the available translations as 1993 or 1995.

defects, and premature deliveries) in female exposed workers employed for an average of 11 years.

Li (2000) used a similar study design to examine reproductive outcomes in 379 female workers in a Chinese AN manufacturing company and in 511 unexposed control workers from a bed sheet factory and a biological research institute in 1991. The average age and duration of employment of the exposed workers were 33.95 years (22.75–54.83 years) and 14.10 years (3.25–34.45 years), respectively. Average age and employment duration of the control workers were reported to be similar to the exposed group. Monthly workplace air concentration data for 1989 and 1990 were provided by the factory. The average AN air concentration was reported as 16.35 mg/m³ (7.5 ppm, range = 0–152.88 mg/m³ [0–70 ppm]). Statistically significant increased prevalences of the following reproductive outcomes were found, compared with controls: sterility (2.64 vs. 0.78%), pregnancy complications (20.8% vs. 7.14%), premature deliveries (11.62 vs. 4.72%), and congenital defects (25.4 vs. 4.2%). Li (2000) also divided the exposed group into female workers with and without exposed male partners. Prevalence rates for several adverse reproductive outcomes (pregnancy complications, premature delivery, late delivery, stillbirths, and congenital deficits) were statistically significantly elevated in females with exposed partners, compared with those with nonexposed partners. EPA considered the average workplace AN air concentration, 7.5 ppm, as a LOAEL for increased prevalence of adverse reproductive outcomes in female AN manufacturing workers employed for an average of 14 years.

The epidemiological report and follow-up by Czeizel et al. (2000, 1999) examined the environmental distribution of congenital abnormalities in 30 settlements within a 25 km radius of an AN factory, drawing on data from the Hungarian Congenital Abnormality Registry covering 46,326 infants born between 1980 and 1996. A number of time-space-specific clusters of abnormalities were identified among the subjects, the most striking of which was the incidence of pectus excavatum in the community of Tata between 1990 and 1992. This effect was associated with an OR of 78.5 (95% CI 8.4–729.6). Other clusters of congenital abnormalities in the vicinity of the factory were undescended testis in the community of Nyergesujfalu between 1980 and 1983 (OR = 8.6, CI = 1.4–54.3) and at Esztergom between 1981 and 1982 (OR = 4.2, CI = 1.3–13.5) and clubfoot in the Tata community between 1980 and 1981 (OR = 5.5, CI = 1.5–20.3). Exposure data, and specifically exposure variation by area and time, were not included in the analysis. The study authors stated that there was a technological change at the AN factory in 1984 that resulted in greater environmental protection, implying that releases of AN to the environment were less after 1984 than before the change. This suggested that the cluster of pectus excavatum obtained at Tata between 1990 and 1992 was unlikely to have been due to AN exposure. However, the high incidence of undescended testis in Nyergesujfalu between 1980 and 1983 may have been an environmental phenomenon, because the region-wide incidence of this congenital abnormality appeared to decrease with increasing distance from the factory. In

general, however, it was difficult to draw conclusions about a link between maternal exposure to AN and the incidence of congenital abnormalities from the data in this study because of a lack of exposure data.

Xu et al. (2003) performed conventional sperm analysis according to WHO guidelines and investigated DNA strand breakage and sex chromosome aneuploidy in spermatozoa of 30 AN-exposed workers compared with 30 unexposed controls (recruited from the general population). The age of exposed workers ranged from 25 to 30 years and the age of the controls ranged from 24 to 35 years. All of the subjects were non-smokers and non-regular drinkers, with no chronic disease or exposure to chemotherapy or radiotherapy. The mean concentration of AN at exposure sites was reported to be $0.8 \pm 0.25 \text{ mg/m}^3$ (0.37 ppm); the mean duration of exposure was 2.8 years. Sperm density was significantly lower in the exposed group ($75 \times 10^6/\text{mL}$) than in the control group ($140 \times 10^6/\text{mL}$). Sperm number per ejaculum was 205×10^6 in the exposed group, significantly lower than the 280×10^6 spermatozoa in the control. There were no significant differences between the groups in semen volume, sperm motility, viability, or morphology. Xu et al. (2003) used single cell gel electrophoresis (comet assay) to monitor the incidence of DNA strand breakage of sperm cells. The rate of comet sperm nuclei was 28.7% in the exposed group, significantly higher than in the control group (15.0%). Mean comet tail length was $9.8 \mu\text{m}$ in exposed workers but $4.3 \mu\text{m}$ in control workers. The frequency of sex chromosome aneuploidy in sperm cells was analyzed using fluorescence in situ hybridization (FISH). Sex chromosome disomy was found to be 0.69% in the exposed group, significantly higher than 0.35% in controls. XY-bearing sperm was the most common sex chromosome disomy, with an average rate of 0.37% in exposed vs. 0.20% in controls. XX- and YY-bearing sperm accounted for an additional 0.09 and 0.23% of sperm in exposed vs. 0.05 and 0.10% in controls, respectively. Xu et al. (2003) concluded that AN exposure affected semen quality among occupationally exposed persons by the induction of DNA strand breakage and sex chromosome nondisjunction.

Ivanescu et al. (1990) used a radioimmunoassay to measure serum levels of testosterone in three groups of male workers exposed to AN in a chemical factory. Blood samples were taken from 39 subjects in May 1975, from 109 subjects in March 1976, and from 149 subjects in May 1977. Subjects were between 19 and 40 years old and had been employed at the facility from 6 months to 10 years. Controls in the study consisted of 145 unexposed men ages 17 to 49 years. There were five groups of controls (37 blood donors, 23 new workers, 84 workers exposed to other chemicals at 3 other plants in the region, including 23 workers using sodium cyanide, 22 workers using cyan derivatives, and 39 workers using Pyrolysis technology). The three groups of exposed subjects had average serum testosterone concentrations ranging from 3.5 to 4.1 ng/mL. This compared with average values ranging from 5.4 to 7.3 ng/mL in different subsets of the 145 control subjects. Although the time of blood sampling during the day was variable in this study and the circadian rhythm of testosterone was unknown, testosterone concentrations in sera

of exposed groups were lower than in control groups of the same month. However, no data were presented in the report on the level of exposure to AN or other chemicals.

4.3.2. Studies in Animals

4.3.2.1. Oral Studies

Assessments of reproductive/developmental effects of AN in orally exposed animals are derived from standard toxicity assays in rats and mice, standard developmental toxicity assays in female rats, and a three-generation reproductive toxicity assay in male and female rats.

4.3.2.1.1. Standard toxicity assays: reproductive organ pathology. As described in Section 4.2.1, standard 2-year oral toxicity assays in rats revealed no evidence for increased reproductive histopathology in males or females exposed to AN at doses as high as 8–25 mg/kg-day (Johannsen and Levinskas, 2002a, b; Quast, 2002; Biodynamics 1980a, b, c; Quast et al., 1980a). In addition, there was no evidence for adverse effects of AN on functional reproductive parameters (sperm morphology, estrous cycle) or the histology of reproductive organs in male B6C3F₁ mice treated with AN by gavage 5 days/week at 20 mg/kg-day or in females at 40 mg/kg-day for 14 weeks (NTP, 2001). In this subchronic study, the weights of the left cauda epididymides were significantly elevated compared with controls in male mice exposed to 10 and 20 mg/kg-day, but this effect was not considered biologically significant in the absence of histopathology. In the companion 2-year gavage assay (NTP, 2001), no reproductive histopathology was observed in male mice treated 5 days/week with AN at doses as high as 20 mg/kg-day, but effects were observed in females (Table 4-33). The incidence of ovarian cysts was significantly elevated at 2.5, 10, and 20 mg/kg-day, and the incidence of atrophy of the ovary increased at 10 and 20 mg/kg-day. Atrophy was severe and was characterized by lack of histologically evident follicle and corpus luteum development with a predominance in interstitial tissue. The lowest dose of 2.5 mg/kg-day in this study was identified as the LOAEL for ovarian cysts and atrophy.

4.3.2.1.2. Developmental toxicity assays. Dow Chemical (1976b) evaluated developmental effects in pregnant female Sprague-Dawley rats (29–39/group) that received 10, 25, or 65 mg/kg AN by gavage in water on GDs 6–15; an additional group of 43 controls received water alone. Dams were observed daily for clinical signs and weighed on GDs 6, 10, 16, and 21. Food and water consumption were monitored at 3-day intervals on GDs 6–21, at which point all animals were sacrificed and maternal liver weights were recorded. Among the reproductive parameters evaluated were the numbers and positions of live, dead, and resorbed fetuses and the number of implantation sites. Developmental toxicity was evaluated by the weight, sex ratio, and crown-rump length of the fetuses. One-third of the fetuses in each litter were evaluated for visceral malformations and soft tissue abnormalities; the rest were examined for skeletal alterations.

Maternal toxicity of AN was most evident in the high-dose group. Systemic effects observed only at this dose included a single maternal death on GD 6, an increase in clinical signs (hyperexcitability and excessive salivation) during the dosing period, BW gain reduced 46% compared with controls by GD 15 (22% by GD 21), water consumption significantly increased by an unspecified amount on GDs 6–20, and a statistically significant 11% increase in absolute (but not relative) liver weight compared with controls. Food consumption was significantly reduced by an unspecified amount compared with controls in high- and mid-dose dams on GDs 6–8. At necropsy, most (number not specified) dams dosed with 65 mg/kg-day and 3/33 dams dosed with 25 mg/kg-day displayed a thickening of the nonglandular portion of the stomach. Pregnancy rate was significantly decreased among dams given 65 mg/kg-day AN, with only 20 of 29 dams producing litters. Uterine staining revealed implantation sites in four additional dams. A NOAEL for maternal toxicity was identified as 10 mg/kg-day AN, and 25 mg/kg-day was the LOAEL for hyperplasia of forestomach.

Exposure to AN had no statistically significant effect on the average numbers of implantations/dam, live fetuses/litter, or resorptions/litter; the average sex ratio of litters was not reported. At 65 mg/kg-day, there were statistically significant reductions in fetal BW (by 7.4%) and crown-rump length (by 1.8%) compared with controls. The high-dose group also showed a significant increase in external malformations (short tail in 6/17 litters and short trunk in 3/17 litters); there was also a significant increase in skeletal malformations (missing vertebrae) (Table 4-42). The defect ranged in severity from the absence of a single lumbar vertebra to the absence of all sacral and lumbar vertebrae and most thoracic vertebrae. Although the incidence of malformations at 25 mg/kg-day was not statistically significant (Table 4-42), a LOAEL of 25 mg/kg-day was identified for fetal malformation in Sprague-Dawley rats. The NOAEL for fetal toxicity was 10 mg/kg-day.

Table 4-42. Incidence of fetal abnormalities among litters of Sprague-Dawley rats following maternal exposure to AN on GDs 6–15

Type of malformation	AN (mg/kg-d)			
	0	10	25	65
	<i>Number of fetuses affected/number of litters examined</i>			
External and skeletal malformations	443/38	388/35	312/29	212/17
Visceral malformations	154/38	135/35	111/29	71/17
	<i>Number of fetuses (litters) affected</i>			
External malformations				
Short tail	1 (1)	0 (0)	2 (2)	8 (6) ^a
Short trunk	0 (0)	0 (0)	0 (0)	3 (3) ^a
Imperforate anus	0 (0)	0 (0)	0 (0)	2 (2)
Visceral abnormalities				
Right side aortic arch	0 (0)	0 (0)	1 (1)	1 (1)
Missing kidney, unilateral	1 (1)	0 (0)	0 (0)	1 (1)
Anteriorly displaced ovaries	0 (0)	0 (0)	1 (1)	1 (1)
Skeletal malformations				
Missing vertebrae	1 (1)	0 (0)	2 (2)	8 (6) ^a
Missing two vertebrae and two ribs	7 (1)	0 (0)	7 (2)	0 (0)
Hemivertebrae	0 (0)	0 (0)	0 (0)	0 (0)
Total malformed	8 (2)	0 (0)	10 (4)	8 (6) ^a

^aSignificantly different from controls ($p < 0.05$), as determined by the study authors.

Source: Murray et al. (1978).

Behavioral teratogenicity of AN was examined in the progeny of pregnant Wistar rats (15/group) that received 0 or 5 mg/kg-day AN by gavage on GDs 5–21 (Mehrotra et al., 1988). Dams were weighed at intervals, and food and water intakes and the length of gestation were recorded. At parturition (postnatal day [PND] 0), litters were culled to four males and four females. On PND 1, pups were sexed and examined for gross external anomalies; litters with fewer than two/sex were rejected. Eight pups from four litters were examined for behavioral abnormalities, including tests for spontaneous locomotion and passive avoidance. Excised brains of 21-day-old pups were assayed for biogenic amines (noradrenaline, dopamine, and 5-hydroxytryptamine) and for the activities of Na^+ , K^+ -adenosine triphosphatase (ATPase), monoamine oxidase, and acetylcholinesterase.

Exposure to AN at 5 mg/kg-day had no significant effect on BW or food and water intakes in dams or on reproductive parameters such as gestational length, number of viable offspring, or pup sex ratio. No AN-induced effects were observed on postnatal morphological development, pup BWs, developmental indices (eye opening or incisor eruption), or tests of neurological impairment (righting reflex, cliff avoidance, and grip strength). The levels of biogenic amines were significantly altered in specific brain regions as a result of exposure.

Levels of 5-hydroxytryptamine were increased by 32% in the pons medulla and decreased by 44% in the corpus striatum and 30% in the hippocampus. Levels of noradrenaline were decreased by 40% in the pons medulla and increased by 81% in the hippocampus. Gestational exposure to AN resulted in a statistically significant 49% reduction in brain levels of monoamine oxidase in 21-day-old pups; levels of acetylcholinesterase and Na^+, K^+ -ATPase were not significantly affected. The biological significance of the enzyme and neurotransmitter changes was unclear, given that no treatment-related behavioral effects were observed. However, Mehrotra et al. (1988) noted that alterations in the levels of biogenic amines may become more prominent after prolonged exposure or exposure to higher doses of AN.

In a study comparing developmental toxicities of aliphatic nitriles in vitro and in vivo, Saillenfait and Sabaté (2000) administered a single dose of 0 or 100 mg/kg AN by gavage in olive oil to groups of four pregnant Sprague-Dawley rats on GD 10. Dams were sacrificed on GD 12, and the numbers of uterine implantation sites and fetuses with heartbeats were recorded. Viable fetuses were examined for defects of the allantois, trunk, and misdirected caudal extremity (left-sided), which the investigators had found to be typical in embryos exposed to sodium cyanide. Maternal effects of all of the nitriles (including AN) included increases in clinical signs (piloerection, prostration, and/or tremors) and unspecified maternal BW loss between GDs 10 and 12. AN exposure had no effect on the numbers of implants/litter or live embryos/litter, but significantly increased the incidence of overall poor and abnormal development and the incidence of misdirected allantois or allantois, trunk, and caudal extremity misdirected (Table 4-43). The study authors suggested that maternal production of the metabolite cyanide may have contributed to the developmental toxicity of AN since the characteristic defects that occurred in embryos exposed to cyanide were found in AN-exposed embryos. The single applied dose of 100 mg/kg is a LOAEL for maternal and fetal effects.

Table 4-43. Morphological alterations in GD 12 fetuses of Sprague-Dawley rats exposed to 100 mg/kg AN on GD 10

Response	Group (number of litters examined)	
	Control (n = 4)	100 mg/kg (n = 4)
Implants/litter	13.25 ± 1.71	13.75 ± 0.96
Live embryos/litter	13.0 ± 1.83	12.5 ± 1.0
Total embryos examined	52	50
<i>Allantois, trunk, and/or caudal extremity misdirected</i>		
Embryos affected/embryos examined	0/52	13/46 ^a
Litters affected/litters examined	0/4	3/4

^aSignificantly different from controls ($p < 0.01$) as calculated by the reviewers (Fisher's exact test).

Source: Saillenfait and Sabaté (2000).

4.3.2.1.3. Reproductive toxicity assay. Friedman and Beliles (2002) and Litton Bionetics (1992) conducted a three-generation reproductive study in Sprague-Dawley rats (groups of 15 males and 30 females) exposed to 100 or 500 ppm AN in drinking water for 100 days before mating. Groups of 10 male and 20 female controls received untreated drinking water. As calculated by the study authors, the concentrations were equivalent to average doses of 0, 11, and 37 mg/kg-day for males and 0, 20, and 40 mg/kg-day for females. The calculated average doses, averaged across sexes, were 0, 16, and 39 mg/kg-day. Rats were observed daily, especially for signs of neurotoxicity (e.g., abnormal gait). Water consumption in the F0 generation was measured twice a week, food intake was measured weekly, and BWs were recorded every 2 weeks. After 100 days of exposure, rats were paired for mating for 6 days; females not bred after 6 days were mated to another proven breeding male from the same exposure group. The offspring (F1a) of the first mating were examined on PNDs 0, 4, and 21. Litters were culled to 10 pups on PND 4 to achieve an equal sex ratio. Litter BWs were recorded on PND 4 and individual pup weights were recorded on PND 21. Two weeks after removal of the F1a offspring, F0 females were remated to produce the F1b litter, and those not used in breeding F1a were also mated to produce F1b pups to ensure a sufficient number of offspring for the F2 generation, although these animals should have been discarded according to the original study design. The original study design stipulated discarding F1a pups (those not scheduled for breeding) at weaning, but, because of high mortality in the 500 ppm group, they were retained to ensure sufficient time-mated females to use as foster mothers (one-half of each high-dose litter was fostered onto untreated females). All F0 males used for breeding were discarded after the second mating, and those not used in breeding were discarded when the F1a litter were weaned.

At weaning (21 days), one male and one female from the unfostered F1b litter (or from the F1a litter, if needed) were selected as potential breeders for the F2 generation. All F1 offspring exposed to AN were checked daily for mortality, and necropsies were performed on all animals either found dead or killed in a moribund condition. The F0 dams and females not producing a litter were exposed for an additional 20 weeks after weaning the F1b pups. After that period, they were sacrificed and the sciatic nerve, gastrocnemius muscle, brain, and gross lesions were examined for histopathology. F1a and F1b rats were sacrificed in week 95 of the study and necropsied for the examination of papillomas in the stomach and intestines. The protocol for the subsequent generations (F1b parents and F2a and F2b offspring; F2b parents and F3a and F3b offspring) was as described for the first generation. F2 females, after an additional 20 weeks of exposure following the weaning of the F3b litters, were necropsied, and sciatic nerve, gastrocnemius muscle, brain, stomach, and gross lesions were evaluated for histopathology. F3b rats (10/sex) in the control and 500 ppm groups were randomly selected for histologic examination.

The following discussion of results does not include cancer data from this study, which were presented separately in Section 4.2.1.2.9. In F0 parents, BWs were lower than controls

after 4 weeks of exposure to 500 ppm, the reduction reaching 22% in males and 17% in females by week 10. This BW reduction was accompanied reduction in food intake by ~18% in 500 ppm males and 8% in 500 ppm females for the first 10 weeks. Water consumption was reduced in males and females by about 50% at the high dose and 20–25% at the low dose for the first 10 weeks. Reduced water consumption and possibly BWs may have been a result of reduced palatability of treated water. Exposure to AN had no effect on the incidence of neurological or other clinical signs, male or female fertility indices, or the duration of mating or gestation of F0 parents.

Compared with controls, no significant changes in fertility index or gestational index were observed in any of the exposed generations (Table 4-44) (Friedman and Beliles, 2002; Litton Bionetics, 1992). However, poor fertility among controls for the F1b (50–60%) and F2b (60–70%) parents might have limited the capability of this study to detect differences in fertility between control and treated rats (Table 4-44). The durations of mating and gestation were also unaffected, except the mating duration of F2b rats for F3a generation. Significant decreased viability and lactation indices were observed in the 500 ppm F0 parents for the F1a generation, due to the deaths of pups between 1–4 and 5–21 days, respectively. Significant decreases in viability index were also observed in 100 and 500 ppm F0 parents for the F1b generation and in 500 ppm F2b parents for the F3a generation (Table 4-44). In addition, decreases of about 10–40% in pup weights were observed in the F1a, F1b, F2a, F2b, F3a, and F3b generations in the 500 ppm groups (Table 4-45). Overall, the results identified a drinking water concentration of 100 ppm (16 mg/kg-day) as a reproductive toxicity LOAEL for decrease in lactation index in F2a generations. Although no effects on fertility were observed in this three-generation study, poor fertility among controls in F1b and F2b parents might have limited the sensitivity of this assessment. The study also identified a LOAEL of 100 ppm for reduced viability in F0 parents.

Table 4-44. Group-specific reproductive indices in three generations of Sprague-Dawley rats receiving AN in drinking water

Generation/group	Male ^{a,b}	Female ^{c,d}	Gestation index ^e	Viability index ^f	Lactation index ^g
	Fertility index				
F0 parents for F1a					
Control	10/10	18/20	18/18	185/186	138/150
100 ppm	8/10	16/20	16/16	197/201	139/150
500 ppm	10/10	16/20	16/16	166/177 ^h	95/143 ^h
F0 parents for F1b					
Control	10/10	16/20	16/16	186/186	137/150
100 ppm	10/10	17/20	17/17	182/202 ^h	132/139
500 ppm	13/15	22/28	22/22	99/109 ^h	87/99
F1b parents for F2a					
Control	5/10	10/20	10/10	107/109	91/91
100 ppm	7/10	11/20	11/11	116/124	95/104 ^h
500 ppm	8/10	14/20	14/14	133/140	107/114 ^h
F1b parents for F2b					
Control	6/10	10/20	10/10	101/101	82/82
100 ppm	5/10	8/20	8/8	93/97	70/73
500 ppm	8/10	14/20	14/14	138/138	123/123
F2b parents for F3a					
Control	6/10	14/20	14/14	161/161	128/131
100 ppm	9/10	13/20	13/13	157/158	124/124
500 ppm	10/10	15/20	15/15	157/166 ^h	134/135
F2b parents for F3b					
Control	9/10	14/20	14/14	170/176	106/108
100 ppm	10/10	15/20	15/15	198/198	117/119
500 ppm	10/10	17/20	17/17	170/178	115/125

^aDoses in males: 0, 11, or 37 mg/kg-d for 0, 100, or 500 ppm as calculated by the study authors.

^bFertility index in males = number of males producing a litter/number mated.

^cDoses in females: 0, 20, or 40 mg/kg-d for 0, 100, or 500 ppm, as calculated by the study authors.

^dFertility index in females = number of pregnant females/number mated.

^eGestation index = number of litters born/number of pregnant females.

^fViability index = number of pups that survived to PND 4/number of pups born alive.

^gLactation index = number of pups surviving to weaning/number of pups alive on PND 4.

^hSignificantly lower than controls ($p < 0.05$), as calculated by the study authors.

Sources: Friedman and Beliles (2002); Litton Bionetics (1992).

Table 4-45. Group-specific pup weights in three generations of Sprague-Dawley rats receiving AN in drinking water

Generation-concentration	Weight (g)	
	D 4	D 21 (males)
F1a-control	11	42
F1a-100 ppm	10	40
F1a-500 ppm	9 ^a	28 ^a
F1b-control	10	39
F1b-100 ppm	9	36
F1b-500 ppm	10	34 ^a
F2a-control	11	39
F2a-100 ppm	10	39
F2a-500 ppm	9 ^a	30
F2b-control	11	53
F2b-100 ppm	10	46
F2b-500 ppm	9	30 ^a
F3a-control	10	43
F3a-100 ppm	9	43
F3a-500 ppm	8 ^a	30 ^a
F3b-control	10	50
F3b-100 ppm	10	47
F3b-500 ppm	8 ^a	32 ^a

^aSignificantly different from controls ($p < 0.05$), as calculated by the study authors.

Sources: Friedman and Beliles (2002); Litton Bionetics (1992).

4.3.2.1.4. Male exposure reproductive toxicity studies. Tandon et al. (1988) evaluated reproductive toxicity in male CD-1 mice that received 0, 1, or 10 mg/kg-day AN by gavage in saline for 60 days. The testes of six mice/group were examined histopathologically, and homogenates of pooled testes (four testes) in each group were assayed for the activities of sorbitol dehydrogenase (SDH), acid phosphatase, LDH, glucose-6-phosphatase dehydrogenase, and β -glucuronidase.

Exposure to AN decreased the epididymal sperm count by 21 and 45% at the low- and high-dose group, respectively. However, the decrease was statistically significant ($p < 0.05$) only with the 10 mg/kg-day group. Histopathological examination of the testes did not reveal changes in the low-dose group. In high-dose mice, degenerative changes were seen in 40% of seminiferous tubules. In addition, the testes of mice dosed with 10 mg/kg-day showed a 12% increase in the activity of LDH, a 22% decrease in the activity of SDH, a 37% increase in the activity of β -glucuronidase, and a 16% decrease in the activity of acid phosphatase compared with controls. AN exposure had no effect on the activity of testicular glucose-6-phosphatase dehydrogenase. The study authors suggested that the changes in the activities of LDH and SDH

were related to the AN-induced degeneration of germinal epithelium. In this study, a NOAEL of 1 mg/kg-day and a LOAEL of 10 mg/kg-day were identified for the toxicological effects of AN on the testes of male CD-1 mice.

In a range-finding acute toxicity study for a dominant lethal assay, groups of 10 male F344 rats received 45, 60, 68, 75, or 90 mg/kg-day AN by gavage in 0.9% saline daily for 5 days (Working et al., 1987). Rats were observed for a total of 42 days from the first administration. No deaths were observed in groups receiving 45 or 60 mg/kg-day. In the higher dose groups, the study was terminated early on account of mortality: 40% at 68 mg/kg-day (terminated on day 6), 30% at 75 mg/kg-day (terminated on day 4), and 30% at 90 mg/kg-day (terminated on day 2). As a result of this range-finding study, 60 mg/kg-day was selected as the maximum tolerated dose for the dominant lethal assay. In this assay, groups of 50 male F344 rats received AN by gavage at 0 or 60 mg/kg-day in 0.9% saline for 5 days. BWs of males were recorded three times during the week of treatment and then weekly during the mating period of 10 weeks; males were caged with a different female each week for 6 days. A transient reduction in mean BW (~4%) compared with controls was observed in rats on treatment days 3 and 5 and on the fourth post-treatment day; BW gain in treated rats was equivalent to controls beginning the second week of observation. AN exposure in males had no effect on the incidence of pre- or postimplantation losses, indicating a negative result in the dominant lethal assay. AN also had no effect on the fertility of exposed males in any postexposure week.

4.3.2.2. Inhalation Exposure

Information about reproductive/developmental toxicity in animals exposed to AN by inhalation comes from a standard chronic toxicity assay in rats, developmental toxicity assays in rats, a two-generation reproductive toxicity study in rats, and a dominant lethal assay in male mice.

4.3.2.2.1. Standard toxicity assays. As described in Section 4.2.2.2.1, no reproductive histopathology was observed in male or female Sprague-Dawley rats that were exposed to AN at concentrations as high as 80 ppm 6 hours/day, 5 days/week for up to 2 years (Dow Chemical Co., 1992a; Quast et al., 1980b).

4.3.2.2.2. Developmental toxicity assays. Haskell Laboratory (1992a) evaluated developmental toxicity in groups of 30 pregnant Sprague-Dawley rats exposed (whole body) to 0, 40, or 80 ppm AN vapor for 6 hours/day on GDs 6–15. The parameters examined were the same as those for the oral exposure study by these authors described in Section 4.3.2.2.2. Inhalation exposure to 40 or 80 ppm AN did not result in deaths, changes in appearance, gastric thickening, or increase in terminal liver weight in dams. Maternal BW gain was significantly reduced during GDs 6–15 by 47% in the 40 ppm group and by 58% in the 80 ppm group (a reduction of about 20% in both

groups for GDs 6–21). Both exposure groups exhibited significant (unspecified) decreases in food consumption on GDs 6–9 (but not later intervals) and increases in water consumption on GDs 9–20. In this study, a NOAEL for maternal effects was not identified, but a LOAEL of 40 ppm was identified for reduced BW gain in dams.

Gestational exposure to AN had no significant effect on any of the reproductive parameters (pregnancy rates, numbers of implantations, live fetuses, or resorptions) and no effect on fetal BW or crown-rump length measurements. Furthermore, no single major malformation occurred at significantly higher incidence in AN-exposed rats vs. controls. However, as shown in Table 4-46, there was an increase in the incidence of total major malformations when they were considered collectively ($p < 0.06$) for the high-dose group (present in 6/35 litters). Malformations observed in litters of 80 ppm group included short tail, missing vertebrae, short trunk, omphalocele, and hemivertebra. In this study, a NOAEL of 40 ppm and a LOAEL of 80 ppm were identified for increases in total malformations in rats.

Table 4-46. Incidence of fetal malformations among litters of Sprague-Dawley rats exposed to AN by inhalation

Type of malformation	AN concentration (ppm)		
	0	40	80
	Number of fetuses/number of litters examined		
External and skeletal malformations	421/33	441/36	406/35
Visceral malformations	140/33	148/36	136/35
	Number of fetuses (litters) affected		
External malformations			
Short tail	0 (0)	0 (0)	2 (2)
Short trunk	0 (0)	0 (0)	1 (1)
Imperforate anus	0 (0)	0 (0)	0 (0)
Omphalocele	0 (0)	1 (1)	1 (1)
Visceral abnormalities			
Right-sided aortic arch	0 (0)	0 (0)	0 (0)
Missing kidney, unilateral	0 (0)	0 (0)	0 (0)
Anteriorly displaced ovaries	0 (0)	0 (0)	1 (1)
Skeletal malformations			
Missing vertebrae	0 (0)	0 (0)	2 (2)
Missing two vertebrae and two ribs	8 (1)	2 (1)	7 (2)
Hemivertebrae	0 (0)	0 (0)	1 (1)
Total malformed	8 (1)	3 (2)	11 (6) ^a

^aSignificantly different from control, as calculated by the study authors ($p = 0.06$).

Source: Murray et al. (1978).

Saillenfait et al. (1993) included AN in a survey of the relative developmental toxicities of inhaled aliphatic mononitriles in rats. Pregnant Sprague-Dawley rats (20–21/group) were exposed (whole body) for 6 hours/day to 0, 12, 25, 50, and 100 ppm AN vapor on GDs 6–20. Dams were observed daily throughout pregnancy and BWs were recorded on GDs 0, 6, and 21. All subjects were sacrificed on GD 21, and the uteri were weighed and opened to assess the numbers of implantations, resorption sites, and live and dead fetuses. The fetuses were examined for external abnormalities and then split into two equal groups for examination of skeletal or visceral anomalies.

AN exposure did not cause premature deaths in the dams, but exposure to ≥ 25 ppm caused concentration-related, statistically significant reductions (by 16–45%) in overall BW gain and concentration-related losses in absolute BW (exclusive of gravid uterus weight) in dams. In this study, 12 ppm is a NOAEL and 25 ppm is a LOAEL for reduced BW in dams.

AN exposure had no effect on reproductive parameters (pregnancy rate, average number of implantations, numbers of live fetuses, incidences of nonsurviving implants, or resorptions per litter). Concentration-dependent, statistically significant reductions in average fetal weight (by 5–15% compared with controls) were observed at ≥ 25 ppm. There were no significant increases in the incidences of external, visceral, or skeletal anomalies in the exposed groups and one control fetus. In this study, a NOAEL of 12 ppm and a LOAEL of 25 ppm were identified for significantly reduced fetal BW.

In a dominant lethal assay for AN, Zhurkov et al. (1983) continuously exposed male ICR mice (20/group, whole body) to AN at concentrations of 0, 20, or 100 mg/m³ (0, 9.1, or 46 ppm) for 5 days. After exposure, each male was mated to two unexposed females for 8 weeks. Females were sacrificed between GDs 13 and 15, and a number of reproductive and developmental parameters were monitored, including the percentage of pregnant females, number of corpora lutea, implantations, and live and dead fetuses per female as well as total and pre- and postimplantation mortality. Exposure to AN did not result in any dominant lethal effect on male germ cells nor did it cause adverse pre- or postimplantation outcomes. The highest exposure level in this study, 46 ppm, was a NOAEL for reproductive toxicity. This study was limited by sparse descriptions of methods and a lack of quantitative reporting of results.

4.3.2.2.3. Two Generation Reproductive Toxicity Study. In a two-generation reproductive toxicity study of AN via the inhalation route (Nemec et al., 2008), Sprague-Dawley rats (F0 generation, 25/sex/group) were exposed to AN vapor via whole-body inhalation at 0, 5, 15, 45, and 90 ppm for 6 hours/day, 7 days/week for 10 weeks. These animals were randomly bred to produce an F1 generation. Following weaning on postnatal day (PND) 28, animals selected to be parents from the F1 generation were similarly exposed. Exposure of the F1 parents at 90 ppm was terminated after 16 to 29 days due to excessive systemic toxicity in the males. The remaining four groups of the F1 generation followed the same breeding procedure as the F0

generation (25 animals/sex/group). The F0 and F1 generations were about 8 weeks old and 4 weeks old at initiation of their respective exposures. The F0 and F1 males were exposed for 10 weeks prior to mating and throughout mating until one day prior to euthanasia, and the F0 and F1 females were exposed for 10 weeks prior to mating and throughout mating, gestation, and lactation until one day prior to euthanasia. Exposure of the F0 and F1 dams was suspended for five days following parturition (lactation days (LDs) 0 to 4), to avoid confounding nesting and nursing behavior and neonatal survival during early postnatal development. Exposure of the dams resumed on LD 5.

To reduce variability among the litters, large litters were randomly reduced to 10 pups/litter (5/sex when possible) on PND 4. Each male pup selected as a parent for the F1 generation was examined for balanopreputial separation beginning on PND 35, and each selected F1 female pup was examined for vaginal perforation beginning on PND 25. Plasma and red blood cell (RBC) cholinesterase levels were measured on 10 rats/sex of the F0 parental generation from the control and 90-ppm groups and from 10 rats/sex of the F1 parental generation from the control, 5-, 15-, and 45-ppm groups.

Sperm samples from the right epididymis were collected from each adult F0 and F1 male and evaluated for the percentage of progressively motile sperm. Sperm morphology was evaluated by light microscopy.

Surviving F0 and F1 adults were euthanized and necropsied following completion of weaning of their offspring (F1 and F2 pups, respectively). Microscopic evaluations (with emphasis on developmental and reproductive organs) were conducted on the following tissues for 10 randomly selected F0 and F1 parental animals per sex from the control and high-exposure groups: adrenal glands, prostate, brain, pituitary, seminal vesicles, right epididymis, right testis, vagina, cervix, coagulating gland, uterus, oviducts, ovaries, nasal cavities, lungs. Additionally, gross lesions from all F0 and F1 animals in the control, 5-, 15-, and 45-ppm groups were microscopically evaluated. On PND 28, a complete necropsy similar to that performed on parental animals was conducted on F1 pups not selected for AN exposure and on F2 pups.

Clinical sign of irritation (clear/red material around the nose, eyes, and mouth and on the forelimbs) were observed for the F0 males and females exposed to 90 ppm throughout the exposure period within 1 hour following completion of daily exposure, but generally did not persist to the following day. Wet, cool tails were also noted for these animals within 1 hour following exposure; and to a greater extent in the males.

Body weight gains for the 45- and 90-ppm F0 males were statistically reduced relative to controls during the first three weeks of exposure, resulting in a statistically significant decrease in body weight gain (up to 11.8%) throughout the F0 generation. Food consumption for these males was also decreased. Decreased food consumption and body weight gains were also noted for F0 females exposed to 45 and 90 ppm during the first 2 weeks of treatment and throughout gestation, resulting in a statistically significant decrease (about 4.5%) in body weight for 45-ppm

females at study week 2, and 90-ppm females throughout the 10 week pre mating period and gestation (7.5% to 9.1%).

Body weight gains in the 45-ppm F1 males were slightly reduced during the first 3 weeks of AN exposure, but the effects were less pronounced than in the F0 males. Body weights for the 45-ppm F1 males were decreased by up to 9.4% during study weeks 18 to 26.

No adverse exposure-related effects were observed on the number of days between pairing and coitus, gestation length, or reproductive performance (fertility, mating, copulation, and conception indices) in F0 and F1 generations. A slight (6%) and statistically significant decrease in sperm motility (including progressive motility) was observed for the F0 males exposed to 90 ppm AN when compared to controls. A decrease (6 to 9.5%) in sperm motility (including progressive motility) was also observed for the F0 and F1 males exposed to 45 ppm AN when compared to controls, although the decrease was not statistically significant.

Statistically significant and exposure-related increases (up to 8%) in absolute and relative anogenital distances were observed for the F1 males exposed to 45 and 90 ppm AN (see Table 4-47). Slight delays (up to 8%) in the acquisition of sexual developmental landmarks (balanopreputial separation in males and vaginal patency in females) and lower body weights on the day of acquisition (relative to control group) were observed for F1 males in the 45- and 90-ppm groups and F1 females in the 90-ppm group (see Table 4-47).

Table 4-47. Summary of developmental landmark evaluations in F1 and F2 offspring in two generation inhalation reproductive study

Acrylonitrile exposure level					
Endpoint	0 ppm	5 ppm	15 ppm	45 ppm	90 ppm
Absolute anogenital distance on PND 1 (mm)					
F1 males	3.45 ± 0.378	3.54 ± 0.317	3.49 ± 0.343	3.67 ± 0.205 ^a	3.66 ± 0.202
F2 males	4.66 ± 0.305	4.59 ± 0.411	4.66 ± 0.422	4.49 ± 0.325	NA
F1 females	1.82 ± 0.163	1.79 ± 0.128	1.77 ± 0.170	1.82 ± 0.175	1.78 ± 0.126
F2 females	2.56 ± 0.213	2.56 ± 0.277	2.55 ± 0.308	2.48 ± 0.195	NA
Normalized anogenital distance on PND 1 (relative to cube root of pup body weight)					
F1 males	1.76 ± 0.168	1.81 ± 0.158	1.80 ± 0.170	1.88 ± 0.119 ^a	1.90 ± 0.094 ^b
F2 males	2.40 ± 0.148	2.37 ± 0.197	2.38 ± 0.198	2.32 ± 0.156	NA
F1 females	0.95 ± 0.091	0.94 ± 0.077	0.94 ± 0.092	0.95 ± 0.108	0.95 ± 0.064
F2 females	1.34 ± 0.124	1.36 ± 0.138	1.34 ± 0.164	1.30 ± 0.093	NA
Balanopreputial separation (PND)					
F1 males	44.6 ± 3.11	44.2 ± 2.87	45.6 ± 3.07	46.1 ± 3.77	46.9 ± 3.05
Body weight at acquisition of BPS (g)					
F1 males	205.4 ± 20.73	196.3 ± 18.59	201 ± 20.09	191.8 ± 15.23 ^a	169.2 ± 15.45 ^a
Vaginal patency (PND)					
F1 females	34.3 ± 1.54	34.2 ± 1.91	34.5 ± 1.67	34.8 ± 2.60	37.0 ± 2.92 ^b
Body weight at acquisition of VP (g)					
F1 females	107.6 ± 10.45	103.3 ± 10.89	102.7 ± 8.51	102.7 ± 13.3	99.2 ± 9.38

Note: The number of pups evaluated ranged from 17-25/group for anogenital distance and 24-25/group for balanopreputial separation and vaginal patency.

BPS: balanopreputial separation; VP: vaginal patency.

NA: not applicable because the F1 90-ppm group was terminated prior to breeding.

^aStatistically significant at $p < 0.05$; ^bstatistically significant at $p < 0.01$.

Source: Nemec et al. (2008)

Slightly decreased male pup weights in the 5-, 15-, and 45-ppm groups on PND 28 were statistically significant relative to controls, resulting in lower overall weight gain during PNDs 1 to 28. However, the decrease did not appear to be dose-related.

RBC cholinesterase activity was unaffected in males and females exposed to 90 ppm AN in the F0 generation and 5, 15, and 45 ppm AN in the F1 generation. Plasma cholinesterase activity in the F0 females exposed to 90 ppm AN was statistically significantly lower (by 40%) than control. Plasma cholinesterase activity in the F1 females was lower than control in the 5-, 15-, and 45-ppm group, but the decrease (40%) was statistically significant only for the 15-ppm group.

An increase (up to 10%) in liver weights occurred in the 90-ppm F0 males (statistically significant) and females and the 45-ppm F1 males. Nemec et al. (2008) stated that statistically significant increases in relative liver weight and brain weight were also observed, and could not

be explained by decreased body weight alone (data not provided). Statistically significant decreases in thyroid gland weight (about 10%) were found in F1 females at 5 ppm and 15 ppm.

Histopathologic alterations were found in the nasal tissues of F0 males and females at 45 ppm, F1 males at 5, 15, and 45 ppm, and F1 females at 15 and 45 ppm (see Table 4-48). The lesions showed exposure-related response in incidence and severity, and included respiratory/transitional epithelial hyperplasia, subacute inflammation, squamous metaplasia, and/or degeneration of the olfactory epithelium.

Table 4-48. Histologic changes in nasal tissues of adult Crl:CD (SD) rats after continuous exposure to test atmospheres of acrylonitrile

	F0 males ^a				F1 males				F0 females ^a				F1 females			
	Exposure level (ppm)				Exposure level (ppm)				Exposure level (ppm)				Exposure level (ppm)			
	0	5	15	45	0	5	15	45	0	5	15	45	0	5	15	45
Nasal level I																
Total number examined	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Hyperplasia, respiratory/Transitional epithelium	0	0	0	10 ^b	2	6	10 ^b	10 ^b	2	0	0	7	0	0	7 ^b	9 ^b
Minimal	-	-	-	-	2	3	-	-	-	-	-	4	-	-	2	5
Mild	-	-	-	6	-	2	5	1	1	-	-	3	-	-	5	2
Moderate	-	-	-	4	-	1	5	5	1	-	-	-	-	-	-	1
Severe	-	-	-	-	-	-	-	4	-	-	-	-	-	-	-	1
Metaplasia, squamous	0	0	0	3	0	2	8 ^b	8 ^b	1	0	0	0	0	0	6 ^b	4
Minimal	-	-	-	3	-	-	-	2	1	-	-	-	-	-	2	1
Mild	-	-	-	-	-	1	2	5	-	-	-	-	-	-	3	1
Moderate	-	-	-	-	-	1	5	1	-	-	-	-	-	-	1	2
Severe	-	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-
Inflammation, subacute	0	0	0	2	2	4	9 ^b	9 ^b	4	0	0	1	0	0	6 ^b	3
Minimal	-	-	-	1	2	3	-	5	-	-	-	1	-	-	5	1
Mild	-	-	-	1	-	1	6	3	3	-	-	-	-	-	1	2
Moderate	-	-	-	-	-	-	3	1	1	-	-	-	-	-	-	-
Nasal level II																
Total number examined	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Degeneration, olfactory epithelium	0	0	0	6 ^b	0	0	0	5 ^b	0	0	0	6 ^b	0	0	0	8 ^b
Minimal	-	-	-	2	-	-	-	2	-	-	-	2	-	-	-	2
Mild	-	-	-	4	-	-	-	2	-	-	-	4	-	-	-	6
Moderate	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-	-
Hyperplasia, respiratory/Transitional epithelium	-	-	-	-	0	0	0	1	-	-	-	-	-	-	-	-

Table 4-48. Histologic changes in nasal tissues of adult Crl:CD (SD) rats after continuous exposure to test atmospheres of acrylonitrile

	F0 males ^a Exposure level (ppm)				F1 males Exposure level (ppm)				F0 females ^a Exposure level (ppm)				F1 females Exposure level (ppm)			
	0	5	15	45	0	5	15	45	0	5	15	45	0	5	15	45
Mild	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-	-
Nasal level III																
Total number examined	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Degeneration, olfactory epithelium	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	1
Minimal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
Hyperplasia, epithelial, respiratory	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	1
Minimal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
Nasal level IV																
Total number examined	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10	10
Degeneration, olfactory epithelium	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	1
Mild	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1

Additional organs evaluated histologically in 10 randomly selected animals/sex in the control and 45-ppm groups included the brain, right epididymis, pituitary, prostate, seminal vesicles, adrenal medulla, adrenal cortex, right testis, coagulating gland, oviducts, ovaries, uterus, cervix, and vagina (data not presented).

^aNasal tissues from 90-ppm F0 animals were not examined.

^bStatistically significant at $p < 0.05$.

Source: Nemec et al. (2008)

EPA identified a NOAEL of 15 ppm (8.14 mg/m³, adjusted for continuous exposure) for reproductive/developmental toxicity, based on increases in anogenital distance in F1 males on PND 1 and decreases in body weight of F1 males at acquisition of balanopreputial separation. However, the study LOAEL for the F1 generation was 5 ppm (2.7 mg/m³, adjusted for continuous exposure) based on histologic changes in nasal tissues; a NOAEL could not be identified. The NOAEL for F0 generation was 15 ppm (8.14 mg/m³, adjusted for continuous exposure), based on histologic changes in nasal tissues.

4.3.2.3. Intraperitoneal Administration

In a translated study in Chinese, the effect of AN on spermatogenesis was examined in groups of male Kunming mice (10 3–4-week-old pubertal and five 6–8-week-old adult mice per group) treated by i.p. injection (Liu et al., 2004). The animals received AN (>99% purity) at doses of 1.25, 2.5, or 5.0 mg/kg-day (1/24, 1/12, or 1/6 of the LD₅₀) for 5 days. Negative

controls received physiological saline (10 mL/kg) daily for 5 days, whereas positive controls received a single injection of 40 mg/kg CP (not identified but presumably cyclophosphamide). On day 35, mice were sacrificed, the left testicle was selected from five mice per group, and testicular cell suspensions were evaluated using flow cytometry.

The following dose-related effects observed in the 2.5 and 5.0 mg/kg-day groups were statistically significantly different from the negative control group ($p < 0.05$); the same effects were observed in positive controls (Liu et al., 2004). AN decreased the percentage of haploid testicular cells (indicative of completed spermatogenic meiosis) by 14.3 and 15% in mid- and high-dose adult mice, but a slight reduction was not statistically significant in pubertal mice. The percentage of apoptotic testicular cells was significantly increased by 58.7 and 74.8% in mid- and high-dose pubertal mice and by 81.5 and 108% in mid- and high-dose adult mice. The percentages of spermatogenic epithelial cells in G₀/G₁ phase were significantly reduced by 18 and 20% in mid- and high-dose pubertal mice and by 35.5 and 40.5% in mid- and high-dose adult mice. The percentage of spermatogenic epithelial cells in G₂/M phase was significantly elevated in adult mice by 31.4 and 32.8% at the mid- and high doses, respectively. AN exposure had no effect on the percentage of spermatogenic epithelial cells in S phase. The NOAEL and LOAEL for suppression of spermatogenesis were 1.25 and 2.5 mg/kg-day, respectively.

The teratogenic effects of AN were investigated in groups of pregnant golden hamsters that were exposed via an i.p. injection on GD 8 (Willhite et al., 1981). The actual numbers of dams per group were not reported, but data were presented for 3–6 litters in the exposed groups and 12 litters for the controls injected with sodium chloride. Dose levels were 0, 0.09, 0.19, 0.47, 1.23, and 1.51 mmol/kg (equivalent to 0, 5, 10, 25, 65, and 80 mg/kg). No adverse clinical symptoms were observed in the dams exposed to up to 1.23 mmol/kg (65 mg/kg) AN by GD 14, when the dams were sacrificed. No malformations were observed in the offspring. In contrast, dams exposed to 1.51 mmol/kg (80 mg/kg) showed intense dyspnea, gasping, incoordination, hypothermia, salivation, and convulsions for 1–5 hours after injection. Additionally, several fetal abnormalities and malformations were observed at this exposure level, including encephaloceles and fused or bifurcated ribs. Coadministration of 8.06 mmol/kg STS and 1.51 mmol/kg AN induced neither maternal toxicity nor fetal malformations. Coadministration of 8.06 mmol/kg STS and a higher dose of AN (1.88 mmol/kg, 100 mg/kg) prevented toxic symptoms in dams but not the teratological effects in fetuses. The study authors concluded that the teratogenic action of AN was related to the metabolic release of cyanide.

The effect of AN on rat liver cytochrome P-450 and serum hormone levels were studied in male Sprague-Dawley rats (4/group) injected with 33 mg/kg AN (i.p.) for 3 consecutive days (Nilsen et al., 1980). Control animals were treated with 0.9% sodium chloride. Blood were collected immediately after the animals were sacrificed and serum luteinizing hormone (LH), follicle stimulating hormone (FSH), and prolactin (PRL) were measured by radioimmunoassay. Serum corticosterone levels and liver microsomal cytochrome P-450 were also determined.

A significant increase (3%) in BW was reported in the AN treated group when compared with controls (Nilsen et al., 1980), but relative liver weight was not increased. A significant decrease in liver microsomal content of CYP450 was observed. Serum corticosterone measured 24 hours after the last AN injection was decreased by 70%, while FSH levels were increased by 118%. Serum PRL levels were decreased by 63% when compared with the controls, while serum LH levels were unchanged. Nilsen et al. (1980) suggested that the increase in FSH might be secondary to impaired spermatogenesis in the testes of treated rats.

4.3.3. In Vitro Studies

The embryotoxicity of AN was evaluated in cultures of whole rat embryos by Saillenfait and coworkers in a series of studies (Saillenfait et al., 2004, 1992; Saillenfait and Sabaté, 2000). As described initially in Saillenfait et al. (1992), the experimental system involved incubating day 10 embryos from pregnant Sprague-Dawley rats for 26 hours in whole organ culture in a medium containing AN at concentrations of 76–760 $\mu\text{mol/L}$. The growth-related parameters evaluated were functional yolk-sac circulation, yolk-sac diameter, crown-rump length, head length, number of somites, number of malformed embryos, incidences of abnormal brain, malformed caudal extremities, delayed yolk-sac circulation, and defective flexion. The effects of metabolic activation on the embryotoxicity of AN were evaluated by the inclusion of S9 and cofactors (NADPH, glucose-6-phosphate) for CYP450-dependent biotransformation in the incubation system.

Exposure to AN induced concentration-related effects on growth and development. Functional yolk-sac circulation (circulating erythrocytes) was reduced at $\geq 304 \mu\text{M}$ and was completely absent at $760 \mu\text{M}$. Crown-rump length was reduced at $304 \mu\text{M}$ and could not be measured at higher concentrations. A concentration-dependent increase in the incidence of malformations was observed following in vitro exposure of day 10 fetuses to AN at $152 \mu\text{M}$ and above. AN at 152 and $304 \mu\text{M}$ induced malformations in 53 and 100% of the exposed embryos, respectively. Malformations primarily consisted of a shortened caudal extremity (significant at $152 \mu\text{M}$) and a reduction of the brain (achieving significance at $304 \mu\text{M}$). Other general malformations were delayed development of the yolk-sac circulation and defective flexion. Furthermore, growth retardation and severity of malformations induced by $304 \mu\text{M}$ AN were enhanced by the presence of S9 and cofactors, suggesting a role for oxidative biotransformation in AN embryotoxicity. Addition of 0.1–2.2 mM GSH in the incubation medium reduced the embryotoxic effects of AN in this system.

Similarly, a later experiment by this research group (Saillenfait and Sabaté, 2000) demonstrated that day 10 rat embryos exposed to AN for 46 hours in vitro showed concentration-related effects on growth, development, and morphology. Reduced growth (reduced yolk sac diameter and crown-rump length) occurred at $100 \mu\text{M}$. The head length and somite number were reduced at $125 \mu\text{M}$. Abnormal development (reduced prosencephalon and mesencephalon) and

maxillary process defects occurred at $\geq 125 \mu\text{M}$ AN. Defects of the rhombencephalon and the auditory system were observed less frequently. These effects were characteristic of those developed by embryos exposed to sodium cyanide in culture. Addition of microsomes and NADPH to the culture medium containing 150 or 175 μM AN enhanced the observed growth retarding and dysmorphogenic effects, especially in increasing the incidence of rhombencephalon and auditory system defects.

Saillenfait et al. (2004) evaluated the effects of eight aliphatic nitriles on the viability and differentiation of cultured limb bud cells from Sprague-Dawley rat embryos on GD 13. Limb bud micromass cultures were exposed for 5 days to AN at concentrations between 0.01 and 0.45 mM (0.5 and 23.9 $\mu\text{g/mL}$), with or without microsomal activation, after which they were evaluated for cytotoxicity (neutral red uptake assay) and differentiation of chondrocytes (number and total surface of foci with Alcian blue staining were used as indicator of cell differentiation). The concentrations that inhibited cell viability and cell differentiation by 50% of concurrent untreated controls were determined.

The IC_{50} values were 0.24 mM (13 $\mu\text{g/mL}$) for cytotoxicity (viability) and 0.33–0.38 mM (18–20 $\mu\text{g/mL}$) for differentiation (total surface of foci and number of foci), respectively. The ratios of IC_{50} for cytotoxicity and differentiation were 0.6 for number of foci and 0.7 for total surface of foci. Microsomal activation had no effect on the results with AN. In parallel experiments, the IC_{50} for cytotoxicity in cultured 3T3 cells (differentiated mouse fibroblast cell line) exposed to AN was 0.065 mM. The relative potency of the tested nitriles in this limb bud cell culture system matched previously published results for cultured whole embryos, but not necessarily for in vivo teratogenicity (false negative results were obtained for two of the eight nitriles). Saillenfait et al. (2004) characterized the response of AN in the micromass culture assay as equivocal, since it depended on the criteria used to define a positive result. According to one criterion, AN might be considered to have teratogenic potency because its IC_{50} was less than 50 $\mu\text{g/mL}$. However, under the “twofold rule” that defines a positive result by a value >2 for the ratio (IC_{50} for cytotoxicity)/(IC_{50} for differentiation), AN would be classified as having poor potential developmental hazard. As suggested by comparison of the IC_{50} for cytotoxicity, embryonic rat limb bud cells were not more vulnerable to AN than differentiated 3T3 mouse fibroblasts. These suggestive results are consistent with the observations in vivo (see Section 4.3.2) that fetal toxicity from AN occurs only at exposure levels that cause maternal toxicity.

4.4. OTHER DURATION- OR ENDPOINT-SPECIFIC STUDIES

4.4.1. Acute Toxicity Data

Closely similar values have been reported for the oral LD_{50} in rats: 78 mg/kg (Benesh and Cherna, 1959), 93 mg/kg (Smyth and Carpenter, 1948), 90 mg/kg (Sprague-Dawley rats) (Younger Labs, 1992), and 81 mg/kg (male CF Nelson rats) (Vernon et al., 1990). Lower value

has been published for the oral LD₅₀ in mice: 25 mg/kg (H-strain, sex not stated) (Benesh and Cherna, 1959) and 36 and 48 mg/kg in male and female mice, respectively (strain not stated) (Tullar, 1947, as cited in IPCS, 1983). These findings indicate that mice are more susceptible to the acute toxicity of AN than are rats. Published LD₅₀ values for guinea pigs (56 mg/kg) (Jedlicka et al., 1958) and rabbits (93 mg/kg) (Paulet and Desnos, 1961) are in the same narrow range as those for rats and mice. For the inhalation route, median lethal concentration (LC₅₀) values in rats of 217 ppm (470 mg/m³) (Knobloch et al., 1971) and 333 ppm (777 mg/m³) (Haskell Laboratory, 1992b) have been reported. However, exposing Sprague-Dawley rats to 1,008 ppm AN for 1 hour failed to produce mortality (Younger Labs, 1992). A 4-hour LC₅₀ value of 946 ppm (2,053 mg/m³) was reported for Sprague-Dawley rats following nose-only exposure (WIL Research Laboratories, 2005); no mortality was observed in male or female rats at exposures as high as 775 ppm (1,682 mg/m³) in this study. However, ataxia, labored respiration, and hypoactivity were observed at this exposure concentration and higher. LC₅₀ values (duration not reported) of 138 ppm (300 mg/m³) in mice and 456 ppm (990 mg/m³) in guinea pigs have been reported (Knobloch et al., 1971).

As set forth in the English abstract of an article in Polish, Knobloch et al. (1971) investigated the acute and subacute toxicity of AN in BN mice, Wistar rats, and guinea pigs (strain not given). For rats, s.c. and i.p. LD₅₀ values of 80 and 100 mg/kg, respectively, were reported. For mice, the LD₅₀ was 34 mg/kg.

An earlier subacute study examined the effect of oral AN administration on the liver of sodium PB-pretreated (400 µmol/kg) or Aroclor 1254-pretreated (300 µmol/kg) male and female Sprague-Dawley rats that received 100 or 500 ppm AN in drinking water for 21 days (Silver et al., 1982). Other pretreated animals were given 0, 50, 75, 100, or 150 mg/kg AN by gavage for up to 3 days. Some liver-related biochemical changes were noted as a result of AN exposure, including a dose-dependent reduction in hepatic nonprotein sulfhydryl concentrations (maximal reduction of 81% at the highest dose of 150 mg/kg). Serum SDH activity was increased by fourfold 24 hours after administration of 150 mg/kg AN. On the other hand, SGPT activity was not significantly altered. Pretreatment with PB or Aroclor 1254 resulted in only a slight enhancement of AN-induced elevation of serum SDH or SGPT activities. In the experiment in which female rats were pretreated with either vehicle, PB or Aroclor 1254, and treated with 100 ppm or 500 ppm AN for 21 days, there was a 60% increase in serum SDH activity in animals receiving 500 ppm AN in drinking water.

In the 3-day studies, there was some evidence of focal superficial necrosis of the liver in rats receiving high gavage doses (100 or 150 mg/kg). This effect was associated with the presence of hemorrhagic gastritis and distention of the forestomach. However, while the biochemical and pathology changes implied a limited perturbation of the liver by AN, light microscopy showed only minor changes in the histopathology of the organ and no ultrastructural

changes to the liver were evident when evaluated by electron microscopy. Silver et al. (1982) concluded that liver did not appear to be a target organ in the acute or subacute toxicity of AN.

Dudley and Neal (1942) exposed several species of laboratory animals—rat, guinea pig, rabbit, cat, dog, rhesus monkey—to AN vapor for 0.5–8 hours at concentrations ranging from 0.063 to 5.3 mg/L (30–2,445 ppm). In rats (Osborne Mendel, sex not stated), 1,260 ppm was found to be an effective lethal concentration when administered for 4 hours. During or after an 8-hour exposure, 320 ppm AN was fatal. A 4-hour exposure to 260 ppm AN was fatal in rabbits (strain and sex not stated), while 1,160 ppm appeared to be a fatal concentration in guinea pigs. Other lethal concentrations were 600 ppm for 1.5 hours in cats and 110–165 ppm for 3 hours in dogs (breed not given, male and female), while Rhesus monkeys (males and females) tolerated 90 ppm AN with only minor, transitory adverse effects (skin redness, sleepiness). In all species except guinea pig, AN caused an initial respiratory stimulation, followed by rapid, shallow breathing, nasal exudate, and watering eyes. In rats, the most striking symptom was reddening of the skin in the less prominently haired regions (nose, ear, feet). Reddening of the skin was also observed in the other species, again with the exception of guinea pigs. The study authors concluded that, in five of the six species investigated, AN-induced symptoms resembled cyanide poisoning. By contrast, AN acted as a severe pulmonary irritant in guinea pigs. Consistent with these findings, sodium nitrite (a cyanide antidote) protected five of the species studied, but not guinea pigs, from AN toxicity. The study authors postulated that guinea pigs may metabolize AN differently than rats, rabbits, cats, dogs, or monkeys, possibly by transformation to acrolein rather than cleavage of the cyano group. However, Dudley and Neal (1942) were unable to extract any cyanide from the tissues of animals that had succumbed to AN exposure.

Knobloch et al. (1971) evaluated the acute toxicity of AN via inhalation exposure (duration not given) in three species. The LC₅₀ in mice was 0.30 mg/L (138 ppm), in rats 0.47 mg/L (217 ppm), and in guinea pigs 0.99 mg/L (456 ppm). These values confirmed the roughly twofold species difference between rats and guinea pigs, but were about threefold lower than values reported by Dudley and Neal (1942).

Two studies by Gut et al. (1985, 1984) evaluated the subchronic toxicity of AN in rats when administered via inhalation. In both studies, male Wistar rats were exposed to 280 mg/m³ AN by inhalation 8 hours/day for 5 days (Gut et al., 1985, 1984). As shown in Table 4-50, BW was decreased in rats exposed to AN for 5 days. The absolute weight of liver decreased, while brain weight remained unchanged. Hence, the relative liver weight was significantly decreased, while relative brain weight increased due to the BW decrease. However, there were no significant histopathological changes in the lungs, livers, kidneys, or adrenals. The concentrations of glucose, pyruvate, and lactate were elevated in the brain and blood of exposed rats (Table 4-49). Treatment-related reductions in the concentrations of serum cholesterol and triglycerides were found in test animals. The study authors considered the increase in blood

glucose concentration to be the most sensitive indicator of AN exposure under these experimental conditions (Gut et al., 1984).

Table 4-49. Effects of AN on organ weight, clinical chemistry, and biochemical parameters when administered to male Wistar rats via inhalation

Parameter (units)	Controls (n)	AN (n)
BW (g)	341 ± 18 (8)	287 ± 24 ^a (8)
Relative liver weight (g/100 g BW)	3.39 ± 0.29 (8)	2.79 ± 0.08 ^a (8)
Relative brain weight (g/100 g BW)	0.564 ± 0.06 (8)	0.647 ± 0.076 ^a (8)
Serum triglyceride (mmol/L)	2.36 ± 0.51 (10)	1.47 ± 0.47 ^b (10)
Serum cholesterol (mg/dL)	72.3 ± 8.5 (10)	54.6 ± 12.9 ^b (10)
Pyruvate (mmol/L)		
Blood	0.080 ± 0.012	0.128 ± 0.028 ^a (5)
Brain	0.039 ± 0.007	0.068 ± 0.014 ^a (5)
Lactate (mmol/L)		
Blood	2.259 ± 0.577 (5)	4.032 ± 1.061 ^a (5)
Brain	6.002 ± 0.258 (5)	8.034 ± 0.471 ^a (5)
Glucose (mmol/L)	4.10 ± 0.21	10.22 ± 1.26 ^c (5)

^aSignificantly different from controls ($p < 0.05$).

^bSignificantly different from controls ($p < 0.001$).

^cSignificantly different from controls ($p < 0.01$).

Source: Gut et al. (1984).

Gut et al. (1984) further examined the dose-response effects of AN on blood glucose levels by giving food-deprived male Wistar rats a single 12-hour exposure of 0, 57, 125, or 271 mg/m³ AN. Blood glucose levels were dose-dependently increased at the end of the exposure period (4.33 ± 0.64 at 57 mg/m³; 10.88 ± 3.74 at 271 mg/m³ vs. 3.46 ± 0.29 mg/m³ in controls) but declined to normal or below normal levels 24 hours after exposure (1.79 ± 0.84 at 271 mg/m³ vs. 4.73 ± 0.34 mg/m³ in controls). The simultaneous increase in the pyruvate and lactate concentrations suggests that AN affected carbohydrate metabolism on the level of glycolysis as well as on the citric acid cycle level.

In a subsequent study using exposure regimens identical to those in Gut et al. (1984), Gut et al. (1985) monitored AN-induced changes in sulfhydryl concentrations in the liver and brain and the appearance of thioethers (AN-mercapturic acid) and thiocyanate in the urine. GSH concentrations in the liver were significantly reduced compared with controls (3.86 ± 0.41 vs. 7.66 ± 0.58 μmol/g) in rats exposed to AN for five consecutive daily 8-hour exposures at 280 mg/m³. No GSH depletion was evident in the brain. The protein sulfhydryl level remained unchanged in the brain but was increased by 17% in the liver, although not statistically

significant. One out of 15 treated animals died, and the study authors suggested that GSH depletion could have been responsible.

Urinary excretion of thioethers and thiocyanate was proportional to the inhaled concentration. The ratio between urinary thioethers and thiocyanate in exposed rats was about 2:4 and was not influenced by the exposed AN concentrations (Gut et al., 1985).

Bhooma et al. (1992) evaluated the effect of AN on the procoagulant activity in pulmonary alveolar macrophages of rats. Six male Wistar rats/group were exposed to 100 ppm AN 5 hours/day for 5 days. Animals were sacrificed at 1–28 days after the last exposure. The lungs were lavaged, and alveolar macrophages were collected from the broncho alveolar lavage (BAL) fluid. Procoagulant activity (the ability of a cell or cell products to accelerate the conversion of fibrinogen to fibrin) in macrophage and BAL fluid was determined. The procoagulant activity in the isolated macrophages from exposed animals was about 10-fold higher than in controls 1 day after AN exposure and then slowly declined to control levels by day 28 after exposure. Procoagulant activity of BAL fluid remained unaltered in rats sacrificed up to 7 days after exposure but was elevated in those sacrificed 14 and 28 days after exposure. The study authors noted that acute lung injury often resulted in deposition of fibrin in alveolar spaces (Bachofen and Weibel, 1977) and that fibrin and its degradation products have been implicated in contributing to pulmonary inflammation (Malik et al., 1979; Cuterman et al., 1977). Since this study showed macrophage-associated procoagulant activity in the lung following inhalation to AN, the study authors suggested the alveolar macrophages participated in the pulmonary deposition of fibrin.

Other experimental studies have used acute dosing protocols to study toxicological effects of AN on various target organs. These studies are summarized in the following sections.

4.4.1.1. *Effects of AN on the GI Tract*

Two studies have reported GI hemorrhage and gastric erosion in rats administered with single doses of AN. In the first report (Ghanayem and Ahmed, 1983), a single dose of 50 mg/kg AN was administered to Sprague-Dawley rats (orally or s.c.). GI bleeding was observed 3 hours after treatment, with no significant difference in the amount of GI blood loss resulting from s.c. or oral administration. Thus, AN-induced GI bleeding was not a result of direct irritation of AN on the GI tract. A time-course study of a single 50 mg/kg dose of AN administered by the s.c. route indicated that the amount of blood recovered from the stomach was significantly higher than that of controls at 1, 2, and 3 hours after treatment, while the amount recovered from the intestinal contents was significantly higher than in controls at 2 and 3 hours. Dose-response study of s.c. administration of AN and GI bleeding indicated that significantly higher GI bleeding than in controls occurred at 40, 50, and 70 mg/kg AN, with the maximum at 50 mg/kg AN.

Pretreatment of rats with the CYP450 enzyme inducer, PB, decreased the AN-induced GI blood loss by 55%, whereas pretreatment with Aroclor 1254 increased blood loss by 240% (Ghanayem and Ahmed, 1983). In contrast, pretreatment of rats with CYP450 inhibitors, cobalt chloride or SKF 525A, prior to AN administration produced significant decreases in blood loss of 10 and 40%, respectively. Pretreatment of rats with diethylmaleate (DEM), a known depletor of GSH, prior to AN administration produced no significant change in GI bleeding. In addition, s.c. administration of a sublethal dose (6 mg/kg) of KCN did not induce GI bleeding when compared with controls, whereas 50 mg/kg AN produced significant GI bleeding. The study authors concluded that metabolic activation of AN to a reactive metabolite other than cyanide (probably CEO) by CYP450 was a prerequisite for AN to induce gastric hemorrhage.

In the second paper, Ghanayem et al. (1985) studied the mechanism of AN-induced gastric mucosal necrosis in the glandular stomach. Male Sprague-Dawley rats were treated with a single s.c. dose of AN (50 or 30 mg/kg), and the glandular stomach was removed and evaluated for histopathology and GSH concentrations. The liver was also removed for GSH determination. Gastric erosion severity index (GEI) was obtained for each exposure group by multiplying the mean severity score by the incidence of gastric necrosis in the group. Calculated GEI was found to be dose and time dependent: higher at 50 mg/kg than 30 mg/kg AN 3 hours after administration and in rats killed 3 hours as compared with rats killed 1 hour after the same dose of AN.

Subcutaneous administration of 30, 40, or 50 mg/kg AN also caused a significant decrease in hepatic GSH concentration 3 hours after treatment, with greater decrease at lower dose (30 mg/kg) than in high dose (50 mg/kg). A significant decrease in gastric GSH concentrations was observed 3 hours after treatment at 40 and 50 mg/kg AN. Pretreatment of rats with various metabolic modulators (CYP450 monooxygenase and GSH) before administration showed that there was a significant inverse relationship between gastric GSH concentration and AN-induced gastric erosions. P450 inducers (Na PB and Aroclor 1254) alone increased GSH levels in the liver. Pretreatment of rats with these P450 inducers inhibited AN-induced gastric necrosis, and partially blocked AN-induced gastric GSH depletion. SKF 525A, a CYP450 inhibitor, caused a slight depletion of gastric and hepatic GSH and potentiated the AN-induced gastric necrosis and GSH depletion. In contrast, cobaltous chloride, another inhibitor of CYP450 enzyme, inhibited AN-induced gastric necrosis and increased both the hepatic and gastric GSH concentrations. In rats treated with DEM, a depletor of GSH and AN, or DEM + cysteamine + AN, GEIs were increased up to fivefold when compared with rats treated with AN alone. Mucosal erosion severity in these two groups was also greatly increased, with more than 50% of rats showing severe or extensive lesions. Pretreatment of rats with sulfhydryl-containing compounds (cysteine or cysteamine) protected against AN-induced gastric necrosis and blocked the depletion of gastric GSH.

In addition, AN-induced gastric erosions could be prevented by pretreatment with atropine, a muscarinic receptor blocker, suggesting the involvement of muscarinic receptors in the AN-induced gastric mucosal necrosis (Ghanayem et al., 1985). Activation of acetylcholine muscarinic receptors is known to increase gastric acid secretion and cause gastric erosions. Because muscarinic receptors are known to contain sulfhydryl groups in their active site (Ikeda et al., 1980; Aronstam et al., 1978), Ghanayem et al. (1985) hypothesized that AN inactivated critical sulfhydryl groups and caused gastric erosions by locally modulating muscarinic acetylcholine receptors in the stomach.

More recently, Ahmed et al. (1996a) showed accumulation of AN-derived radioactivity in intestinal contents and intestinal mucosa following i.v. injection of 2-[¹⁴C]-AN to rats. A recent study by Jacob and Ahmed (2003a) also demonstrated that AN and/or its metabolites accumulated and covalently interacted in GI mucosa of male F344 rats treated either i.v. or orally with 2-[¹⁴C]-AN. These studies supported the hypothesis that AN-induced injury of the GI mucosa is not due to direct irritation by AN but by metabolic incorporation and macromolecular interaction of AN in these tissues.

4.4.1.2. *Effects of AN on the Kidney*

The acute nephrotoxic effect of AN was investigated in single exposure studies in rats and hamsters by inhalation or i.p. administration. Intraperitoneal injection of Chinese hamsters with 30 mg/kg AN increased kidney weight and renal GSH concentration 24 hours after injection (Zitting et al., 1981). Kidney deethylation activity was also decreased. Rouisse et al. (1986) administered i.p. doses (0, 10, 20, 40, 60, or 80 mg/kg) of AN to male F344 rats (six/dose group). Urinary volume was increased two- to threefold during the 24-hour period following administration for all dose groups. Urinary glucose was about 6 times higher in the 20 mg/kg group than in controls, and 40–60 times higher in the higher dose groups. Urinary excretion of N-acetyl-β-D-glucosaminidase was increased at the highest doses, up to 80% over controls in the 80 mg/kg group. An increased number of lysosomes or dense bodies in renal proximal tubules was seen under the light or electron microscope. In the inhalation study, a similar array of toxicological and clinical chemistry effects relating to kidney structure and function was observed in male rats (seven/group) exposed to 200 ppm AN for 4 hours (Rouisse et al., 1986).

4.4.1.3. *Effects of AN on the Adrenal Gland*

An experimental model for the toxicity of AN investigated the capacity of single i.v. doses of AN to induce acute hemorrhagic necrosis of the adrenal gland (Szabo et al., 1976). This condition has a parallel in man, the Waterhouse-Friderichsen syndrome, which is characterized by thrombocytopenia, disseminated intravascular coagulation, and the appearance of fibrin degradation products in the circulation (Szabo et al., 1976). The condition has been induced in female Sprague-Dawley rats by a single injection of 150 mg/kg AN into the jugular vein (Szabo

et al., 1980) and typically has been marked by massive “bilateral apoplexy,” a usually fatal hemorrhagic necrosis of the adrenal glands that occurs in 90–100% of the rats within 90–150 minutes. Thrombocytopenia and a range of associated clinical signs, including tremors, cyanosis, and ultimately respiratory failure, were observed. Light and electron microscopy showed that an early event in the onset of this condition was damage to the vascular endothelium of the adrenal cortex, with parenchymal injury as a late event. However, these AN-induced lesions could be prevented by pretreatment with the α -adrenergic antagonist phenoxybenzamine, the α,β -blocker labetalol, or the 11- β -hydroxylase inhibitor metyrapone. Elevation of tissue sulfhydryl levels by cysteine or GSH reduced the adrenal apoplexy. Dopamine concentrations in the adrenals increased over time. Because depletion of catecholamines by reserpine, or medullectomy, could prevent the chemically induced adrenocortical necrosis, the study authors proposed that cortical damage resulting from AN was associated with vasoactive amines released from the medulla and/or with metabolites of AN.

4.4.1.4. Effects of AN on Neurological Endpoints

Neurotoxic effects were induced in male Sprague-Dawley rats receiving single gavage or s.c. doses of 20, 40, or 80 mg/kg AN (Ghanayem et al., 1991). Two distinct phases of neurological response were evident. The early phase was cholinomimetic in nature, with signs such as salivation, lacrimation, polyuria, miosis, vasodilatation, gastric secretion, and diarrhea. The second phase developed 4–5 hours later with toxic signs, including depression, convulsions, and respiratory failure, followed by death at the higher doses. These CNS effects were observed in rats treated with 40 and 80 mg/kg and were similar to those caused by cyanide. Pretreatment of animals with 1 mg/kg atropine, an acetylcholine muscarinic antagonist, abolished the cholinomimetic toxicity, implicating an involvement of the cholinergic system in some aspects of acute AN neurotoxicity. Since effects were observed even at the lowest dose, a NOAEL was not identified, and 20 mg/kg was the LOAEL.

In another study, male Sprague-Dawley rats that were administered s.c. doses of 112 mg/kg AN (LD₉₀) showed a biphasic response consisting of an early phase with tremors and seizures about 100 minutes after dose administration, followed by severe clonic convulsions that preceded death at about 3–4 hours (Benz and Nerland, 2005). The effects of preadministered inhibitors of oxidative metabolism by CYP450 (80 mg/kg SKF 525A, 75 mg/kg 1-benzylimidazole, or 100 or 200 mg/kg metyrapone) and an alternative CYP450 substrate, ethanol (5,000 mg/kg), on the acute convulsions were examined. Although blood levels of cyanide, and the development of the first phase of tremors and seizures, were inhibited by 1-benzylimidazole or ethanol, treatment with these two agents did not prevent the terminal convulsions or the death of rats injected with 112 mg/kg AN. Ethanol, being a CNS depressant, decreased the incidence of terminal convulsion (5/17 vs. 15/17). These results suggested that the initial phase of the acute neurotoxically lethal effects may have been due to cyanide, which is

released via the CYP450 metabolic pathway for AN, and that the second phase was mediated by the parent compound.

Because ethanol showed some effect on lessening the second phase response to AN (although it did not prevent lethality), several anticonvulsants were examined for their ability to counteract the acute neurotoxicity and lethality of 112 mg/kg AN. Administration of PB (25 mg/kg) or phenytoin (150 mg/kg) (but not 144 mg/kg valproic acid) markedly inhibited the lethal response to 112 mg/kg AN: 9/10 rats died following administration of AN alone or AN plus valproic acid, whereas 1/10 and 2/10 rats died following administration of AN plus PB or AN plus phenytoin, respectively. The protection by phenytoin and PB against convulsion and lethality was not due to inhibition of metabolism of AN to cyanide, since only phenytoin was able to lower blood cyanide levels (by about 32%), and was much less effective than 1-benzylimidazole or ethanol (97 and 94%, respectively).

4.4.1.5. *Effects of AN on Hearing*

AN is one of a number of organic compounds that have been shown to promote noise-induced hearing loss (NIHL) in rats (Fechter, 2004; Fischel-Ghodsian et al., 2004). The ototoxicity of AN was examined in several experiments in male Long-Evans rats exposed by s.c. injection (Fechter et al., 2003). The AN used in these experiments was stabilized to minimize the accumulation of peroxides. Ten rats were anesthetized and surgically prepared for the assessment of the compound action potential (CAP), which represents the synchronous neural activity elicited by primary auditory neurons (spiral ganglion cells) and directly measures auditory threshold sensitivity. Auditory thresholds at each test frequency were measured for each rat under anesthesia on 20 different occasions before treatment with AN to determine baseline auditory thresholds. Auditory thresholds for 11 test frequencies between 2 and 40 kHz were recorded at 5-minute intervals up to 100 minutes postinjection with AN. The acute effect of 50 mg/kg s.c. AN on auditory sensitivity was studied in five rats; five control rats were injected only with water. In a second study, the effects of AN on permanent NIHL were evaluated in six experimental groups (six rats each) that received the following: no treatment; 50 mg/kg AN alone, two injections of 50 mg/kg-day AN on 2 consecutive days, noise alone (108 dB octave-band noise for 8 hours), single injection of 50 mg/kg AN immediately followed by noise, and exposure to noise after a second injection of AN.

The acute study showed that exposure to 50 mg/kg AN s.c. alone elevated auditory threshold temporarily and produced a 10–20 dB loss in auditory threshold sensitivity (temporary threshold shift) in the stimulus range of 8–40 kHz (Fechter et al., 2003). This transient loss reached a maximum within 10–20 minutes after injection but returned to control levels within 75–100 minutes. In the study on permanent auditory threshold shifts, when rats were tested 3 weeks following AN and AN + noise treatment, exposure to AN alone did not produce a persistent loss in auditory threshold sensitivity. AN-treated rats had slightly reduced auditory

threshold compared with controls, indicating slightly increased sensitivity (within 5 dB of controls), but the difference was not statistically significant. Noise treatment alone elevated auditory thresholds by less than 20 dB at all tested frequencies. However, rats given two injections of AN followed by noise in the high frequency range of 12–40 kHz exhibited auditory impairments averaging 27 dB (maximally 40 dB) compared with controls; this shift was statistically significant compared with other groups (controls, noise alone, AN alone, or no treatment). Rats given a single injection before exposure to noise showed an average 11 dB threshold shift in the high-frequency range (12 and 40 kHz). This study demonstrates that exposure to AN exacerbated NIHL.

Fechter et al. (2003) also assessed blood cyanide and glutathione levels in brain, liver, and paired cochleae in additional groups of rats exposed to AN. Groups of five rats given 20, 50, or 80 mg/kg s.c. AN produced peak levels of cyanide, a metabolite of AN, in the blood at 1 hour (for 20 and 50 mg/kg) and 2 hours (for 80 mg/kg) following injection. Cyanide levels returned to baseline values within 2, 3, and 4 hours, respectively. Since AN produced maximal auditory threshold impairment within 20 minutes of administration, before blood cyanide level peaked at 1 hour, the acute ototoxic effect of AN was not likely associated with elevated cyanide levels.

When rats were given a single injection of 50 mg/kg AN, maximal reductions in glutathione levels (measured between 15 minutes and 8 hours postinjection) were detected in the brain by 15 minutes (–50%), in the liver by 1 hour (–80%), and in the cochlea by 2 hours (–45%). Cochlear glutathione levels remained depressed for about 4 hours. Recovery of glutathione levels to near control levels was achieved in all tissues by 8 hours. Since AN induced transient cochlear function loss that peaked within 10–20 minutes after injection and recovered within 75–100 minutes, the acute ototoxic effect of AN could not be associated with GSH level in the cochlea. Fechter et al. (2003) concluded that, while AN-induced oxidative stress in the cochlea may play a role by which AN promotes NIHL, the acute ototoxic effect of AN might reflect other unidentified toxic action of AN in the cochlea.

Fechter et al. (2004) extended their evaluation of the potentiation effect of AN exposure on NIHL. Two experiments were conducted: the first experiment studied the effects of a single AN exposure on permanent NIHL, while the second one evaluated the effects with five daily AN and noise exposures. In both experiments, six male Long-Evans rats were assigned to each treatment group: AN alone, noise alone, AN + noise, and untreated controls. AN exposed groups were given s.c. injections of 50 mg/kg-day AN, with or without 4 hours of exposure to noise (105 dB), and then assessed for auditory threshold sensitivity 4 weeks later.

In the single-day treatment study, AN alone did not alter the auditory threshold, but a single AN exposure in combination with noise significantly elevated auditory thresholds by an average of 10 dB above the effect of noise alone. Noise exposure alone increased auditory thresholds compared with controls by an average of 10 dB in the range of 12–40 kHz (Fechter et al., 2004). In the 5 consecutive-day treatment study, AN plus noise treatment exacerbated the

impairment induced by noise alone by an average of 30–45 dB at frequencies between 20 and 40 kHz and by no more than 10 dB at frequencies <16 kHz. Similar to the single-exposure study, repeated AN exposure had no effect on auditory thresholds assessed 4 weeks later. Repeated noise exposure elevated auditory thresholds about 17 dB above control rats.

When the reactive-oxygen scavenger phenyl-N-tertiary-butyl nitron (PBN) (100 mg/kg i.p.) was injected twice daily for 5 days prior to exposure to noise alone and rats were assessed 4 weeks later, PBN reduced the magnitude of hearing loss. When PBN was injected daily prior to the injection of AN and again following noise exposure, the magnitude of hearing loss was equivalent to that exhibited by rats treated by noise alone. (No significant difference was found between rats receiving noise alone and those receiving PBN plus noise.) These results indicated that reactive oxygen species (ROS) were responsible for the ototoxic effects of AN. These findings are consistent with the suggestion that mitochondria injury within cochlear cells, primarily or secondarily through oxidative stress, may be a common feature of ototoxicity induced by chemicals and noise (Fischel-Ghodsian et al., 2004).

Results from a recent study indicated that hearing loss from AN and noise exposure involves histologic damage to hair cells on the surface of the organ of Corti (Pouyatos et al., 2005). Groups of five male Long-Evans rats were given s.c. injections of 0 or 50 mg/kg-day AN for 5 consecutive days, with or without exposure 30 minutes later to noise for 4 hours/day (95 or 97 dB octave-band noise at 8 kHz). Hearing dysfunction of these rats were then assessed by: (1) distortion product otoacoustic emissions (DPOAEs) before exposure, as well as 1 hour and 4 weeks postexposure; (2) CAP for auditory threshold sensitivity 4 weeks after the last treatment; and (3) number of hair cells on surface preparation of the organ of Corti 4 weeks after treatment. Permanent effects on these endpoints (i.e., effects observed 4 weeks following the last treatment) were only observed in rats exposed to both AN and noise and not in rats exposed to AN or noise alone. Permanent effects from combined exposure to AN and noise included auditory threshold shifts (13–16 dB between 7 and 40 kHz), a decrease in DPOAE amplitudes (up to 25 dB at 19 kHz), and significant outer hair cell (OHC) loss in the cochleae. With the AN plus 97 dB treatment, average OHC loss was 20, 16, and 9% in the first, second, and third rows, respectively, in the areas corresponding to frequencies ranging from 13 to 47 kHz. Similar effects were found in the AN plus 95 dB treatment group. This study demonstrated AN could potentiate NIHL at noise levels that are relevant to human exposure.

Pouyatos et al. (2007) further proposed that AN exacerbated NIHL by decreasing antioxidant defenses of hair cell. This hypothesis was tested in a study in which the capability of specific antioxidants in the protection of the cochlea of male Long-Evans rats treated with 50 mg/kg AN s.c. 30 minutes prior to the daily noise exposure of 97 dB sound pressure level 4 hours/day for 5 days. Sixty-five Long-Evans rats (2–12/group) were exposed to different combinations of noise, AN, and antioxidants; AN alone or AN + STS (150 mg/kg i.p.), a CN inhibitor; AN + 4-methylpyrazole (4MP, 100 mg/kg i.p.), a drug that blocks CN generation by

competing with CYP2E1; AN + L-N-acetylcysteine (L-NAC) (4×400 mg/kg, orally), a pro-GSH drug; noise (97 dB octave band of noise [OBN]/8 kHz) alone; noise and STS, 4MP, or L-NAC; or noise plus AN and antioxidants. To evaluate auditory impairment, DPOAEs and CAPs were measured prior to experimental treatment and 3 days and 4 weeks after treatment. At the end of exposure, cochleae were harvested for histologic examination. Additional rats ($n = 64$) were used to measure cochlear and liver GSH and blood CN levels at different time points after treatment.

At 3 days postexposure, similar auditory loss was found in animals exposed to AN + noise, STS + AN + noise, 4MP + AN + noise, and L-NAC + AN + noise. The maximum shifts averaged 25–30 dB between 12 and 32 kHz. At 4 weeks postexposure, animals exposed to L-NAC + AN + noise recovered to baseline levels above 25 kHz. However, at lower frequencies, L-NAC did not prevent auditory loss caused by AN + noise exposure. Animals received combined exposure to AN + noise, and AN + noise + STS or AN + noise + 4MP showed little change in producing auditory loss.

In addition, the cochleae from rats exposed to AN and noise demonstrated substantial damage in the basal half of the organ of Corti. Mean OHC loss averaged 35% in the three rows in the region corresponding to frequencies above 12 kHz. Neither STS nor 4MP pretreatment protected against OHC loss caused by AN + noise. However, pretreatment with L-NAC reduced the OHC loss caused by AN + noise in the region corresponding to 25 kHz and above.

Liver GSH level was depleted by 63% 1 hour after AN injection. Cotreatment with STS or 4MP reduced GSH level about 80% at 1 hour and 52% at 3 hours. However, with L-NAC pretreatment, GSH level was reduced only by 23 and 20% at 1 and 3 hours, respectively. Similarly, whereas AN treatment depleted cochlear GSH levels to undetectable levels at 1 hour, STS pretreatment had no effect on GSH depletion. 4MP pretreatment only slightly reduced GSH depletion. However, L-NAC pretreatment not only protected but induced an increase in GSH levels above control levels. Pouyatos et al. (2007) concluded that, since L-NAC cotreatment reduced auditory loss and OHC loss from AN + noise treatment, GSH is involved in the protection of the cochlea against ROS generated by moderate noise levels. However, CN did not appear to be involved in this potentiation.

4.4.2. Immunological Effects of AN

A case study by Balda (1975) described a human subject who developed contact dermatitis following the use of a Plexidur finger splint. Investigators obtained a positive result in a patch test with AN, which is one of the constituents of the polymer Plexidur. This observation suggested that AN might induce immunological response in exposed subjects.

The immunotoxicity of AN was evaluated in groups of six male CD-1 mice given a single oral dose in water or repeated oral doses for 5 or 14 days (Ahmed et al., 1993). In each of these experiments, a positive control group received a single immunosuppressive dose of

225 mg/kg of cyclophosphamide i.p. In the first experiment, mice given a single gavage dose of 0 or 13.5 mg/kg AN in water were evaluated 3 or 5 days later for BW, relative organ weights (thymus, spleen, liver, and kidney), number of viable splenocytes, and total and differential WBC counts in spleen cells suspension (Ahmed et al., 1993). AN slightly reduced BWs in mice (6–7%) after 3 and 5 days, but the difference was not biologically significant. In treated mice, relative spleen weights were increased by 50% after 5 days, whereas relative thymus weights were decreased by 42% after 5 days; relative liver weight was decreased by 11% on day 3 but was 9% higher than controls on day 5. AN treatment reduced total leucocytes by 42–57%, lymphocytes by 39 and 65%, monocytes by 38 and 50%, and neutrophils by 67 and 73% on days 5 and 3, respectively.

In the second experiment, mice received 0 or 6.75 mg/kg-day of AN in water on 5 consecutive days and were evaluated on day 6 (Ahmed et al., 1993). In mice treated for 5 days, BWs were reduced by 27%, relative liver weights were decreased by 10%, and relative spleen weights were increased by 25%. Total splenocytes were decreased by 51%, with total blood leucocytes and lymphocytes reduced by 48 and 68%, respectively; circulating monocytes were increased by 88%. The immunotoxic effects of AN in both studies were comparable to effects elicited by the known immunosuppressant cyclophosphamide.

In a third experiment, groups of male CD-1 mice (six/group) were treated with AN by gavage in water for 14 days (Ahmed et al., 1993). There were a total of nine groups: three groups dosed with AN at 1.35, 2.7, or 5.4 mg/kg-day only, three groups dosed the same way but immunized with sheep red blood cells (SRBCs) on day 9 of exposure, and three concurrent control groups (normal, SRBC-immunized, and a positive control group treated intraperitoneally with cyclophosphamide [225 mg/kg] 1 day before immunization). Mice were evaluated for total BW, relative organ weights (thymus, spleen, liver, and lung), number of splenocytes, total and differential WBC counts in spleen cell suspensions, and histopathology of lymph nodes, lung, and intestinal Peyer's patches. Subsets of spleen lymphocytes (T and B cells) were enumerated by flow cytometry. Spleen cells from mice immunized with SRBCs were evaluated in a plaque-forming cell assay.

In nonimmunized mice, AN decreased BW by 12–15% and increased relative thymus weights by 43% at 2.7 mg/kg-day and relative lung weights by 39% at 5.4 mg/kg-day. AN increased splenocyte viability by 49–264% in a dose-independent manner. AN also caused dose-independent increases of 126–293% in relative spleen weight at all doses. Total leukocyte counts/spleen were increased by 171, 119, and 107% at the low to high doses; lymphocyte counts/spleen were significantly reduced by 25–45% at all doses, while monocyte and neutrophil counts/spleen were increased concomitantly by as much as 78-fold. Reductions in lymphocyte subsets were observed at all doses: T-cells by 40–53%, B-cells by 32–36%, T-helper cells by 40–59%, and T-suppressor cells by 49–62%. Histological examination revealed severe enlargement of mesenteric lymph nodes and intestinal Peyer's patches, abscesses and massive

necrotic damage in the lung, and swellings in the brachial lymph nodes in mice treated with AN at all doses but more aggressive in the 5.4 mg/kg group. No incidence data were reported for these lesions. Some of the mice treated with 5.4 mg/kg-day died rapidly. Microbiological examination revealed the swelling in the lymph nodes was related to migration of normal intestinal flora, which the study authors attributed to immunosuppressive effects of AN.

In the SRBC-immunized mice, AN treatment did not affect the BW. The relative weight of lung, liver, and thymus showed inconsistent and dose-independent increases. The viable spleen cells showed 77% increases only in the 2.7 mg/kg group. The lymphocytic count showed dose-independent decreases. However, the neutrophilic and monocytic counts showed large increases at all doses. Decreases in lymphocyte subsets were found in all three doses of AN: T-cells by 42–45%, B-cells by 37–50%, T-helper by 43–54%, and T-suppressors by 40–48%. The IgM antibody plaque forming cell response was decreased by 55, 23, and 65 after treatment with 1.35, 2.7, and 5.4 mg/kg AN, respectively. The lowest dose used in this study, 1.35 mg/kg-day, was a LOAEL for immunotoxicity (suppression of humoral and cell-mediated immunity) in mice treated for 14 days.

Hamada et al. (1998) further investigated the immunotoxicity of AN by administering 2.7 mg/kg-day AN (1/10 the LD₅₀) to male CD-1 mice orally for either 5, 10, or 15 days. All mice were injected with 100 mg/kg bromodeoxyuridine (BrdU) i.p. 1 hour before sacrifice. An immunohistochemical assessment of the number of cells capable of producing IgA in different intestinal compartments as a result of AN administration was conducted. Uptake of ³H-thymidine into splenocytes derived from treated animals and stimulated with different mitogens—phytohemagglutinin (PHA), concanavalin-A (con-A), or lipopolysaccharide (LPS)—was measured. The rate of proliferation of gut epithelial cells of different intestinal compartments was determined by the incorporation of BrdU in newly synthesized DNA of S-phase cells.

The mitogenic response of mouse splenocytes to PHA, con-A, and LPS was affected by AN exposure as shown by a significant decrease in [³H]-thymidine incorporation (Table 4-50). The decreases were 68–79% with con-A and 35–57% with LPS, depending on the time intervals. However, uptake of [³H]-thymidine by splenocytes after stimulation with PHA was markedly reduced only after 15 days of exposure. These results suggested that AN induced systemic suppression of humoral immunity (by the decrease in mitogen response to LPS) and cell-mediated immunity (by the inhibition of mitogen response to con-A and PHA).

Table 4-50. Time course of the effect of AN administration on [³H]-thymidine uptake into mouse splenocytes under the influence of different mitogens in vitro

Mitogens	Control	D of AN treatment		
		5	10	15
PHA ^a	1,331 ± 163	1,203 ± 265	1,434 ± 35	898 ± 32 ^b
con-A ^a	14,927 ± 972	4,756 ± 532 ^b	4,792 ± 946 ^b	3,198 ± 448 ^b
LPS ^a	1,225 ± 112	803 ± 87 ^b	486 ± 2 ^b	522 ± 31 ^b

^aValues are counts/min, mean ± standard error of the mean; n = 4.

^bSignificantly different from controls ($p < 0.05$) as calculated by the authors.

Source: Hamada et al. (1998).

Inhibition of [³H]-thymidine uptake by stimulated spleen lymphocytes may indicate a systemic immunosuppression by AN. Such an effect could also occur locally, as indicated by a reduction in the number of IgA-producing cells in all intestinal compartments following AN administration. The counts of IgA-producing cells were reduced by 56–77% in the duodenum, 44–67% in the jejunum, and 60–62% in the ileum. Another local effect of AN was demonstrated by the increased incorporation of BrdU into epithelial cells of the duodenum (threefold) and ileum (1.6-fold) of AN-treated animals. This result indicated that the rate of cell proliferation was markedly increased following oral AN administration, even as a result of short-term treatment. The study authors considered this to be the result of a regenerative response to chemically induced intestinal injury and suggested that AN-induced immunosuppressive effect systemically and locally in the gut, as well as increases in the rate of cell proliferation, may contribute to carcinogenicity of AN in the gut.

Summary

AN caused contact dermatitis in a human subject exposed via the use of Plexidur finger splint. In mice, AN suppressed cell-mediated and humoral immunity systemically and locally in the intestine. Table 4-51 summarizes immunotoxicity studies of AN.

Table 4-51. Summary of immunotoxicity studies of AN

Test species	Endpoint/effect	Exposure concentration/condition	Exposure duration	Results	References
Human subject	Contact dermatitis	Use of a Plexidur finger splint	ND	Positive patch test	Balda, 1975
Male CD-1 mice (n = 6)	Immuno-suppressive effect	Study 1: Single dose of 0 or 13.5 mg/kg AN in water (oral) 225 mg/kg cyclophosphamide as positive control	3 or 5 d	Increased relative spleen by 50%, decreased relative thymus weight by 42%, reduction in total leucocytes, lymphocytes, monocytes, and neutrophils.	Ahmed et al., 1993
		Study 2: 0 or 6.75 mg/kg-d AN in water 225 mg/kg cyclophosphamide as positive control.	5 d	Increased relative spleen weights by 25%; decreased total spenocytes by 51%; reduction in total blood leucocytes and lymphocytes by 48 and 68%, respectively.	
		Study 3: a. Three groups dosed with 1.35, 2.7, or 5.4 mg/kg-d AN b. Three groups dosed with 1.35, 2.7, or 5.4 mg/kg-d AN, but immunized with SRBCs on d 9 of exposure c. Three concurrent control groups (normal, SRBC-immunized) d. a positive control group treated intraperitoneally with cyclophosphamide (225 mg/kg) 1 d before immunization	14 d	In nonimmunized mice: increased spleen weight at all doses, increased total leukocyte counts, reduced lymphocyte counts/spleen, and increased monocyte and neutrophil counts/spleen. Enlargement of mesenteric lymph nodes and intestinal Peyer's patches, swellings in the brachial lymph nodes for all dose groups. In the SRBC-immunized mice: viable spleen cells showed 77% increase in the 2.7 mg/kg group. Decreases in lymphocyte count, increases in neutrophilic and monocytic counts, and decreases in plaque forming cell response were observed. The LOAEL for immunotoxicity was 1.35 mg/kg-d.	

Table 4-51. Summary of immunotoxicity studies of AN

Test species	Endpoint/effect	Exposure concentration/condition	Exposure duration	Results	References
Male CD-mice	Systemic and local immune-suppression	2.7 mg/kg-d AN orally	5, 10, or 15 d	Decrease ³ H-thymidine incorporation into splenocytes in: (1) mice treated with mitogens con-A or LPS, suggesting systemic suppression of cell-mediated immunity, and (2) mice treated with mitogen PHA, suggesting systemic suppression of humoral immunity. Local immunosuppression in the gut, as indicated by: (1) reduction in the number of IgA-producing cells in all intestinal compartments, and (2) increased proliferation of epithelial cells of the duodenum.	Hamada et al., 1998.

4.5. MECHANISTIC DATA AND OTHER STUDIES IN SUPPORT OF THE MODE OF ACTION

4.5.1. Mode-of-Action Studies

Studies have been conducted with the primary purpose of evaluating the potential mechanisms by which AN and/or its metabolites produce noncancer and cancer effects in experimental animals. Potential mechanisms of toxicity have been investigated for the following noncancer effects: GI effects, effects on Hb and metabolism in RBCs, neurotoxicity, oxidative stress, and immunotoxicity. Studies that investigated the potential mechanisms for the carcinogenicity of AN have addressed the following effects: formation of DNA adducts, oxidative stress, intercellular communication, and cell proliferation. In addition, genotoxicity studies are described in Section 4.5.2.

4.5.1.1. Noncancer Endpoints

4.5.1.1.1. GI Effects. Ghanayem and Ahmed (1983) administered AN to male Sprague-Dawley rats by both s.c. or oral routes, in each case observing significant gastric bleeding (see Section 4.4.1.1). The response appeared to peak at 50 mg/kg, the effect being maximal at 2 hours after a 50 mg/kg s.c. dose. Different CYP450 inducers had different effects: Aroclor 1254 more than doubled the effect, while PB reduced the bleeding by half. CYP450 inhibitors SKF 525A and cobalt chloride were even more effective, with cobalt chloride reducing gastric bleeding to almost untreated levels. GSH depletion by DEM did not prevent hemorrhaging. The effect was not due to cyanide release from AN, since KCN administration (6 mg/kg) did not induce

bleeding. The results indicated that AN-induced hemorrhaging in rat stomach (forestomach and glandular) and intestine was caused by metabolic activation of AN to a reactive metabolite other than cyanide (probably CEO).

As described in Section 4.4.1.1, the follow-up study by Ghanayem et al. (1985) demonstrated that pretreatment of rats with sulfhydryl-containing compounds or atropine protected the rats from AN-induced lesions. The findings suggested that modulation of muscarinic receptors by AN increased gastric acid secretion and caused gastric mucosal erosions.

Ghanayem et al. (1997) evaluated the effect of forestomach cell proliferation and apoptosis in male F344 rats treated with 0, 11.7, or 22.8 mg/kg AN by gavage for 6 weeks (see Section 4.5.1.2.4). AN induced a dose-dependent increase in epithelial cell proliferation in the forestomach, as determined by the incorporation of BrdU into S-phase DNA.

4.5.1.1.2. Effects on Hb and metabolism in RBCs. Farooqui and Ahmed (1983b) studied the effect of AN on Hb and metabolism in RBCs both in vivo and in vitro. Male Sprague-Dawley rats (three/group) were administered a single oral dose of 80 mg/kg aqueous AN, and blood was collected 1 hour after treatment. Other groups of animals were sacrificed at 3, 6, and 24 hours after dosing. Mean cell Hb concentration, hematocrit, and platelet counts were reduced to 78, 79, and 71% of the controls 1 hour after dosing. GSH levels were lowered significantly within 1 hour. A reduction in the intracellular concentration of 2,3-diphosphoglyceric acid and increases in intracellular levels of ATP, pyruvate, and lactate were observed. The increase in intracellular pyruvate and lactate, end products of glycolysis, suggested an increase in the metabolic rate in RBCs as a result of exposure to AN (Table 4-52). A significant decrease in the activity of 2,3-diphosphoglycerate mutase, an erythrocyte enzyme, was found in treated animals (3.61 ± 0.21 IU/g Hb in treated animals after 1 hour vs. 4.57 ± 0.23 IU/g Hb in controls). In general, the intermediates studied returned to normal values between 6 and 24 hours.

Table 4-52. Effect of AN on RBC metabolic intermediates following a single oral dose

Intermediates ($\mu\text{mol/mL}$ blood)	Control	AN treatment			
		1 hr	3 hrs	6 hrs	24 hrs
2,3-Diphosphoglycerate	3.6 ± 0.3	2.8 ± 0.2^a	3.0 ± 0.4	3.2 ± 0.3	3.7 ± 0.5
Adenosine triphosphate	0.49 ± 0.04	0.60 ± 0.05^a	0.64 ± 0.06^a	0.66 ± 0.06^a	0.58 ± 0.05
Pyruvate	58 ± 6	227 ± 21^a	187 ± 13^a	175 ± 16^a	167 ± 11^a
Lactate	$1,478 \pm 131$	$9,932 \pm 211^a$	$1,889 \pm 129^a$	$1,955 \pm 160^a$	$2,079 \pm 151^a$
GSH	1.39 ± 0.11	0.28 ± 0.02^a	0.34 ± 0.04^a	0.47 ± 0.05^a	1.27 ± 0.15

^aSignificantly different from controls ($p < 0.05$) as calculated by the study authors.

Source: Farooqui and Ahmed (1983b).

In another study, GSH concentrations in RBCs from male Sprague Dawley rats treated with a single oral dose of 46.5 mg/kg [2,3-¹⁴C]-AN were 10% lower than control in 1 hour, followed by a slow recovery of 10% in 5 hours (Farooqui and Ahmed, 1983b). Extensive covalent binding of AN to Hb (about 1.7 μ mol equivalents of AN bound/mL RBCs) in 1 hour was also observed.

For the in vitro study, isolated RBCs from male Sprague-Dawley rats were incubated with 5 mmol/L AN at 37°C to investigate the ability of AN to interact covalently with Hb and deplete GSH, and the effect of such depletion on Hb (Farooqui and Ahmed, 1983b). GSH in the supernatant and in the RBCs was estimated by measuring nonprotein sulfhydryl groups. Additionally, GSH conjugates with AN and levels of Hb and MetHb were monitored.

Incubation of rat RBCs with AN caused a depletion of more than 85% of intracellular GSH within 1 hour. No hemolysis occurred during the incubation period. In addition, GSH was not detected in the incubation media. Most of the GSH in the RBCs was converted to S-cyanoethyl GSH. This GSH-AN conjugate was present in the RBCs after 24 hours, suggesting that it was not metabolized further at least for 24 hours.

The conversion of Hb to MetHb was about 6% in 1 hour and 8% in 3 hours (Farooqui and Ahmed, 1983b). MetHb levels in controls during this time period were 0.61–0.87%. The rate and extent of MetHb reduction to Hb in AN-treated RBCs was also determined to investigate if this protective mechanism against such oxidative damage to Hb was affected. Incubation of nitrite-treated RBCs (for conversion of Hb in RBCs to MetHb) with AN resulted in a significant decrease in MetHb reduction, with a 70% decrease in RBCs treated with 10 mM AN when compared with controls. In the same experiment, AN initiated hemolysis of RBCs at a concentration of <0.1 M.

Farooqui and Ahmed (1983b) suggested that the effects of AN on RBC metabolism were related to the availability of GSH. Oxidative stress induced by depletion of GSH as a result of AN exposure may have stimulated the rate of RBC metabolism, based on increase in the end products of glycolysis. Another possible explanation could be the impaired permeability of the erythrocyte membrane due to extensive covalent binding of AN, resulting in the retention of metabolic products. Since the levels of ATP and 2,3-diphosphoglycerate were altered and these two intermediates regulate the oxygen dissociation curve, it was concluded that chronic exposure to AN may lead to methemoglobinemia, damage to the RBC membrane, and impaired delivery of oxygen to tissues.

Farooqui et al. (1990) provided in vitro data on the effect of AN on lipid metabolism in RBCs. RBCs containing oxyhemoglobin (HbO), MetHb, or carboxyhemoglobin (HbCO) were obtained from male Sprague-Dawley rats. HbO-containing RBCs were taken directly from the RBC pellet; MetHb-containing RBCs were produced by incubating packed RBCs with 0.5% sodium nitrite; while RBCs containing HbCO were prepared by blowing carbon monoxide over a 20% suspension (volume/volume [v/v]) of RBCs until the visible spectrum of red cell lysate

reached a maximum at 570 nm. All preparations of RBCs were incubated for 1 hour with 10 mM AN and variable additions of glucose. Following incubation, supernatants were removed and used to estimate lipid peroxidation by measuring the concentration of conjugated dienes; the red cell pellets were used to determine Hb.

Incubation of HbO-containing RBCs with AN resulted in the formation of MetHb, loss of intact Hb, and membrane lipid peroxidation. The availability of glucose to HbO-containing RBCs during incubation reduced the formation of MetHb by 33% and the loss of intact HbO by 33% but increased lipid peroxidation by 35%. As a positive control, HbO-containing RBCs were incubated with 0.1 mM t-butyl hydroperoxide, a strong GSH depleter. The formation of MetHb and non-intact Hb in the positive control was 3 and 5 times higher than that in AN-incubated RBCs. Incubation of MetHb-containing RBCs with AN also resulted in the loss of intact Hb and membrane lipid peroxidation. However, availability of glucose resulted in only 13% increase in membrane lipid peroxidation. With or without glucose, lipid peroxidation was about 3 times higher in incubations of HbCO-containing RBCs with AN than the other two RBC preparations. The extent of lipid peroxidation in RBCs and isolated RBC membranes was dependent on the concentrations of AN.

Farooqui et al. (1990) also demonstrated an inverse relationship between GSH concentrations and lipid peroxidation in RBCs incubated with AN. A 75% reduction in GSH levels in RBCs was observed as a result of AN incubation for 2 hours, with the half-life of GSH depletion being less than 22 minutes. The concentration of lipid peroxides increased by 274% over control levels during the same period.

In another experiment, total and Na^+/K^+ -ATPase activity was measured in isolated rat RBS membranes incubated with 25 mM AN at different temperatures. Farooqui et al. (1990) showed that Na^+/K^+ -ATPase activity was reduced in the isolated RBC membranes incubated with AN (release of inorganic phosphate: 87.9 ± 9.1 vs. 145.6 ± 13.1 nmol/mg protein per hour at 37°C ; and 4.3 ± 0.7 vs. 87.9 ± 9.1 nmol/mg protein per hour at 15°C). The degree of AN-induced inhibition of ATPase was temperature dependent. The K_m of Na^+/K^+ -ATPase (3.5 mM at 37°C) was barely affected by the AN treatment, while the $V_{\max}$ was significantly lower (–36%) than that of controls. This noncompetitive inhibition of Na^+/K^+ -ATPase by AN was proposed to be the result of changes in the physicochemical properties of RBC membrane macromolecules subsequent to irreversible binding of AN to membrane proteins.

4.5.1.1.3. Neurotoxicity. Campian et al. (2002) evaluated the capacity of AN to inactivate the important glycolytic enzyme, glyceraldehyde-3-phosphate dehydrogenase (GAPDH) in vitro in order to elucidate the mechanism for the acute toxicity of AN. GAPDH was incubated with AN (final concentrations ranged from 50 to 400 μM), and the activity of GAPDH was assayed at different time points. An irreversible inhibition of GAPDH activity was obtained. Incubation of GAPDH with 200 μM AN resulted in 90% loss of activity in about 60 minutes. The second-

order rate constant for inhibition of GAPDH activity was measured as $0.2 \text{ M}^{-1} \text{ s}^{-1}$ at pH 7.4 and 37°C.

The site of AN incorporation was identified by using matrix-assisted laser desorption ionization time-of-flight mass spectrometry to analyze tryptic digests of control and AN-labeled GAPDH (Campian et al., 2002). Inactivation of GAPDH was due to covalent binding of AN to cysteine 149 at the active site of the enzyme. This finding demonstrated the specificity of AN binding to cysteine residues and, more widely, implied the ability of AN to impair glycolytic ATP production in vivo. Campian et al. (2002) speculated that the combination of glycolytic ATP production impairment with inhibition of mitochondrial ATP synthesis by the AN metabolite cyanide could result in metabolic arrest. This might have profound consequences for the toxicity of AN in sensitive tissues such as the brain.

In a follow-up study (Campian et al., 2008), male Sprague-Dawley rats (3-5/group) were injected subcutaneously with LD₉₀ (115 mg/kg) AN and the brains of treated rats were frozen via head immersion (HI) in liquid nitrogen or funnel freezing (FF) technique when respiration ceased. Only minor decreases in ATP of 5% (FF) and 21% (HI) were found when respiration ceased, although phosphocreatine was decreased by 74% (FF) and 80% (HI), possibly due to inhibition of creatine kinase by AN. Campian et al. (2008) concluded that no toxicological relevant depletion of ATP occurred when respiration ceased in AN treated rats. Hence, the acute lethality of AN was not due to brain metabolic arrest.

Dorman et al. (1996) investigated the mechanisms by which AN and CEO exert neurotoxic effects in primary dissociated cerebrocortical cell cultures prepared from GD16-18 CD rats. Mature 7-day old cultures were exposed to 0-10 mM AN or 1-2 mM CEO for 8 h at 37°C. Cytotoxicity was evaluated by measuring leakage of LDH, GSH depletion, inhibition of acetylcholinesterase (AChE) and histopathology.

Both AN and CEO induced dose-dependent increased in cytotoxicity. Significant increase in LDH-leakage was observed in neural cultures following 8 h exposure to 2.5-10 mM AN or 0.125 – 1 mM CEO. Significant reduction in GSH only occurred following exposure to 5 mM AN. Thus, Dorman et al. (1996) concluded that GSH depletion probably played a limited role in the development of AN toxicity. No change in AN-induced cytotoxicity was observed following cotreatment with the cytochrome P-450 inhibitor 1-phenylimidazole, indicating minimal metabolism of AN to CEO in the neural cells. AChE inhibition was not observed following 8 h exposure up to 2.5 mM AN. Widespread necrosis of the small, round cholinergic neurons was present in the cultures treated with 2.5 mM AN or 0.125 mM CEO for 8 h. Astrocytes, pyramidal neurons, and bipolar neurons were mostly unaffected. On the other hand, treatment of cell cultures with ≥ 0.6 mM cyanide resulted in extensive loss of cytochrome oxidase activity in the large pyramidal neurons without a concomitant increase in LDH leakage. Dorman et al. (1996) concluded that AN may selectively destroy cholinergic neurons and induce neurotoxicity

in rats, and that AN metabolism to cyanide was not a prerequisite for the development of AN-induced neurotoxicity.

Satayavivad et al. (1998) provided some evidence for the alterations of central muscarinic functions from subchronic exposure to AN. Male Wistar rats (10/group) were injected subcutaneously with 0, 1, or 25 mg/kg AN for 5 days/week for 8 weeks. The authors studied the impact of AN on motor behavioral activities by using a computerized system to chart the movements of rats within the cage. The system provided information on a total of 11 motor behavioral parameters, such as distance traveled/time interval, resting time, time spent moving, and number of clockwise and counterclockwise rotations. This test model can be used to detect subtle changes of central muscarinic receptors during exposure to cholinomimetic agents. Evaluations were carried out on each animal 1 hour after treatment with AN on day 5 of weeks 1, 2, 4, 6, and 8.

Both doses of AN were associated with marked decreases in all motor activities and a concomitant increase in the resting time of treated rats compared with controls (Satayavivad et al., 1998). There was a decrease in all motor parameter values at weeks 1 and 2, but most of these effects were diminished by weeks 4 and 6. However, the effects of the high dose of AN were more pronounced and longer lasting. The incidence of clockwise and counterclockwise rotations in high-dose rats was reduced compared with controls throughout the study (0.7 ± 0.3 [high dose], 2.6 ± 0.8 [low dose], and 2.8 ± 0.4 [controls] counterclockwise rotations/10-minute study period after 8 weeks).

The effects of intramuscular injection of the muscarinic receptor antagonist, atropine, and the reversible acetylcholinesterase inhibitor, physostigmine, with and without concurrent AN administration, also were evaluated in this system. Atropine administration (10 mg/kg) was associated with increases in motor activity that were enhanced by AN treatment at 25 mg/kg. Physostigmine (0.5 mg/kg) caused reductions in motor activity irrespective of AN administration. Satayavivad et al. (1998) concluded that AN possesses cholinomimetic effects, one of which might include the down-regulation of muscarinic receptors. This would explain the marked increase in the response to atropine. Since AN did not inhibit the activity of acetylcholinesterase, the cholinomimetic effect of AN might be mediated by the release of acetylcholine from nerve endings.

Jacob and Ahmed (2003b) studied AN-induced neurotoxicity by exposing proliferating normal human astrocytes (NHAs) in culture to 25–400 μM AN for 12 hours. Assessment was then made on cell viability, levels of endogenous antioxidants, GSH, catalase, levels of ROS, and secretion of tumor necrosis factor (TNF- α), a cellular marker for oxidative stress and oxidative damage to nuclear DNA. Treatment with 25–50 μM AN had no significant effect on viability of the astrocytes. At 100–400 μM AN, 15–42% reduction in cell viability was observed (as indicated by trypan blue exclusion). Reduced viability was further substantiated by 8–40% increased cytotoxicity (as indicated by leakage of LDH). The morphology of astrocytes was

normal at concentrations up to 200 μ M, but cells exposed to 400 μ M AN showed a larger number of swollen nuclei and enlarged membrane structures.

Intracellular levels of GSH were not affected at 25 and 50 μ M AN. However, a significant dose-dependent decrease in GSH was observed at 100, 200, and 400 μ M AN (18, 28, and 35% lower than controls, respectively). A concomitant increase in levels of oxidized GSH (glutathione disulfide [GSSG]) was observed (Jacob and Ahmed, 2003b). The ratio of GSH to GSSG was reduced from the control value of 37 to 18, 7, 3, and 2 at 50, 100, 200, and 400 μ M AN, respectively. Compared to control level, catalase activity increased 21% at 100 μ M AN, but declined to 37% below control levels at 400 μ M.

Significant increases in measures of oxidative stress (four- to sevenfold increase in the generation of ROS) and oxidative DNA damage (greater than twofold increase in 8-oxodeoxyguanosine [8-oxodG]) were observed at 200–400 μ M AN. Treatment at 400 μ M significantly increased the release of the inflammatory cytokine, TNF- α , by 30% compared with controls. The observation that compromised antioxidant defense mechanisms (depletion of glutathione, increase in GSSG, inhibition of catalase) occurred at the same exposure concentration as reduced cell viability supported the hypothesis that oxidative stress in astrocytes was a possible mechanism for neurotoxic effects of AN exposure.

In a translated Chinese study (Lu et al., 2005b), levels of monoamine neurotransmitters and their metabolites were measured in the striatum and cerebellum of the brains of male Sprague-Dawley rats ($n = 10$ per group, 7 selected randomly for measurement) exposed to 0, 50, or 200 ppm AN in drinking water for 12 weeks. The study authors estimated the administered doses to be 4.0 and 13.5 mg/kg-day for the 50 and 200 ppm groups, respectively. Monoamine oxidase activity in the cerebral cortex was also measured.

Compared with control values, average dopamine levels in the striatum were decreased by 76 and 64% in the 50 and 200 ppm groups, respectively, and by 46 and 18% in the cerebellum. The decreases in dopamine levels were statistically significant only in the striatum. No statistically significant exposure-related changes were observed in average levels of the dopamine metabolite, 3,4-dihydroxyphenylacetic acid, in the striatum or the cerebellum except for increased levels (by about 32%) for the 50 ppm group in the cerebellum compared with controls. Average concentrations of serotonin were decreased by about 38 and 49% in the striatum in the 50 and 200 ppm groups, respectively, and by about 41 and 68% in the cerebellum. These changes were statistically significant only in the striatum. No statistically significant exposure-related changes were observed in the average levels of the serotonin metabolite, 5-hydroxyindoleacetic acid, in the average levels of norepinephrine in the striatum or the cerebellum, or in the average activities of monoamine oxidase. The observed changes in the endpoints examined in this study are of uncertain biological relevance; as such, the study does not identify NOAELs or LOAELs suitable for health hazard identification or dose-response assessment. However, the striatum and cerebellum are major centers for movement control,

balance, and coordination. Thus, an abnormality in the neurotransmitters will cause malfunction in the movement coordination of an organism. The study authors suggested that a decrease in dopamine will reduce the environmental adaptability of rats and provide neurotransmitter evidence for the effect of AN exposure on neurobehavior. Serotonin plays a role in the maintenance of sleep and the emotional and psychological states of the body. Thus, reduced serotonin level in the exposed groups may also have neurobehavioral effect.

4.5.1.1.4. Oxidative stress. Farooqui and Ahmed (1983b) demonstrated that exposure of rats to AN resulted in depletion of GSH and induction of oxidative stress in RBCs (see Section 4.5.1.1.2). Farooqui et al. (1990) provided in vitro data that AN induced GSH depletion, lipid peroxidation, and enhanced concentration of MetHb in rat RBCs.

In another study, the cytotoxic effect of AN-potentiated oxidative stress in rat alveolar macrophages was investigated (Bhooma and Venkataprasad, 1997). When alveolar macrophages isolated from male Wistar rats were incubated with 200 nM to 20 μ M AN at 37°C for up to 4 hours, a dose-dependent loss of viability was observed. Incubation of alveolar macrophages with 10 μ M AN increased the release of H₂O₂ by 44% when compared with controls. This effect was abolished by the addition of the antioxidant enzymes superoxide dismutase (SOD) or catalase to the incubation medium. In addition, while exposure of alveolar macrophages to 10 μ M AN resulted in 42% viability, addition of SOD exerted a marked protective effect with a resultant viability of 79%, suggesting cell injury induced by AN is mediated by the production of highly toxic OH radicals.

The role of oxidative stress and lipid peroxidation in the induction of the toxic effects of AN was studied by a number of other research groups. In a study designed to evaluate the possible role of free-radical-mediated lipid peroxidation in the etiology of AN-induced acute adrenal necrosis, Silver and Szabo (1982) monitored the formation of MDA and conjugated diene concentrations in the adrenal glands and other tissues (brain, liver, stomach, duodenum) of female Sprague-Dawley rats treated with an i.v. dose of 150 mg/kg AN. Controls received i.v. injections of aqueous 0.1% Tween 80. Rats were killed at 15, 30, 60, or 90 minutes after injection of AN, and the liver, adrenal glands, brain, stomach, and duodenum were removed. While no effects on the monitored parameters were observed in the mitochondria or microsomes from adrenal gland, brain, duodenal mucosa, or glandular stomach mucosa of rats 30 minutes after injection of AN, conjugated diene concentrations were elevated by 60% in hepatic microsomes and 30 and 40% in gastric mitochondria and microsomes. Therefore, although lipid peroxidation is unlikely to be involved in the pathogenesis of AN-induced adrenal necrosis, AN can cause lipid peroxidation in other target organs.

The cytotoxicity and oxidative stress induced by AN were examined in cultured colonocytes from male and female Sprague-Dawley rats (Mohamadin et al., 2005). These cells were exposed to AN in the concentration range of 0.1–2.0 mM for 60 minutes or incubated with

1.0 mM (the concentration that reduced viability by 50%) for different time intervals up to 180 minutes and then assayed for LDH leakage, cellular GSH levels, and lipid peroxidation. Cell viability was reduced (assessed by trypan blue exclusion method) after 60-minute exposure to 0.5 mM AN and higher; incubation with 1.0 mM reduced viability as early as 60 minutes and by 72% at 180 minutes. Concentration-dependent increases in plasma membrane damage, as assessed by leakage of LDH, and enhanced lipid peroxidation (production of thiobarbituric acid-reactive substances [TBARS]) were observed following 60-minute exposures at all levels (0.1–2 mM); exposure to 1.0 mM AN produced a 2.5-fold increase in leakage after 60 minutes.

Significant reductions in glutathione levels resulted from 60-minute exposure to ≥ 1.0 mM AN. The time course study revealed that exposure to 1.0 mM AN caused a significant decrease in GSH concentration by 30 minutes that kept decreasing until 180 minutes, when the experiment was terminated. In additional experiments, AN-induced membrane damage and lipid peroxidation were reduced, but not totally abolished, by cotreatment with thiol-containing compounds (GSH, N-acetyl-L-cysteine (NAC), and dithiothreitol (DTT)) and antioxidant enzymes (SOD and catalase) as well as the iron chelator desferrioxamine (DFO) and the hydroxyl radical scavenger DMSO. Mohamadin et al. (2005) suggested that the observed protective effects of GSH, NAC, and DTT could be attributed to interaction with ROS, binding to toxic metabolites, and/or enhancement of cellular GSH synthesis, while depletion of iron by DFO could indirectly prevent cell damage by inhibiting the generation of hydroxyl radical. Pretreatment of colonocytes with either SOD or catalase inhibited LDH leakage by about 23 and 54%, respectively, when compared with colonocytes treated with AN alone. These antioxidant enzymes reduced TBARS production that was induced by AN by 17 and 45%, respectively. Since these antioxidants could not restore the normal level of LDH leakage or TBARS production, Mohamadin et al. (2005) concluded that in addition to lipid peroxidation, other factors contributed to AN-induced cytotoxicity.

Mahalakshmi et al. (2003) evaluated the potential protective effect of taurine (TAU) against AN-induced oxidative stress in rat brain. Male Wistar rats (six/group) were exposed to 0 or 100 ppm AN (average intake 8–10 mg/kg-day) in drinking water for 14 or 28 days. Additional groups of rats received TAU (10 g/kg in diet) alone or along with AN treatment for 14 or 28 days. AN had no effect on BW gain or weights of liver or brain. AN treatment for 14 days increased levels of TBARS by about 13% in plasma and 30% in brain and increased levels of lipid hydroperoxides by 38% in plasma and 31% in brain; values after 28 days were similar, except for a 45% increase in lipid hydroperoxides in plasma. AN exposure also increased the percentage of DNA fragmentation detectable in brain by 60 and 81% after 14 and 28 days, respectively. TAU completely prevented the AN-induced increases in levels of TBARS and lipid hydroperoxides in plasma and brain, but afforded only partial protection from AN-induced increases in DNA fragmentation, which was significantly increased to 30 and 19% after 14 and 28 days, respectively. These results demonstrated that, even as TAU completely

prevented the formation of ROS and oxidative stress, DNA fragmentation still occurred. Thus, other factors in addition to oxidative stress caused DNA fragmentation as a result of AN exposure.

AN significantly reduced activity levels of enzymatic antioxidants after 14 days (28-day values were similar to 14-day values but slightly lower): SOD (50% lower in hemolysate, 30% lower in brain), catalase (50% in hemolysate, 62% in brain), glutathione peroxidase (39% in hemolysate, 60% in brain), and GST (20% in hemolysate and brain). TAU also partially protected against these AN-induced reductions of enzymatic antioxidant activities, resulting in reductions of less than 11% in rats treated with AN and TAU for 14 days when compared with controls. There was insignificant reduction of <5% in rats treated with AN and TAU for 28 days. AN exposure for 14 days also lowered levels of nonenzymatic antioxidants, such as ascorbic acid (by 30% in plasma and brain), α -tocopherol (by 40% in plasma and brain), and GSH (by 45% in plasma and 28% in brain); reductions were slightly greater for the 28-day exposure. In animals treated with TAU and AN for 14 days, the AN-related reductions in nonenzymatic antioxidants were less than 10% compared with controls. These experiments demonstrated that oral exposure to AN in drinking water increased oxidative stress in the brain and that TAU partly protected against AN-induced oxidative stress by increasing the activities of enzymatic antioxidants and replenishing nonenzymatic antioxidants.

Carrera et al. (2007) were not able to reproduce the results obtained by Mahalakshimi et al. (2003) when oxidative stress parameters were measured in Wistar rats treated with AN in vivo. Male Wistar rats (12/group) were treated with 0 or 200 ppm AN in drinking water for 14 days. The estimated daily dose was 30 mg/kg-day, using water factor of 0.15 L/kg-day (USEPA, 1988). Brains were excised and homogenized, and lipid peroxidation (as measured by nmol MDA/mg protein), catalase activity, GSH levels, and proteins in brain tissue were measured. No differences were found in lipid peroxidation products, catalase activity, and reduced and oxidized GSH levels in the control and treated rats. Carrera et al. (2007) concluded that there was no evidence of oxidative damage in the brain of AN-treated rats at the studied dose of AN.

In another study (El-Sayed et al., 2008), the effect of hesperidin (HES), an antioxidant flavonoid, on AN-induced oxidative stress in rat brain was investigated. Male Swiss rats (8/group) were treated with 50 mg/kg-day AN via oral gavage for 28 days (Group II). Control rats received distilled water (Group I). Group III rats received 200 mg/kg-day HES i.p. for 28 days. Group IV rats received 200 mg/Kg-day HES i.p. 24 h before starting AN treatment and concomitantly with AN treatment. At study termination, brain GSH content, MDA content, and enzymatic antioxidant parameters (SOD, CAT, glutathione peroxidase (GSH-Px), and GST) were measured. Histopathological examination was conducted on brain samples from two rats in each group.

Brain lipid peroxides levels measured as MDA was increased by 107% in the AN-treated rats, accompanied by a 63% decrease in brain GSH content as compared with controls (El-Sayed

et al., 2008). On the other hand, pretreatment of rats with HES prior to AN administration resulted in 55% reduction in brain MDA content and 183% increase in brain GSH content when compared with the AN-treated group. In addition, significant decreases in enzymatic antioxidant parameters were found in the brain of AN-treated rats, with SOD, CAT, GSH-Px, and GST decreased by 43, 64, 52, and 43%, respectively, when compared with controls. Pretreatment with HES and coadministration with AN attenuated the reduction of enzymatic antioxidants levels, resulting in elevations of SOD, CAT, GSH-Px, and GST by 73, 169, 197, and 71%, respectively, when compared with the AN-treated group.

Histopathological examination of brain sections indicated damage to neuronal cells of the brain in AN-treated rats, as manifested by edema and interstitial neuronal atrophy with perineuronal vacuolation. Pretreatment of the rats with HES nearly normalized the histopathological changes induced by AN. This study indicated that treatment of rats with 50 mg/Kg-day AN via oral gavage induced oxidative stress in the brain, and that pretreatment with the antioxidant HES might have protective role against AN-induced oxidative stress in the brain.

Zhang et al. (2002) studied the mechanisms by which AN induced oxidative stress and found evidence that CYP450 metabolism is required for AN to affect the activities of antioxidant enzymes, such as catalase, xanthine oxidase, or SOD. Syrian hamster embryo (SHE) cells were incubated for 4, 24, and 48 hours with subcytotoxic doses of AN (0, 25, 50, or 75 µg/mL), and the effects of AN on enzymatic and nonenzymatic antioxidants were monitored. All three concentrations of AN increased ROS (hydroxyl radicals, measured as 2,3-dihydroxybenzoic acid formation from salicylic acid) levels in SHE cells at all time points, with concurrent depletion of GSH after 4 hours of treatment, ranging from 80 to 66% reduction. GSH levels in all AN-treatment groups returned to control values after 24 hours of treatment and increased only in the SHE cells treated with 75 µg/mL AN after 48 hours of treatment.

Inhibition of the antioxidant enzymes was temporal. Decreased catalase activity was observed following treatment with 50 and 75 µg/mL AN for 4 hours at 72 and 52%, respectively. However, catalase activity was increased with 25, 50, and 75 µg/mL AN treatment for 24 hours by 82, 138, and 182%, respectively. Similar increases were observed with 48 hours of treatment. SOD activity was decreased only in SHE cells treated with 75 µg/mL AN for 4 hours.

The activity of xanthine oxidase, the enzyme that generates the superoxide radical and hydrogen peroxide via the oxidation of hypoxanthine or xanthine by oxygen, was also monitored. Xanthine oxidase activity was increased by 47% in SHE cells treated with 75 µg/mL AN for 24 hours. After 48 hours of treatment, both 50 and 75 µg/mL AN significantly increased xanthine oxidase activity. The addition of 0.5 mM of ABT (a suicide inhibitor of CYP450) to the system prevented the AN-induced decrease in catalase activity after 4 and 24 hours. Cotreatment of AN with ABT also blocked the increase in xanthine oxidase in SHE cells after 48 hours of treatment. ABT alone had no effect on catalase or xanthine oxidase activity. In addition, AN had no effect on catalase or SOD activities in the absence of metabolic source

(SHE cell homogenate). Thus, AN-induced oxidative stress in SHE cells involved decrease of antioxidants and activation of the oxidant enzyme xanthine oxidase. Taken together, these data suggest that AN induced oxidative stress via its oxidative metabolism.

Nerland et al. (2003) proposed another mechanism by which AN might induce oxidative stress in their study on the covalent binding site of AN to rat liver CAIII. Two-dimensional polyacrylamide gel electrophoresis and autoradiography were used to locate proteins in male rat liver cytosol that were radiolabeled after s.c. administration of 115 mg/kg of [2,3-¹⁴C]-AN to male Sprague-Dawley rats. The intensely labeled spots in the autoradiogram were identified as CAIII. Analysis of the tryptic fragment established that only cysteine 186 in the CAIII was labeled. Thus, AN selectively bound to the cysteine 186 residue of CAIII in rat liver. Nerland et al. (2003) also showed that over 50% of rat CAIII had participated in scavenging the toxicant AN. CAIII has been proposed to protect cells against oxidative stress by scavenging reactive xenobiotics, thereby reducing covalent binding to more critical macromolecules. Thus, AN exposure would impair this protective function by covalently binding to cysteine 186 of CAIII.

In another study, the cytotoxicity of AN was related to disturbances in intracellular ionic homeostasis and induction of oxidative stress. Mikhutkina et al. (2004) investigated the role of disturbance in cell Ca²⁺ homeostasis in AN-induced blebbing of thymocyte plasma membrane and apoptosis. Blebbing of cell membrane develops in the initial stage of cell damage and is a sign of apoptosis and necrosis. A component of apoptogenic and necrogenic factors on the cell is Ca²⁺ imbalance, a result of disturbed activity of ion channels and intracellular Ca²⁺ stores. Exhaustion of intracellular Ca²⁺ stores increases the activity of store-activated (capacitance) Ca²⁺ channels that allow influx of Ca²⁺ into cells. Exhaustion of Ca²⁺ stores is a stimulus for apoptosis.

When exposed to 5 mM AN in vitro for 1 hour, thymocytes isolated from male albino mice exhibited twofold increases in the incidence of blebbing of the plasma membrane, followed by apoptosis (as detected by the expression of phosphatidylserine on the outer membrane) and necrosis (as indicated by membrane permeability to propidium iodide) (Mikhutkina et al., 2004). The initial and terminal blebbing of the plasma membrane peaked by 15 and 45 minutes of incubation, respectively. The dynamics of terminal blebbing correlated with the accumulation of MDA in the incubation medium. Cotreatment with compounds (e.g., caffeine and procaine) that regulate activity of intracellular Ca²⁺ stores modulated the cytotoxic effect of AN, suggesting to Mikhutkina et al. (2004) that AN induced the release of Ca²⁺ from the endoplasmic reticulum.

Preincubation of thymocytes with 10 μM isoptin, a blocker of voltage-dependent ion channels, decreased AN-induced apoptosis and necrosis but increased the number of cells in AN-induced secondary necrosis and decreased the intensity of oxidative stress (as indicated by the levels of MDA). This effect was related to the ability of isoptin (an antioxidant) to suppress AN-induced generation of free radicals. Cotreatment of AN with 10 μM SKF 96365, a blocker of calcium release-activated channels that were dependent on the activity of voltage-dependent

ion channels, increased the apoptogenic, but not the necrogenic, activity of AN. (SKF96365 had low prooxidant activity.) The cells treated with SKF 96365 and AN developed intensive blebbing at various periods of incubation. Mikhutkina et al. (2004) interpreted the results to be that isoptin, via K^+ channels, only partially inhibited store-activated Ca^{2+} entry into cells through calcium release-activated channels and in turn, protected cells from apoptosis. However, secondary necrosis (the process associated with cells unable to form apoptotic bodies) developed in thymocytes with suppressed blebbing. On the other hand, inhibition of these channels with SKF 96365 completely blocked Ca^{2+} entry and promoted progression of apoptosis. The results of these experiments suggested that AN caused disturbances in intracellular calcium balance that led to plasma membrane blebbing, apoptosis, and necrosis of thymocytes.

A recent study on the effects of AN on primary-cultured astrocytes from Wistar male rats (Carrera et al., 2007) indicated that AN-induced cellular damage was not due to oxidative stress, since antioxidants did not prevent AN-induced toxicity. In this study, primary cultured rat astrocytes were treated for 1 hour with or without trolox (TRX) (100 μ M), TAU (5 mM), NAC (20 mM), estradiol (10 μ M), or melatonin (MEL) (10^{-3} M, 10^{-5} M, or 10^{-7} M). They were then exposed to 2.5 mM AN (which induced about 40% cell death) for 24 hours. Cell viability was determined by measuring the activity of LDH released by damaged cells into the medium. GSH levels were also measured.

Among the antioxidants included in this study, only NAC, a sulfhydryl donor, prevented the decrease of the number of viable cells in the culture. None of the other antioxidants prevented cell death induced by AN treatment. Moreover, 10^{-5} M MEL and TAU increased the toxicity of AN in astrocytes. Treatment of astrocytes for 4 hours with AN partially depleted intracellular GSH, whereas pretreatment with 20 mM NAC recovered GSH content to the control levels. Carrera et al. (2007) concluded that protective effect of NAC was due to increase in the intracellular pool of GSH, leading to an increase in GSH conjugation with AN, subsequent decrease in availability of AN to be metabolized to CEO and cyanide, and resulting reduction in toxicity. Carrera et al. (2007) also concluded cellular toxicity induced by AN could not be prevented only by antioxidants.

Oxidative stress summary

The role of oxidative stress in AN-induced cytotoxicity was evaluated in both in vitro and in vivo studies (Mohamadin et al., 2005). AN was reported to induce oxidative stress and decreased the viability of NHAs in cell cultures (Jacob and Ahmed, 2003b) and cultured rat colonocytes (Mohamadin et al., 2005). However, other causes contributed to AN-induced cytotoxicity as antioxidants could not restore the normal level of LDH leakage or TBARS production.

Zhang et al. (2002) studied the mechanisms by which AN induced oxidative stress in SHE cells and suggested that AN induced oxidative stress via its oxidative metabolism. Other

proposed mechanisms by which AN might induce oxidative stress included covalent binding of AN to cysteine 186 of rat liver CAIII (Nerland et al., 2003), and disturbances in intracellular ionic homeostasis (Mikhutkina et al., 2004).

Drinking water studies in Wistar rats yielded contradictory results. Mahalakshmi et al. (2003) reported exposure to 8–10 mg/kg-day AN significantly reduced enzymatic antioxidant (SOD, glutathione peroxidase, catalase) levels in rat brain after 14 or 28 days, and that TAU prevented the AN-induced increases in levels of TBARS and lipid hydroperoxides in plasma and brain. However, Carrera et al. (2007) were unable to reproduce the results obtained by Mahalakshmi et al. (2003) in another 14-day drinking water study in Wistar rats. No differences were found in lipid peroxidation products, catalase activity, and reduced and oxidized GSH levels in the control and treated rats. Carrera et al. (2007) concluded that there was no evidence of oxidative damage in the brain of rats treated with 30 mg/kg-day AN for 14 days.

In an oral gavage study of male Swiss albino rats, treatment of rats with 50 mg/Kg-day for 28 days induced increased levels of MDA in the brain, and decreased brain GSH content and enzymatic antioxidant levels (El-Sayed et al., 2008). Pretreatment with HES (200 mg/Kg, i.p.) attenuated the effects of AN treatment.

4.5.1.1.5. Immunotoxicity. Zabrodskii et al. (2000) studied the mechanisms for cell and humoral immunosuppressive effect of AN. CBA mice were treated by a single s.c. injection at one-half the LD₅₀ (28 mg/kg) of AN. One day after treatment, mice were tested for delayed-type hypersensitivity (DTH) reactions: α -naphthylbutyrate esterase activity in splenocytes, number of antibody-producing cells in spleen, and the paw edema test. AN treatment suppressed primary cell immune response, as demonstrated by a 61% reduction of the DTH reaction (edematous paw weight). Secondary DTH response (the number of esterase-positive splenocytes) was reduced by 28%. Humoral immune response was also decreased, as shown by a 56% reduction of the number of antibody-producing cells. The cholinesterase reactivator, bispyridinium dioxime (dipyrroxime), rehabilitated paw swelling completely but only partially restored the numbers of antibody-producing cells and esterase-positive splenocytes.

The role of cytochrome c oxidase a3 in the mitochondrial respiration enzyme system of immunocompetent cells was evaluated using hydrogen cyanide, a metabolite of AN, and its antidote anticyan. Anticyan, an agent that converts Hb to MetHb, also improved the DTH effects of AN but less efficiently than dipyrroxime. A combination of both agents reversed the immunotoxic effects of AN completely. Zabrodskii et al. (2000) suggested that AN-induced immunotoxic effects were the result of its anticholinesterase activity targeted at T lymphocytes and general toxicity associated with inhibition of cytochrome c oxidase a3 of the immunocytes.

4.5.1.2. *Cancer Effects*

4.5.1.2.1. Formation of DNA adducts. There is evidence that AN and its epoxide metabolite, CEO, can form a number of different DNA adducts in vitro. Solomon and Segal (1985) demonstrated the nonenzymatic alkylation of calf thymus DNA by AN. Following a 40-day incubation of AN at 37°C and pH 7.4, the reaction products were identified as cyanoethyl adducts of guanine and thymine and carboxyethyl adducts of adenine and cytosine. The major adducts were 1-carboxyethyl adenosine (26%), 7-cyanoethyl-guanine (26%), imidazole ring-opened 7,9-bis cyanoethyl guanine (19%), 3-cyanoethyl thymine (16%), and N⁶-cyanoethyl adenine (8%).

CEO formed a number of DNA adducts more quickly when incubated with DNA in vitro (Solomon et al., 1993; Yates et al., 1993; Hogg and Guengerich, 1986). When calf thymus DNA was incubated with CEO at pH 7.0–7.5 and 37°C for 3 hours (Solomon et al., 1993), N⁷-(oxoethyl)guanine (110 nmol/mg DNA), N³-(2-hydroxy-2-carboxyethyl)deoxyuridine (80 nmol/mg DNA), and smaller amounts of adenine and thymine adducts were produced. The adducts formed from CEO were different than those formed from AN. The order of reactivity with CEO was guanine > cytosine > adenine > thymine. In addition to reacting with N⁷ of guanine to form N⁷-(oxoethyl)guanine, CEO reacted to a great extent with the cytosine residue, resulting in the detection of a major adduct, N³-(2-hydroxy-2-carboxyethyl)deoxyuridine. Solomon et al. (1993) proposed that the uracil adduct was formed from an initial cytosine adduct through hydrolytic deamination of cytosine to uracil.

Yates et al. (1993) also characterized an adduct formed when calf thymus DNA was incubated with 150 mM CEO at 37°C for 3 hours. The adduct was identified as N³-(2-cyano-2-hydroxyethyl)deoxythymidine. This adduct was also formed when 150 mM [2,3-¹⁴C]-CEO reacted with 10 mM deoxythymidine in vitro (Yates et al., 1993). Subsequent degradation of this adduct yielded N³-(2,2-dihydroxyethyl)deoxythymidine.

Guengerich et al. (1981) showed that when 1 mM [1-¹⁴C]-AN and [2,3-¹⁴C]-AN were incubated at 37°C with 1.5 mg/mL calf thymus DNA in the presence of rat liver microsomes or a reconstituted CYP450 system, DNA adducts were formed as measured by irreversible binding of radioactive label to DNA. Formation of DNA adducts was enhanced by the presence of NADPH. Only trace levels of DNA adducts were detected when incubated with rat brain microsomes, and the reaction was not NADPH dependent, probably due to insignificant metabolism of AN by brain microsomes. Guengerich et al. (1981) also showed nonenzymatic irreversible binding of labeled CEO to calf thymus DNA. The extent of binding for [2,3-¹⁴C]-labeled CEO was three- to fivefold greater than that for [1-¹⁴C]-labeled CEO. Moreover, when 100 mM CEO was incubated with 50 mM adenosine for 24 hours at 37°C, 1,N⁶-ethenoadenosine was formed. In another experiment, an unidentified product was formed when CEO was incubated with cytidine.

Data from Peter et al. (1983a) showed the extent of irreversible binding of AN or its metabolites to DNA to be lower after purification of isolated DNA and RNA by column chromatography on hydroxyapatite. In their in vitro incubation experiment, [2,3-¹⁴C]-AN was incubated with rat-liver microsomes, NADPH and DNA or RNA. Irreversible AN binding was found to be 3 nmol/hour per mg DNA, when ethanol precipitation or phenol extraction of DNA was used. When the isolated DNA was further purified by column chromatography on hydroxyapatite, irreversible binding was found to be 0.15 nmol/hour per mg DNA.

Peter et al. (1983a) also administered [2,3-¹⁴C]-AN intraperitoneally to male Wistar rats and measured the incorporation of radioactivity into hepatic RNA bases. The amount of radiolabel from AN associated with hepatic DNA was lower than that from labeled vinyl chloride. When hepatic DNA from these treated rats was isolated and hydrolyzed, however, chromatography on PEI-cellulose showed two ¹⁴C peaks that did not correspond to known standards. Thus, Peter et al. (1983a) concluded that AN or its metabolites could alkylate DNA, although these DNA adducts had not yet been identified.

Yates et al. (1994) also characterized the products formed when 150 mM CEO reacted with 50 mg/mL nucleotides for 3 hours at 37°C in vitro. The reaction of CEO with 5'-monophosphates of deoxyguanosine, deoxyadenosine, deoxycytidine, or deoxythymidine resulted in the formation of at least one adduct for each nucleotide. These CEO-nucleotide adducts were characterized as 2-cyano-2-hydroxyethyl phosphodiester. The reaction of deoxyguanosine-5'-monophosphate (dGMP) also produced a second adduct, N⁷-(2-cyano-2-hydroxyethyl)-dGMP. Yates et al. (1994) suggested that the cyano-hydroxyethyl-phosphodiester adduct could induce single and double DNA strand breaks (as observed when CEO was incubated with pBR322 plasmid DNA) via interaction of the adduct's β-hydroxyl-group with the DNA phosphate backbone.

Irreversible binding of AN or its metabolites to DNA in vivo has also been studied. Farooqui and Ahmed (1983a) investigated the ability of [2,3-¹⁴C]-AN or its metabolites to bind to macromolecules in rats in vivo. [2,3-¹⁴C]-AN was administered in a single dose to male Sprague-Dawley rats (three to four per group) via gavage at a dose of 46.5 mg/kg in water. Animals were sacrificed at 1, 6, 24, and 48 hours after dosing. Organs, including liver, kidney, brain, spleen, and stomach, were dissected out and frozen rapidly. Nucleic acids extracted from the homogenates were applied to the hydroxyapatite column for separation of RNA and DNA fractions. Radioactivity was detected in the extracts of RNA and DNA from liver, stomach, and brain. Bound radioactivity (as pmol equivalent AN/mg DNA) to DNA in the brain was the highest at 24 hours (119 pmol/mg DNA), followed by stomach (81 pmol/mg DNA), and liver (25 pmol/mg DNA). Bound radioactivity in all three organs plateaued at 24 hours and remained unchanged thereafter. RNA from liver showed the highest amount of radioactivity, followed by brain and stomach. Bound radioactivity in liver RNA peaked at 6 hours after dosing, whereas RNA from the brain and stomach showed maximal radioactivity at 24 hours. Binding to proteins

was extensive and time dependent. In the first hour after oral dosing, the highest protein binding occurred in spleen and stomach, followed by liver, kidney, and brain. After 6 hours, protein binding plateaued until 48 hours. At 6 hours, the highest protein binding occurred in the spleen, followed by liver, stomach, and kidney, with brain having the lowest protein binding. The study authors developed numerical indices for the AN-derived DNA alkylation; covalent binding indices were 5.9, 51.9, and 65.3 for liver, stomach, and brain, respectively, after 24 hours.

Ahmed et al. (1992a) demonstrated the covalent binding of radiolabel from [2,3-¹⁴C]-AN to testicular DNA of male Sprague-Dawley rats after a single oral dose of 46.5 mg/kg of [2,3-¹⁴C]-AN. In a time course study, bound activity was shown to be greatest after 30 minutes (8.93 ± 0.80 μ mol AN bound/mol nucleotide), with covalent binding index of 10.15. Using an identical experimental protocol, Ahmed et al. (1992b) demonstrated the capacity of AN to bind covalently to DNA in the lung. Covalent binding of radioactivity to DNA increased with time and was maximum at 12 hours after a single oral dose, with a covalent binding index of 3.48. Binding was associated with a 55–72% decrease in replicative DNA synthesis at time points up to 24 hours after dosing.

Hogy (1986) and Hogy and Guengerich (1986) studied the in vivo interaction of AN and CEO with DNA. Male F344 rats (3/group) were administered AN (50 mg/kg, i.p.) or CEO (6 mg/kg, i.p.) and were sacrificed after 2 hours. The brain and livers were removed and frozen, and DNA and RNA were isolated from these tissues. For detection of N⁷-(2-oxoethyl)guanine, DNA samples were reductively tritiated with NaB³H₄; the adduct was released by neutral thermal hydrolysis and purified by thin-layer chromatography for subsequent quantitation by liquid scintillation counting. N⁷-(2-oxoethyl)guanine was detected in liver DNA at 3.1×10^7 nucleotides per alkylation in AN-treated rats and 6.9×10^7 nucleotides per alkylation in CEO-treated rats (Table 4-53). For brain DNA, N⁷-(2-oxoethyl)guanine was detected at 2.4×10^8 nucleotides per alkylation in AN-treated rats and at 1.1×10^9 nucleotides per alkylation for CEO-treated rats. The values obtained from the brain DNA samples were near the limit of detection. Thus, the presence of these adducts in the brain could not be unequivocally verified.

Table 4-53. Detection of N⁷-(2-oxoethyl)guanine after i.p. administration of 50 mg/kg AN or CEO to male F344 rats

Compound	Formation of N ⁷ -(2-oxoethyl)guanine			
	Liver		Brain	
	fmol/mg DNA	Nucleotides/alkylation	fmol/mg DNA	Nucleotides/alkylation
AN	109 $\pm$ 71	3.1×10^7	14	2.4×10^8
CEO	48 $\pm$ 15	6.9×10^7	3	1.1×10^9

Source: Hogy (1986).

In the same study (Hogy, 1986; Hogy and Guengerich, 1986), rat DNA samples were also analyzed by HPLC with a fluorescence detector for 1,N⁶-ethenoadenosine and 1,N⁶-ethenodeoxyadenosine. These adducts were not detected with limits of detection estimated to be 3 pmol/mg DNA and 1 pmol/mg RNA, respectively.

In another study, Prokopczyk et al. (1988) administered 50 or 100 mg/kg s.c. AN to male F344 rats (10/group). DNA was isolated from liver and brain after 2 hours (50 mg/kg group) or 6 hours (100 mg/kg AN). An HPLC assay with fluorescence detector was used to detect 7-(2-cyanoethyl)guanine (detection limit: 1 per 5×10^4 guanine) and O⁶-cyanoethylguanine (detection limit: 1 per 7×10^4 guanine). Neither adduct was detected. These two adducts were not formed when CEO was incubated with calf thymus DNA in vitro (Solomon et al., 1993).

4.5.1.2.2. Oxidative stress. Jiang et al. (1998) evaluated the ability of AN to induce oxidative stress in the brain cortex of rats. Male Sprague-Dawley rats (at least nine/group) were exposed to 0, 5, 50, 100, or 200 ppm AN in drinking water for either 14, 28, or 90 days. These concentrations were selected from those used in chronic bioassays (see Section 4.2.1.2). As calculated by the study authors, average daily doses of 0, 0.6, 5.1, 8.9, or 15.0 mg/kg-day were ingested over the 90-day treatment period by the control to high-dose groups, respectively. At study termination, brains and livers were weighed. The brain cortices and livers from six rats/group were evaluated for the following oxidative endpoints: oxidative DNA damage (8-hydroxy-2'-deoxyguanosine levels), lipid peroxidation (MDA levels), levels of nonenzymatic antioxidants (glutathione and vitamin E), and the activities of enzymatic antioxidants (catalase, SOD, and glutathione peroxidases). 8-Hydroxy-2'-deoxyguanosine has also been referred to as 8-oxo-7,8-dihydro-2'-guanosine (Murata et al., 2001) and 8-oxodeoxyguanosine (Whysner et al., 1998a) and will be referred to as 8-oxodG in this document. ROS formation, as measured by the formation of 2,3-dihydrobenzoic acid from salicylic acid in brain cortex and liver, were evaluated in three rats/group injected with salicylic acid in saline 12 hours before termination.

In rats exposed to AN at concentrations as high as 200 ppm for up to 90 days, no effects were noted on viability. A statistically significant reduction of 9% in BW was observed in the 200 ppm group after 90 days. No differences were observed in brain or liver weights nor were any of the measures of oxidative damage or antioxidant levels in the liver for all groups at any sampling times. AN exposure resulted in statistically significant increases in oxidative stress parameters and decreases in antioxidants levels in the brain cortex. Levels of hydroxy free radicals (ROS) were significantly elevated in a dose-related manner in the 50–200 ppm groups, beginning at 14 days and persisting until the 90-day time point; at 200 ppm, the increase was approximately fivefold over controls. Levels of 8-oxodG in the cellular DNA of brain cortex were significantly elevated (three- to fourfold compared with controls) in a dose-related manner in the 100 and 200 ppm groups, beginning at 14 days of exposure, and two- to threefold in the

50 ppm group, beginning after 28 days of exposure. MDA levels were significantly increased (1.5-fold) in the 200 ppm group after 14 days but at no other time point.

Slight but significant decreases in levels of vitamin E (about 20%) and glutathione (about 20%) in the brain cortex were observed in the 50–200 ppm groups but were statistically significant only at the 14-day time point. Statistically significant, dose-dependent reductions (by up to 60%) in catalase activity were observed in brain cortex at all exposure levels after 14 days, at ≥ 100 ppm after 28 days, and at ≥ 50 ppm after 90 days; the transient effect at 5 ppm was not considered to be biologically significant. Reductions in SOD levels in the brain (by up to 30% at 200 ppm) were dose related and statistically significant at ≥ 50 ppm after 14 days and only at 200 ppm after 28 or 90 days. In this study, a NOAEL of 5 ppm (0.6 mg/kg-day) and a LOAEL of 50 ppm (5.1 mg/kg-day) were identified for increases in levels of oxidative damage (increased ROS and DNA damage) and reductions in the antioxidant enzymes catalase and SOD in the brain of rats exposed to AN in drinking water. Jiang et al. (1998) suggested that observed oxidative stress in rat brain cortex following AN treatment could be induced by: (1) generation of free radicals from AN or its metabolites, (2) binding of AN or its metabolites to free radical scavengers (e.g., GSH, vitamin E), (3) modulation of the activity and/or synthesis of antioxidant enzymes (e.g., SOD, catalase), and/or (4) interference with electron flow through the respiratory chain via inhibition of cytochrome C oxidase by the cyanide ion, a metabolite of AN. However, these potential mechanisms need to be further investigated.

In a follow up study, Pu et al. (2009) examined the potential for AN to induce oxidative DNA damage in rats. These investigators also examined whether blood could serve as a surrogate for the biomonitoring of oxidative stress induced by AN in target tissues (in particular brain) of exposed populations. Male Sprague-Dawley rats (9/group) were treated with 0, 3, 30, 100, or 200 ppm AN in drinking water for 28 days. N-acetyl cysteine (NAC), an acetylated precursor of glutathione, was coadministered at a dietary concentration of 0.3% to one group of rats receiving 200 ppm AN in drinking water to evaluate its protective effect against potential AN-induced oxidative stress. At the end of treatment, animals were sacrificed and blood samples were collected immediately. The standard alkaline comet assay was used as a measure of DNA damage in the brain cortex and white blood cells (WBCs) of 3 rats/group. The standard comet assay detects single and double strand breaks, cross links, oxidative DNA damage, apurinic/pyrimidinic sites and DNA repair (Smith et al., 2006; Collins, 2007). The formamidopyrimidine DNA glycosylase (fpg)-modified comet assay was used as a measure of oxidative DNA damage in the brain cortex and WBCs of different treatment groups. 8-oxodG levels in brain tissues and WBCs were also measured by HPLC with electrochemical detection. To detect the presence of ROS, 2,3-dihydroxybenzoic acid (2,3-DHBA) was measured in WBCs and brain.

Pu et al. (2009) observed no increase in DNA damage in rat WBC and brain tissue following exposure to AN with or without NAC coadministration using the alkaline comet assay.

Dose-dependent increases in DNA damage were observed in rat WBC and brain following exposure to AN using the fpg-modified alkaline comet assay. These increases in DNA damage were not observed in WBC and brain of rats exposed to 200 ppm AN and NAC. Pu et al. (2009) interpreted the results as indicative of oxidative DNA damage in AN-exposed rats, and further that the absence of oxidative DNA damage in rats coadministered NAC reflected the antioxidant action of NAC. Significant increases in the level of 8-oxodG were found in WBC and brain of rats treated with 100 and 200 ppm AN; no increase was observed in rats treated with 200 ppm AN and NAC. 2,3-DHBA was increased in the WBC of rats treated with AN in a dose-dependent manner, but was not detectable in the brain of treated rats. In addition, the ratios of reduced to oxidized glutathione (GSH/GSSG) were reported to be significantly lower in rats treated with 30, 100 and 200 ppm AN, but not in a dose dependent manner. Pu et al. (2009) concluded that AN induced oxidative stress and DNA damage in male Sprague-Dawley rats and that the fpg-modified comet assay in WBC correlated with target tissue oxidative DNA damage.

Whysner et al. (1998a) also evaluated oxidative DNA damage (increased levels of 8-oxodG) in the brains of male Sprague-Dawley and F344 rats exposed to AN in drinking water for durations of either 21 or 94 days. In the first experiment, male Sprague-Dawley rats (20/group) were exposed to 0, 3, 30, or 300 ppm AN in drinking water for 21 days (Whysner et al., 1998a). Based on default values for the BW of male Sprague-Dawley rats in a subchronic study (0.267 kg) and an allometric equation linking water consumption to BW (U.S. EPA, 1988), the average intakes of AN were calculated as approximately 0, 0.43, 4.3, and 43 mg/kg-day for the control to high-dose groups, respectively. At termination, brains, livers, and forestomachs were excised from five rats/group each for DNA isolation. These tissues were analyzed for GSH, cyst(e)ine, and 8-oxodG levels in nuclear DNA. TBARS levels in brains were determined for another five rats/group. Brain homogenate from another five rats/group were analyzed for cytochrome oxidase activity (in the mitochondria fraction), catalase (in supernatant), and glutathione peroxidase (in homogenate). Tissues from another five rats/group were examined for histopathology, but results were to be published separately.

In rats exposed to 30 or 300 ppm AN, statistically significant increases in 8-oxodG levels in nuclear DNA were measured in brains (approximately twofold increase compared with control values for both dose groups) and livers (approximately 1.4-fold increase for both dose groups when compared with controls). Also observed in the 300 ppm dose group were increases in the level of cyst(e)ine in the forestomach (approximately twofold) and brain (approximately 50% increase). There were no exposure-related effects on levels of glutathione in the brain and liver or on levels of cytochrome oxidase, catalase, glutathione peroxidase, or TBARS in the brain. However, glutathione level in the forestomach was increased about 1.8-fold in the 300 ppm dose group compared with controls. In this study, a NOAEL of 3 ppm (0.43 mg/kg-day) and a LOAEL of 30 ppm (4.3 mg/kg-day) were identified for increased oxidative DNA damage in the brains and livers of Sprague-Dawley rats. Whysner et al. (1998a) concluded that the formation

of 8-oxodG from AN exposure did not involve disruption of antioxidant defense or lipid peroxidation. In addition, the absence of effect on brain cytochrome oxidase activity in exposed rats indicated lack of inhibition by cyanide, a metabolite of AN. Thus, Whysner et al. (1998a) concluded that cyanide-induced metabolic hypoxia did not appear to be involved in the generation of ROS by AN administered in drinking water.

Whysner et al. (1998a) conducted a parallel 21-day drinking water study in male F344 rats (10/group). Concentrations of AN in drinking water were of 0, 1, 3, 10, 30, or 100 ppm. Based on default values for the BW of male F344 rats in a subchronic study (0.18 kg) and an allometric equation linking water consumption to BW (U.S. EPA, 1988), the average doses of AN were estimated at 0, 0.16, 0.47, 1.6, 4.7, and 15.6 mg/kg-day in the control to high-dose groups. An additional group of rats received 5 mg methylnitrosourea (MNU) per kg/week via i.v. injection. At termination, rat brains were evaluated for levels of 8-oxodG in nuclear DNA, cytochrome oxidase, glutathione, and cyst(e)ine. Levels of all these parameters in the brains of AN-exposed rats and MNU-exposed rats were not significantly different from controls. The levels of 8-oxodG in the brains of 3–100 ppm AN dose groups were the same (about 1.3-fold higher than control values). However, the increases were not statistically significant. The highest drinking water concentration of 100 ppm AN (15.6 mg/kg-day) was a NOAEL for oxidative effects in the brains of F344 rats.

In the subchronic experiment by Whysner et al. (1998a), male Sprague-Dawley rats (10 rats/group) were exposed to 0 or 100 ppm AN in drinking water for 3, 10, 31, or 94 days. Two additional groups of Sprague-Dawley rats (six/group) were exposed to 5 mg MNU/kg/week or 5 mg MNU/kg/week + 100 ppm AN. Brains were assayed for levels of 8-oxodG, cytochrome oxidase, and glutathione. Levels of 8-oxodG were also measured in the livers of rats exposed for 3, 10, or 94 days.

No effects on brain levels of cytochrome oxidase or glutathione were observed in any dose group up to 94 days. The 8-oxodG levels were significantly increased by 77% following exposure to 100 ppm AN for 3, 10, and 94 days. Administration of 5 mg/kg MNU (a DNA-reactive carcinogen that produces glial cell tumors in rats) did not increase the level of 8-oxodG in the brain of treated rats but increased 8-oxodG in the liver after 10 days. However, coadministration of 100 ppm AN and MNU increased the 8-oxodG level in the brain after 31 and 94 days when compared with controls. Whysner et al. (1998a) proposed that AN-induced generation of ROS and resultant oxidative DNA damage represented one possible mode of action for the neoplastic process in the rat brain. However, as discussed in Section 4.7.3.3.1, results from this study did not support the proposed oxidative stress mode of action.

The ability of AN to induce oxidative stress and oxidative DNA damage was also studied in a rat glial cell line and cultured rat hepatocytes in vitro (Kamendulis et al., 1999a). In parallel experiments, DITNC1 rat astrocytes and rat hepatocytes were incubated with sublethal concentrations (up to 1 mM) AN in vitro for 4 or 24 hours. The following were measured at the

end of the incubation period: the 8-oxodG levels in total cellular (nuclear and mitochondrial) DNA; generation of ROS (measured as an increase in 2,3-DHBA); and levels of MDA, GSH, and antioxidant enzymes activity.

In rat astrocytes, significant increases (up to 3.8-fold) in the production of 8-oxodG over control was observed after 4 hours and up to 3.9-fold after 24 hours. No increase in 8-oxodG formation was observed in rat hepatocytes at all AN concentrations and time examined. The induction of 8-oxodG by AN in rat astrocytes was reversible. Following removal of AN after 24 hours of treatment, 8-oxodG levels returned to control values at all studied concentrations in 24 hours. Intercellular production of ROS was found to be increased 2- to 2.6-fold after 4 and 24 hours at 0.1 and 1.0 mM AN. No increase in ROS generation was found in rat hepatocytes at any AN concentration or exposure duration. No significant change in MDA formation (as indicator of lipid peroxidation) was found in either cell type following treatment with AN.

A significant decrease in cellular GSH levels was observed in rat astrocytes treated with 0.1 and 1.0 mM AN for 4 hours (25–36% of control) and 24 hour (43–61% of control); and in SOD activity in astrocytes treated for 4 hours with 1 mM AN (39% reduction over control) and for 24 hours with 0.1 and 1 mM AN (38–40% reduction over control). No significant decrease in catalase and glutathione peroxidase activities was observed in treated astrocytes. Cotreatment with L-2-oxothiazolidine-4-carboxylic acid (OTC), a precursor to GSH biosynthesis, or with vitamin E, an antioxidant, reduced 8-oxodG and ROS formation induced by AN treatment. These effects were not evident in isolated hepatocytes treated with AN. Results from this study were in agreement with results from the *in vivo* study by Jiang et al. (1998) on rat brain cortex. Since the formation of 8-oxodG and ROS observed after AN treatment in this study was temporal, dose dependent, and reversible following removal of AN in the culture medium, Kamendulis et al. (1999a) suggested that these are established properties of tumor-promoting agents. Kamendulis et al. (1999a) proposed that AN-induced astrocytomas in rats were produced via tumor promotion mechanisms.

Jacob and Ahmed (2003b) also demonstrated the ability of AN to induce oxidative stress and oxidative DNA damage in NHA culture (see Section 4.5.1.1.3).

Pu et al. (2006) measured direct and oxidative DNA damage in cultured D1 TNC1 rat astrocytes treated with 0–2.5 mM AN for 24 hours. Direct DNA damage was measured by the standard alkaline comet assay and oxidative DNA damage was measured with a fpg-modified comet assay. 7-Ethoxyresorufin-O-deethylase (EROD) and CYP2E1 activities in the astrocytes were measured.

At 2.5 mM AN, a 40% decrease in cell viability was observed. No increase in direct DNA damage (measured as increase in tail moment) was observed at any AN concentration. Hydrogen peroxide (20 μ M) was used as positive control, and significant increase in DNA damage was found. On the other hand, a threefold increase in oxidative DNA damage was observed in astrocytes treated with 1 mM AN. Supplementation of 1 mM AN with three

different antioxidants—vitamin E (150 μ M), TRX (water-soluble analog of vitamin E, 150 μ M), and epigallocatechin-3 gallate (a polyphenol from green tea, 5 μ M)—reduced AN-induced oxidative DNA damage by 65, 54, and 65%, respectively.

As expected, only low level CYP2E1 activity was measured in the astrocytes and was about 10% of that measured in mouse liver. EROD activity was measured in rat astrocytes as an indicator of CYP1A and was about 500-fold higher than that for CYP2E1. In addition, a 90% reduction in the activities of EROD and CYP2E1 was observed when the astrocytes were cotreated with AN and 0.5 mM ABT for 24 hours. Cotreatment of astrocytes with 1 mM AN and 0.5 mM ABT also prevented the increase in oxidative DNA damage induced by AN, indicating the metabolism of AN by P450 was required for the production of oxidative stress. GSH depletion induced by 4 and 24 hours of treatments with DL-buthionine [S,R]-sulfoximine, a selective inhibitor of γ -glutamylcysteine synthetase, enhanced the oxidative DNA damage induced by AN by 44–160% over control. On the other hand, cotreatment with 2.5 mM OTC, a precursor for GSH biosynthesis, reduced AN-induced oxidative DNA damage by 63–85%. Exposure to 0.1–0.5 mM cyanide also increased oxidative DNA damage (Pu et al., 2006).

Murata et al. (2001) investigated the enhancing effect of AN on the formation of 8-oxodG in calf thymus DNA, induced by hydrogen peroxide (H_2O_2) and Cu(II). Calf thymus DNA was incubated with various concentrations of H_2O_2 plus CuCl_2 in the presence or absence of AN for 30 minutes. The level of Cu(II)-mediated 8-oxodG formation increased with increasing concentration of H_2O_2 . The addition of AN (0.1–0.5%) enhanced the formation of 8-oxodG by hydrogen peroxide and Cu(II) in a dose-dependent manner, whereas AN itself did not cause DNA damage. The enhancing effect of AN was more marked in double-stranded than in single-stranded DNA. Further experiments with [^{32}P]-labeled DNA showed that addition of AN enhanced the site-specific DNA damage at guanine residues, particularly at the 5'-site of the GG and GGG sequences while H_2O_2 /Cu(II) induced piperidine-labile sites at thymine, cytosine, and guanine residues. Electron spin resonance spectroscopy showed that a nitrogen-centered radical was generated from AN during incubation with hydrogen peroxide and Cu(II). Murata et al. (2001) proposed that AN enhanced H_2O_2 -mediated DNA damage via nitrogen-centered radical formation. Thus, AN may enhance endogenous oxidative stress, although AN itself does not have the ability to induce oxidative DNA damage.

4.5.1.2.3. Intercellular communication. Kamendulis et al. (1999b) investigated the effect of AN on gap junction intercellular communication (GJIC) in D1 TNC1 astrocytes (a rat astrocyte transformed cell line) and primary rat hepatocytes in culture. Noncytotoxic concentrations of AN (0.01–1.0 mmol/L) were incubated with D1 TNC1 astrocytes or primary rat hepatocytes. GJIC was determined by microinjection of lucifer yellow CH into cells. Dye coupling was quantitated by determining the number of recipient cells in contact with microinjected cells that

showed communication. The reversibility of AN inhibition of GJIC was also evaluated by replacement of AN with fresh medium.

Following 2 hours of treatment, AN at 0.1 and 1 mmol/L inhibited GJIC in D1 TNC1 astrocytes, which were putative target cells. After treatments for 4 and 24 hours, all concentrations of AN (0.01–1.0 mmol/L) inhibited GJIC. The inhibitory effect of AN in this system was dose dependent at all time points, reversible by removal of AN, and partially suppressed by the presence of antioxidants such as vitamin E (0.1 mmol/L). After treatment with both vitamin E and AN for 24 hours, inhibition of GJIC was reduced by 23%. On the other hand, AN did not inhibit GJIC in primary cultured hepatocytes at all concentrations and durations of treatment.

AN also caused a concentration-dependent decrease in cellular GSH content in both D1 TNC1 astrocytes and rat hepatocytes (Kamendulis et al., 1999b). Cotreatment with 5 mmol/L OTC (a precursor of GSH synthesis) reduced inhibition of GJIC by AN in D1 TNC1 astrocytes following 4 and 24 hours of exposure, with the greatest reduction observed at 1.0 mmol/L AN (up to 68%). However, depleting GSH by L-BSO (an inhibitor of intracellular GSH synthesis) alone without AN did not affect GJIC in rat astrocytes. Thus, depletion of GSH alone in astrocytes was not sufficient for the observed decrease in GJIC by AN.

Inhibition of intercellular communication by AN was implied in an inhibition of metabolic cooperation assay. Two studies (Elmore et al., 1985; Umeda et al., 1985) evaluated AN by using 6-thioguanine (6-TG) sensitive wild type Chinese hamster V79 cells and 6-TG-resistant cloned cells that are the hypoxanthine guanine phosphoribosyl transferase ($hprt^-$) mutant of the V79 cell line. The $hprt^-$ mutant cannot phosphorylate several purine analogues, including 6-TG, and are therefore resistant to cell killing by the purine analogue. When the WT cells are cultured at a density that permits frequent contact with the mutant cells, metabolic cooperation (i.e., gap junction formed between these cells) allows the transfer of nutrients and phosphorylated purine analogue from the WT cells to the mutant cells and decreases probability of recovery of the mutant cells in purine analog selective medium. The principle of the assay is based on the fact that recovery of 6-TG-resistant cells cocultivated with 6-TG-sensitive cells in 6-TG-containing medium increased by addition of compounds that inhibit metabolic cooperation. This assay evaluates if the test agent can modulate gap junctional communication.

AN inhibited gap junction formation slightly and dose dependently (Umeda et al., 1985). Average recovery of the mutant cells was 22% in the control, 33% at 1 mM AN, and 39% at 2 mM AN. Umeda et al. (1985) considered AN as positive in the metabolic cooperation assay. In the study by Elmore et al. (1985), AN produced positive responses at noncytotoxic concentrations of 10–50 $\mu\text{g/mL}$ after incubation for 3 days.

4.5.1.2.4. Cell proliferation. Ghanayem et al. (1997) examined the effects of AN on forestomach cell proliferation and apoptosis in male F344 rats (12/group) administered either 0,

0.22, or 0.43 mmol/kg (0, 11.7, or 22.8 mg/kg) by gavage for 6 weeks. Six rats from each dose group were used to assess BrdU incorporation in the stomach, the remaining six rats from each group were used to assess BrdU incorporation in hepatocytes. Proliferation of forestomach squamous epithelial cells was evaluated with light microscopy by determining the number of cells (nuclei) per unit length muscularis and by quantitating BrdU-stained cells.

AN was shown to induce a dose-dependent increase in epithelial cell proliferation in the forestomach, as determined by the incorporation of BrdU into S-phase DNA. The increase in forestomach mucosal cell proliferation was significant at both the low and high doses of AN. No cellular proliferation was detected in the liver and glandular stomach, which are not target organs of AN carcinogenicity.

Hyperplasia, a possible indicator of enhanced cell proliferation, was significantly increased in the forestomach squamous mucosa of the high-dose group (about 60% above vehicle-treated controls); the increase in the low-dose group (7% above controls) was not statistically significant. The effects of AN on cellular proliferation in the forestomach of treated rats were also evaluated by quantitative determination of BrdU incorporation into S-phase DNA, using immunohistochemical staining. The increase in forestomach mucosal cell proliferation was significant at both the low- and high-dose groups. In addition, the effect of AN on apoptosis was determined by *in situ* end labeling of tissue sections. Apoptotic bodies were observed in the forestomach of rats treated with high-dose AN. No increase in apoptosis was detected in the liver or glandular stomach of control or treated rats. Thus, AN induced a significant increase in forestomach apoptosis at the high dose, coupled with an increase in hyperplasia.

Ghanayem et al. (1997) proposed that disruption of the normal balance between cell proliferation and apoptosis in favor of enhanced forestomach cell proliferation (as reflected by hyperplasia of the forestomach epithelium) probably contributed to the pathogenesis of AN-induced forestomach tumors. This suggestion was supported by the observations that cell proliferation in forestomach squamous mucosa of treated rats occurred at doses that caused forestomach tumors in rats and that cell proliferation was selective and only occurred in the target organ forestomach but not in liver.

In a recent study, Chantara et al. (2006) evaluated whether AN induced extracellular signal-regulated kinase (ERK) activation in human neuroblastoma SK-N-SH cells. The activation of ERKs belonging to the mitogen-activated PK family has been implicated to play crucial roles in cell proliferations and is involved in many steps of tumor progression (Fang and Richardson, 2005; Plataniias, 2003; Seger and Krebs, 1995). Dysfunction of the ERK signaling pathways was shown to play a pivotal role in the development of many cancers, including leukemia and colon cancer. Active forms of ERK1/2 were found to be dually phosphorylated at threonine and tyrosine. To investigate whether AN could activate ERK, the effect of AN on the activation-associated phosphorylation of ERK1/2 was measured in SK-N-SH cells. Treatment with 400 μ g/mL AN for 1 hour increased the activation-associated phosphorylation of ERK1/2.

Further increase in ERK1/2 phosphorylation was observed with 3 and 24 hours of incubation. Furthermore, increase in ERK phosphorylation was found to be dependent on AN concentration. When SK-N-SH cells were treated with specific mitogen-activated/ERK-activating kinase (MEK) inhibitors, PD98059 (10 μ M) and U0126 (10 μ M), for 1 hour prior to treatment with 400 μ g/mL AN for 24 hours, activation of ERK by AN was significantly abolished. Thus, Chantara et al. (2006) concluded that AN induced ERK1/2 phosphorylation in SK-N-SH cells via activation of MEK.

The role of muscarinic receptors in AN-mediated ERK activation was also investigated by pretreating SK-N-SH cells with or without 10 μ M atropine, a muscarinic receptor antagonist, followed by incubation with AN for 24 hours. Carbachol, a muscarinic receptor agonist, was used as a positive control. Previous studies suggested that expression of muscarinic receptors can induce cell proliferation by activating the ERK1/2 pathway (Jiménez and Montiel, 2005). The results showed that 1 mM carbachol induced ERK1/2 activation, and this effect was reduced by atropine pretreatment. However, AN-induced ERK activation was not significantly altered by atropine pretreatment, suggesting that muscarinic receptor stimulation may not be directly involved in the observed AN-induced ERK activation (Chantara et al., 2006).

Chantara et al. (2006) also investigated whether oxidative stress generated by various stimuli might result in activation of ERK by studying the effects of antioxidants on AN-induced ERK activation. Three non-enzymatic antioxidants were used: NAC, ascorbic acid, and water-soluble vitamin E (TRX) were used. When SK-N-SH cells were pretreated with 20 mM NAC for 10 minutes or 1 mM ascorbic acid or 1 mM TRX for 1 hour prior to addition of 400 μ g/mL AN for 24 hours, no reduction in AN-induced ERK activation was found. Therefore, Chantara et al. (2006) concluded that the activation of ERK by AN observed in SK-N-SH cells was not mediated via an oxidative stress-dependent mechanism.

To determine if AN-induced ERK activation was mediated via PKC, PKC was inhibited via several methods. In addition to applying the PKC inhibitors, GF109203X (bisindolymalcimide) and rottlerin, PKC was also depleted by prolonged incubation of the cells with phorbol 12-myristate 13-acetate (PMA). Inhibition of PKC by GF109203X significantly reduced the increase in ERK1/2 phosphorylation to 26% of that caused by AN alone. Similarly, rottlerin and prolonged treatment with PMA reduced the activation of ERK by AN. Therefore, the study authors concluded that PKC played an important role in AN-induced ERK activation in SK-N-SH cells. In summary, this study demonstrated that AN activated ERK1/2 in a PKC-dependent manner and that oxidative stress and muscarinic receptor activation were probably not involved in ERK1/2 activation by AN.

4.5.2. Genotoxicity Studies

4.5.2.1. Studies in Humans

Eight studies have examined the genotoxicity of AN in vivo in occupationally-exposed populations.

Xu et al. (2003) detected DNA strand breakage in AN-exposed workers (using single-cell gel electrophoresis), with the rate of comet sperm higher in exposed workers than in the controls. There were also significant differences in the frequencies of XX-, YY-, and XY-bearing sperm between the exposed and control groups, and an increase in the frequency of sex chromosome disomy. See Section 4.3.1 for a more complete summary of this study.

Fan et al. (2006), in an article translated from Chinese, evaluated the application of a micronucleus test to detect genetic damages in buccal mucosal cells of AN-exposed workers. The low concentration (average concentration 0.522 mg/m³ AN) exposed group consisted of 41 healthy male workers with direct contact with AN in a chemical plant that produced AN (by the oxidation of propylene, ammonia, and air) in Shanghai. Since the entire propylene-ammonia oxidation process was carried out in a closed system of pipes and automated technology, the chance of contact with AN was primarily at the time of on-site sampling and pipe inspection. The average age of this exposed group was 37.4 years; and the range and average exposure duration were 1–33 and 15.7 years, respectively.

The intermediate (average concentration 1.998 mg/m³) exposed group consisted of 47 healthy male workers in an acrylic fiber factory in Shanghai. AN was used as a raw material in the synthesis of polyacrylonitrile by polymerization. The average age of workers was 39.8 years and the range and average exposure durations were 1–33 and 17.2 years, respectively. The control group consisted of 31 healthy male workers with no exposure to any known mutagen or AN and living in the same community. Their average age was 37.2 years and the average working duration was 16.7 years. The rates of alcohol consumption and cigarette smoking were similar in the exposed and control groups.

Buccal mucosal cells were collected from second scrapings from the mucous membrane on the inside of both cheeks of study subjects after rinsing their mouths with clean water. Blood samples were also collected for measurement of micronuclei (MN) in peripheral blood lymphocytes. The rates of occurrence of MN in buccal mucosal cells in the intermediate concentration exposed, low concentration exposed, and control groups were 4, 3.68, and 2.03%, respectively; the rates in both exposed groups were significantly higher than in the control group ($p < 0.05$). The rates of occurrence of MN in peripheral blood lymphocytes in the intermediate and low concentration exposed and control groups were 4.23, 2.44, and 2.48%, respectively. The rate of occurrence of MN in blood lymphocytes in the intermediate exposed group was significantly different from that in the control group ($p < 0.05$).

To investigate the relationship between AN exposure and the rate of occurrence of MN and to eliminate the possibility of the presence of other confounding factors, a multivariate linear

regression analysis was conducted. The results indicated that the cumulative exposed amount of AN, the recent exposed amount of AN, and the extent of cigarette smoking were important factors in the rate of occurrence of MN in buccal mucosal cells and blood lymphocytes. Fan et al. (2006) concluded that the micronucleus test of buccal mucosal cells could replace the micronucleus test of lymphocytes in the peripheral blood as a screening test for genetic damage in AN-exposed workers.

Borba et al. (1996) evaluated urinary genotoxicity of three groups of workers in an AN fiber production plant (exposed group 1: 14 workers in the continuous polymerization section; exposed group 2: 10 equipment maintenance workers; control group: 20 administrative workers from the same plant). Urine extracts were used in the Ames test (using TA 98, +S9) to assess gene reversion activity. No differences in urinary genotoxicity were found in the three groups. Additionally, there were no significant differences in the incidence of SCE in peripheral lymphocytes among the groups. However, the maintenance workers had a higher incidence of CAs (consisting of gaps and breaks in both chromatids and chromosomes) in lymphocytes than the controls ($p < 0.003$). These effects were also increased in production workers but not to statistically significant levels, possibly due to the comparatively low number of subjects in the study. The significantly higher incidence of CAs in maintenance workers were in agreement with the highly significant levels of CEVal-Hb adduct in the same population (see Section 4.1.2.2). The maintenance workers also had significantly higher levels of erythrocyte MDA, an indicator of lipid peroxidation, than the other two group of workers.

Ding et al. (2003) compared deletion frequencies of mitochondrial DNA in peripheral lymphocytes in 47 workers exposed to a mean workplace concentration of 0.11 ppm AN and 47 nonexposed workers using PCR techniques (this study is described more fully in Section 4.1.2.2). No deletions were detected in the nonexposed group, but a deletion frequency of 17% was detected in the exposed group. In a separate experiment on presumably nonexposed individuals, no deletions in mitochondrial DNA were detected in samples from 12 high school students, whereas the deletion frequency was 25% in samples from 12 elderly persons. Consistent with the hypothesis that damage to mitochondrial DNA contributes to degenerative diseases related to aging, the study authors suggested that occupational exposure to AN may induce mitochondria DNA deletion in cells that are related to aging.

Using the FISH technique with probes for chromosomes 1 and 4, Beskid et al. (2006) examined patterns of CAs in cultured lymphocytes from blood samples of 61 AN-exposed male workers involved in the polymerization of Indian rubber and 49 nonexposed control subjects. Stationary monitoring in the workplaces indicated AN air concentrations of 0.05–0.3 mg/m³ for a group of 39 exposed workers sampled in 2000 and 0.05–0.7 mg/m³ for another group of 22 exposed workers sampled in 2003. A 38% increase in frequency of aberrant cells in AN-exposed workers was found to be statistically insignificant. However, the number of reciprocal translocations increased by 53% ($p < 0.05$) in the AN-exposed group. In addition, a

significant increase in a relative number of insertions was found in the AN-exposed group. Furthermore, chromosomal specificity was observed in lymphocytes with aberrations on chromosome 1 and 4. In the AN-exposed group, the proportion of cells with aberrations on chromosome 1 decreased significantly (58.8 vs. 73.8% in the control subjects, adjusted to age and smoking), but aberrations on chromosome 4 increased (47.0 vs. 29.4% in the controls).

In an earlier study by the same research group, the frequency of CAs in peripheral blood samples was studied in 45 male rubber polymerization workers exposed for the last 3 months to 0.05–0.3 mg AN/m³, 23 matched controls living in the same region (control group I), and 33 unexposed controls from Prague (control group II) (Šrám et al., 2004). Subjects were interviewed and completed questionnaires on demographic data, occupational and environmental exposures, smoking habits, medications, X-ray examinations, viral infections, and alcohol consumption within the 3 months before sampling. Cytogenetic analysis was conducted using two methods. Conventional chromosomal analysis was used to quantify CAs (chromatid plus chromosome breaks and chromatid plus chromosome exchanges), the number of aberrant cells (those with breaks and exchanges, gaps not included), and the aberration frequency (number of breaks per cell). The FISH technique, using probes for chromosomes 1 and 4, was employed to quantify translocations. Conventional analysis did not detect any differences in the frequency of CAs in exposed workers compared with either control group. FISH detected no differences in the frequencies of aberrations or translocations in exposed workers compared with matched controls (control group I), but the frequencies in both groups were significantly elevated compared with unexposed controls from Prague (control group II). Šrám et al. (2004) concluded that occupational exposure to 0.05–0.3 mg/m³ AN did not present a significant genotoxic risk and attributed higher frequencies in the exposed group and control group I to undetermined factors present in the region in which the petrochemical industries are located but absent in Prague.

Rossner et al. (2002) evaluated the effect of AN on the levels of p53 and p21^{WAF1} proteins in the blood plasma of 49 workers (average age 44 years, 88% males, 12% females) exposed to 0.05 to 0.3 mg AN/m³ for the last 3 months in the petrochemical industry. Forty-nine subjects matched for age and gender and living in the same area, but not working in the petrochemical industry, were used as controls. No differences in p53 and p21^{WAF1} expression between the exposed group and the control group were found. Rossner et al. (2002) suggested that a possible explanation for these results was that very low exposure levels of AN did not result in the induction of p53 and p21^{WAF1} gene expression. The AN exposure concentrations were low in petrochemical plants when compared with exposure concentrations in the acrylic fiber plants (For example, Lu et al. [2005] reported a geometric mean AN exposure concentration of 1.97 mg/m³ in an acrylic fiber plant).

Thiess and Fleig (1978) surveyed 18 workers at a plant that was used for manufacturing copolymers of styrene and AN, styrene, AN, and butadiene and also for synthesis of organic

intermediates. The workers had been exposed to AN, on average, for 15.3 years and could have been exposed simultaneously to styrene, ethylbenzene, butadiene, and other chemicals. The control group consisted of 18 workers who had not been exposed to AN. Atmospheric monitoring conducted between 1963 and 1974 revealed AN concentration of about 5 ppm, with the possibility of higher peak values occurring in connection with special tasks. Between 1975 and 1977, exposure to AN had been reduced to an average of 1.5 ppm. The incidence of CAs in the lymphocytes was measured in these 18 workers and in age-matched nonexposed controls. The numbers of aberrant metaphases (gaps and iso-gaps included) were $5.5 \pm 2.5\%$ in exposed workers and $5.1 \pm 2.3\%$ in controls. When gaps were not included, the numbers dropped to $1.8 \pm 1.3\%$ in exposed workers and $2.0 \pm 1.6\%$ in controls. The differences were statistically not significant. The potential for confounding exposures, small group size, and uncertainty in exposure levels are limitations of this study.

4.5.2.2. *In Vivo Tests in Mammals*

Rats

Oral administration of up to 40 mg/kg AN or i.v. administration of up to 98 mg/kg AN to male Sprague-Dawley rats did not induce MN in bone marrow and peripheral blood, respectively (Morita et al., 1997). However, Wakata et al. (1998) demonstrated induction of MN in bone marrow polychromatic erythrocytes of Sprague-Dawley rats (four/group) treated twice with 124.8 mg/kg AN i.v. and sampled 24 hours after treatment. A negative result was obtained in peripheral blood. In another study (Rabello-Gay and Ahmed, 1980), male Sprague-Dawley rats treated orally with 16 daily doses of 40 mg/kg-day AN showed no increase in CAs in the bone marrow over controls.

Irreversible binding of radioactivity from [2,3- ^{14}C]-AN to DNA in brain, stomach, and liver of male Sprague-Dawley rats was reported 24 hours after a single oral dose of 46.5 mg/kg (Farooqui and Ahmed, 1983a). DNA alkylation was significantly higher in the target organs, brain and stomach (119 and 81 pmol/mg DNA at 24 hours, respectively), than in the liver (25 pmol/mg DNA). The covalent binding indices in the liver, stomach, and brain at 24 hours after dosing were 5.9, 51.9, and 65.3, respectively. Similarly, covalent binding of [2,3- ^{14}C]-AN or its metabolite to testicular (Ahmed et al., 1992a), lung (Ahmed et al., 1992b), and gastric tissue DNA (Abdel-Rahman et al., 1994b) has been reported in male Sprague-Dawley rats treated with a single oral dose of 46.5 mg/kg AN. Maximum covalent binding of radioactivity to gastric DNA occurred at 15 minutes after dosing and occurred at 0.5 and 12 hours for testicular and lung DNA, respectively. Alkylation of hepatic DNA was also reported when a single dose of 0.2 mmol [2,3- ^{14}C]-AN was administered to male Wistar rats intraperitoneally (Peter et al., 1983a). Two ^{14}C peaks that did not cochromatograph with any known standards were observed when the DNA hydrolysate from rat livers was chromatographed on PEI-cellulose column.

In another study, 0.6 mg/kg [2,3-¹⁴C]-CEO was administered to one F344 rat intraperitoneally (Hogy and Guengerich, 1986), and the rat was sacrificed after 1 hour. Covalent binding to both liver and brain protein was found, but no covalent binding to nucleic acids could be detected at the level of 0.3 alkylations per 10⁶ bases. In the same study, three male F344 rats were administered 50 mg/kg AN i.p., and three other rats were administered 6 mg/kg CEO i.p. (Hogy and Guengerich, 1986). The rats were sacrificed after 2 hours. N⁷-(2-oxoethyl)guanine was measured in liver DNA at the level of 0.032 and 0.014 alkylations/10⁶ bases for CEO- and AN-treated rats, respectively. In the brains of treated rats, the levels of N⁷-(2-oxoethyl)guanine were not above the limit of detection. Since DNA adduct was detected in liver DNA, covalent binding to DNA had to occur. The method used in the study was not sensitive enough to detect low levels of alkylation of nucleic acids, probably due to the small amount of DNA obtained from one rat and the loss of DNA during isolation. A method for correction of contaminating protein via quantitative amino acid analysis in the DNA sample may have allowed a stringent determination of DNA-bound material.

When the alkaline comet assay was used to detect DNA lesions, Sekihashi et al. (2002) demonstrated DNA damage in the forestomach, colon, kidney, bladder, and lung of Wistar rats treated with a single dose of 30 mg/kg AN i.p. but not in the brain or bone marrow.

Oral exposure to 100 ppm AN in drinking water for 14 or 28 days significantly increased the level of cellular DNA fragmentation in the brain of male Wistar rats (Mahalakshmi et al., 2003). Other aspects of this study are discussed in Section 4.5.1.1.4.

AN-induced unscheduled DNA synthesis (UDS) was demonstrated in several studies in rats. In a study by Hogy and Guengerich (1986), male F344 rats (12/group) received a single sublethal dose of 50 mg/kg AN in saline by gavage, followed by hydroxyurea to arrest replicative DNA synthesis but allowing excision repair DNA synthesis. Two hours after dosing, the animals received s.c. methyl [³H]-labeled thymidine. This dose was repeated after 2 hours, and half the dose was given again after 2 more hours for a total dose of 3.0 mCi/kg of BW. The animals were sacrificed 2 hours after the last methyl [³H]-labeled thymidine. A significant occurrence of UDS was found in the livers but not in the brains of AN-treated rats.

Hogy and Guengerich (1986) also studied the effect of treatment on DNA synthesis over 4 hours in the liver and brain of male F344 rats 48 hours after an oral dose of 50 mg/kg AN. DNA synthesis was decreased in the brain but not in the liver; replicative indices (i.e., the ratio of DNA synthesis in treated animals over controls) were 0.29 and 1.30, respectively. Thus, the carcinogenicity of AN in rat brain is not likely from cytotoxicity, followed by an increased rate of DNA replication and leading to a greater chance of error during the rapid DNA synthesis.

UDS was demonstrated in lung (Ahmed et al., 1992a), testis (Ahmed et al., 1992b), and gastric tissue (Abdel-Rahman et al., 1994a) of AN-treated male Sprague-Dawley rats (12/group). Animals received a single oral dose of 46.5 mg/kg AN in saline, with or without hydroxyurea cotreatment to block the endogenous deoxynucleotide pool. [³H]-Thymidine was administered

0.5, 6, or 24 hours after AN dosing, and animals were sacrificed 2 hours later. The replicative index for DNA synthesis was significantly reduced at all three time points in lung, while DNA repair in the lung was increased by twofold at 0.5 hour and 1.6-fold at 6 hours following AN oral treatment. Similarly, DNA synthesis was inhibited in testes at 0.5 and 24 hours after treatment (but increased at 6 hours), whereas DNA repair increased at 1.5- and 33-fold at 0.5 and 24 hours after treatment. For gastric tissue, DNA replicative synthesis was inhibited 6 hours after AN administration but was rebounded and followed by a twofold increase at 24 hours. A threefold increase in UDS was observed at 24 hours after dosing.

On the other hand, Butterworth et al. (1992) followed the incorporations of [³H]-thymidine into the hepatocytes isolated from male F344 rats gavaged with either a single dose of 75 mg/kg AN or five daily doses of 60 mg/kg AN. Single-dosed animals were sacrificed 2 or 12 hours after dosing. Multiple-dosed animals were sacrificed 4 hours after the last dose. Hepatocytes were isolated and plated on cover slips and incubated with [³H]-thymidine. Autoradiography was used to detect UDS. No sign of AN-induced UDS was found in hepatocytes from exposed rats. In addition, no UDS was found in the in vivo spermatocyte DNA repair assay with AN, using cells isolated from the seminiferous tubules of the same treated rats (Butterworth et al., 1992). The difference in results from Butterworth et al. (1992) and Hogg and Guengerich (1986) regarding UDS in rat liver may be due to differences in methodology in that incorporation of [³H]-thymidine actually took place in vitro in the study by Butterworth et al. (1992).

AN also produced negative results for dominant lethal assay in male F344 rats (Working et al., 1987). In this assay, groups of 50 male F344 rats received AN by gavage at 0 or 60 mg/kg-day in 0.9% saline for 5 days. AN exposure in males had no effect on the incidence of pre- or postimplantation losses, indicating a negative result to germ cells.

Mice

When the alkaline comet assay was used to detect DNA lesions, Sekihashi et al. (2002) demonstrated DNA damage in the forestomach, colon, bladder, lung, and brain of male ddY mice treated with 20 mg/kg AN i.p. DNA damage was not detected in the liver, kidney, or bone marrow.

Sharief et al. (1986) determined that AN caused a slight increase on SCE frequencies in bone marrow cells of male C57B1/6 mice (four/dose group). An increase in SCE frequency (2 × control) was observed in the only surviving mouse at the 45 mg/kg-dose group. No increase in SCE frequencies was observed in mice administered a single dose of up to 30 mg/kg AN intraperitoneally. Higher doses were lethal to most of the animals. However, Fahmy (1999) reported AN-induced SCEs in bone marrow cells of male Swiss mice (five/group) treated with 7.5 mg/kg or 10 mg/kg AN i.p. 8 hours following BrdU treatment and with colchicines 2 hours

prior to sacrifice. The lowest dose of 5 mg/kg i.p. produced no significant effect on SCE frequency.

Earlier CA studies in mice have been largely negative. Rabello-Gay and Ahmed (1980) showed that AN did not produce increases in CAs in bone marrow cells of male Swiss mice (six/group) when given orally for 4, 15, or 30 days at doses up to 21 mg/kg-day or by i.p. injection at doses up to 20 mg/kg-day for the same duration. No increase in the incidence of CAs compared with controls was observed in bone marrow cells of NMRI mice that were injected intraperitoneally with 20 or 30 mg/kg AN (Leonard et al., 1981). No increase in CAs in bone marrow cells and spermatogonia was observed in ICR mice treated with 20 or 100 mg/m³ AN for 5 days (Zhurkov et al., 1983). However, Fahmy (1999) reported AN-induced CAs in mouse spermatocytes after single oral doses of 15.5 or 31 mg/kg or three or five successive oral doses of 7.75 mg/kg (1/8 LD₅₀) in male Swiss mice. In addition, Fahmy (1999) reported AN-induced CAs in mouse bone marrow cells and spleen cells after a single oral dose of 7.75 mg/kg or three or five successive doses of 7.75 mg/kg. The aberrations were mainly of chromatid type (gaps, breaks, fragments, and deletions), with metaphases carrying only one aberration being dominant.

Leonard et al. (1981) reported AN did not induce MN in polychromatic erythrocytes of male NMRI mice injected intraperitoneally with 20 or 30 mg/kg AN. Morita et al. (1997) demonstrated a marginal, but statistically significant, increases in MN in bone marrow polychromatic erythrocytes but not in peripheral blood when AN at doses of 5.6–45 mg/kg was administered to male CD-1 mice (five/group) via i.p. administration. Oral or i.v. injection yielded negative results (Morita et al., 1997).

Treatment with AN produced negative results for dominant lethal assays in male mice. The dominant lethal assay was used to detect CAs in meiotic and postmeiotic male germ cells. Groups of five male NMRI mice were injected intraperitoneally with 0 or 30 mg/kg AN in saline or with isopropyl methanesulfonate (positive control) and then mated to untreated females (three per male) for 5 weeks (Leonard et al., 1981). Females were replaced after 7, 14, 21, and 28 days and the uterine contents were examined 17 days after mating. No evidence for dominant lethal effects was observed.

4.5.2.3. Short-term Tests: *Bacteria, Fungi, Drosophila, Others*

There are a large number of reports of short-term genotoxicity test results on AN that have been made available through the auspices of the International Programme on Chemical Safety (IPCS). The IPCS coordinated the investigation of eight organic carcinogens known to be either inactive or difficult to detect in the Salmonella assay, including AN, benzene, diethylhexylphthalate, diethylstilbestrol, hexamethylphosphoramide (HMPA), PB, safrole, and o-toluidine, as well as two noncarcinogens in rodent bioassays (benzoin and caprolactam). Most of the available short-term genotoxicity tests were employed, and the work was carried out at

some of the major research and testing laboratories throughout the world. The purpose of this endeavor was to evaluate the efficacy of these tests, to evaluate the strengths and weaknesses of such tests, and to identify the assay systems to complement the widely used Salmonella assay. All of the results from these studies have been compiled in a 750-page collection (Ashby et al., 1985), and a 56-page synopsis of the results is available on the internet (IPCS, 1985). The conclusion from these evaluations was that AN, along with HMPA, o-toluidine, and safrole, belonged to a group of genotoxins that were detected by most of the eukaryotic assays studied and could easily be found to be nonmutagenic in the Salmonella assay because of protocol deficiencies associated with the overall metabolic capacity of the assay system. While several of these studies have been cited in this section, an overview of the findings as they pertain to AN follows.

AN, at concentrations not overtly toxic in a given assay, was found in 42 of 68 tests to positively cause genotoxicity in bacteria, fungi, *Drosophila melanogaster* (mutation, gene reversion, mitotic crossing over, aneuploidy), and mammalian cell culture assay systems, both human and animal (single-strand breaks, UDS, CAs, SCEs, MN, and transformation). Among the eight carcinogens studied, AN gave the most positive results, inducing genotoxicity responses in 62% of all tests (42 out of 68 tests; 25 were negative and 1 was questionable). That number of positive responses rose to 81% when a more stringent selection of assays was applied (IPCS, 1985). Other studies, covering all assay types used in that collaborative study, are listed in the two subsections on short-term assays (Sections 4.5.2.3 and 4.5.2.4).

4.5.2.3.1. Bacterial tests. A number of research groups have examined the capacity of AN to induce gene reversion in the Ames test. One of the first published papers was Milvy and Wolff (1977), which reported positive results of AN on *Salmonella typhimurium* strains TA 1535, 1538, and 1978 in the presence of S9 and an NADPH generating system. The first strain is sensitive to base substitution mutagens, and the latter two strains are sensitive to frameshift mutagens. Negative results were obtained when metabolic activation was excluded from the system. Gene mutation by exposure of bacteria to an atmosphere containing AN was found to occur at concentrations as low as 57 ppm (equivalent to 2 µL of AN), lower than exposure in solution or spotting AN to a “lawn” of bacteria on a plate. This could be because AN vaporized readily in solution such that the actual exposure concentrations in solution or by spotting were uncertain. The findings of Milvy and Wolff (1977) were criticized by Venitt (1978) in a letter to the editor. While not disagreeing with the overall conclusion, Venitt (1978) considered the study authors to have calculated mutation frequency incorrectly from the data. Venitt (1978) confirmed AN to be mutagenic in TA 1535 but not in the frameshift strains TA 1538 and 1978.

Among other reports of AN activity in the Ames test, Lijinsky and Andrews (1980) found AN at doses of 100–1,000 µg per plate to be positive in *S. typhimurium* TA 1535 in the presence of S9 but negative in TA 98, 100, 1537, and 1538 in the plate incorporation assay. Similarly,

Brams et al. (1987) reported AN (50–750 µg/L) to be negative for gene reversion in TA 97, 98, and 100 using plate incorporation assay, irrespective of metabolic activation. The study authors accounted for these negative results with inadequate experimental conditions. Previously, they had demonstrated that AN was mutagenic in TA 1530, 1535, and 1950 when 0.2% gaseous AN was injected into the dessicator where the plates were incubated for 1 hour in the presence of S9 (the plate agar contained 200 µg AN/plate) (de Meester et al., 1978). A lower mutagenic activity was also detected with strains reversed by frameshift mutation (TA 98, 1978, 100), and assays conducted by the plate incorporation method gave negative results (de Meester et al., 1978; Dow Chemical Co., 1976).

Jung et al. (1992) provided data from three laboratories that examined the ability of AN to induce gene reversion in TA 102 in the plate incorporation assay, with uniformly negative results. Hakura et al. (2005) reported that AN induced dose-dependent increases in the number of revertants per plate in strain TA 100 exposed to concentrations ranging from 806 to 12,100 µg per plate in the presence of rat or human liver S9 preparations, but the maximum response at 12,100 µg per plate was slightly less than twofold higher than the number of revertants per plate observed in the negative controls.

Although the methodologies of the experiments may have been different, the positive finding of mutagenicity by de Meester et al. (1978) for AN in TA 98 and 100 is in agreement with data from Khudoley et al. (1987) that AN (concentration not provided) was positive in TA 98 and 100 (with two- to fivefold increase in frequency of induced mutants), irrespective of the presence of S9. Zhurkov et al. (1983) reported AN to dose-dependently induce mutations in *S. typhimurium* TA 1535 but not in TA 1538. The presence of complete S9 fraction was required for this effect, but a 9,000 × g microsomal supernatant without cofactors gave inconsistent results. The concentrations tested were between 0.1 and 10,000 µg per dish. The highest concentration was overtly toxic to the bacteria.

Other tests of the mutagenicity of AN in bacterial systems were negative. For example, Nakamura et al. (1987) employed the SOS test to evaluate the capacity of AN to induce expression of the umu gene in *S. typhimurium* TA 1535/pSK1002. This strain contains a umuC-lacZ fused gene, such that a forward mutation results in umu gene expression and the transcription of the lac operon and can be demonstrated phenotypically by a twofold increase in β-galactosidase activity. AN, at concentrations up to 2,820 µg/mL, and seven other known mutagens gave negative results in this system. Similarly, AN was negative in the SOS chromotest in *Escherichia coli* PQ37 (Brams et al., 1987). It should be noted that only 4 out of 14 compounds that were positive in the Ames assay were positive in the SOS chromotest kit used. Thus, some technical issues were responsible for the poor performance of the test kit (Brams et al., 1987).

Venitt et al. (1977) tested AN for mutagenicity in a gene reversion assay (2–3 days at 37°C), using the tryptophan-dependent *E. coli* WP2 series of bacteria as indicator organisms.

Doses of 75 and 150 μmol per plate of AN produced a dose-related increase in the number of revertant colonies ($trp^- \rightarrow trp^+$) compared with untreated bacteria in WP2 (which is DNA repair proficient), WP2 *uvrA* (which lacks excision repair), and WP2 *uvrApolA* (which lacks both excision repair and DNA polymerase 1) without a need for S9 fraction. The study authors confirmed these results by using a simplified “fluctuation” assay in which they obtained a dose-dependent increase in mutation rate induced by 0.4–2 mM AN (20- to 40-fold lower than the levels in plate test) in *E. coli* WP2. An exponential dose response was seen at lower concentrations, 0.1–0.4 mM, with mutant WP2 *uvrApolA*. The effective mutagenic concentration range for AN was lowered by an order of magnitude when an error-prone DNA-repair plasmid, pKM101, was introduced into *E. coli* WP2. The study authors concluded from these results that AN caused non-excisable mis-repair DNA damage that ultimately gave rise to DNA strand breaks. Venitt et al. (1977) hypothesized that AN might react with thymine residues in DNA since AN has been shown to cyanoethylate ring N atoms of minor tRNA nucleosides and ribothymidine and thymidine (Ofengand, 1967).

Lambotte-Vandepaer et al. (1985, 1981, 1980) collected urine from male Wistar rats (two/group) and NMRI mice (five/group) that had been administered a single dose of AN (30 mg/kg intraperitoneally). The urine samples were evaluated for potential induction of gene reversion in *S. typhimurium* TA 1530. Positive results were obtained in rats and mice in the absence of S9. Mutagenic activity in urine was abolished by the presence of S9 in rats and decreased in mice, a finding that suggests that the mutagenic agent in urine can be inactivated metabolically. Pretreatment with PB (induces CYP450 monooxygenase), CoCl_2 (inhibits CYP450 monooxygenase), and DEM (depletes GSH), before AN treatment, slightly decreased the mutagenic response in urine from mice and completely abolished the response in urine from rats. However, the study authors were unable to identify the genotoxic compound in urine.

4.5.2.3.2. *Fungi*. Available studies that employed fungi in short-term assays on the mutagenicity/genotoxicity of AN produced mostly positive results. AN induced mitotic gene conversion in both stationary-phase and log-phase cultures at the *his*₄ and *trp*₅ loci of *Saccharomyces cerevisiae* JD1, in the presence of metabolic activation by S9. Negative results were obtained without metabolic activation (Brooks et al., 1985; Shell Oil, 1984a). AN did not induce chromosome loss in *S. cerevisiae* D61.M (Whittaker et al., 1990) but elicited respiratory deficiency, reflecting antimitochondrial activity.

4.5.2.3.3. *Drosophila*. A range of in vivo experimental systems used the fruit fly, *D. melanogaster*, to examine the mutagenicity/genotoxicity of AN. *Drosophila* can biotransform certain procarcinogens to their reactive metabolites and are used in short-term tests for identifying carcinogens and in studies on the mechanism of mutagenesis of chemicals (Vogel et al., 1999).

Osgood et al. (1991) used the *Drosophila* ZESTE system to monitor the potential for AN to induce sex chromosome aneuploidy, following inhalation exposure of adult females to 2.7 ppm for up to 70 minutes. AN induced chromosome loss after exposure for 50 and 70 minutes. AN was mostly nontoxic at the tested dose, with only 13% killed after a 70-minute exposure. Similarly, in a *Drosophila* somatic recombination and mutation assay, *Drosophila* larvae were exposed to 5–20 mM AN in water for 9–11 days, and hatching females were scored for twin mosaic spots and single mosaic light spots in their eyes (Vogel, 1985). These genetic markers might arise from many types of genetic alterations. Mitotic recombination and chromosome breakage would result in mosaic twin spots, whereas deletions and gene mutations would give rise to mosaic single light spots. AN was positive for the induction of mosaic single spots at 5 mM (LC₅₀ concentration was 10 mM) and negative for twin spots. Since the classification of single spots or twin spots might be subjected to personal bias, the total of twin and single spots was also reported, and AN gave positive result.

AN was shown to be mutagenic by having marginally positive effects in somatic mutation/recombination assay on wing spots of *Drosophila*, with gas exposure of larva to 0.5–1 µL/1,150 mL for 0.5 or 1 hour (Würgler et al., 1985). AN gave negative results in a sex-linked recessive lethal mutation test on postmeiotic and meiotic germ cells of male *D. melanogaster*, exposed either by feeding of 420 ppm AN or injected with 3,500 ppm AN (Foureman et al., 1994).

The in vitro effect of AN on taxol-purified microtubules from *Drosophila* and mouse brain was evaluated by Sehgal et al. (1990). (Taxol promoted the formation and stability of microtubules.) Microtubules assist in the movement of chromosomes in both mitosis and meiosis. Polymerization and depolymerization of microtubules occur in cell division to separate the chromosomes from the metaphase plate during anaphase. In this study, the assembly and disassembly of microtubules was monitored spectrophotometrically in vitro. Previous results from in vivo assays monitoring induced sex chromosome aneuploidy indicated that effective aneuploidogens affected microtubule assembly. When taxol-purified *D. melanogaster* microtubule was incubated at 37°C to allow polymerization, addition of 5 or 50 mM AN resulted in 28 and 64% inhibition of microtubule assembly, respectively. When taxol-purified mouse brain microtubules were incubated with 5 or 50 mM AN, 74 and 96% inhibitions of microtubule assembly were observed, respectively. Thus, these results indicated that AN was an aneuploidogen in vitro. On the other hand, taxol significantly affects microtubule depolymerization assay, probably by stabilizing the formed microtubules. None of the tested aneuploidogens, including colchicine, promoted disassembly to taxol-purified microtubules.

4.5.2.3.4. Other short-term tests. Yates et al. (1994) reported on the ability of CEO to induce single- and double-strand DNA breaks in supercoiled DNA plasmid pBR322 DNA. Supercoiled DNA (1 µg) was incubated for 3 hours at 37°C with ≥50 mM CEO and then subjected to agarose

gel electrophoresis. The study authors reported that CEO non-enzymatically induced DNA strand breaks in a dose- and time-dependent manner, but detailed data were not provided. Peter et al. (1983b) found that AN did not induce strand breaks in SV40 phage DNA in vitro, whereas synthetic glycidonitrile (i.e., CEO) was effective in the same system (both agents were incubated with DNA at 1 mmol/L for 17 hours in the dark, at 37°C, in a buffered solution without any enzyme addition).

4.5.2.4. Mammalian Cell Short-term Tests

4.5.2.4.1. Mutations. A number of research groups have examined the ability of AN to induce forward mutations in human lymphoblast cell lines and the well-known mouse lymphoma L5178Y $Tk^{+/-}$ system.

Crespi et al. (1985) used two human lymphoblast cell lines, *Tk6* (which does not contain CYP450 activities) and AHH-1 (which is metabolically competent) to assess AN mutagenicity at the thymidine kinase ($Tk^{+/-}$) locus and the *hprt* locus, respectively. *Tk6* cell cultures were treated with 0–40 µg/mL AN for 3 hours with and without externally added metabolic activation (rat liver S9). On the third day after treatment, the cultures were plated in a selective medium containing 2 µg/mL trifluorothymidine. After incubation for 12 days, the plates were scored for the presence of mutant colonies. Similarly, AHH-1 cell cultures were treated with AN for 28 hours, and the cultures were plated on the 6th and 7th day after treatment in a selective medium containing 0.6 µg/mL 6-TG. AN induced dose-dependent mutations at the $Tk^{+/-}$ locus in *Tk6* cells in the presence, but not in the absence, of S9. AN induced mutations at the *hprt* locus in AHH-1 cells. The lowest AN concentrations that were mutagenic in these test systems were 40 µg/mL with *Tk6* cells +S9 and 25 µg/mL with AHH-1 cells.

Similarly, Recio and Skopek (1988a, b) assessed the mutagenicity of AN and its epoxide metabolite, CEO, at the $Tk^{+/-}$ locus in *Tk6* human lymphoblast cells in the presence and absence of rat liver S9. In the presence of S9, 2-hour incubations with 1.4 mM AN induced mutations at the $Tk^{+/-}$ locus as demonstrated by the presence of mutant clones when plated in trifluorothymidine selection medium after treatment, but, in the absence of S9, no mutagenic activity was observed over the concentration range of 0.4–1.5 mM (Recio and Skopek, 1988b). In contrast, 2-hour incubation with CEO at concentrations as low as 100 and 150 µM induced a mutagenic response (without metabolic activation) at the $Tk^{+/-}$ locus (Recio and Skopek, 1988b). On a molar basis, CEO was as mutagenic in this system as the well-known mutagen, ethyl methanesulfonate (Recio and Skopek, 1988a).

Two classes of CEO-induced $Tk^{-/-}$ mutant phenotypes were identified that differed in their growth rates: Tk_n with normal growth rate and Tk_s with slower growth rates. Southern blot analysis of DNA of these two classes indicated that the phenotypes differed genotypically (Recio and Skopek, 1988b). Ninety-six percent (25/26) of Tk_s mutants had lost a 14.8 kb DNA fragment corresponding to the active *Tk* allele, whereas only 8% (1/12) of CEO induced Tk_n

mutant clones and 22% (2/9) of spontaneous Tk_n mutant clones had lost the 14.8 kb fragment (Recio and Skopek, 1988a, b). Recio and Skopek (1988a) suggested that Tk_s mutants resulted from large-scale DNA structural alterations involving the active Tk allele. CEO induced predominantly Tk_n mutants. Southern blot analysis of CEO-induced Tk_n mutants indicated the majority of these mutants were below the detection limit of <2 kb. Thus, CEO-induced alterations are relatively small DNA alterations. Recio and Skopek (1988a) suggested that CEO-induced Tk_n mutants resulted from point mutations or small insertion/deletions that occurred during the replication or repair of CEO-modified DNA. Karyotypic analysis on two Tk_n mutants and 16 Tk_s mutants indicated that the majority of Tk mutants were not accompanied by abnormalities of the chromosome on which the Tk gene resides (chromosome 17).

CEO also induced mutations at the *hprt* locus in $Tk6$ cells (Recio and Skopek, 1988a). Characterization of the *hprt* mutations by cDNA sequencing analysis indicated that several *hprt* mutations were formed. A major (8/14) type of CEO-induced mutation was the specific loss of exons from the coding region of *hprt*. Remaining mutants (6/14) were single base substitutions (point mutation) resulting from amino acid changes (A:T base pairs and G:C base pairs).

The mutagenicity of AN was evaluated in the mouse lymphoma L5178Y $Tk^{+/-}$ forward mutation assay by a number of laboratories. Oberly et al. (1996) demonstrated that AN (activated with S9) was mutagenic at 40 $\mu\text{g/mL}$ in this assay because of producing a more than twofold increase in mutant frequency when compared to the mutant frequency of the solvent controls. Earlier results in the mouse lymphoma L5178Y $Tk^{+/-}$ system from several studies all pointed to the capacity of AN at 12.5–200 $\mu\text{g/mL}$ to induce forward mutations at the $Tk^{+/-}$ with or without S9 (Lee and Webber, 1985; Myhr et al., 1985; Amacher and Turner, 1985; Rudd, 1983). Garner and Campbell (1985) also reported that AN induced mutations to ouabain and 6-TG resistance in the mouse lymphoma L5178 Y cells in the presence of S9. However, negative results were obtained by Styles et al. (1985) in the mouse lymphoma L5178Y $Tk^{+/+}$ cell line (Na^+/K^+ ATPase locus, ouabain was used for mutant selection) and $Tk^{+/-}$ cell line (trifluorothymidine was used for mutant selection). Using P388F mouse lymphoma $Tk^{+/-}$ cell line in the presence of S9, Anderson and Cross (1985) also obtained forward mutations to 5-iodo-2-deoxyuridine resistance with AN. However, negative results were obtained by Lee and Webber (1985) in Chinese hamster V79/HGPRT assay in which AN did not induce 8-azaguanine resistance mutation with or without S9.

4.5.2.4.2. Other DNA effects

UDS

AN, at concentrations up to 2.5 mg/mL, was negative for induction of UDS in HeLa cells with or without the presence of S9, using the scintillometric method (Martin and Campbell, 1985). However, an increase in UDS, as measured by uptake of [^3H]-thymidine, was reported in cultured human lymphocytes treated with 5×10^{-1} M AN and S9 (Perocco et al., 1982). Negative

results were reported for the ability of AN to induce DNA repair synthesis (measured by incorporation of [^3H]-thymidine and autoradiographic techniques) in rat hepatocyte primary culture (Probst and Hill, 1985; Williams et al., 1985). However, no UDS, as measured by autoradiography, was observed in any of the cultures treated with the eight tested carcinogens (Probst and Hill, 1985). Similarly, only one of the eight carcinogens tested by Williams et al. (1985) induced DNA repair synthesis as determined by autoradiography of [^3H]-thymidine incorporation (AN was negative in this assay). Thus, IPCS (1985) concluded the rat hepatocyte autoradiographic UDS assay was an insensitive assay for determination of genotoxicity of these chemicals and should be avoided for use as a complement to the Ames assay.

Butterworth et al. (1992) used incorporation of [^3H]-thymidine and autoradiographic techniques to study the effect of AN and CEO on unscheduled DNA repair in vitro in rat hepatocytes, and human mammary epithelial cells. AN and CEO were negative for the induction of DNA repair in hepatocytes in vitro. There was some indication of a statistically insignificant response at 0.1 mM CEO. However, CEO was toxic to the hepatocyte culture at 1 mM, the next higher tested concentration. As noted previously, IPCS (1985) has determined that rat hepatocyte autoradiographic UDS assay is insensitive for genotoxicity testing of AN and a group of seven other carcinogens. However, CEO, but not AN, was positive for UDS in human mammary epithelial cells in vitro (Butterworth et al., 1992).

DNA strand breaks

DNA single-strand breaks were measured by the alkaline elution method in the following studies. DNA single-strand breaks were induced in [^{14}C]-thymidine-labeled cultured adult human bronchial epithelial cells treated with 200 or 500 $\mu\text{g/mL}$ AN for 20 hours (Chang et al., 1990). These concentrations were below the cytotoxic concentration of 600 $\mu\text{g/mL}$ AN. DNA single-strand breaks were also reported in cultured rat hepatocytes treated with 65.8 $\mu\text{g/mL}$ AN for 3 hours (Bradley, 1985). Higher concentrations of 197 or 658 $\mu\text{g/mL}$ AN resulted in cytotoxicity. In another study, AN induced DNA single-strand breaks in cultured Chinese hamster ovary (CHO) cells treated with 3.7×10^3 or 5.3×10^4 $\mu\text{g/mL}$ AN (7×10^{-2} or 1×10^{-1} M AN) with or without S9 mix for 1 hour (Douglas et al., 1985). These concentrations were above the cytotoxic concentrations of 5.31 and 53.1 $\mu\text{g/mL}$ AN (10^{-5} and 10^{-4} M) with and without S9 mix, respectively. Thus, the observed DNA strand break effect was relatively weak in CHO cells. Lakhanisky and Hendrickx (1985) reported that AN (concentrations not reported) did not induce DNA strand breaks in cultured CHO cells with or without S9. Therefore, cultured CHO cells may not be a sensitive assay system to test for DNA strand breaks induced by AN when compared with other cell cultures. On the other hand, DNA strand breaks was reported in SHE cells treated with AN. Parent and Casto (1979) observed incubation of [^3H]-thymidine-labeled primary SHE cells with 200 or 400 $\mu\text{g/mL}$ AN for 18 hours caused a shift in the sedimentation pattern of the labeled cellular DNA when subjected to alkaline sucrose gradient.

Induction of p53 and p21^{WAF1} proteins

The tumor suppressor protein p53 is a key molecule induced after DNA damage and by conditions of cellular oxidative stress (Donehower and Bradley, 1999). Expression of p53 results either in cell cycle arrest or apoptosis (Janus et al., 1999). Yang and Duerksen-Hughes (1998) proposed the measurement of p53 protein induction to identify genotoxic carcinogens. The cyclin-dependent kinase inhibitor p21^{WAF1} protein is an important down-stream effector of p53-induced cell cycle arrest. Expression of p21^{WAF1} induces cell cycle arrest either in the G1, S, or G2 phase, enabling DNA repair (Binkova et al., 2000).

In vitro exposure of human embryonic lung fibroblasts with 0.3 to 1.0 mM AN for 24 hours resulted in the induction of both p53 and p21^{WAF1} protein as determined by the ELISA assay (Rossner et al., 2002). A change in the shape of cells from an elongated shape to a round one was also observed. Cells treated with ≥ 2.5 mM AN changed their morphology after 4 hours of treatment.

4.5.2.4.3. Cytogenic effects. AN induced SCE in cultured adult human bronchial epithelial cells treated with noncytotoxic concentrations of 150 or 300 $\mu\text{g/mL}$ AN for 20 hours (Chang et al., 1990). An increase in frequency of SCE was observed in human lymphocytes from two different donors incubated for 1 hour with 5×10^{-4} M AN and S9 mix. No increase in SCE was observed without the S9 mix metabolizing system. (Perocco et al., 1982). AN was negative for the induction of SCEs in CHO cells (Ved Brat and Williams, 1982). However, when CHO cells were cocultured with freshly isolated rat hepatocytes, Ved Brat and Williams (1982) observed that AN at 10^{-4} M produced a greater than twofold increase in SCEs in the CHO cells, suggesting that the rat hepatocytes metabolized AN to its reactive metabolite, which was then transported into the CHO cells. Other cytogenetic findings in CHO cells included positive results for the induction of SCEs at 2 mM AN with S9 (Natarajan et al., 1985) and CA at 4 mM AN with or without S9, while Douglas et al. (1985) reported that AN at 10^{-1} M induced the formation of MN. AN was reported to induce CAs in Chinese hamster lung (CHL) fibroblasts in culture without metabolic activation at nontoxic concentrations of 12.5 $\mu\text{g/mL}$ (Ishidate and Sofuni, 1985). AN induced structural CAs in Chinese hamster liver fibroblast cell line (CH1-L) at the lowest concentration of 2.5 $\mu\text{g/mL}$ and higher (Danford, 1985).

Sasaki et al. (1980) found that 0.0053 mg/mL AN induced chromosome breaks in a pseudodiploid Chinese hamster cell line (Don-6). AN was negative at concentrations up to 10 $\mu\text{g/mL}$ for the induction of CAs, SCEs, and polyploidy in cultured epithelial-like cells from rat liver (RL4 cell line) (Priston and Dean, 1985; Shell Oil Co., 1984b). Mangir et al. (1991) reported that CHO cells treated with AN demonstrated a growth and RNA synthesis rate that is similar to that for agents that cause damage to nuclear DNA in cells. Growth and RNA synthesis of CHO cells was inhibited with 0.001% (v/v) AN and completely inhibited at 0.005% (v/v) AN.

Kodama et al. (1989) conducted cytogenetic analyses on eight spontaneous and eight CEO-induced *Tk*_s mutant clones in *Tk*6 human lymphoblastoid cultures that had lost the 14.8 kb polymorphic band corresponding to the active *Tk* allele. These CEO-induced *Tk*^{-/-} mutants were reported in the Recio and Skopek (1988a, b) studies. No chromosomal abnormalities were found in the eight spontaneous mutants. On the other hand, a visible abnormality on chromosome 17 was found in one of the CEO-induced *tk*_s mutants and was marked by duplication of the long arm of chromosome 17, with break points at q11 and q21. The latter break point was close to the *Tk* locus, suggesting the observed aberration might be associated with *Tk*^{-/-} phenotype.

4.5.2.4.4. Transformation assays. Parent and Casto (1979) studied the capacity of AN to induce transformations in primary SHE cells in culture by monitoring the incidence of microscopically observed foci of morphologically transformed cells. In two experiments, SHE cell cultures were exposed to 25–200 µg/mL AN for 18 hours, after which AN was removed and cultures were subsequently inoculated with 200 focus-forming units of simian adenovirus SA7 and incubated for 3 hours. Colonies of surviving cells were counted after 8 days, and virus-transformed foci were counted after 21 days. Pretreatment of cells with AN prior to viral inoculation resulted in only slight enhancement of 1.8-fold in SA7 foci. In another experiment, SHE cells were treated with the same concentrations of AN 5 hours after viral inoculation. This resulted in an enhancement ratio that was markedly increased (8.9 at AN concentration of 200 µg/mL vs. 1.0 in controls).

When SHE cells were treated for 6 days with 12–100 µg/mL AN without added SA7 virus, foci of morphologically transformed cells were observed at 50 µg/mL AN (two foci/six dishes) and 100 µg/mL AN (three foci/nine dishes).

The ability of AN to induce transformation in SHE cells was also evaluated by Barrett and Lamb (1985). AN was considered to give a positive response according to the criterion of inducing four or more transformed colonies per 2,000 surviving colonies. With a relative survival of unity, the lowest concentration of AN (0.01 µg/mL) generated morphologically transformed colonies at a rate of 4/1,149.

Lawrence and McGregor (1985) evaluated the ability of 10 potential carcinogens, including AN, to induce morphological transformation in cultured embryonic mouse fibroblasts (C3H/10T1/2, Clone 8) in the presence or absence of S9. Positive response was obtained at 16 µg/mL AN in the presence of S9, while responses were uniformly negative in its absence.

Banerjee and Segal (1986) studied whether AN (0–200 µg/mL) could produce in vitro transformation of C3H/10T1/2 and NIH/3T3 mouse fibroblast cells in culture. AN was cytotoxic at the higher concentrations, with cell survival dropping below 75% at ≥50 µg/mL in C3H/10T1/2 and NIH/3T3 cells. Optimal transformation rates were obtained at AN concentrations of 12.5 µg/mL in C3H/10T1/2 cells. AN-induced transformation was observed at concentrations between 3 and 100 µg/mL in NIH/3T3 cells.

Matthews et al. (1985) evaluated whether AN induced morphological transformation and mutation to ouabain resistance (Oua^r) in Balb/c-3T3 cells in culture with or without exogenous metabolic activation. For activation transformation assay, Balb/c-3T3 cells were cocultured with lethally X-irradiated primary F344 rat liver cells (RLCs). The RLC-3T3 cocultures were treated with 0–25 µg/mL AN for 48 hours. The cocultures were then treated biweekly for 3 weeks with 0.05 µg/mL 12-O-tetradecenoyl-phorbol-13-acetate beginning 1–2 days after completion of AN treatment. For nonactivation transformation assay, 3T3 cell cultures were incubated with 0–20 µg/mL AN for 72 hours. In the activation transformation assay, significant increase in relative transformation activity was found in RLC-3T3 coculture treated with 8.8 µg/mL AN (noncytotoxic concentration). Relative cell survival was only 22%, and no significant increase in transformation activity was found at 16.7 µg/mL. No significant increase in transformation activity was observed in AN-treated 3T3 cell cultures without RLCs.

For the Oua^r mutation assay, 3T3 cell cultures were treated with 0–150 µg/mL AN with or without S9 mix for 4 and 24 hours, respectively. After the treatment, AN was removed and the cultures were refed and maintained for 5–6 days for expression and selection, using 2 mM cardiac glycoside ouabain. The appearance of Qua^r variants would indicate a mutation arose in the gene controlling the synthesis of cell membrane Na⁺/K⁺ ATPase (Corsaro and Migeon, 1978). Significant increase in relative Oua^r frequency was observed at 50 µg/mL AN with S9 activation.

Yuan and Wong (1991) used a nonfocus transfection-transformation assay to study the capacity of the oxidative metabolite CEO to bring about functional changes in a plasmid that would be indicative of a compound-induced mutation. A new plasmid that had been constructed by ligating a human c-HA-*ras*-1 protooncogene to a pSV2neo mammalian vector was reacted with CEO in vitro and then transfected into NIH3T3 cells. Cells were selected for neomycin resistance and/or abnormal growth characteristics, the latter serving to discriminate between colonies arising from *ras* mutations and those from cells that were not transfected (or that were transfected with nonplasmid DNA). Although CEO-modified *ras* gave rise to two neomycin resistant clones, they were probably not indicative of a *ras* mutation because their normal growth rate and monolayer density were similar to negative control. Southern blot analysis of transformant DNA also supported this conclusion. For example, when anti-benzo(a)pyrene-7,8-dihydrodiol-9,10-epoxide transformant DNA was examined in this system as a positive control, a fragment of 411 base pairs was revealed, indicating a *ras* mutation at codon 11 or 12. However, both CEO-derived clones and untreated control showed the WT band of 355 base pairs.

4.5.2.4.5. Genotoxicity summary. All identified studies concerning the mutagenicity or genotoxicity of AN are compiled in Table 4-54. The overall weight of evidence from in vitro and in vivo studies is adequate to support mutagenicity for the AN metabolite, CEO.

Table 4-54. Summary of studies on the mutagenicity/genotoxicity of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result ^a	Reference
<i>Humans</i>					
AN-exposed workers n = 30	DNA strand breaks; nondisjunction of sex chromosomes in sperm	0.8 mg/m ³	2.8 yrs	+	Xu et al. (2003)
AN-exposed workers: 31 controls, 41 low, 47 intermediate	Increase in MN in buccal mucosal cells (low and intermediate groups) and blood lymphocytes (intermediate group) of AN-exposed workers.	Low = 0.522 mg/m ³ Intermediate = 1.998 mg/m ³	Low = 1– 33 yrs (average 15.7 yrs) Intermediate = 1–33 yrs (average 17.2 yrs)	+	Fan et al. (2006)
AN-exposed polymerization workers: 14 Maintenance workers: 10 controls: 20	CAs in lymphocytes	ND ^b	ND	Maintenance workers: + Production workers: (+)	Borba et al. (1996)
AN-exposed workers: 47; 47 controls	Deletion of mitochondrial DNA in lymphocytes	0.11 ppm	17.3 yrs	+	Ding et al. (2003)
AN-exposed workers Group 1 = 39 Group 2 = 22 Unexposed controls = 49	CAs (detected by FISH) in cultured lymphocytes from peripheral blood samples	Group 1 = 0.05– 0.3 mg/m ³ Group 2 = 0.05– 0.7 mg/m ³	3 mos	(+) Significant increase in the number of reciprocal translocations and relative number of insertions. Increase in frequency of aberrant cells not significant.	Beskid et al. (2006)
AN-exposed workers (n = 45) Matched controls = 23, unexposed controls = 33	CAs in cultured lymphocytes in peripheral blood samples	0.05–0.3 mg/m ³	3 mos	–	Strám et al. (2004)
AN-exposed workers (n=49) Unexposed controls = 24	Induction of p53 and p21 ^{WAF1} proteins in blood plasma	0.05 – 0.3 mg/m ³	3 mos	–	Rossner et al. (2002)
AN-exposed workers (n = 18); controls = 18	CAs in lymphocytes	5 ppm, reduced to 1.5 ppm between 1975 and 1977 (possible exposure to other chemicals)	15.3 yrs	–	Thiess and Fleig (1978)

Table 4-54. Summary of studies on the mutagenicity/genotoxicity of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result ^a	Reference
<i>Rats</i>					
Sprague-Dawley (male) (4/group)	MN	125 mg/kg i.v.	Two treatments	Bone marrow: + Peripheral blood: –	Wakata et al. (1998)
Sprague-Dawley (male)	MN in bone marrow	10–40 mg/kg oral	Single dose	–	Morita et al. (1997)
	MN in peripheral blood	24.5–98 mg/kg i.v.	Single dose	–	Morita et al. (1997)
Sprague-Dawley (male) n = 3	CAs in bone marrow	40 mg/kg oral	16 d	–	Rabello-Gay and Ahmed (1980)
Sprague-Dawley (male) n = 3–4/group	Binding to DNA in stomach, brain, and liver	46.5 mg/kg oral	Single dose	+	Farooqui and Ahmed (1983a)
Sprague-Dawley n = 4/group	Binding to testicular DNA	46.5 mg/kg oral	Single dose	+	Ahmed et al. (1992a)
Sprague-Dawley (male) n = 4/group	Binding to lung DNA	46.5 mg/kg oral	Single dose	+	Ahmed et al. (1992b)
Sprague-Dawley (male) n = 3/group	Binding to gastric tissue DNA	46.5 mg/kg oral	Single dose	+	Abdel-Rahman et al. (1994b)
Wistar (male)	Alkylation of hepatic DNA	0.2 mmol i.p.	Single dose	+	Peter et al. (1983a)
F344 n = 1	Binding to liver and brain DNA	0.6 mg/kg CEO i.p.	Single dose	–	Hogy and Guengerich (1986)
F344 n = 3	DNA adduct formation	50 mg/kg AN i.p. or 6 mg/kg CEO i.p.	Single dose	Liver: + Brain: (+)	Hogy (1986); Hogy and Guengerich (1986)
Wistar	DNA damage in forestomach, colon, kidney, bladder, and lung but not in brain or bone marrow	30 mg/kg i.p.	Single dose	+	Sekihashi et al. (2002)
Wistar, male	Fragmentation of brain DNA	100 ppm AN in drinking water	14 or 28 d	+	Mahalakshmi et al. (2003)
F344 n = 12/group	UDS in liver but not brain	50 mg/kg gavage	Single dose	+	Hogy and Guengerich (1986)
Sprague-Dawley	UDS in lung	46.5 mg/kg oral	Single dose	+	Ahmed et al. (1992a)
	UDS in gastric tissue	46.5 mg/kg oral	Single dose		Abdel-Rahman et al. (1994a)
	UDS in testis	46.5 mg/kg oral	Single dose		Ahmed et al. (1992b)
F344	UDS in isolated hepatocytes or spermatocytes	a. 75 mg/kg b. 60 mg/kg oral	a. Single dose b. five daily doses	–	Butterworth et al. (1992)

Table 4-54. Summary of studies on the mutagenicity/genotoxicity of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result ^a	Reference
F344 n = 50	Dominant lethal mutations	60 mg/kg	5 d	–	Working et al. (1987)
Mice					
ddY	DNA damage in forestomach, colon, bladder, lung, and brain	20 mg/kg i.p.	Single dose	+	Sekihashi et al. (2002)
C57B1/6 n = 1–4/group	SCEs in bone marrow	10–45 mg/kg	Single dose	(+) positive at toxic dose of 45 mg/kg	Sharief et al. (1986)
Swiss	SCEs in bone marrow	7.5 or 10 mg/kg i.p.	Single dose	+	Fahmy (1999)
	CAs in spermatocytes, bone marrow, and spleen cells	a. 15.5 or 31 mg/kg oral b. 7.75 mg/kg	a. Single dose b. three or five doses	+	Fahmy (1999)
Swiss n = 3–6/group	CAs in bone marrow	7, 14, or 21 mg/kg-d oral or 10, 15, or 20 mg/kg-d i.p.	4, 15, or 30 d	–	Rabello-Gay and Ahmed (1980)
NMRI male n = 4/group	CAs in bone marrow	20 or 30 mg/kg i.p.	Single dose	–	Leonard et al. (1981)
C57B1/6 n = 1–4/group	CAs in bone marrow	10–45 mg/kg	Single dose	–	Sharief et al. (1986)
NMRI male n = 4–5/group	MN in erythrocytes	20 or 30 mg/kg i.p.	Single dose	–	Leonard et al. (1981)
CD-1	MN in bone marrow	0–45 mg/kg i.p. 0–32 mg/kg oral 0–40 mg/kg i.v.	Single dose	i.p.: (+) oral and i.v.: –	Morita et al. (1997)
CD-1	MN in peripheral blood	5.6–45 mg/kg i.p. or 10–40 mg/kg i.v.	Single dose	–	Morita et al. (1997)
ICR	CAs in bone marrow cells and spermatogonia	100 or 20 mg/m ³	5 d	–	Zhurkov et al. (1983)
NMR1 n = 5/group	Dominant lethal mutation	30 mg/kg i.p.	Single dose	–	Leonard et al. (1981)
Short-term assays—bacteria				(–S9 / +S9)	
<i>S. typhimurium</i> TA 1535	Gene reversion (His ⁺ revertant)	5–20 µL AN solution	0.5 h	–/+	Milvy and Wolff (1977)
TA 1535	Gene reversion	2–300 µL AN vapor	0.5–4 h	–/+	Milvy and Wolff (1977)
TA 1538	Gene reversion	200 µL AN vapor	2 h	–/+	Milvy and Wolff (1977)
TA 1978	Gene reversion	5–10 µL AN solution	0.5 h	–/+	Milvy and Wolff (1977)

Table 4-54. Summary of studies on the mutagenicity/genotoxicity of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result ^a	Reference
TA 1535	Gene reversion	100–1,000 µg AN plate incorporation assay	ND	–/+	Lijinsky and Andrews (1980)
TA 1537, 1538, 98, 100	Gene reversion	Plate incorporation assay	ND	–/–	Lijinsky and Andrews (1980)
TA 1535	Gene reversion	0.1–1,000 µg/dish	ND	–/+	Zhurkov et al. (1983)
TA 1538	Gene reversion	0.1–10,000 µg/dish	ND	–/–	Zhurkov et al. (1983)
TA 97, 98, 100	Gene reversion	50–750 µg/mL plate incorporation assay	48 h	–/–	Brams et al. (1987)
TA 102	Gene reversion	Up to 5,000 µg/plate	ND	–/–	Jung et al. (1992)
TA 98, 100, 1535, 1537, 1538	Gene reversion	0.1–5,000 µg/plate	2 d	–/–	Dow Chemical Co. (1977)
TA 1530, 1535, 1950, 1538, 100, 98, 1978	Gene reversion	0.2% AN vapor (200 µg/plate)	1 h	–/+ (weaker response with TA 100, 98, and 1978)	de Meester et al. (1978); Dow Chemical Co. (1976)
TA 98, 100	Gene reversion	ND, plate incorporation assay	ND	+/+	Khudoley et al. (1987)
TA 100	Gene reversion	806–12,100 µg/plate	48 h	–/(+)	Hakura et al. (2005)
TA 1535/pSK1002	<i>umu</i> gene expression (increased β-galactosidase activity)	ND, 0.1 mL AN in 2.5 mL culture medium	2 h	–/–	Nakamura et al. (1987)
TA 1530	Gene reversion	0.1 mL 24-hr urine from rats and mice treated with a single dose 30 mg/kg AN i.p. (plate incorporation assay)	48 h	+	Lambotte-Vandepaer et al. (1985, 1981, 1980)
<i>E. coli</i> WP2 series	Gene reversion (<i>trp</i> [–] → <i>trp</i> ⁺)	75 or 150 µmol/plate	2–3 d	+ ^c	Venitt et al. (1977)
<i>E. coli</i> PQ37	SOS chromotest	ND	2 h	–/–	Brams et al. (1987)

Table 4-54. Summary of studies on the mutagenicity/genotoxicity of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result ^a	Reference
Short-term assays—fungi					
<i>S. cerevisiae</i> JD1	Mitotic gene conversion	250 or 500 µg/mL	18 h	–/+	Brooks et al. (1985); Shell Oil Co. (1984a)
<i>S. cerevisiae</i> D61.M	Chromosome loss	0.8 or 1.36 mg/mL	16 h	–/–	Whittaker et al. (1990)
Short-term assays—fruit fly					
Adult female <i>Drosophila</i> ZESTE system	Sex chromosome loss	Inhalation exposure to 2.7 ppm AN	50 min or 70 min	+	Osgood et al. (1991)
Mosaic eye in hatching females	Somatic recombination and mutation	Treatment of larvae with 5–20 mM AN	Single dose, incubate for 9–11 d	(+)	Vogel (1985)
Germ cells of male <i>D. melanogaster</i>	Sex-linked recessive lethal	Feeding: 420 ppm or injection: 3,500 ppm	Feeding: 3 d	–	Foureman et al. (1994)
Wing spots of <i>Drosophila</i> (<i>mwh</i> +/+ <i>flr</i> +/ <i>mei</i> -9)	Somatic mutation and recombination	Gas exposure of larvae to 0.5–1 µL/1,150 mL	0.5 or 1 h	(+)	Würgler et al. (1985)
<i>D. melanogaster</i> ZESTE (inhibition of taxol-purified microtubule assembly in vitro)	Aneuploidy	5 mM	Microtubule assembly monitored for 80 min	+	Sehgal et al. (1990)
Other short-term assays					
Supercoiled plasmid DNA pBR322	CEO induced DNA strand breaks	50 mM CEO incubated with 1.1 µg supercoiled pBR322 plasmid DNA	3 h	+	Yates et al. (1994)
SV40 phage DNA	CEO induced DNA strand breaks, but not AN	1 mM CEO incubated with 5,000 dpm [³ H]-thymidine labelled SV-40 phage DNA	17 h	+	Peter et al. (1983b)

Table 4-54. Summary of studies on the mutagenicity/genotoxicity of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result ^a	Reference
<i>In vitro mammalian cell assays</i>					
Human lymphoblasts <i>Tk</i> ⁶	Gene-locus mutations at the <i>Tk</i> (thymidine kinase) locus (<i>Tk</i> ^{+/−} → <i>Tk</i> ^{−/−})	40 µg/mL AN	3 h	−/+	Crespi et al. (1985)
	Mutation at <i>Tk</i> locus	1.4 mM AN	2 h	−/+	Recio and Skopek (1988a, b)
	Mutation at <i>Tk</i> locus	100 µM and 150 µM CEO	2 h	+	Recio and Skopek (1988a, b)
	Abnormality at chromosome 17 in 1 of 8 CEO-induced <i>tk</i> _s mutant clones	NA ^b	NA	+	Kodama et al. (1989)
	CEO-induced mutations at the <i>hprt</i> locus	NA	NA	+	Recio and Skopek (1988a)
Human lymphoblasts AHH-1	Gene-locus mutations at the hypoxanthine guanine phosphoribosyl transferase locus	25 µg/mL AN	28 h	+	Crespi et al. (1985)
L5178Y mouse lymphoma cells	Mutation at <i>Tk</i> ^{+/−} locus	30 and 40 µg/mL AN	ND	+	Oberly et al. (1996)
	Mutation at <i>Tk</i> ^{+/−} locus	10–40 µg/mL AN	4 h	+/+	Rudd (1983)
	Mutation to ouabain or 6-TG resistance	12.5–200 µg/mL AN	2 h	+/+	Garner and Campbell (1985)
	Induction of <i>Tk</i> ^{−/−} mutants	80–225 µg/mL AN	2 h	+/+	Lee and Webber (1985)
	Induction of <i>Tk</i> ^{−/−} mutants	30 nL/mL AN	4 h	+/+	Myhr et al. (1985)
	Induction of <i>Tk</i> ^{−/−} mutants	5–69 µg/mL AN	3 h	+/+	Amacher and Turner (1985)
L5178Y <i>Tk</i> ^{+/+}	Mutation to ouabain resistance (Na ⁺ /K ⁺ ATPase locus)	12.5–100 µg/mL AN	2 h	−	Styles et al. (1985)
L5178Y <i>Tk</i> ^{+/−}	Mutation to trifluorothymidine resistance	12.5–100 µg/mL AN	2 h	−	Styles et al. (1985)
P388F mouse lymphoma <i>tk</i> ^{+/−}	Mutation to 5-iodo-2-deoxyuridine resistance	80–160 µg/mL AN	24–48 h	−/+	Anderson and Cross (1985)
V79/ <i>hprt</i>	Induction of 8-azaguanine resistance	50–200 µg/mL AN	2 h	−/−	Lee and Webber (1985)

Table 4-54. Summary of studies on the mutagenicity/genotoxicity of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result ^a	Reference
HeLa cells	UDS	2.5 mg/mL AN	2.5 h	–/–	Martin and Campbell (1985)
Human lymphocytes	UDS	5×10^{-1} M	4 h	–/+	Perocco et al. (1982)
Primary cultures of F344 rat hepatocytes	UDS	0.026–53 µg/mL AN	20 h	–	Probst and Hill (1985)
Primary cultures of F344 rat hepatocytes	UDS	10^{-1} – 10^2 µg/mL AN	18–20 h	–	Williams et al. (1985)
Primary F344 rat hepatocyte	UDS	0.01–1 mM AN	17–19 h	–	Butterworth et al. (1992)
Primary F344 rat hepatocyte	UDS	0.01–0.1 mM CEO	17–19 h	–	Butterworth et al. (1992)
Human mammary epithelial cell	UDS	0.1 mM CEO	24 h	+	Butterworth et al. (1992)
Human bronchial epithelial cells	DNA single-strand breaks	200 and 500 µg/mL AN	20 h	+	Chang et al. (1990)
Rat hepatocytes	DNA single-strand breaks	65.8 µg/mL AN	3 h	+	Bradley (1985)
CHO cells	DNA single-strand breaks	7×10^{-2} – 1×10^{-1} M AN	1 h	+/+	Douglas et al. (1985)
CHO cells	DNA single-strand breaks	ND	ND	–/–	Lakhanisky and Hendrickx (1985)
SHE cells	DNA single-strand breaks	200 or 400 µg/mL AN	18 h	+	Parent and Castro (1979)
Human bronchial epithelial cells	SCEs	150 and 300 µg/mL AN	20 h	+	Chang et al. (1990)
Human embryonic lung fibroblasts	Induction of p53 and p21 ^{WAF1} protein	0.3 to 1.0 mM AN	24 h	+	Rossner et al. (2002)
Human lymphocytes	SCEs	5×10^{-4} M	1 h	–/+	Perocco et al. (1982)
CHO cells	SCEs	10^{-7} – 10^{-4} M AN	3 h	– ^d	Ved Brat and Williams (1982)
CHO cells cocultured with freshly isolated rat hepatocytes	SCEs	10^{-4} M AN	3 h	+ ^d	Ved Brat and Williams (1982)
CHO cells	SCEs	2 mM AN	1 h	–/+	Natarajan et al. (1985)
CHO cells	CAs	4 mM AN	1 h	+/+	Natarajan et al. (1985)
CHL fibroblast	CAs	12.5 µg/mL AN	24 and 48 h	+ ^d	Ishidate and Sofuni (1985)
CH1-L liver fibroblast	CAs	2.5 µg/mL AN	36 h	+	Danford (1985)
Chinese hamster cell line Don-6	CAs	1×10^{-4} M or 0.0053 mg/mL	26–30 h	+	Sasaki et al. (1980)

Table 4-54. Summary of studies on the mutagenicity/genotoxicity of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result ^a	Reference
CHO cells	MN	10 ⁻¹ M AN	1 h	+/+	Douglas et al. (1985)
Rat liver (RL4) cells	CAs, polyploidy, SCEs	1.25, 2.5, 5.0, or 10 µg/mL AN	2 h	– ^d	Priston and Dean (1985); Shell Oil Co. (1984b)
CHO cells	Inhibition of cell growth and RNA synthesis	0.001, 0.002, and 0.005% (v/v) AN	8 d	+ ^d	Mangir et al. (1991)
<i>In vitro mammalian cell transformation</i>					
SHE cells	Cell transformation	50 or 100 µg/mL AN	6 d	+	Parent and Castro (1979)
SHE cells	Enhancement of viral transformation	100 or 200 µg/mL AN	18 hrs before SA7 or 5 hrs after SA7 inoculation	+	Parent and Castro (1979)
SHE cells	Cell transformation	0.01–1 µg/mL AN	7 d	+	Barett and Lamb (1985)
Mouse fibroblasts NIH/3T3 cells	Cell transformation	12.5–200 µg/mL AN	48 h	+	Banerjee and Segal (1986)
Mouse fibroblasts C3H/10T1/2 cells	Cell transformation	3–100 µg/mL AN	48 h	+	Banerjee and Segal (1986)
Mouse fibroblasts C3H/10T1/2 cells	Cell transformation	16 µg/mL AN	24 h	–/(+)	Lawrence and McGregor (1985)
Balb/c-3T3 cells	Cell transformation	8.8 µg/mL AN	48 h	–/+ ^e	Matthews et al. (1985)
Balb/c-3T3 cells	Ouabain-resistant mutants	50 µg/mL AN	24 h	–/+	Matthews et al. (1985)
NIH 3T3 cells	Cell transformation after transfection with CEO modified <i>ras</i> DNA	NA	14 h	–	Yuan and Wong (1991)

^aNA = not applicable; ND = not determined.

^b+ = Positive; – = negative; (+) = borderline positive.

^cS9 activation not needed.

^dS9 not included in the assay.

^eWith or without coculture with lethally X-irradiated primary F344 RLCs.

In vitro evidence indicates that AN can be mutagenic, most likely through the formation of CEO-DNA adducts. In short-term tests with bacteria, AN induced mutations in a majority of test systems, most often requiring exogenous metabolic activation (Table 4-54). In mouse lymphoma cell assays, AN induced mutations at the *Tk* locus in most assays (Table 4-54). In human lymphoblast *Tk6* cells devoid of CYP450 activity, AN induced multiple mutations at the *Tk* locus only in the presence of metabolic activation (Recio and Skopek, 1988a, b; Crespi et al.,

1985). CEO was mutagenic in *Tk6* cells at 10-fold lower concentrations than AN itself and was as mutagenic in this test system as the well-known mutagen, ethyl methanesulfonate (Recio and Skopek, 1988a, b). CEO also induced multiple mutations at the *hprt* locus in *Tk6* cells (Recio and Skopek, 1988a). CEO induced UDS in cultured human mammary epithelial cells but not in cultured rat hepatocytes (Butterworth, 1992).

Supporting evidence for the mutagenicity of AN and its metabolites comes from in vivo studies (Table 4-54). Increases in the occurrence of MN in buccal mucosal cells and blood lymphocytes were recently reported in AN exposed workers in China (Fan et al., 2006). Three studies of AN workers reported genotoxic effects such as DNA strand breaks, nondisjunction of sex chromosomes, and CAs (Beskid et al., 2006; Xu et al., 2003; Borba et al., 1996), whereas an earlier study did not find elevated frequency of CAs in exposed workers (Thiess and Fleig, 1978). Increases in cytogenetic aberrations such as MN were found in assays of exposed rats (Wakata et al., 1998) and a single assay of mice (Fahmy, 1999), but were not evident in other rat and mouse assays (Morita et al., 1997; Zhurkov et al., 1983; Leonard et al., 1981; Rabello-Gay and Ahmed, 1980). Comet assays found DNA damage in forestomach, colon, bladder, lung, and brain in mice, following single i.p. injections of 20 mg/kg AN, and in forestomach, colon, kidney, bladder, and lung of rats injected with 30 mg/kg (Sekihashi et al., 2002).

UDS was detected by following the time course of ³H-thymidine incorporation into DNA in lung, testis, and gastric tissue, following administration of single oral doses of 46.5 mg/kg AN to Sprague-Dawley rats (Ahmed et al., 1996b, 1992a, b; Abdel-Rahman et al., 1994a). Autoradiographic techniques did not detect UDS following incubation of primary cultures of hepatocytes or spermatocytes from F344 rats given single oral doses of 75 mg/kg or five daily doses of 60 mg/kg-day AN (Butterworth et al., 1992). Dominant lethal effects (from mutations in germ cells) were not found in mice given single i.p. doses of 30 mg/kg AN (Leonard et al., 1981) or rats given five oral doses of 60 mg/kg (Working et al., 1987). DNA binding by AN or its metabolites was indicated by elevated levels of radioactivity in DNA from several tissues in rats given single oral doses of 46.5 mg/kg radiolabeled AN (Abdel-Rahman et al., 1994b; Ahmed et al., 1992a, b; Farooqui and Ahmed, 1983a).

In the *European Union Risk Assessment Report on Acrylonitrile* (EC 2004), AN was regarded as “genotoxic or at least mutagenic, despite the recent publication of Whysner et al. (1998a) which argues for a possible nongenotoxic mechanism for the tumour induction in experimental animals.”

4.5.2.5. Genotoxicity resulting from oxidative stress

As discussed in Section 4.5.1.2.2, studies are available that investigated the genotoxicity of AN resulting from oxidative stress. These studies measured 8-oxodG levels in DNA as biomarkers of oxidative DNA damage from AN exposure. 8-OxodG is mutagenic (Kamiat et al., 1992; Moriya et al., 1991; Wood et al., 1990) and causes GC → TA transversions during DNA

replication.

In vitro studies

Zhang et al. (2000) studied AN-induced morphological transformation in SHE cells. SHE cell culture was treated in vitro for up to 7 days with 0–75 µg/mL AN in 12.5 µg/mL increments (75 µg/mL was cytotoxic, with 50% reduction in cell colony number). After 7 days of exposure, there was a dose-dependent increase in morphological transformation at 50, 62.5, and 75 µg/mL, reaching a transformation frequency of 1.3% at 75 µg/mL. After a 24-hour AN exposure, an increase in transformation was not observed at all concentrations. Levels of 8-oxodG in DNA isolated from cells incubated with 75 µg/mL were increased to 192 and 186% of control after 2 and 3 days, indicating an association between cell transformation and oxidative DNA damage. However, no increase in 8-oxodG was observed after 1 or 7 days. AN-induced morphological transformation was inhibited by cotreatment with the antioxidants α -tocopherol (100 µM: up to 65% inhibition) and (-)-epigallocatechin-3-gallate (5 µM: up to 87% inhibition) for 7 days. Cotreatment with antioxidants also inhibited the formation of 8-oxodG in SHE cell DNA from treatment with 75 µg/mL AN.

In a later study by the same research group, Zhang et al. (2002) investigated the time course of SHE cell morphological transformation in the presence of 75 µg/mL AN. The results indicated that statistically significant increases in morphological transformation frequency could not be observed until after 2 consecutive days of exposure; a plateau at a transformation frequency of about 2% was reached after 4–5 days of exposure. In another experiment, coadministration of 0.5 mmol/L ABT, a nonspecific suicidal CYP450 inhibitor, for 7 days significantly reduced the rate of cell transformation from about 1.25% to about 0.3% (shown graphically), demonstrating the need for metabolic activation of AN in this test system.

Zhang et al. (2002) also showed that AN (25, 50, and 75 µg/mL) increased the amount of ROS (measured by 2,3-dihydroxybenzoic acid production) in the SHE cells after 4, 24, and 48 hours of treatment. At the same time, xanthine oxidase (which generates the superoxide radical and hydrogen peroxide via oxidation of hypoxanthine or xanthine by oxygen) activity was increased by 47% in SHE cells after 24 hours of treatment with 75 µg/mL AN. After 48 hours of treatment, xanthine oxidase activity was increased in both 50 and 75 µg/mL (80%) AN groups. This increase in xanthine oxidase activity was blocked by cotreatment with 0.5 mM ABT. On the other hand, antioxidant GSH was depleted 66–80% by all doses of AN (25, 50, and 75 µg/mL) after 4 hours of treatment and returned to control levels after 24 hours. At 48 hours, a significant increase in GSH was observed with the 75 µg/mL group but not in other dose groups. Antioxidant enzyme catalase activity was significantly decreased after 4 hours of treatment with 50 and 75 µg/mL AN but increased after 24 and 48 hours of treatment. Cotreatment with ABT prevented the decrease and increase in activity of catalase at 4 and 24 hours after treatment,

respectively. A transient decrease in SOD activity was also observed after 4 hours of treatment with 75 µg/mL AN.

The effect of AN on catalase and SOD activities was also studied in a cell-free system (Zhang et al., 2002). Catalase and SOD activities were determined in samples containing purified catalase or SOD incubated with 75 µg/mL AN in the presence or absence of SHE cell homogenate. In the absence of a metabolic source of SHE cell homogenate, no inhibition of catalase activity was seen following incubation up to 60 minutes with AN. In the presence of SHE cell homogenate, AN significantly decreased catalase activity in a time-dependent manner after 10 minutes of incubation. Similarly, a significant time-dependent decrease in SOD activity was observed following 30 minutes incubation with AN. The study authors concluded that morphological transformation of SHE cells is caused by oxidative stress as a result of oxidative metabolism of AN.

Kamendulis et al. (1999a) also investigated oxidative DNA damage induced by AN in DITNC1, a rat glial astrocyte cell line, and primary rat hepatocytes exposed to AN in vitro (see Section 4.5.1.2.2). AN was cytotoxic at concentrations ≥ 2.5 mmol/L (133 µg/mL) (as measured by the release of LDH from the cells) to both cell lines, following ≥ 4 hours of exposure. Concentrations of 0.01, 0.1, and 1.0 mmol/L AN (0.53, 5.3, and 53 µg/mL, respectively) caused a dose-dependent increase in formation of 8-oxodG in astrocytes but not in hepatocytes. Corresponding increases in ROS formation were also observed in astrocytes only. However, no oxidative lipid damage (as evaluated by formation of MDA, a product of lipid peroxidation) was found in either cell type following treatment with AN at all exposure concentrations or durations. The formation of 8-oxodG in rat astrocytes was reversible. Following treatment with AN for 24 hours and removal of AN for 24 hours afterwards, 8-oxodG levels returned to control values in all concentrations examined. Kamendulis et al. (1999a) concluded that this demonstrated property was consistent with tumor promoting agents.

Pu et al. (2006) investigated oxidative DNA damage induced by AN in D1TNC1 rat astrocyte cell line using the fpg-modified comet assay. Increase in oxidative DNA damage was observed in astrocytes treated with 1 mM AN for 24 hours. No increase in oxidative DNA damage was observed in astrocytes treated with 0.005–0.75 mM AN for 24 hours.

Jacob and Ahmed (2003b) investigated oxidative stress in cultured NHA (4631) treated with up to 400 µM AN for 12 hours. AN was cytotoxic at concentrations > 50 µM. Cell viability was 85, 78, and 58%, respectively, at AN concentrations of 100, 200, and 400 µM. Significant increases in measures of oxidative stress were observed at cytotoxic concentrations of 200–400 µM AN: the production of ROS was increased four- to sevenfold, whereas 8-oxodG levels were increased more than twofold.

Esmat et al. (2007) investigated cytotoxicity in rat (strain not known) primary glial cells exposed to 0–5.0 mM AN up to 12 hours. Cell membrane integrity was evaluated by trypan blue exclusion and LDH leakage. About 50% membrane damage in primary glial cells was observed

in incubations containing 1.0 mM AN for 3 hours. Thus, subsequent studies on AN-induced oxidative stress were performed using 1 mM AN for 3 hours incubation. AN increased MDA levels (indicator of lipid peroxidation) to about ninefold compared with control incubations and depleted GSH level to about 7% of controls, while no change in total glutathione level was observed. AN induced CN⁻ formation by glial cells and decreased ATP level by about 90% as compared with the control. Pretreatment with 5 mM NAC (an acetylated precursor of cysteine and GSH, and an antioxidant), reduced MDA level by 40% as compared with glial cells treated with AN alone and raised GSH and total glutathione level in cell extract to about 2.5-fold of control and AN alone treated group. Pretreatment with NAC also caused reduction of CN⁻ induced by AN to about 15% as compared with the AN alone treated group, and raised ATP level to about sixfold, as compared with the AN alone treated group.

It should be noted that NAC stimulated GSH synthesis, enhanced glutathione-S-transferase activity, and was a powerful nucleophile capable of scavenging free radicals (De Vries and De Flora, 1993). Observed increases in GSH and total glutathione and decrease in CN⁻ formation with NAC pretreatment in Esmat et al. (2007) would suggest that most of the administered AN could be detoxified via conjugation via the GSH pathway (consistent with findings by Carerra et al., 2007), as less AN was available for oxidation to CN⁻. Esmat et al. (2007) concluded that AN toxicity was at least partly mediated by oxidative stress.

In vivo studies

As described in Section 4.5.1.2.2, Jiang et al. (1998) reported increases in measures of oxidative stress in the brain cortices but not the livers of male Sprague-Dawley rats exposed to AN in drinking water at concentrations of 50–200 ppm for up to 90 days. Increases in ROS and oxidative DNA damage (increased levels of 8-oxodG) and concomitant decreases in antioxidant enzymes (catalase, SOD) were observed in the brain cortices of exposed rats. Transient small decreases in the antioxidants vitamin E and glutathione were also observed in the brain cortices of AN-exposed rats only at 14 days. A transient increase in MDA level was observed only at the highest dose group after 14 days but not at other time points.

Pu et al. (2009) reported oxidative DNA damage in WBC and brain of male Sprague-Dawley rats treated with 100-200 ppm AN. Further discussion of study findings are provided in Section 4.7.3.3.1..

In another study, Whysner et al. (1998a) examined the ability of AN to induce oxidative DNA damage in the brain, liver, and forestomach of rats by exposing male Sprague-Dawley and F344 rats up to 300 ppm AN in drinking water for 21 days (see Section 4.5.1.2.2). As shown in Table 4-55, elevated levels of 8-oxodG were found in DNA from the brain and liver of Sprague-Dawley rats. However, 8-oxodG levels in the forestomach of exposed Sprague-Dawley rats and the brain of exposed F344 rats were not statistically significantly elevated compared with

controls. Significant increase in 8-oxodG levels was found in the liver of exposed Sprague-Dawley rats, although the liver is not a target organ for carcinogenicity in adult rats.

Table 4-55. Formation of 8-oxodG in DNA from tissues of male Sprague-Dawley and F344 rats exposed to AN in drinking water for 21 days

Dose group	Formation of 8-oxodG (mol/10 ⁵ mol dG)			
	Sprague-Dawley rats			F344 rats
	Liver	Forestomach	Brain	Brain
Control	0.67 ± 0.22	0.68 ± 0.14	0.62 ± 0.08	0.79 ± 0.37
3 ppm	0.72 ± 0.06	1.77 ± 0.55	0.86 ± 0.41	1.07 ± 0.41
30 ppm	0.95 ± 0.19 ^a	1.59 ± 0.30	1.35 ± 0.49 ^a	1.03 ± 0.38
300 ppm	0.96 ± 0.15 ^a	1.44 ± 1.22	1.29 ± 0.10 ^a	1.06 ± 0.48 ^b

^aSignificantly different from controls ($p < 0.05$) as calculated by the study authors.

^bExposure was at 100 ppm.

Source: Whysner et al. (1998a).

Whysner et al. (1998a) also exposed male Sprague-Dawley rats to 100 ppm AN in drinking water for up to 94 days. (This dose was carcinogenic in Sprague-Dawley rats in a chronic study [Johannsen and Levinskas, 2002a; Biodynamics, 1980b].) The rats were divided into four groups and were exposed to distilled water, 100 ppm AN, 5 mg MNU (a DNA-reactive carcinogen that produces glial cell tumors in rats) per week, or 100 ppm AN plus 5 mg MNU per week. Levels of 8-oxodG in brain and liver of rats exposed to 100 ppm AN were significantly greater than those in controls after 10 days (1.31 ± 0.52 in brains of exposed rats vs. 0.65 ± 0.22 mol per 10^5 mol dG in controls and 0.70 ± 0.20 in livers of exposed rats vs. 0.49 ± 0.10 mol per 10^5 mol dG in controls). Administration of 5 mg/kg MNU alone did not increase the level of 8-oxodG in the brain of treated rats but increased 8-oxodG in the liver after 10 days. However, coadministration of 100 ppm AN and MNU increased 8-oxodG level in the brain after 31 days and 94 days when compared with the MNU-only group.

Whysner et al. (1998a) suggested that AN-induced tumors may be produced by a mode of action involving 8-oxodG. However, several findings in this study did not support this proposed mode of action. First, no significant increase in 8-oxodG levels were found in the brain DNA of F344 rats exposed to AN in the 21-day study whereas AN was carcinogenic to F344 rats in a chronic drinking water study (Johannsen and Levinskas, 2002b). Second, although a significant increase in 8-oxodG levels was found in the brain DNA of Sprague-Dawley rats exposed to AN for 21 days, no dose-dependent increase was observed above 30 ppm, which was not the dose producing the highest occurrence of tumors in the chronic bioassay. Third, no increase in 8-oxodG levels was found in the forestomach DNA of exposed rats. The forestomach was a target organ for AN carcinogenicity. Finally, increase in 8-oxodG levels was found in liver

DNA of exposed rats. The liver was not a target organ for AN carcinogenicity in adult rats. Therefore, there was no association between 8-oxodG levels and tumorigenicity in target organs. Results of mutagenicity/genotoxicity studies of AN are summarized in Table 4-56.

Table 4-56. Summary of studies on the mutagenicity or genotoxicity resulting from oxidative stress of AN

Test system	Endpoint/effect	Exposure concentration	Exposure duration	Result	Reference
<i>In vitro mammalian cell assays</i>					
SHE cells	Cell transformation	50–75 µg/mL AN	7 d	+	Zhang et al. (2000)
SHE cells	8-oxodG in DNA	75 µg/mL AN	Increased after 2 and 3 d but not after 1 or 7 d	(+)	Zhang et al. (2000)
SHE cells	ROS	25–75 µg/mL AN	4–48 h	+	Zhang et al. (2002)
DITNC1rat glial astrocytes	8-oxodG in DNA	0.01–1 mM AN (0.53–53 µg/mL)	4 or 24 h	+ ^a	Kamendulis et al. (1999a)
D1TNC1 rat astrocytes	DNA damage in fpg-comet assay	1 mM	24 h	+	Pu et al. (2006)
Rat hepatocytes	8-oxodG in DNA	0.01–1 mM AN	4 or 24 h	–	Kamendulis et al. (1999a)
NHAs	8-oxodG in DNA	200–400 µM AN	12 h	+	Jacob and Ahmed (2003b)
NHAs	ROS	200–400 µM AN	12 h	+	Jacob and Ahmed (2003b)
<i>In vivo studies in rats</i>					
Male Sprague-Dawley rats	8-oxodG in brain cortex DNA	0–200 ppm AN in drinking water	100 and 200 ppm: + after 14–90 d 50 ppm: + after 28 and 90 d	+	Jiang et al. (1998)
Male Sprague-Dawley rats	8-oxodG in liver DNA	0–200 ppm AN in drinking water	14–90 d	–	Jiang et al. (1998)
Male Sprague-Dawley rats	8-oxodG in WBC and brain DNA	100 or 200 ppm AN in drinking water	28 days	+	Pu et al. (2009)
Male Sprague-Dawley rats	8-oxodG in brain DNA	30 or 300 ppm AN in drinking water	21 d	+	Whysner et al. (1998a)
Male Sprague-Dawley rats	8-oxodG in liver DNA	30 or 300 ppm AN in drinking water	21 d	+	Whysner et al. (1998a)
Male Sprague-Dawley rats	8-oxodG in forestomach DNA	0–300 ppm AN in drinking water	21 d	–	Whysner et al. (1998a)
Male F344 rats	8-oxodG in brain DNA	0–100 ppm AN in drinking water	21 d	–	Whysner et al. (1998a)
Male Sprague-Dawley rats	8-oxodG in brain DNA	100 ppm AN in drinking water	3–94 d	+	Whysner et al. (1998a)

^aThe formation of 8-oxodG was reversible. Following treatment with AN for 24 hrs and removal of AN for 24 hrs afterwards, 8-oxodG levels returned to control values.

+ = Positive; – = negative; (+) = borderline positive.

4.6. SYNTHESIS OF MAJOR NONCANCER EFFECTS

4.6.1. Oral

No studies are available regarding noncancer health effects in humans following acute, subchronic, or chronic oral exposure to AN. The major noncancer findings from repeat-dose oral toxicity studies of AN in experimental animals are summarized in Table 4-57.

Table 4-57. Summary of noncancer oral toxicity study findings for AN

Reference <i>Species</i>	Exposure conditions, mg/kg-d	NOAEL (mg/kg-d)	LOAEL (mg/kg-d)	Effect
Johannsen and Levinskas (2002b); Biodynamics (1980c) <i>F344 rat</i>	0, 0.1, 0.3, 0.8, 2.5, 8.4 (M) 0, 0.1, 0.4, 1.3, 3.7, 10.9 (F) DW, 2 yrs; sacrifices at 6, 12, and 18 mos and termination (99 wks or 699–706 d [F]; 107 wks or 770–777 d [M])	0.1(M) 0.1 (F) 2.5 (M) 1.3 (F) 2.5 (M) 3.7 (F) 0.4 (F) 2.5 (M)	0.3 ^a (M) 0.4 ^a (F) 8.4 ^b (M) 3.7 ^b (F) 8.4 ^a (M) 10.9 ^a (F) 1.3 ^b (F) 8.4 ^b (M)	Forestomach squamous cell hyperplasia and hyperkeratosis, increased incidence Decreased survival after 18 mos Decreased BW (>10% compared with control) Increase in serum alkaline phosphatase (F only) Increase in epidermal inclusion cysts (M only)
Johannsen and Levinskas (2002a); Biodynamics (1980a) <i>Sprague-Dawley rat</i>	0, 0.09, 8.0 (M) 0, 0.15, 10.7 (F) DW, 22 mos (M); 19 mos (F); sacrifices at 6, 12, and 18 mos and termination	ND (M) 0.15 (F) 8.0 (M) 0.15 (F) 0.09 (M) 0.15 (F)	0.09 ^b (M) 10.7 ^a (F) ND (M) 10.7 ^a (F) 8.0 ^b (M) 10.7 ^a (F)	Forestomach squamous cell hyperplasia, increased severity Renal transitional cell hyperplasia (F only) Decreased survival at 10 mos (M + F); decreased BW (10/8% [M/F])
Johannsen and Levinskas (2002a); Biodynamics (1980b) <i>Sprague-Dawley rat</i>	0, 0.1, 10 (M + F) Gavage, 7 d/wk for 20 mos; sacrifices at 6, 12, and 18 mos and termination	0.1 (M + F) 0.1 0.1	10 ^a (M + F) 10 ^a 10 ^a	Forestomach squamous cell hyperplasia, increased severity Renal transitional cell hyperplasia at some but not all sacrifices Decreased survival after 14 mos (M + F); decreased BW (6–13% compared with controls [M only]).

Table 4-57. Summary of noncancer oral toxicity study findings for AN

Reference <i>Species</i>	Exposure conditions, mg/kg-d	NOAEL (mg/kg-d)	LOAEL (mg/kg-d)	Effect
Quast (2002); Quast et al. (1980a) <i>Sprague-Dawley</i> <i>rat</i>	0, 3.4, 8.5, 21.3 (M) 0, 4.4, 10.8, 25.0 (F) DW, 2 yrs	3.4 (M) ND (F) ND (F) ND (M) 4.4 (F) 8.5 (M) ND (F) 8.5 (M) 10.8 (F)	8.5 ^b (M) 4.4 ^b (F) 4.4 ^b (F) 3.4 ^b (M) 10.8 ^b (F) 21.3 ^b (M) 4.4 ^b (F) 21.3 ^b (M) 25.0 ^b (F)	Forestomach squamous cell hyperplasia and hyperkeratosis, increased incidence Gliosis in brain with or without perivascular cuffing in females; not significant in males Chronic nephropathy Decreased survival after 300 (F) or 480 (M) d Decreased BW (>10% compared with control); clinical signs of nervous system dysfunction
NTP (2001) <i>B6C3F₁</i> mouse	0, 2.5, 10, 20 (M + F) Gavage, 5 d/wk for 2 yrs Adjusted doses: 0, 1.8, 7.1, 14.3 mg/kg-d (M + F)	1.8 (M) 7.1 (F) 1.8 (M) 14.3 (F) ND (F) 7.1 (M + F)	7.1 ^b (M) 14.3 ^b (F) 7.1 ^b (M) ND (F) 1.8 ^b (F) 14.3 ^a (M + F)	Forestomach squamous cell hyperplasia and hyperkeratosis, increased incidence Increased incidence of Harderian gland hyperplasia Increased incidence of ovarian cysts or ovarian atrophy Decreased survival after 15 wks
NTP (2001) <i>B6C3F₁</i> mouse	0, 5, 10, 20, 40, 60 (M + F) Gavage, 5 d/wk for 14 wks. Adjusted doses: 0, 3.6, 7.1, 14.3, 28.6, and 42.9 (M + F)	28.6 (M) 14.3 (F) 14.3 (M + F)	ND (M) 28.6 ^a (F) 28.6 ^b (M + F)	Forestomach inflammation, ulceration, and epithelial hyperplasia, increased incidence in F only No histopathology data for 60 mg/kg groups Increased mortality
Tandon et al. (1988) <i>CD-1</i> mouse	0, 1, 10 (M only) Gavage, for 60 d	1	10 ^b	45% decreased sperm count; 40% of seminiferous tubules examined degenerated
Friedman and Beliles (2002); Litton Bionetics (1992) <i>Sprague-Dawley</i> <i>rat</i>	0, 11, 37 (M) 0, 20, 40 (F) DW, three-generation reproduction study	ND ND	11 ^b (M) 20 ^b (F) 11 ^b (M) 20 ^b (F)	Decreased viability for F1b pups; no changes in fertility index or pregnancy outcome for F1a, F1b, F2a, F2b, F3a, or F3b generations LOAEL for decreased lactation index in F1b parents for F2a pups; small deficits in postnatal pup weights (10–40%, variable across generations) and postnatal survival (about 10%, variable across generations) in higher dosed groups

Table 4-57. Summary of noncancer oral toxicity study findings for AN

Reference <i>Species</i>	Exposure conditions, mg/kg-d	NOAEL (mg/kg-d)	LOAEL (mg/kg-d)	Effect
Dow Chemical (1976b) <i>Sprague-Dawley</i> <i>rat</i>	0, 10, 25, 65 (F only) Gavage GDs 6–15	10 10	25 25	Maternal effects: 3/33 with forestomach hyperplasia Fetal effects: 9% increase in litters with any malformation
Gagnaire et al. (1998) <i>Sprague-Dawley</i> <i>rat</i>	0, 12.5, 25, 50 (M only) Gavage, 5 d/wk for 12 wks	25	50 ^a	SCV decreased beginning wk 6 (-7.5%), -17.8% by wk 12; -10.6% after 8 wks recovery; decreased BW after 4 wks (-17% at wk 12); hind limb weakness in 5/11 surviving high- dose rats after wk 9
Rongzhu et al. (2007) <i>Sprague-Dawley</i> <i>rat</i>	0, 4, 13.5 (M only) DW for 0, 4, 8, 12 wks	ND	4 ^b	Neurobehavioral alterations, as indicated by decreased motor coordination, increased training duration, head twitching, trembling, circling, backwards pedaling, and decreased home-cage activities
Szabo et al., (1984) <i>Sprague-Dawley</i> <i>rats</i>	0, 0.2, 4, 20, and 100 mg/kg-day (F only) DW for up to 60 days	4	20	Enlarged kidneys, increase in regional hyperplasia of the gastric mucosa
Szabo et al. (1984) <i>Sprague-Dawley</i> <i>rats</i>	0, 0.2, 4, 20 or 100 mg/kg-day (F only) Daily gavage for up to 60 days	ND	0.2	Adrenocortical hyperplasia
Quast et al. (1975) <i>Beagle dog</i>	0, 10, 16, 17 (M) 0, 8, 17, 18 (F) DW for 6 months	10 (M) 8 (F)	16 (M) 17 (F)	Early mortality, histopathological lesions of the esophagus and tongue

^aSignificantly different from controls ($p < 0.01$).

^bSignificantly different from controls ($p < 0.05$).

DW = drinking water; F = female; M = male; ND = not determined

Forestomach lesions (epithelial hyperplasia and hyperkeratosis) were the most consistently observed noncancer effect associated with chronic oral exposure of rats and mice to AN and were associated with the lowest LOAELs in AN-exposed rats (Table 4-57). The lowest LOAELs were 0.09 and 10.7 mg/kg-day for increased severity of forestomach lesions in male and female Sprague-Dawley rats exposed by drinking water (Johannsen and Levinskas, 2002a; Biodynamics, 1980a), 0.3 and 0.4 mg/kg-day for increased incidence of forestomach lesions in male and female F344 rats exposed in drinking water (Johannsen and Levinskas, 2002b; Biodynamics, 1980c), and 8.5 and 4.4 mg/kg-day for increased incidence of forestomach lesions in male and female Sprague-Dawley rats exposed in drinking water (Quast, 2002; Quast et al., 1980a). Increased incidences of animals with hyperplasia or hyperkeratosis of the forestomach were also observed in B6C3F₁ mice exposed by gavage (5 days/week) for 2 years to 10 mg/kg-

day (males) or 20 mg/kg-day (females) (NTP, 2001), female B6C3F₁ mice exposed to 40 mg/kg-day by gavage (5 days/week) for 14 weeks (NTP, 2001), and pregnant Sprague-Dawley rats exposed to 25 mg/kg-day by gavage on GDs 6–15 (Murray et al., 1978). Other effects observed in orally exposed animals generally were observed at higher doses (Table 4-57).

Kidney effects included increased incidence of renal transitional cell hyperplasia in Sprague-Dawley rats exposed by gavage or in drinking water to about 10 mg/kg-day but not 0.1 mg/kg-day (Johannsen and Levinskas, 2002a; Biodynamics, 1980a) and increased incidence of chronic nephropathy in Sprague-Dawley rats exposed to drinking water doses of 3.4 mg/kg-day (males) or 10.8 mg/kg-day (females) (Quast 2002; Quast et al., 1980a).

Decreased survival was observed after 18 months in F344 rats exposed to drinking water at 8.4 mg/kg-day (males) and 3.7 mg/kg-day (females) (Johannsen and Levinskas, 2002b) and in Sprague-Dawley rats exposed to drinking water at 21.2 mg/kg-day (males) and 4.4 mg/kg-day (females) (Quast, 2002). In another drinking water study of Sprague-Dawley rats, decreased survival was observed at 8.0 mg/kg-day (males) and 10.7 mg/kg-day (females).

Increased incidences of ovarian lesions (cysts or atrophy) were observed in female mice exposed to gavage doses of 2.5, 10, or 20 mg/kg-day (NTP, 2001), but exposure-related ovarian lesions were not observed in the chronic rat bioassays. In a reproductive toxicity study in Sprague-Dawley rats exposed to 0, 100, or 500 ppm AN in drinking water (11 or 37 mg/kg-day [males]; 20 or 40 mg/kg-day [females]), small deficits in postnatal pup weights and pup survival without effects on fertility or pregnancy success were observed in three generations exposed to either 100 or 500 ppm (Friedman and Beliles, 2002; Litton Bionetics, 1992) (see Table 4-58). Other reproductive effects observed in animals were a reduction of epididymal sperm counts and degeneration of seminiferous tubules in CD-1 mice exposed to 10 mg/kg-day by gavage for 60 days (Tandon et al., 1988), but no exposure-related lesions in male reproductive organs were found in B6C3F₁ mice exposed by gavage to up to 40 mg/kg-day for 14 weeks or up to 20 mg/kg-day for 2 years (NTP, 2001). Likewise, no effects on sperm motility parameters were found in male B6C3F₁ mice exposed to up to 20 mg/kg-day for 14 weeks (NTP, 2001).

The only oral developmental toxicity study (Dow Chemical, 1976b) identified 10 and 25 mg/kg-day as a NOAEL and LOAEL, respectively, for maternal effects (increased incidence of forestomach hyperplasia) and developmental effects (increased incidence of litters with any malformations). The study involved daily gavage exposure on GDs 6–15.

Neurological effects in animals associated with chronic oral exposure to AN were observed in: (1) a report of gross clinical signs of neurological impairment in about 10% of Sprague-Dawley rats (paralysis, head tilt, circling, and seizures) exposed to drinking water concentrations of 500 ppm (providing doses of about 65 and 74 mg/kg-day for males and females) but not in rats exposed to 100 ppm (13 and 15 mg/kg-day, males and females) (Bigner et al., 1986); (2) decreases (8–15%) in SCV in tail nerves and hind-limb weakness in male Sprague-Dawley rats exposed by gavage to 50 mg/kg-day (5 days/week) for 6–12 weeks but not

in rats exposed to 25 mg/kg-day (Gagnaire et al., 1998); and (3) neurobehavioral alterations in male Sprague-Dawley rats exposed to 4 and 13.46 mg/kg-day AN for 4, 8, or 12 weeks (head twitching, trembling, circling, backwards pedaling, decreased home-cage activities, decreased motor coordination, and learning and memory) (Rongshu et al., 2007). These studies indicated that neurological impairment in AN-exposed rats occurred at higher administered doses than doses associated with hyperplasia and hyperkeratosis in forestomach squamous epithelial cells.

4.6.2. Inhalation

Acute inhalation exposure to AN can cause blood chemistry changes indicative of slight liver damage and a range of subjective symptoms (including dizziness, headache, chest tightness, feebleness, hyperactive knee jerk, sore throat, dyspnea, vomiting, abdominal pain, fainting, and congestion of the pharynx (Chen et al., 1999). Less frequently reported symptoms include numbness of limbs, convulsion, rapid heart rate, cough, hoarseness, coma, and abnormal liver function. AN poisoning victims are generally treated with antidotes for cyanide poisoning and pure oxygen to overcome respiratory distress caused by damage to the lung (Chen et al., 1999).

Table 4-58 summarizes the effects of AN as reported in epidemiological investigations of populations occupationally exposed to AN. The LOAELs and NOAELs in Table 4-58 represent mean or midpoint values of the range of reported exposure concentrations. The major noncancer findings from repeat-dose inhalation toxicity studies of AN in experimental animals are summarized in Table 4-59.

Table 4-58. Noncancer effects observed in epidemiology studies of worker cohorts exposed to AN

Reference/ Study subjects	Exposure Characteristics	NOAEL (ppm)	LOAEL (ppm)	Effect
Symptoms and clinical chemistry				
Sakurai et al. (1978) acrylic fiber workers (n = 102; 62 controls), males	Average 10–12 yrs exposure	ND	4.2	Increased incidence of palpable liver, reddening of the conjunctiva and pharynx, skin rashes compared with unexposed controls, but not statistically significant.
Muto et al. (1992) acrylic fiber workers (n = 157; 537 controls), males	Average 17 yrs exposure	0.19	1.13	Statistically significantly increased prevalence of subjective symptoms (e.g., heaviness of stomach, poor memory, irritability); no increases in physical signs or abnormal values in urinary, hematological, liver function, or blood pressure variables
Kaneko and Omae (1992) acrylic fiber workers (n = 504; 249 controls)	Average 5.6, 7.0, 8.6 yrs exposure; mean AN concentrations 1.8, 7.4, 14.1 ppm in 3 groups of factories	ND	1.8	Statistically significantly increased prevalence of subjective symptoms (e.g., headaches, tongue trouble, choking lump in chest, fatigue) in workers from all three groups of factories
Chen et al. (2000) acrylic fiber workers (n=224, 180 males, 44 females; 224 controls)	Average 13 yrs exposure	ND	0.48	Statistically significantly increased prevalence of subjective symptoms (e.g., headache, dizziness, poor memory, choking feeling in chest, loss of appetite)
Neurological effects				
Lu et al. (2005a) AN-monomer workers: (n=81; 68 males, 13 females); AN-acrylic fiber workers (n=94; 67 males, 27 females); 174 controls (130 males, 44 females)	>1 yr exposure (average duration not available)	ND	0.11	Small, but statistically significant, deficits in tests of neurobehavior in monomer workers and fiber workers (geometric mean air concentrations of 0.11 ppm for monomer workers and 0.91 ppm for fiber workers)
Reproductive and developmental effects				
Dong et al. (2000b) Acrylic fiber workers (n=548 males, 391 females; 496 male and 427 female controls)	Average 11 and 10.4 yrs exposure	ND	3.6	Statistically significantly increased prevalence of adverse reproductive outcomes (increased stillbirths [2.7 vs. 0.7%], birth defects [2.13 vs. 0.48%], and premature deliveries [8.2 vs. 3.9%]) in female workers
Li (2000) AN manufacturing workers (n = 379; 511 controls), female	Average 14 yrs exposure	ND	7.5	Statistically significantly increased prevalence of adverse reproductive outcomes (sterility [2.6 vs. 0.8%], pregnancy complications [20.8 vs. 7.1%], premature deliveries [11.6 vs. 4.7%], and congenital defects [25.4 vs. 4.2%]) in female exposed workers
Xu et al. (2003) AN production workers (n = 30; 30 controls), males	Mean 2.8 yrs exposure	ND	0.37	Statistically significant decrease in sperm density and sperm number and increase in DNA strand breakage and sex chromosome aneuploidy in sperm cells in exposed workers

ND = cannot be determined

Table 4-59. Summary of noncancer inhalation toxicity study findings for AN

Reference/ Study subjects	Exposure conditions	NOAEL (ppm)	LOAEL (ppm)	Effect
Chronic toxicity studies				
Quast et al. (1980b) Sprague-Dawley rats (M + F, 100/sex/group)	0, 20, 80 ppm, 6 hrs/d, 5 d/wk for 2 yrs	ND	20	Statistically significant increase in incidence of lesions in nasal epithelia at 20 ppm in males and females; focal necrosis in liver of females. At 80 ppm, other lesions occurred at increased incidences—gliosis and perivascular cuffing in brain in both sexes, hepatic necrosis in females, focal nephrosis and thyroid cysts in males, and hyperplasia in nonglandular stomach.
Gagnaire et al. (1998) Sprague-Dawley rats (M only, 12/group)	0, 25, 50, 100 ppm, 6 hrs/d, 5 d/wk for 24 wks	ND	25	Statistically significant deficits (5% decreased compared with controls) in sensory conduction velocity of the tail nerve.
Reproductive and developmental studies				
Haskell Laboratory (1992a) Pregnant Sprague-Dawley rats (30/group)	0, 40, or 80 ppm, 6 hrs/d on GDs 6–15	ND	40	Maternal weight gain (GD6-15) decreased by >20% compared with controls.
		40	80	6/35 litters with any malformation (short tail, short trunk, missing vertebrae, missing ribs, or anteriorly displaced ovaries) vs. 1/33 in controls.
Saillenfait et al. (1993) Pregnant Sprague-Dawley rats (20-21/group)	0, 12, 25, 50, 100 ppm, 6 hrs/d on GDs 6–20	12	25	Statistically significantly decreased maternal weight gain compared with controls.
		12	25	Statistically significantly decreased (>5%) fetal BW compared with controls. No exposure-related increased incidences of litters with fetal anomalies.
Nemec et al. (2008) Crl:CD (SD) rats (M + F, 25/sex/group) two-generation reproductive study	F0: 0, 5, 15, 45 ppm 6 hrs/d, 7 d/wk for 10 weeks via whole-body inhalation F1: exposed in utero, via milk through nursing during PNDs 0 to 28, then exposed to 0, 5, 15, or 45 ppm AN for 6 hrs/d, 7 d/wk for 10 weeks via whole-body inhalation	15	45	F0 generation: histological changes in nasal tissues
		ND	5	F1 generation: histological changes in nasal tissues

ND = cannot be determined

In the cross-sectional studies of AN-exposed workers, an increased prevalence compared with unexposed workers of subjective symptoms such as dizziness, headache, chest tightness, and poor memory was seen, indicating respiratory irritation and neurological effects. Average workplace air concentrations associated with these subjective symptoms were 1.13 ppm (Muto et al., 1992), 1.8 ppm (Kaneko and Omae, 1992), and 0.48 ppm (Chen et al., 2000) (see Table 4-58). No statistically significant increases in the prevalence of subjective symptoms were found

in a group of acrylic fiber workers whose average workplace air concentration was 0.19 ppm (Muto et al., 1992). The studies by Muto et al. (1992) and Sakurai et al. (1978) included clinical physical examinations, but no statistically significant increases in the prevalence of physical signs (such as reddened conjunctiva or pharynx) or abnormal values in clinical chemistry variables (including activities of liver enzymes) were found in exposed workers (more details of results from these studies can be found in Section 4.1.2.2.2).

Exposure levels associated nasal lesions (and lesions at other sites) in Sprague-Dawley rats were higher than the workplace air concentrations associated with adverse effects in AN-exposed workers (Table 4-59). The lowest exposure level in the 2-year inhalation bioassay, 20 ppm, produced increased incidence of lesions in nasal epithelia (hyperplasia of mucus-secreting cells in males and flattening of the respiratory epithelium in females). At 80 ppm, further increases in incidences of nasal lesions of a wider variety were observed as well as statistically significant increases in the incidences of histopathological lesions at other sites, including gliosis and perivascular cuffing in the brain of males and females, focal nephrosis and thyroid cysts in males, hepatic necrosis in females, and hyperplasia and hyperkeratosis of the nonglandular portion of the stomach in both sexes combined (Quast et al., 1980b). In the two-generation reproductive study of inhaled AN vapors in Crl:CD (SD) rats (Nemec et al., 2008), statistically significant increases in the incidence of nasal lesions (respiratory/transitional epithelial hyperplasia, subacute inflammation, squamous metaplasia, and/or degeneration of the olfactory epithelium) were observed in F0 males and females at 45 ppm. Increases in nasal lesions were observed in F1 males at 5 ppm and the increase in incidence was statistically significant in F1 males and females at 15 ppm.

Statistically significant deficits in several neurobehavioral tests were measured in exposed workers in a Chinese acrylic fiber manufacturing plant with mean workplace air concentrations of 0.11 ppm (range 0.00–1.70 ppm) and 0.91 ppm (range 0.00–8.34 ppm) in two different process areas (Lu et al., 2005a). Deficits in exposed workers compared with nonexposed workers were noted in a profile of mood states test (20–68% higher for negative moods such as anger and confusion), a simple reaction time test of attention and response speed (10–16% deficits), and the backward sequence of the digit span test of auditory memory (21–24% deficits). The neurologic findings observed in humans are supported by observations of deficits in sensory nerve conduction in the tail nerve in Sprague-Dawley rats repeatedly exposed by inhalation to 25 ppm AN (Gagnaire et al., 1998).

Reproductive and developmental effects in relation to AN exposure have been seen in occupational exposure studies in men and women (Table 4-58) and in animal studies (Table 4-59). In AN exposed workers, statistically significant increases in the prevalence of adverse reproductive outcomes were associated with mean workplace air concentrations 3.6 ppm (Dong et al., 2000a) and 7.5 ppm (Li, 2000), indicating that reproductive effects from occupational exposure may occur at higher exposure levels than those associated with mild neurobehavioral

effects. However, a statistically significant decrease in sperm density and number and statistically significant increase in DNA strand breakage and sex chromosome aneuploidy in sperm cells were reported in workers exposed to 0.37 ppm AN with an average 2.8 years of exposure (Xu et al., 2003). In rats exposed to 80 ppm, 6 hours/day on GDs 6–15, a statistically significantly increased incidence of litters with any malformation (missing vertebrae, missing ribs, or anteriorly displaced ovaries) was observed (Haskell Laboratory, 1992a). In another study, inhalation exposure of Sprague-Dawley rats to AN for 6 hours/day on GDs 6–20 resulted in statistically significant decreases in fetal weight gain per litter, compared with controls, at exposure concentrations of 25 ppm (5% decrease), 50 ppm (8% decrease), or 100 ppm (15% decrease) (Saillenfait et al., 1993). In a two-generation reproductive study of inhaled AN vapors (Nemac et al., 2008), a decrease in sperm motility and progressive sperm motility (up to 9.5%) was observed in F0 and F1 males at 45 and 90 ppm. The decrease was statistically significant at 90 ppm. In addition, exposure-dependent increase in normalized anogenital distance on PND 1 was found in F1 males. Body weight at acquisition of balanopreputial separation for F1 males was statistically significantly decreased at 45 ppm and 90 ppm. A statistically significant delay in acquisition of sexual developmental landmark (vaginal patency) was observed in F1 females at 90 ppm.

In summary, human and animal studies provide evidence of neurotoxicity from AN exposure. This evidence includes an increased prevalence of subjective symptoms such as headaches and memory impairments (Muto et al., 1992; Kaneko and Omae, 1992; Chen et al., 2000), deficits in several neurobehavioral tests (Lu et al., 2005a) in workers exposed to AN, and deficits in sensory nerve conduction in rats (Gagnaire et al., 1998). The LOAEL for these effects in the studies in humans are approximately 10 to 100 times lower than the LOAEL in the study in rats. Reproductive effects were also demonstrated in human and animal studies at exposures that were similar to or higher than those producing neurological effects.

4.6.3. Mode-of-Action Information

The precise modes of action whereby AN induces noncancer effects are unknown. However, a general understanding of the processes by which AN is metabolized within the body allows some conclusions to be drawn about the range of processes that might be involved in bringing about one or more of its toxic responses. Relevant metabolic processes are likely to include partitioning between detoxification and oxidative activation sub-pathways, conversion of AN to one or more toxic metabolites, depletion of GSH, the association of AN metabolism with the onset of oxidative stress, and the ability of CEO, the reactive metabolite of AN, to covalently bind to macromolecules, such as proteins. In addition, other processes related to the parent compound AN, such as its cholinomimetic effects, may also be involved.

4.6.3.1. *GI Effects*

The relationship between AN metabolism and GI hemorrhage in rats was suggested by Ghanayem and Ahmed (1983). GI bleeding was observed 3 hours after a single dose of 50 mg/kg AN was administered to Sprague-Dawley rats orally or subcutaneously, with no significant difference in the amount of GI blood loss resulting from either route of administration. Thus, AN-induced GI bleeding was not a result of direct irritation of AN on the GI tract.

Pretreatment of rats with CYP450 enzyme inducer Aroclor 1254 increased blood loss by 240% (Ghanayem and Ahmed, 1983). In contrast, pretreatment of rats with CYP450 inhibitors cobalt chloride or SKF 525A prior to AN administration produced significant decreases in blood loss of 10 and 40%, respectively. Pretreatment of rats with DEM, a known depletor of GSH, prior to AN administration produced no significant change in GI bleeding. In addition, administration of a sublethal dose (6 mg/kg s.c.) of KCN did not induce GI bleeding when compared with controls. Therefore, Ghanayem and Ahmed (1983) concluded that metabolic activation of AN by CYP450 to a reactive metabolite other than cyanide (probably CEO) was a prerequisite for AN to induce gastric hemorrhage.

Ahmed et al. (1996a) showed irreversibly bound AN-derived radioactivity in intestinal mucosa following a single i.v. injection of 2-[¹⁴C]-AN to male F344 rats. A recent study by Jacob and Ahmed (2003a) also demonstrated that AN and/or its metabolites accumulated and covalently interacted in GI mucosa of male F344 rats treated either by i.v. or orally with 2-[¹⁴C]-AN. These studies supported the hypothesis that AN-induced injury of the GI mucosa is not due to direct irritation by AN but by metabolic activation and macromolecular interaction of AN metabolite in these tissues.

Ghanayem et al. (1985) also studied the mechanism of AN-induced gastric mucosal necrosis in the glandular stomach in male Sprague-Dawley rats. Subcutaneous administration of 40 or 50 mg/kg AN caused a significant decrease in hepatic and gastric GSH concentration 3 hours after treatment, and induced gastric necrosis. Pretreatment of rats with various metabolic modulators (CYP450 monooxygenase and GSH) before administration showed that there was a significant inverse relationship between gastric GSH concentration and AN-induced gastric erosions. Pretreatment of rats with sulfhydryl-containing compounds (cysteine or cysteamine) protected against AN-induced gastric necrosis and blocked the depletion of gastric GSH.

In addition, AN-induced gastric erosions could be prevented by pretreatment with atropine, a muscarinic receptor blocker, suggesting the involvement of muscarinic receptors in the AN-induced gastric mucosal necrosis (Ghanayem et al., 1985). Activation of acetylcholine muscarinic receptors is known to increase gastric acid secretion and cause gastric erosions. Because muscarinic receptors are known to contain sulfhydryl groups in their active site (Ikeda et al., 1980; Aronstam et al., 1978), Ghanayem et al. (1985) hypothesized that AN inactivated

critical sulfhydryl groups and caused gastric erosions by locally modulating muscarinic acetylcholine receptors in the stomach.

4.6.3.2. Neurological Effects

Increased prevalence of subjective symptoms of neurological effects was associated with average workplace air concentrations of 1.13 ppm (Muto et al., 1992), 1.8 ppm (Kaneko and Omae, 1992), and 0.48 ppm (Chen et al., 2000), and small deficits in performance in a battery of neurobehavioral tests were observed in workers from factories with average workplace air concentrations of 0.11 and 0.91 ppm (Lu et al., 2005a). In a case of acute severe accidental AN poisoning (AN concentration at 62 mg/m³) of a worker (Fei and Xu, 2006), impairment of the CNS, including diffused damage to the cerebral cortex layer (pyramidal system) and damage to the subcortical layer (extrapyramidal system), was observed. In addition to poisoning symptoms (dizziness, headache, difficulty breathing, confusion, convulsion, etc.), cerebral focal damage was also detected in the patient, suggesting Parkinson's syndrome (static tremor, muscle rigidity, increased muscle tension and lead-pipe rigidity, slow motor activity). The clinical symptoms were related to those induced by adverse effects on the cholinergic system and dopaminergic system.

Neurotoxicological effects of AN in animals included cholinomimetic effects on the peripheral and central muscarinic systems in Sprague-Dawley rats after administration of nonlethal oral doses of 20, 40, or 80 mg/kg (Ghanayem et al., 1991) and the development of brain lesions in Sprague-Dawley rats (gliosis and perivascular cuffing) following chronic inhalation exposure to 80 ppm (Quast et al., 1980b) or chronic drinking water exposure to 4.4 mg/kg-day (females only).

The neurobehavioral effect of AN was suggested to be related to changes in brain monoamine neurotransmitter levels (Lu et al., 2005b). In a 12-week drinking water study of male Sprague-Dawley rats (Lu et al., 2005b), dopamine levels were decreased by 76 and 46% in rats exposed to 50 ppm AN, in the striatum and cerebellum, respectively. Serotonin levels were decreased by 38 and 41% in the striatum and cerebellum, respectively, for rats exposed to 50 ppm AN. In the case of acute severe AN poisoning, the development of Parkinson's syndrome and other CNS impairment in the exposed worker would suggest involvement of the cholinergic system and dopaminergic system (Fei and Xu, 2006).

The cholinomimetic effects were thought to be due to an effect of AN on muscarinic receptors, since atropine sulfate (which blocks both central and peripheral muscarinic receptors) protected animals against these effects (Ghanayem et al., 1991). In an earlier study on AN-induced gastric mucosal necrosis (Ghanayem et al., 1985), it was reported that pretreatment with atropine and sulfhydryl-containing chemicals protected against such lesions. Since muscarinic receptors contain sulfhydryls in their active sites (Aronstam et al., 1978) and sulfhydryl-depleting chemicals are known to potentiate chemically induced activation of

muscarinic receptors (Hedlund and Bartfai, 1979), Ghanayem et al. (1991) speculated that depletion and/or inactivation of endogenous sulfhydryls by AN and/or its metabolite may cause configurational changes of muscarinic receptor binding affinity that, in turn, lead to the development of acetylcholine-like (cholinomimetic) toxic effects. It is unlikely that these cholinomimetic effects are due to inhibition of acetylcholinesterase activity. Rajendran and Muthu (1981) reported that AN did not inhibit the activity of acetylcholinesterase. In addition, Satayavivad et al. (1998) reported that AN had no effect on decreased motor activity induced by physostigmine (an inhibitor of acetylcholinesterase). Thus, Satayavivad et al. (1998) proposed that the cholinomimetic effect of AN might be mediated by the release of acetylcholine from nerve endings.

In addition, Ghanayem et al. (1991) proposed that lipid peroxidation may at least partly be involved in AN-induced cholinergic overstimulation. In noting that AN enhanced lipid peroxidation and inhibited Na^+, K^+ -ATPase in RBCs in vitro, Farooqui et al. (1990) speculated that disruption of the lipid microenvironment in membranes by either or both of these processes might impact the muscarinic receptor function and induce cholinergic overstimulation.

For acute CNS effects, the signs were similar to those produced by cyanide. Ghanayem et al. (1991) proposed that the free cyanide liberated from AN during its metabolism may contribute to these effects. It is well established that cyanide causes CNS dysfunction by inhibition of cellular respiration via inactivation of tissue cytochrome c oxidase, which is the terminal electron acceptor in cellular energy production (Klaassen, 2001). Intraperitoneal injection of 30 mg/kg AN in Chinese hamsters decreased cerebral succinate dehydrogenase and cytochrome oxidase activities (Zitting et al., 1981). These authors suggested that the observed biochemical effects were likely due to the formation of cyanide from AN.

The neurotoxicity of AN may also result from the covalent binding of AN or its metabolites to enzymes. There is abundant evidence that important proteins bearing cysteine residues (e.g., enzymes such as GSTM1) can bind AN, thereby possibly impairing their functions and creating metabolic imbalances leading to toxicity. For example, AN was shown to covalently bind to the important glycolytic enzyme GAPDH in vitro (Campian et al., 2002). AN specifically targeted and bound to cysteine 149 in the active center of this enzyme, causing irreversible inhibition of its activity. This suggested that AN might impair glycolytic ATP production in vivo. Campian et al. (2002) speculated that the combination of glycolytic impairment with inhibition of mitochondrial ATP synthesis by cyanide released from AN could result in metabolic arrest. However, Campian et al. (2008) demonstrated in male Sprague-Dawley rats that acute lethality of AN was not due to brain metabolic arrest (see Section 4.5.1.1.3).

4.6.3.3. Reproductive/Developmental Effects

As discussed in Section 4.5.2.1, lower sperm density was noted in workers exposed to 0.8 mg/m³ AN compared with controls of approximate age range from the general population ($75 \times 10^6/\text{mL}$ vs. $140 \times 10^6/\text{mL}$) (Xu et al., 2003). DNA strand breakage was also detected in AN-exposed workers using single-cell gel electrophoresis, with the rate of comet sperm higher in the exposed workers than in the control (28.7 vs. 15%). The frequency of sex chromosome disomy was 0.69% in exposed groups and was higher than 0.35% in the control group. There were also significant differences in the frequencies of XX-, YY-, and XY-bearing sperm between exposed and control groups.

AN-induced effects on the male reproductive system were observed in a study in which CD-1 mice treated for 60 days with gavage doses of 10 mg/kg-day produced degeneration of the seminiferous tubules and altered testicular activities of several enzymes (SDH, acid phosphatase, LDH, and β -glucuronidase) (Tandon et al., 1988). Exposure-related increases in the incidence of lesions in male reproductive organs were not observed in 14-week or 2-year gavage bioassays with B6C3F₁ mice (NTP, 2001) or in the 2-year bioassays with Sprague-Dawley or F344 rats (see Table 4-58).

In a two-generation reproductive study of inhaled AN vapors in Crl:CD (SD) rats (Nemec et al., 2008), a decrease in sperm motility was observed in F0 and F1 males at 45 and 90 ppm (the decrease was statistically significant at 90 ppm). An exposure-related increase in normalized anogenital distance on PND 1 was observed in F1 males, and was statistically significant at 45 ppm and 90 ppm. Slight delays in the acquisition of sexual developmental landmarks and lower body weights on the day of acquisition were found in F1 males in the 45- and 90-ppm groups, and in F1 females in the 90-ppm group.

The potential male reproductive effect of AN may involve the distribution and metabolism of AN to CEO in the testis and interaction of CEO with tissue protein and DNA. Radiolabeled AN distributed to the rat testis after oral and i.v. administration (Ahmed et al., 1996a; Young et al., 1977). The testis has the capability to bioactivate AN (Abdel-Aziz et al., 1997). Thus, CEO can either be formed in the liver and transported to the testis or be formed in the testis in situ. AN has been reported to interact with testicular DNA in rats treated with a single 46.5 mg/kg oral dose (Ahmed et al., 1992b). Covalent binding of [2,3-¹⁴C]-AN-derived radioactivity to testicular DNA was maximal at 0.5 hours following administration, while DNA synthesis in testicular tissue was decreased (80% of control). In addition, testicular DNA repair was increased 1.5-fold at 0.5 hour and more than threefold at 24 hours after treatment (Ahmed et al., 1992b). Alkylating agents have the potential to produce infertility via destruction of dividing primary spermatogonia (Heinrichs and Juchau, 1980). Thus, any effect of AN on male reproductive tissue may be due to its interference with testicular DNA synthesis and repair processes. The consequence may be reproductive abnormalities as well as impact on altered heritability in offspring.

No mechanistic studies are available to elucidate the mode of action whereby AN induced ovarian atrophy and cysts in female mice chronically exposed by gavage to doses as low as 2.5 mg/kg-day (NTP, 2001).

The mild developmental effects associated with gestational exposure to 80 ppm AN by inhalation or 65 mg/kg-day by gavage (Murray et al., 1978) may be associated with the release of cyanide during maternal metabolism of AN. Concurrent administration of thiosulfate, a cyanide antagonist, was shown to protect against the malformations induced by i.p. injection of 80 mg/kg AN in hamsters (Willhite et al., 1981). However, Saillenfait et al. (1993) tested for relative developmental toxicities of eight aliphatic mononitriles and proposed that factors other than cyanide liberation from the nitrile may be involved, since teratogenicity of inhaled aliphatic mononitriles in rats could not be predicted based on the presence of a vinyl moiety in their molecular structure.

4.6.3.4. Hematological Effects

Farooqui and Ahmed (1983b) conducted work to elucidate the mechanism of the hematological effects of AN. Their findings pointed to substantial AN-induced covalent binding of CEO to RBCs, GSH depletion, increase in rate of RBC metabolism, and increase in the formation of two metabolic intermediates, ATP and 2,3-diphosphoglycerate, that regulate the oxygen dissociation curve. These authors suggested that chronic exposure to AN may lead to methemoglobinemia, damage to RBC membranes, and impaired delivery of oxygen to the tissues.

Oxidative stress and lipid peroxidation were also suggested as additional factors aiding in the destruction of RBCs, evidenced by a significant decrease of Na^+, K^+ -ATPase activity in isolated RBC membranes (Farooqui et al., 1990).

AN-Hb adducts have been measured and used as a marker of exposure in humans. AN can bind to amino acid residues other than cysteine. Thus, MacNeela et al. (1992) identified the N-terminal cyanoethyl-valine adduct of Hb (CEVal), the formation of which may have implications for the efficiency of oxygen transport to the tissues.

4.6.3.5. Immunological Effects

Zabrodskii et al. (2000) suggested a potential mechanism of action for the AN-induced DTH. They found that, in mice, AN reduced the number of esterase-positive splenocytes, the number of antibody-producing cells, and the inflammatory response induced by injection of SRBCs in the paws of animals. Treatment of the animals with an esterase activity-restoring drug restored the paw-response completely but not the numbers of immune-competent cells. However, a combination of the esterase-restoring drug and a cyanide-trapping drug restored immune function completely. Therefore, the study authors concluded that the immunotoxic effect of AN was due to combined inhibition of esterase and cytochrome c oxidase a_3 activities.

4.6.3.6. Covalent Binding to Sulfhydryl Groups

The capacity of AN to bind to proteins may be an important determinant of its toxicological effects. AN has been shown to have high affinity for cysteine residues on proteins and polypeptides. There is abundant evidence that AN will bind to the cysteine-bearing tripeptide, GSH, even without the contribution of enzymes such as GST. As discussed in Section 3, the formation of 2-(cyanoethyl)glutathione and the appearance of 2-(cyanoethyl)cysteine and N-acetyl 2-(cyanoethyl)cysteine in the urine have been taken as an indication of the ready interaction of AN and GSH. However, the presence of excess AN can cause GSH to become depleted. This will tend to channel AN into an oxidative reaction with CYP2E1 and result in formation of CEO and other products. Perturbing the balance between detoxification of AN with GSH and oxidation by CYP2E1 (for example, by blockade of CYP2E1) may facilitate the binding of AN to other cysteine-bearing proteins when GSH levels are low.

AN also has been shown to bind to the cysteine 186 residue of the enzyme CAIII in rat liver in vivo. Nerland et al. (2003) pointed out that this enzyme may play a role in protecting cells from oxidative stress. AN binding to this component, with possible conformational changes in tertiary structure and functionality, might abolish this protective ability and increase cell vulnerability to oxidative stress. In a similar study, Nerland et al. (2001) demonstrated that AN can bind also with high selectivity to cysteine 86 of GSTM1. However, in this case, the binding did not exert an effect on the catalytic activity of the enzyme.

4.7. EVALUATION OF CARCINOGENICITY

4.7.1. Summary of Overall Weight of Evidence

Following EPA's *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), AN is "likely to be carcinogenic to humans," based predominantly on consistent results showing that lifetime inhalation or oral exposure caused a statistically significantly increased incidence of tumors at multiple tissue sites in rats and mice. The most consistently observed tissue sites with tumors were the brain, forestomach, Zymbal gland in the ear canal in rats, and forestomach and ocular Harderian gland in mice. Acrylonitrile exposure was also associated with increased incidences of tumors of the mammary gland, intestine, and tongue in rats. In addition, rats exposed during gestation and throughout adulthood showed higher incidences of brain tumors, Zymbal gland tumors, extrahepatic angiosarcomas, and hepatomors than did rats with exposure throughout adulthood only.

In humans, the most extensive data available is for lung cancer. The largest and best-designed epidemiologic study (Blair et al., 1998) reported a RR of 1.2 (95% CI 0.9-1.6) for lung cancer. However, the association seen in the full study population would not be expected to correctly represent the association seen in the higher risk groups within a population with

varying levels of exposure. In the Blair et al. (1998) study, workers with the longest duration and highest exposures to AN had a two-fold increased risk of dying from lung cancer (i.e., RR 2.1, 95% CI 1.2-3.8). The observations of Blair et al. (1998) are supported by the patterns seen in analyses stratified by exposure level, latency period or age group in numerous, but not all, other studies (see Table 4-18).

U.S. EPA's *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a) indicate that for tumors occurring at a site other than the initial point of contact, the weight of evidence for carcinogenic potential may apply to all routes of exposure that have not been adequately tested at sufficient doses. An exception occurs when there is convincing information (e.g., toxicokinetic data) that absorption does not occur by other routes. For AN, systemic tumors were observed in rats and mice following oral and inhalation exposure. No animal cancer bioassay data following dermal exposure to AN are available. Based on the observance of systemic tumors following oral and inhalation exposure, and in the absence of information to indicate otherwise, it is assumed that an internal dose will be achieved regardless of the route of exposure. Therefore, AN is considered "likely to be carcinogenic to humans" by all routes of exposure.

The hypothesized mode of action for AN-induced tumors is through a mutagenic mode of action involving DNA modification by the reactive metabolite, CEO. The mutagenic mode of action for AN-induced tumors is considered to be relevant to humans. Other modes of action, including oxidative stress and inhibition of intercellular communication, may also contribute.

4.7.2. Synthesis of Human, Animal, and Other Supporting Evidence

Section 4.1.2.2 presents an historical perspective and evaluation of the epidemiologic studies of possible relationships between occupational exposure to AN and elevated risks for cancer. The early studies were of small cohorts with limited follow-up periods and limited assessment of actual exposures. Elevations for incidence and death from lung cancer and incidence of prostate cancer were sufficiently consistent among the early studies to provoke the conduct of several additional studies over a 20-year period. The later studies had increased power due to increased number of workers, longer periods of follow-up, increased sophistication and quantification of exposure assessments, or inclusion of information on smoking habits. Small excess risks for lung and prostate cancer were identified in a few of these studies, but consistently and statistically significantly elevated risks were not observed across studies (see Tables 4-3 and 4-9). Other studies reported small excess risks for other types of cancer (e.g., bladder, colon, and brain cancers), but these findings were even less consistent across studies than the findings for lung and prostate cancer (see Tables 4-8, 4-11, 4-12 and 4-13).

The most informative study in the later generation of studies was the large, well-documented study by Blair et al. (1998). This study examined a large cohort and attempted to adjust for known problems with earlier studies by quantifying exposures, estimating the effect of smoking, and using an internal control group of unexposed workers. The AN-exposed cohort as

a whole experienced fewer deaths from lung cancer than those expected from the experience of the general U.S. population (probably reflecting a healthy worker effect), but when the exposed workers were grouped into quintiles of cumulative exposure, the lung cancer rate in the highest quintile of exposure (>8 ppm-years) for those who were followed for 20 or more years was about two times the rate in the unexposed group of workers (RR = 2.1; 95% CI = 1.2–3.8).

Conclusions regarding the association between AN exposure and other cancer types (stomach, brain, breast) are limited due to the small number of site-specific cancer deaths (Blair et al., 1998).

As concluded in Section 4.1.2.2, there is no strong or consistent evidence from the body of epidemiologic studies that mortality from any type of cancer is elevated in groups occupationally exposed to AN at the levels that have been measured in workplaces that used this chemical. However, these epidemiology studies indicate a possible association between occupational exposure to AN and increased risk of lung cancer.

In rat and mouse bioassays, AN has been demonstrated to be a multiple-site carcinogen. Chronic oral exposure to AN induced tumors in the brain or spinal cord, Zymbal gland in the ear canal, the forestomach, and, to a lesser degree and less consistently, the female mammary gland, tongue, and intestine in several oral bioassays with F344 rats (Johanssen and Levinskas, 2002b; Biodynamics, 1980c) and Sprague-Dawley rats (Johanssen and Levinskas, 2002a; Quast, 2002; Biodynamics, 1980a, c; Quast et al., 1980a). In addition, lifetime inhalation cancer bioassays with Sprague-Dawley rats found exposure-related increased incidences of brain tumors, Zymbal gland tumors, intestinal tumors, malignant mammary gland tumors, and tongue tumors (Dow Chemical Co., 1992a; Maltoni et al., 1988, 1977; Quast et al., 1980b). Strong evidence exists for dose-response relationships for the carcinogenic responses in the CNS, Zymbal gland, and the forestomach of rats, especially in the lifetime drinking water studies with multiple exposure levels. The lowest drinking water concentrations associated with significant increased incidence of forestomach and brain tumors were 3 and 30 ppm, respectively, corresponding to daily doses of about 0.3 and 2.5 mg/kg-day in F344 rats (Johanssen and Levinskas, 2002b; Biodynamics, 1980c).

With chronic inhalation exposure of Sprague-Dawley rats, increased incidences of brain tumors occurred at air concentrations of 20 and 80 ppm, whereas the incidences of Zymbal gland tumors, mammary gland adenocarcinomas and intestinal tumors were increased only at the 80 ppm level (Quast et al., 1980b). In mice, a single gavage lifetime bioassay identified the forestomach and the Harderian gland as sites of tumor development, but elevated incidences of brain, Zymbal gland, or mammary gland tumors were not found (NTP, 2001). A significant increase in lung tumors was found in the female mid-dose group of exposed mice but not in the female high-dose group or in any of the male exposed groups. NTP (2001) concluded that the evidence for carcinogenicity in the mouse lung was equivocal; this conclusion is consistent with the small magnitude of the increase, lack of a monotonic dose-response relationship, and lack of

a demonstrated carcinogenic response in exposed male mice. No consistent evidence was found for carcinogenicity in the rat lung or the prostate or bladder tumors in rats or mice.

The possible human relevance of the rodent carcinogenic responses to AN is not fully understood. The brain is the only organ for which there is a direct human counterpart among rodent organs showing strong carcinogenic responses to AN, but the forestomach squamous epithelium and Zymbal gland have analogous tissues in humans. Although humans do not have a forestomach, the human oral cavity and upper two-thirds of the esophagus are lined with squamous epithelial cells morphologically similar to those in which tumors develop in rats, with the exception that the rat cells are keratinized and the human cells are not (Cohen, 2004; Wester and Kroes, 1988). Likewise, although humans do not have a Zymbal gland, a sebaceous gland in the ear canal, they do have sebaceous glands. In contrast, humans and other primates do not have tissue analogous to the Harderian gland, an ocular gland in rodents that secretes lipids and porphyrins (Cohen, 2004; Sheldon, 1994; Albert et al., 1986).

It has been suggested that most genotoxic forestomach carcinogens appear to act through a mutagenic mode of action (IARC, 2003). Given that mutagenic modes of carcinogenic action are plausible for AN or its metabolites (see Section 4.6.3), formation of tumors in these organs may be indicative of a more generic carcinogenic hazard (Cohen, 2004). Chemicals with a mutagenic mode of action are frequently observed to cause cancers in many sites in one species, as well as to have different sites of tumor formation in different species. IARC (2003) concluded that multi-site carcinogens that induce forestomach tumors and are genotoxic are likely relevant to human carcinogenesis. As stated in EPA's *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), site concordance is not always assumed between animals and humans. Therefore, it is reasonable to consider the tumor responses in these rodent organs as indicators of AN-induced carcinogenicity in humans.

The National Academy of Sciences (NAS) (2008) in its *Science and Decisions: Advancing Risk Assessment*, stated on page 143 that:

...the target organ in a rodent species, such as the forestomach or Zymbal gland, may not have an exact human counterpart. However, the presence of carcinogenic action in tissues for which there is no correspondence in humans or that may be regulated differently in humans does not mean that the toxicity or tumor finding in animals is irrelevant. That the rodent tissue is sensitive to the toxicant signifies that the toxicant MOAs operate in a mammalian system that has characteristics in common with similar or even not obviously related tissues in humans or human subpopulations. Because epidemiologic studies are often limited in their ability to explore outcomes related to workplace or environmental exposures, it is typically impossible to rule out the relevance of an effect seen in a particular rodent tissue unless there is detailed mechanistic information on why humans would not be affected (IARC, 2006). The finding that the high sensitivity of the rat Zymbal gland to benzene tumorigenesis occurs via an MOA (clastogenesis) similar to that which produces benzene-induced bone marrow toxicity and cancer in humans (Angelosanto et al., 1996) is an indication that a tissue that is specific to the rat can still provide important hazard and potency information related to human risk.

In general, tissues that are responsive to a toxicant should be considered relevant to human risk assessment unless mechanistic information demonstrates that the processes occurring in the tissues could not occur in humans.

Therefore, in the absence of data to indicate otherwise, EPA considers the tumors in rodents (e.g., forestomach and Zymbal gland tumors) to be relevant to humans.

4.7.3. Mode-of-Action Information

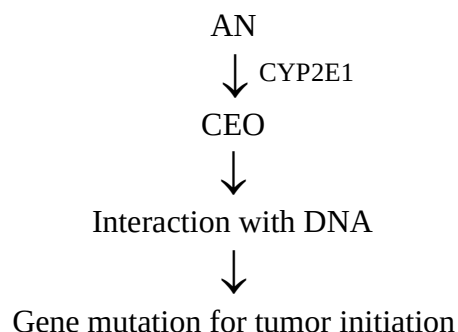
The U.S. EPA (2005a) *Guidelines for Carcinogen Risk Assessment* defines mode of action as a sequence of key events and processes, starting with the interaction of an agent with a cell, proceeding through operational and anatomical changes, and resulting in cancer formation. Mode of action is distinct from “mechanism of action,” a term that implies greater understanding and description of events, including those at the molecular level. Examples of possible modes of carcinogenic action include mutagenic, mitogenic, anti-apoptotic (inhibition of programmed cell death), cytotoxic with reparative cell proliferation, and immunologic suppression.

AN has been demonstrated to be a multiple-site carcinogen in rats and mice, inducing tumors in the forestomach, brain, and Zymbal gland in two strains of rats following chronic oral exposure; in the forestomach and Harderian gland in a mouse strain following chronic oral exposure; and in the forestomach, brain, Zymbal gland, intestinal tract, and tongue in one rat strain following chronic inhalation exposure. Carcinogenic responses have also been reported less consistently across studies in the female mammary gland and small intestine.

Several hypothesized modes of action by which AN causes cancer in the brain of rats have been investigated to varying degrees and are discussed within the context of the modified Hill criteria of causality as recommended in the *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a). These discussions are followed by a discussion of the possible mode of action involved in the development of forestomach tumors in rats. Modes of action by which AN may induce tumors in the lung, liver, Zymbal gland, Harderian gland, mammary gland, intestine, and tongue have received little or no investigation.

4.7.3.1. Hypothesized Mode of Action for Brain Tumors: Mutagenic Mode-of-Action Key Events

This mode of action hypothesizes that AN is first activated by CYP2E1 (mostly in the liver but also at other sites, such as intestinal mucosa or squamous epithelium of the forestomach) to its reactive metabolite, CEO. CEO is then distributed to target organs (e.g., rat brain) where it reacts with DNA, forming DNA adducts. DNA adduct formation results in genetic damage, especially the formation of point mutations. Other types of DNA damage by CEO are also observed in vivo, including DNA strand breaks, SCEs, and MN formation. Mutagenicity is a biologically plausible mechanism for tumor induction.



Following these key events, tumor growth may be promoted by any one or combination of a number of cell-signaling pathways, leading to enhanced cell proliferation or inhibition of programmed cell death.

4.7.3.2. Experimental Support for the Hypothesized Mode of Action

4.7.3.2.1. Strength, consistency, specificity of association. The evidence that supports a mutagenic mode of action is strong and consistent (as summarized in Table 4-54). In addition to positive findings in blood lymphocytes, buccal mucosal cells, and sperm in five epidemiologic studies, DNA alkylation by AN was found in numerous tissues in rats or mice (brain, liver, testes, forestomach, colon, kidney, bladder, and lung) treated with a single dose of AN. AN or its reactive metabolite CEO yielded positive results in in vitro mutation assays using bacteria, fungi, and insects, as well as animal and human cell cultures. The mutagenicity/genotoxicity is *specific* and occurs in the absence of cytotoxicity or other overt toxicity. Although the temporal relationship of adduct formation and mutagenicity with carcinogenicity has not been adequately explored, these effects are seen in short-term assays (before tumor formation). Dose-response concordance is observed between mutagenic doses in vivo and tumorigenic doses in rats and mice. A mutagenic mode of action also comports with notions of biological *plausibility* and *coherence* because AN is metabolized to an epoxide intermediate. Such agents are generally capable of forming DNA adducts, which in turn have the potential to cause genetic damage, including mutations, and mutagenicity, in its turn, is a well-established cause of carcinogenicity. This chain of key events is consistent with the current understanding of the biology of cancer.

The possible association between a mutagenic action of CEO, the epoxide metabolite of AN, and brain tumors in rats is supported by the detection of CEO in rat brains after oral administration of 10 mg/kg AN to rats (Kedderis et al., 1993b), reported binding of CEO to brain DNA after oral administration of [2,3-¹⁴C]-AN to rats (Farooqui and Ahmed, 1983a), results from studies of in vitro DNA reactivity, positive results from in vitro tests of mutagenicity and cytogenetic effects, and positive results from in vivo studies of DNA damage and repair assays and other genotoxic endpoints in rats and mice. Studies that demonstrate the mutagenicity of AN in occupational exposed workers are also available.

In the following subsections, experimental evidence supporting the mutagenic mode of action of AN using different test systems is summarized.

In vitro DNA binding

AN itself reacts very slowly with DNA in vitro at very high, nonphysiological concentrations (>1 M) (Solomon et al., 1984), but CEO forms different adducts more rapidly in vitro with DNA (Solomon et al., 1993; Yates et al., 1993) or nucleotides (Yates et al., 1994) (see Section 4.5.1.2.1). When calf thymus DNA was incubated with CEO for 3 hours (Solomon et al., 1993), the main adducts formed included N⁷-(oxoethyl)guanine, N³-(2-hydroxy-2-carboxy-ethyl)deoxyuridine, and smaller amounts of adenine and thymine adducts. Yates et al. (1993) also identified the formation of N³-(2-cyano-2-hydroxyethyl)deoxythymidine when CEO was incubated with calf thymus DNA in vitro.

Mutations in bacteria

In short-term tests with bacteria, AN induced mutations in a majority of test systems, often requiring the presence of exogenous metabolic systems (Table 4-54). AN induced mutation in *S. typhimurium* strains TA 1530, 1535, 1538, 1937, and 1950 with strong responses when AN was tested in the vapor phase in the presence of S9. AN induced a weak response for TA 98, 100, and 1978. Thus, AN induced gene reversion mainly by base substitution and induced lower mutagenic activity with strains reversed by frameshift mutation. AN also produced a dose-related increase in the number of revertant colonies compared with untreated bacteria in *E. coli* WP2 (which is DNA repair proficient), WP2uvrA (which lacks excision repair), and WP2 *uvrApolA* (which lacks both excision repair and DNA polymerase 1) without a need for S9 fraction (Venitt et al., 1977).

Mutations in fungi and Drosophila

As shown in Table 4-54, AN induced mitotic gene conversion in *S. cerevisiae* JD1 (Brooks et al., 1985). AN also induced sex chromosome loss in the adult female *Drosophila* ZESTE system (Osgood et al., 1991), as well as somatic recombination and mutation in hatching *Drosophila* with exposure in the larvae stage (Vogel, 1985; Würigler et al., 1985).

Mutations in mammalian cell culture

AN also induced mutations in mammalian cells in vitro. In the mouse lymphoma cell assay, AN induced forward mutations at the *Tk*^{+/-} locus in most assays (Table 4-54). In human lymphoblastoid *Tk6* cells devoid of CYP450 activity, AN induced mutations at the *Tk* locus only in the presence of an exogenous S9 metabolic system (Crespi et al., 1985), and CEO was effective at 10-fold lower concentrations than AN (Recio and Skopek, 1988a, b).

Characterization of the Tk^{-} mutants in human lymphoblastoid $Tk6$ cultures by Recio and Skopek (1988b) identified two classes of CEO-induced $Tk^{-/-}$ mutant phenotypes that differed in their growth rates. CEO induced predominantly Tk_n mutant with normal growth rate and Tk_s with slower growth rate. Southern blot analysis of CEO-induced Tk_n mutants indicated that the majority of these mutants were below the detection limit of <2 kb. Thus, CEO-induced alterations are relatively small DNA alterations. Recio and Skopek (1988a) suggested that CEO induced Tk_n mutants resulted from point mutations or small insertions/deletions that occurred during the replication or repair of CEO-modified DNA. Kodama et al. (1989) conducted cytogenetic analyses of eight CEO-induced Tk_s mutant clones reported in Recio and Skopek (1988a, b). A visible abnormality on chromosome 17 was found in one of the CEO-induced Tk_s mutants and was marked by duplication of the long arm of chromosome 17, with break points at q11 and q21. The latter break point was close to the Tk locus, suggesting that the observed aberration might be associated with $Tk^{-/-}$ phenotype.

CEO also induced mutations at the $hprt$ locus in $Tk6$ cells (Recio and Skopek, 1988a). Characterization of the $hprt$ mutations by cDNA sequencing analysis indicated that several $hprt$ mutations were formed. The majority of CEO-induced mutations were the specific loss of exons from the coding region of $hprt$. Remaining mutations were single base substitutions (point mutation) resulting from amino acid changes (A:T base pairs and G:C base pairs).

Cytogenetic effects in vitro

Additional evidence of mutagenicity included AN-induced cytogenetic changes, such as SCEs or CAs in a majority of assays with CHO or CHL cells (Natarajan et al., 1985; VedBrat and Williams, 1982; Ishidate et al., 1981) and human lymphocytes in vitro (Perocco et al., 1982) but not in epithelial-like cells from rat liver (RL4 cell line) (see Table 4-54). AN also induced DNA single-strand breaks in rat hepatocytes (Bradley, 1985) and CHO cells (Douglas et al., 1985). Of note is that AN induced SCEs and DNA single-strand breaks in human bronchial epithelial cells in culture without S9 (Chang et al., 1990), indicating that human bronchial epithelial cells have the metabolic capabilities to activate AN to CEO.

DNA repair in vitro

In DNA repair assays, neither AN nor CEO induced UDS in cultured rat hepatocytes (Butterworth et al., 1992; Probst and Hill, 1985; Williams et al., 1985) (as measured by incorporation of [^3H]-thymidine and autoradiographic techniques) or in HeLa cells with or without the presence of S9 (using liquid scintillation spectrometry) (Martin and Campbell, 1985). However, IPCS (1985) concluded that the rat hepatocyte autoradiographic UDS assay was too insensitive for determination of genotoxicity of the eight tested carcinogens, including AN. On the other hand, CEO, but not AN, induced UDS in human mammary epithelial cells in vitro (Butterworth et al., 1992).

DNA repair in vivo

Unscheduled DNA repair activity was detected by following the time course of [^3H]-thymidine incorporation into DNA isolated from lung (Ahmed et al., 1992a), testis (Ahmed et al., 1992b), and gastric tissue (Ahmed et al., 1996b; Abdel-Rahman et al., 1994a) after exposure of Sprague-Dawley rats to single oral doses of 46.5 mg/kg AN. In a study by Hogg and Guengerich (1986), UDS was found in the liver of male F344 rats administered 50 mg/kg AN or 6 mg/kg CEO i.p. but was not found in the brain. The absence of detected UDS in the brain could reflect the absence of DNA damage. Alternatively, this absence could reflect differences in the repair rate of CEO-DNA adducts and could account for the observed target organ specificity of AN in rat brain but not liver. Since the difference in DNA repair rates in brain and liver is well known (Kleihues et al., 1977), the second explanation may be more sound. In another study, UDS activity was not detected by autoradiographic techniques following incubation of primary cultures of hepatocytes or spermatocytes from F344 rats given single oral doses of 75 mg/kg or five daily doses of 60 mg/kg-day with [^3H]-thymidine (Butterworth et al., 1992). This difference in results from those by Hogg and Guengerich (1986) was probably due to differences in methodology.

DNA binding in vivo

Several studies examining the amounts of radioactivity in DNA fractions of tissues following acute in vivo exposure of rats to radiolabeled AN provide evidence of in vivo DNA reactivity of AN or its metabolites. Maximal amounts of radioactivity covalently bound to hydroxyapatite-purified DNA from the liver, stomach, and brain showed the following order 24 hours after male Sprague-Dawley rats were given single oral doses of 46.5 mg/kg [2,3- ^{14}C]-AN: brain (≈ 120 pmol AN equivalent/mg DNA) > stomach (≈ 80 pmol/mg) > liver (≈ 25 pmol/mg) (Farooqui and Ahmed, 1983a). Other studies from the same group of investigators found elevated covalent binding of radioactivity in gastric, testicular, and lung DNA from similarly exposed rats (Abdel-Rahman et al., 1994b; Ahmed et al., 1992a, b). The results from these studies provided some evidence of associations between covalent DNA binding following acute exposure and sites of tumor development following chronic exposure. The methods to isolate DNA in these studies may not have been stringent enough to exclude covalent binding of radiolabel to proteins (Whysner et al., 1998b; Geiger et al., 1983); however, the degree to which these alternative processes may have contributed to the measured amounts of radioactivity in the DNA fractions is unknown. When 0.6 mg/kg [2,3- ^{14}C]-CEO was administered to one F344 rat i.p., covalent binding to both liver and brain protein was found, but no covalent binding to both liver and brain nucleic acids could be detected at the level of 0.3 alkylations per 10^6 base (Hogg and Guengerich, 1986). However, the N⁷-(2-oxoethyl) guanine adduct was detected in liver DNA of F344 rats treated with 6 mg/kg CEO or 50 mg/kg

AN i.p. in the same experiment. Thus, covalent binding of CEO to DNA had to occur. No DNA binding was detected in the Hogg and Guengerich (1986) study, presumably due to the small DNA sample from one rat, low CEO concentration (0.6 vs. 6 mg/kg CEO), stringent DNA isolation procedure, and overcorrection of protein binding.

DNA adducts in vivo

Limited attempts to detect CEO-DNA adducts in brain tissues following acute in vivo exposure protocols have been largely unsuccessful, but in vitro studies indicate that the formation of other DNA adducts from AN and its metabolites are possible (Yates et al., 1994; Solomon et al., 1993; Hogg and Guengerich, 1986; Solomon et al., 1984). N⁷-(2-oxoethyl)guanine, a CEO-DNA adduct formed in vitro following incubation of calf thymus DNA with CEO, was detected in DNA isolated from the livers of male F344 rats, following single i.p. administration of doses of 50 mg/kg AN or 6 mg/kg CEO, but was found only equivocally at detection limit in DNA isolated from the brains of exposed rats (Hogg and Guengerich, 1986). In another study, 7-(2-cyanoethyl)guanine and O⁶-(2-cyanoethyl)deoxyguanosine adducts were not detected in DNA isolated from the liver or brain of male F344 rats given s.c. or i.v. injections of 50 or 100 mg/kg AN (Prokopczyk et al., 1988). The DNA samples were analyzed for the presence of the two adducts using HPLC with fluorescence detection. The detection limits were 20 µmol/mol guanine for 7-(2-cyanoethyl)guanine and 15 µmol/mol guanine for O⁶-(2-cyanoethyl)deoxyguanosine. These detection limits may be not sensitive enough. More importantly, these two DNA adducts were not formed from incubation of CEO with calf thymus DNA in vitro. Hence, the limitations of these studies were that the studies were not looking for all the major adducts formed in in vitro incubation of CEO with DNA.

As discussed previously, the main adducts found after 3 hours of incubation of CEO with calf thymus DNA were N⁷-(oxoethyl)guanine, N³-(2-hydroxy-2-carboxyethyl)deoxyuridine (Solomon et al., 1993), and N³-(2-cyano-2-hydroxyethyl)deoxythymidine (Yates et al., 1993). Yet only N⁷-(oxoethyl)guanine has been measured in available studies. Thus, it is highly likely that the actual adducts formed from interaction of CEO with brain DNA have not yet been looked for. It is also likely that the analytical methods used to measure DNA adducts in available studies were not sensitive enough for their detection. In addition, DNA adducts were measured only in single-dose studies not repeated-dose studies.

DNA damage in rats and mice

Evidence of DNA damage after AN exposure in rats and mice is available. Comet assays showed DNA damage in various tissues in both rats and mice exposed to AN (Sekihashi et al., 2002). Single i.p. injections of 20 mg/kg AN induced DNA damage in forestomach, bladder, and brain but not in colon, liver, kidney, or bone marrow of ddY mice, whereas single doses of

30 mg/kg induced DNA damage in forestomach, colon, kidney, bladder, and lung but not in brain or bone marrow of Wistar rats (Sekihashi et al., 2002).

Increased frequencies of MN in bone marrow were found in Sprague-Dawley rats, following i.v. injection of 98 or 124 mg/kg AN (Wakata et al., 1998) but were not found in Sprague-Dawley rats following administration of oral doses up to 40 mg/kg (Morita et al., 1997) or in male CD-1 mice following administration of oral, i.p., or i.v. doses up to 45 mg/kg (Morita et al., 1997). Increases in CAs in bone marrow cells were not found in Swiss albino mice exposed to oral doses up to 20 mg/kg-day for 4, 15, or 30 days (Rabello-Gay and Ahmed, 1980); in Sprague-Dawley rats given 16 daily doses of 40 mg/kg-day (Rabello-Gay and Ahmed, 1980); in NMRI mice given single i.p. doses of 30 mg/kg (Leonard et al., 1981); or in ICR mice exposed in inhalation chambers to 20 or 100 mg/m³ for 5 days (Zhurkov et al., 1983). In contrast, Fahmy (1999) reported that increased CAs occurred in spermatocytes of Swiss mice following single oral doses of 15.5 or 31 mg/kg AN or five daily doses of 7.75 mg/kg and in spleen cells and bone marrow cells after a single oral dose of 7.75 mg/kg.

Dominant lethal mutations in rats and mice

Dominant lethal mutations were not increased by treating male NMRI mice with single i.p. doses of 30 mg/kg AN (Leonard et al., 1981) or male F344 rats with five oral doses of 60 mg/kg-day AN (Working et al., 1987), indicating no AN-induced germ cell mutations.

Chromosomal mutations in humans

There is evidence of AN-induced mutagenicity in occupationally exposed workers. Fan et al. (2006) reported significant increase in the occurrence of MN in buccal mucosal cells of workers exposed to 0.52 or 1.99 mg/m³ AN for an average duration of 15.7–17.2 years and significant increase in MN in peripheral blood lymphocytes in workers exposed to 1.99 mg/m³ AN for an average of 17.2 years. The workers in the Fan et al. (2006) study were exposed to higher concentrations than those in a previous study by Srám et al. (2004), in which the exposure concentration range was 0.05–0.3 mg/m³ AN, thus explaining the negative results reported in Srám et al. (2004) or in other studies where exposure concentrations were not reported. Evidence of CAs in AN-exposed workers was also reported by Borba et al. (1996), and DNA strand breakage and sex chromosome aneuploidy in the sperm of AN-exposed workers were reported by Xu et al. (2003). In addition, Beskid et al. (2006) reported an increase in the number of reciprocal translocations and the relative number of insertions in the chromosomes of cultured lymphocytes of AN-exposed male workers.

Therefore, the overall in vitro and in vivo evidence in support of the mutagenicity of AN is strong, consistent, and specific.

4.7.3.2.2. Dose-response concordance. Chronic exposures of rats to AN in drinking water concentrations ≥ 30 ppm (≥ 2.5 mg/kg-day) or air concentrations ≥ 20 ppm were associated with significantly increased incidences of brain tumors. No published studies are available that have measured CEO-DNA adducts or other endpoints pertinent to mutagenicity in brain tissues following acute-, subchronic-, or chronic-duration oral or inhalation exposures of rats to AN. The available in vivo DNA adduct studies were conducted before the Solomon et al. (1993) and Yates et al. (1993) studies that reported on DNA adducts formed from CEO in vitro. Hence, these DNA adduct studies were not looking for the adducts formed in vitro from CEO. The available studies that looked for CEO-DNA adducts in brain or other tissues involved single i.p. (Hogy and Guengerich, 1986), s.c., or i.v. (Prokopczyk et al., 1988) administration protocols at dose levels (50 or 100 mg/kg-day AN) that were higher than the chronic oral doses associated with brain tumors in rats (0.3 to 40 mg/kg-day). However, no repeated-dose studies have been conducted for detection of DNA adducts. The single oral dose of 46.5 mg/kg used in studies that demonstrated UDS in the lung, gastric tissue, and testis of treated Sprague-Dawley rats (Abdel-Rahman et al., 1994b; Ahmed et al., 1992a, b) was comparable to the high dose of 40 mg/kg-day used in studies by Friedman and Beliles (2002), providing evidence that the dose that caused DNA repair was carcinogenic.

A study in mice by Fahmy (1999) showed that increased CAs occurred in spermatocytes of Swiss mice following single oral doses of 15.5 or 31 mg/kg AN or five daily doses of 7.75 mg/kg, and in spleen cells and bone marrow cells after a single oral dose of 7.75 mg/kg. Fahmy (1999) also reported increases in SCEs in bone marrow cells of male Swiss mice after a single i.p. dose of 7.5 or 10 mg/kg of AN. These doses were all in the range of, or comparable to, the tumorigenic doses in B6C3F₁ mice of 2.5–20 mg/kg-day in a 2-year bioassay.

The single doses employed by Sekihashi et al. (2002) that demonstrated DNA damage in forestomach, colon, kidney, bladder, and lung of rats treated with 30 mg/kg i.p. and in colon, bladder, lung, and brain of male ddY mice treated with 20 mg/kg i.p. were also within the range of tumorigenic doses in the 2-year bioassay.

Therefore, the doses that demonstrated DNA damage or chromosome mutations in single-dose studies are in concordance with tumorigenic doses in chronic bioassays.

4.7.3.2.3. Temporal relationships. Currently available examinations of DNA damage, chromosome mutations, SCEs, UDS, or CEO-DNA adducts in brain or other tissues are restricted to single-dose acute administration protocols. Studies designed to examine temporal relationships of key events, such as the presence of CEO-DNA adducts in brain tissue, are not available. Nevertheless, results from the rat bioassays indicated that most AN tumors occur after 12–14 months of exposure or longer. Thus, the observed mutagenic effects of AN occurred before tumor formation.

4.7.3.2.4. Biological plausibility and coherence. The hypothesis that the key primary event in AN induction of brain tumors is the formation of CEO-DNA adducts that leads to mutations that initiate tumor formation is plausible based on in vitro and in vivo evidence for the mutagenicity of the AN metabolite, CEO. However, the available data do not establish that this is the only mode of action by which AN may induce brain tumors in rats. Notably lacking in the database are studies designed to detect a range of DNA adducts or mutations associated with tumor initiation in brain tissues following prolonged oral or inhalation exposures at levels that induced brain tumors in the chronic rat bioassays. In vitro studies by Solomon et al. (1993) revealed that CEO interacted with calf thymus DNA and, in addition to N⁷-(oxoethyl)guanine, formed N³-(2-hydroxy-2-carboxyethyl)deoxyuridine from an initial cytosine adduct. Other adenine and thymine adducts were also formed. Yates et al. (1993) demonstrated that CEO reacted calf thymus DNA formed N³-(2-cyano-2-hydroxyethyl)-deoxythymidine. Yet, only N⁷-(oxoethyl)guanine has been measured in exposed rats in available studies (Hogy, 1986).

The available data do not provide an explanation of why AN induces brain tumors in F344 and Sprague-Dawley rats but not in B6C3F₁ mice. Kedderis et al. (1993b) reported that when male F344 rats and male B6C3F₁ mice were administered 10 mg/kg AN in water by gavage, higher CEO concentrations were found in blood and brains of rats than in mice (13% higher in blood, 23% higher in brain). Higher CEO concentrations in rat brain may at least partially explain why tumors were only found in the brains of exposed rats but not in mice. In addition, the clearance of CEO in mice was more rapid than in rats (Roberts et al., 1991). It may also be that mice are more efficient in repairing DNA damage since DNA damage has been detected in the brain of ddY mice exposed to 20 mg/kg AN, i.p. (Sekihashi et al., 2002). It has been noted that the B6C3F₁ mouse is generally insensitive to chemically induced neurogenesis in NTP carcinogenesis bioassays (Radovsky & Mahler, 1999).

The difference in susceptibility to AN induction of brain tumors between mice and rats is similar to that observed for glycidol, an aliphatic epoxide structurally similar to CEO (Irwin et al., 1996). Glycidol is a direct acting alkylating agent, which induces tumors at a variety of sites in both rats and mice. However, although significant induction of gliomas was observed in both sexes of F344 rats after glycidol treatment, no brain tumors were induced in B6C3F₁ mice. Ethylene oxide also shows a similar pattern of tumorigenesis, inducing brain tumors in both sexes of exposed rats (Garmin et al., 1985; 1986), but no brain tumors in exposed mice (NTP, 1987). Thus, induction of brain tumors in rats but not in mice by known genotoxic carcinogens appears to reflect primarily a species difference in inherent susceptibility to brain tumorigenesis.

Data for another chemical, ethylene oxide, that causes brain tumors (gliomas) in rats show a different accumulation pattern for N⁷-(2-oxoethyl)guanine adducts than that observed following i.p. administration of AN or CEO. In rats exposed to 500 ppm ethylene oxide for 1 day, higher levels of N⁷-(2-oxoethyl)guanine adducts were detected in DNA from brain than in DNA from liver (Walker et al., 1990), whereas N⁷-(2-oxoethyl)guanine adducts were detected in

brain at detection limit and only at low levels in the liver of rats given single i.p. injections of 50 mg/kg AN or 6 mg/kg CEO (Hogy and Guengerich, 1986). Whysner et al. (1998b) suggested that these and other results indicated that glioma formation from chronic exposure to AN may not involve the formation of N⁷-(2-oxoethyl)guanine adducts; however, several alternative explanations are possible. The lack of unequivocal detection of CEO-DNA adducts in rat brain may indicate that the detection limits of the methods used were not sensitive enough. More sensitive methods of detection, such as liquid chromatography-mass spectrometry (Poirier, 2004), have not been used in AN-induced DNA adduct studies. Alternatively, the stringent methods used to isolate purified DNA (without associated proteins) in the experiments by Hogy and Guengerich (1986) may have caused the loss of adducts or inhibited the recovery of adducted DNA (Meek et al., 2003). Another possibility is that N⁷-(2-oxoethyl)guanine adduct may not be involved in mutations leading to AN-induced brain tumors, and other, as yet uninvestigated, DNA adducts that were reported by Solomon et al. (1993) and Yates et al. (1993) may be involved. Still another possibility is that CEO-DNA adducts and resultant mutations in target tissues may occur only after prolonged exposure to AN. Notably absent from the available mode-of-action database are experiments designed to detect a range of possible DNA adducts in target tissues following repeated oral or inhalation exposure at exposure levels producing tumors in the chronic bioassays.

Guengerich et al. (1986) discussed the findings in which AN was metabolized by liver microsomes but not brain microsomes to form CEO. N⁷-(2-oxoethyl)guanine DNA adducts were detected in liver but not the brain, yet AN induced tumors in the rat brain with chronic exposure but not in the adult rat liver. In addition, AN-induced UDS was demonstrated in rat liver but not brain (Hogy and Guengerich, 1986). Guengerich et al. (1986) proposed that AN was metabolized in the liver to CEO. Since CEO formed by liver microsomes from AN has a half-life of about 2 hours in neutral buffer, it can be transported easily via blood from liver to the brain. Although DNA adducts have not yet been detected unequivocally in rat brains, CEO has been measured in rat brains (Kedderis et al., 1993b) and shown to bind to brain DNA (Farooqui and Ahmed, 1983b). Liver cells are efficient in repairing DNA damage via UDS while brain cells do not have this capability, and may explain why the rat brain but not the adult rat liver is a target tissue of AN carcinogenicity.

Meek et al. (2003) noted that there are several aspects of the development of AN-induced tumors that are characteristic of tumors induced by compounds or metabolites that directly interact with DNA. These comparisons add support to the evidence of a mutagenic mode of carcinogenic action.

- *Tumors are systemic and occur at multiple sites.* Exposure-related increased incidences were found for forestomach tumors, CNS tumors, and Zymbal gland tumors in chronic oral exposure bioassays with F344 rats and Sprague-Dawley rats (which also showed an exposure-related increased incidence of tongue tumors); for forestomach and Harderian

gland tumors in a chronic oral exposure bioassay with B6C3F₁ mice; for brain tumors, Zymbal gland tumors, intestinal tumors, and tongue tumors in a chronic inhalation exposure bioassay with Sprague-Dawley rats; and for brain tumors, Zymbal gland tumors, hepatomas, and extrahepatic angiosarcomas in a chronic inhalation bioassay with Sprague-Dawley rats exposed during gestation and extending throughout adulthood. Particularly noteworthy is that Zymbal gland tumors in rats commonly occur with carcinogens that are also mutagens. Of the 27 chemicals associated with site-specific tumor induction in this gland found in NTP database, 23 were mutagenic in the Salmonella assay. The NTP database indicated that these chemicals were multisite carcinogens. Melnick (2002) observed that epoxide-forming chemicals usually induced Zymbal gland and brain tumors in rats; lung, liver, Harderian gland, and circulatory systems in mice; and mammary gland and forestomach tumors in both species. Hence, AN induced tumors at sites consistent with other DNA reactive, epoxide-forming chemicals.

- *Tumors sometimes occur at nontoxic doses or concentrations.* Elevated incidences for CNS tumors occurred in Sprague-Dawley (Quast, 2002; Quast et al., 1980a) and F344 (Johannsen and Levinskas, 2002b; Biodynamics, 1980c) rats chronically exposed to drinking water concentrations (30 or 35 ppm) that did not induce elevated incidences of nonneoplastic CNS lesions in interim sacrifices at 6, 12, or 18 months.
- *Tumors developed as early as 7–12 months following AN exposure.* In a chronic drinking water bioassay, CNS tumors were observed in Sprague-Dawley female rats treated with 300 ppm AN as early as 0–6 months (1/1 [0–6 months], 5/13 [7–12 months], 14/23 [13–18 months], and 11/11 [19–24 months]) (Quast, 2002). Nearly all tumors in female rats treated with 35 or 100 ppm AN and male rats from each treatment group were detected after 13 months of exposure (Quast, 2002). The time of first detection of brain tumors was approximately 16 months in a chronic drinking water bioassay in F344 rats (481 days for males and 495 days for females) (Johannsen and Levinskas, 2002b; Biodynamics, 1980c). In a three-generation reproductive toxicity study, incidences of brain tumors were 0/19, 1/20, and 2/24 in F0 breeding females treated with 1, 100, or 500 ppm AN, respectively, in drinking water for 48 weeks (approximately 12 months). In the other generations, incidences of brain tumors were 0/20, 1/19, and 4/17 for the F1 breeding females and 0/20, 1/20, and 1/20 for the F2 breeding females under the same exposure conditions (Friedman and Beliles, 2002). The available evidence indicates that most AN-induced brain tumors require at least a half-lifetime duration of exposure to develop, but tumors in AN-exposed animals have been observed following shorter exposure durations, specifically in female Sprague-Dawley rats exposed to 300 ppm in drinking water.

- *The ratio of benign to malignant tumors is small.* The brain tumors noted in AN-exposed Sprague-Dawley or F344 rats were astrocytomas, which are malignant tumors. Most of the Zymbal gland tumors were also malignant tumors.

4.7.3.2.5. Human relevance. The postulated key events, the metabolism of AN to the DNA-reactive compound, CEO, and the alteration of the genetic material leading to tumor-inducing mutations, are both possible in humans. The metabolic scheme of AN in rats and humans is similar. Humans are known to be able to activate AN to its reactive metabolite, CEO. As discussed in Section 4.5.2.1, studies that demonstrate the mutagenicity of AN in humans are available. There is evidence for mutagenicity of AN in exposed humans. Thus, the mutagenic mode of action of AN-induced carcinogenicity is considered to be relevant to humans.

4.7.3.3. Other Possible Modes of Action

Other modes of action may contribute, along with the mutagenic mode of action, to tumorigenesis. These additional modes of action are evaluated in the following subsections. The results of these evaluations indicate that these modes of action are not likely to be principal modes of action or to contribute to the carcinogenicity of AN in a significant manner.

4.7.3.3.1. Oxidative stress

Key events

This mode of action hypothesizes that ROS are generated either directly from the oxidant or are indirectly produced via activation of endogenous sources when the oxidant or its metabolite is distributed to the target organ. Oxidative stress is induced. These free radical ROS can interact with DNA and produce DNA damage leading to gene mutation for tumor initiation. ROS can also interact with lipids via lipid peroxidation, resulting in cell damage.

One of the most prevalent biomarkers of oxidative DNA damage is 8-oxodG. This DNA lesion has been found to produce mutations involving GC→TA transversions due to base mispairing and AT→CG transversions due to misincorporation during DNA synthesis (Cheng et al., 1992). G-C base pairs provide a common target for activating point mutations (e.g., in both *p53* and retinoblastoma tumor suppressor genes and in the *ras* family of oncogenes). Thus, G-C base pairs in both tumor suppressor genes and oncogenes may represent a vulnerable target for mutation by oxidative stress (Guyton and Kensler, 1993). The induction of base changes in the DNA sequence of these genes may be the basis for tumor initiation by the oxidant.

Another role that oxidants may play in carcinogenesis is tumor promotion. ROS generating systems are known to possess some of the biochemical actions of tumor promoters, such as promoting a rapid and sustained decrease in antioxidant defenses, including SOD, catalase, and glutathione peroxidase activities (O'Connell et al., 1986; Slaga et al., 1981). Tumor growth may also be promoted by oxidative stress via modification of gene expression

through induction of gene transcription factors (e.g., NF κ B or transcription factor protein [AP-1]) or change in DNA methylation status by ROS. Signal transduction pathways, including AP-1 and NF κ B, are known to be activated by ROS, and they lead to the transcription of genes involved in cell growth regulatory pathway. Oxidative DNA damage can also result in DNA hypomethylation by interfering with the ability of methyltransferases to interact with DNA, allowing the expression of normally quiescent genes and promoting tumor growth. ROS can also induce the release of calcium from intracellular stores, resulting in the activation of kinases, including PKC (Larsson and Cerrutti, 1989), which is known to regulate many intracellular processes, including those related to growth and differentiation. Hence, any one or a combination of these events may lead to enhanced cell proliferation or inhibition of programmed cell death.

The plausibility of an oxidative stress-related mutagenicity mode of action for AN-induced tumors is evaluated in the following discussion.

Strength, consistency, specificity of association

Experimental data for oxidative stress-related mutagenicity of AN are discussed in Section 4.5.2.5 and summarized in Table 4-56. These studies are only briefly discussed here.

In vitro studies

There is some in vitro experimental evidence that supports an oxidative stress-related mode of action for AN. When SHE cells were treated in vitro with 0–75 μ g/mL AN in 12.5 μ g/mL increments, there was a dose-dependent increase in morphological transformation at 50, 62.5, and 75 μ g/mL after 7 days of exposure (Zhang et al., 2000). Levels of 8-oxodG isolated from cells incubated with 75 μ g/mL AN were increased to 192 and 186% of control after 2 and 3 days, respectively; however, no increase in 8-oxodG was observed after 1 or 7 days.

AN-induced oxidative stress in SHE cells was confirmed in a later study by Zhang et al. (2002). AN at 25, 50, or 75 μ g/mL increased the amount of ROS in SHE cells after 4, 24, and 48 hours of treatment and increased xanthine oxidase activity 24 and 48 hours after treatment with 75 μ g/mL AN. AN also caused temporal changes in GSH levels and antioxidant enzyme catalase and SOD activities. The involvement of CYP450 metabolism of AN in the production of oxidative stress was indicated by the observation that inclusion of a nonspecific suicidal inhibitor of CYP450 enzyme, ABT (0.5 mM), in the medium resulted in a significant reduction (about 77%) in the cell transformation activity of 75 μ g/mL AN (Zhang et al., 2002).

In another study, when cultured rat astrocytes were exposed for 4 or 24 hours to 0.01, 0.1, or 1 mM AN, up to a 3.9-fold increase in 8-oxodG was found in cellular DNA of the rat astrocytes (Kamendulis et al., 1999a). No increase in 8-oxodG was found in rat hepatocytes exposed to 0.01, 0.1, or 1 mM AN for 4 or 24 hours (Kamendulis et al., 1999a). Pu et al. (2006) also reported a 3-fold increase in oxidative DNA damage (as measured by the fpg-modified

comet assay) in cultured D1TNC1 rat astrocytes treated with 1 mM AN for 24 hours. When NHAs were treated with 200–400 μ M AN for 12 hours, a four- to sevenfold increase in the generation of ROS and a greater than twofold increase in 8-oxodG were observed (Jacob and Ahmed, 2003b).

In vivo studies

Three studies in rats are available that investigated oxidative DNA damage in the brain after exposure to AN in drinking water (Pu et al., 2009; Jiang et al., 1998; Whysner et al., 1998a). A two- to threefold increase in 8-oxodG levels was found in cellular DNA from brain cortex of Sprague-Dawley rats exposed to 50, 100, or 200 ppm AN in drinking water for 28 or 90 days (Jiang et al., 1998). Levels of 8-oxodG in DNA increased with increasing exposure levels in this study. At 90 days, levels of 8-oxodG were more than twofold higher in DNA from 50 ppm rat brain cortex compared with controls (Jiang et al., 1998). No increased levels of 8-oxodG were found in the liver DNA of exposed rats at all dose levels following 14, 28, or 90 days of exposure (Jiang et al., 1998). The liver is not a target organ for AN-induced carcinogenicity in adult rats.

In a follow-up study, Pu et al. (2009) reported an increase in 8-oxodG levels in brain and WBC DNA of male Sprague-Dawley rats exposed to 100 or 200 ppm AN in drinking water for 28 days. A dose-dependent increase in DNA damage in the brain and WBCs of the rats as detected by the fpg-modified comet assay was also reported. Cotreatment with 200 ppm NAC blocked the increase in 8-oxodG levels and DNA damage in both brain and WBCs. Pu et al. (2009) concluded that AN induced oxidative DNA damage in the treated rats, and that the antioxidant action of NAC prevented the oxidative damage when coadministered with AN.

Certain issues associated with the study design and analytical methods used by Pu et al. (2009) influence the interpretation of the reported findings. As a means to measure oxidative DNA damage, the fpg-modified comet assay has limited specificity; the assay has been shown to detect oxidative and alkalative DNA damage (Smith et al., 2006; Speit et al., 2004). The fpg-modified comet assay has been shown to be especially sensitive for the detection of DNA damage by N-7 guanine alkylation, which was responsible for the observed DNA damage by alkylating agents methylmethanesulfonate (MMS) and ethylmethanesulfonate (EMS) (Speit et al., 2004). N-7 guanine adduct was detected in liver and brain (at the limit of detection) of AN-treated rats. Therefore, the DNA damage observed by Pu et al. (2009) using the fpg-modified comet assay may be a combination of oxidative DNA damage and damage resulting from N-7 guanine alkylation. Additionally, NAC was used by Pu et al. (2009) to demonstrate the effect of an antioxidant to protect against observed DNA damage. NAC is a precursor of GSH. GSH conjugates with AN to form N-acetyl-S-(2-cyanoethyl)cysteine, which can then be excreted in the urine, thereby reducing DNA damage by reducing the availability of AN for oxidation to CEO. The protective effect of NAC on AN toxicity was demonstrated by Carrera et al. (2007)

(see Section 4.5.1.1.4). Therefore, the protective effect observed by Pu et al. (2009) with the coadministration of NAC with AN may reflect increased detoxification of AN rather than the antioxidant action of NAC.

In another study, levels of 8-oxodG in brain nuclear DNA were increased by about twofold in Sprague-Dawley rats exposed to 30 or 300 ppm AN in drinking water for 21 days and by about 1.5-fold in rats exposed to 100 ppm AN for 94 days (Whysner et al., 1998a). However, no significant increase in 8-oxodG levels was found in F344 rats exposed to 10, 30, or 100 ppm for 21 days (Whysner et al., 1998a). (A statistically insignificant increase of about 30% was found in the 3–100 ppm AN dose groups.) Levels of 8-oxodG in liver DNA were increased by about 1.4-fold in Sprague-Dawley rats exposed to 30 or 300 ppm for 21 days and by about 1.3- and 2-fold following exposure to 100 ppm for 10 and 94 days, respectively (Whysner et al., 1998a). Levels of 8-oxodG in liver DNA were not measured in F344 rats in this study. No significant increase in 8-oxodG levels in DNA of forestomach (a target organ of AN carcinogenicity) were found in Sprague-Dawley rats exposed to 3–300 ppm AN (see Table 4-55).

Several inconsistencies can be found in the results of Jiang et al. (1998) and Whysner et al. (1998a). While a dose-related increase in 8-oxodG levels in brain cortex DNA of Sprague-Dawley rats exposed up to 200 ppm AN was reported by Jiang et al. (1998), a twofold increase in 8-oxodG levels in brain DNA compared with controls was found for Sprague-Dawley rats exposed to either 30 or 300 ppm in the study by Whysner et al. (1998a). Thus, there was no increase in 8-oxodG level with a 10-fold increase in exposure concentration in Sprague-Dawley rats (Whysner et al., 1998a). While 8-oxodG levels were measured via different sample preparation methods in these two studies (i.e., 8-oxodG level was measured in nuclear DNA in whole brain in Whysner et al. [1998a] and in cellular DNA from brain cortex in Jiang et al. [1998]), 8-oxodG level measured in Sprague-Dawley rats exposed to 3 or 30 ppm AN for 21 days in Whysner et al. (1998a) was comparable to that measured in Sprague-Dawley rats exposed to 5 or 50 ppm AN for 28 days in Jiang et al. (1998) ($0.86/10^5$ and $1.35/10^5$ dG vs. $1.8/10^5$ and $2.5/10^5$ dG). Thus, inconsistencies in the two studies regarding 8-oxodG levels in rat brain are not likely due to differences in sample preparation.

Inconsistencies were also found in 8-oxodG levels in livers in the two rat studies. Jiang et al. (1998) reported no increase in 8-oxodG levels in liver DNA of exposed rats, but Whysner et al. (1998a) reported a 1.4-fold increase in 8-oxodG levels in liver DNA of Sprague-Dawley rats exposed to 30 or 300 ppm for 21 days and a 1.3- and twofold increase following exposure to 100 ppm AN for 10 and 94 days, respectively. Moreover, the increase in 8-oxodG levels in brain DNA was not much higher than that in liver DNA (see Table 4-56). The rat brain is a target organ for AN-induced carcinogenicity but not adult rat liver. In addition, no significant increase in 8-oxodG levels in forestomach DNA was found. Thus, no specificity is indicated regarding increased 8-oxodG levels (oxidative DNA damage) in target organ DNA and tumor formation.

Inconsistencies were also found regarding AN-induced disruption of antioxidant defense. While Jiang et al. (1998) reported increases in ROS and concomitant persistent decreases in antioxidant enzyme catalase activity in the brain cortex of Sprague-Dawley rats exposed to 50–200 ppm AN in drinking water after 14, 28, and 90 days, as well as decrease in SOD activity and GSH level in all dose groups after 14 days of treatment, Whysner et al. (1998a) reported no changes in catalase and glutathione peroxidase activities and GSH levels in the brains of Sprague-Dawley rats treated with 3, 30, or 300 ppm AN in drinking water for 21 days. Whysner et al. (1998a) did report a dose-related increase in cysteine levels in the brains of Sprague-Dawley rats exposed to AN for 21 days, and the increase was significant for the 300 ppm dose groups. However, no changes in GSH and cysteine levels were found in the brains of F344 rats exposed to 0, 1, 3, 10, 30, or 300 ppm AN in the drinking water for 21 days (Whysner et al., 1998a). Since F344 rats exposed to these dose levels of AN also developed brain tumors in chronic bioassay, the formation of these tumors cannot be explained by oxidative stress resulting from disruption of antioxidant defense. Whysner et al. (1998a) also found no changes in cytochrome oxidase activities in the brain mitochondria of both exposed Sprague-Dawley rats and F344 rats. Cyanide, a metabolite of AN, is a noncompetitive inhibitor of cytochrome oxidase. No change in cytochrome oxidase activity indicated that no metabolic hypoxia occurred in brain mitochondria as a result of inhibition of the enzyme by cyanide. Therefore, cyanide-induced metabolic hypoxia did not appear to be involved in the mechanism of ROS generation by AN.

Moreover, Whysner et al. (1998a) reported no changes in TBARS in the brains of all groups of AN-exposed Sprague-Dawley rats, indicating the absence of lipid peroxidation, another biomarker of oxidative stress and oxidative lipid damage. Jiang et al. (1998) reported significant increase in MDA only in the brain cortex of rats exposed to 200 ppm AN for 14 days and not in other dose groups at 14 days. No increase was found in all dose groups at 28 and 90 days. Since lipid peroxidation is another biomarker of oxidative stress, there is no strong evidence for occurrence of significant oxidative stress in the brain of AN-exposed rats.

In addition, Chantara et al. (2006) demonstrated that AN induced ERK activation via PKC in SK-N-SH neuroblastoma cells. However, oxidative stress was found not to be involved in AN-induced ERK1/2 activation, which played a crucial role in cell proliferation and tumor progression. Thus, the potential tumor promotion effect of AN has not been related to oxidative stress.

Dose-response concordance

Levels of 8-oxodG in DNA from brain cortex of Sprague-Dawley rats (Jiang et al., 1998) or rat astrocytes (Kamendulis et al., 1999a) increased with increasing in vivo (50, 100, 200 ppm) or in vitro (0.01, 0.1, 1.0 mM) exposure levels. However, 8-oxodG levels in DNA from brain of F344 rats exposed to 1–100 ppm AN were not significantly increased. In addition, 8-oxodG

levels in DNA from brain of F344 rats did not show a dose-response relationship (see Table 4-55), and no correlation can be found between 8-oxodG levels in brain DNA of these rats and brain tumor incidence of F344 rats exposed to the same concentration of AN in drinking water for 2 years (Table 4-59). Thus, experimental data do not support the hypothesis that brain tumors from F344 rats are the result of oxidative DNA damage.

Table 4-59. 8-OxodG in brain DNA and brain tumor incidence in male F344 rats exposed to AN in drinking water

Dose group (ppm)	8-oxodG (mol/10 ⁵ mol dG) ^a	Incidence of brain astrocytomas ^b
0	0.79 ± 0.37	2/160
1	0.84 ± 0.25	2/80
3	1.07 ± 0.41	1/78
10	1.04 ± 0.30	2/80
30	1.03 ± 0.38	10/79
100	1.06 ± 0.48	21/76

^aData are from 21-d drinking water study by Whysner et al. (1998a).

^bData are from 2-yr drinking water study by Biodynamics (1980b) and Johannsen and Levinskas (2002b). The denominators for incidence of brain astrocytomas excluded rats from the 6- and 12-mo interim sacrifices and rats that died before the appearance of the first tumor for this site.

Moreover, although Whysner et al. (1998a) demonstrated a significant increase in levels of 8-oxodG in brain DNA of Sprague-Dawley rats exposed to AN for 21 days, no correlation can be found between 8-oxodG levels in brain and tumor incidence in the 2-year bioassay (Table 4-60). Therefore, dose-response data on Sprague-Dawley rats from Whysner et al. (1998a) did not support oxidative DNA damage as the mode of action for AN-induced brain tumor formation.

Table 4-60. 8-OxodG in brain DNA and brain tumor incidence in male Sprague-Dawley rats exposed to AN in drinking water

Dose group (ppm)	8-oxodG (mol/10 ⁵ mol dG) ^a	Incidence of brain astrocytomas ^b
0	0.62 ± 0.08	1/80
1	0.86 ± 0.41	ND
3	1.35 ± 0.49	ND
10	ND	8/47
30	ND	19/48
100	1.29 ± 0.10	23/48

^aData are from 21-d drinking water study by Whysner et al. (1998a).

^bData are from 2-yr drinking water study by Quast (2002).

ND = cannot be determined

Temporal relationships

The detection of increased 8-oxodG levels in brains of Sprague-Dawley rats exposed for subchronic durations (see Table 4-60) (Jiang et al., 1998; Whysner et al., 1998a) is temporally consistent with oxidative DNA damage being a plausible key precursor event in the development of later-appearing tumors. However, no significant increase in 8-oxodG levels in the brain of exposed F344 rats was found (see Table 4-59).

Biological plausibility and coherence

The demonstration of AN-induced oxidative DNA damage in rat brain cortexes following subchronic-duration exposure to AN at dose levels producing brain tumors with chronic exposure would have provided support for the involvement of an oxidative stress-related mode of action in AN carcinogenicity. However, no increase in oxidative DNA damage was found in F344 rats exposed to AN in drinking water. Brain tumors were found in F344 rats at similar frequencies as Sprague-Dawley rats in chronic bioassays. Thus, brain tumors in F344 rats cannot be explained by oxidative DNA damage, and the predicted greater sensitivity of Sprague-Dawley rats vs. F344 rats based on 8-oxodG levels measured in short-term studies is not reflected in the cancer bioassays. In addition, the presence of increased oxidative DNA damage in the livers of Sprague-Dawley rats exposed to AN in drinking water in one study (Whysner et al., 1998a) but not in another (Jiang et al., 1998) also raised questions regarding a significant association between oxidative DNA damage and tumor formation.

The origin of oxidative stress is unclear. Proposed molecular mechanisms that may be involved in AN induction of oxidative stress in the brain (or other toxicity targets) include direct generation of free radicals by AN (or its metabolites), stimulation by AN or its metabolites of systems that generate free radicals, binding of AN to free radical scavengers (e.g., GSH, vitamins C or E) and depletion of stores of these antioxidants, inhibition of the expression or activities of antioxidant enzymes, and interference of mitochondrial respiratory electron flow via cyanide inhibition of cytochrome c oxidase (Zhang et al., 2002; Jiang et al., 1998). As discussed previously, some of these potential mechanisms have been ruled out.

As with the mutagenic mode of action, there are no data currently available to indicate how or if this oxidative stress-related mode of action may explain the occurrence of brain tumors in rats but not in mice, following chronic exposure to AN.

Human relevance

The key metabolic step involved in oxidative stress, i.e., oxidation of AN via the CYP2E1 pathway, and antioxidant stores (e.g., GSH) are known to occur in humans. This hypothetical mode of action, if it occurs, is considered to be relevant to humans.

Conclusion

While plausible, this postulated mode of action is not supported by in vivo studies in rats. Studies on oxidative DNA damage or oxidative lipid damage in the brain of exposed rats do not provide sufficient evidence to support this mode of action. In addition, Chantara et al. (2006) demonstrated AN-induced ERK activation via PKC in SK-N-SH neuroblastoma cells. Oxidative stress was found to be not involved in AN-induced ERK1/2 activation, which plays a crucial role in cell proliferation and tumor progression. Therefore, while this mode of action may play a role, it is not likely to be the principal mode of action for AN-induced carcinogenicity.

4.7.3.3.2. Other modes of action for brain tumors

Key events

Other possible modes of action by AN or its metabolites to directly or indirectly alter the expression of genes (either at the level of translation, post-translation, or protein activity), leading to the loss of control of cell growth and the ultimate promotion of initiated cells into brain tumors include stimulation of cell proliferation (mitogenic), cytotoxicity with subsequent reparative cell proliferation (cytotoxic), inhibition of programmed cell death (anti-apoptotic), and inhibition of GJIC. Studies specifically designed to examine these possible modes of action are restricted to a study of GJIC in rat astrocytes (Kamendulis et al., 1999b).

Strength, consistency, and specificity of association

No studies are available that examine cellular proliferation indices in brain cells following in vivo exposure to tumor-producing doses of AN. In in vitro studies with rat astrocytes, indices of cytolethality after 24 hours of exposure occurred at higher AN concentrations (2.5, 5.0, and 10.0 mM) than increased 8oxodG levels in DNA (0.01, 0.1, and 1.0 mM) (Kamendulis et al., 1999a). The available data do not support a prominent role for cytotoxic or mitogenic modes of action in AN-induced rat brain tumors.

Inhibition of GJIC has been shown to correlate with tumor promotion activity (i.e., the loss of control of growth) and to be induced by various chemical agents thought to operate via other modes of carcinogenic action, such as phorbol esters and PB (Kamendulis et al., 1999b). Exposure of rat astrocytes to 0.01, 0.1, or 1 mM AN for 4–48 hours statistically significantly inhibited GJIC compared with controls (Kamendulis et al., 1999b). The inhibition was reversible and prevented by the presence of an antioxidant, α -tocopherol, or a precursor for the synthesis of glutathione, OTC, in the culture medium. The results are consistent with the involvement of AN-induced oxidative stress in the inhibition of GJIC. The inhibitory concentrations were the same as those that produced oxidative DNA damage in a companion experiment (Kamendulis et al., 1999a). The specificity of the inhibitory response to rat astrocytes was demonstrated by the lack of inhibition of GJIC in rat hepatocytes exposed to 0.01, 0.1, or 1.0 mM AN (Kamendulis et al., 1999b).

Dose-response concordance

The inhibition of GJIC in rat astrocytes increased with increasing sublethal concentrations in the range of 0.01–1 mM (Kamendulis et al., 1999b). A concordance of these concentrations to dose levels associated with brain tumors is not available.

Temporal relationships

The acute nature of the observed inhibition of GJIC is consistent with the hypothesis that this action may be one of a number of precursor events involved in tumor promotion.

Biological plausibility and coherence

Other potential modes of action for AN-induced brain tumors have not been adequately studied. The involvement of inhibition of GJIC by oxidative stress induced by AN or its metabolites is possible, based on the limited evidence with rat astrocytes (Kamendulis et al., 1999b). However, the available histopathology data from interim sacrifices of the chronic rat bioassays do not support the involvement of a mode of action involving brain cell cytotoxicity followed by reparative cell proliferation.

4.7.3.3.3. Conclusions about modes of action for brain tumors. Data gaps exist in the current understanding of the mode of action for carcinogenicity of AN. However, there is experimental evidence to support mutagenicity as the principal mode of action. Key events are the generation of DNA damage by the AN metabolite, CEO, and interaction with DNA. There is in vitro and in vivo evidence to support the occurrence of key events in the brain following AN exposure. Other modes of action may contribute, along with mutagenesis, to tumorigenesis. For example, AN-induced ERK activation via PKC may play a role in cell proliferation and tumor progression. However, limited experimental evidence does not support these modes of action as alternatives. Available data are inadequate to establish an oxidative stress mode of action for AN-induced carcinogenicity.

The available data do not provide an explanation for AN induction of brain tumors in F344 and Sprague-Dawley rats but not in B6C3F₁ mice. However, it should be noted that generally mice are much less susceptible than rats in developing brain tumors resulting from exposure to chemical carcinogens (Rice and Wilbourn, 2000; Radovsky and Mahler, 1999). Hence, this species difference in response is not limited to AN alone.

Possible differences between rats and humans in distribution of AN or its metabolites to the brain, susceptibility to oxidative stress, or repair of DNA damage have not been investigated. Identified differences between rats and humans in AN disposition are restricted to the finding of higher rates of metabolic oxidation of AN in human vs. rat hepatic microsomes, presumably due to a more active EH in humans (Kedderis and Batra, 1993; Kedderis et al., 1993c). The

relevance of this apparent difference in AN metabolism to possible species differences in susceptibility to AN carcinogenicity in the brain is not understood. Within the framework of the hypothesized mutagenic mode of action, the balance between the formation of CEO by CYP2E1 and its hydrolysis by EH is thought to be important in determining the levels of CEO that might be available to bind DNA or GSH. Experimental comparison of this balance in human and rat brain tissues is not available.

In summary, AN is proposed to induce brain tumors via a mutagenic mode of action.

4.7.3.4. Possible Modes of Action for Forestomach Tumors

There is evidence to suggest that, once delivered to forestomach epithelial cells, AN or its metabolites shift the normal balance between cell proliferation and apoptosis, leading to hyperplasia and eventually, with continued exposure and sustained net cellular proliferation, to tumor formation. Sustained increased cellular proliferation is viewed as the key precursor event in this hypothesized mode of carcinogenic action. Exposure of male F344 rats to gavage doses of about 12 and 23 mg/kg-day AN for 6 weeks produced minimal to mild hyperplasia and hyperkeratinization of the squamous mucosa of the forestomach but not the epithelium of the glandular stomach or the liver (Ghanayem et al., 1997). The early induction of hyperplasia by AN doses that resulted in forestomach tumors in chronic rat bioassays is temporally consistent with the involvement of sustained cell proliferation in the development of these tumors. A dose-related increase in cell proliferation (as determined by BrdU incorporation into DNA) was observed in the forestomach epithelium, but no increases were found in the glandular stomach or the liver, which are not targets of AN toxicity or carcinogenicity from chronic exposure to AN during adulthood. At the high-dose level, an increase in apoptotic cells was found. These results suggest that AN stimulation of cellular proliferation overcame the apparent stimulation of apoptosis at the higher dose, since hyperplasia was observed. Whether or not the stimulation of cellular proliferation was due to a reparative response to cytotoxicity or to a mitogenic action is uncertain. Acute administration of a higher dose of AN (50 mg/kg) caused gastric mucosal necrosis in rats, which was shown to involve CYP-mediated metabolism of AN (Ghanayem et al., 1985; Ghanayem and Ahmed, 1983). Whether or not these findings relate to the stimulation of cellular proliferation and development of hyperplasia at the lower doses is uncertain.

Possible mutagenic modes of action for forestomach tumors, such as those investigated for brain tumors, have not been investigated. However, DNA damage, as detected by the comet assay, was reported in the stomach of rats and mice exposed by i.p. injection (Sekihashi et al., 2002). No significant oxidative DNA damage (levels of 8-oxodG) was measured in the forestomach of rats exposed to 3, 30, or 300 ppm in drinking water for 21 days (Whysner et al., 1998a). In addition, AN was reported to bind to DNA in the stomach of rats following a single oral dose of 46.5 mg/kg (Farooqui and Ahmed, 1983a). Given that a mutagenic MOA is hypothesized for AN-induced brain tumors in rats, that mutagenic carcinogens usually cause

tumors in multiple sites, and evidence of DNA damage in the forestomach of AN-treated rats, mutagenicity is a likely mode of action for AN-induced forestomach tumors.

4.7.3.5. Possible Modes of Action for Other Tumors – Hepatoma, Mammary Gland, Lung, Intestinal, Tongue, Zymbal Gland, and Harderian Gland Tumors

The incidence of benign or malignant mammary gland tumors was significantly increased in female Sprague-Dawley and F344 rats exposed to AN (Quast, 2002; Johannsen and Levinskas, 2002b). No mechanistic studies were conducted to explore the mode of action of AN-induced mammary tumors in rats. However, DNA-reactive epoxides and epoxide-forming chemicals commonly form mammary tumors in rats and mice (Melnick, 2002). It is assumed that a mutagenic mode of action may be involved in the formation of AN-induced mammary tumors in rats.

Evidence of a possible association between occupational exposure to AN and lung cancer is found in some studies, including the best available epidemiologic study in which workers in the highest exposure category (>8 ppm-years) with more than 20 years of employment displayed a twofold increased risk for lung cancer compared with unexposed workers (Blair et al., 1998). Human bronchial epithelium has CYP2E1 metabolic activities. In a short-term mutagenicity assay, AN induced SCEs and DNA single-strand breaks in human bronchial epithelial cells without the addition of S9 mix (Chang et al., 1990). AN also induced p53 and p21^{WAF1} proteins in human embryonic lung fibroblasts (Rossner et al., 2002). Thus, a mutagenic mode of action is supported for potential AN-induced lung cancer. In contrast, there is no convincing evidence of lung cancer in rodents chronically exposed by the oral or inhalation routes. The possible mode of action by which AN may induce lung tumors in humans and not in rodents has not been investigated but may involve species differences in inhalation rates and anatomical features of the respiratory tract.

By analogy to other mutagens that cause tumors at multiple sites in animal bioassays, it is possible that a mutagenic mode of action may be involved in the formation of AN-induced tumors of the intestines, tongue, liver, and Zymbal gland in rats, and Harderian gland in mice. Although possible modes of carcinogenic action of AN at these sites have not been investigated, a mutagenic mode of action is the most likely mode of action. CYP2E1 enzymes occur in intestinal mucosa, and CEO can form in the intestine and bind to DNA. Moreover, as discussed previously in Section 4.7.3.2.4, chemicals that cause Zymbal gland tumors in rats are usually mutagens. In addition, there is no evidence of tissue specificity associated with AN that would lead to mutagenesis in brain but not other organs. Moreover, AN appears to act systemically with DNA damage, and tumors occur in multiple tissues of treated animals. Thus, the mutagenic mode of action is considered relevant to all tumor sites.

4.8. SUSCEPTIBLE POPULATIONS AND LIFE STAGES

4.8.1. Possible Childhood Susceptibility

Evidence that children may be more susceptible to the acute or chronic toxicity of AN is restricted to a report that a young girl died after sleeping in a room fumigated with a commercial form of AN called Ventox, whereas adults sharing the same room only experienced skin or eye irritation (Grunske, 1949; see Section 4.1.3.1).

Animal studies that examined whether or not early-life stages of development are more susceptible than adult stages to the induction of noncancer or cancer-related effects by AN include a lifetime inhalation cancer bioassay in Sprague-Dawley rats (Maltoni et al., 1988); a three-generation drinking water reproductive toxicity bioassay in Sprague-Dawley rats (Friedman and Beliles, 2002); and a two-generation reproductive toxicity study of inhaled AN vapors in Crl:CD (SD) rats (Nemec et al., 2008). In addition, a subacute study in Sprague-Dawley rats (Szabo et al., 1984) also found weanling rats to be more susceptible than adult rats to the action of AN on the adrenals (see Section 4.2.1.1). A discussion of evidence for early-life susceptibility to cancer is provided in Section 5.4.4.3

As discussed in Sections 4.5.1 and 4.6.3, toxic effects from acute or chronic exposure to AN have been associated with inhibition of glutathione-mediated detoxification of AN by conjugation (e.g., glutathione depletion), transformations in the CYP2E1 metabolic pathway to CEO and cyanide, and oxidative stress. Differences in enzymatic activities (e.g., CYP2E1, EH, DNA repair enzymes, or antioxidant enzymes such as catalase or SOD) or pool sizes of reactive oxygen scavengers (e.g., levels of vitamin E or C) or glutathione between early-life and adult stages may result in life stage differences in susceptibility to AN toxicity.

For CYP2E1, immunoreactive CYP2E1 protein has been detected in human liver microsomes as early as the second trimester (GDs 93–186) (Johnsrud et al., 2003). CYP2E1 enzyme activity increases shortly after birth but less in neonates than in older infants, children, and adults (Johnson, 2003; Johnsrud et al., 2003; Vieira et al., 1996). However, in the fetal brain, CYP2E1 activity is seen as early as 50 days gestation, with increasing levels seen to at least the end of the first trimester (Brzezinski et al., 1999). In rats, CYP2E1 protein is not significantly expressed in fetal hepatic tissues, although an elevation of CYP2E1 mRNA was seen within a few hours after birth, coincident with the transcriptional activation of the gene (Borlakoglu et al., 1993). Moreover, only neonates expressed small quantities of the CYP2E1 protein, despite the large quantities of CYP2E1 mRNA found in perinatal and neonatal rats.

For EH, fetal immunoreactive enzyme content in human livers averages only 25% of that found in adults with corresponding less enzyme activity (Cresteil et al., 1985). EH activity in fetal liver and adrenal glands are about threefold higher than in kidney and lung with only a weak correlation with gestational age between 10 and 25 weeks. Overall, EH activity in fetal tissue is about 30–40% of that in adults (Pacifici and Rane, 1983b; Pacifici et al., 1983a). In another study (Omiecinski et al., 1994), human microsomal EH (mEH) was detected in fetal liver

as early as 7.2 weeks, although at a much lower level of mEH protein, and demonstrated a linear increase with gestational age to a level at 77 weeks that is about half of that observed in adult liver. However, mEH activity in the fetal lung did not correlate with increasing age. Lung mEH activity from days 85 to 130 of gestation was maintained at consistent levels, at about the same level as fetal hepatic EH at 53 days, and varied only by threefold. In addition, Omiecinski et al. (1994) reported that mEH activities in liver or lung, from either fetal or adult tissues, did not correlate with corresponding mRNA levels.

The overall balance of pertinent enzymatic activities (AN metabolizing enzymes and antioxidant enzymes) and pool sizes of reactive oxygen scavengers and glutathione will determine the relative susceptibility of an individual or a life stage. The relatively high activity of CYP2E1 in the brain compared to the liver of the developing human fetus, and low EH activity for detoxification raise concern for increased susceptibility in early life to lung and brain tumors from AN exposure.

The importance of CYP2E1 metabolism in the acute toxicity and lethality of AN has been demonstrated by the lack of lethality or gross signs of intoxication in CYP2E1-null male mice given single gavage doses up to 40 mg/kg, whereas all WT male mice given doses of 40 mg/kg died within 3 hours of administration (Wang et al., 2002).

4.8.2. Possible Geriatric Susceptibility

Age-related reductions in antioxidant or glutathione pool sizes may increase susceptibility of elderly people to the tissue-damaging actions of AN and reactive metabolites, but specific studies of the possible increased susceptibility of elderly people or rats to AN are not available. A 35% decrease in glutathione levels in the liver of aged F344 rats compared with younger animals was associated with an age-related decrease in the levels and activity of γ -glutamylcysteine ligase, a key enzyme in the synthesis of glutathione (Suh et al., 2004). In Wistar rats, the liver and kidney of 22-month-old rats showed significant decreases, compared with 10-week-old rats, in glutathione and glutathione peroxidase and increased levels of biomarkers of lipid peroxidation (Martin et al., 2003). In another study with F344 rats (Tian et al., 1998), activities of several antioxidant enzymes in several tissues displayed an age-dependent decline. Enzymatic activities showing significant decline with age included SOD in the heart, kidney, and serum; glutathione peroxidase in the serum and kidney; and catalase activities in the brain, liver, and kidney. These changes indicated a lower resistance to oxidative stress in older animals.

The possible toxicological impact of an age-related decline in glutathione could be offset by an age-related decline in CYP2E1-mediated metabolism of AN leading to reactive metabolites (CEO), cyanide, or ROS. Many studies have reported that hepatic enzymatic activities of CYP2E1 are lower in elderly human subjects (>65 years) compared with younger adults (see Tanaka, 1998, for review). Decreased hepatic CYP2E1 enzyme activities have also

been reported in aged rats. For example, hepatic CYP2E1 enzyme activities were decreased by 46% in 18-month-old rats compared with 8-month-old rats, whereas no age-related changes in CYP2E1 mRNA or protein content were evident (Wauthier et al., 2004). Such age-related declines in CYP2E1 enzyme activities may lead to lower tissue levels of reactive metabolites or cyanide but also may lead to elevated levels or increased residence time of AN in aged tissues compared with younger tissues. No definitive conclusions about the possible susceptibility of the elderly to AN toxicity can be drawn without more specific studies designed to examine the effects of age on susceptibility to AN toxicity.

As studied in a small number of individuals (n = 47), long-term occupational exposure to AN increased the deletion rate in mitochondrial DNA to a level equivalent to that seen in a group of elderly nonexposed subjects (n = 12) (Ding et al., 2003) (see Section 4.1.2.2). The study authors suggested that AN may have an effect on the molecular processes of aging.

4.8.3. Possible Gender Differences

No reports of gender differences in susceptibility of humans to AN toxicity are available. No consistent gender-related differences in carcinogenic responses were observed in rats or mice following chronic oral exposure to AN, or in rats following chronic inhalation exposure. With oral exposure, male and female groups showed similarly increased incidences of brain astrocytomas (rats: Quast, 2002; Bigner et al., 1986; Biodynamics, 1980a, b, c; Quast et al., 1980a), forestomach tumors (rats: Quast, 2002; Bigner et al., 1986; Biodynamics, 1980a, b, c; Quast et al., 1980a; mice: NTP, 2001), Zymbal gland tumors (rats: Quast, 2002; Bigner et al., 1986; Biodynamics, 1980a, b, c; Quast et al., 1980a), and Harderian gland tumors (mice: NTP, 2001). Following inhalation exposure to 80 ppm AN for 2 years, male and female exposed groups of rats showed similarly increased incidences of brain/CNS tumors and Zymbal gland tumors compared with the respective control groups (Quast et al., 1980b).

No marked gender-related differences in noncarcinogenic responses were observed in the rat chronic oral toxicity study reported by Quast (2002). Groups of male and female rats were exposed to AN in drinking water at concentrations of 0, 35, 100, or 300 ppm. At the 1-year interim sacrifice, the only exposure-related noncancer histopathologic finding was an increase in the incidence of forestomach squamous cell hyperplasia in rats exposed to concentrations of 100 ppm (4/10 males, 7/10 females) or 300 ppm (10/10 males, 9/10 females). At the 2-year sacrifice, incidences of stomach lesions (nonglandular hyperplasia and/or hyperkeratosis) were similar in male (15/80, 15/47, 44/48, and 45/80 for the control through high-concentration groups, respectively) and female (20/80, 23/48, 41/48, and 47/48) rats at the same exposure level (Quast, 2002). These data, however, give some indication that the forestomach epithelium of female rats may have been slightly more susceptible than that of male rats: at the 1-year sacrifice, 7/10 100 ppm females (70%) had lesions compared with 4/10 100 ppm males (40%); at 2 years, 23/48 35 ppm females (48%) had lesions compared with 15/47 35 ppm males (32%).

In contrast to the lack of apparent gender differences in carcinogenic or noncarcinogenic responses in rodents with chronic exposure, male mice appear to be more susceptible to the acute oral toxicity of AN than female mice (Chanas et al., 2003; NTP, 2001).

In a 14-week study, groups of 10 male and 10 female mice were given 0, 5, 10, 20, 40, or 60 mg/kg AN in deionized water by gavage 5 days/week (NTP, 2001). Exposure-related mortalities were restricted to the first week of the study and occurred in the 40- and 60-mg/kg groups. Both male and female groups showed mortality, but only at 40 mg/kg was the incidence of deaths higher in male mice compared with females (9/10 and 10/10, males, and 3/10 and 10/10, females, at 40 and 60 mg/kg, respectively).

In a subsequent study, groups of three to four male and three to four female WT or CYP2E1-null mice (mixed 129/Sv and C57BL) were given single gavage doses of 0, 2.5, 10, 20, or 40 mg/kg in tap water and sacrificed 1 or 3 hours later (Chanas et al., 2003). All male WT mice exposed to 40 mg/kg died within 3 hours, showing gross signs typical of cyanide poisoning (rapid shallow breathing, cyanosis, trembling, and convulsions). In contrast, exposed female WT mice showed milder gross signs of poisoning, and none died within the 3-hour period. One hour after dose administration, concentrations of cyanide in blood were statistically significantly elevated, compared with vehicle controls, in WT male mice given doses ≥ 2.5 mg/kg. At doses ≥ 10 mg/kg, female WT mice also showed elevated cyanide levels in blood, compared with controls, but cyanide levels were $<50\%$ that in male WT mice. Exposed CYP2E1-null mice of both genders showed no elevation in blood cyanide concentrations compared with vehicle controls. Cyanide levels in brain and kidney tissues were also higher in WT males compared with females; cyanide levels in liver and lung tissues showed less distinct differences between male and female mice.

Expression of hepatic, renal, and pulmonary CYP2E1, soluble EH, and microsomal EH were measured in male and female WT mice using Western blot analysis (Chanas et al., 2003). In the liver, WT males showed greater expression of EH, both soluble and microsomal, than did females; CYP2E1 levels were similar in males and females. In the kidney, male WT mice showed markedly higher levels of CYP2E1 (about fourfold), moderately higher levels of soluble EH (about twofold), and comparable levels of microsomal EH compared with female mice. Higher CYP2E1 and soluble EH in the kidney of male mice provided explanation for higher blood cyanide levels in the kidney and acute lethality in male mice. In the lung, no gender differences in the expression of these enzymes were apparent. The results indicated that male mice were more susceptible than female mice to the acute toxicity and lethality of AN and that this difference was associated with higher blood, kidney, and brain levels of cyanide in males shortly after dose administration. Chanas et al. (2003) noted that another possible explanation was that female mice had greater detoxification capability of converting cyanide to thiocyanate, as demonstrated by excretion of greater amount of thiocyanate in urine than males after repeated administration of equal doses of AN (NTP, 2001).

In a subsequent study, male mice (mixed 129/Sv and C57BL) showed higher blood levels of cyanide than female mice 1 hour after gavage administration of 0.047, 0.095, 0.19, or 0.38 mmol/kg (2.5, 5, 10, or 20 mg/kg) AN (El Hadri et al., 2005). This difference between genders was evident in WT mice and in microsomal EH-null (mEH-null) mice, but blood levels of cyanide were lower in exposed mEH-null mice, compared with comparably exposed WT mice. As in the previous experiments reported by Chanas et al. (2003), exposure to AN induced no elevation of blood levels of cyanide in CYP2E1-null mice of either gender. Western blot analysis revealed no gender differences in expression of CYP2E1 in the liver of WT or mEH-null mice or in expression of mEH in WT or CYP2E1-null mice. However, expression of soluble EH in the liver was greater in WT males, compared with females. No gender differences in expression of this enzyme was observed in mEH-null mice or in CYP2E1-null mice.

The effect of gender on the expression of CYP2E1 and EH have been investigated in humans and rats. Gender was reported to have no influence on the level of CYP2E1 in human liver (George et al., 1995). Sex-related patterns in the activity of hepatic EH activity was studied in Sprague-Dawley rats (Chengelis, 1988). At week 4, epoxide activity in both male and female rats was equivalent (3.5–4.0 nmol/minute per mg protein, or 85–100 nmol/minute per g liver). However, there were consistent increases in activity in males from week 4 to 78, while activity in females actually decreased, but returned to week 4 levels during the later stages (week 78–103). EH activity in male liver peaked at week 78 (about 10 nmol/minute per mg protein, or 350 nmol/minute per g liver). There was a sharp decline in EH activity in aged male rats to about 6 nmol/minute per mg protein, or 200 nmol/minute per g liver at 104 weeks. Cornet et al. (1994) studied gender-related changes in microsomal and cytosolic EH activity in male and female Brown Norway rats. At 15 weeks, microsomal EH activity was about the same for male and female rats at 4.5 nmol/mg protein/minute. The microsomal EH activity decreased strongly as a function of age in female rats, and in the 125-week-old females, the activity was only half of that found in 15-week-old rats. However, there was no age-related change in males, although the activity in the 83- and 125-week age groups was significantly lower than that in 28-week-old males. The activity of EH activity was higher in males than in females in these aged animals. In another study that compared hepatic microsomal EH in different strains of adult rats (170–250 g) (Oesch et al., 1983), EH activities in females were found to be 71–88% of those in males in all strains. Denlinger and Vesell (1989) studied the hormonal regulation on the developmental pattern of EH in F344 rats, and found that EH activities in males increased gradually until puberty, when activities in males rose rapidly to be from 1.5- to twofold higher than those in females. The higher activity in males was not seen if the males were castrated 24 hours after birth. When castrated males and females were injected with testosterone propionate (0.5 mg s.c.) on days 1, 3, and 5 postpartum, increased mEH and cEH activities were observed at adulthood. Thus, Denlinger and Vesell (1989) concluded that full adult expression of EH activities depends on hormonal influences exerted neonatally.

Gender-related differences were also observed in cytosolic EH activity of Brown Norway rats (Cornet et al., 1994). Significant gender-related differences in the cytosolic EH activity were found in 15-, 28-, and 83-week-old rats with the male animals showing the highest values. In the oldest animals and in 56-week-old rats comparable cytosolic EH activities were found in both genders.

In summary, the available data from animal studies provide no evidence of consistent or marked gender differences in susceptibility to noncancer or cancer-related effects from chronic exposure to AN. There is evidence that male mice are more susceptible than female mice to the acute, cyanide-induced toxicity and lethality of AN, but whether or not this apparent gender dimorphism extends to other species is uncertain at the present time.

4.8.4. Genetic Polymorphisms

4.8.4.1. CYP450

In humans, CYP2E1 exists in several modifications that differ in amino acid sequence (alleles). Human variability in their susceptibility to the toxic effects of AN likely exists since CYP2E1 activities may fluctuate between one person and another.

Microsomal CYP2E1 activities varied from 6- to 20-fold in human livers (Lucas et al., 1993). Environmental factors, diet habits, and/or genetic factors may account for the observed interindividual variations observed. In addition, CYP2E1 is elevated in obese overfed rats (Salazar et al., 1988) and diabetic rats (Song et al., 1987), suggesting induction by increased plasma levels of ketone bodies (Bellward et al., 1988). Moreover, CYP2E1 is elevated in lymphocytes from poorly controlled insulin-dependent diabetics (Song et al., 1990). Thus, obese and diabetic individuals may have elevated levels of CYP2E1.

Besides induction, polymorphism of the human CYP2E1 gene may have an impact on AN metabolism in humans. Stephens et al. (1994) compared two restriction fragment length polymorphic sites of the CYP2E1 gene (Rsa 1 and Dra 1) in 695 African-American, European-American, and Taiwanese subjects. Rare alleles at these two loci have been associated with a reduced risk for lung cancer in Japanese and Swedish populations. Stephens et al. (1994) demonstrated that rare alleles (c2 and C) at the Rsa 1 and Dra 1 sites were at least twice as frequent in Taiwanese populations (28 and 24%, respectively) compared with African-Americans (1–8%) or European-Americans (4–11%), raising the possibility of differential susceptibility to chemically induced cancers across ethnic groups. However, when Carrière et al. (1996) measured the allele frequencies for Ras 1, Dra 1, and Taq 1 polymorphic sites in liver CYP2E1 from kidney donors (n = 93) in Geneva, they failed to find a correlation between frequencies of rare alleles and CYP2E1 activity. This implied that observed differences in enzyme activity in humans were more likely to be the result of different levels of induction by environmental factors or other genetic factors.

McCarver et al. (1998) identified a 100-bp insertion mutation in the regulatory region of the CYP2E1 gene. Associated with an elevated CYP2E1 metabolic activity, this insertion mutation appears to be present only in obese people or persons who had recently consumed alcohol. The incidence of this mutation was seen in 31% of 65 African-American samples but only in 6.9% of 58 Caucasian samples (McCarver et al., 1998). If tumor formation is determined by the activity of CYP2E1, this mutation might put affected individuals at a higher risk of cancer from tumorigenic agents that are metabolized by CYP2E1.

Thier et al. (2002) were unable to detect any influence of six genetic CYP2E1 polymorphisms (G₋₁₂₅₉C, A₋₃₁₆G, T₋₂₉₇A, G₋₃₅T, G₄₈₀₄A, and T₇₆₆₈A) on the formation of N-(cyanoethyl)valine Hb adducts of AN. Conversely, in a study confined to a cohort of individuals from ethnic minorities (African-Americans and Mexican-Americans), Wu et al. (1998) observed an increased incidence of the CYP2E1 DraI DD genotype in peripheral WBC DNA in 126 patients with untreated lung cancer compared with 193 unaffected controls. This could imply an etiological association between the CYP2E1 DraI polymorphism and tumor formation in the lung. Altered toxicokinetic characteristics of CYP2E1 in persons exposed to AN might result in some persons being more vulnerable than others to the tumorigenic effects of the compound, with concomitant changes to the rate and amount of formation of the toxic metabolite and associated changes in susceptible individuals.

Kim et al. (1996), investigating the differences in CYP2E1 activities between 20 Caucasian and 20 Japanese men, pointed out that the significantly lower activity of CYP2E1 in Japanese men might account for the lower rate of some cancers in Japanese compared to Caucasian men.

4.8.4.2. *Glutathione S-transferases*

Thier et al. (1999) studied the formation of Hb adducts of AN (N-[cyanoethyl]valine, N-[methyl]valine, and N-[hydroxyethyl]valine) in a group of 59 people occupationally exposed to the chemical. They reported their findings in relation to subjects' smoking habits and their genetic status with respect to the GST isozymes GSTM1 and GSTT1. Included in the study was an evaluation of smoking habits, since elevated adduct levels of AN in Hb have been reported in smokers. There was no correlation between adduct levels and either the subjects' status of GST isozymes or smoking habit. Thus, neither GSTM1 nor GSTT1 appears as a major AN-metabolizing isoenzyme in humans. However, in a follow-up study with the same group of 59 workers, Thier et al. (2001) reported that polymorphism of the GSTP1 gene at codon 104 was associated with a higher level of N-(cyanoethyl) valine adducts, while GSTM3 variants had no effect on Hb adduct formation. Whether such polymorphisms influence health risks to AN-exposed humans has not been evaluated. However, Zielinska et al. (2004) reported an increase in the frequency of the GSTP1b/b genotype in children with cancer (OR = 5.7, CI = 2.4–13.8).

4.8.4.3. EH

Two major polymorphisms of mEH have been identified in human population (Hasset et al., 1997). One is a polymorphism in exon 3 that changes the tyrosine residue 113 (Tyr113) to histidine (His113), and the other is an A→G substitution in exon 4 that changes the histidine residue 139 (His 139) to arginine (Arg139). In vitro expression studies demonstrated that with the Tyr113His polymorphism, the corresponding mEH enzymatic activity is decreased by about 40% (Hasset et al., 1997). On the other hand, the His139Arg polymorphism results in increased enzyme activity. Population studies have demonstrated that the low activity 113His allele correlates with an increased risk for lung cancer (Benhamou et al., 1998), colon cancer (Harrison et al., 1999), hepatocellular carcinoma developed after aflatoxin exposure (McGlynn et al., 1995), and chronic obstructive pulmonary disease (Smith and Harrison, 1997).

The mEH genotypes was shown to play a significant role in human sensitivity to the genotoxic effects of exposure to 1,3-butadiene (Abdel-Rahman et al., 2003, 2001). The carcinogenic and mutagenic effects of 1,3-butadiene are thought to be due to its epoxide metabolites, and the hydrolytic pathway involving mEH is the main detoxification pathway for 1,3-butadiene-reactive intermediates in humans (Jackson et al., 2000). In a study of 49 nonsmoking workers from two styrene-butadiene rubber plants, the hprt gene mutation assay was used as a biomarker of genotoxic effect of BD (Abdel-Rahman et al., 2003, 2001). Abdel-Rahman et al. (2003, 2001) evaluated the effect of polymorphisms in both exon 3 and exon 4 of the mEH gene as modifiers of individual susceptibility to the mutagenic response associated with exposure to 1,3-butadiene, and found a progressive increase in hprt mutant frequency with declining mEH activity in the high exposure group (>150 ppb). The highest frequency of hprt mutant lymphocytes occurred in the group with the mEH low-activity genotype. Individuals with low mEH activity had three- and twofold increases in hprt mutant frequency compared to individuals with high and intermediate mEH activity, respectively. In the low exposure group, there was no difference in hprt mutant frequency between high-, intermediate-, and low-activity individuals. Although there are no studies that evaluate the role played by mEH genotypes in human sensitivity to the toxicity of AN, since EH is involved in the hydrolysis of CEO and its elimination, polymorphisms in exon 3 and exon 4 of the mEH gene are likely to have a role in human susceptibility.

5. DOSE-RESPONSE ASSESSMENTS

5.1. ORAL REFERENCE DOSE (RfD)

5.1.1. Choice of Principal Study and Critical Effect

As previously discussed in Section 4, no human studies currently exist that involve oral exposures to AN because the primary route of AN exposure in humans is via inhalation. In animals, two 2-year drinking water studies, one in Sprague-Dawley rats (Quast, 2002; Quast et al., 1980a) and the other in F344 rats (Johannsen and Levinskas, 2002b; Biodynamics 1980c), and a 2-year gavage study in B6C3F₁ mice (NTP, 2001) provided the best available dose-response data on which to base the RfD (see Table 4-58 for a summary of exposure protocols and study results). These three studies were considered candidate principal studies for derivation of the oral RfD for AN.

The available animal toxicity studies identify forestomach lesions (i.e., squamous cell epithelial hyperplasia and hyperkeratosis) as the most sensitive, prevalent, and consistent noncancer effect associated with chronic oral exposure to AN (see Table 4-58 and Figure 5-1). Although anatomically, humans do not possess a forestomach, they have comparable squamous cell epithelial tissues in their oral cavity and in the upper two-thirds of their esophagus (IARC, 1999). (It should be noted that in AN-treated beagles, histopathological lesions occurred in the esophagus and tongue [Quast et al., 1978].) Moreover, the forestomach lesions observed in animals were not likely due to the direct irritating effect of AN on gastric tissue. GI bleeding has been observed with single s.c. or oral administration of AN in rats (Ghanayem and Ahmed, 1983) that likely resulted from the distribution of AN metabolites from blood into the GI mucosa. Jacob and Ahmed (2003a) have also demonstrated that AN or its metabolites accumulated and covalently interacted with the GI mucosa of F344 rats treated either orally or intravenously with 2-[¹⁴C]-AN, supporting the theory of metabolic incorporation and macromolecular interaction of AN or its metabolites with gastric tissue. Since the metabolic scheme of AN in rats and humans is similar, and humans are able to activate AN to its reactive metabolite, CEO, the forestomach lesions in rodents are considered relevant to humans.

Significantly elevated incidences of hyperplasia and hyperkeratosis in squamous epithelium of the forestomach occurred in both male and female Sprague-Dawley rats exposed to AN in drinking water at the two highest concentrations administered (i.e., 100 and 300 ppm) with incidences approaching 100% at the highest dose (Quast, 2002; Quast et al., 1980a). Female Sprague-Dawley rats also exhibited statistically significantly elevated incidences of forestomach lesions at the lowest concentration of AN administered (i.e., 35 ppm). In F344 rats, both males and females administered 3, 10, and 30 ppm AN in drinking water exhibited statistically significantly elevated incidences of forestomach lesions with incidences of approximately 20–30% (Johannsen and Levinskas, 2002a; Biodynamics, 1980c). However, in

this same study, male and female F344 rats exposed to the lowest and highest concentrations of AN in drinking water (i.e., 1 and 100 ppm) did not show statistically significantly elevated incidences of forestomach lesions. In B6C3F₁ mice, males exhibited statistically significantly elevated incidences of forestomach lesions (hyperplasia or hyperkeratosis) at the two highest doses administered (i.e., 10 and 20 mg/kg-day), while females showed statistically significantly elevated incidences of forestomach lesions at the highest dose only (NTP, 2001).

The Johannsen and Levinskas (2002b) drinking water study in F344 rats was selected as the principal study on which to base the RfD. This study was selected as the principal study primarily because it employed five doses of AN, ranging from 1 to 100 ppm, and thus tested a more complete range of doses, especially in the low-dose region, than did either the Quast (2002) study in Sprague-Dawley rats or the NTP (2001) study in B6C3F₁ mice, which both employed three doses (i.e., 35, 100, and 300 ppm AN in drinking water in rats and 2.5, 10, and 20 mg/kg-day in mice, respectively). In addition, Johannsen and Levinskas (2002b) and Quast (2002) are both drinking water studies, which are preferred over the NTP (2001) gavage study in B6C3F₁ mice because drinking water exposure is more relevant to humans.

Other endpoints associated with AN exposure (i.e., chronic nephropathy, ovarian cysts, and gliosis in the brain in rodents) were either less sensitive or were observed less consistently across studies than forestomach lesions (see Table 4-58). Therefore, the squamous cell epithelial hyperplasia and hyperkeratosis of the forestomach was selected as the critical effect for derivation of the RfD.

5.1.2. Methods of Analysis—Including Models

While Johannsen and Levinskas (2002b) was selected as the principal study, dose-response analyses using data from Quast (2002) in Sprague-Dawley rats and NTP (2001) in B6C3F₁ mice was conducted for comparison purposes. Incidences of forestomach lesions in male and female Sprague-Dawley rats (Quast, 2002) and F344 rats (Johannsen and Levinskas, 2002b) provided four sets of dose-response data from which to derive candidate RfDs (see Table 5-1), while incidences of forestomach lesions from a chronic gavage study in male and female B6C3F₁ mice (NTP, 2001) provided an additional two sets of dose-response data (see Table 5-2).

As described further below, dose-response modeling of the incidence of forestomach lesions was carried out using benchmark dose (BMD) modeling. For rats, in addition to administered dose, two internal dose metrics (AN in blood and CEO in blood), as estimated by PBPK modeling, were employed in BMD modeling. In mice, only administered dose was employed as a dose metric for BMD modeling purposes.

Table 5-1. Incidences of forestomach lesions (hyperplasia or hyperkeratosis) in Sprague-Dawley and F344 rats exposed to AN in drinking water for 2 years

Sex	Administered concentration (ppm in drinking water)	Administered dose ^a (mg/kg-d)	Predicted internal dose metrics ^b		Incidence of forestomach lesions ^c
			AN-AUC in rat blood (mg/L)	CEO-AUC in rat blood (mg/L)	
<i>Sprague-Dawley rats</i> (Sources: Quast, 2002; Quast et al., 1980a)					
Male	0	0	0	0	15/80 (19%)
	35	3.4	2.06×10^{-2}	1.83×10^{-3}	15/47 (32%)
	100	8.5	5.36×10^{-2}	4.36×10^{-3}	44/48 (92%) ^c
	300	21.3	1.46×10^{-1}	9.70×10^{-3}	45/48 (94%) ^c
Female	0	0	0	0	20/80 (25%)
	35	4.4	2.37×10^{-2}	2.07×10^{-3}	23/48 (48%) ^c
	100	10.8	6.18×10^{-2}	4.87×10^{-3}	41/48 (85%) ^c
	300	25.0	1.56×10^{-1}	1.01×10^{-2}	47/48 (98%) ^c
<i>F344 rats^d</i> (Sources: Johannsen and Levinskas, 2002b; Biodynamics, 1980c)					
Male	0	0	0	0	11/159 (7%)
	1	0.08	4.33×10^{-4}	4.06×10^{-5}	3/80 (4%)
	3	0.25	1.35×10^{-3}	1.27×10^{-4}	18/75 (24%) ^c
	10	0.83	4.52×10^{-3}	4.19×10^{-4}	13/80 (16%) ^c
	30	2.48	1.37×10^{-2}	1.23×10^{-3}	17/80 (22%) ^c
	100	8.37	4.85×10^{-2}	3.97×10^{-3}	9/77 (12%)
Female	0	0	0	0	4/156 (3%)
	1	0.12	5.73×10^{-4}	5.32×10^{-5}	2/80 (3%)
	3	0.36	1.72×10^{-3}	1.59×10^{-4}	16/80 (20%) ^c
	10	1.25	6.02×10^{-3}	5.49×10^{-4}	23/74 (31%) ^c
	30	3.65	1.79×10^{-2}	1.58×10^{-3}	13/80 (16%) ^c
	100	10.90	5.63×10^{-2}	4.46×10^{-3}	5/74 (7%)

^aAdministered doses were averages calculated by the study authors based on animal BW and drinking water intake.

^bThe EPA-modified rat physiologically based pharmacokinetic (PBPK) model of Keddaris et al. (1996) was employed to predict a rat internal dose (i.e., either AN-AUC or CEO-AUC concentration in blood, where AUC = area under the curve) resulting from the ingestion of the specified administered dose of AN consumed in six bolus episodes/d.

^cIndicates significantly different (at $p < 0.05$) from control incidence by Fisher's exact test.

^dIncidences for F344 rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study. Rats dying during this time period were determined from page 6 of Appendix H and Table 1 in Biodynamics (1980c) and Table 8 in Johannsen and Levinskas (2002b). Unscheduled deaths between 0 and 12 mos in the study occurred in two female controls, two males at 3 ppm, three females at 10 ppm, and three males and three females at 100 ppm.

Table 5-2. Incidences of forestomach lesions (hyperplasia or hyperkeratosis) in male and female B6C3F₁ mice administered AN via gavage for 2 years

Lesion site and type	Dose (mg/kg-d) ^a			
	0	2.5	10	20
<i>Males</i>				
Forestomach hyperplasia or hyperkeratosis	2/50 (4%)	4/50 (8%)	10/50 ^a (20%)	13/50 ^b (26%)
<i>Females</i>				
Forestomach hyperplasia or hyperkeratosis	2/50 (4%)	2/50 (4%)	5/50 (10%)	8/50 ^a (16%)

^aSignificantly elevated above vehicle control as determined by EPA using Fisher's exact test ($p \leq 0.05$).

^bSignificantly elevated above vehicle control as determined by EPA using Fisher's exact test ($p \leq 0.01$).

Source: NTP (2001).

5.1.2.1. PBPK Modeling

In deriving candidate RfDs from the rat studies, internal dose metrics generated using PBPK models developed by EPA (see Section 3.5; Appendix C) based on the rat and human PBPK models of Kedderis et al. (1996) and Sweeney et al. (2003), respectively, were employed. The EPA-modified PBPK models included certain realistic features, each of them leading to a different dose metric. The primary features of interest were estimated daily average internal concentrations of AN or CEO in blood (area under the curve [AUC] expressed on a 24-hour basis) and estimates based on continuous versus episodic exposure, with episodic exposure more realistically reflecting how rats (and humans) actually consume drinking water.

As indicated above, two chemical markers of internal exposure were selected (i.e., the concentration in blood of the parent compound, AN, and its reactive metabolite, CEO). These two internal dose metrics were evaluated under an episodic exposure pattern because rats (and humans) consume drinking water in an episodic manner. In addition, for rats and mice, the externally administered AN dose was also used for deriving candidate RfDs for purposes of comparison with the candidate RfDs derived based on internal doses of AN and CEO estimated from the PBPK model.

For this assessment, internal dose metrics were evaluated for use in cross-species extrapolation from rats because of the following:

- The rat and human PBPK models incorporated species differences in physiological processes influencing the disposition of AN and CEO and were developed with rat in vivo toxicokinetic data, human in vitro metabolic data, and rat-to-human allometric scaling (Section 3.5; Appendix C; Sweeney et al., 2003; Kedderis et al., 1996). As such, approaches using these models were expected to provide more accurate bases for extrapolation of dose-response relationships from rats to humans than approaches based

on administered animal dose alone.

- For oral exposures, PBPK model predictions of AN or CEO concentrations in blood appear to provide a more accurate basis for extrapolation than predictions based on forestomach AN-AUC or forestomach CEO-AUC concentrations because the stomach compartment in the PBPK model was not calibrated with measurements of AN or CEO in the stomach epithelium, the site of the forestomach lesions. Thus, more research is needed before a more physiologically meaningful stomach compartment can be incorporated into the model. Blood AN concentrations predicted by the rat PBPK model were fairly close to measured AN concentrations in rats following oral exposure, but the model consistently predicted higher CEO concentrations in blood than was reported in studies of orally exposed rats (see Figure 3 in Kedderis et al., 1996).

Given the uncertainties in the PBPK predictions noted above, especially for CEO, both internal dose metrics (AN-AUC and CEO-AUC in blood) were used in deriving candidate RfDs based on the rat data, in addition to administered dose. The concentration of AN administered in the bioassay, in terms of mg/kg-day, was used as input into the EPA-modified rat PBPK model of Kedderis et al. (1996) in order to predict a rat internal dose (either AN-AUC or CEO-AUC concentration in blood) resulting from the ingestion of the total daily administered dose of AN consumed in six bolus episodes per day. The resulting predicted AN-AUC or CEO-AUC concentrations in rat blood are shown in Table 5-1 for male and female SD and F344 rats.

5.1.2.2. BMD Modeling

The incidences of forestomach lesions observed following 2 years of AN exposure in male and female SD and F344 rats were modeled using AN and CEO in blood, expressed in mg/L, as internal dose metrics. In addition, incidences of these same lesions were modeled in male and female SD and F344 rats, as well as male and female B6C3F₁ mice, employing administered dose. For B6C3F₁ mice, administered doses were multiplied by 5/7 to convert 5 day/week gavage exposures to 7 day/week continuous exposures. In all cases, all of the dichotomous dose-response models available in EPA's BMD Software (BMDS, version 2.0) were fit to these incidence data and BMDs and the 95% lower confidence limit on the BMD (BMDLs) were calculated.

A benchmark response (BMR) of 10% extra risk of forestomach lesions was selected as the response associated with the point of departure (POD) for deriving the RfD in the absence of information regarding what level of change is considered biologically significant, and also to facilitate a consistent basis of comparison across endpoints and assessments. It is possible that the forestomach lesions (hyperplasia and hyperkeratosis) may progress to papilloma and ultimately to carcinoma (Johannsen and Levinskas, 2002b), but specific data supporting this

conclusion are not available. For purposes of comparison, BMDL values associated with a BMR of 5% were also presented.

Because the incidence of forestomach lesions in male and female F344 rats did not increase monotonically across all administered concentrations, none of the models exhibited adequate fit to the data. Following a procedure of sequentially dropping the highest dose and refitting the models, only incidence data from the three lowest concentrations (i.e., 0, 1, and 3 ppm) were ultimately used in dose-response modeling. For the same reason, the incidence data from the highest dose group in male Sprague-Dawley rats needed to be dropped, thus only the three lowest concentrations (i.e., 0, 35, and 100 ppm) were ultimately used in analyses.

In most cases, several models fit the data equally well (i.e., exhibited χ^2 goodness-of-fit p values >0.1). Of those models exhibiting adequate fit and yielding BMDLs sufficiently close to one another, the selected model was the one with the lowest Akaike's Information Criterion (AIC) value, as per the EPA's *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b). The AIC is a measure of the deviance of the model fit that allows for comparison across models for a particular endpoint. BMDL₁₀ and BMDL₀₅ estimates were then derived from this selected model. Appendix B-1 provides additional details regarding the BMD modeling results used in RfD derivation.

For the two internal dose metrics selected (AN and CEO in blood), once the BMDL₁₀ and BMDL₀₅ estimates were derived from the selected model(s), these estimates, expressed as rat internal AUCs (in mg/L), were then input into the EPA-modified human PBPK model of Sweeney et al. (2003) in order to predict the human equivalent administered dose of AN that would result in a human 24-hour blood AN-AUC or CEO-AUC equivalent to the corresponding rat AUC, again assuming six bolus ingestion episodes per day. The resulting predicted 95% lower bounds on the human equivalent administered dose of AN, expressed in mg/kg-day, represent potential PODs for deriving candidate RfDs. In the case of administered dose, the BMDL₁₀ and BMDL₀₅ estimates are already expressed as human equivalent doses (HEDs) in mg/kg-day, and are thus used directly as potential PODs for deriving candidate RfDs. The BMDL₁₀ and BMDL₀₅ estimates and their corresponding PODs across the three dose metrics are presented in Tables 5-3, 5-4, and 5-5 for Sprague-Dawley rats, F344 rats, and B6C3F₁ mice, respectively.

Table 5-3. Candidate RfDs based on BMD modeling of the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in male and female Sprague-Dawley rats exposed to AN in drinking water for 2 years

Sex	Endpoint	Dose metric	BMR ^a	BMDL ^b	POD ^c (mg/kg-d)	UF	Candidate RfD ^d (mg/kg-d)
Male	Forestomach lesions	Administered dose (mg/kg-d)	5%	0.72 mg/kg-d	0.72	100	7.20×10^{-3}
			10%	1.27 mg/kg-d	1.27	100	1.27×10^{-2}
		Predicted AN in blood (mg/L)	5%	4.32×10^{-3} mg/L	1.27	30	4.23×10^{-2}
			10%	7.72×10^{-3} mg/L	2.17	30	7.23×10^{-2}
		Predicted CEO in blood (mg/L)	5%	3.90×10^{-4} mg/L	3.86×10^{-2}	30	1.29×10^{-3}
			10%	6.82×10^{-4} mg/L	6.75×10^{-2}	30	2.25×10^{-3}
Female	Forestomach lesions	Administered dose (mg/kg-d)	5%	0.64 mg/kg-d	0.64	100	6.39×10^{-3}
			10%	1.24 mg/kg-d	1.24	100	1.24×10^{-2}
		Predicted AN in blood (mg/L)	5%	1.76×10^{-3} mg/L	5.35×10^{-1}	30	1.78×10^{-2}
			10%	3.62×10^{-3} mg/L	1.07	30	3.57×10^{-2}
		Predicted CEO in blood (mg/L)	5%	2.88×10^{-4} mg/L	2.84×10^{-2}	30	9.48×10^{-4}
			10%	5.58×10^{-4} mg/L	5.51×10^{-2}	30	1.84×10^{-3}

^aBMR refers to the 95% lower confidence limit on the administered or PBPK-predicted internal dose in the rat associated with a 5 or 10% extra risk for the incidence of forestomach lesions.

^bAll dichotomous models in EPA's BMDS (version 2.0) were fit to the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in Sprague-Dawley rats using the data presented in Table 5-1. For BMD modeling, three different dose metrics were employed: (1) administered animal dose expressed in mg/kg-d, (2) AN in blood (predicted) expressed in mg/L, and (3) CEO in blood (predicted) expressed in mg/L. Adequate fit of a model was achieved if the χ^2 goodness-of-fit statistic yielded a *p*-value >0.1. Of those models exhibiting adequate fit and yielding BMDLs that were sufficiently close, the selected model was the model with the lowest AIC value, as per the EPA's *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b). BMDL₁₀ and BMDL₀₅ estimates were derived from the selected model. Appendix B-1 provides additional details regarding these BMD modeling results.

^cFor administered dose, the POD is the BMDL₀₅ or BMDL₁₀ based on BMD modeling using administered animal dose as the dose metric. For the internal dose metrics (AN and CEO in blood), the PODs are PBPK model-derived human equivalent administered doses of AN that would result in a human 24-hr blood AN-AUC or CEO-AUC equivalent to the corresponding rat BMDL₀₅ or BMDL₁₀ values, assuming AN ingestion in six bolus episodes/d.

^dRfD = POD/UF.

Sources: Quast (2002); Quast et al. (1980a).

Table 5-4. Candidate RfDs based on BMD modeling of the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in male and female F344 rats exposed to AN in drinking water for 2 years

Sex	Endpoint	Dose metric	BMR ^a	BMDL ^b	POD ^c (mg/kg-d)	UF	Candidate RfD ^d (mg/kg-d)
Male	Forestomach lesions	Administered dose (mg/kg-d)	5%	9.97×10^{-2} mg/kg-d	9.97×10^{-2}	100	9.97×10^{-4}
			10%	0.151 mg/kg-d	0.151	100	1.51×10^{-3}
		Predicted AN in blood (mg/L)	5%	5.39×10^{-4} mg/L	1.66×10^{-1}	30	5.55×10^{-3}
			10%	8.14×10^{-4} mg/L	2.50×10^{-1}	30	8.35×10^{-3}
		Predicted CEO in blood (mg/L)	5%	5.06×10^{-5} mg/L	5.00×10^{-3}	30	1.67×10^{-4}
			10%	7.65×10^{-5} mg/L	7.56×10^{-3}	30	2.52×10^{-4}
Female	Forestomach lesions	Administered dose (mg/kg-d)	5%	0.117 mg/kg-d	0.117	100	1.17×10^{-3}
			10%	0.209 mg/kg-d	0.209	100	2.09×10^{-3}
		Predicted AN in blood (mg/L)	5%	5.58×10^{-4} mg/L	1.72×10^{-1}	30	5.74×10^{-3}
			10%	9.97×10^{-4} mg/L	3.06×10^{-1}	30	1.02×10^{-2}
		Predicted CEO in blood (mg/L)	5%	5.17×10^{-5} mg/L	5.11×10^{-3}	30	1.70×10^{-4}
			10%	9.23×10^{-5} mg/L	9.12×10^{-3}	30	3.04×10^{-4}

^aBMR refers to the 95% lower confidence limit on the administered or PBPK-predicted internal dose in the rat associated with a 5 or 10% extra risk for the incidence of forestomach lesions.

^bAll dichotomous models in EPA's BMDS (version 2.0) were fit to the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in F344 rats using the data presented in Table 5-1. For BMD modeling, three different dose metrics were employed: (1) administered animal dose expressed in mg/kg-d, (2) AN in blood (predicted) expressed in mg/L, and (3) CEO in blood (predicted) expressed in mg/L. Adequate fit of a model was achieved if the χ^2 goodness-of-fit statistic yielded a p -value >0.1. Of those models exhibiting adequate fit and yielding BMDLs that were sufficiently close, the selected model was the model with the lowest AIC value, as per the EPA's *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b). BMDL₁₀ and BMDL₀₅ estimates were derived from the selected model. Appendix B-1 provides additional details regarding these BMD modeling results.

^cFor administered dose, the POD is the BMDL₀₅ or BMDL₁₀ based on BMD modeling using administered animal dose as the dose metric. For the internal dose metrics (AN and CEO in blood), the PODs are PBPK model-derived human equivalent administered doses of AN that would result in a human 24-hr blood AN-AUC or CEO-AUC equivalent to the corresponding rat BMDL₀₅ or BMDL₁₀ values, assuming AN ingestion in six bolus episodes/d.

^dRfD = POD/UF.

Sources: Johannsen and Levinskas (2002b); Biodynamics (1980c).

Table 5-5. Candidate RfDs based on BMD modeling of the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in male and female B6C3F₁ mice exposed to AN via gavage for 2 years

Sex	Endpoint	Dose metric	BMR ^a	BMDL ^b (mg/kg-d)	POD ^c (mg/kg-d)	UF	Candidate RfD ^d (mg/kg-d)
Male	Forestomach lesions	Administered dose (mg/kg-d)	5%	1.43	1.43	100	1.43×10^{-2}
			10%	3.01	3.01	100	3.01×10^{-2}
Female	Forestomach lesions	Administered dose (mg/kg-d)	5%	3.02	3.02	100	3.02×10^{-2}
			10%	6.20	6.20	100	6.20×10^{-2}

^aBMR refers to the 95% lower confidence limit on the administered dose in the mouse associated with either a 5 or 10% extra risk for the incidence of forestomach lesions.

^bAll dichotomous models in EPA's BMDS (version 2.0) were fit to the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in B6C3F₁ mice using the data presented in Table 5-2. For BMD modeling, administered animal dose, expressed in mg/kg-d, was employed. Adequate fit of a model was achieved if the χ^2 goodness-of-fit statistic yielded a *p*-value >0.1. Of those models exhibiting adequate fit and yielding BMDLs that were sufficiently close, the selected model was the model with the lowest AIC value, as per the EPA's *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b). BMDL₁₀ and BMDL₀₅ estimates were derived from the selected model. Appendix B-1 provides additional details regarding these BMD modeling results.

^cThe POD is the BMDL₀₅ or BMDL₁₀ based on BMD modeling using administered animal dose as the dose metric. No internal dose metrics were employed for mice because of the absence of a PBPK model for this species.

^dRfD = POD/UF.

Source: NTP (2001).

5.1.3. RfD Derivation—Including Application of Uncertainty Factors (UFs)

The following uncertainty factors were applied to the candidate PODs to derive candidate RfD values.

Animal to human extrapolation. In Tables 5-3 and 5-4, the candidate PODs based on the internal dose metrics (i.e., CEO-AUC in blood and AN-AUC in blood) were divided by an UF of 3 (i.e., $10^{0.5}$) to account for uncertainty associated with extrapolating from rats to humans. A factor of 3 was chosen instead of a factor of 10 because toxicokinetic differences were largely addressed by the application of the rat and human PBPK models. The applied factor of 3 was selected to account for any remaining toxicokinetic uncertainties in the dosimetric extrapolation, and possible differences in the response of rat and human target tissues to AN or its metabolites (i.e., toxicodynamic differences).

The candidate PODs based on administered dose in Tables 5-3, 5-4, and 5-5 were divided by an UF of 10 to account for uncertainty associated with extrapolating from rodents to humans because information was unavailable to quantitatively assess toxicokinetic or toxicodynamic differences between animals and humans.

Human variation. An UF of 10 was used to account for potentially sensitive human subpopulations in the absence of information on the variability of response to AN in the human population. Information is unavailable to assess human-to-human variability in AN toxicokinetics and toxicodynamics.

Subchronic to chronic extrapolation. An UF to account for extrapolation from subchronic to chronic exposure was not necessary because data from chronic oral studies in rats and mice were used to derive the candidate PODs.

LOAEL to NOAEL extrapolation. An UF to account for LOAEL to NOAEL extrapolation was not applied because the current approach is to address this extrapolation as one of the considerations in selecting a BMR for BMD modeling. In this case, a BMR of a 10% extra risk of forestomach lesions was selected under an assumption that it represents a minimal biologically significant change.

Database deficiencies. A database deficiency UF was not used in the development of the RfD. Although studies of health effects in humans exposed to AN by the oral route are not available, the animal oral toxicity database is particularly robust. As discussed in Section 4.6.1, there are nine rat toxicity and cancer bioassays, one toxicity and cancer bioassay with B6C3F₁ mice, a three-generation (46-week) developmental/reproductive toxicity study with Sprague-Dawley rats, a 12-week gavage study of nerve conduction velocities in male Sprague-Dawley rats, a 14-week gavage toxicity bioassay in B6C3F₁ mice, a developmental toxicity study in Sprague-Dawley rats exposed by gavage during GDs 6–15, and a 90-day study of oxidative stress indicators in the brain and liver of F344 rats. These animal data identify forestomach lesions as the most sensitive, prevalent, and consistent noncancer effect in animals associated with repeated oral exposure to AN. In addition, the data for forestomach lesions in rats and mice

provide an adequate characterization of the dose-response relationship for the development of these lesions with chronic exposure, which, coupled with the application of the PBPK models for cross-species dosimetric extrapolation, adds to the confidence in the estimation of a chronic oral RfD.

For the purpose of this assessment, CEO-AUC in blood is considered to be the most appropriate dose metric to use in deriving an RfD. CEO is believed to be the reactive metabolite most likely responsible for the noncancer (and cancer) effects observed following AN exposure. Results from acute exposure studies in rats indicate that CEO plays a key role in the mode of action by which AN elicits stomach lesions. Research has shown that pretreatment with inhibitors of CYP2E1 inhibited the development of GI ulceration, following acute exposure of rats to AN, and doses of KCN equivalent to toxic doses of AN did not induce GI bleeding in a similar manner to AN (Ghanayem et al., 1985; Ghanayem and Ahmed, 1983). For oral exposures, then, the use of CEO-AUC as the internal dose metric for cross-species extrapolation is recommended. Therefore, while the PBPK model does not predict CEO in blood as accurately as it predicts AN in blood, CEO in blood provides the most biologically relevant dose metric for modeling the incidence of forestomach lesions and for extrapolating from orally exposed rats to humans.

In comparing the candidate RfDs for the preferred dose metric of predicted CEO in blood across Tables 5-3 and 5-4, the candidate RfDs based on the forestomach lesion incidence data in F344 rats (Johannsen and Levinskas, 2002b; Biodynamics, 1980c) are about an order of magnitude lower than those candidate RfDs based on forestomach lesion incidence data in Sprague-Dawley rats (Quast, 2002; Quast et al., 1980a). This comparison indicates that the F344 rats are more sensitive to AN exposure than Sprague-Dawley rats. Moreover, these same candidate RfDs in F344 rats are approximately two orders of magnitude lower than the candidate RfDs based on administered dose in B6C3F₁ mice (NTP, 2001). Therefore, for the critical endpoint (i.e., forestomach lesions), the F344 rat is the most sensitive species and strain. Consequently, the RfD based on data from Johannsen and Levinskas (2002b) in male and female F344 rats is 3×10^{-4} mg/kg-day (i.e., 2.52×10^{-4} and 3.04×10^{-4} mg/kg-day, respectively).

5.1.4. Data Array for Oral Noncancer Endpoints

LOAELs based on selected animal studies presented in Table 4-58 are arrayed for comparison in Figure 5-1, and provide a perspective on the RfD developed in the previous section from data in F344 rats (Johannsen and Levinskas, 2002b). Figure 5-1 should be interpreted with caution, however, because the LOAELs across studies are not necessarily comparable due to the lack of any indication regarding the confidence in the data sets from which the LOAELs were derived. In addition, the nature, severity, and incidence of effects at a LOAEL are also likely to vary. For example, the incidence of forestomach squamous cell hyperplasia in male and female F344 rats at the LOAEL were both 17%, while in Sprague-Dawley rats, the incidences of the same lesion at the LOAEL in males and females were 73 and 23%, respectively.

The predominant noncancer effect of chronic oral exposure to AN is hyperplasia and hyperkeratosis of squamous cell epithelial tissue in the forestomach. The LOAELs for this endpoint are lower than those observed for chronic nephropathy, gliosis, ovarian cysts, or developmental effects. Therefore, the RfD based on hyperplasia and hyperkeratosis of squamous cell epithelial tissue in the forestomach should be protective of other effects resulting from oral exposure to AN.

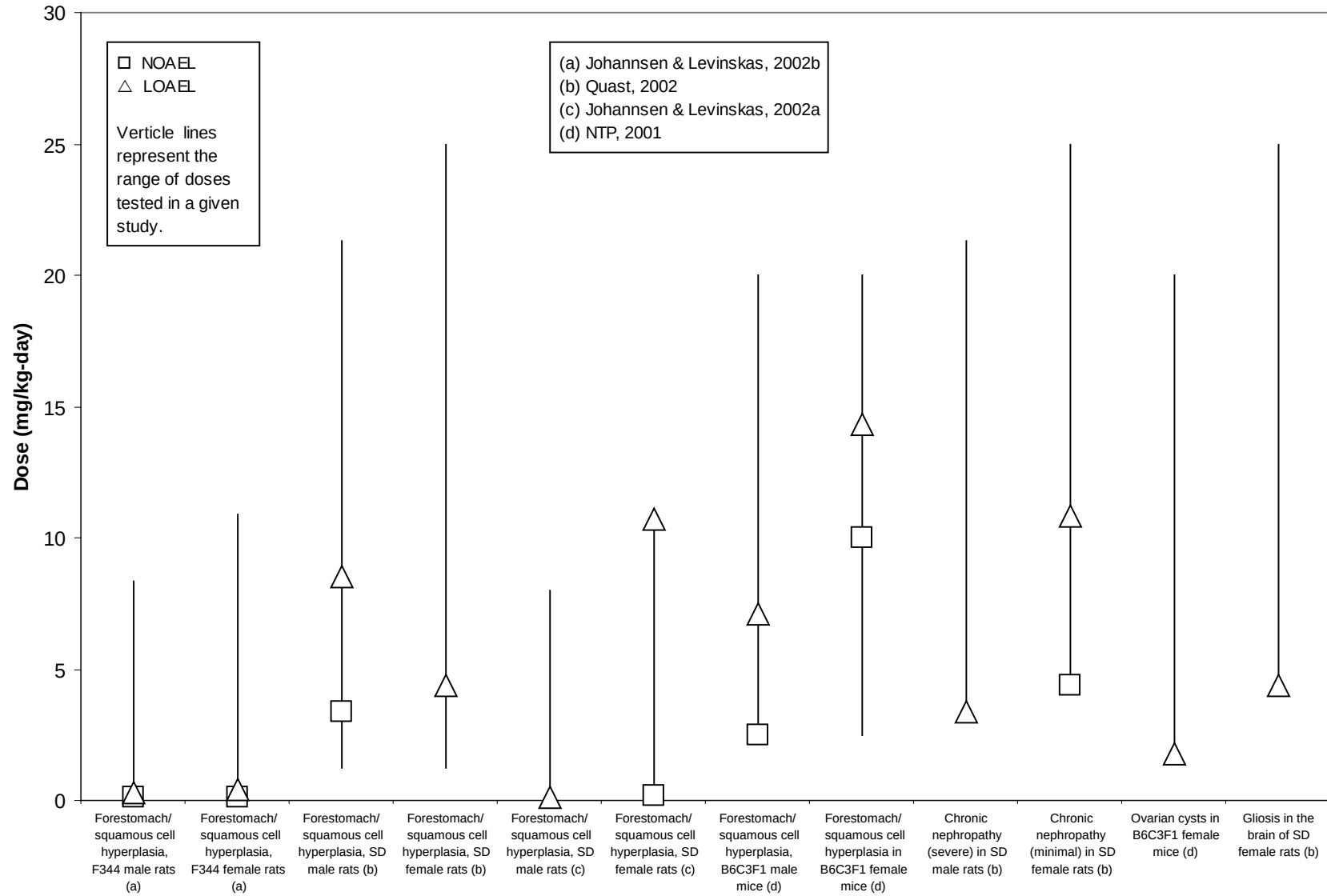


Figure 5-1. Exposure-response array for noncancer endpoints across target organs following oral exposure to AN in animals.

5.1.5. Previous RfD Assessment

No RfD was derived in the previous IRIS assessment of AN.

5.2. INHALATION REFERENCE CONCENTRATION (RfC)

5.2.1. Choice of Principal Study and Critical Effect

As discussed in Sections 4.1.2.2.2 and 4.6, results from several studies of AN-exposed workers (Chen et al., 2000; Kaneko and Omae, 1992; Muto et al., 1992; Sakurai et al., 1978) and a study of the performance on a battery of neurobehavioral tests by exposed workers (Lu et al., 2005a) identified increased prevalence of subjective neurological symptoms (e.g., headache, poor memory, and irritability) and small performance deficits in neurobehavioral tests as the critical effects from chronic occupational inhalation exposure to AN. An increased prevalence of subjective symptoms was associated with average workplace air concentrations of 1.13 ppm (Muto et al., 1992), 1.8 ppm (Kaneko and Omae, 1992), and 0.48 ppm (Chen et al., 2000). Deficits in the neurobehavioral tests were associated with average workplace air concentrations of 0.11 ppm for a group of workers designated as monomer workers and 0.91 ppm for a group of workers designated as fiber workers (Lu et al., 2005a).

Cross-sectional epidemiologic surveys of reproductive outcomes in AN-exposed workers found increased prevalence of adverse reproductive outcomes associated with somewhat higher average workplace air concentrations of 3.6 ppm (Dong et al., 2000a) and 7.5 ppm (Li, 2000). Adverse outcomes with statistically significantly increased prevalence compared with unexposed workers included the following:

- Premature deliveries—8.2% in exposed females vs. 3.9% in controls (Dong et al., 2000b); and 11.6% in exposed females vs. 4.7% in controls (Li, 2000)
- Stillbirths—2.7% in exposed females vs. 1.1% in controls (Dong et al., 2000b)
- Sterility— 2.6% in exposed females vs. 0.8% in controls (Li, 2000)
- Birth defects—21.3 per 1000 live births in exposed females vs. 4.8 per 1000 live births in controls (Dong et al., 2000b); 25.4 per 1000 live births in exposed females vs. 4.2 per 1000 live births in controls (Li, 2000)
- Pregnancy complications—20.8% in exposed females vs. 7.1% in controls (Li, 2000)

Exposure levels associated with adverse effects in the available animal inhalation toxicity studies were higher than the workplace air concentrations associated with adverse effects in AN-exposed workers (see Tables 4-59 and 4-60). With repeated inhalation exposure to AN, effects noted with the lowest exposure levels were as follows:

- Increased incidence (58% in males, 61% in females) of nasal epithelial lesions in Sprague-Dawley rats exposed for 2 years to 20 ppm AN (Quast et al., 1980b)

- Deficits in sensory nerve conduction in the tail nerve of Sprague-Dawley rats exposed for 12 weeks to AN concentrations ≥ 25 ppm, starting at 5% at 25 ppm (Gagnaire et al., 1998)
- Increased incidence of litters with any malformation (short tail, short trunk, missing vertebrae, or anteriorly displaced ovaries) in Sprague-Dawley rats exposed to AN concentrations of 80 ppm (by 15%) on GDs 6–15 (Murray et al., 1978)
- Decreased fetal weight gain (5%) per litter in Sprague-Dawley rats exposed to AN concentrations ≥ 25 ppm on GDs 6–20 (Saillenfait et al., 1993).

The cross-sectional study of neurobehavioral performance in acrylic fiber workers by Lu et al. (2005a) was selected as the principal study for deriving the RfC because it is the best available study that identified neurobehavioral effects in workers exposed to AN. Previous occupational studies by Kaneko and Omae (1992) and Muto et al. (1992) reported subjective neurological symptoms (e.g., poor memory and irritability) in exposed workers. Lu et al. (2005a) utilized the WHO-recommended NCTB administered by trained physicians to evaluate these neurobehavioral effects systematically. Hence, the results were more reliable when compared with those based on self reporting. In addition, neurobehavioral effects were also reported in AN-treated rats (Rongzhu et al., 2007; Ghanayem et al. 1991). Confounding by other workplace exposures is not considered likely.

The distribution of employment duration for the monomer workers was 1–10 years, 23%; 11–20 years, 42%; and >20 years, 35%. For fiber workers, the distribution was 1–10 years, 47%; 11–20 years, 23%; and >20 years, 30%. Geometric mean workplace AN air concentrations were 0.11 ppm for the monomer operations areas (range 0–1.70 ppm based on 390 stationary air samples collected between 1997 and 1999) and 0.91 ppm for the acrylic fiber operations areas (range 0.00–8.34 ppm based on 570 samples). For monomer workers, the following statistically significant deficits, compared with unexposed controls, were measured:

- 41–68% higher scores for negative moods (i.e., anger, confusion, depression, fatigue, and tension) in the Profile of Mood States Test.
- 16% longer times in the Simple Reaction Time Test of attention and visual response speed.
- 21% lower scores in the backward sequence of the Digit Span Test of auditory memory.
- 4% lower scores in the Benton Visual Retention Test, a measure of visual perception and memory.
- 14% lower scores in the Pursuit Aiming II Test, a measure of motor steadiness.

Statistically significant deficits were not found in the Santa Ana Test for manual dexterity or in the Digital Symbol Test for perceptual motor speed. Fiber workers showed deficits of a

similar magnitude in many of the same tests (20–44% higher in Profile of Mood States Test, 10% longer in the Simple Reaction Time Test, 24% lower score in the backward sequence of the Digit Span Test, 4% lower scores in the Benton Visual Retention Test, and 10% lower scores in the Pursuit Aiming II Test), with the exception that scores in the forward sequence of the Digit Span Test were significantly better than those of unexposed workers.

Lu et al. (2005a) reported that air in these workplaces also presented potential exposures to cyanide in the monomer operations areas and methyl methacrylate in the fiber areas, but measurements of these chemicals in air samples were not made. According to Dr. Lu (email from Dr. Rongzhu Lu, Department of Preventive Medicine, College of Medicine, Jiangsu University, China, to Dr. Diana Wong, U.S. EPA, dated 5/15/2008), cyanide is one of the byproducts in the production of AN by oxidation of ammonia and propylene, and this byproduct is recycled as raw material to produce sodium cyanide. Because its concentration is too low to be detected, there are no workplace monitoring data. Therefore, the concentration of cyanide in the monomer plant should be low compared with AN. Methyl methacrylate was generally used as a minor second monomer in the production of acrylic fiber. According to Dr. Lu (email from Dr. Rongzhu Lu, Department of Preventive Medicine, College of Medicine, Jiangsu University, China, to Dr. Diana Wong, U.S. EPA, dated 5/20/2008), the ratio of AN to methyl methacrylate to methylene succinic acid (third monomer) should be approximately 90-94 to 5-8 to 0.3-2. Since cyanide and methyl methacrylate occurred only at trace levels, if at all, they were not considered to be confounding exposures. Therefore, potential exposure to methyl methacrylate and cyanide was determined not to be a significant limitation in using Lu et al. (2005a) to identify neurological effects as a potential health hazard from occupational exposure to AN and derive an RfC for chronic inhalation exposure to AN. Both groups of workers showed deficits, and the results from this study are consistent with the increased prevalence of subjective symptoms in other studies of AN-exposed workers.

An RfC based on the results from the chronic inhalation bioassay with Sprague-Dawley rats (Quast et al., 1980b) was also derived for comparison purposes. As discussed in Section 4.6.2, statistically significant increased incidence of inflammatory and degenerative nasal lesions (i.e., hyperplasia of mucus-secreting cells in males and flattening of respiratory epithelium in females) occurred in rats exposed to the lowest level of AN in this two-year bioassay, 20 ppm (6 hours/day, 5 days/week), and represent the critical effects in animals exposed to AN chronically by inhalation. At the higher exposure level, 80 ppm, other nasal lesions with elevated incidences were suppurative rhinitis and focal erosion of the mucous lining in females and hyperplasia of respiratory epithelium in males and females. Other lesions with elevated incidences at the 80-ppm exposure level were gliosis and perivascular cuffing in the brain of males and females, focal nephrosis and thyroid cysts in males, and hepatic necrosis in females (which was also elevated at 20 ppm).

5.2.2. Methods of Analysis

A NOAEL/LOAEL approach to the human data was used to derive the RfC. The performance deficits measured in monomer and fiber workers were judged to be adverse and the geometric mean of the range of air concentrations measured for the monomer work areas, 0.11 ppm (0.24 mg/m³), identified as the LOAEL, was selected as the POD for deriving the RfC.

For the animal data, a BMD approach was used. Dose-response models available in EPA's BMDS (version 1.3.2) were fit to incidence data for flattening of the respiratory epithelium in female rats and hyperplasia of mucus-secreting cells in male rats. Prior to modeling, animal exposure data were converted to human equivalent concentrations (HECs) using U.S. EPA (1994) methods for extrathoracic respiratory effects from a category 1 gas (Table 5-6). A BMR of 10% extra risk was selected as the POD for deriving the RfC based on both biological and statistical considerations. Biologically, the endpoints selected on which to derive the RfC (i.e., flattening of the respiratory epithelium in female rats and hyperplasia of mucus-secreting cells in male rats) are relatively benign. A BMR of 10% extra risk was selected under the assumption that it represents a minimal biologically significant change. A BMR of 10% was also selected to facilitate a consistent basis of comparison across endpoints and assessments. Benchmark concentrations (BMCs) and the 95% lower bounds on the BMCs (BMCL_{10S}) for the best-fitting models are shown in Table 5-6. Potential PODs for the animal-based RfC are the BMCL_{10S} of 0.082 and 0.059 mg/m³ for nasal effects in male and female rats, respectively. More detailed information on these BMD modeling results is presented in Appendix B-2.

Table 5-6. Results of dose-response analyses of incidence data for selected nasal lesions in male and female Sprague-Dawley rats exposed by inhalation to AN for 2 years

Nasal lesion	Administered concentration (mg/m ³)	HEC (mg/m ³) ^a	Lesion incidence	BMC ₁₀ (mg/m ³) ^b	BMCL ₁₀ (mg/m ³) ^b	Candidate RfC (mg/m ³) ^c
Hyperplasia of mucus-secreting cells in males	0	0	0/11 (0%)	0.187	0.082	3×10^{-3}
	43.4	2.1	7/12 (58%) ^d			
	173.6	8.5	8/10 (80%) ^d			
Flattening of respiratory epithelium in females	0	0	1/11 (9.1%)	0.162	0.059	2×10^{-3}
	43.4	2.1	7/10 (70%) ^d			
	173.6	8.5	8/10 (80%) ^d			

^aHEC as per U.S. EPA (1994) methods for a category 1 gas producing an upper respiratory effect.

Sample calculation: $43.4 \text{ mg/m}^3 \times 6\text{h}/24\text{h} \times 5\text{d}/7\text{d} \times \text{RGDR}_{\text{ET}} = 2.1 \text{ mg/m}^3$, where $\text{RGDR}_{\text{ET}} = 0.275 = [\text{VE}/\text{SA}_{\text{ET}}]_{\text{rat}} \div [\text{VE}/\text{SA}_{\text{ET}}]_{\text{human}}$; VE = minute volume = 0.281 L/min rat, 13.8 L/min human; SA_{ET} = extrathoracic surface area = 15 cm² rat, 200 cm² human.

^bBMC₁₀ and BMCL₁₀ refer to the BMD model-predicted air concentration and its 95% lower confidence limit, associated with a 10% extra risk for having nonneoplastic nasal lesions. BMC₁₀s and BMCL₁₀s are estimated from the best-fitting model among those fit to the data. More detailed information on the BMD modeling results is presented in Appendix B-2.

^cRfC = BMCL₁₀/UF, where the UF is 30.

^dStatistically significantly different from control value as reported by Quast et al., 1980b.

Source: Quast et al. (1980b).

5.2.3. RfC Derivation—Including Application of Uncertainty Factors (UFs)

The LOAEL of 0.11 ppm (0.24 mg/m³) from Lu et al. (2005a) for statistically significant performance deficits in neurobehavioral tests of mood, attention and speed, auditory memory, visual perception and memory, and motor steadiness in humans occupationally exposed to AN via inhalation was used for derivation of the RfC. Since the LOAEL was from an occupational study, the adjusted LOAEL for continuous exposure was obtained by multiplying the study LOAEL by a factor of 0.36 (5 days/7 days $\times$ 10 m³/day $\div$ 20 m³/day). This adjusted LOAEL (LOAEL_{ADJ}) of 0.086 mg/m³ was divided by a composite UF of 100 to derive an RfC of 9×10^{-4} mg/m³. This two-step calculation is illustrated below:

1. LOAEL_{ADJ} = $0.24 \text{ mg/m}^3 \times 0.36 = 0.086 \text{ mg/m}^3$
2. RfC = $0.086 \text{ mg/m}^3 \div 100 = 0.00086 \text{ mg/m}^3$ or $0.9 \text{ } \mu\text{g/m}^3$

The following UFs were applied in the calculation of the RfC: 10 for consideration of intraspecies (human) variability, and 10 for extrapolation from a LOAEL to NOAEL. The composite UF = $10 \times 10 = 100$.

Animal to human extrapolation. An UF for animal to human extrapolation was not applied because the RfC was derived from data collected from humans.

Human variation. An UF of 10 was used to account for potentially sensitive human subpopulations in the absence of information on the variability of response to AN in the human population. Information is unavailable to assess human-to-human variability in AN toxicokinetics and toxicodynamics.

Subchronic to chronic extrapolation. An UF for study duration was not applied because the principal study was of chronic duration.

LOAEL to NOAEL extrapolation. An UF of 10 was applied to extrapolate from a LOAEL to a NOAEL because the POD for derivation of the RfC was a LOAEL.

Database deficiencies. A database UF was not applied because the database for AN is robust. The inhalation database includes eight occupational exposure studies that evaluated the noncancer health effects of AN on workers exposed via inhalation. Three of these studies evaluated reproductive endpoints in AN-exposed workers. The database also includes one chronic inhalation toxicity study in male and female Sprague-Dawley rats; one two-generation reproductive toxicity study of inhaled AN vapors in Crl:CD(SD) rats (Nemec et al., 2008); one 24-week nerve conduction velocity study in male rats; and 2 developmental studies in rats exposed from GD 6-15 or GD 6-20.

Comparative animal-based RfCs of 3×10^{-3} mg/m³ (or 3 µg/m³) and 2×10^{-3} mg/m³ (or 2 µg/m³) were derived by dividing the BMDL₁₀s of 0.082 mg/m³ and 0.059 mg/m³ for nasal lesions in male and female rats, respectively, by UFs of 30 (3 for extrapolating from rats to humans using the default U.S. EPA [1994] dosimetric adjustment and 10 to protect sensitive human subpopulations). These candidate RfCs are displayed in Table 5-6. Extrapolating the results from animal toxicity studies to derive an RfC has inherently greater uncertainty than using the results from the cross-sectional studies of health effects in human workers; however, the human-based and animal-based RfCs differ by about twofold.

For this assessment, the human-based RfC of 9×10^{-4} mg/m³ or 0.9 µg/m³ is the recommended reference value.

5.2.4. Data Array for Inhalation Noncancer Endpoints

LOAELs based on selected studies in human workers included in Table 4-59 are summarized in Table 5-7 and provide perspective on the RfC derived from Lu et al. (2005a). The LOAELs in Table 5-7 should be interpreted with caution because the LOAELs across studies are not necessarily comparable, due to inherent limitations in NOAEL/LOAEL determinations (U.S. EPA, 2000b), nor is the confidence in the data sets from which the LOAELs were derived the same. The nature, severity, and incidence of effects occurring at a LOAEL are likely to vary. The text in Sections 4.1.2.2.2 and 5.2.1 should be consulted for a more complete understanding of the issues associated with each data set and the rationale for the selection of the critical effect and principal study used to derive the RfC. The most sensitive

endpoint is the neurobehavioral effects identified in Lu et al. (2005a) and this endpoint provides the basis for the derivation of the RfC.

Table 5-7. Comparison of LOAELs for noncancer effects in human workers following inhalation exposure to AN

Reference	Endpoint	LOAEL (ppm)
Kaneko and Omae, 1992	Subjective symptoms in males	1.8
Muto et al., 1992	Subjective symptoms in males	1.1
Chen et al., 2000	Subjective symptoms in males and females	0.5
Lu et al., 2005a	Neurobehavioral deficits in males and females	0.1
Xu et al., 2003	Decrease in sperm density and number and sex chromosome aneuploidy in males	0.4
Dong et al., 2000b	Adverse reproductive outcomes in males and females	3.6
Li, 2000	Adverse reproductive outcomes in females	7.5

5.2.5. Previous RfC Assessment

Previously, EPA derived an RfC of 2×10^{-3} mg/m³ from the low exposure level (i.e., 20 ppm AN, duration-adjusted to a LOAEL_{HEC} of 1.9 mg/m³) in the Quast et al. (1980b) animal study, which was identified as a LOAEL for the onset of hyperplasia of the mucus-secreting cells. The LOAEL_{HEC} was divided by a combined UF of 1,000 to derive the RfC. This composite UF was made up of 10 for intraspecies variability; 3 for interspecies variability, where dosimetric adjustments had already been applied to account for the toxicokinetic component of this area of uncertainty; 3 for extrapolation from a minimally adverse LOAEL to a NOAEL; and 10 for database deficiencies. The latter UF was applied because of the lack of an inhalation bioassay in a second species and the absence of reproductive data by the inhalation route where an oral study existed that showed reproductive effects.

New pertinent information, available since the previous RfC was developed, includes: (1) several cross-sectional health examinations and surveys of subjective symptoms and reproductive outcomes in AN-exposed workers; (2) a published cross-sectional study of performance in a battery of neurobehavioral tests by AN-exposed workers (Lu et al., 2005a) (the principal study for the current RfC); (3) toxicokinetic information and the development of PBPK models for AN; and (4) two inhalation developmental toxicity studies in rats.

5.3. UNCERTAINTIES IN THE ORAL REFERENCE DOSE AND INHALATION REFERENCE CONCENTRATION

The following discussion identifies uncertainties associated with the RfD or RfC for AN. As presented earlier in Sections 5.1.2 and 5.1.3 for the RfD and Sections 5.2.2 and 5.2.3 for the RfC, the UF approach, following EPA methodology for RfC and RfD development (U.S. EPA, 2002, 1994), was applied to a POD. For the RfD, the POD was determined as the BMDL₀₅

(using CEO concentration in blood as the dose metric) estimated from rats and subsequently converted to a HED. For the RfC, the POD (a LOAEL) was derived from an epidemiologic study that examined neurobehavioral effects. The POD divided by a set of factors to account for uncertainties including extrapolation from responses observed in animal bioassays to humans, extrapolation from a LOAEL to an estimate of the NOAEL, to account for a diverse population of varying susceptibilities, and to account for database deficiencies. These extrapolations are carried out with assumptions instead of data on AN, given the limitations in experimental AN data to inform individual steps.

Selection of principal study and critical effect for reference value determination

Hyperplasia and hyperkeratosis of squamous epithelium of the forestomach was selected as the critical effect for the RfD. This effect is the most prevalent, consistent, and most sensitive effect in rats and mice. Since GI bleeding occurs after s.c. injection of AN in rats, this effect is probably not due to local irritation on gastric tissues, but is likely due to binding of CEO to GI mucosa. Although humans do not possess a forestomach, humans do have comparable squamous cell epithelial tissues in their oral cavity and the upper two-thirds of their esophagus (IARC, 1999). Thus, there is little uncertainty that this effect is relevant to humans.

For derivation of the RfC, both a 2-year rat inhalation study and epidemiologic studies demonstrating neurological effects are available. To reduce uncertainty in extrapolating from animals to humans, epidemiologic studies are preferred. Neurobehavioral effects of AN-exposed workers was selected as the critical effect since this effect was observed in several occupational studies with workers chronically exposed to AN via the inhalation route. The primary limitation of the selected principal study (Lu et al., 2005a) identified by the study authors was the extent of exposure data, with exposure measures based on area sampling during 1997 to 1999; no contemporaneous personal monitoring data was available.

Animal to human extrapolation

No human oral exposure studies are available for derivation of the RfD. For derivation of the RfD, extrapolating dose-response data from animals to humans is a source of uncertainty. A PBPK model, which has its own associated uncertainties, was used to address toxicokinetic differences between animals and humans. Uncertainties of the PBPK model are discussed as part of the overall uncertainty discussion (Section 5.4.4.5), with quantitative details given in Appendix D. Residual uncertainties pertaining to unknown interspecies differences in pharmacodynamics were addressed by application of an UF of 3.

A human occupational exposure study (Lu et al., 2005a) was used for derivation of the RfC, eliminating uncertainty associated with extrapolation from animals to humans. An RfC was also derived from a two-year inhalation study of rats based on increased incidence of

inflammatory and degenerative nasal lesions. This alternative RfC was approximately twofold higher than the RfC derived from Lu et al. (2005a).

Dose-response modeling

BMD modeling was used to estimate the POD for the RfD. While models with better biological support may exist, the selected models provided adequate mathematical fits to the experimental data sets. BMD modeling has advantages over a POD based on a NOAEL or LOAEL because NOAELs/LOAELs are a reflection of the particular exposure concentration or dose at which a study was conducted, they do not make use of the dose-response curve, and they do not address the variability of the study population. NOAELs and LOAELs also are less amenable to quantitative uncertainty analysis.

The RfC was based on a LOAEL identified from an occupational epidemiology study. As stated above, there are several reasons to prefer a POD obtained from BMD modeling. However, the available data only supported a LOAEL. An UF to address LOAEL to NOAEL extrapolation was applied.

Intrahuman variability

Heterogeneity among humans is another source of uncertainty. Uncertainty related to human variation needs consideration, also, in extrapolation from a small subset of presumably healthy humans (i.e., workers) to a larger, more diverse general population. Available data from animal studies provide no evidence of gender differences in susceptibility to toxicity of AN, although no data are available regarding possible gender differences in susceptibility. Human genetic polymorphisms in CYP2E1 activities likely contribute to variability in human susceptibility to the toxic effects of AN (see Section 4.8.4.1). An UF of 10 was used to account for human variability for derivation of the RfD and RfC. A factor of 10 has been found to be generally sufficient to account for human variability in response to chemical exposure (Renwick and Lazarus, 1998).

5.4. CANCER ASSESSMENT

5.4.1. Choice of Study/Data—with Rationale and Justification

As previously discussed in Section 4.1.2.2, evidence of a possible association between exposure to AN and cancer in humans has been found in some studies. The best available occupational epidemiologic study (Blair et al., 1998) reported that workers exposed to AN via inhalation in the highest cumulative exposure category (i.e., >8 ppm-years) with more than 20 years of employment displayed a twofold increased risk of death from lung cancer compared with unexposed workers (RR = 2.1, 95% CI = 1.2–3.8). To date, Blair et al. (1998) is the largest cohort study to assess the relationship between AN and cancer, following 25,460 workers in eight AN-producing facilities, with two-thirds of this cohort having a follow-up period of over

20 years. The large sample size and the long follow-up time in this study provide a good opportunity to detect any substantial elevation of case-specific cancer deaths. The findings from Blair et al. (1998) are not inconsistent with other studies evaluating carcinogenic endpoints from AN exposure. However, Blair et al. (1998) addressed known problems with earlier studies by quantifying exposures, examining potential confounding from smoking, and employing an internal control group of unexposed workers. And although only 5% of the cohort had died by the time of analysis, this study has a large number of observed deaths that can be used to assess the relationship between AN-exposure and cancer based on mortality. Also, Blair et al. (1998) utilized a detailed job-exposure matrix as part of the exposure assessment. For these reasons, data from Blair et al. (1998) were chosen to derive an inhalation unit risk (IUR) for AN. Additionally, the use of epidemiology data for the derivation of the IUR, versus using data from an animal bioassay, reduces uncertainty inherent in animal to human extrapolation.

Sections 4.6.1 and 4.6.2 summarized the current animal data on AN indicating that it is a multiple-site carcinogen in chronic oral and inhalation bioassays with rats and mice. The best available animal studies for evaluating the dose-response relationship between AN exposures and forestomach, CNS, Zymbal gland, tongue, and mammary gland tumors were two chronic drinking water studies, one with Sprague-Dawley rats exposed to 0, 35, 100, or 300 ppm AN in drinking water for 2 years (Quast, 2002; Quast et al., 1980a) and the other with F344 rats exposed to 0, 1, 3, 10, 30, or 100 ppm AN in drinking water for 2 years (Johannsen and Levinskas, 2002b; Biodynamics, 1980c). In addition, data from another bioassay with Sprague-Dawley rats exposed to AN in drinking water at concentrations of 0, 1, or 100 ppm for 2 years were also considered (Johannsen and Levinskas, 2002a; Biodynamics, 1980a). In the absence of human studies demonstrating the carcinogenicity of chronic oral exposure to AN, developing an oral cancer slope factor (CSF) from these animal studies is reasonable, especially because the application of the AN PBPK models described in Section 3 can decrease the toxicokinetic uncertainty in the interspecies extrapolation and in the mode of action of carcinogenicity of AN in rodents versus humans.

Animal data from the only available chronic inhalation cancer bioassay with multiple exposure levels (Dow Chemical Co., 1992a; Quast et al., 1980b) were also used to develop an IUR to compare with the one derived from AN-exposed workers (Blair et al., 1998). In this animal study, male and female Sprague-Dawley rats were exposed to 0, 20, or 80 ppm AN in air, 6 hours/day, 5 days/week, for 2 years. At 80 ppm, significantly increased incidences of astrocytomas and glial cell proliferation and Zymbal gland tumors in males and females, malignant mammary gland tumors (adenocarcinomas) in females, as well as intestinal and tongue tumors in males, were found. At 20 ppm, male and female rats showed increased incidences of astrocytomas and glial cell proliferation and Zymbal gland tumors. IUR estimates were derived based on dose-response data for these tumors using the AN PBPK models previously described to dosimetrically extrapolate from rats to humans.

5.4.2. Dose-Response Data

5.4.2.1. *Human Occupational Data*

An analysis of the lung cancer mortality and AN exposure data from the Blair et al. (1998) study was conducted to derive an IUR estimate for AN. This analysis used the approach described by Starr et al. (2004) in which the risk of death from lung cancer in AN-exposed workers was characterized using a semi-parametric Cox regression model with time-dependent covariates. The Cox regression model has several advantages in that it allows inclusion of individual exposure histories and utilizes internal controls, thus avoiding confounding by the “healthy worker” effect. In contrast to the analysis done by Starr et al. (2004), the analysis conducted for this assessment included the entire cohort (not just white male workers), and the final model only had cumulative exposure as a covariate. Starr et al. (2004) included a second covariate in their final model, plant of employment. This analysis is further described in Appendix B-7.

5.4.2.2. *Rat Oral Data*

Incidence data for forestomach, CNS, Zymbal gland, tongue, and mammary gland tumors in Sprague-Dawley and F344 rats exposed to AN in drinking water for 2 years were used to develop site-specific oral CSFs for AN (Tables 5-8 through 5-10). Tumor incidences in F344 rats were adjusted to exclude animals dying before the first appearance of each tumor type, which ranged from day 419 to 495. No early mortality adjustments were made to the cumulative tumor incidences from the Sprague-Dawley bioassay (Quast, 2002) because CNS and Zymbal gland tumors were seen in some high-dose female rats as early as 7 months (210 days) after exposure began, and no differences in survival were observed across the dose groups during the first 6 months of the study.

Table 5-8. Incidence of CNS tumors in Sprague-Dawley and F344 rats exposed to AN in drinking water for 2 years

Sprague-Dawley rats (Quast, 2002; Quast et al., 1980a) ^a						
Sex	AN drinking water concentration (ppm)	Glial cell proliferation ^b		Astrocytomas		Overall CNS tumors
Male	0	0/80		1/80		1/80
	35	4/47 ^c		8/47 ^c		12/47 ^c
	100	3/48		19/48		22/48 ^c
	300	7/48 ^c		23/48 ^c		30/48 ^c
Female	0	0/80		1/80		1/80
	35	3/48		17/48 ^c		20/48 ^c
	100	3/48		22/48 ^c		25/48 ^c
	300	7/48 ^c		24/48 ^c		31/48 ^c
F344 rats (Johannsen and Levinskas, 2002b; Biodynamics, 1980c) ^d						
Sex	AN drinking water concentration (ppm)	Glial cell proliferation ^b		Astrocytomas		Overall CNS tumors
		Brain	Spinal cord	Brain	Spinal cord	
Male	0	0/160	0/156	2/160	1/156	3/160
	1	0/80	0/79	2/80	0/79	2/80
	3	0/78	0/70	1/78	0/70	1/78
	10	0/80	0/78	2/80	0/78	2/80
	30	0/79	0/79	10/79 ^c	0/79	10/79 ^c
	100	0/76	0/70	21/76 ^c	4/70 ^c	25/76 ^c
Female	0	0/157	0/155	1/157	0/155	1/157
	1	0/80	0/78	1/80	0/78	1/80
	3	0/80	0/79	2/80	0/79	2/80
	10	0/77	0/72	4/75	1/72	5/75
	30	0/80	0/87	6/80 ^c	0/77	6/80 ^c
	100	0/76	0/69	23/76 ^c	1/69	24/76 ^c

^aIncidence denominators were calculated from the total number of animals examined from the beginning of the study. Numerators for CNS tumor incidences (glial cell proliferation, astrocytomas, or overall incidence of glial cell proliferation or astrocytomas) for these Sprague-Dawley rats were reported as combined brain and spinal cord lesions by Quast et al. (1980a).

^bGlial cell proliferation is a smaller-sized lesion, either focal or multifocal, than astrocytomas, suggestive of an early tumor.

^cStatistically significantly different from controls ($p < 0.05$) as calculated by the study authors.

^dThe denominators for incidences in these F344 rats exclude rats from the 6- and 12-mo sacrifices and unscheduled deaths prior to the 12-mo sacrifice. Numerators for the overall incidences were the number of rats with astrocytomas in brain or spinal cord. Reviews of summaries of individual animal pathology reports for this study (Appendix H, Biodynamics, 1980c) indicated that five of the seven F344 rats showing spinal cord astrocytomas also showed a brain astrocytoma; thus, the number was not always as great as the sum of the numerators for the incidences of these lesions in the two tissues (e.g., 21/76 male 100-ppm brain tissues had astrocytomas and 4/70 male 100-ppm spinal cord tissues had astrocytomas, but all four rats with astrocytomas also had brain astrocytomas). Because the response to AN was predominately in brain tissue and a few spinal cord tissue samples were missing in each exposure group, denominators for the overall incidences were taken as the number of rats examined for brain lesions

Table 5-9. Incidence of mammary gland tumors in F344 and Sprague-Dawley rats exposed to AN in drinking water for 2 years

Sex	AN drinking water concentration (ppm)	Benign mammary gland tumors ^a	Malignant mammary gland tumors ^b	Benign and/or malignant mammary gland tumors
F344 rats (Johannsen and Levinskas, 2002b; Biodynamics, 1980c) ^{c,d}				
Male	0	No statistically significantly elevated incidences of mammary gland tumors were found in exposed groups compared with controls.		
	1			
	3			
	10			
	30			
	100			
Female	0	12/156	3/156	14/156
	1	5/80	4/80	8/80
	3	6/80	0/80	6/80
	10	8/79	1/78	9/80
	30	9/80	3/80	12/80
	100	9/73	6/73 ^e	14/73 ^e
Sprague-Dawley rats (Quast, 2002; Quast et al., 1980a) ^f				
Male	0	Not reported	Not reported	Not reported
	35			
	100			
	300			
Female	0	52/80	1/80	58/80
	35	35/48	1/48	42/48 ^e
	100	33/48	3/48	42/48 ^e
	300	22/48	10/48 ^e	35/48

^aIncidence includes fibroadenomas for F344 rats and fibroadenomas/adenofibromas/adenomas for Sprague-Dawley rats.

^bIncidence includes adenocarcinomas and carcinomas.

^cThe denominators for tumor incidences in F344 rats excluded rats from the 6- and 12-mo sacrifices and rats that died prior to 12 mos. Mammary gland tumor incidences are for animals scheduled for the 18-mo and terminal sacrifices. Microscopic examinations were only conducted on mammary glands showing gross signs of tumors—the inclusion of all rats living for >52 wks in the denominators assumes that rats without gross signs of tumors were also without microscopic neoplastic changes.

^dAnimals with multiple tumor types within a tissue were counted only once.

^eStatistically significantly different from controls (at $p < 0.05$) via Fisher's exact test.

^fDenominators were calculated from the total number of animals examined from the beginning of the study. Incidences were reported as total number of rats with benign-only, malignant-only, or benign and/or malignant tumors.

Table 5-10. Tumor incidences Sprague-Dawley and F344 in rats exposed to AN in drinking water for 2 years

Sex	AN drinking water concentration (ppm)	Forestomach tumors	CNS tumors	Zymbal gland tumors	Tongue tumors	Intestinal tumors	Mammary gland tumors
Sprague-Dawley rats (Quast, 2002; Quast et al., 1980a)							
Male	0	0/80	1/80	3/80	1/80	Not reported	Not reported
	35	2/47	12/47 ^a	4/47	2/47		
	100	23/48 ^a	22/48 ^a	3/48	4/48		
	300	39/48 ^a	30/48 ^a	16/48 ^a	5/48 ^a		
Female	0	1/80	1/80	1/80	0/80	0/80	58/80
	35	1/48	20/48 ^a	5/48 ^a	1/48	1/48	42/48 ^a
	100	12/48 ^a	25/48 ^a	9/48 ^a	2/48	4/48 ^a	42/48 ^a
	300	30/48 ^a	31/48 ^a	18/48 ^a	12/48 ^a	4/48 ^a	35/48
F344 rats (Johannsen and Levinskas, 2002b; Biodynamics, 1980c) ^b							
Male	0	0/159	3/160	1/147	Not examined		3/159
	1	1/80	2/80	1/76			2/80
	3	4/78 ^a	1/78	0/73			2/78
	10	3/80 ^a	2/80	0/67			2/80
	30	4/80 ^a	10/79 ^c	2/71 ^c			0/80
	100	1/77	25/76 ^c	14/68 ^c			2/77
Female	0	1/157	1/157	0/157	Not examined		14/156
	1	1/80	1/80	0/73			8/80
	3	2/79	2/80	0/73			6/80
	10	2/77	5/75	0/70			9/80
	30	4/80 ^a	6/80 ^c	2/73 ^c			12/80
	100	2/75	24/76 ^c	8/62 ^c			14/73 ^a

^aSignificantly different from controls ($p < 0.05$).

^bThe denominators for tumor incidences in F344 rats excluded rats from the 6- and 12-mo sacrifices and unscheduled deaths prior to the 12-mo sacrifice. Numerators for the incidences of CNS tumors were derived by adding the number of rats with brain or spinal astrocytomas; denominators were taken as the greater of the number of rats examined for brain or spinal cord lesions after the 12-mo sacrifice. Numerators for Zymbal gland tumor incidences included squamous cell papillomas and carcinomas designated to occur in the ear canal. Mammary gland tumor incidences are for fibroadenomas and adenocarcinomas in animals sacrificed or found dead after 12 mos. Tongues were not routinely histopathologically examined for tumors in this bioassay.

^cSignificantly different from controls ($p < 0.01$).

As previously noted, a second two-year AN drinking water study employing Sprague-Dawley rats has been conducted (Johannsen and Levinskas, 2002a; Biodynamics, 1980a). To determine whether these data should also be employed in the cancer assessment, an analysis was performed to evaluate the statistical validity of pooling tumor incidence data from the two Sprague-Dawley rat chronic AN drinking water studies. The first study (Quast, 2002; Quast et al., 1980a) exposed animals to AN drinking water concentrations of 0, 35, 100, and 300 ppm, while the second study (Johannsen and Levinskas, 2002a) employed AN drinking water concentrations of 0, 1, and 100 ppm. The dichotomous multistage model in BMDS was fit to the tumor incidence data from three sites (i.e., forestomach, CNS, and Zymbal gland) in each sex across both studies, using administered animal dose expressed in mg/kg-day. A statistical test described by Stiteler et al. (1993), which employs a maximum likelihood ratio statistic distributed as a χ^2 , was then used to test the null hypothesis that the two data sets are compatible with a common dose-response model. If the null hypothesis is not rejected, this provides evidence that the results from the two studies may be pooled.

As discussed in more detail in Appendix B-5, the statistical tests indicated that some, but not all, of the data sets from the two studies were consistent with a common dose-response model. More specifically, the results of this analysis showed that forestomach and Zymbal gland tumors in both male and female Sprague-Dawley rats were not compatible with a common dose-response model, while CNS tumors in male and female Sprague-Dawley rats were compatible with a common dose-response model. Because of these conflicting results, it was decided that the results from the two Sprague-Dawley rat drinking water studies would not be pooled. Therefore, the final dose-response analysis for deriving the oral slope factor for AN focused on the two rat drinking water studies containing the most dose groups (i.e., the Sprague-Dawley rat bioassay reported by Quast [2002] and the F344 rat bioassay reported by Johannsen and Levinskas [2002b]).

5.4.2.3. Rat Inhalation Data

Incidence data for intestinal, CNS, Zymbal gland, tongue, and mammary gland tumors in Sprague-Dawley rats exposed to AN via inhalation were used for deriving site-specific IURs for AN (Table 5-11). With the exception of one male in the 80 ppm exposure group that died with a CNS tumor after 7–12 months on study, all of the remaining tumors occurred in rats that died or were sacrificed after at least 12 months of AN exposure. Denominators for the incidences in Table 5-11 excluded animals that died without a tumor before 12 months on study because these animals were not exposed long enough to be at risk for tumor development. Although a statistically significantly elevated incidence in tongue tumors was observed in male rats at 80 ppm, tongues from only 14 of the males in the 20 ppm exposure group were examined. No data on the incidence of tongue tumors in female rats were presented in the original study report by Quast et al. (1980b).

Table 5-11. Incidences of intestinal, CNS, Zymbal gland, tongue, and mammary gland tumors in Sprague-Dawley rats exposed to AN via inhalation for 2 years

Sex	AN air concentration (ppm)	Intestinal tumors ^a	CNS tumors ^{a,b}	Zymbal gland tumors ^a	Tongue tumors ^a	Mammary gland adenocarcinomas ^a
Male	0	4/96	0/96	2/96	1/95	–
	20	3/93	4/93	4/93	0/14	–
	80	17/82 ^c	22/82 ^c	11/82 ^c	7/82 ^c	–
Female	0	–	0/93	0/93	–	9/93
	20	–	8/99 ^c	1/98	–	8/98
	80	–	20/89 ^c	11/89 ^c	–	20/99 ^c

^aFor all incidence data, the denominators excluded rats dying earlier than 12 mos in the study. These data were ascertained from Tables 22, 25, 31, 34, and 35 in the original study report by Quast et al. (1980b).

^bIncidences for CNS tumors (brain and spinal cord) in Sprague-Dawley rats listed in this table, as reported by Quast et al. (1980b), include both glial cell proliferation and astrocytomas.

^cStatistically significantly different from controls ($p < 0.05$) as calculated by the study authors.

Sources: Dow Chemical (1992a); Quast et al. (1980b).

5.4.3. Dose-Response Modeling

The EPA *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a) recommend that the method used to characterize and quantify cancer risk from a chemical is determined by what is known about the mode of action of the carcinogen and the shape of the cancer dose-response curve. The dose response is assumed to be linear in the low-dose range when evidence supports a mutagenic mode of action because of DNA reactivity, or if another mode of action that is anticipated to be linear is applicable. A linear low-dose extrapolation approach was used to estimate human carcinogenic risk associated with both inhalation and oral exposure to AN in light of AN's mutagenic mode of carcinogenic action.

5.4.3.1. Human Occupational Data

A Cox regression model was developed based on an analysis of the Blair et al. (1998) lung cancer mortality data for AN-exposed workers. This model yielded a regression coefficient (β) estimate of 1.2×10^{-3} with an associated estimated standard error of 2.47×10^{-3} and a corresponding p value of 0.61. The 95% upper confidence limit (UCL) on the parameter estimate, β , was 5.3×10^{-3} . The parameter estimate, β , in this model was about threefold greater than the same parameter estimate in the final model developed by Starr et al. (2004), but the UCL was similar. The Cox regression model described above was used to estimate an AN exposure concentration (EC) and its associated 95% lower confidence limit (LEC), associated with a 10^{-2} (1%) risk of dying from lung cancer at age 80 (EC₀₁ and LEC₀₁, respectively).

Conversions of occupational exposures to continuous environmental exposures were performed to account for differences in the number of days exposed per year (240 vs. 365 days) and in the amount of air inhaled during an 8-hour workday versus a 24-hour day (10 and 20 m³/day, respectively). The resulting AN exposure estimates (by age 80) were EC₀₁ = 0.992 ppm (or 2.2 mg/m³) and LEC₀₁ = 0.238 ppm (or 0.524 mg/m³). The IUR estimate was then derived by linear extrapolation from the LEC₀₁. The corresponding unit risk was calculated to be 4.2 × 10⁻² ppm⁻¹ or 2 × 10⁻² per mg/m³. As previously noted, Appendix B-7 provides more details on this Cox regression analysis.

5.4.3.2. Rat Oral Data

Within each rat strain, sex, and tumor site, the multistage model, employing EPA's BMDS (version 1.4.1), was fit to the tumor incidence data from the bioassays in Sprague-Dawley (Quast, 2002) and F344 (Johannsen and Levinskas, 2002b) rats shown in Table 5-10 using two internal dose metrics, CEO concentration in blood (AUC/24 hours) and AN concentration in blood (AUC/24 hours). As already discussed, CEO is a DNA-reactive epoxide metabolite thought to play a key role in the carcinogenic mode of action of AN. The EPA-modified PBPK model employed consistently predicted higher CEO concentrations in blood and brain than were reported in studies of orally exposed rats (see Figures 3 and 4 in Kedderis et al., 1996). In contrast, AN concentrations in blood, brain, and liver predicted by the same PBPK model were fairly close to measured AN concentrations in rats following oral exposure. Ultimately, CEO levels in blood were chosen as the internal dose metric of choice for the oral cancer dose-response assessment because CEO is believed to be the most biologically relevant dose metric, and it is also consistent with the dose metric employed in derivation of the RfD. As with the RfD, CEO concentration in blood represents a reasonable internal dose metric for extrapolating from orally exposed rats to humans.

The AN concentrations in drinking water (in ppm) employed in the Quast (2002) and Johannsen and Levinskas (2002b) rat studies were converted to AN administered doses (in mg/kg-day) by the study authors, using water intake data recorded during the study. These administered doses of AN were then used as input into the EPA-modified rat PBPK model of Kedderis et al. (1996) in order to predict a rat internal dose (either CEO-AUC or AN-AUC concentration in blood) resulting from the ingestion of a total daily dose of AN equivalent to the administered dose consumed in six bolus episodes per day that reflect the daily drinking water consumption pattern of rats. The resulting predicted CEO-AUC or AN-AUC concentrations in rat blood were then employed in dose-response modeling. Table 5-12 displays the relationship between AN drinking water concentrations (in ppm), administered animal doses (in mg/kg-day), and the two internal dose metrics (i.e., CEO in blood and AN in blood, both expressed in mg/L) predicted from the PBPK model.

Table 5-12. Four different dose metrics, two external and two internal, based on doses employed in studies of Sprague-Dawley and F344 rats exposed to AN in drinking water for 2 years

Species, strain (reference)	Sex	External dose		Predicted internal dose ^a	
		Concentration in drinking water (ppm)	Administered dose ^b (mg/kg-d)	CEO in blood (mg/L)	AN in blood (mg/L)
Rat, Sprague-Dawley (Quast, 2002)	Male	0	0	0	0
		35	3.4	1.83×10^{-3}	2.06×10^{-2}
		100	8.5	4.36×10^{-3}	5.36×10^{-2}
		300	21.3	9.70×10^{-3}	1.46×10^{-1}
	Female	0	0	0	0
		35	4.4	2.07×10^{-3}	2.37×10^{-2}
		100	10.8	4.87×10^{-3}	6.18×10^{-2}
		300	25.0	1.01×10^{-2}	1.56×10^{-1}
Rat, F344 (Johannsen and Levinskas, 2002b)	Male	0	0	0	0
		1	0.08	4.06×10^{-5}	4.33×10^{-4}
		3	0.25	1.27×10^{-4}	1.35×10^{-3}
		10	0.83	4.19×10^{-4}	4.52×10^{-3}
		30	2.48	1.23×10^{-3}	1.37×10^{-2}
		100	8.37	3.97×10^{-3}	4.85×10^{-2}
	Female	0	0	0	0
		1	0.12	5.32×10^{-5}	5.73×10^{-4}
		3	0.36	1.59×10^{-4}	1.72×10^{-3}
		10	1.25	5.49×10^{-4}	6.02×10^{-3}
		30	3.65	1.58×10^{-3}	1.79×10^{-2}
		100	10.90	4.46×10^{-3}	5.63×10^{-2}

^aThe EPA-modified rat PBPK model of Keddaris et al. (1996) was employed to predict a rat internal dose (i.e., either AN-AUC or CEO-AUC concentration in blood) resulting from the ingestion of an administered dose of AN consumed in six bolus episodes/d.

^bAdministered doses were averages calculated by the study authors based on animal BW and drinking water intake.

Employing the internal dose metrics in Table 5-12 (i.e., CEO in blood and AN in blood), successive stages of the multistage model, starting with stage 1 and ending with the stage equal to the number of dose groups minus one, were fit to the tumor incidence data at a particular site for each rat strain and sex. Then, all stages of the multistage model that did not show a significant lack of fit (i.e., $p > 0.1$) were compared using AIC. The stage of the multistage model with the lowest AIC was selected as the “best-fit” model. For most tumor sites, the one-stage model exhibited the best fit.

A BMR of 10% extra risk was selected for all tumor sites, consistent with the *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), which recommend identifying the POD near

the lower end of the observed data. Using the best-fit model, the resulting BMD₁₀s and BMDL₁₀s were estimated for each tumor site within each rat strain and sex. A summary of the results of this BMD modeling is shown in Table 5-13. Additional details regarding dose-response modeling used in the derivation of the oral CSFs are provided in Appendix B-3.

Table 5-13. BMD modeling results using tumor incidence data from male and female Sprague-Dawley and F344 rat studies in which animals were exposed to AN in drinking water for 2 years

Species, strain (reference)	Sex	BMD modeling results ^a			
		Tumor site	Dose metric	BMD ₁₀ (mg/L)	BMDL ₁₀ (mg/L)
Rat, Sprague-Dawley (Quast, 2002)	Male	Forestomach	CEO	1.87×10^{-3}	1.44×10^{-3}
			AN	2.26×10^{-2}	1.70×10^{-2}
		CNS	CEO	8.87×10^{-4}	7.16×10^{-4}
			AN	8.82×10^{-3}	6.64×10^{-3}
		Zymbal gland	CEO	5.46×10^{-3}	3.15×10^{-3}
			AN	8.94×10^{-2}	4.26×10^{-2}
		Tongue	CEO	8.78×10^{-3}	4.90×10^{-3}
			AN	1.29×10^{-1}	6.97×10^{-2}
	Female	Forestomach	CEO	3.29×10^{-3}	2.38×10^{-3}
			AN	4.22×10^{-2}	2.49×10^{-2}
		CNS	CEO	5.79×10^{-4}	4.51×10^{-4}
			AN	7.12×10^{-3}	5.55×10^{-3}
		Zymbal gland	CEO	2.40×10^{-3}	1.78×10^{-3}
			AN	3.41×10^{-2}	2.52×10^{-2}
		Tongue	CEO	6.70×10^{-3}	4.74×10^{-3}
			AN	9.67×10^{-2}	6.10×10^{-2}
		Mammary gland	CEO	5.50×10^{-4}	2.98×10^{-4}
			AN	7.05×10^{-3}	3.77×10^{-3}

Table 5-13. BMD modeling results using tumor incidence data from male and female Sprague-Dawley and F344 rat studies in which animals were exposed to AN in drinking water for 2 years

Species, strain (reference)	Sex	BMD modeling results ^a			
		Tumor site	Dose metric	BMD ₁₀ (mg/L)	BMDL ₁₀ (mg/L)
Rat, F344 (Johannsen and Levinskas, 2002b)	Male	Forestomach	CEO	6.03×10^{-4}	3.55×10^{-4}
			AN	6.48×10^{-3}	3.81×10^{-3}
		CNS	CEO	1.16×10^{-3}	8.74×10^{-4}
			AN	1.37×10^{-2}	1.03×10^{-2}
		Zymbal gland	CEO	2.73×10^{-3}	2.19×10^{-3}
			AN	3.31×10^{-2}	2.59×10^{-2}
	Female	Forestomach	CEO	3.65×10^{-3}	1.69×10^{-3}
			AN	4.13×10^{-2}	1.90×10^{-2}
		CNS	CEO	1.39×10^{-3}	1.05×10^{-3}
			AN	1.70×10^{-2}	1.28×10^{-2}
		Zymbal gland	CEO	3.78×10^{-3}	2.97×10^{-3}
			AN	5.41×10^{-2}	3.35×10^{-2}
		Mammary gland	CEO	3.58×10^{-3}	1.97×10^{-3}
			AN	4.51×10^{-2}	2.45×10^{-2}

^aThe multistage model in EPA's BMDS (version 1.4.1) was fit to each set of tumor incidence data from the Sprague-Dawley and F344 rat bioassays, as shown in Table 5-10, using the two internal dose metrics, CEO in blood and AN in blood, expressed in mg/L. An adequate fit of the multistage model was achieved if the χ^2 goodness-of-fit statistic yielded $p > 0.1$. In the case of CNS tumors in Sprague-Dawley female rats, an adequate fit of the multistage model to the data could not be achieved; therefore, the best fitting of the other models available in BMDS (assessed by AIC), the log-logistic model, was used. Appendix B-3 provides further details on these BMD modeling results.

After completion of the dose-response modeling, the BMDL₁₀s estimated for each tumor site within each rat strain and sex for each internal dose metric were input into the EPA-modified human PBPK model of Sweeney et al. (2003) in order to predict the human equivalent administered dose of AN that corresponds to the estimated BMDL₁₀, assuming six bolus ingestion episodes of AN per day. The resulting predicted human equivalent administered dose of AN, expressed in mg/kg-day, are shown in the third column of Table 5-14 for the internal dose metric CEO in blood and Table 5-15 for the internal dose metric AN in blood. Finally, for each rat strain, sex, and tumor site, the site-specific oral CSFs shown in the last column of Table 5-14 (based on CEO concentration in blood) and Table 5-15 (based on AN concentration in blood) were derived by dividing the BMR (i.e., 10% or 0.1) by the human equivalent administered dose of AN (in mg/kg-day), displayed in the third column of Tables 5-13 and 5-14.

Table 5-14. Site-specific oral CSFs for AN based on BMD modeling of tumor incidence data in rats and predicted CEO levels in blood (AUC/24 hours) of rats and humans assuming episodic exposure to AN

Rat strain, gender Tumor site	Rat BMDL₁₀^a (mg/L)	BMDL_{10/HED}^b (mg/kg-d)	CSF^c (mg/kg-d)⁻¹
<i>Sprague-Dawley males^d</i>			
Forestomach	1.44×10^{-3}	0.142	0.704
CNS	7.16×10^{-4}	0.071	1.410
Zymbal gland	3.15×10^{-3}	0.312	0.320
Tongue	4.90×10^{-3}	0.486	0.206
<i>Sprague-Dawley females^d</i>			
Forestomach	2.38×10^{-3}	0.236	0.424
CNS	4.51×10^{-4}	0.045	2.222
Zymbal gland	1.78×10^{-3}	0.176	0.567
Tongue	4.74×10^{-3}	0.470	0.213
Mammary gland	2.98×10^{-4}	0.029	3.390
<i>F344 males^e</i>			
Forestomach	3.55×10^{-4}	0.035	2.850
CNS	8.74×10^{-4}	0.086	1.160
Zymbal gland	2.19×10^{-3}	0.217	0.462
<i>F344 females^e</i>			
Forestomach	1.69×10^{-3}	0.167	0.599
CNS	1.05×10^{-3}	0.104	0.963
Zymbal gland	2.97×10^{-3}	0.294	0.340
Mammary gland	1.97×10^{-3}	0.195	0.514

^aRat BMDL₁₀ refers to the estimated 95% lower confidence limit on the internal dose of CEO in blood in the rat associated with a 10% extra risk for the incidence of tumors at the specified site in the associated strain and sex. This value is taken from the last column of Table 5-13.

^bPBPK model-derived HED of AN that would result in a human 24-hr blood CEO-AUC equivalent to the rat CEO-AUC presented in the previous column of the table, assuming AN ingestion in six bolus episodes/d.

^cCSF = BMR/BMDL_{10/HED} or 0.1/BMDL_{10/HED}.

^dBased on data from Quast (2002).

^eBased on data from Johannsen and Levinskas (2002b).

Table 5-15. Site-specific oral CSFs for AN based on BMD modeling of tumor incidence data in rats and predicted AN levels in blood (AUC/24 hours) of rats and humans assuming episodic exposure to AN

Rat strain, gender Tumor site	Rat BMDL₁₀^a (mg/L)	BMDL_{10/HED}^b (mg/kg-d)	CSF^c (mg/kg-d)⁻¹
<i>Sprague-Dawley males^d</i>			
Forestomach	1.70×10^{-2}	4.30	0.023
CNS	6.64×10^{-3}	1.89	0.053
Zymbal gland	4.26×10^{-2}	8.59	0.012
Tongue	6.97×10^{-2}	11.85	0.008
<i>Sprague-Dawley Females^d</i>			
Forestomach	2.49×10^{-2}	5.82	0.017
CNS	5.55×10^{-3}	1.60	0.062
Zymbal gland	2.52×10^{-2}	5.87	0.017
Tongue	6.10×10^{-2}	10.90	0.009
Mammary gland	3.77×10^{-3}	1.11	0.090
<i>F344 males^e</i>			
Forestomach	3.81×10^{-3}	1.12	0.089
CNS	1.03×10^{-2}	2.82	0.036
Zymbal gland	2.59×10^{-2}	5.99	0.017
<i>F344 females^e</i>			
Forestomach	1.90×10^{-2}	4.71	0.021
CNS	1.28×10^{-2}	3.38	0.030
Zymbal gland	3.35×10^{-2}	7.26	0.014
Mammary gland	2.45×10^{-2}	5.75	0.017

^aRat BMDL₁₀ refers to the estimated 95% lower confidence limit on the internal dose of AN in blood in the rat associated with a 10% extra risk for the incidence of tumors at the specified site in the associated strain and sex. This value is taken from the last column of Table 5-13.

^bPBPK model-derived HED of AN that would result in a human 24-hr blood AN-AUC equivalent to the rat AN-AUC presented in the previous column of the table, assuming AN ingestion in six bolus episodes/d.

^cCSF = BMR/BMDL_{10/HED} or 0.1/BMDL_{10/HED}.

^dBased on data from Quast (2002).

^eBased on data from Johannsen and Levinskas (2002b).

In comparing Tables 5-13 and 5-14, the CEO-based site-specific oral CSF estimates in Table 5-14 are higher than those based on AN blood levels in Table 5-15. This occurs because the $V_{\max}C/K_m$ for AN oxidation to CEO is estimated to be about 10 times higher in humans than in the rat. AN enzymatic GSH conjugation is estimated to be 1.5 times higher in the rat, but the 2nd-order removal constant for non-enzymatic reaction of AN and GSH is assumed to be the same and the GSH tissue levels are approximately the same (and lower in the larger tissue groups). The result, for example, with a steady oral “infusion” of 30 mg/kg-day, is that AN is removed somewhat faster overall, leading to a PBPK-predicted steady-state blood level of 0.114 mg/L AN in humans vs. 0.151 mg/L in the rat, but a much higher portion of this goes to CEO in

the human yielding a CEO blood level of 0.297 vs. 0.013 mg/L in the rat. Thus, the AN:CEO ratio is 11.4 in the rat versus 0.385 in the human, and so the CEO-based risk estimates are approximately 50 times higher than the AN-based estimates.

For comparative purposes, in Table 5-16, the BMDL₁₀ estimates generated from the BMD modeling of the incidence of tumors in male and female Sprague-Dawley and F344 rats using administered animal dose (modeling results not shown) were converted to human equivalent administered doses of AN using BW scaling to the $\frac{3}{4}$ power. Then, as in Tables 5-13 and 5-14, oral CSFs were derived by dividing the BMR (i.e., 10% or 0.1) by the human equivalent administered dose of AN (in mg/kg-day).

Table 5-16. Site-specific oral CSFs for AN based on BMD modeling of tumor incidence data in rats and BW scaling to the $\frac{3}{4}$ power to convert from rat to human administered doses

<i>Rat strain, gender Tumor site</i>	Rat BMDL₁₀^a (mg/kg-d)	BMDL_{10/HED}^b (mg/kg-d)	CSF^c (mg/kg-d)⁻¹
<i>Sprague-Dawley males^d</i>			
Forestomach	2.76	0.812	0.123
CNS	1.48	0.436	0.229
Zymbal gland	5.17	1.52	0.066
Tongue	10.4	3.04	0.033
<i>Sprague-Dawley females^d</i>			
Forestomach	4.81	1.27	0.079
CNS	0.99	0.262	0.382
Zymbal gland	4.19	1.11	0.090
Tongue	8.30	2.19	0.046
Mammary gland	0.66	0.174	0.575
<i>F344 males^e</i>			
Forestomach	0.70	0.193	0.517
CNS	1.81	0.493	0.203
Zymbal gland	3.43	0.932	0.107
<i>F344 females^e</i>			
Forestomach	3.89	0.926	0.108
CNS	2.52	0.602	0.166
Zymbal gland	6.64	1.59	0.063
Mammary gland	4.77	1.14	0.088

^aRat BMDL₁₀ is the estimated 95% lower confidence limit on the administered dose of AN in the rat associated with a 10% extra risk for the incidence of tumors at the specified site in the associated strain and sex. This value is generated from the “best-fit” dose-response model in BMDS (version 1.4.1).

^bThe HED of AN equal to the rat BMDL₁₀ in the previous column converted through use of BW scaling to the $\frac{3}{4}$ power.

^cCSF = BMR/BMDL_{10/HED} or 0.1/BMDL_{10/HED}.

^dBased on data from Quast (2002).

^eBased on data from Johannsen and Levinskas (2002b).

5.4.3.3. Rat Inhalation Data

Within each sex and for each tumor site, the multistage model, employing EPA's BMDS (version 1.4.1), was fit to the tumor incidence data from the AN inhalation bioassay in Sprague-Dawley rats (Quast et al., 1980b) shown in Table 5-11 using the internal dose metric, CEO concentration in blood (AUC/24 hours). In contrast to the approach used for oral exposure, only one internal dose metric was selected on which to base site-specific IURs (i.e., CEO in blood), because, in contrast to oral exposure, the EPA-modified PBPK model adequately predicted measured blood and brain concentrations of CEO in rats exposed to AN via inhalation (Kedderis et al., 1996). Furthermore, as mentioned previously, CEO is the DNA-reactive metabolite thought to be key in the carcinogenic mode of action of AN.

Prior to dose-response modeling, the AN concentrations in air (in ppm) administered in the Quast et al. (1980b) rat study were input into the EPA-modified rat PBPK model of Kedderis et al. (1996) in order to predict a rat internal dose (CEO-AUC concentration in blood) resulting from inhalation exposure to the administered air concentration of AN. The resulting predicted CEO-AUC concentrations in rat blood were then employed in dose-response modeling. Table 5-17 displays the relationship between AN concentrations in air (expressed in ppm) and the internal dose metric, CEO in blood (expressed in mg/L), predicted from the PBPK model.

Table 5-17. Two different dose metrics, one external and one internal, based on administered air concentrations of AN employed in a 2-year bioassay in Sprague-Dawley rats

Species, strain	Sex	AN concentration in air (ppm)	Predicted CEO concentration in blood ^a (mg/L)
Rat, Sprague-Dawley	Male	0	0
		20	2.17×10^{-3}
		80	8.20×10^{-3}
	Female	0	0
		20	2.18×10^{-3}
		80	8.24×10^{-3}

^aSee Table 5-12.

After completion of the dose-response modeling, the BMC_{10s} and BMCL_{10s} estimated within each sex for each tumor site using CEO in blood as the dose metric from Table 5-18 were input into the EPA-modified human PBPK model of Sweeney et al. (2003) in order to predict the HEC of AN in air that corresponds to the estimated BMC₁₀ and BMCL₁₀ in animals. The resulting predicted 95% lower bounds of the HECs (BMCL_{10/HEC}) of AN in air, expressed in mg/m³, are shown in the third column of Table 5-19 for the internal dose metric CEO in blood. Finally, for each sex and tumor site, the site-specific IURs shown in the last column of

Table 5-19 (based on CEO concentration in blood) were derived by dividing the BMR (i.e., 10% or 0.1) by the $BMCL_{10/HEC}$.

For purposes of comparison, a similar analysis was performed using external AN concentration in air as the dose metric. The results of this analysis are presented in Table 5-20.

Table 5-18. BMD modeling results using tumor incidence data from male and female Sprague-Dawley rats exposed to AN via inhalation for 2 years and CEO concentration in blood predicted from an EPA-modified PBPK model

Strain, species (reference)	Sex	BMD modeling results			
		Tumor site	Dose metric	BMC_{10} (mg/L)	$BMCL_{10}$ (mg/L)
Rat, Sprague-Dawley (Quast et al., 1980b)	Male	Intestine	CEO	6.06×10^{-3}	4.47×10^{-3}
		CNS	CEO	3.14×10^{-3}	2.31×10^{-3}
		Zymbal gland	CEO	7.26×10^{-3}	4.40×10^{-3}
		Tongue	CEO	9.48×10^{-3}	6.39×10^{-3}
	Female	CNS	CEO	3.21×10^{-3}	2.39×10^{-3}
		Zymbal gland	CEO	7.90×10^{-3}	5.09×10^{-3}
		Mammary gland	CEO	7.31×10^{-3}	4.33×10^{-3}

^aThe multistage model in EPA's BMDS (version 1.4.1) was fit to each set of tumor incidence data from the Sprague-Dawley rat bioassay, as shown in Table 5-11, using the internal dose metric, CEO in blood, expressed in mg/L. An adequate fit of the multistage model was achieved if the χ^2 goodness-of-fit statistic yielded $p > 0.1$. Appendix B-4 provides further details on these BMD modeling results.

Table 5-19. Site-specific IURs for AN based on BMD modeling of tumor incidence data in Sprague-Dawley rats and PBPK modeling of CEO levels in blood (AUC/24 hours) of rats and humans

Gender Tumor site	Rat BMCL₁₀^a (mg/L)	BMCL_{10/HEC}^b (mg/m³)	IUR^c (mg/m³)⁻¹
Males^d			
Intestine	4.47×10^{-3}	6.00	0.017
CNS	2.31×10^{-3}	3.10	0.032
Zymbal gland	4.40×10^{-3}	5.91	0.017
Tongue	6.39×10^{-3}	8.58	0.012
Females^d			
CNS	2.39×10^{-3}	3.21	0.031
Zymbal gland	5.09×10^{-3}	6.83	0.015
Mammary gland	4.33×10^{-3}	5.81	0.017

^aRat BMCL₁₀ refers to the estimated 95% lower confidence limit on the concentration of CEO in blood of the rat associated with a 10% extra risk for the incidence of tumors at the specified site based on BMD modeling. This value is taken from the last column of Table 5-18.

^bPBPK model-derived HEC of AN in air that would result in a human 24-hr blood CEO-AUC concentration equivalent to the rat BMCL₁₀ in the previous column of the table assuming continuous exposure to AN. The human PBPK model employed did not contain the human in vitro to in vivo modifying factor for CEO hydrolysis proposed by Sweeney et al. (2003).

^cIUR = BMR/BMCL_{10/HEC} or 0.1/BMCL_{10/HEC}.

^dBased on data from Quast et al. (1980b).

Table 5-20. Site-specific IURs for AN based on BMD modeling of tumor incidence data in Sprague-Dawley rats exposed to AN via inhalation

Gender Tumor site	Rat BMCL₁₀^a (ppm)	BMCL_{10/HEC}^b (mg/m³)	IUR^c (mg/m³)⁻¹
Males^d			
Intestine	42.68	93.58	1.07×10^{-3}
CNS	22.23	48.74	2.05×10^{-3}
Zymbal gland	42.53	93.25	1.07×10^{-3}
Tongue	59.41	130.26	7.68×10^{-4}
Females^d			
CNS	22.89	50.19	1.99×10^{-3}
Zymbal gland	48.74	106.87	9.36×10^{-4}
Mammary gland	37.82	82.92	1.21×10^{-3}

^aRat BMCL₁₀ refers to the estimated 95% lower confidence limit on the concentration of AN in air associated with a 10% extra risk for the incidence of tumors at the specified site based on BMD modeling.

^bThe HEC of AN in air equivalent to the rat BMCL₁₀ in the previous column of the table generated through use of the following conversion equation: $\text{mg/m}^3 = [\text{ppm} \times \text{molecular weight}]/24.20$, where molecular weight = 53.06.

^cIUR = BMR/BMCL_{10/HEC} or 0.1/BMCL_{10/HEC}.

^dBased on data from Quast et al. (1980b).

5.4.4. Oral Slope Factor and Inhalation Unit Risk

5.4.4.1. Oral CSFs

Because AN has been demonstrated to produce tumors at multiple sites in rats, the estimation of risk based on only one tumor site may underestimate the overall carcinogenic potential of AN. Under the assumption that AN causes tumors by a mutagenic mode of action, estimates of the composite risk of etiologically distinct tumor types in each rat strain/sex combination considered in Section 5.4.3.2 were derived employing the Markov Chain Monte Carlo approach described in Appendix B-6. This approach is consistent with the recommendations of the NRC (1994) and the *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), which recommends “adding risk estimates derived from different tumor sites (NRC, 1994) as an option for “how best to represent the human cancer risk.” This composite risk is associated with the potential for developing tumors, not at all of the sites, but at any combination of the sites observed in male or female rats. For this analysis, the etiologically distinct tumor sites associated with AN exposure were assumed to be statistically independent. This assumption cannot currently be verified, but if not correct could lead to an overestimate of risk. NRC (1994) concluded that a general assumption of statistical independence of tumors within animals was not likely to introduce substantial error in assessing carcinogenic potency from rodent bioassay data.

Table 5-21 presents the estimated human equivalent oral CSFs for both site-specific risks and composite risks from multiple tumor types from each rat strain and sex exposed to AN via drinking water based on the internal dose metric, CEO concentration in blood (AUC over 24 hours).

Table 5-21. Estimated human equivalent oral CSFs for composite risk based on tumor incidence in AN-exposed rats and predicted CEO-AUC levels in blood

<i>Rat strain and gender</i> Tumor sites included	Site-specific oral CSF ^a (mg/kg-d) ⁻¹	Oral CSF for composite risk across sites (mg/kg-d) ⁻¹
<i>Sprague-Dawley male^b</i>		
Forestomach	0.704	2.8
CNS	1.410	
Zymbal gland	0.320	
Tongue	0.206	
<i>Sprague-Dawley female^b</i>		
Forestomach	0.424	5.0
CNS	2.222	
Zymbal gland	0.567	
Tongue	0.213	
Mammary gland	3.390	
<i>F344 male^c</i>		
Forestomach	2.850	3.1
CNS	1.160	
Zymbal gland	0.462	
<i>F344 female^c</i>		
Forestomach	0.599	1.9
CNS	0.963	
Zymbal gland	0.340	
Mammary gland	0.514	

^aThese site-specific oral CSFs are taken from the last column of Table 5-14.

^bBased on data from Quast (2002).

^cBased on data from Johannsen and Levinskas (2002b).

In the study by Quast (2002), male Sprague-Dawley rats showed statistically significantly increased incidences of tumors at four sites (i.e., forestomach, CNS, Zymbal gland, and tongue), while females showed statistically significantly increased incidences of tumors at five sites (i.e., forestomach, CNS, Zymbal gland, tongue, and mammary gland), following chronic oral exposure to AN. The composite oral CSF based on tumor incidences in male Sprague-Dawley rats chronically exposed to AN (using CEO concentration in blood) is estimated to be 2.8 per mg/kg-day, about twice as high as the estimated slope factor resulting from the most sensitive single site in this strain and sex. For female Sprague-Dawley rats, the composite oral CSF (based on CEO concentration in blood) was 5.0 per mg/kg-day, approximately 50% higher than the estimated slope resulting from the most sensitive single site in this strain and sex.

In the study by Johannsen and Levinskas (2002b), male F344 rats showed statistically significant increased incidences of tumors at three sites (i.e., forestomach, CNS, and Zymbal

gland), while females showed statistically significant increased incidences of tumors at four sites (i.e., forestomach, CNS, Zymbal gland, and mammary gland), following oral exposure to AN in drinking water for 2 years. Again, under the assumption that the tumors at these sites are statistically independent, the composite oral CSF in F344 males (based on CEO concentration in blood) was 3.1 per mg/kg-day, slightly higher than the estimated slope factor of 2.8 per mg/kg-day based on tumors in the forestomach alone, the most sensitive single site in this strain and sex. For female F344 rats, the composite oral CSF (based on CEO concentration in blood) was 1.9 per mg/kg-day, about twice the estimated slope factor of 0.96 per mg/kg-day from tumors of the CNS, the most sensitive single site in this strain and sex.

The CSFs obtained from male and female Sprague-Dawley rats and F344 rats ranged from 2 to 5 (mg/kg-day)⁻¹. While female Sprague-Dawley rats had the highest CSF due to increase in mammary gland tumor risk, the increase in mammary gland tumor risk in female F344 rats was not as high. There is no information as to which rat strain may be most similar to humans. Both strains of rats developed the same tumors in response to AN exposure. For the purpose of this assessment, the CSF of 5 per mg/kg-day is recommended for use in humans because it is the value obtained from the most sensitive species, strain, and sex (i.e., female Sprague-Dawley rats). This CSF should not be used with exposures greater than 0.04 mg/kg-day (the lowest POD supporting the composite risk) because above this level the CSF cannot be expected to be an adequate approximation to the dose-response relationship. The fitted dose-response relationship and pharmacokinetic models should be used to estimate risk above this exposure level. See Section 5.6 regarding the application of age-dependent adjustment factors (ADAFs).

5.4.4.2. Inhalation Unit Risk

Employing human data, the EC₀₁ and LEC₀₁, defined as the AN exposure concentration and its associated 95% lower confidence limit, respectively, that result in a 1% increase in the risk of dying from lung cancer at age 80 were estimated from the Cox regression model derived from the Blair et al. (1998) occupational epidemiology study. These EC₀₁ and LEC₀₁ values were 0.992 and 0.238 ppm, respectively (or 2,187 and 524 µg/m³, respectively). From the LEC₀₁, an IUR of 4.2×10^{-2} ppm⁻¹ or 2×10^{-2} (mg/m³)⁻¹ was derived.

For inhalation exposures to AN in animals, as with oral exposures, estimates of composite risk addressing multiple tumor sites (within strain and sex) based on BMD modeling results from a chronic inhalation rodent bioassay in Sprague-Dawley rats (Dow Chemical 1992a; Quast et al., 1980b) were generated. These estimates were derived employing the same Markov Chain Monte Carlo approach described in Appendix B-6 for oral exposures.

Table 5-22 presents the human equivalent IURs for both site-specific risks and composite risks from multiple tumor types observed in each sex of Sprague-Dawley rat exposed to AN using the internal dose metric, CEO concentration in blood (AUC for 24 hours).

Table 5-22. Estimated human equivalent composite IURs based on tumor incidence in AN-exposed rats and predicted CEO-AUC levels in blood

Rat strain and gender Tumor sites included	Site-specific IUR ^a (mg/m ³) ⁻¹	Composite IUR (mg/m ³) ⁻¹
Sprague-Dawley male ^b		
Intestine	0.017	6.8 × 10 ⁻²
CNS	0.032	
Zymbal gland	0.017	
Tongue	0.012	
Sprague-Dawley female ^b		
CNS	0.031	5.7 × 10 ⁻²
Zymbal gland	0.015	
Mammary gland	0.017	

^aThese site-specific IURs are taken from the last column of Table 5-19.

^bBased on data from Quast et al. (1980b).

From the inhalation bioassay, male rats showed statistically significant elevated tumor incidences at four sites (i.e., intestine, CNS, Zymbal gland, and tongue), while female rats showed statistically significant elevations at three tumor sites (i.e., CNS, Zymbal gland, and mammary gland), when exposed to AN via inhalation for 2 years. Under the assumption that tumors at these sites are statistically independent, the estimated composite IUR in male rats was 6.8×10^{-2} per mg/m³, approximately 2 times higher than the site-specific IUR estimate of 3.2×10^{-2} per mg/m³ based on the most sensitive single site in males (i.e., CNS). For female rats, the composite IUR was 5.7×10^{-2} per mg/m³, about 2 times higher than the site-specific estimate of 3.1×10^{-2} per mg/m³ from the most sensitive single site in females (i.e., CNS). These unit risks should not be used with exposures greater than 3 mg/m³ (the lowest POD supporting the composite risk), because above this level the unit risk cannot be expected to be an adequate approximation of the dose-response relationship. The fitted dose-response relationship and pharmacokinetic models should be used to estimate risk above this exposure level.

The IUR recommended for use in estimating cancer risks associated with chronic inhalation exposures to AN during adult stages of development is 2×10^{-2} per mg/m³ (or 2×10^{-5} per µg/m³)—the value based on human data (i.e., the occupational epidemiology study by Blair et al., 1998). The derivation of this IUR is described in Section 5.4.3.1 and Appendix B-7. The IUR derived from epidemiologic data is chosen over the alternative IUR value derived from animal inhalation bioassay data because the use of human study data eliminates the uncertainty inherent in animal to human extrapolation.

5.4.4.3. Application of Age-Dependent Adjustment Factors (ADAFs)

AN is determined to be carcinogenic by a mutagenic mode of action (see Section 4.7.3.1), which raises concern for increased early-life susceptibility to cancer. Consistent with this possibility, two studies in Sprague-Dawley rats provide some evidence of increased cancer susceptibility associated with chronic AN exposure that begins in early periods of development—a chronic-duration inhalation cancer bioassay (Maltoni et al., 1988) and a three-generation drinking water reproductive toxicity study (Friedman and Beliles, 2002). According to the *Supplemental Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens* (“*Supplemental Guidance*”) (U.S. EPA, 2005b), individuals exposed during early life to carcinogens with a mutagenic mode of action are assumed to be at increased risk for cancer. In these situations, the *Supplemental Guidance* recommends that age dependent adjustment factors (ADAFs) be applied in estimating cancer risk. The guidance further recommends that when data are available for a susceptible lifestage, those data should be used directly to evaluate risks for that chemical and that lifestage.

In the Maltoni et al. (1988) study conducted at the Ramazzini Institute, pregnant Sprague-Dawley rats were exposed to 60 ppm AN by inhalation for 104 weeks (see Section 4.2.2.2.2 for a complete study description). In addition, offspring of these dams were exposed to AN starting at GD 12 for a total of 104 weeks. Female rats exposed from GD 12 had a higher incidence of malignant mammary tumors, encephalic gliomas, and extrahepatic angiosarcomas than female rats exposed as adults. The drinking water bioassay by Friedman and Beliles (2002) involved 48-week exposures of each of three generations of female breeder Sprague-Dawley rats (see Section 4.2.1.2.9 for a complete study description). Compared with the 500-ppm F0 breeders that were exposed starting in adulthood, there was an increase in Zymbal gland and brain tumor incidence in the 500-ppm F1b female rats exposed starting in utero. Increases in tumor incidence in the F2 generation were not statistically significantly different from F0 breeders.

The Maltoni et al. (1988) and Friedman and Beliles (2002) studies were considered for use in deriving chemical-specific ADAFs for early-life exposure to AN. The Maltoni et al. (1988) tumor results were not used to develop chemical-specific ADAFs because of concerns raised by a memorandum from NTP (Malarkey et al., 2010) that discussed differences of opinion between NTP scientists and the Ramazzini Institute in the diagnoses of certain cancers reported in a methanol study. While these data are considered qualitatively as support for early-life susceptibility, EPA decided not to rely on these data for quantitative purposes. The Friedman and Beliles (2002) study was also not considered further for the derivation of chemical-specific ADAFs. This study had several limitations, including a small number of animals per treatment group (20) and, therefore, limited power to detect tumor increases, and a lower tumor response in the F2b generation than the F1b generation. Therefore, although limited data are available supporting early-life susceptibility to carcinogenicity from AN exposure, the available information was not considered suitable for developing data-specific ADAFs. Accordingly, it is

recommended that default ADAFs be applied to cancer risk values (OSF and IUR) for AN.

The *Supplemental Guidance* establishes default ADAFs for three specific age groups. These ADAFs and their corresponding age groups are: 10 for exposed individuals <2 years; 3 for exposed individuals 2 to <16 years; and 1 for exposed individuals ≥16 years. The 10- and 3-fold adjustments are combined with age-specific exposure estimates when estimating cancer risks from early life (<16 years of age) exposures to AN. Example calculations for estimating cancer risks based on age at exposure are provided in Section 6 of the *Supplemental Guidance* (U.S. EPA, 2005b).

5.4.4.3.1. Application of ADAFs in Oral Exposure Scenarios

To illustrate the use of ADAFs in oral exposure scenarios, sample calculations are presented for three exposure duration scenarios. These scenarios include full lifetime exposure (assuming a 70-year lifespan) and two 30-year exposures from ages 0–30 years and ages 20–50 years. An average daily dose of 0.0001 mg/kg-day AN was assumed for each scenario. The dose of 0.0001 mg/kg-day is used here for illustrative purposes only to demonstrate how to apply ADAFs. In practice, actual exposure information specific to the situation under consideration should be used.

Table 5-23 lists the four factors (ADAFs, OSF, assumed dose, and duration adjustment) that are needed to calculate the partial cancer risk based on the early age-specific group. The cancer risk for each age group is the product of the four factors in columns 2 to 5. For example, the cancer risk following daily oral exposure to AN in the age group 0 to <2 years is the product of the values in columns 2 to 5 or $10 \times 5 \times 0.0001 \times 2/70 = 1.4 \times 10^{-4}$. The risks listed in the last column of Table 5-23 are summed to obtain an estimate of the total risk. Thus, a 70-year (lifetime) risk estimate associated with an average daily dose of 0.0001 mg/kg-day AN is 8.3×10^{-4} , which is adjusted for early-life susceptibility and assumes a 70-year lifetime and constant exposure rate across age groups.

Table 5-23. Application of ADAFs to AN cancer risk following a lifetime (70-year) oral exposure

Age group (years)	ADAF	Slope factor (per mg/kg-day)	Average daily dose (mg/kg-day)	Duration adjustment	Cancer Risk for Specific Exposure Duration Scenarios
0–<2	10	5	0.0001	2 years/70 years	1.4×10^{-4}
2–<16	3	5	0.0001	14 years/70 years	3.0×10^{-4}
≥16	1	5	0.0001	54 years/70 years	3.9×10^{-4}
Total risk					8.3×10^{-4}

In calculating the cancer risk for a 30-year exposure to AN at a constant average daily dose of 0.0001 mg/kg-day from ages 0–30 years, the duration adjustments would be 2/70, 14/70, and 14/70. The risks for the three age groups would be 1.4×10^{-4} , 3.0×10^{-4} , and 1.0×10^{-4} , which would result in a total risk estimate of 5.4×10^{-4} .

In calculating the cancer risk for a 30-year exposure to AN at the same average daily dose from ages 20–50 years, the duration adjustments would be 0/70, 0/70, and 30/70. The partial risks for the three age groups would be 0, 0, and 2.1×10^{-4} , which would result in a total risk estimate of 2.1×10^{-4} .

5.4.4.3.2. Application of ADAFs in Inhalation Exposure Scenarios

To illustrate the use of ADAFs in inhalation exposure scenarios, sample calculations are presented for three scenarios involving inhalation exposure. These scenarios include full lifetime exposure (assuming a 70-year lifespan) and two 30-year exposures from ages 0–30 years and ages 20–50 years. A constant exposure concentration of $1 \mu\text{g}/\text{m}^3$ AN was assumed for each scenario. The exposure concentration of $1 \mu\text{g}/\text{m}^3$ is used here for illustrative purposes only to demonstrate how to apply ADAFs. In practice, actual exposure information specific to the situation under consideration should be used.

Similar to the oral exposure scenarios presented in Section 5.4.4.3.1, Table 5-24 lists the four factors (ADAFs, unit risk, assumed exposure concentration, and duration adjustment) that are needed to calculate the partial cancer risk based on the early age-specific group. The cancer risk for each age group is the product of the four factors in columns 2 to 5. For example, the cancer risk following daily inhalation exposure to AN in the age group 0 to <2 years is the product of the values in columns 2 to 5 or $10 \times (2 \times 10^{-5}) \times 1 \times 2/70 = 5.7 \times 10^{-6}$. The risks listed in the last column of Table 5-24 are summed to obtain an estimate of the total risk. Thus, a 70-year (lifetime) risk estimate for continuous exposure to $1 \mu\text{g}/\text{m}^3$ AN is 3.3×10^{-5} , which is adjusted for early-life susceptibility and assumes a 70-year lifetime and constant exposure across age groups.

Table 5-24. Application of ADAFs to AN cancer risk following a lifetime (70-year) inhalation exposure

Age group (years)	ADAF	Unit risk (per $\mu\text{g}/\text{m}^3$)	Exposure concentration ($\mu\text{g}/\text{m}^3$)	Duration adjustment	Cancer Risk for Specific Exposure Durations
0–<2	10	2×10^{-5}	1	2 years/70 years	5.7×10^{-6}
2–<16	3	2×10^{-5}	1	14 years/70 years	1.2×10^{-5}
≥ 16	1	2×10^{-5}	1	54 years/70 years	1.5×10^{-5}
Total risk					3.3×10^{-5}

In calculating the cancer risk for a 30-year exposure to AN at a constant exposure concentration of $1 \mu\text{g}/\text{m}^3$ from ages 0–30 years, the duration adjustments would be 2/70, 14/70, and 14/70. The risks for the three age groups would be 5.7×10^{-6} , 1.2×10^{-5} , and 4.0×10^{-6} , which would result in a total risk estimate of 2.2×10^{-5} .

In calculating the cancer risk for a 30-year constant exposure to AN at an exposure concentration of $1 \mu\text{g}/\text{m}^3$ from ages 20–50 years, the duration adjustments would be 0/70, 0/70, and 30/70. The partial risks for the three groups would be 0, 0, and 8.6×10^{-6} , which would result in a total risk estimate of 8.6×10^{-6} .

5.4.5. Uncertainties in Cancer Risk Values

Risk estimates have inherent uncertainties. This subsection discusses the uncertainties that may be associated with cancer risk values for oral and inhalation cancer assessments.

5.4.5.1. *Oral Cancer Assessment*

Uncertainties related to the oral cancer assessment are discussed below and summarized in Table 5-25 and Figure 5-3.

Table 5-25. Summary of uncertainty in the AN oral cancer risk assessment

Consideration/ approach	Impact on cancer risk estimate	Decision	Justification
Low-dose extrapolation procedure	The selected model does not represent all possible models one might fit, and other models could conceivably be selected to yield more extreme results consistent with the observed data, both higher and lower than those included in this assessment.	Multistage model to determine POD, linear low-dose extrapolation from POD.	Available mode of action data support mutagenicity as the key mode of action and the application of the low-dose linear extrapolation approach. EPA's <i>Guidelines for Carcinogen Risk Assessment</i> (U.S. EPA, 2005a): mutagens "are assessed with a linear approach." Mutagenic mode of action functions systemically at multiple tumor sites.
PBPK model	Oral slope factor based on PBPK modeling and internal CEO levels is about sixfold higher than slope factor based on the default approach.	EPA-revised model was used.	The revised PBPK model includes EH activity in rats and provides better estimates of internal dose.
Dose metric	Alternatives could decrease oral slope factor (e.g., use of AN-AUC instead of CEO-AUC could lower slope factor by 30-fold).	CEO-AUC was used.	CEO is the reactive metabolite that binds to DNA and initiates tumor formation. AN is not the causal agent for cancer.
Statistical uncertainty at POD	Oral slope factor will be reduced 1.3-fold if BMD used as POD instead of BMDL.	BMDL (approach for calculating reasonable upper bound).	Limited size of bioassay results in sampling variability; BMDL is lower 95% confidence limit of BMD.
Bioassay	Oral slope factor would be the same if study on F344 rats were used (Johannsen and Levinskas, 2002b).	Quast (2002) study on Sprague-Dawley rats was used.	Quast (2002) has separate interim sacrifice groups and examined more endpoints than the Biodynamics (1980c) data sets.
Species/gender combination	Human risk could decrease or increase, depending on relative sensitivity.	Oral slope factor derived from study on female Sprague-Dawley rats.	It was assumed that humans are as sensitive as the most sensitive rodent gender/species tested; true correspondence is unknown. The carcinogenic response occurs across species. Generally, direct site concordance is not assumed; consistent with this view, some rodent tumors are not found in humans (e.g., Zymbal gland tumors, Harderian gland tumors) and rat and mouse tumor types also differ.
Human population variability in metabolism and response/sensitive subpopulations	Low-dose risk increase to an unknown extent.	Considered qualitatively.	Variability in human susceptibility likely exists due to differences in microsomal CYP2E1 activities and possibly GST activity.
Early-life susceptibility	Lifetime cancer risk increased by 1.6-fold.	Application of ADAFs of 10 (for individuals <2 years), 3 (for individuals 2 to <16 years), and 1 (for individuals ≥16 years).	Individuals exposed during early life to carcinogens with a mutagenic MOA are assumed to be at increased risk for cancer (U.S. EPA, 2005b). In the absence of adequate chemical-specific data to evaluate differences in age-specific susceptibility, EPA's <i>Supplemental Guidance</i> recommends the application of ADAFs.

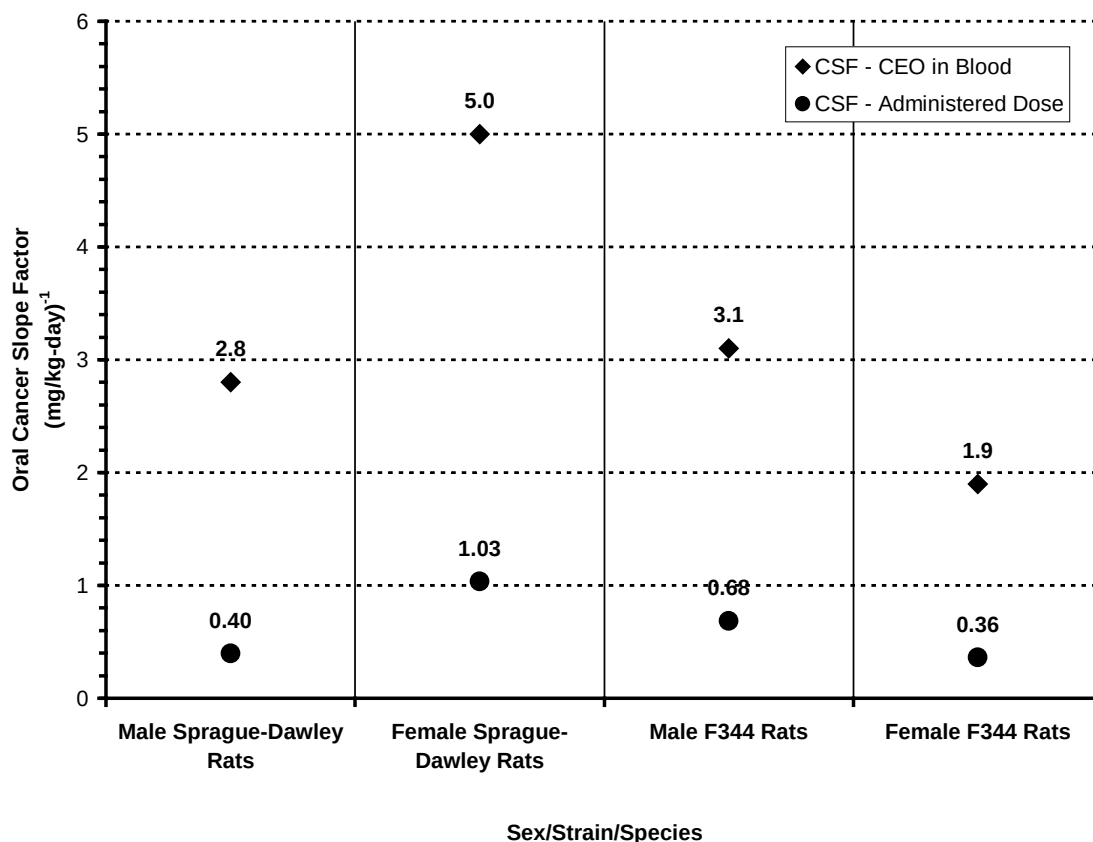


Figure 5-2. Comparison of composite oral CSFs derived from tumor incidence data in four different sex/strain/species of rats exposed chronically to AN. For each sex/strain/species combination, two different dose metrics were employed: (1) CEO concentration in blood, and (2) human equivalent administered dose.

Choice of study

Several bioassays by the oral route are available. The 2-year drinking water studies of Sprague-Dawley rats (Quast, 2002; Quast et al., 1980a) and F344 rats (Johannsen and Levinskas, 2002a; Biodynamics, 1980a) were selected as principal studies. These two studies have sufficient numbers of animals, investigate a thorough set of endpoints, and are considered well conducted. The tumor types observed and incidence of treatment-related tumors were similar in these two studies. Moreover, cancer risk estimates from these two studies differed only by a factor of 1.5. Similarities in these results increase the level of confidence of estimated cancer risk. The Quast (2002) study of Sprague-Dawley rats investigated more endpoints and is therefore a slightly stronger study.

PBPK model

Use of the PBPK model to extrapolate from rodents to humans also introduces some uncertainty. An extensive quantitative analysis of the PBPK model uncertainty is presented in Appendix D. Briefly, PBPK models are computational tools used to predict chemical/drug disposition and are comprised of three distinct types of information: physiological, physicochemical, and biochemical. The physiological data are independent of chemical-specific data, and describe such parameters as organ volumes and blood flows. Physicochemical parameters are chemical-specific and specify parameters, such as PCs or permeability. Biochemical parameters define the rates of chemical transformation or binding. Because the physiological data are considered to be well-characterized, analysis focused on uncertainties in the chemical-specific parameters employed in the PBPK model used to predict AN dosimetry in humans, which is adapted from that of Sweeney et al. (2003). (Only a small number of (metabolic) parameters were changed in the EPA's adaptation.) Uncertainty analysis and characterization of the human model lead to the following conclusions.

- Blood:air and tissue:air PCs as measured directly (in rodents) vs. estimated by a computational tool were compared, and the impact on model predictions of peak AN and peak CEO levels in brain and blood was found to be less than 30% (difference between predictions using the alternate sets of PCs).
- Comparison of model predictions to the limited human data of Jakubowski et al. (1987) shows that the model over-predicts the inhalation respiratory retention measured (predicted ~ 70%; measured 44–58%). This over-prediction may be due to the fact that the model does not fully describe the exposure apparatus (which can introduce additional airway “dead-space”) or that the model does not describe gas absorption/desorption in the conducting airways that can reduce uptake rates.
- Once absorbed, the model predicts that 8.4% of AN is converted to CEO and then hydrolyzed, while 30% is converted to CEO and then conjugated with GSH. These values bracket the observation of 16.3% of retained AN being excreted in urine as “CEO” (after acid extraction). Thus, this limited observation is in line with model predictions subsequent to AN predictions, though the exact level of agreement or error cannot be determined due to the distinction between observed quantity (CEO in urine) and what the model predicts (CEO metabolic rates).
- The PBPK model over-predicts CEO levels in the rat at early time-points after i.v. injection (Figure 3-3) and in blood and brain at all time points measured after oral exposure (Figure 3-5a). Kedderis et al. (1996) suggest that the overestimation of CEO by the rat model at the early time points (which also occurs in the EPA's revision) may be due to an intrahepatic first pass effect, as occurs with other epoxides formed in situ from their parent olefins (Filser and Bolt, 1984). However, this explanation is unlikely and a

more plausible explanation is that the model does not account for time-dependence in GSH levels. Inclusion of GSH dynamics would be a much more significant and intensive change to the model structure than single variation implemented here (addition of EH to the rat model with parameter re-estimation) and so has not been considered.

- Parametric sensitivity analysis of human predictions showed a shift in importance between the EH and GSH pathways for CEO elimination from being approximately equal in the implementation of Sweeney et al. (2003) to lower significance for EH (now low but significant) and high significance for GSH with the revised parameters. The oxidation of AN to CEO has a significant effect on AN predictions but only a small effect on CEO in both model versions, reflecting the high dependence of CEO concentrations on CEO metabolic removal. Not surprisingly, brain:tissue PCs significantly affect predictions in brain tissue, and the rate constant for oral absorption affects peak AN concentrations in blood, but not the AUC. While the human parameters are largely derived from human in vitro data, which gives a higher confidence than would occur had they only been extrapolated from rats, the high dependence of CEO concentrations on GSH conjugation rates, together with the hypothesis above regarding the lack of GSH dynamics in the model, point to that pathway description in particular as being the greatest source of quantitative uncertainty.
- Estimated coefficients of variation for predicted concentrations in brain and blood after inhalation exposure are ~0.6–0.7 for AN and 0.9–1.2 for CEO; after oral exposure these are 0.8–0.9 for AN and 0.7–1.0 for CEO. Based on these values, the human model predictions are expected to be accurate to within a factor of approximately 3, which is the standard assumption for pharmacokinetic variability among humans.

It can be noted that the oral CSFs are larger when based on blood levels of CEO versus blood levels of AN. The $V_{\max C}/K_m$ for AN oxidation in humans is estimated to be about 10 times higher than in the rat. AN enzymatic GSH conjugation is estimated to be 1.5 times higher in the rat, but the 2nd-order removal constant is the same and the GSH tissue levels are approximately the same (and lower in the larger tissue groups). The result, for example, with a steady oral “infusion” of 30 mg/kg-day, is that AN is removed somewhat faster overall, leading to steady-state blood level of 0.114 mg/L in the human vs. 0.151 mg/L in the rat. However, a much higher portion of this goes to CEO in the human yielding a CEO blood level of 0.297 mg/L vs. 0.013 mg/L in the rat. Thus, the CEO:AN ratio is 0.088 in the rat and 2.6 in the human, so that the CEO-based risk estimate is higher than the AN-based estimate.

Dose metric

AN is activated by CYP2E1 into its reactive metabolite, CEO, which binds to DNA and initiates tumor formation. Therefore, AUC CEO concentration in blood was selected as the

internal dosimetric for PBPK modeling. AN is not anticipated to be the causal agent for carcinogenesis. Uncertainty in the risk estimate related to the dose metric is primarily associated with PBPK model estimation.

Statistical uncertainty at the POD

Parameter uncertainty within the chosen model reflects the sample size of the cancer bioassay. For the multistage model applied to this data set, there is a relatively small degree of uncertainty at the BMDL₁₀ (the POD for linear low-dose extrapolation), which is approximately 1.4-fold lower than the BMD₁₀ (Appendix B, Table B-37). The highest value was selected in order to provide a reasonable upper-bound risk estimate.

With regard to the composite risk estimate, under the assumption of independence of the tumor type/site considered, no additional uncertainty is added to the estimated POD. Each composite estimate is a statistically rigorous restatement of the statistical uncertainty associated with the risk estimates derived from the individual sites. The only assumption in the combining tumors approach is independence of tumors. This assumption is consistent with NRC (1994) recommendations.

Choice of low dose extrapolation approach

The mode of action is a key consideration in deciding how risks should be estimated for low-dose exposure. The mode of action for cancer effects of AN is discussed extensively in Section 4.7.3.1 and is determined to be mainly due to mutagenicity. Other modes of action are plausible, but the evidence suggests they may not be key to the carcinogenicity of AN. The pattern of tumors is consistent with DNA-reactive chemicals. When the mode of action is determined to be mutagenicity, a linear approach is used to estimate low-exposure risk, in accordance with EPA's *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a).

Choice of species/gender

No human cancer epidemiology study via the oral route is available. Cancer risk is estimated for both male and female rats, and cancer risk for the strain and gender with the highest risk, Sprague-Dawley females, was selected. Mammary gland tumors were observed in female rats of both studies. Although a cancer bioassay in B6C3F₁ mice is also available, the exposure was via gavage and no PBPK model is available for mice. It was assumed that humans are as sensitive overall as rats, although the true correspondence is unknown. Site concordance is not assumed, nor is it necessary (U.S. EPA, 2005a).

Human population variability

Heterogeneity among humans is another source of uncertainty. Human genetic polymorphisms in CYP2E1 activities likely contribute to variability in susceptibility to the toxic effects of AN (see Section 4.8.4.1).

5.4.5.2. *Inhalation Cancer Assessment*

Uncertainties related to the IUR assessment are discussed below and summarized in Table 5-26 and Figure 5-4.

Table 5-26. Summary of uncertainty in the AN inhalation cancer risk assessment

Consideration/ approach	Impact on cancer risk estimate^a	Decision	Justification
Choice of study	Cancer risk estimate could increase because only lung cancer deaths evaluated.	Lung cancer mortality and exposure data from Blair et al. (1998) study were used to derive IUR.	IUR derived from best available cancer epidemiological study of AN-exposed workers has fewer inherent uncertainties than IUR derived from rat study (Quast et al., 1980b).
Low-dose extrapolation procedure	The selected model does not represent all possible models one might fit, and other models could conceivably be selected to yield more extreme results consistent with the observed data, both higher and lower than those included in this assessment.	Low-dose linear.	EPA's <i>Guidelines for Carcinogen Risk Assessment</i> (U.S. EPA, 2005a): mutagens "are assessed with a linear approach."
Statistical uncertainty at POD	IUR would be reduced fourfold if BMD used as POD instead of BMDL.	BMDL, approach for calculating reasonable upper bound.	Limited size of study results in sampling variability; BMCL is lower 95% confidence limit on EC ₀₁ .
Choice of species/gender	IUR estimated from exposed male and female workers.	IUR estimated from entire cohort was used.	No apparent gender difference between male and female workers; responses in male and female rats were also similar.
Human population variability in metabolism and response/sensitive subpopulations	Low-dose risk for general population can increase to an unknown extent due to underestimation of human variability	Semi-parametric Cox regression model with time-dependent covariates was used. The Cox model allows inclusion of individual exposure histories and utilizes internal controls, thus avoiding confounding by the healthy worker effect.	Human variability in susceptibility likely exists due to differences in microsomal CYP2E1 activities, and possibly GST activity.
Early-life susceptibility	Lifetime cancer risk increased by 1.6-fold.	Application of ADAFs of 10 (for individuals <2 years), 3 (for individuals 2 to <16 years), and 1 (for individuals ≥16 years).	Individuals exposed during early life to carcinogens with a mutagenic MOA are assumed to be at increased risk for cancer (U.S. EPA, 2005b). In the absence of adequate chemical-specific data to evaluate differences in age-specific susceptibility, EPA's <i>Supplemental Guidance</i> recommends the application of ADAFs.

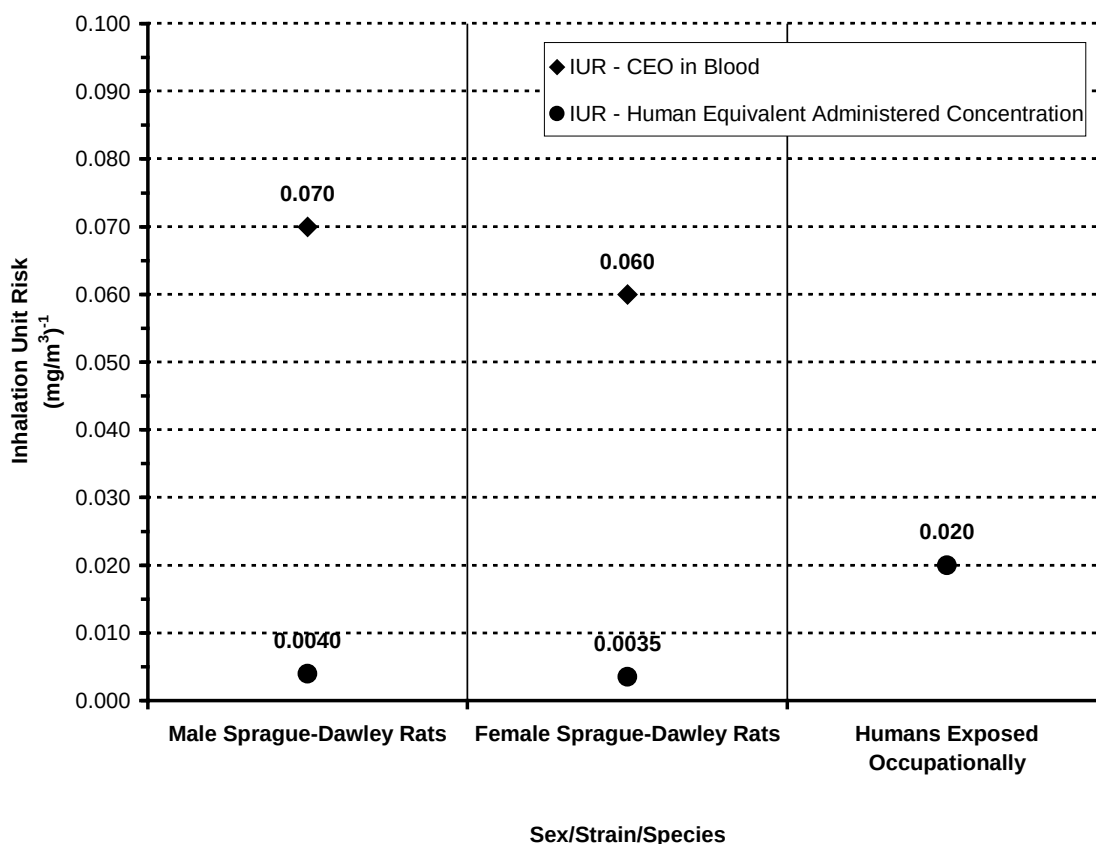


Figure 5-3. Comparison of composite IURs derived from: (1) tumor incidence data in male and female Sprague-Dawley rats exposed chronically to AN, and (2) lung cancer mortality in humans exposed to AN occupationally. In deriving the animal-based IURs, two different dose metrics were employed: (1) predicted CEO concentration in blood, and (2) human equivalent administered AN concentration in air.

Choice of study

As mentioned previously, the Blair et al. (1998) cohort study is the largest cohort assessment of the relationship between AN exposure and cancer. This study had the distinct advantage of quantifying exposure and using an internal control group of unexposed workers, all factors identified as shortcomings in previous studies. Information on smoking history, a potential confounder when lung cancer is the outcome of interest, was available, but only for a small subset within the Blair et al. (1998) cohort. Blair et al. (1998) limited their analysis to the white male population and noted that adjustment for smoking reduced the risk of lung cancer slightly. The data used in this assessment to derive the IUR included the entire cohort; consequently, the smoking history data were incomplete for this analysis, leading to an area of uncertainty surrounding this risk estimate.

Another source of uncertainty stems from the use of only lung cancer mortality for the derivation of the IUR. As previously described, other studies reported small and not consistent excess risks for other types of cancer (prostate, bladder, brain cancers) associated with AN exposure. Thus, basing the IUR on only lung cancer mortality may underestimate the carcinogenic potential of AN. Further, as the IUR is based on mortality rather than lung cancer incidence, there may be potential for underestimation of carcinogenic potential with this approach. In the case of lung cancer, however, mortality is a good surrogate of lung cancer incidence. Additional uncertainties related to the statistical analysis of these data are further discussed in Appendix B-7. Briefly, uncertainties of the statistical approach include: (1) Cox model that was fit to the data is not a biologically based model; (2) the estimator of the cumulative hazard does not account for the covariate path and hence is only an approximation; and (3) the estimate of risk is obtained using the first-order “linearized” approximation. However, obtained results are consistent with assumptions of the first-order approximation validity.

Other uncertainties associated with the NCI/NIOSH cohort include nondifferential exposure misclassification, lung cancer misdiagnosis among the internal controls, the relatively short follow-up period (reflected by the relatively small proportion of mortality within the cohort), and the extrapolation of continuous environmental exposure from 8-hour occupational exposure without consideration of potential recovery mechanisms between daily exposures. The nondifferential exposure misclassification contributes to an underestimation of risk, while the impacts of short follow-up and extrapolation to a continuous exposure scenario are unclear. Nonetheless, the NCI/NIOSH cohort provides the most robust data set in terms of sample size and exposure assessment for the derivation of the IUR.

Statistical uncertainty at the POD

Parameter uncertainty within the chosen model reflects the limited sample size of the cancer bioassay. For the results relying on the cohort study, the EC_{01} is approximately fourfold higher than the LEC_{01} .

For the multistage model applied to the rat data, in support of the human-based results, there is a reasonably small degree of uncertainty at the 10% incidence level (the POD for linear low-dose extrapolation). Composite BMC_{10s} for overall cancer risk are approximately 1.3-fold higher than their corresponding $BMCL_{10s}$ for both male and female rats.

With regard to the composite risk estimate, under the assumption of independence of the tumor type/site considered no additional uncertainty is added to the estimated POD. Each composite estimate is a statistically rigorous restatement of the statistical uncertainty associated with the risk estimates derived from the individual sites. This assumption is consistent with NRC (1994) recommendations.

Low-dose extrapolation procedure

As discussed previously, the key mode of action for carcinogenicity of AN is determined to be mutagenicity. A linear approach is used to estimate low-exposure risk, in accordance with EPA's *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a). A nonlinear low-dose extrapolation approach is not used since data do not support other modes of action at this time.

Choice of species/gender

A human study was selected for quantification of the IUR. Both male and female workers were evaluated. A 2-year inhalation study of male and female rats was used for comparison. Estimates of IURs from the human study and from the rat studies were similar. IURs estimated from male and female rats were also similar, although there was a suggestion of higher cancer risk in females associated with mammary gland tumors.

Human population variability

Heterogeneity among humans is another source of uncertainty. See the discussion of human population variability in Section 5.4.5.1.

5.4.6. Previous Cancer Assessment

In the previous IRIS assessment completed in 1991, EPA derived CSFs derived from each of these three studies, i.e., $4 \times 10^{-1} \text{ (mg/kg-day)}^{-1}$ (Biodynamics, 1980a), $4 \times 10^{-1} \text{ (mg/kg-day)}^{-1}$ (Biodynamics, 1980c), and $10 \times 10^{-1} \text{ (mg/kg-day)}^{-1}$ (Quast et al., 1980a).

Also in the previous IRIS assessment, an IUR was derived based on human data. This IUR was based on an increase in lung cancer incidence in humans occupationally exposed to AN, as described by O'Berg (1980). A value of 6.8×10^{-5} per $\mu\text{g/m}^3$ was derived by the application of an RR model, which was adjusted for smoking and was based on a continuous lifetime equivalent of occupational exposure to AN.

6. MAJOR CONCLUSIONS IN THE CHARACTERIZATION OF HAZARD AND DOSE-RESPONSE

6.1. HUMAN HAZARD POTENTIAL

AN (CASRN 107-13-1) is a colorless, flammable, and volatile liquid with a weakly pungent onion- or garlic-like odor. It is a commercially important chemical used in the manufacture of acrylic and modacrylic fibers, plastics (ABS and AN-styrene resins), and nitrile rubbers and as an intermediate in the synthesis of other chemicals, such as adiponitrile and acrylamide. Exposure to airborne AN is possible for people living in the vicinity of emission sources such as acrylic fiber or chemical manufacturing plants or waste sites.

AN is rapidly and nearly completely absorbed, widely distributed to tissues, and biochemically transformed into metabolites that are excreted in the urine and, to a much lesser extent, in feces and expired air. Two major metabolic pathways for AN have been identified: detoxification of AN by conjugation with GSH, forming the urinary metabolite N-acetyl-S-(2-cyanoethyl)cysteine, and oxidation of AN to its epoxide metabolite, CEO, via CYP2E1. CEO can bind to tissue macromolecules, such as proteins and DNA. CEO can be hydrolyzed to cyanide with further transformation to thiocyanate, which is excreted in urine. Alternatively, CEO can interact with GSH, resulting in the formation of a number of other urinary metabolites.

There are no studies directly identifying health hazards in humans following oral exposures of any duration, but results from a robust array of studies in rats and mice identify noncancer lesions in the gastric squamous epithelium and tumors in multiple tissues as potential health hazards to humans exposed to AN by the oral route for chronic durations. Results from cross-sectional epidemiologic studies of AN-exposed workers identify increased prevalences of neurological symptoms and adverse reproductive outcomes in occupationally exposed workers as potential health hazards from chronic inhalation exposure. Cancer is another potential human health hazard from chronic inhalation exposure, as indicated by increased incidences of tumors at several tissue sites in rat chronic inhalation bioassays. Studies of AN-exposed workers have provided no strong evidence that mortality from any type of cancer is casually related to occupational exposure, but limited evidence from the best-designed epidemiologic study found a small, but statistically significant, increased risk for dying from lung cancer in workers with the longest durations and highest exposures to AN.

The animal toxicity database identifies hyperplasia and hyperkeratosis of the squamous epithelium of the forestomach as the most sensitive noncancer effects associated with repeated oral exposure to AN. Following chronic oral exposure, these lesions have been observed in rats at drinking water concentrations as low as 1–3 ppm (0.09–0.3 mg/kg-day) (Johannsen and Levinskas, 2002a, b; Biodynamics, 1980a, b). Other effects have been observed in repeatedly exposed animals, generally at higher exposure levels. These include ovarian atrophy in female

mice exposed to doses ≥ 2.5 mg/kg-day for 2 years (NTP, 2001); chronic nephropathy in male and female rats exposed for 2 years to 3.4 and 10.8 mg/kg-day AN, respectively (Quast et al., 1980a); gliosis in the brain of female rats at 4.4 mg/kg-day (Quast et al., 1980a); decreased sperm count in male mice exposed to 10 mg/kg-day for 60 days (Tandon et al., 1988); hind-limb weakness and decreased sensory nerve conduction velocity in male rats exposed to 50 mg/kg-day AN for 12 weeks (Gagnaire et al., 1998); and neurobehavioral effects in male rats exposed to 4 mg/kg-day AN in drinking water for 8 or 12 weeks (Rongzhu et al., 2007). No changes in fertility index or pregnancy success were found in a three-generation study of rats exposed to drinking water doses as high as 39 mg/kg-day (Friedman and Beliles, 2002; Litton Bionetics, 1992). Mild developmental effects were observed at 11 and 20 mg/kg-day (small deficits in postnatal pup weight or survival) in this three-generation rat study and at 25 mg/kg-day (increased litters with pups with missing vertebrae) but not at 10 mg/kg-day in rat fetuses exposed on GDs 6–15 (Murray et al., 1978).

Repeated inhalation exposure to AN in the workplace has been associated with increased prevalence of subjective neurological symptoms, such as headache, poor memory, and irritability (Chen et al., 2000; Kaneko and Omae, 1992; Muto et al., 1992; Sakurai et al., 1978) and small performance deficits in neurobehavioral tests of mood, attention and speed, auditory memory, visual perception and memory, and motor steadiness (Lu et al., 2005a). Such effects have been associated with average workplace air concentrations of 0.1 or 0.9 ppm and appear to be the most sensitive noncancer effects from repeated inhalation exposure to AN. Adverse reproductive outcomes, such as increased prevalences of premature deliveries, stillbirths, sterility, birth defects, and pregnancy complications, have been associated with occupational exposure to average workplace concentrations ranging from 3.6 to 7.5 ppm (Dong et al., 2000a; Li, 2000). Subchronic and chronic inhalation toxicity studies in rats identified other noncancer effects at higher exposure levels, including nasal epithelial lesions in rats exposed to concentrations of 20 or 80 ppm for 2 years (Quast et al., 1980b), decreased nerve conduction velocity and hind-limb weakness in rats exposed to ≥ 25 ppm AN (Gagnaire et al., 1998), increased incidence of rat fetuses with missing vertebrae, missing ribs, or anteriorly displaced ovaries following exposure of pregnant rats to 80 ppm on GDs 6–15 (Murray et al., 1978), and decreased rat fetal weight gain following exposure of pregnant rats to concentrations ≥ 25 ppm on GDs 6–20 (Saillenfait et al., 1993).

The genotoxicity of AN has been evaluated in multiple systems *in vitro* and *in vivo*. In addition to positive findings in blood lymphocytes, buccal mucosal cells, and sperm in five epidemiologic studies, DNA alkylation by AN was found in numerous tissues in rats or mice (brain, liver, testes, forestomach, colon, kidney, bladder, and lung) treated with a single dose of AN. AN or its reactive metabolite, CEO, yielded positive results in *in vitro* mutation assays using bacteria, fungi, and insects, as well as animal and human cell cultures. The weight of evidence from these studies suggests that AN is mutagenic after metabolic activation to CEO.

Following EPA *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), AN is “likely to be carcinogenic to humans,” based predominantly on consistent results showing that lifetime inhalation or oral exposure caused statistically significantly increased incidence of tumors at multiple tissue sites in rats and mice. Lifetime oral exposure to AN caused increased incidences of tumors at multiple tissue sites, including the brain, forestomach, and Zymbal gland, in several rat studies and the forestomach and Harderian gland in a gavage study in mice (NTP, 2001). Lifetime inhalation bioassays with Sprague-Dawley rats found exposure-related increases in the incidences of brain tumors, Zymbal gland tumors, intestinal tumors, tongue tumors, and malignant mammary gland tumors (Dow Chemical Co., 1992a; Quast et al., 1980b). Also, there is some evidence for an association between AN exposure and lung cancer deaths in occupationally exposed workers (notably Blair et al., 1998).

Although data gaps still exist in the current understanding of the mode of action for carcinogenicity of AN, there is experimental evidence to support a mutagenic mode of action as the key mode of action for AN-induced tumors. Other modes of action may contribute, but limited data do not appear supportive at this time. Mutagenicity via oxidative DNA damage is plausible, but oxidative stress was not supported by experimental evidence as a key mode of action.

6.2. DOSE-RESPONSE

6.2.1. Oral RfD

The available oral toxicity studies in animals identify nonneoplastic forestomach lesions (i.e., squamous cell epithelial hyperplasia and hyperkeratosis) as the most sensitive noncancer effect associated with chronic oral exposure to AN. These lesions are expected to be relevant to humans because, although humans do not possess a forestomach, they do have comparable squamous cell epithelial tissue in their oral cavity and in the upper two-thirds of their esophagus.

A 2-year drinking water study with F344 rats (Johanssen and Levinskas, 2002b) was selected as the principal study on which to base the RfD. This study included five drinking water exposure levels ranging from 1 to 100 ppm, and it identified the lowest administered-dose LOAEL of the available chronic animal toxicity studies based on an increased incidence of forestomach lesions (i.e., LOAELs of 0.3 and 0.4 mg/kg-day for males and females, respectively, with associated NOAELs of 0.1 mg/kg-day for both sexes).

An RfD of 3×10^{-4} mg/kg-day is derived based on incidence of nonneoplastic forestomach lesions (hyperplasia or hyperkeratosis) in male and female F344 rats in the 2-year drinking water study of AN by Johanssen and Levinskas (2002b). This RfD was derived using a BMD approach and application of PBPK modeling (Section 3.5; Appendix C; Sweeney et al., 2003; Kedderis et al., 1996).

The RfD derivation process involved first fitting all available dichotomous models in BMDS (version 2.0) to the incidence data for male and female rats, separately, employing two

different internal dose metrics (i.e., AN in blood and CEO in blood). These two internal dose metrics were derived by converting administered rat doses of AN to internal rat doses (either AN or CEO in blood) using the rat PBPK model of Kedderis et al. (1996), as modified by EPA (Section 3.5; Appendix C). Then, for each sex, the BMDL associated with a 10% extra risk for gastric epithelial lesions was derived based on the best-fitting model. This BMDL based on rat internal dose was then converted to the human equivalent administered dose of AN by using the human PBPK model of Sweeney et al. (2003) (with EPA-modified parameters; Section 3.5; Appendix C). As discussed in more detail in Section 5.1, CEO in blood was selected as the best available internal dose metric for cross-species extrapolation with oral exposure. The human equivalent administered dose of AN represents a POD for noncancer effects and was then divided by a UF of 30 (3 to account for uncertainty in extrapolating from rats to humans with dosimetric adjustment and 10 to account for variation in response from average humans to sensitive humans) to arrive at an RfD.

Confidence in the principal study selected for the RfD is high. The principal study, Johannsen and Levinskas (2002b), was selected from eight chronic rat studies and one gavage study in exposed mice. The study employed five exposure levels of AN, ranging from 1 to 100 ppm, and thus provided a relatively complete description of the dose-response relationship in the low-dose region. Johannsen and Levinskas (2002b) identified the lowest LOAELs based on an increased incidence of hyperplasia and hyperkeratosis in squamous epithelium of forestomach (0.3 mg/kg-day for males and 0.4 mg/kg-day for females). Confidence in the AN database is high. The database for ingested AN includes nine rat toxicity and cancer bioassays, one toxicity and cancer bioassay with B6C3F₁ mice, a three-generation (46-week) developmental/reproductive toxicity study with Sprague-Dawley rats, a 12-week gavage study of nerve conduction velocities in male Sprague-Dawley rats, a 14-week gavage toxicity bioassay in B6C3F₁ mice, a developmental toxicity study in Sprague-Dawley rats exposed by gavage during GDs 6–15, and a 90-day study of oxidative stress indicators in the brain and liver of F344 rats. . Overall confidence in the RfD is high reflecting these considerations.

6.2.2. Inhalation RfC

Results from several cross-sectional epidemiologic studies of AN-exposed workers identified increased prevalence of neurological symptoms and small performance deficits in neurobehavioral tests as the critical effects resulting from chronic inhalation exposure to AN. The cross-sectional study of neurobehavioral performance measures in acrylic fiber workers by Lu et al. (2005a) was selected as the principal study for RfC derivation because it identified the lowest reliable exposure level in humans associated with adverse neurological effects.

A NOAEL/LOAEL approach was used to derive the RfC from the human data. The average workplace AN air concentration of 0.11 ppm for workers in the monomer work areas of the acrylic fiber plant was selected as the LOAEL or POD for RfC derivation. At the LOAEL of

0.11 ppm (0.24 mg/m³), small, but statistically significant, performance deficits in neurobehavioral tests of mood, attention and speed, auditory memory, visual perception and memory, and motor steadiness were observed. The LOAEL was converted to an equivalent continuous exposure of 0.086 mg/m³ and was divided by a composite UF of 100 (10 for extrapolating from a LOAEL to a NOAEL and 10 to account for extrapolating from healthy workers to sensitive humans) to arrive at an RfC for AN of 0.9 µg/m³.

As discussed in more detail in Section 5.2, comparative animal-based RfCs for AN of 3×10^{-3} mg/m³ (or 3 µg/m³) and 2×10^{-3} mg/m³ (or 2 µg/m³) were derived based on PODs from BMD modeling of nasal lesions observed in male and female rats, respectively, exposed to AN via inhalation for 2 years (Quast et al., 1980b). In deriving these RfCs, the PODs were divided by a composite UF of 30 (3 for extrapolating from rats to humans using the default U.S. EPA (1994) dosimetric adjustment and 10 to account for variation from average humans to sensitive humans). These animal-based RfCs are consistent with the human-based value. However, the human-based value of 0.9 µg/m³ is selected as the RfC, because extrapolating from animals has greater associated uncertainty than extrapolating from humans.

The principal study is given medium confidence because it is the best available study that identified neurobehavioral effects of AN in occupationally exposed workers. Lu et al. (2005a) utilized the WHO-recommended NCTB administered by trained physicians to systemically evaluate neurobehavioral effects, whereas previous occupational studies by Kaneko and Omae (1992) and Muto et al. (1992) reported subjective neurological symptoms in exposed workers. Hence, the results of Lu et al. (2005a) were more reliable when compared with those based on self reporting. The confidence in the principal study is medium because there are several limitations in the study. One was that the cited exposure data represented estimates of previous exposure levels and no contemporaneous personal monitoring data were available. In addition, the study authors could not rule out the possibility that examiner drift may have affected the results. Moreover, the largest measures of neurobehavioral effect occurred in the acrylic fiber workers, who had a lower average exposure level.

Confidence in the database is high. Like the oral toxicity database, the inhalation database for AN is robust, consisting of eight occupational exposure studies that evaluated the noncancer health effects of AN on workers exposed via inhalation. Three of these studies evaluated reproductive endpoints in AN-exposed workers. The database also includes one chronic inhalation toxicity study in male and female Sprague-Dawley rats; one two-generation reproductive toxicity study of inhaled AN vapors in Crl:CD (SD) rats; one 24-week nerve conduction velocity study in male rats, and 2 developmental studies in rats exposed from GD 6-15 or GD 6-20. An RfC based on the results from a chronic inhalation study with Sprague-Dawley rats was also derived for comparison. Statistically significant increased incidence of inflammatory and degenerative nasal lesions occurred in rats exposed to the lowest level in this 2-year bioassay. The alternative RfC derived from the rat study is only about threefold higher

than the RfC derived from the occupational exposure study. Overall confidence in the RfC is medium, reflecting these considerations.

6.2.3. Oral Slope Factor

Incidence data for forestomach, CNS, Zymbal gland, tongue, and mammary gland tumors in male and female Sprague-Dawley (Quast, 2002) and F344 (Johanssen and Levinskas, 2002a) rats were used to develop site-specific oral CSFs for AN, employing EPA-modified rat and human PBPK models for cross-species dosimetric extrapolation (Section 3.5; Appendix C; Sweeney et al., 2003; Kedderis et al., 1996). These animal studies were selected for the development of oral CSFs because oral human data are not available, and these studies are the best available chronic bioassays for characterizing the dose-response relationships for these AN-induced tumors. Weight-of-evidence evaluation following U.S. EPA (2005a) guidelines determined that a mutagenic mode of action, most likely via the reactive AN metabolite CEO, was the principal mode of action. Consequently, a linear low-dose extrapolation approach was used in the development of the oral CSFs.

As discussed in more detail in Section 5.4, CEO in blood and AN in blood were both evaluated as internal dose metrics for use in cross-species extrapolation with oral exposure. The multistage dose-response model in BMDS (version 1.4.1) was fit to the male and female rat tumor incidence data, using internal animal dose expressed as either CEO or AN in blood. Rat administered doses were converted to rat internal doses (either CEO or AN in blood) by using the rat PBPK model of Kedderis et al. (1996), as modified by EPA (Section 3.5; Appendix C). For each of these two dose metrics, the resulting best-fit model for each endpoint was then used to derive a 95% lower confidence limit on the dose associated with 10% extra risk (i.e., a BMDL₁₀). These BMDLs, based on internal rat doses, were then converted to human equivalent administered doses of AN using the human PBPK model of Sweeney et al. (2003), as modified by EPA (Section 3.5; Appendix C). The site-specific oral CSFs based on incidence data from each tumor site were derived by linear extrapolation from the human equivalent administered doses down to the origin (oral CSF = 0.1/BMDL_{10/HED}). Within rat strain and sex, an CSF for the composite risk across these tumor sites, based on CEO in blood, was then estimated by employing the procedure described in Section 5.4.4.1.

The highest composite CSF of 5 per mg/kg-day is recommended for use in humans because it is the value obtained from the most sensitive species, sex, and strain (i.e., incidence of tumors in female Sprague-Dawley rats). This slope factor should not be used with exposures greater than 0.04 mg/kg-day (the lowest POD supporting the composite risk) because above this level, the slope factor cannot be expected to be an adequate approximation to the dose-response relationship. The fitted dose-response relationship and pharmacokinetic models should be used to estimate risk above this exposure level.

Because a mutagenic mode of action for acrylonitrile carcinogenicity is sufficiently supported in laboratory animals and relevant to humans, and in the absence of adequate chemical-specific data to evaluate differences in susceptibility, increased early-life susceptibility is assumed. Accordingly, early-life susceptibility factors or ADAFs should be applied to the OSF when assessing cancer risk associated with early-life exposures (i.e., birth to 16 years) in accordance with the *Supplemental Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens* (U.S. EPA, 2005b) (see Section 5.4.4.3).

6.2.4. Cancer Inhalation Unit Risk

An analysis of the human lung cancer mortality data from the Blair et al. (1998) cohort study of AN-exposed workers was conducted to derive an IUR estimate for AN based on human data. This analysis employed the approach presented by Starr et al. (2004) and is further described in Appendix B-7. In brief, the risk of death from lung cancer in AN-exposed workers was characterized by using a semi-parametric Cox regression model with a cumulative exposure metric (i.e., ppm-working years) as the only time-dependent covariate. In contrast to the analysis by Starr et al. (2004), the entire cohort was included in the analysis conducted for this assessment (not just white male workers), and the final model only included cumulative exposure as the covariate.

The Cox regression model was used to estimate an AN exposure level and its associated 95% lower confidence limit corresponding to a 1% risk of dying from lung cancer by age 80 (i.e., EC₀₁ and LEC₀₁, respectively). Conversion of occupational exposures to continuous environmental exposures was accomplished by adjusting for differences in the amount of air inhaled during an 8-hour workday versus a 24-hour day (10 and 20 m³/day, respectively). The IUR estimate was derived by linear extrapolation from the LEC₀₁. The predicted EC₀₁ and LEC₀₁ derived from the Cox regression model based on the Blair et al. (1998) data were 0.992 and 0.238 ppm (2,168 and 524 µg/m³) AN, respectively. From the LEC₀₁, an IUR estimate of 0.042 per ppm (2×10^{-5} per µg/m³) was derived.

Some uncertainty is associated with this IUR estimate because of the study on which it is based. More specifically, no adjustment for smoking was applied in the Blair et al. (1998) study. The investigators collected smoking information on only about 10% of the exposed and unexposed members of the cohort and found similar incidences of smoking in the two groups. Because their statistical analysis compared exposed and unexposed groups of workers, Blair et al. (1998) noted that the adjustment for smoking made only a slight difference in the results of their analysis. Other uncertainties associated with the Blair et al. (1998) data set included nondifferential exposure misclassification and a relatively short follow-up period, an average of 21 years. Additionally, the outcome of the study was lung cancer mortality, not lung cancer incidence. Additional uncertainties related to the statistical analysis of these data are discussed further in Appendix B-7.

Tumor incidence data from the best available animal inhalation study (Dow Chemical Co., 1992a) were selected for describing the dose-response relationship between intestinal, CNS, Zymbal gland, tongue, and mammary gland tumors and AN exposure. These dose-response relationships were used to derive animal-based IURs for AN for comparative purposes. As with the animal-based CSF, a mutagenic mode of action, most likely via the reactive AN metabolite CEO, was assumed. Consequently, a linear low-dose extrapolation approach was used in the development of the animal-based IURs.

Based on the assumption that the epoxide metabolite, CEO, is critical to AN's carcinogenic mode of action, the selected internal dose metric was CEO in blood. In contrast to oral exposure, the EPA-modified PBPK model adequately predicted measured blood and brain concentrations of CEO in rats exposed to AN by inhalation (Kedderis et al., 1996). The multistage model was fit to the rat tumor incidence and CEO concentration in blood predicted by the PBPK model of Kedderis et al. (1996), as modified by EPA (Section 3.5; Appendix C). The best-fitting stage of the model was used to derive 95% lower bounds on rat internal blood concentrations of CEO associated with 10% extra risk (BMCL_{10S}). The human PBPK model of Sweeney et al. (2003) (parameters modified; Section 3.5; Appendix C), was then used to calculate human equivalent administered concentrations of AN, corresponding to the rat BMCL_{10S}. These human equivalent administered concentrations of AN were used as the PODs for the IUR estimates derived via linear extrapolation down to the origin (i.e., IUR = 0.1/BMCL_{10/HEC}).

The IUR estimates for multiple tumor sites were derived within each rat sex by employing the procedure described in Section 5.4.4.2. The resulting composite IUR estimates were 7×10^{-2} and 6×10^{-2} per mg/m³ (7×10^{-5} and 6×10^{-5} per µg/m³) and were derived based on tumor incidence data from male and female Sprague-Dawley rats, respectively. These unit risks should not be used with exposures greater than 3 mg/m³ (the lowest POD supporting the composite risk), because above this level, the IUR cannot be expected to be an adequate approximation of the dose-response relationship. The fitted dose-response relationship and pharmacokinetic models should be used to estimate risk above this exposure level.

The IUR of 2×10^{-5} per µg/m³ derived from human data is chosen as the IUR for AN over the alternative IUR value derived from animal inhalation bioassay data because the use of human study data eliminates the uncertainty inherent in animal to human extrapolation. This value is consistent with the alternative IUR derived from the animal data.

As described for the OSF, early-life susceptibility factors or ADAFs should be applied to the IUR when assessing cancer risks associated with early-life exposures (i.e., birth to 16 years) in accordance with the *Supplemental Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens* (U.S. EPA, 2005b) (see Section 5.4.4.3).

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**APPENDIX A. SUMMARY OF EXTERNAL PEER REVIEW AND PUBLIC
COMMENTS AND DISPOSITION**

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APPENDIX B. BENCHMARK DOSE CALCULATIONS

APPENDIX B-1. NONCANCER ORAL DOSE-RESPONSE ASSESSMENT (RfD): BENCHMARK DOSE MODELING RESULTS EMPLOYING THE INCIDENCE OF FORESTOMACH LESIONS (HYPERPLASIA AND HYPERKERATOSIS) IN MALE AND FEMALE SPRAGUE-DAWLEY RATS, F344 RATS, AND B6C3F₁ MICE CHRONICALLY EXPOSED ORALLY TO AN FOR 2 YEARS

As previously discussed in Section 4, no human studies currently exist that involve oral exposures to AN. The available animal oral toxicity data, however, identify forestomach lesions (i.e., squamous cell epithelial hyperplasia and hyperkeratosis) as the most sensitive, prevalent, and consistent noncancer effect associated with chronic oral exposure to AN. Therefore, this endpoint was selected as the critical effect on which to base derivation of the RfD.

Two 2-year drinking water studies, one in Sprague-Dawley rats (Quast, 2002; Quast et al., 1980a) and the other in F344 rats (Johannsen and Levinskas, 2002b; Biodynamics 1980c), and a 2-year gavage study in B6C3F₁ mice (NTP, 2001) provided the best available dose-response data on which to base the RfD. Candidate RfDs for AN were derived from the incidence of forestomach lesions in Sprague-Dawley rats, F344 rats, and B6C3F₁ mice using a BMD approach. Incidences of forestomach lesions from chronic drinking water studies in male and female Sprague-Dawley rats (Quast, 2002) and F344 rats (Johannsen and Levinskas, 2002b) provided four sets of dose-response data from which to derive candidate RfDs, while incidences of forestomach lesions from a chronic gavage study in male and female B6C3F₁ mice provided an additional two sets of dose-response data. These six data sets are presented in Tables B-1 (for rats) and B-2 (for mice).

Table B-1. Incidences of forestomach lesions (hyperplasia or hyperkeratosis) in Sprague-Dawley and F344 rats exposed to AN in drinking water for 2 years

Sex	Administered concentration (ppm in drinking water)	Administered dose ^a (mg/kg-d)	Predicted internal dose metrics ^b		Incidence of forestomach lesions ^c
			AN-AUC in rat blood (mg/L)	CEO-AUC in rat blood (mg/L)	
<i>Sprague-Dawley rats</i> (Sources: Quast, 2002; Quast et al., 1980a)					
Male	0	0	0	0	15/80 (19%)
	35	3.4	2.06×10^{-2}	1.83×10^{-3}	15/47 (32%)
	100	8.5	5.36×10^{-2}	4.36×10^{-3}	44/48 (92%) ^c
	300	21.3	1.46×10^{-1}	9.70×10^{-3}	45/48 (94%) ^c
Female	0	0	0	0	20/80 (25%)
	35	4.4	2.37×10^{-2}	2.07×10^{-3}	23/48 (48%) ^c

Table B-1. Incidences of forestomach lesions (hyperplasia or hyperkeratosis) in Sprague-Dawley and F344 rats exposed to AN in drinking water for 2 years

Sex	Administered concentration (ppm in drinking water)	Administered dose ^a (mg/kg-d)	Predicted internal dose metrics ^b		Incidence of forestomach lesions ^c
			AN-AUC in rat blood (mg/L)	CEO-AUC in rat blood (mg/L)	
	100	10.8	6.18×10^{-2}	4.87×10^{-3}	41/48 (85%) ^c
	300	25.0	1.56×10^{-1}	1.01×10^{-2}	47/48 (98%) ^c
F344 rats^d (Sources: Johannsen and Levinskas, 2002b; Biodynamics, 1980c) ^d					
Male	0	0	0	0	11/159 (7%)
	1	0.08	4.33×10^{-4}	4.06×10^{-5}	3/80 (4%)
	3	0.25	1.35×10^{-3}	1.27×10^{-4}	18/75 (24%) ^c
	10	0.83	4.52×10^{-3}	4.19×10^{-4}	13/80 (16%) ^c
	30	2.48	1.37×10^{-2}	1.23×10^{-3}	17/80 (22%) ^c
	100	8.37	4.85×10^{-2}	3.97×10^{-3}	9/77 (12%)
Female	0	0	0	0	4/156 (3%)
	1	0.12	5.73×10^{-4}	5.32×10^{-5}	2/80 (3%)
	3	0.36	1.72×10^{-3}	1.59×10^{-4}	16/80 (20%) ^c
	10	1.25	6.02×10^{-3}	5.49×10^{-4}	23/74 (31%) ^c
	30	3.65	1.79×10^{-2}	1.58×10^{-3}	13/80 (16%) ^c
	100	10.90	5.63×10^{-2}	4.46×10^{-3}	5/74 (7%)

^aAdministered doses were averages calculated by the study authors based on animal BW and drinking water intake.

^bThe EPA-modified rat PBPK model of Keddaris et al. (1996) was employed to predict a rat internal dose (i.e., either AN-AUC or CEO-AUC concentration in blood) resulting from the ingestion of the specified administered dose of AN consumed in six bolus episodes/d.

^cIndicates significantly different (at $p < 0.05$) from control incidence by Fisher's exact test performed by Syracuse Research Corporation.

^dIncidences for F344 rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study. Rats dying during this time period were determined from page 6 of Appendix H and Table 1 in Biodynamics (1980c) and Table 8 in Johannsen and Levinskas (2002b). Unscheduled deaths between 0 and 12 mos in the study occurred in two female controls, two males at 3 ppm, three females at 10 ppm, and three males and three females at 100 ppm.

Table B-2. Incidences of forestomach lesions (hyperplasia or hyperkeratosis) in male and female B6C3F₁ mice administered AN via gavage for 2 years

Lesion site and type	Dose (mg/kg-d) ^a			
	0	2.5	10	20
<i>Males</i>				
Forestomach hyperplasia or hyperkeratosis	2/50 (4%)	4/50 (8%)	10/50 ^a (20%)	13/50 ^b (26%)
<i>Females</i>				
Forestomach hyperplasia or hyperkeratosis	2/50 (4%)	2/50 (4%)	5/50 (10%)	8/50 ^a (16%)

^aSignificantly elevated above vehicle control as determined by EPA using Fisher's exact test ($p \leq 0.05$).

^bSignificantly elevated above vehicle control as determined by EPA using Fisher's exact test ($p \leq 0.01$).

Source: NTP (2001).

The incidences of forestomach lesions observed following 2 years of AN exposure in male and female SD and F344 rats were modeled using AN and CEO in blood, expressed in mg/L, as internal dose metrics. In addition, incidences of these same lesions were modeled in male and female SD and F344 rats, as well as male and female B6C3F₁ mice, employing administered dose. In all cases, all of the dichotomous dose-response models available in EPA's BMDS software (version 2.0) (i.e., the gamma, logistic, log-logistic, probit, log-probit, multistage, Weibull, and quantal-linear models) were fit to these incidence data. Because the incidence of forestomach lesions in male and female F344 rats did not increase monotonically across all administered concentrations, however, only incidence data from the three lowest concentrations (i.e., 0, 1, and 3 ppm) were used in dose-response modeling. Similarly, in male Sprague-Dawley rats, the incidence data from the highest dose group needed to be dropped prior to BMD modeling. In most cases, several models fit the data equally well (i.e., exhibited χ^2 goodness-of-fit p values greater than 0.1). Of those models exhibiting adequate fit, the selected model was the one with the lowest AIC value. BMDL₁₀ and BMDL₀₅ estimates were derived from the selected model. If more than one model shared the lowest AIC, the mean BMDL₁₀ and BMDL₀₅ were calculated, as per the EPA's *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b).

In this appendix, results of the dose-response modeling for forestomach lesions in male and female SD and F344 rats, and B6C3F₁ mice are presented. For each species, strain, and sex, summaries of the dose-response data (i.e., animal administered and internal doses and incidence data) are presented (Table B-1 for rats and Table B-2 for mice). Then, again for each species, strain, and sex, Tables B-3 (Sprague-Dawley rats), B-4 (F344 rats), and B-5 (B6C3F₁ mice) summarize the results of the dose-response modeling. Each of these tables is then followed by

the standard output from EPA's BMDS, version 2.0, for the dose-response models that resulted in the lowest AIC for each endpoint (presented for the 10% BMR outputs).

Table B-3. Summary of the BMD modeling results based on the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in male and female Sprague-Dawley rats exposed to AN in drinking water for 2 years

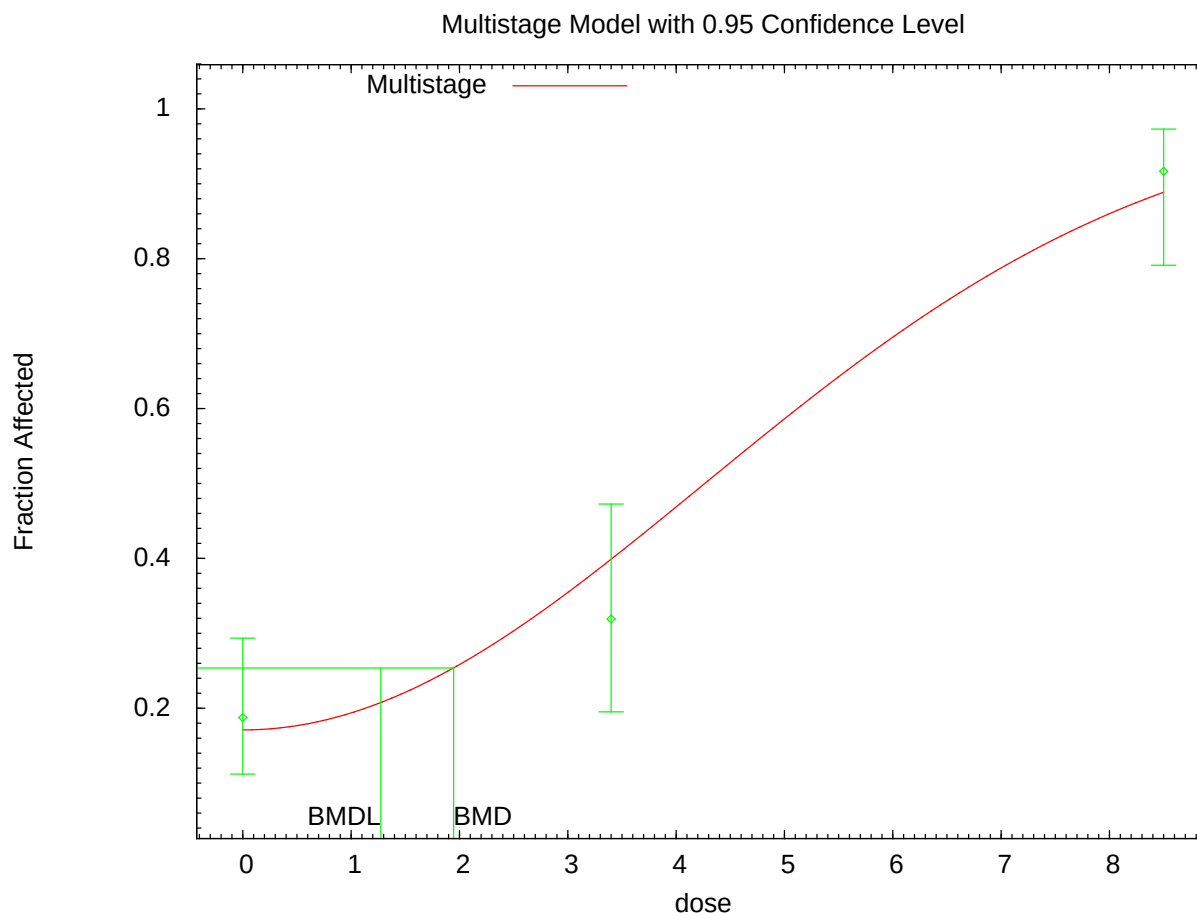
Sex	Endpoint	Dose metric	Selected model(s) ^a	χ^2 p-value	AIC	BMDL ₁₀ ^b	BMDL ₀₅ ^b
Male	Forestomach lesions	Estimated administered dose (mg/kg-d)	two-stage multistage (1)	0.18	169.45	1.27	7.20×10^{-1}
		Predicted AN in blood (mg/L)	two-stage multistage (1)	0.24	169.01	7.72×10^{-3}	4.32×10^{-3}
		Predicted CEO in blood (mg/L)	two-stage multistage (1)	0.12	170.09	6.82×10^{-4}	3.90×10^{-4}
Female	Forestomach lesions	Estimated administered dose (mg/kg-d)	gamma	0.38	212.77	6.87×10^{-1}	3.34×10^{-1}
			logistic	0.45	211.31	1.24	6.39×10^{-1}
			log-logistic	0.98	212.03	1.41	9.17×10^{-1}
			log-probit	0.74	212.14	1.38	9.61×10^{-1}
			one-stage multistage	0.31	212.40	6.30×10^{-1}	3.07×10^{-1}
			Weibull	0.31	213.07	6.74×10^{-1}	3.28×10^{-1}
		Predicted AN in blood (mg/L)	gamma	0.32	212.96	3.76×10^{-3}	1.83×10^{-3}
			logistic	0.23	212.00	7.07×10^{-3}	3.64×10^{-3}
			log-logistic	0.98	212.03	6.93×10^{-3}	4.36×10^{-3}
			log-probit	0.70	212.18	7.02×10^{-3}	4.88×10^{-3}
			one-stage multistage	0.42	211.79	3.62×10^{-3}	1.76×10^{-3}
			Weibull	0.28	213.19	3.72×10^{-3}	1.81×10^{-3}
		Predicted CEO in blood (mg/L)	gamma	0.51	212.45	3.53×10^{-4}	1.74×10^{-4}
			logistic	0.69	210.72	5.58×10^{-4}	2.88×10^{-4}
			log-logistic	0.87	212.06	7.25×10^{-4}	4.87×10^{-4}
			probit	0.33	211.86	5.87×10^{-4}	3.00×10^{-4}
			log-probit	0.86	212.06	7.17×10^{-4}	5.15×10^{-4}

^aAll dichotomous models in EPA's BMDS (version 2.0) were fit to the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in Sprague-Dawley rats using the data presented in Table B-1. For BMD modeling, three different dose metrics were employed: (1) administered animal dose (estimated) expressed in mg/kg-d, (2) AN in blood (predicted) expressed in mg/L, and (3) CEO in blood (predicted) expressed in mg/L. Adequate fit of a model was achieved if the χ^2 goodness-of-fit statistic yielded a p-value > 0.1. The numbers in parentheses indicate the number of dose groups dropped in order to obtain an adequate fit, starting with the highest dose group. Of those models exhibiting adequate fit and yielding BMDLs that were sufficiently close, the selected model (indicated in body in the table) was the model with the lowest AIC value, as per the EPA's *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b).

^bBMDL₁₀ and BMDL₀₅ estimates were derived from the selected model.

Sources: Quast (2002); Quast et al. (1980a).

SD Male Rats: Forestomach lesions (administered dose)



```
=====
Multistage Model. (Version: 3.0; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpAB.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpAB.plt
                               Fri Oct 03 16:22:53 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response
Independent variable = DOSE

Total number of observations = 3
Total number of records with missing values = 0
Total number of parameters in model = 3
Total number of specified parameters = 0
Degree of polynomial = 2

Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.110075
Beta(1) = 0
Beta(2) = 0.0325391

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Beta(1)
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	Background	Beta(2)
Background	1	-0.37
Beta(2)	-0.37	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.170685	*	*	*
Beta(1)	0	*	*	*
Beta(2)	0.0278185	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-81.807	3			
Fitted model	-82.7268	2	1.83969	1	0.175
Reduced model	-119.21	1	74.8052	2	<.0001
AIC:	169.454				

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.1707	13.655	15.000	80	0.400
3.4000	0.3987	18.741	15.000	47	-1.114
8.5000	0.8889	42.666	44.000	48	0.613

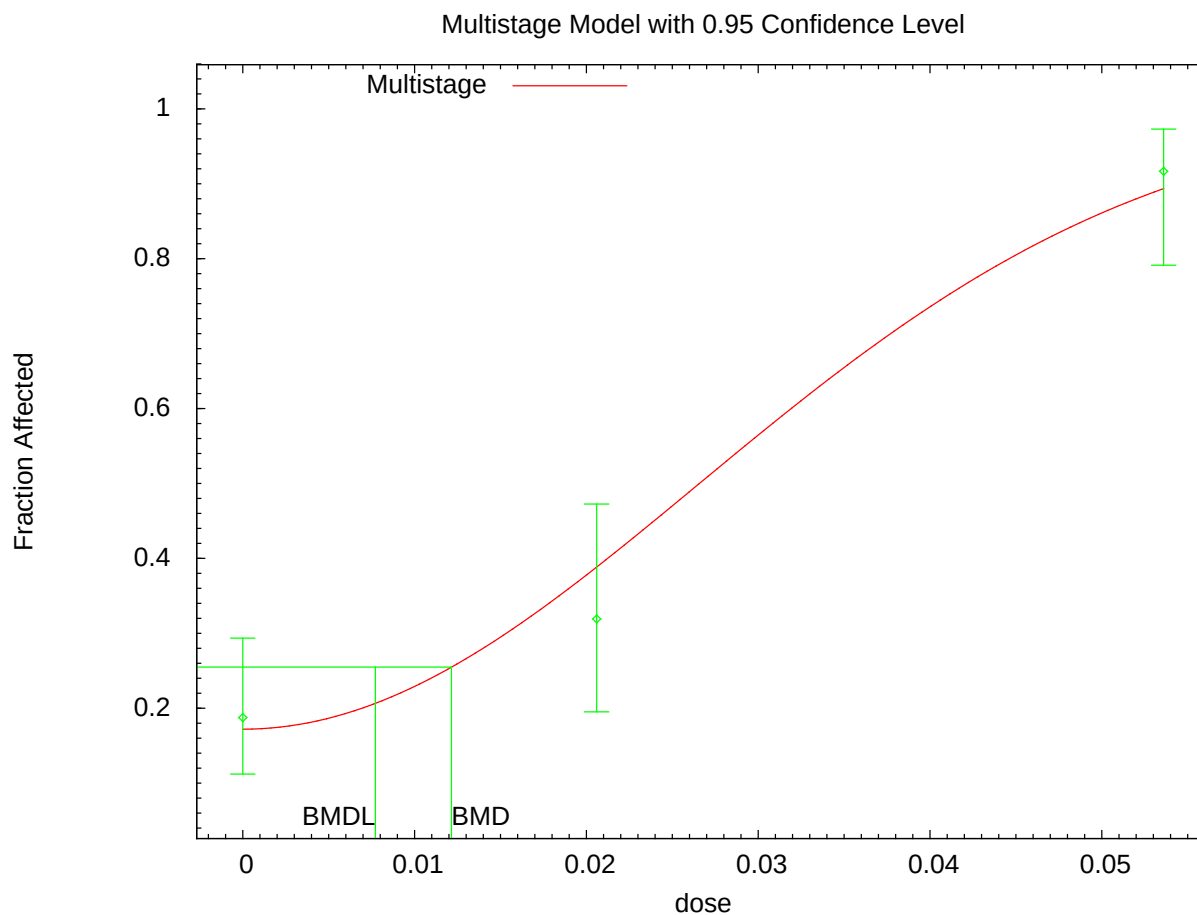
Chi^2 = 1.78 d.f. = 1 P-value = 0.1825

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 1.94613
BMDL = 1.27385
BMDU = 2.28688

Taken together, (1.27385, 2.28688) is a 90 % two-sided confidence interval for the BMD

SD Male Rats: Forestomach lesions (AN in blood)



```
=====
Multistage Model. (Version: 3.0; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpBB.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpBB.plt
Mon Oct 06 11:50:19 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response
Independent variable = DOSE

Total number of observations = 3
Total number of records with missing values = 0
Total number of parameters in model = 3
Total number of specified parameters = 0
Degree of polynomial = 2

Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.121757
Beta(1) = 0
Beta(2) = 815.045

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Beta(1)
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	Background	Beta(2)
Background	1	-0.36
Beta(2)	-0.36	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.172109	*	*	*
Beta(1)	0	*	*	*
Beta(2)	714.086	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-81.807	3			
Fitted model	-82.5048	2	1.39565	1	0.2375
Reduced model	-119.21	1	74.8052	2	<.0001

AIC: 169.01

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.1721	13.769	15.000	80	0.365
0.0206	0.3885	18.261	15.000	47	-0.976
0.0536	0.8936	42.892	44.000	48	0.519

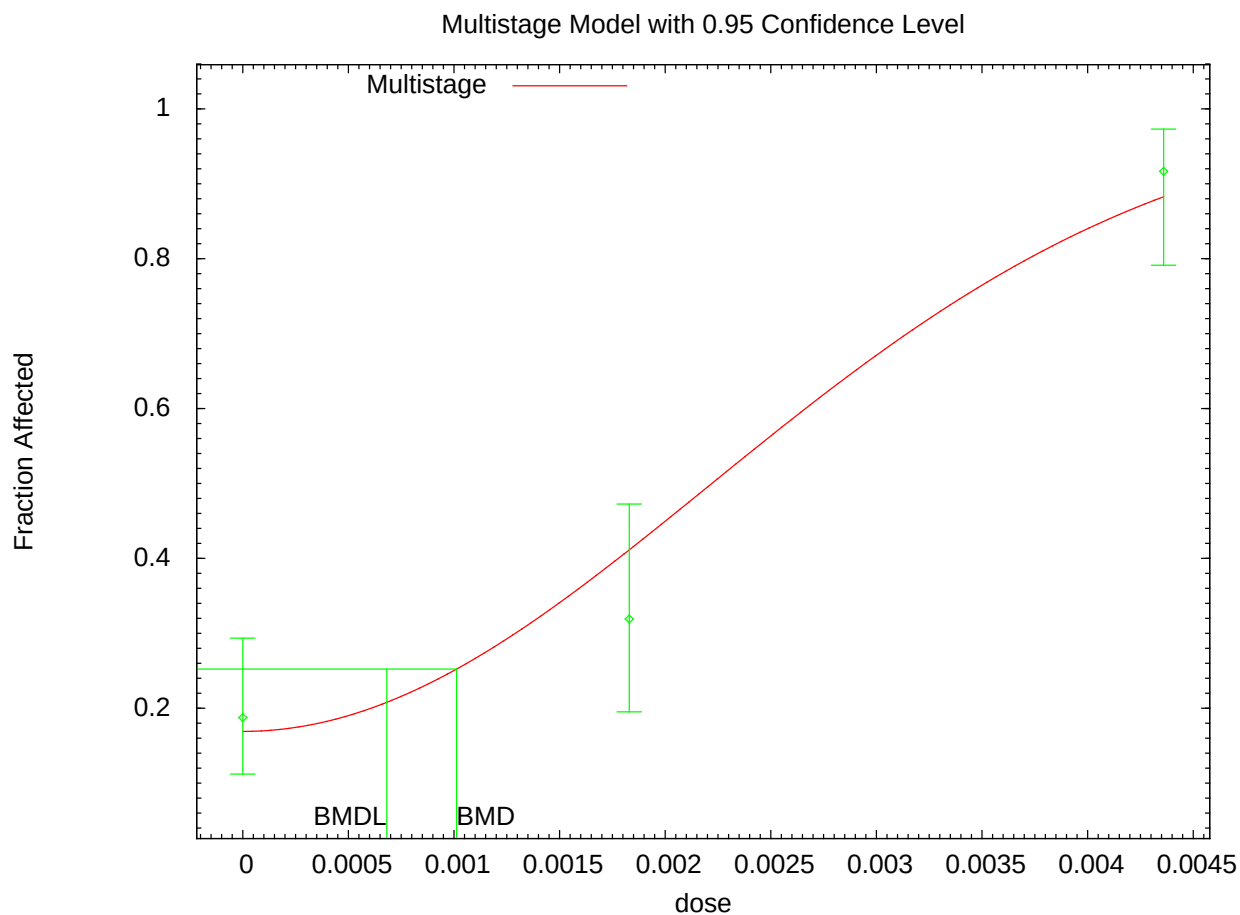
Chi^2 = 1.35 d.f. = 1 P-value = 0.2445

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.0121469
BMDL = 0.00772037
BMDU = 0.0142952

Taken together, (0.00772037, 0.0142952) is a 90 % two-sided confidence interval for the BMD

SD Male Rats: Forestomach lesions (CEO in blood)



```
=====
Multistage Model. (Version: 3.0; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpCC.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpCC.plt
                               Mon Oct 06 12:05:11 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response
Independent variable = DOSE

Total number of observations = 3
Total number of records with missing values = 0
Total number of parameters in model = 3
Total number of specified parameters = 0
Degree of polynomial = 2

Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0947166
 Beta(1) = 0
 Beta(2) = 124268

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Beta(1)
 have been estimated at a boundary point, or have been specified by the user,
 and do not appear in the correlation matrix)

	Background	Beta(2)
Background	1	-0.37
Beta(2)	-0.37	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.169148	*	*	*
Beta(1)	0	*	*	*
Beta(2)	102914	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-81.807	3			
Fitted model	-83.0465	2	2.47897	1	0.1154
Reduced model	-119.21	1	74.8052	2	<.0001

AIC: 170.093

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.1691	13.532	15.000	80	0.438
0.0018	0.4114	19.334	15.000	47	-1.285
0.0044	0.8825	42.362	44.000	48	0.734

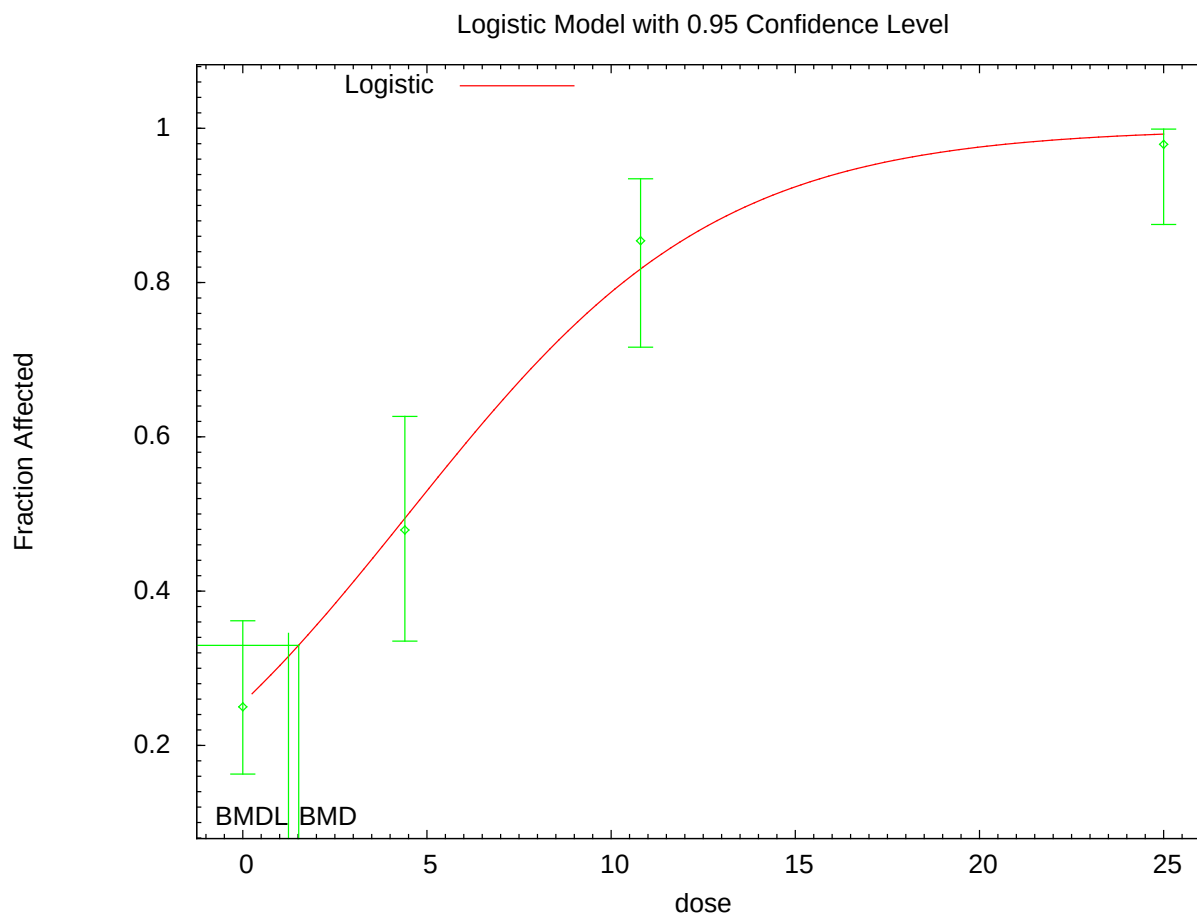
Chi^2 = 2.38 d.f. = 1 P-value = 0.1228

Benchmark Dose Computation

Specified effect = 0.1
 Risk Type = Extra risk
 Confidence level = 0.95
 BMD = 0.00101182
 BMDL = 0.000682485
 BMDU = 0.00118691

Taken together, (0.000682485, 0.00118691) is a 90 % two-sided confidence interval for the BMD

SD Female Rats: Forestomach lesions (administered dose)



14:10 10/06 2008

```
=====
Logistic Model. (Version: 2.12; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpD2.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpD2.plt
                               Mon Oct 06 14:10:15 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = 1/[1+\text{EXP}(-\text{intercept}-\text{slope}*\text{dose})]$$

Dependent variable = Response

Independent variable = DOSE

Slope parameter is not restricted

Total number of observations = 4

Total number of records with missing values = 0

Maximum number of iterations = 250

Relative Function Convergence has been set to: 1e-008

Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

background =	0	Specified
intercept =	-0.808992	
slope =	0.180053	

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -background
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	intercept	slope
intercept	1	-0.66
slope	-0.66	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
intercept	-1.07104	0.225024	-1.51208	-0.630006
slope	0.23795	0.0368793	0.165668	0.310232

Analysis of Deviance Table

Model	Log(Likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-103.017	4			
Fitted model	-103.655	2	1.27604	2	0.5283
Reduced model	-152.026	1	98.0188	3	<.0001

AIC: 211.31

Goodness of Fit

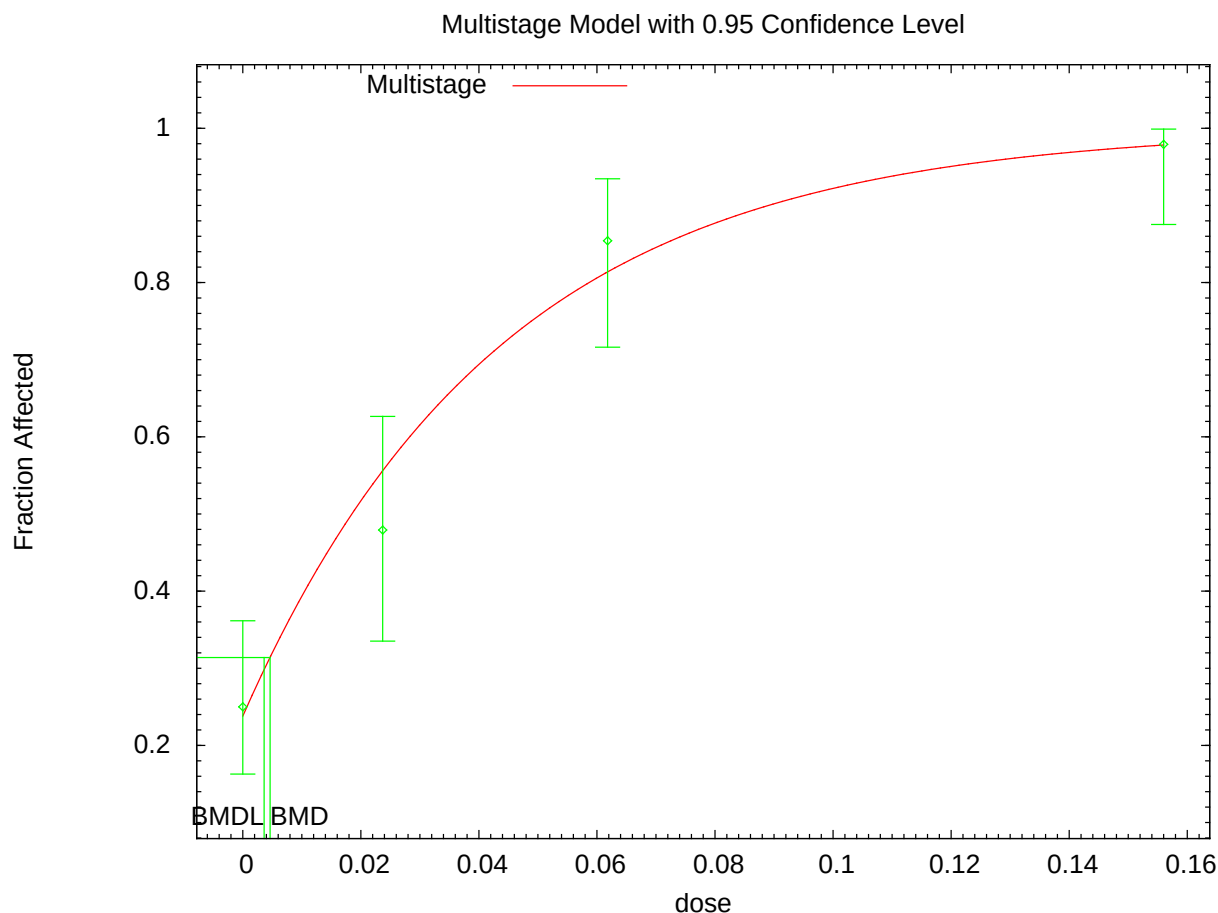
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.2552	20.416	20.000	80	-0.107
4.4000	0.4940	23.711	23.000	48	-0.205
10.8000	0.8174	39.235	41.000	48	0.659
25.0000	0.9924	47.637	47.000	48	-1.062

Chi^2 = 1.62 d.f. = 2 P-value = 0.4456

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 1.51893
BMDL = 1.2414

SD Female Rats: Forestomach lesions (AN in blood)



15:45 10/06 2008

```
=====
Multistage Model. (Version: 3.0; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpED.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpED.plt
                               Mon Oct 06 15:45:27 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response
Independent variable = DOSE

Total number of observations = 4
Total number of records with missing values = 0
Total number of parameters in model = 2
Total number of specified parameters = 0
Degree of polynomial = 1

Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.2349
Beta(1) = 23.4597

Asymptotic Correlation Matrix of Parameter Estimates

	Background	Beta(1)
Background	1	-0.46
Beta(1)	-0.46	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.237678	*	*	*
Beta(1)	22.7713	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(Likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-103.017	4			
Fitted model	-103.895	2	1.75601	2	0.4156
Reduced model	-152.026	1	98.0188	3	<.0001

AIC: 211.789

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.2377	19.014	20.000	80	0.259
0.0237	0.5556	26.670	23.000	48	-1.066
0.0618	0.8134	39.042	41.000	48	0.725
0.1560	0.9782	46.951	47.000	48	0.048

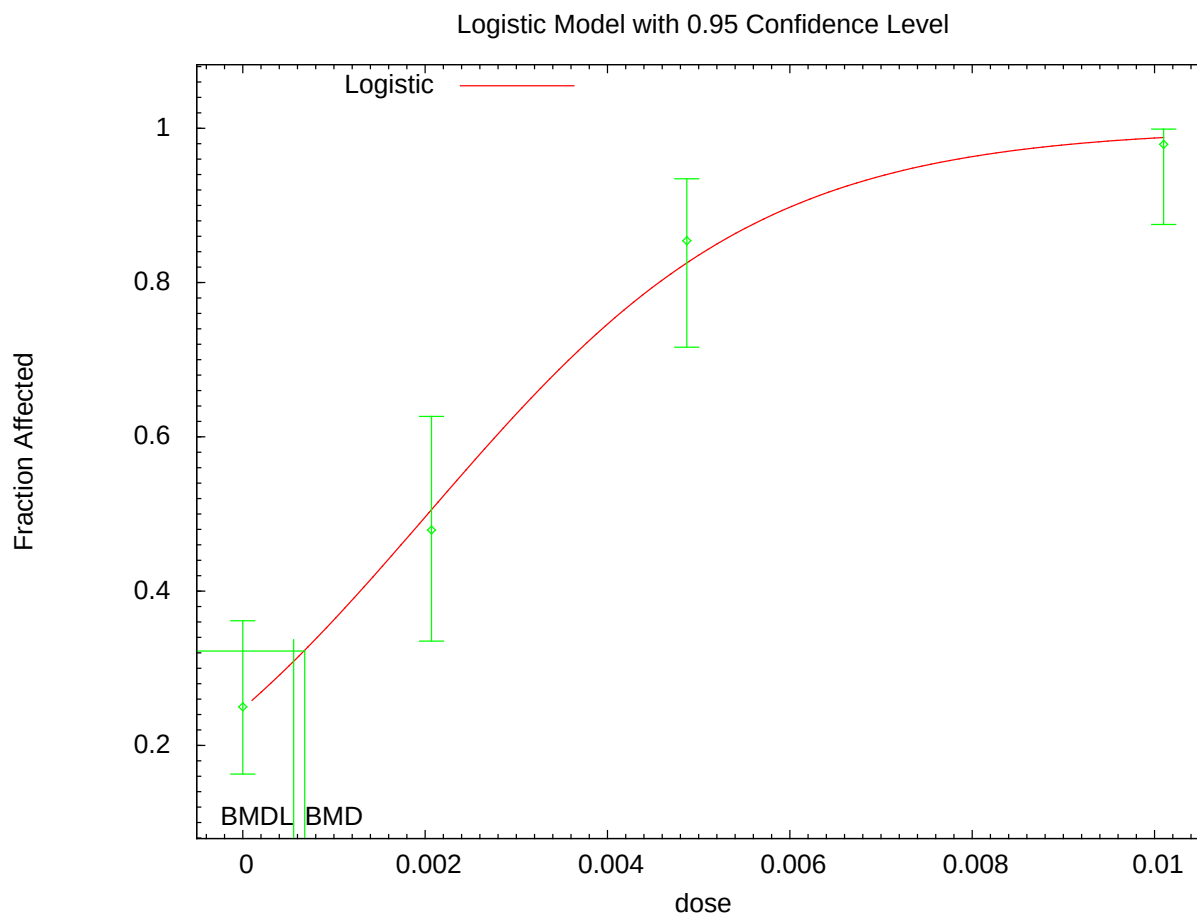
Chi^2 = 1.73 d.f. = 2 P-value = 0.4207

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.0046269
BMDL = 0.00361858
BMDU = 0.00604543

Taken together, (0.00361858, 0.00604543) is a 90 % two-sided confidence interval for the BMD

SD Female Rats: Forestomach lesions (CEO in blood)



09:26 10/07 2008

```
=====
Logistic Model. (Version: 2.12; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmp14.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmp14.plt
                        Tue Oct 07 09:26:18 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = 1/[1+\text{EXP}(-\text{intercept}-\text{slope}*\text{dose})]$$

Dependent variable = Response
Independent variable = DOSE
Slope parameter is not restricted

Total number of observations = 4
Total number of records with missing values = 0
Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

background =	0	Specified
intercept =	-0.929208	
slope =	452.992	

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -background
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	intercept	slope
intercept	1	-0.66
slope	-0.66	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
intercept	-1.11487	0.227873	-1.56149	-0.668246
slope	547.334	80.7582	389.05	705.617

Analysis of Deviance Table

Model	Log(Likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-103.017	4			
Fitted model	-103.359	2	0.684912	2	0.71
Reduced model	-152.026	1	98.0188	3	<.0001

AIC: 210.718

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.2470	19.757	20.000	80	0.063
0.0021	0.5045	24.217	23.000	48	-0.351
0.0049	0.8250	39.600	41.000	48	0.532
0.0101	0.9880	47.425	47.000	48	-0.565

Chi^2 = 0.73 d.f. = 2 P-value = 0.6946

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.000678745
BMDL = 0.000557658

Table B-4. Summary of the BMD modeling results based on the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in male and female F344 rats exposed to AN in drinking water for 2 years

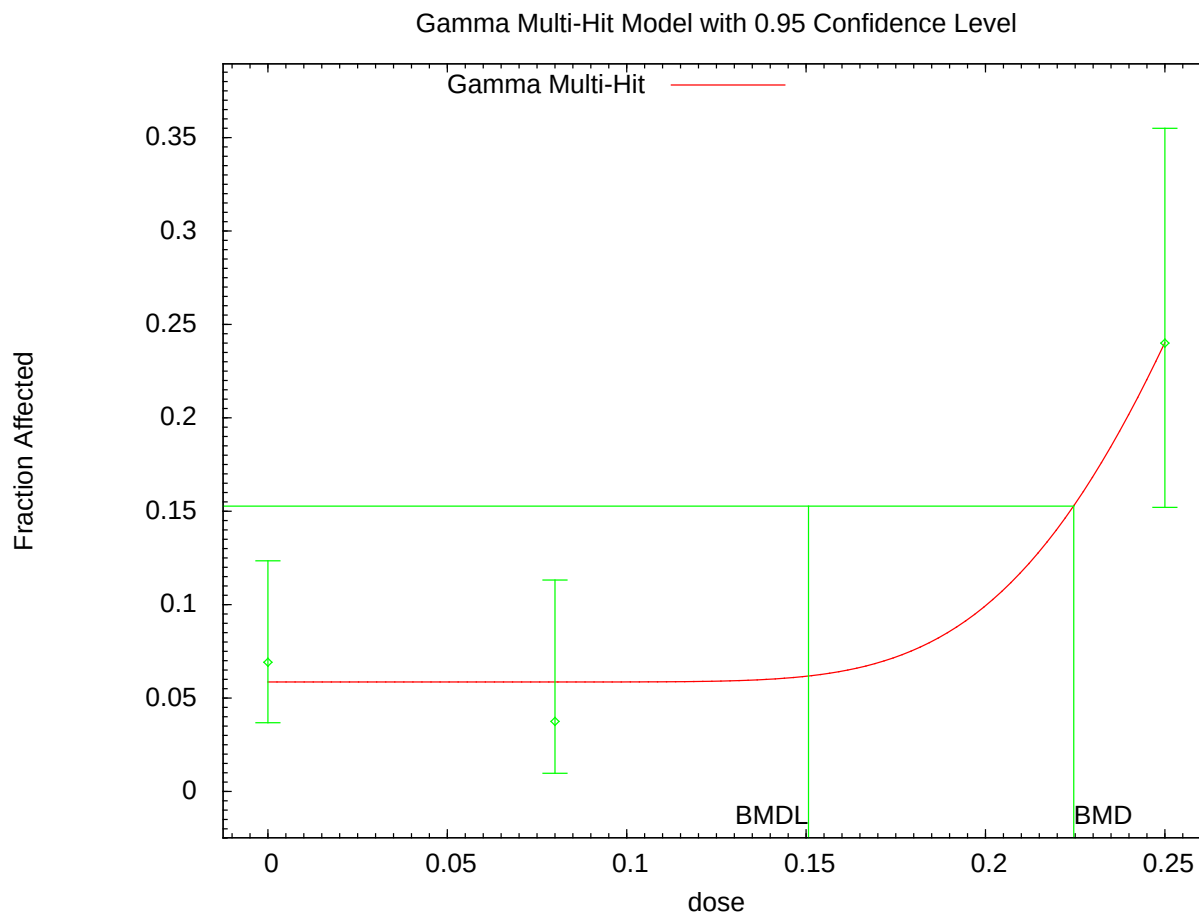
Sex	Endpoint	Dose metric	Selected model(s) ^a	χ^2 p-value	AIC	BMDL ₁₀ ^b	BMDL ₀₅ ^b
Male	Forestomach lesions	Estimated administered dose (mg/kg-d)	gamma (3)	0.32	193.27	1.51 × 10⁻¹	9.97 × 10⁻²
			two-stage multistage (3)	0.14	194.76	1.40 × 10 ⁻¹	8.02 × 10 ⁻²
		Predicted AN in blood (mg/L)	gamma (3)	0.32	193.27	8.14 × 10⁻⁴	5.39 × 10⁻⁴
			two-stage multistage (3)	0.14	194.77	7.56 × 10 ⁻⁴	4.34 × 10 ⁻⁴
		Predicted CEO in blood (mg/L)	gamma (3)	0.32	193.27	7.65 × 10⁻⁵	5.06 × 10⁻⁵
			two-stage multistage (3)	0.14	194.76	7.10 × 10 ⁻⁵	4.07 × 10 ⁻⁵
Female	Forestomach lesions	Estimated administered dose (mg/kg-d)	logistic (3)	0.32	141.06	2.31 × 10 ⁻¹	1.54 × 10 ⁻¹
			two-stage multistage (3)	0.39	140.80	2.09 × 10⁻¹	1.17 × 10⁻¹
			probit (3)	0.26	141.38	2.18 × 10 ⁻¹	1.40 × 10 ⁻¹
		Predicted AN in blood (mg/L)	logistic (3)	0.32	141.06	1.11 × 10 ⁻³	7.34 × 10 ⁻⁴
			two-stage multistage (3)	0.39	140.80	9.97 × 10⁻⁴	5.58 × 10⁻⁴
			probit (3)	0.26	141.38	1.04 × 10 ⁻³	6.69 × 10 ⁻⁴
		Predicted CEO in blood (mg/L)	logistic (3)	0.32	141.07	1.02 × 10 ⁻⁴	6.78 × 10 ⁻⁵
			two-stage multistage (3)	0.39	140.81	9.23 × 10⁻⁵	5.17 × 10⁻⁵
			probit (3)	0.26	141.40	9.63 × 10 ⁻⁵	6.19 × 10 ⁻⁵

^aAll dichotomous models in EPA's BMDS (version 2.0) were fit to the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in F344 rats using the data presented in Table B-1. For BMD modeling, three different dose metrics were employed: (1) administered animal dose (estimated) expressed in mg/kg-d, (2) AN in blood (predicted) expressed in mg/L, and (3) CEO in blood (predicted) expressed in mg/L. Adequate fit of a model was achieved if the χ^2 goodness-of-fit statistic yielded a p-value > 0.1. The numbers in parentheses indicate the number of dose groups dropped in order to obtain an adequate fit, starting with the highest dose group. Of those models exhibiting adequate fit and yielding BMDLs that were sufficiently close, the selected model was the model with the lowest AIC value, as per the EPA's *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b).

^bBMDL₁₀ and BMDL₀₅ estimates were derived from the selected model (indicated in bold in the table).

Sources: Johannsen and Levinskas (2002b); Biodynamics (1980c).

F344 Male Rats: Forestomach lesions (administered dose)



```
=====
Gamma Model. (Version: 2.13; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpCD.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpCD.plt
                        Tue Oct 07 10:27:44 2008
=====
```

BMDS Model Run

The form of the probability function is:

$P[\text{response}] = \text{background} + (1 - \text{background}) * \text{CumGamma}[\text{slope} * \text{dose}, \text{power}]$,
where CumGamma(.) is the cumulative Gamma distribution function

Dependent variable = Response
Independent variable = DOSE
Power parameter is restricted as power ≥ 1

Total number of observations = 3
Total number of records with missing values = 0
Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial (and Specified) Parameter Values
Background = 0.071875

Slope = 5.59733
Power = 2.91574

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Power
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	Background	Slope
Background	1	-0.24
Slope	-0.24	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.0585772	0.0151899	0.0288055	0.0883489
Slope	57.0862	2.86678	51.4674	62.705
Power	18	NA		

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-94.1158	3			
Fitted model	-94.6364	2	1.04132	1	0.3075
Reduced model	-103.388	1	18.5446	2	<.0001
AIC:	193.273				

Goodness of Fit

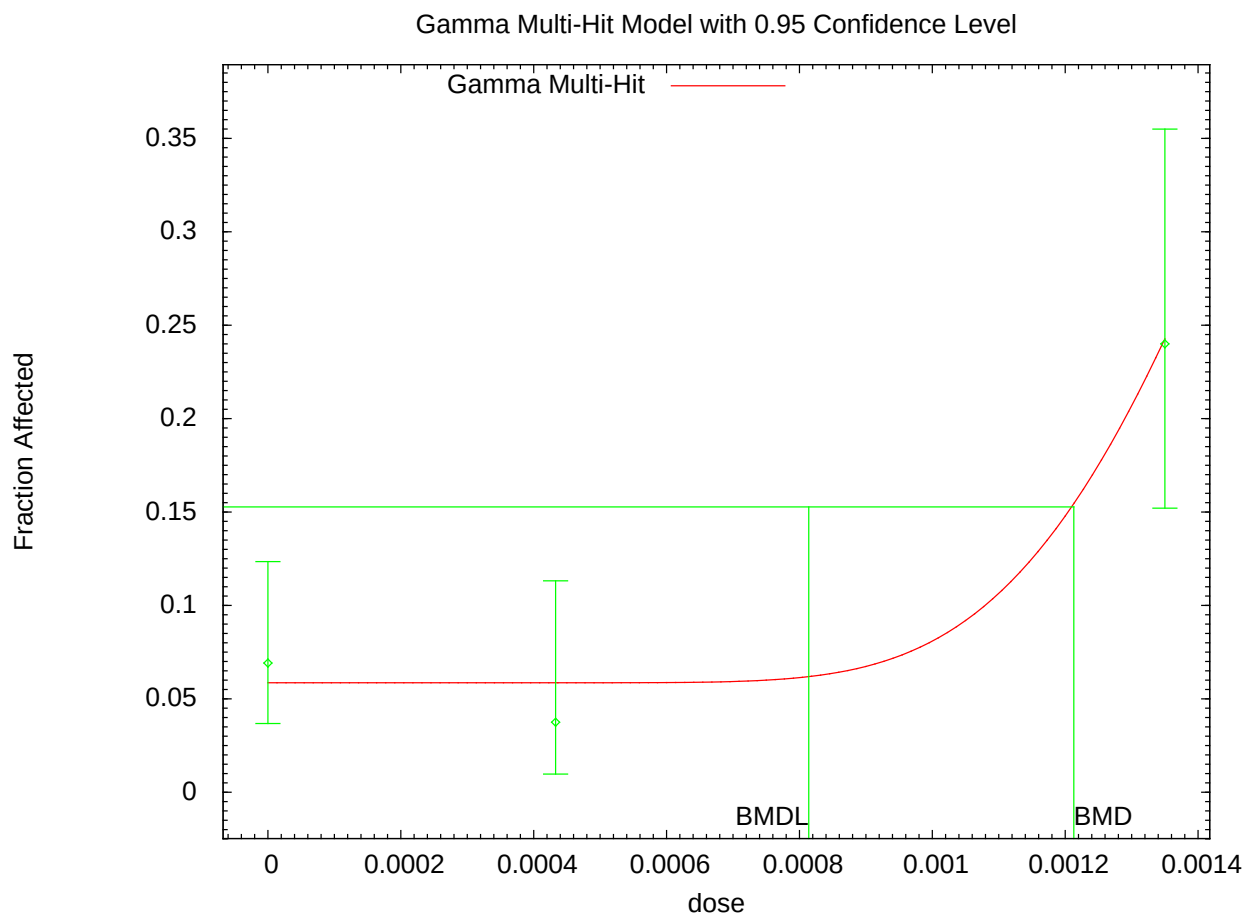
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0586	9.314	11.000	159	0.569
0.0800	0.0586	4.686	3.000	80	-0.803
0.2500	0.2400	18.000	18.000	75	0.000

Chi^2 = 0.97 d.f. = 1 P-value = 0.3250

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.224601
BMDL = 0.150668

F344 Male Rats: Forestomach lesions (AN in blood))



```
=====
Gamma Model. (Version: 2.13; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmp107.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmp107.plt
                        Tue Oct 07 14:43:15 2008
=====
```

BMDS Model Run

The form of the probability function is:

$P[\text{response}] = \text{background} + (1 - \text{background}) * \text{CumGamma}[\text{slope} * \text{dose}, \text{power}]$,
where CumGamma(.) is the cumulative Gamma distribution function

Dependent variable = Response
Independent variable = DOSE
Power parameter is restricted as power >=1

Total number of observations = 3
Total number of records with missing values = 0
Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial (and Specified) Parameter Values

Background =	0.071875
Slope =	1039.5
Power =	2.92132

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Power
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	Background	Slope
Background	1	-0.24
Slope	-0.24	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.0585772	0.01519	0.0288053	0.088349
Slope	10571.5	530.886	9531.01	11612
Power	18	NA		

NA - Indicates that this parameter has hit a bound
implied by some inequality constraint and thus
has no standard error.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-94.1158	3			
Fitted model	-94.6364	2	1.04133	1	0.3075
Reduced model	-103.388	1	18.5446	2	<.0001

AIC: 193.273

Goodness of Fit

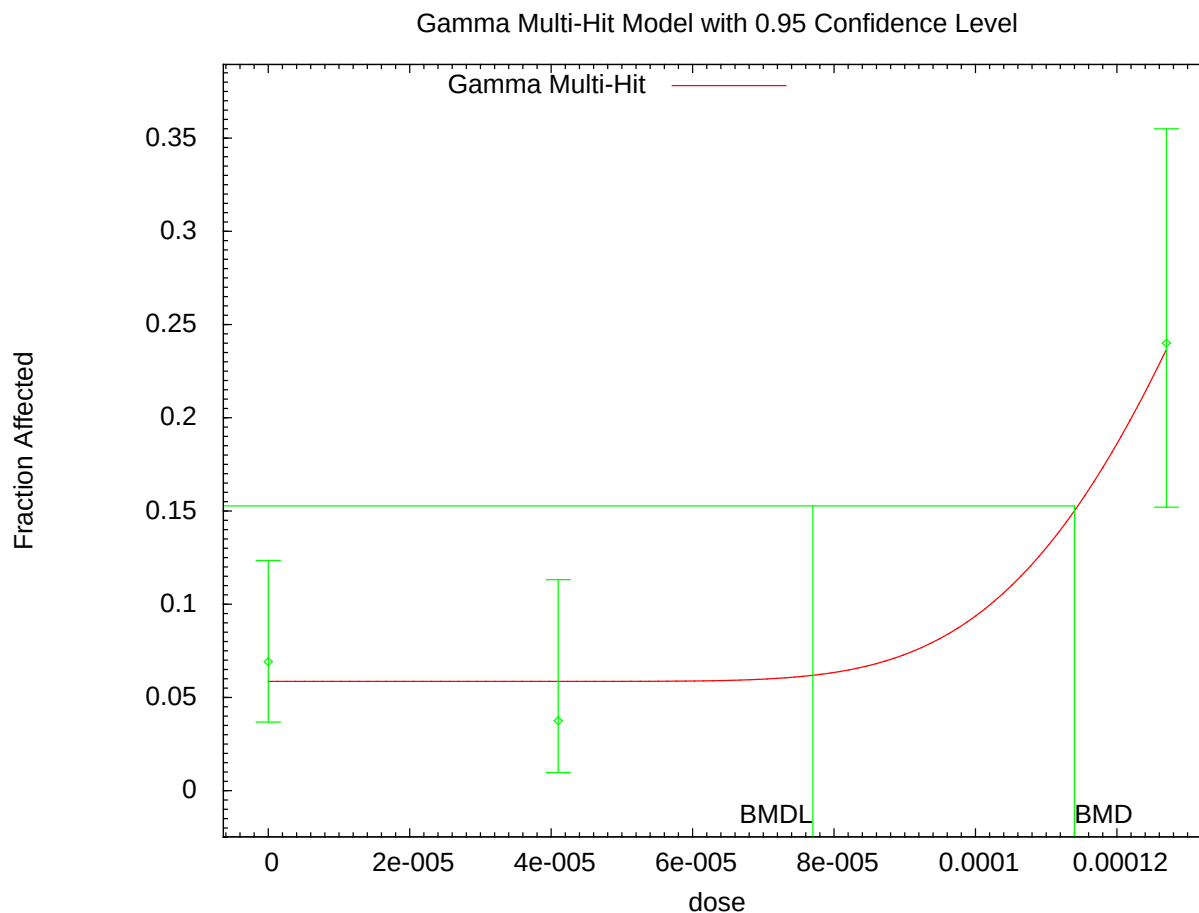
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0586	9.314	11.000	159	0.569
0.0004	0.0586	4.686	3.000	80	-0.803
0.0014	0.2400	18.000	18.000	75	0.000

Chi^2 = 0.97 d.f. = 1 P-value = 0.3250

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.00121285
BMDL = 0.000814398

F344 Male Rats: Forestomach lesions (CEO in blood)



16:29 10/07 2008

```
=====
Gamma Model. (Version: 2.13; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmp115.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmp115.plt
Tue Oct 07 16:29:50 2008
=====
```

BMDS Model Run

The form of the probability function is:

$P[\text{response}] = \text{background} + (1 - \text{background}) * \text{CumGamma}[\text{slope} * \text{dose}, \text{power}]$,
where CumGamma(.) is the cumulative Gamma distribution function

Dependent variable = Response
Independent variable = DOSE
Power parameter is restricted as power >=1

Total number of observations = 3
Total number of records with missing values = 0
Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial (and Specified) Parameter Values

Background =	0.071875
Slope =	11005
Power =	2.91337

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Power
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	Background	Slope
Background	1	-0.24
Slope	-0.24	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.0585772	0.0151899	0.0288055	0.0883489
Slope	112374	5643.28	101314	123435
Power	18	NA		

NA - Indicates that this parameter has hit a bound
implied by some inequality constraint and thus
has no standard error.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-94.1158	3			
Fitted model	-94.6364	2	1.04132	1	0.3075
Reduced model	-103.388	1	18.5446	2	<.0001

AIC: 193.273

Goodness of Fit

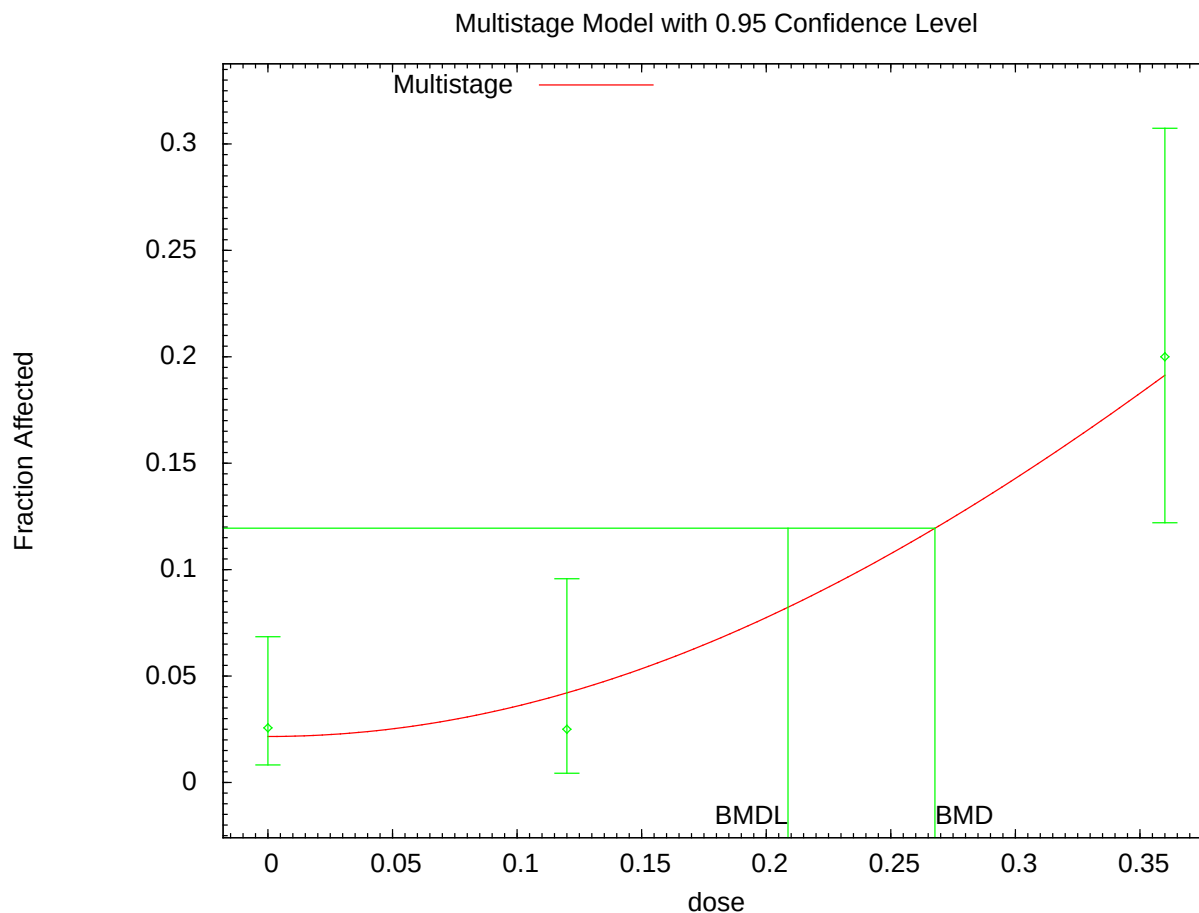
Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0586	9.314	11.000	159	0.569
0.0000	0.0586	4.686	3.000	80	-0.803
0.0001	0.2400	18.000	18.000	75	0.000

Chi^2 = 0.97 d.f. = 1 P-value = 0.3250

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.000114098
BMDL = 7.6508e-005

F344 Female Rats: Forestomach lesions (administered dose)



```
=====
Multistage Model. (Version: 3.0; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpA65.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpA65.plt
Wed Oct 08 13:55:09 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^{\text{beta2}})]$$

The parameter betas are restricted to be positive

Dependent variable = Response
Independent variable = DOSE

Total number of observations = 3
Total number of records with missing values = 0
Total number of parameters in model = 3
Total number of specified parameters = 0
Degree of polynomial = 2

Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0147377
Beta(1) = 0
Beta(2) = 1.59649

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Beta(1)
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	Background	Beta(2)
Background	1	-0.51
Beta(2)	-0.51	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.0216322	*	*	*
Beta(1)	0	*	*	*
Beta(2)	1.47012	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-67.9873	3			
Fitted model	-68.401	2	0.827463	1	0.363
Reduced model	-79.8392	1	23.7038	2	<.0001
AIC:	140.802				

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0216	3.375	4.000	156	0.344
0.1200	0.0421	3.370	2.000	80	-0.763
0.3600	0.1914	15.308	16.000	80	0.197

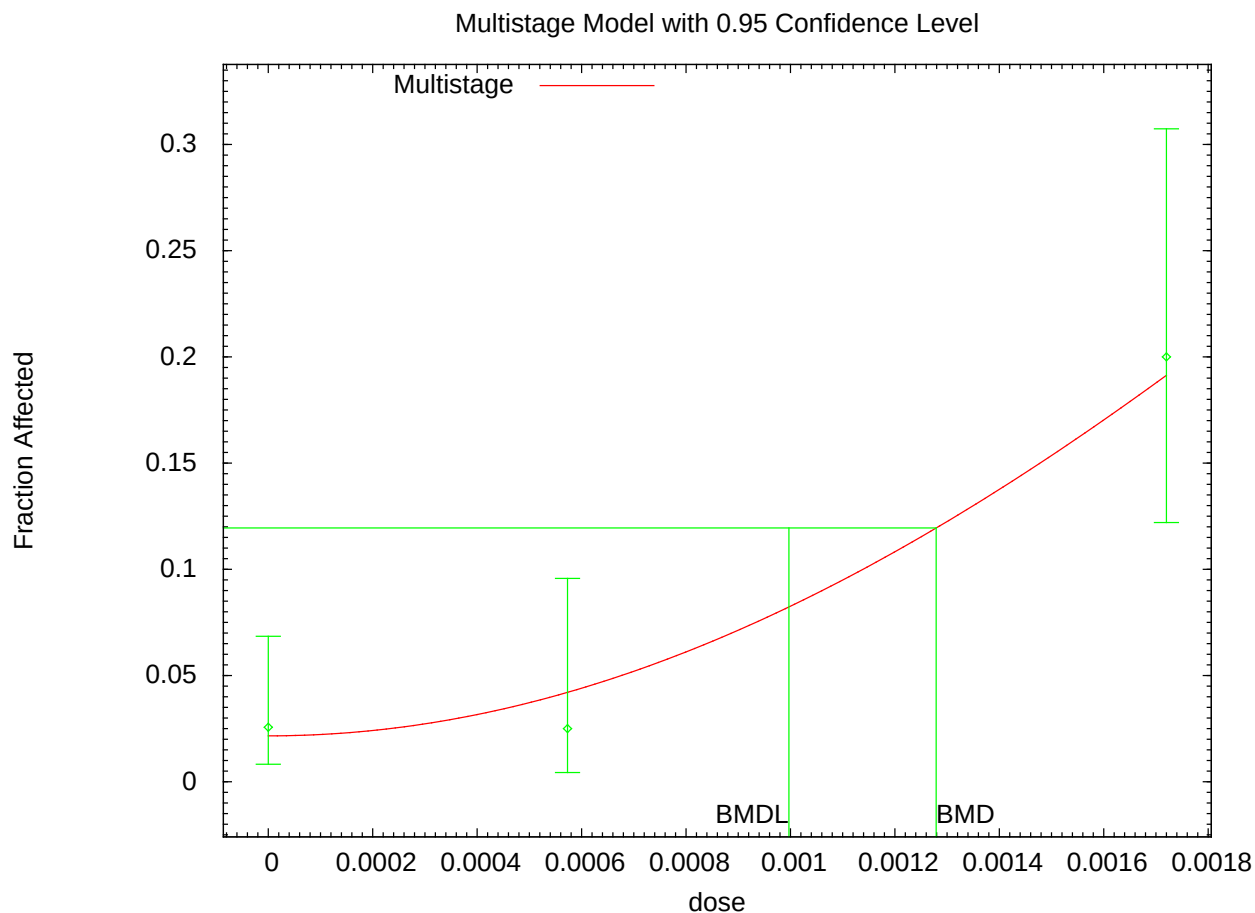
Chi^2 = 0.74 d.f. = 1 P-value = 0.3901

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.267709
BMDL = 0.208731
BMDU = 0.349349

Taken together, (0.208731, 0.349349) is a 90 % two-sided confidence interval for the BMD

F344 Female Rats: Forestomach lesions (AN in blood)



```
=====
Multistage Model. (Version: 3.0; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpA72.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpA72.plt
                        Wed Oct 08 16:04:59 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose} - \beta_2 * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response
Independent variable = DOSE

Total number of observations = 3
Total number of records with missing values = 0
Total number of parameters in model = 3
Total number of specified parameters = 0
Degree of polynomial = 2

Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0147497
Beta(1) = 0
Beta(2) = 69935.2

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Beta(1)
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	Background	Beta(2)
Background	1	-0.51
Beta(2)	-0.51	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.021634	*	*	*
Beta(1)	0	*	*	*
Beta(2)	64407.8	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-67.9873	3			
Fitted model	-68.4002	2	0.825863	1	0.3635
Reduced model	-79.8392	1	23.7038	2	<.0001

AIC: 140.8

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0216	3.375	4.000	156	0.344
0.0006	0.0421	3.369	2.000	80	-0.762
0.0017	0.1914	15.310	16.000	80	0.196

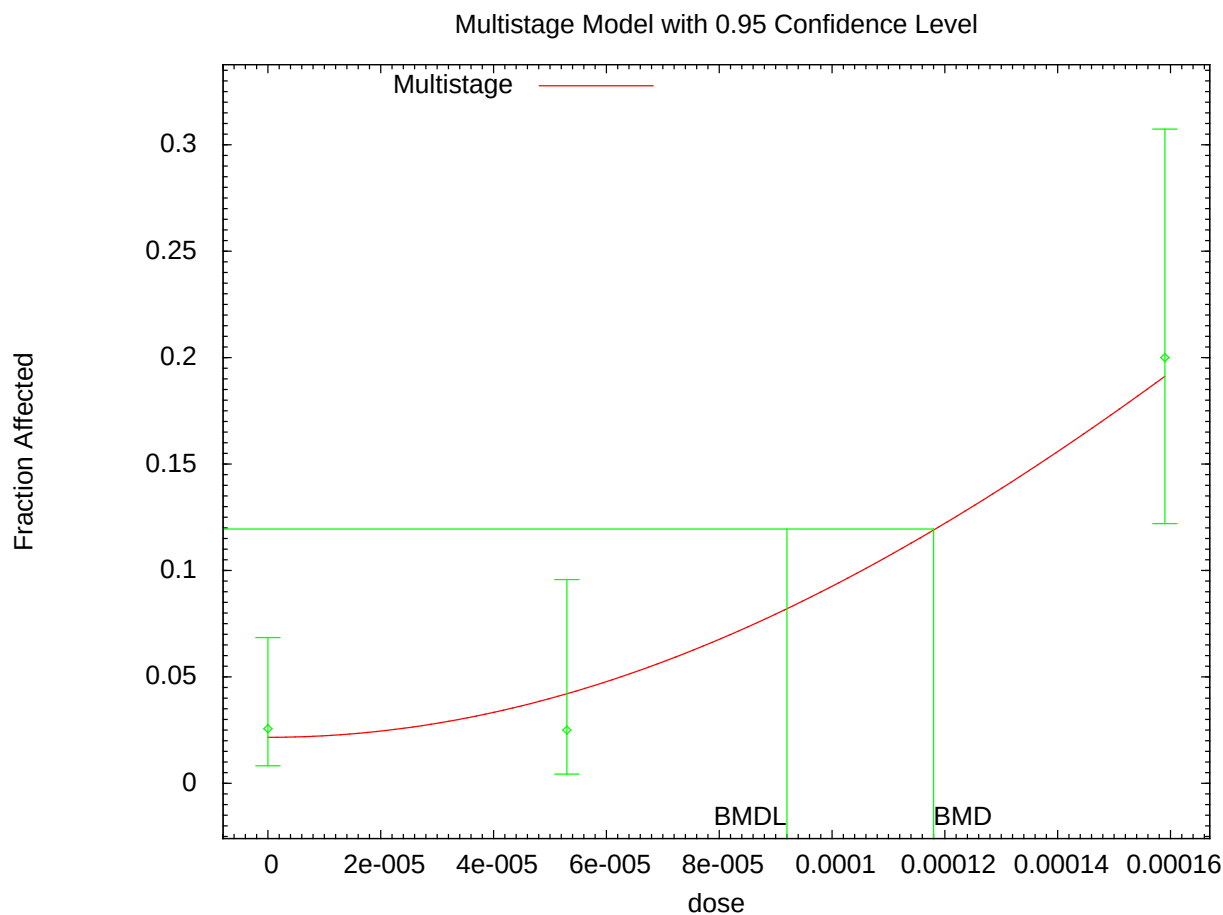
Chi^2 = 0.74 d.f. = 1 P-value = 0.3905

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.001279
BMDL = 0.000997117
BMDU = 0.00166904

Taken together, (0.000997117, 0.00166904) is a 90 % two-sided confidence interval for the BMD

F344 Female Rats: Forestomach lesions (CEO in blood)



```
=====
Multistage Model. (Version: 3.0; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmpFC.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmpFC.plt
                        Thu Oct 09 14:43:44 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response
Independent variable = DOSE

Total number of observations = 3
Total number of records with missing values = 0
Total number of parameters in model = 3
Total number of specified parameters = 0
Degree of polynomial = 2

Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0146597
Beta(1) = 0
Beta(2) = 8.18648e+006

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -Beta(1)
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	Background	Beta(2)
Background	1	-0.51
Beta(2)	-0.51	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.0216202	*	*	*
Beta(1)	0	*	*	*
Beta(2)	7.53198e+006	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-67.9873	3			
Fitted model	-68.4062	2	0.837895	1	0.36
Reduced model	-79.8392	1	23.7038	2	<.0001

AIC: 140.812

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0216	3.373	4.000	156	0.345
0.0001	0.0423	3.380	2.000	80	-0.767
0.0002	0.1913	15.301	16.000	80	0.199

Chi^2 = 0.75 d.f. = 1 P-value = 0.3873

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.000118273
BMDL = 9.22818e-005
BMDU = 0.000154338

Taken together, (9.22818e-005, 0.000154338) is a 90 % two-sided confidence interval for the BMD

Table B-5. Summary of the BMD modeling results based on the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in male and female B6C3F₁ mice exposed to AN via gavage for 2 years

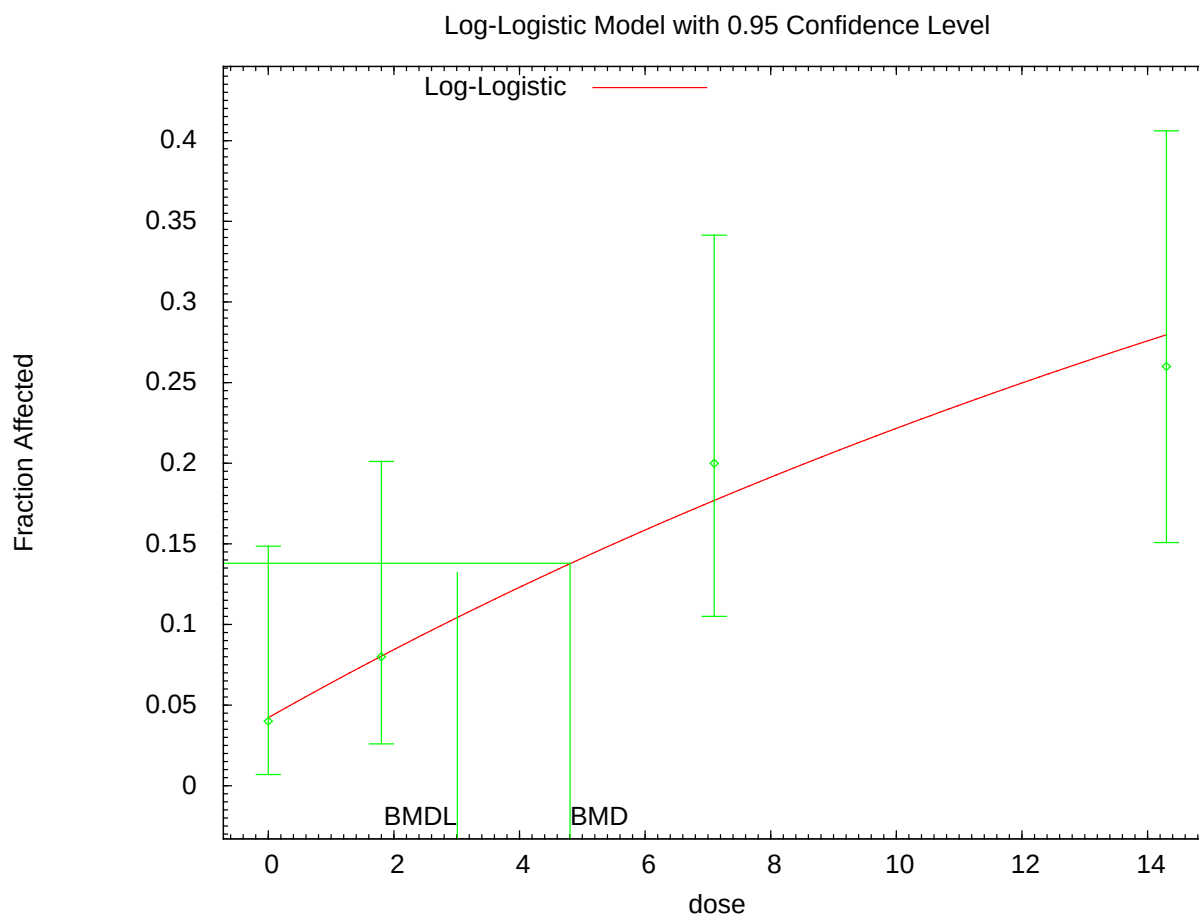
Sex	Endpoint	Dose metric	Selected model(s) ^a	χ^2 p-value	AIC	BMDL ₁₀ ^b	BMDL ₀₅ ^b
Male	Forestomach lesions	Estimated administered dose (mg/kg-d)	gamma	0.80	156.45	3.45	1.68
			logistic	0.37	158.01	6.37	3.72
			log-logistic	0.87	156.30	3.01	1.43
			probit	0.42	157.74	5.96	3.42
			log-probit	0.33	158.23	5.53	3.85
			one-stage multistage	0.80	156.45	3.45	1.68
			Weibull	0.80	156.45	3.45	1.68
Female	Forestomach lesions	Estimated administered dose (mg/kg-d)	gamma	0.74	116.17	6.24	3.04
			logistic	0.87	114.33	8.95	5.60
			log-logistic	0.75	116.17	5.98	2.83
			probit	0.90	114.28	8.51	5.19
			log-probit	0.81	114.48	8.12	5.65
			one-stage multistage	0.92	114.24	6.20	3.02
			Weibull	0.74	116.18	6.24	3.04

^aAll dichotomous models in EPA's BMDS (version 2.0) were fit to the incidence of forestomach lesions (hyperplasia or hyperkeratosis) in B6C3F₁ mice using the data presented in Table B-2. For BMD modeling, animal dose (estimated), expressed in mg/kg-d, was employed. Adequate fit of a model was achieved if the χ^2 goodness-of-fit statistic yielded a p-value > 0.1. Of those models exhibiting adequate fit and yielding BMDLs that were sufficiently close, the selected model (indicated in bold in the table) was the model with the lowest AIC value, as per the EPA's *Benchmark Dose Technical Guidance Document* (U.S. EPA, 2000b).

^bBMDL₁₀ and BMDL₀₅ estimates were derived from the selected model.

Source: NTP (2001).

B6C3F₁ Male Mice: Forestomach lesions (administered dose)



```
=====
Logistic Model. (Version: 2.12; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmp116.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmp116.plt
                        Fri Nov 14 14:02:24 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \exp(-\text{intercept} - \text{slope} * \log(\text{dose}))]$$

Dependent variable = Response

Independent variable = DOSE

Slope parameter is restricted as slope ≥ 1

Total number of observations = 4

Total number of records with missing values = 0

Maximum number of iterations = 250

Relative Function Convergence has been set to: 1e-008

Parameter Convergence has been set to: 1e-008

User has chosen the log transformed model

Default Initial Parameter Values

background = 0.04


```

intercept =    -3.73286
slope =              1

```

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -slope
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

	background	intercept
background	1	-0.54
intercept	-0.54	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
background	0.0421528	*	*	*
intercept	-3.76687	*	*	*
slope	1	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-76.0086	4			
Fitted model	-76.1489	2	0.280564	2	0.8691
Reduced model	-82.7874	1	13.5576	3	0.003574

AIC: 156.298

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0422	2.108	2.000	50	-0.076
1.8000	0.0804	4.021	4.000	50	-0.011
7.1000	0.1772	8.862	10.000	50	0.422
14.3000	0.2802	14.009	13.000	50	-0.318

Chi^2 = 0.28 d.f. = 2 P-value = 0.8674

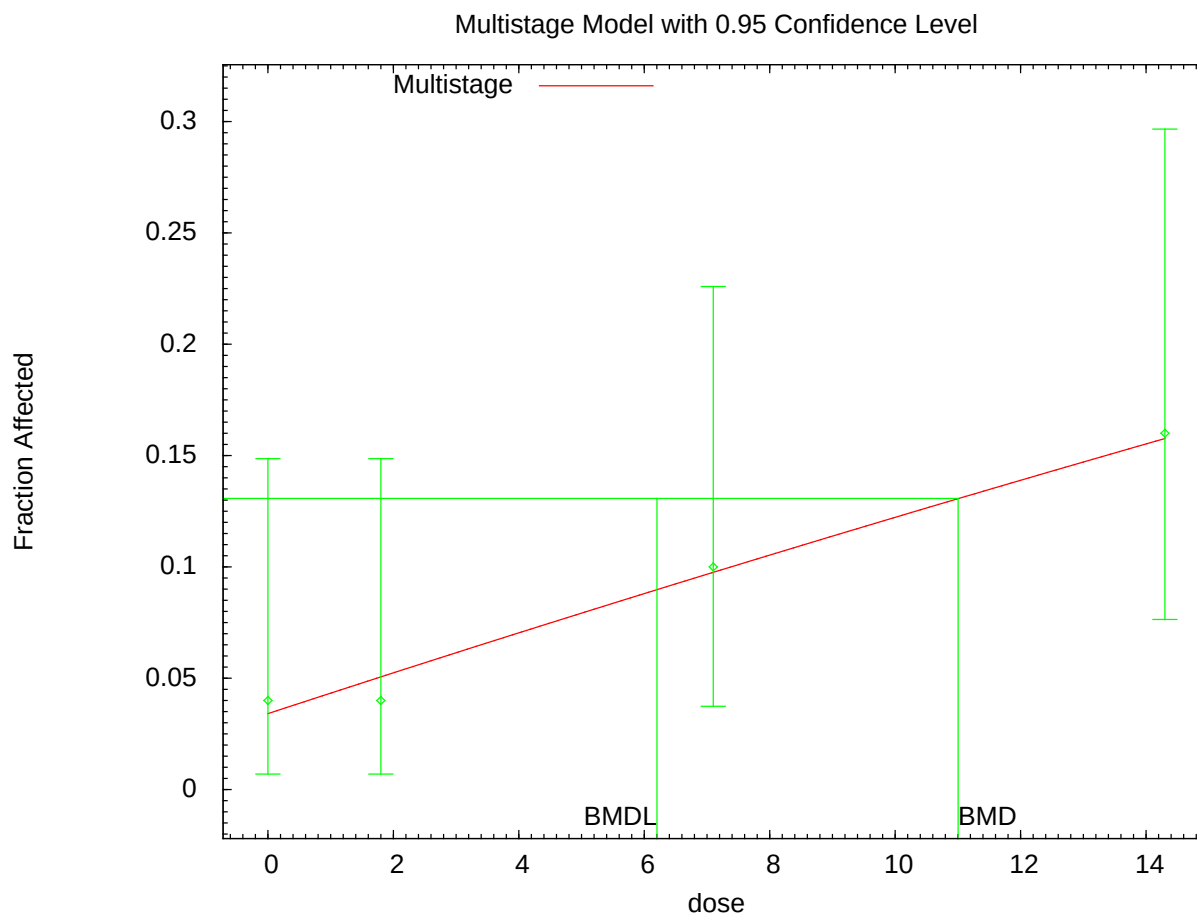
Benchmark Dose Computation

```

Specified effect =      0.1
Risk Type       =      Extra risk
Confidence level =      0.95
BMD =            4.80493
BMDL =           3.0101

```

B6C3F₁ Female Mice: Forestomach lesions (administered dose)



15:59 11/14 2008

```
=====
Multistage Model. (Version: 3.0; Date: 05/16/2008)
Input Data File: C:\USEPA\BMDS2\Temp\tmp13D.(d)
Gnuplot Plotting File: C:\USEPA\BMDS2\Temp\tmp13D.plt
                               Fri Nov 14 15:59:08 2008
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response
Independent variable = DOSE

Total number of observations = 4
Total number of records with missing values = 0
Total number of parameters in model = 2
Total number of specified parameters = 0
Degree of polynomial = 1

Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.032589
Beta(1) = 0.00986338

Asymptotic Correlation Matrix of Parameter Estimates

	Background	Beta(1)
Background	1	-0.7
Beta(1)	-0.7	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
Background	0.0341442	*	*	*
Beta(1)	0.00957473	*	*	*

* - Indicates that this value is not calculated.

Analysis of Deviance Table

Model	Log(Likelihood)	# Param's	Deviance	Test d.f.	P-value
Full model	-55.0321	4			
Fitted model	-55.1226	2	0.181118	2	0.9134
Reduced model	-58.1629	1	6.26165	3	0.09955

AIC: 114.245

Goodness of Fit

Dose	Est._Prob.	Expected	Observed	Size	Scaled Residual
0.0000	0.0341	1.707	2.000	50	0.228
1.8000	0.0506	2.532	2.000	50	-0.343
7.1000	0.0976	4.881	5.000	50	0.057
14.3000	0.1577	7.887	8.000	50	0.044

Chi^2 = 0.18 d.f. = 2 P-value = 0.9162

Benchmark Dose Computation

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 11.004
BMDL = 6.2019
BMDU = 33.7925

Taken together, (6.2019 , 33.7925) is a 90 % two-sided confidence interval for the BMD

APPENDIX B-2. NONCANCER INHALATION DOSE-RESPONSE ASSESSMENT (RfC): BMD MODELING RESULTS EMPLOYING THE INCIDENCE DATA FOR NONNEOPLASTIC NASAL LESIONS IN RATS EXPOSED TO AN BY INHALATION FOR 2 YEARS (TABLES B-6 THROUGH B-9)

For these modeling exercises, a BMR of 10% extra risk was selected due to the limited number of rats in each exposure group. BMC and BMCL refer to the model-predicted concentration and its lower 95% confidence limit, respectively, associated with a 10% extra risk for developing the lesion.

Table B-6. Incidence data for selected nasal lesions in Sprague-Dawley rats exposed by inhalation to AN for 2 years

Nasal lesion	Exposure level (ppm)	Exposure level (mg/m ³)	HEC (mg/m ³) ^a	Incidence
Hyperplasia of mucus-secreting cells in males	0	0	0	0/11 (0%)
	20	43.4	2.1	7/12 (58%) ^b
	80	173.6	8.5	8/10 (80%) ^b
Flattening of respiratory epithelium in females	0	0	0	1/11 (9%)
	20	43.4	2.1	7/10 (70%) ^b
	80	173.6	8.5	8/10 (80%) ^b

^aHEC as per U.S. EPA (1994b) methods for a category 1 gas producing an upper respiratory effect.
Sample calculation: $43.4 \text{ mg/m}^3 \times 6\text{h}/24\text{h} \times 5\text{d}/7\text{d} \times \text{RGDR}_{\text{ET}} = 2.1 \text{ mg/m}^3$, where $\text{RGDR}_{\text{ET}} = 0.275 = [\text{VE}/\text{SA}_{\text{ET}}]_{\text{rat}} \div [\text{VE}/\text{SA}_{\text{ET}}]_{\text{human}}$; VE = minute volume = 0.281 L/min rat; 13.8 L/min human; and SA_{ET} = extrathoracic surface area = 5 cm² rat, 200 cm² human.

^bStatistically significantly different from control value as reported by the authors.

Source: Quast et al. (1980b).

Table B-7. A summary of BMDS (version 1.3.2) modeling results based on incidence of hyperplasia of mucus-secreting cells in male Sprague-Dawley rats exposed to AN via inhalation for 2 years

Model	χ^2 <i>p</i> -value ^a	AIC	BMC ₁₀ ^b (mg/m ³)	BMCL ₁₀ ^c (mg/m ³)
Gamma	0.34	30.27	0.396	0.252
Logistic	0.02	38.05	1.17	0.718
Log-logistic	0.94	28.43	0.187	0.082
Multistage	0.34	30.27	0.396	0.252
Probit	0.01	37.99	1.17	0.776
Log-probit	0.37	29.98	0.625	0.382
Weibull	0.34	30.27	0.396	0.252

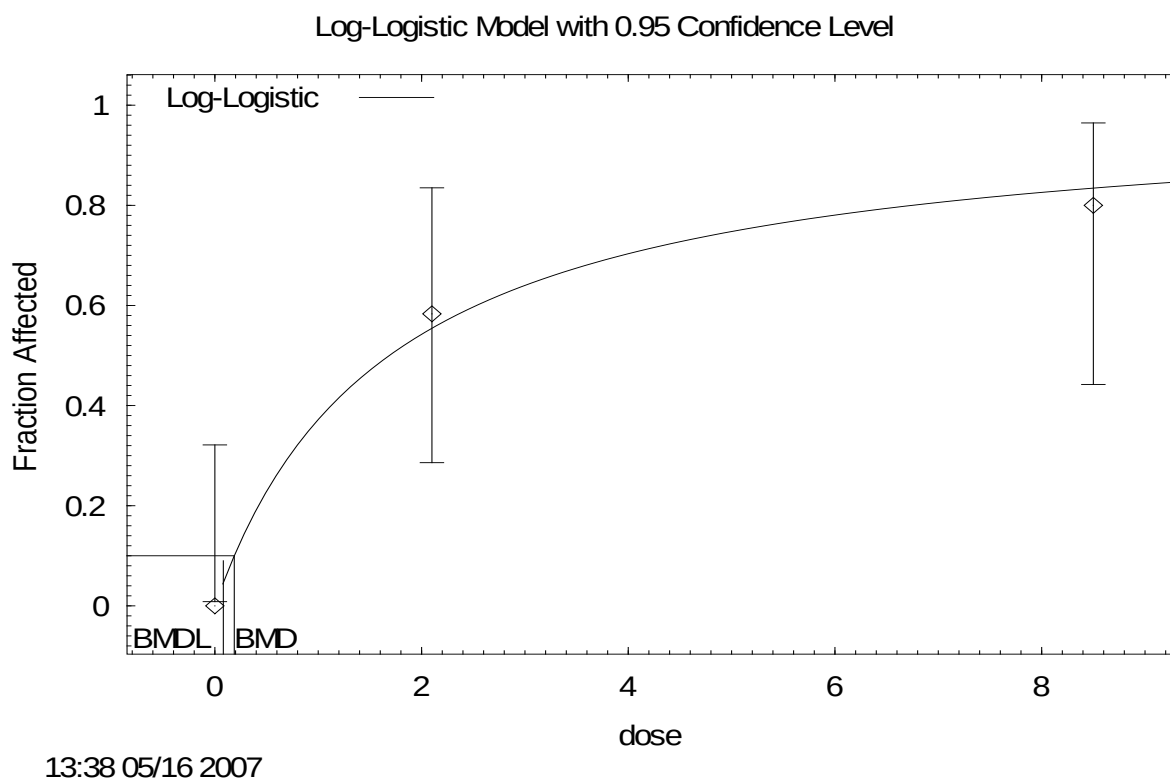
^a χ^2 *p*-value from the χ^2 test for lack of fit. Values <0.1 fail to meet conventional goodness-of-fit criteria.

^bBMC₁₀ = BMC associated with 10% extra risk for nonneoplastic nasal lesions.

^cBMCL₁₀ = 95% lower confidence limit on the BMC₁₀ for nonneoplastic nasal lesions.

Source: Quast et al. (1980b).

BMDs (version 1.3.2) model output for the best-fit model (i.e., log-logistic) based on incidence of hyperplasia of mucus-secreting cells in male Sprague-Dawley rats exposed to AN via inhalation for 2 years



```
=====
Logistic Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:20 $
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\NONCANCER\INHALATION\SD_MALE_NASAL_INHALATION.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\NONCANCER\INHALATION\SD_MALE_NASAL_INHALATION.plt
Wed May 16 13:38:57 2007
=====
```

~~~~~  
BMDs MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \text{EXP}(-\text{intercept} - \text{slope} * \text{Log}(\text{dose}))]$$

Dependent variable = Response

Independent variable = Dose

Slope parameter is restricted as slope >= 1

Total number of observations = 3

Total number of records with missing values = 0

Maximum number of iterations = 250

Relative Function Convergence has been set to: 1e-008

Parameter Convergence has been set to: 1e-008

User has chosen the log transformed model

# Default Initial Parameter Values

```
background = 0
intercept = -0.581093
slope = 1
```

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -background -slope  
have been estimated at a boundary point, or have been specified by the user, and  
do not appear in the correlation matrix )

```
intercept
intercept 1
```

## Parameter Estimates

| Variable   | Estimate  | Std. Err. |
|------------|-----------|-----------|
| background | 0         | NA        |
| intercept  | -0.522564 | 0.479706  |
| slope      | 1         | NA        |

NA - Indicates that this parameter has hit a bound  
implied by some inequality constraint and thus  
has no standard error.

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value |
|---------------|-----------------|----------|---------|---------|
| Full model    | -13.1543        |          |         |         |
| Fitted model  | -13.2153        | 0.121833 | 2       | 0.9409  |
| Reduced model | -22.7373        | 19.1659  | 2       | <.0001  |
| AIC:          | 28.4305         |          |         |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 11   | 0               |
| 2.1000 | 0.5546     | 6.655    | 7        | 12   | 0.2001          |
| 8.5000 | 0.8345     | 8.345    | 8        | 10   | -0.2931         |

Chi-square = 0.13 DF = 2 P-value = 0.9390

## Benchmark Dose Computation

```
Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.187372
BMDL = 0.0818673
```

**Table B-8. A summary of BMDS (version 1.3.2) modeling results based on incidence of flattening of respiratory epithelium in female Sprague-Dawley rats exposed to AN via inhalation for 2 years**

| Model               | $\chi^2$ p-value <sup>a</sup> | AIC          | BMC <sub>10</sub> <sup>b</sup><br>(mg/m <sup>3</sup> ) | BMCL <sub>10</sub> <sup>c</sup><br>(mg/m <sup>3</sup> ) |
|---------------------|-------------------------------|--------------|--------------------------------------------------------|---------------------------------------------------------|
| Gamma               | 0.08                          | 35.78        | 0.419                                                  | 0.245                                                   |
| Logistic            | 0.02                          | 38.47        | 1.02                                                   | 0.610                                                   |
| <b>Log-logistic</b> | <b>0.43</b>                   | <b>33.50</b> | <b>0.162</b>                                           | <b>0.059</b>                                            |
| Multistage          | 0.08                          | 35.78        | 0.419                                                  | 0.245                                                   |
| Probit              | 0.02                          | 38.54        | 1.05                                                   | 0.683                                                   |
| Log-probit          | 0.08                          | 35.53        | 0.616                                                  | 0.340                                                   |
| Weibull             | 0.08                          | 35.78        | 0.419                                                  | 0.245                                                   |

<sup>a</sup> $\chi^2$  p-value from the  $\chi^2$  test for lack of fit. Values <0.1 fail to meet conventional goodness-of-fit criteria.

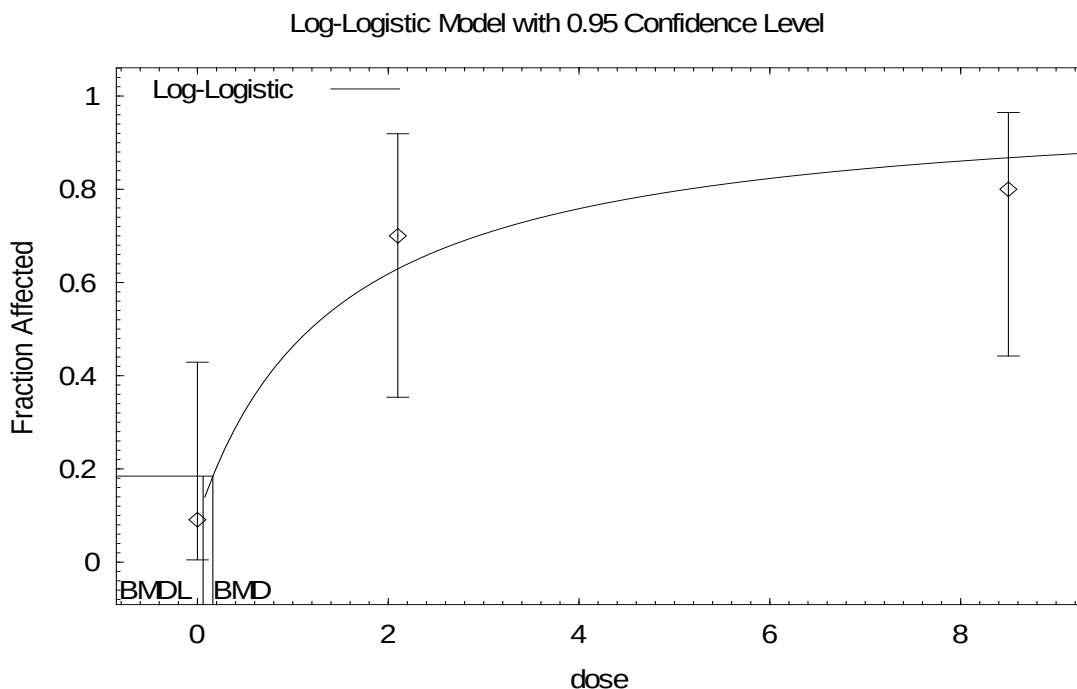
<sup>b</sup>BMC<sub>10</sub> = BMC associated with 10% extra risk for nonneoplastic nasal lesions.

<sup>c</sup>BMCL<sub>10</sub> = 95% lower confidence limit on the BMC<sub>10</sub> for nonneoplastic nasal lesions.

Source: Quast et al. (1980b).



**BMDS (version 1.3.2) model output for the best-fit model (log-logistic) based on incidence of flattening of respiratory epithelium in female Sprague-Dawley rats exposed to AN via inhalation for 2 years**



```
=====
Logistic Model $Revision: 2.1 $ $Date: 2000/02/26 03:38:20 $
Input Data File: G:\ACN DOSE-RESPONSE MODELING\NONCANCER\SD_FEMALE_NASAL_INHALATION.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\NONCANCER\SD_FEMALE_NASAL_INHALATION.plt
Mon May 14 16:25:24 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \text{EXP}(-\text{intercept} - \text{slope} * \text{Log}(\text{dose}))]$$

Dependent variable = Response

Independent variable = Dose

Slope parameter is restricted as slope >= 1

Total number of observations = 3

Total number of records with missing values = 0

Maximum number of iterations = 250

Relative Function Convergence has been set to: 1e-008

Parameter Convergence has been set to: 1e-008

User has chosen the log transformed model

Default Initial Parameter Values

background = 0.0909091

intercept = -0.457634

slope = 1

# Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -slope  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | background | intercept |
|------------|------------|-----------|
| background | 1          | -0.27     |
| intercept  | -0.27      | 1         |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. |
|------------|-----------|-----------|
| background | 0.0938695 | 0.089458  |
| intercept  | -0.374871 | 0.586482  |
| slope      | 1         | NA        |

NA - Indicates that this parameter has hit a bound  
implied by some inequality constraint and thus  
has no standard error.

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value   |
|---------------|-----------------|----------|---------|-----------|
| Full model    | -14.4637        |          |         |           |
| Fitted model  | -14.751         | 0.574692 | 1       | 0.4484    |
| Reduced model | -21.4714        | 14.0155  | 2       | 0.0009048 |

AIC: 33.502

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0939     | 1.033    | 1        | 11   | -0.03367        |
| 2.1000 | 0.6292     | 6.292    | 7        | 10   | 0.4637          |
| 8.5000 | 0.8676     | 8.676    | 8        | 10   | -0.6305         |

Chi-square = 0.61 DF = 1 P-value = 0.4334

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.161645  
BMDL = 0.0593975

### **APPENDIX B-3. CANCER ORAL DOSE-RESPONSE ASSESSMENT: BMD DOSE MODELING RESULTS FOR TUMOR INCIDENCE DATA FROM RATS CHRONICALLY EXPOSED TO AN IN DRINKING WATER**

As summarized in Section 4.6.1, AN is a multisite carcinogen in chronic oral rodent bioassays. Oral carcinogenicity studies of animals chronically exposed to AN include drinking water studies in two strains of rats, Sprague-Dawley (Johannsen and Levinskas, 2002a; Quast, 2002; Biodynamics, 1980a; Quast et al., 1980a) and F344 (Johannsen and Levinskas, 2002b; Biodynamics, 1980b). In Sprague-Dawley rats, significantly increased incidences of forestomach, CNS, Zymbal gland, tongue, and mammary gland (females only) tumors were found. In F344 rats, significantly increased incidences of forestomach, CNS, Zymbal gland, and mammary gland (females only) tumors were found.

Two of these chronic drinking water studies were selected for dose-response modeling using the BMD approach and derivation of oral CSFs for AN. In one study by Quast (2002), Sprague-Dawley rats were exposed to 0, 35, 100, or 300 ppm of AN in drinking water for 2 years. In the second study by Johannsen and Levinskas (2002b), F344 rats were exposed to 0, 1, 3, 10, 30, or 100 ppm of AN in drinking water for 2 years.

In this appendix, detailed results of the dose-response modeling for each of the tumor sites listed above are presented (Tables B-9 through B-26). For each tumor site, first a summary of the dose-response data is presented, followed by a table summarizing the results of the dose-response modeling. Finally, the standard output from EPA's BMDS, version 1.4.1, for the selected dose-response model for each tumor site is presented.

In general, the multistage model was fit to all of the data sets with the BMR set at 0.1 (i.e., 10% extra risk). In fitting this model, successive stages of the multistage model, starting with stage 1 and ending with the stage equal to the number of dose groups minus one, were fit to the tumor incidence data at a particular site for each rat strain and sex employing the internal dose metrics CEO in blood and AN in blood. Then, for each dose metric, all stages of the multistage model that did not show a significant lack of fit (i.e.,  $p > 0.1$ ) were compared using AIC. The stage of the multistage model with the lowest AIC was selected as the best-fit model. For most tumor sites, the one-stage model exhibited the best fit. For data sets that exhibited a significant lack of fit for all stages of the multistage model, dose groups were dropped (starting with the highest dose group) until an adequate fit was achieved.

## **Sprague-Dawley Rats** (Quast, 2002; Quast et al., 1980a)

### **Tumor Site: Forestomach**

**Table B-9. Incidence of forestomach (nonglandular) tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of forestomach tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|----------------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                              |
| Male   | 0                                                | 0                                                          | 0                               | 0                       | 0/80 (0%)                                    |
|        | 35                                               | 3.42                                                       | $2.06 \times 10^{-2}$           | $1.83 \times 10^{-3}$   | 2/47 (4%)                                    |
|        | 100                                              | 8.53                                                       | $5.36 \times 10^{-2}$           | $4.36 \times 10^{-3}$   | 23/48 (48%) <sup>c</sup>                     |
|        | 300                                              | 21.2                                                       | $1.46 \times 10^{-1}$           | $9.70 \times 10^{-3}$   | 39/48 (81%) <sup>c</sup>                     |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 1/80 (1%)                                    |
|        | 35                                               | 4.36                                                       | $2.37 \times 10^{-2}$           | $2.07 \times 10^{-3}$   | 1/48 (2%)                                    |
|        | 100                                              | 10.8                                                       | $6.18 \times 10^{-2}$           | $4.87 \times 10^{-3}$   | 12/48 (25%) <sup>c</sup>                     |
|        | 300                                              | 25.0                                                       | $1.56 \times 10^{-1}$           | $1.01 \times 10^{-2}$   | 30/48 (62%) <sup>c</sup>                     |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>c</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

Sources: Quast (2002); Quast et al. (1980a).

**Table B-10. Summary of BMD modeling results based on incidence of forestomach (nonglandular) tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ p-value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|-------------------------------|--------|--------------------------------|---------------------------------|
| <b>Males</b>      |                             |                               |        |                                |                                 |
| Administered dose | 2°MS <sup>e</sup>           | 0.46                          | 86.82  | 3.62 mg/kg-d                   | 2.76 mg/kg-d                    |
| CEO               | 2°MS <sup>e</sup>           | 0.39                          | 87.28  | $1.87 \times 10^{-3}$ mg/L     | $1.44 \times 10^{-3}$ mg/L      |
| AN                | 2°MS <sup>e</sup>           | 0.54                          | 86.45  | $2.26 \times 10^{-2}$ mg/L     | $1.70 \times 10^{-2}$ mg/L      |
| <b>Females</b>    |                             |                               |        |                                |                                 |
| Administered dose | 2°MS                        | 0.17                          | 145.97 | 7.76 mg/kg-d                   | 4.81 mg/kg-d                    |
| CEO               | 2°MS                        | 0.52                          | 143.50 | $3.29 \times 10^{-3}$ mg/L     | $2.38 \times 10^{-3}$ mg/L      |
| AN                | 2°MS                        | 0.13                          | 146.45 | $4.22 \times 10^{-2}$ mg/L     | $2.49 \times 10^{-2}$ mg/L      |

<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “2°MS” indicates a two-stage multistage model.

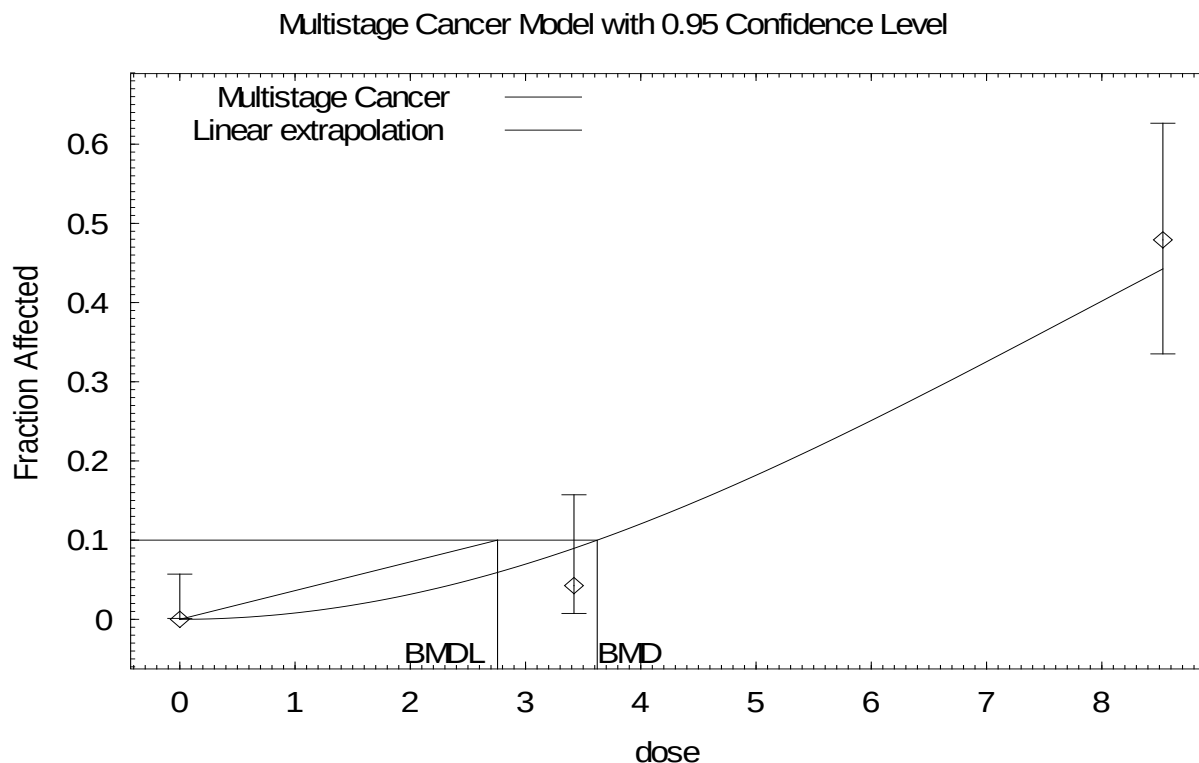
<sup>b</sup>p value from the  $\chi^2$  goodness-of-fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

<sup>e</sup>Highest dose dropped prior to model fitting.

**BMDS (version 1.4.1) output for forestomach tumors in Sprague-Dawley male rats  
employing administered dose as a dose metric**



15:40 01/23 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_FORESTOMACH_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_FORESTOMACH_DW.plt
Fri Jan 23 15:40:45 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose} - \beta_2 * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

```

Background =      0
Beta(1) =      0
Beta(2) =    0.00929613
    
```

## Asymptotic Correlation Matrix of Parameter Estimates

```

( *** The model parameter(s) -Background -Beta(1)
      have been estimated at a boundary point, or have been specified by the user,
      and do not appear in the correlation matrix )
    
```

Beta(2)

Beta(2)            1

## Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0          | *         | *                              | *                 |
| Beta(1)    | 0          | *         | *                              | *                 |
| Beta(2)    | 0.00803192 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -41.5002        | 3         |          |           |         |
| Fitted model  | -42.4099        | 1         | 1.81939  | 2         | 0.4026  |
| Reduced model | -71.7704        | 1         | 60.5403  | 2         | <.0001  |

AIC:            86.8198

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 80   | 0.000           |
| 3.4200 | 0.0897     | 4.214    | 2        | 47   | -1.131          |
| 8.5300 | 0.4426     | 21.243   | 23       | 48   | 0.511           |

Chi^2 = 1.54            d.f. = 2            P-value = 0.4633

## Benchmark Dose Computation

```

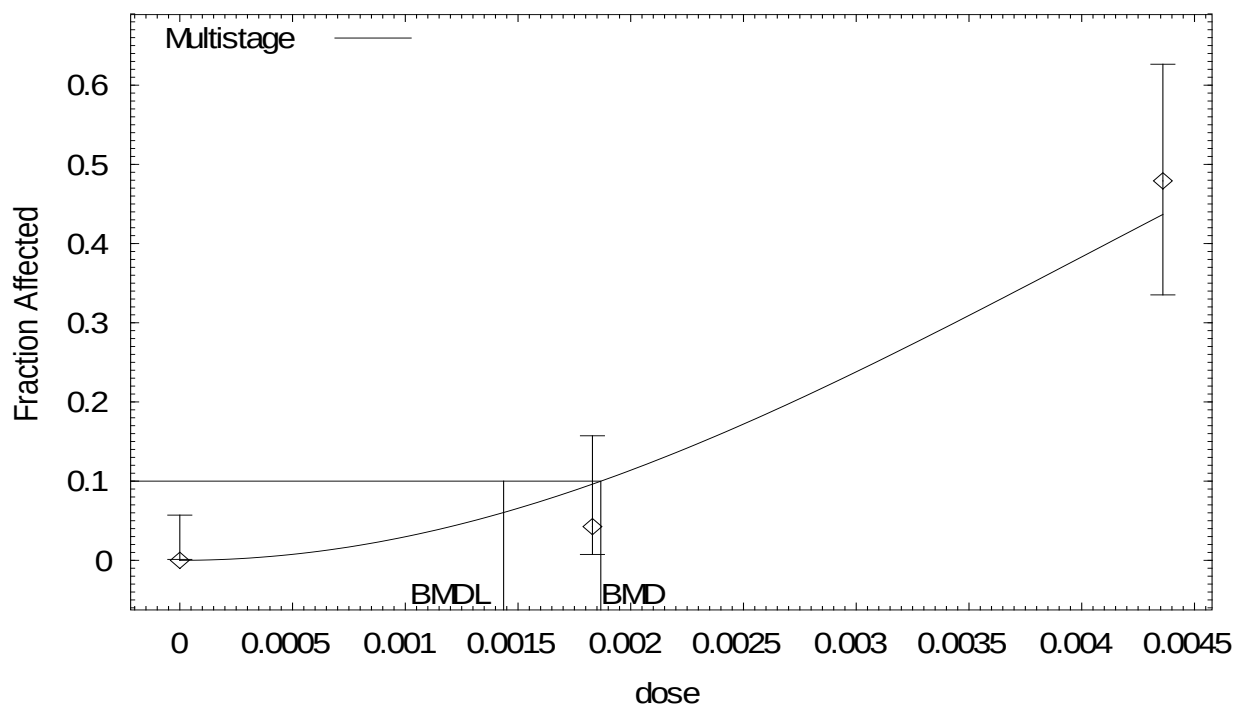
Specified effect =      0.1
Risk Type       =    Extra risk
Confidence level =      0.95
      BMD =      3.62184
      BMDL =      2.75694
      BMDU =      4.3186
    
```

Taken together, (2.75694, 4.3186 ) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor =      0.0362721

**BMDS (version 1.4.1) output for forestomach tumors in Sprague-Dawley male rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



13:46 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_FORESTOMACH_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_FORESTOMACH_BLOOD_CEO.plt
Thu Sep 27 13:46:37 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose} - \beta_2 * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

```

Background =      0
Beta(1) =      0
Beta(2) =    35739.1
    
```

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(1)  
 have been estimated at a boundary point, or have been specified by the user, and  
 do not appear in the correlation matrix )

Beta(2)

Beta(2)                      1

## Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 0        | *         | *                              | *                 |
| Beta(2)    | 30229.1  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -41.5002        | 3         |          |           |         |
| Fitted model  | -42.6376        | 1         | 2.27473  | 2         | 0.3207  |
| Reduced model | -71.7704        | 1         | 60.5403  | 2         | <.0001  |

AIC:                      87.2752

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 80   | 0.000           |
| 0.0018 | 0.0963     | 4.525    | 2        | 47   | -1.249          |
| 0.0044 | 0.4371     | 20.981   | 23       | 48   | 0.588           |

Chi^2 = 1.90              d.f. = 2              P-value = 0.3859

## Benchmark Dose Computation

```

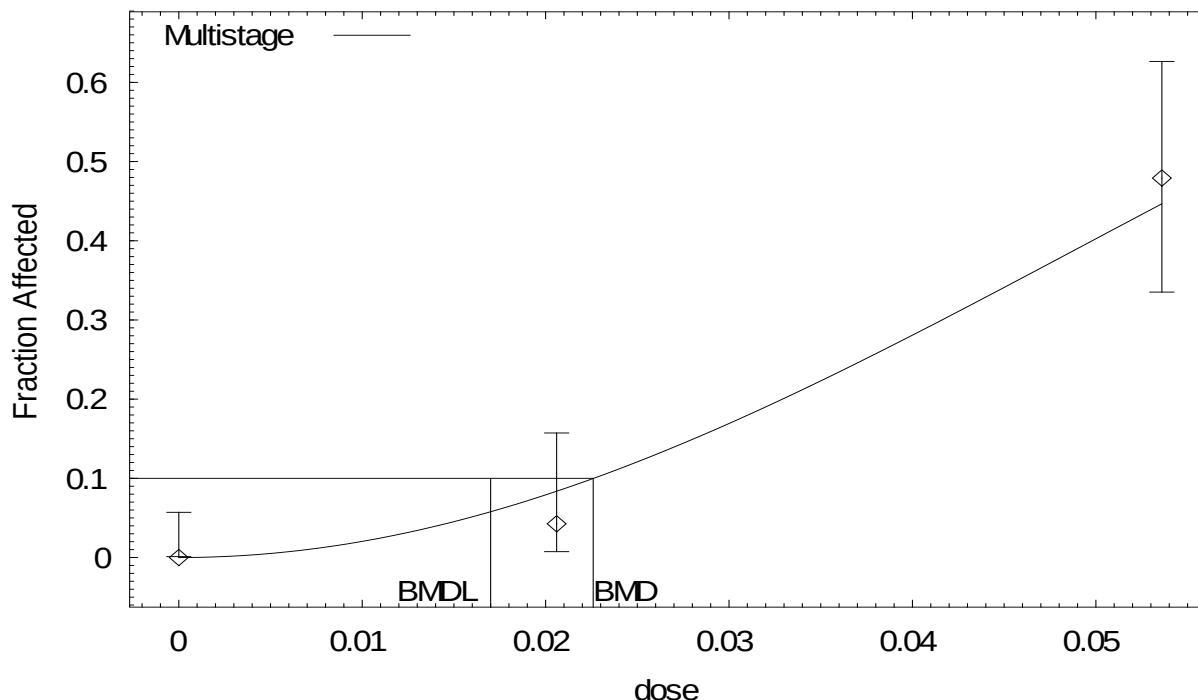
Specified effect =      0.1
Risk Type       =    Extra risk
Confidence level =      0.95
      BMD =    0.00186692
      BMDL =    0.00143604
      BMDU =    0.00222594
    
```

Taken together, (0.00143604, 0.00222594) is a 90 % two-sided confidence interval for the BMD



**BMDS (version 1.4.1) output for forestomach tumors in Sprague-Dawley male rats  
employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



10:32 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_FORESTOMACH_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_FORESTOMACH_BLOOD_AN.plt
Thu Sep 27 10:32:01 2007
=====
```

~~~~~  
BMDS MODEL RUN
~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^{1 - \text{beta2} * \text{dose}^2})]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

```

Background =          0
Beta(1) =            0
Beta(2) =          234.473

```

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(1)  
have been estimated at a boundary point, or have been specified by the user, and  
do not appear in the correlation matrix )

```

Beta(2)
Beta(2)      1

```

#### Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 0        | *         | *                              | *                 |
| Beta(2)    | 206.388  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -41.5002        | 3         |          |           |         |
| Fitted model  | -42.2261        | 1         | 1.45174  | 2         | 0.4839  |
| Reduced model | -71.7704        | 1         | 60.5403  | 2         | <.0001  |

AIC: 86.4522

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 80   | 0.000           |
| 0.0206 | 0.0839     | 3.941    | 2        | 47   | -1.022          |
| 0.0536 | 0.4473     | 21.470   | 23       | 48   | 0.444           |

Chi^2 = 1.24      d.f. = 2      P-value = 0.5377

#### Benchmark Dose Computation

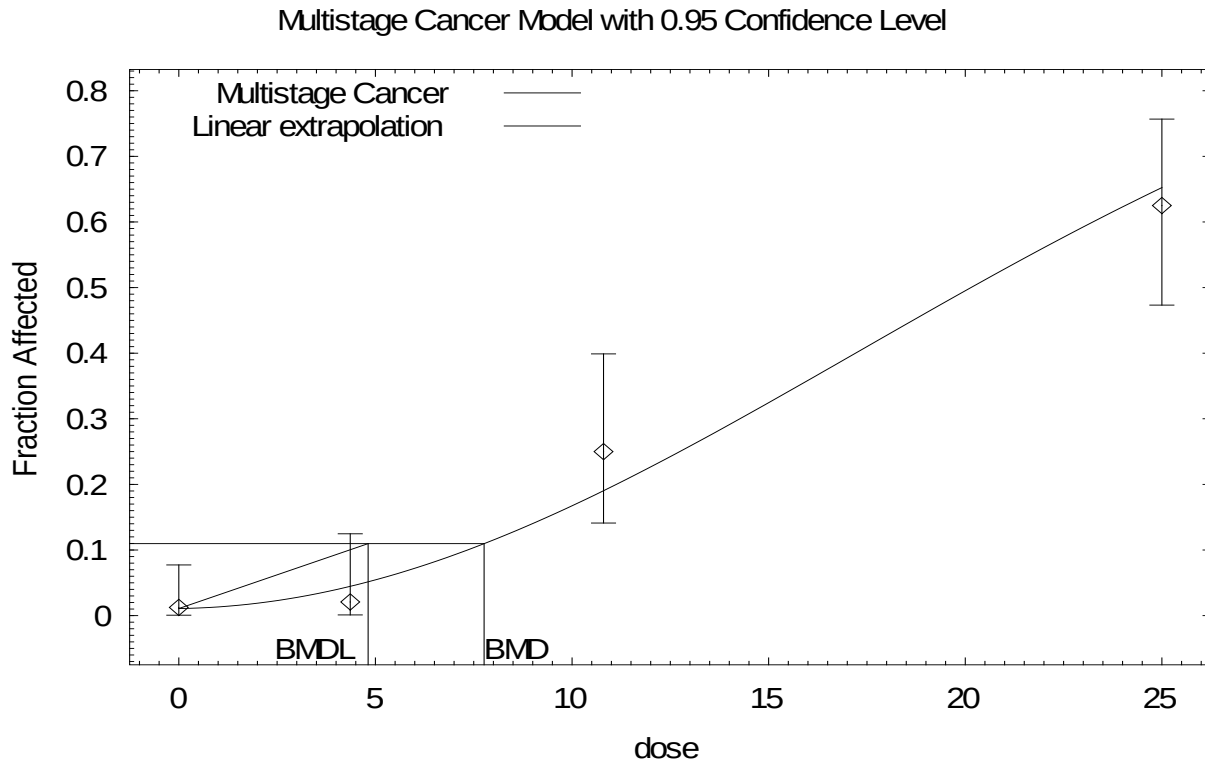
```

Specified effect =          0.1
Risk Type        =      Extra risk
Confidence level =          0.95
BMD =          0.0225942
BMDL =         0.0170019
BMDU =         0.0269423

```

Taken together, (0.0170019, 0.0269423) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for forestomach tumors in Sprague-Dawley female rats  
employing administered dose as a dose metric**



16:14 01/23 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_FEMALE_FORESTOMACH_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_FORESTOMACH_DW.plt
Fri Jan 23 16:14:45 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0  
Beta(1) = 0.0138175  
Beta(2) = 0.00104033

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) | Beta(2) |
|------------|------------|---------|---------|
| Background | 1          | -0.57   | 0.39    |
| Beta(1)    | -0.57      | 1       | -0.93   |
| Beta(2)    | 0.39       | -0.93   | 1       |

## Parameter Estimates

| Variable   | Estimate    | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-------------|-----------|--------------------------------|-------------------|
|            |             |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0107986   | *         | *                              | *                 |
| Beta(1)    | 0.000833319 | *         | *                              | *                 |
| Beta(2)    | 0.00164062  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -68.9836        | 4         |          |           |         |
| Fitted model  | -69.9834        | 3         | 1.99958  | 1         | 0.1573  |
| Reduced model | -110.972        | 1         | 83.9771  | 3         | <.0001  |

AIC: 145.967

## Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0108     | 0.864    | 1        | 80   | 0.147           |
| 4.3600  | 0.0447     | 2.143    | 1        | 48   | -0.799          |
| 10.8000 | 0.1904     | 9.139    | 12       | 48   | 1.052           |
| 25.0000 | 0.6525     | 31.321   | 30       | 48   | -0.401          |

Chi^2 = 1.93      d.f. = 1      P-value = 0.1652

## Benchmark Dose Computation

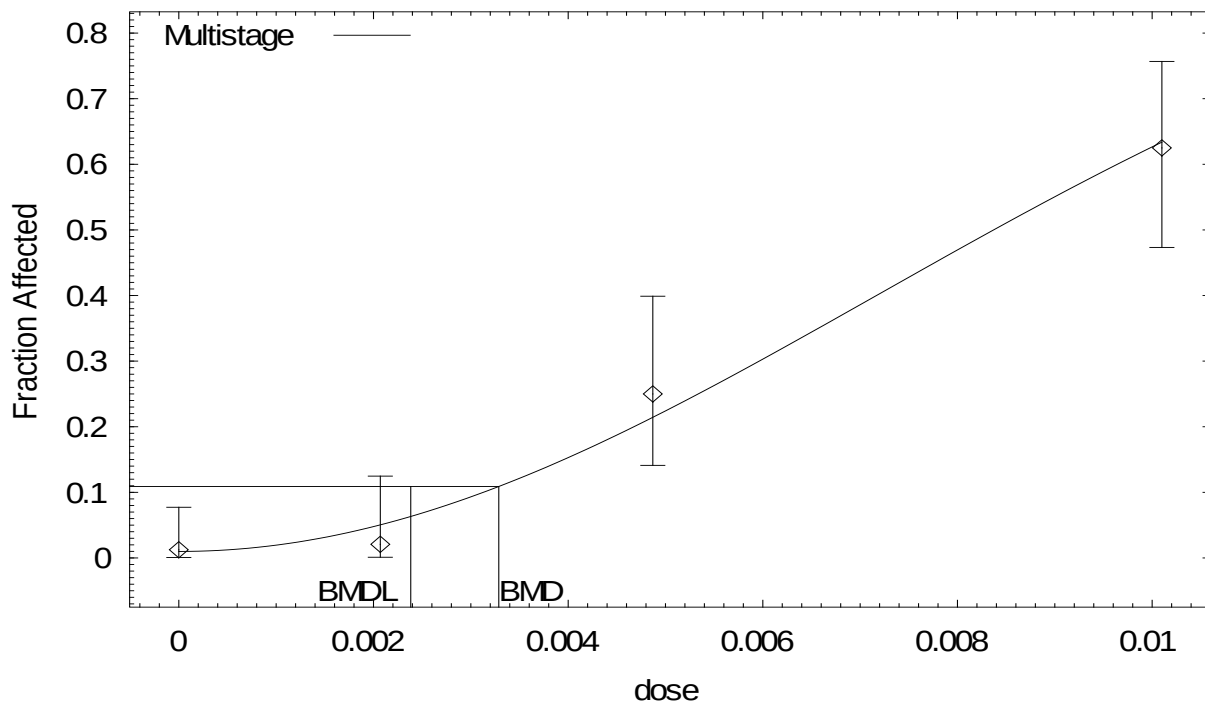
Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 7.76379  
BMDL = 4.81488  
BMDU = 9.11082

Taken together, (4.81488, 9.11082) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0207689

**BMDS (version 1.4.1) output for forestomach tumors in Sprague-Dawley female rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



14:24 09/27 2007

```
=====
      Multistage Model. (Version: 2.8; Date: 02/20/2007)
      Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_FORESTOMACH_BLOOD_CEO.(d)
      Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_FORESTOMACH_BLOOD_CEO.plt
                                     Thu Sep 27 14:24:06 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 \text{dose} - \beta_2 \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

```

Background =          0
Beta(1) =      14.7147
Beta(2) =      8253.52

```

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.48   |
| Beta(2)    | -0.48      | 1       |

#### Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00991437 | *         | *                              | *                 |
| Beta(1)    | 0          | *         | *                              | *                 |
| Beta(2)    | 9752.18    | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -68.9836        | 4         |          |           |         |
| Fitted model  | -69.7499        | 2         | 1.53257  | 2         | 0.4647  |
| Reduced model | -110.972        | 1         | 83.9771  | 3         | <.0001  |
| AIC:          | 143.5           |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0099     | 0.793    | 1        | 80   | 0.233           |
| 0.0021 | 0.0504     | 2.421    | 1        | 48   | -0.937          |
| 0.0049 | 0.2144     | 10.289   | 12       | 48   | 0.602           |
| 0.0101 | 0.6339     | 30.426   | 30       | 48   | -0.128          |

Chi^2 = 1.31      d.f. = 2      P-value = 0.5192

#### Benchmark Dose Computation

```

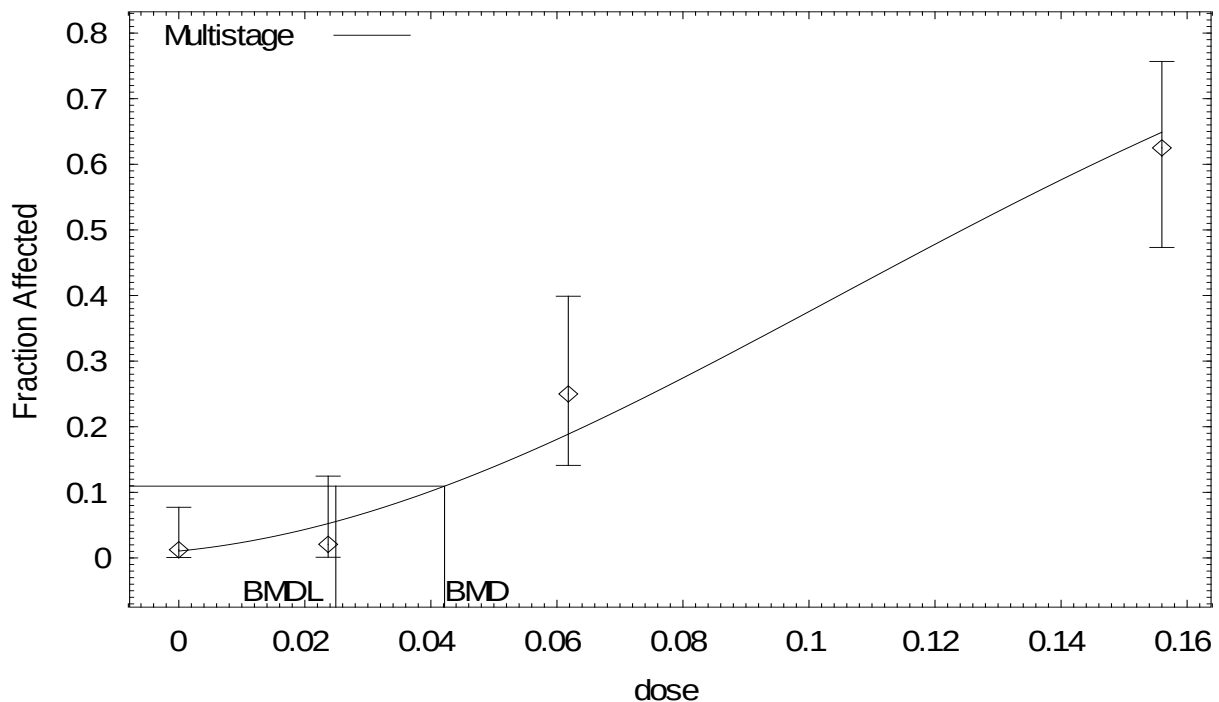
Specified effect =      0.1
Risk Type       =      Extra risk
Confidence level =      0.95
      BMD =      0.00328691
      BMDL =      0.00238295
      BMDU =      0.0037821

```

Taken together, (0.00238295, 0.0037821) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for forestomach tumors in Sprague-Dawley female rats  
employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



10:49 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_FORESTOMACH_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_FORESTOMACH_BLOOD_AN.plt
Thu Sep 27 10:49:49 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0  
 Beta(1) = 3.15264  
 Beta(2) = 20.8062

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) | Beta(2) |
|------------|------------|---------|---------|
| Background | 1          | -0.57   | 0.4     |
| Beta(1)    | -0.57      | 1       | -0.93   |
| Beta(2)    | 0.4        | -0.93   | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0105127 | *         | *                              | *                 |
| Beta(1)    | 0.96299   | *         | *                              | *                 |
| Beta(2)    | 36.4178   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -68.9836        | 4         |          |           |         |
| Fitted model  | -70.2231        | 3         | 2.47911  | 1         | 0.1154  |
| Reduced model | -110.972        | 1         | 83.9771  | 3         | <.0001  |

AIC: 146.446

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0105     | 0.841    | 1        | 80   | 0.174           |
| 0.0237 | 0.0524     | 2.516    | 1        | 48   | -0.982          |
| 0.0618 | 0.1887     | 9.060    | 12       | 48   | 1.085           |
| 0.1560 | 0.6490     | 31.154   | 30       | 48   | -0.349          |

Chi^2 = 2.29      d.f. = 1      P-value = 0.1300

## Benchmark Dose Computation

Specified effect = 0.1  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 0.0421673  
 BMDL = 0.0249138  
 BMDU = 0.055287

Taken together, (0.0249138, 0.055287) is a 90 % two-sided confidence interval for the BMD



**Tumor Site: CNS** (Quast, 2002; Quast et al., 1980a)

**Table B-11. Incidence of CNS tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of CNS tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|--------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                      |
| Male   | 0                                                | 0                                                          | 0                               | 0                       | 1/80 (1%)                            |
|        | 35                                               | 3.42                                                       | $2.06 \times 10^{-2}$           | $1.83 \times 10^{-3}$   | 12/47 (26%) <sup>c</sup>             |
|        | 100                                              | 8.53                                                       | $5.36 \times 10^{-2}$           | $4.36 \times 10^{-3}$   | 22/48 (46%) <sup>c</sup>             |
|        | 300                                              | 21.2                                                       | $1.46 \times 10^{-1}$           | $9.70 \times 10^{-3}$   | 30/48 (62%) <sup>c</sup>             |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 1/80 (1%)                            |
|        | 35                                               | 4.36                                                       | $2.37 \times 10^{-2}$           | $2.07 \times 10^{-3}$   | 20/48 (42%) <sup>c</sup>             |
|        | 100                                              | 10.8                                                       | $6.18 \times 10^{-2}$           | $4.87 \times 10^{-3}$   | 25/48 (52%) <sup>c</sup>             |
|        | 300                                              | 25.0                                                       | $1.56 \times 10^{-1}$           | $1.01 \times 10^{-2}$   | 31/48 (65%) <sup>c</sup>             |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>c</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-12. Summary of BMD modeling results based on incidence of CNS tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ p-value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|-------------------------------|--------|--------------------------------|---------------------------------|
| <b>Males</b>      |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.16                          | 201.38 | 1.84 mg/kg-d                   | 1.48 mg/kg-d                    |
| CEO               | 1°MS                        | 0.37                          | 199.81 | $8.87 \times 10^{-4}$ mg/L     | $7.16 \times 10^{-4}$ mg/L      |
| AN                | 1°MS <sup>e</sup>           | 0.59                          | 134.64 | $8.82 \times 10^{-3}$ mg/L     | $6.64 \times 10^{-3}$ mg/L      |
| <b>Females</b>    |                             |                               |        |                                |                                 |
| Administered dose | 1°MS <sup>e</sup>           | 0.06                          | 149.85 | 1.26 mg/kg-d                   | 0.99 mg/kg-d                    |
| CEO               | 1°MS <sup>e</sup>           | 0.08                          | 149.29 | $5.79 \times 10^{-4}$ mg/L     | $4.51 \times 10^{-4}$ mg/L      |
| AN                | 1°MS <sup>e</sup>           | 0.04                          | 150.45 | $7.12 \times 10^{-3}$ mg/L     | $5.55 \times 10^{-3}$ mg/L      |

<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model.

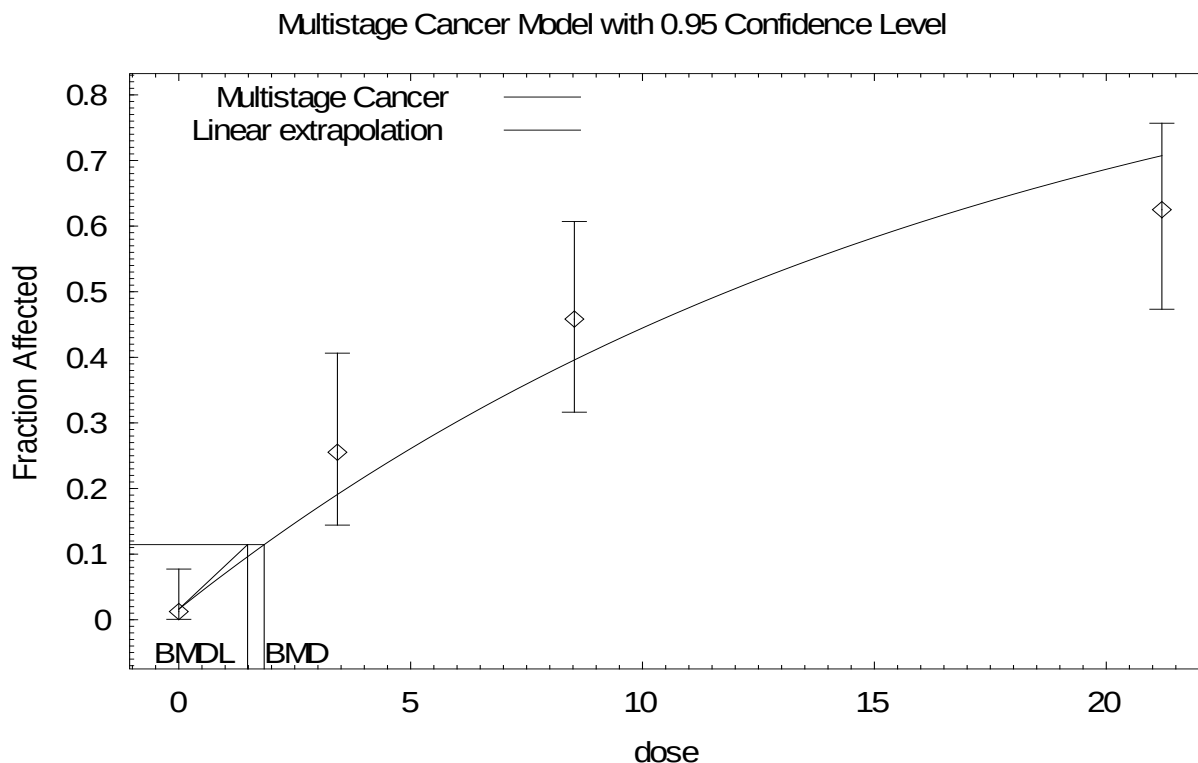
<sup>b</sup>p value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

<sup>e</sup>Highest dose dropped prior to model fitting.

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley male rats employing administered dose as a dose metric**



15:48 01/23 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_CNS_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_CNS_DW.plt
Fri Jan 23 15:48:14 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.108455  
Beta(1) = 0.0435027

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.62   |
| Beta(1)    | -0.62      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0160781 | *         | *                              | *                 |
| Beta(1)    | 0.0572137 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -96.9359        | 4         |          |           |         |
| Fitted model  | -98.6909        | 2         | 3.51012  | 2         | 0.1729  |
| Reduced model | -134.574        | 1         | 75.2765  | 3         | <.0001  |
| AIC:          | 201.382         |           |          |           |         |

#### Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0161     | 1.286    | 1        | 80   | -0.254          |
| 3.4200  | 0.1909     | 8.974    | 12       | 47   | 1.123           |
| 8.5300  | 0.3960     | 19.010   | 22       | 48   | 0.882           |
| 21.2000 | 0.7075     | 33.958   | 30       | 48   | -1.256          |

Chi^2 = 3.68      d.f. = 2      P-value = 0.1587

#### Benchmark Dose Computation

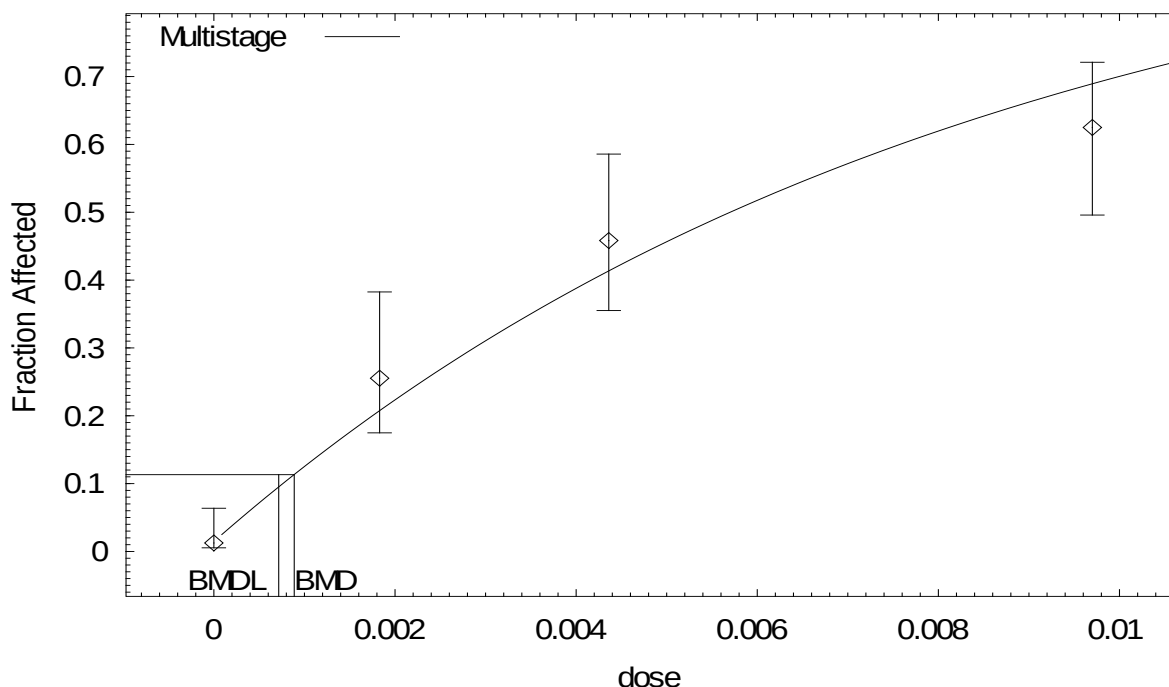
Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 1.84153  
BMDL = 1.4836  
BMDU = 2.34034

Taken together, (1.4836 , 2.34034) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0674034

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley male rats employing  
CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



09:11 09/25 2007

```
=====
Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_CNS_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_CNS_BLOOD_CEO.plt
=====
```

Tue Sep 25 09:11:57 2007

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values  
Background = 0.0859878  
Beta(1) = 97.0212

```

**** WARNING: Completion code = -3. Optimum not found. Trying new starting point****
**** WARNING 0: Completion code = -3 trying new start****
**** WARNING 1: Completion code = -3 trying new start****
**** WARNING 2: Completion code = -3 trying new start****
**** WARNING 3: Completion code = -3 trying new start****
**** WARNING 4: Completion code = -3 trying new start****
**** WARNING 5: Completion code = -3 trying new start****
**** WARNING 6: Completion code = -3 trying new start****
**** WARNING 7: Completion code = -3 trying new start****
**** WARNING 8: Completion code = -3 trying new start****
**** WARNING 9: Completion code = -3 trying new start****

```

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.62   |
| Beta(1)    | -0.62      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. |
|------------|-----------|-----------|
| Background | 0.0146577 | 0.100785  |
| Beta(1)    | 118.766   | 28.4627   |

#### Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value |
|---------------|-----------------|----------|---------|---------|
| Full model    | -96.9359        |          |         |         |
| Fitted model  | -97.9055        | 1.93933  | 2       | 0.3792  |
| Reduced model | -134.574        | 75.2765  | 3       | <.0001  |
| AIC:          | 199.811         |          |         |         |

#### Goodness of Fit

|              | Dose   | Est._Prob. | Expected         | Observed | Size | Chi^2 Res. |
|--------------|--------|------------|------------------|----------|------|------------|
| i: 1         | 0.0000 | 0.0147     | 1.173            | 1        | 80   | -0.149     |
| i: 2         | 0.0018 | 0.2071     | 9.736            | 12       | 47   | 0.293      |
| i: 3         | 0.0044 | 0.4129     | 19.820           | 22       | 48   | 0.187      |
| i: 4         | 0.0097 | 0.6886     | 33.055           | 30       | 48   | -0.297     |
| Chi-square = | 2.01   | DF = 2     | P-value = 0.3669 |          |      |            |

#### Benchmark Dose Computation

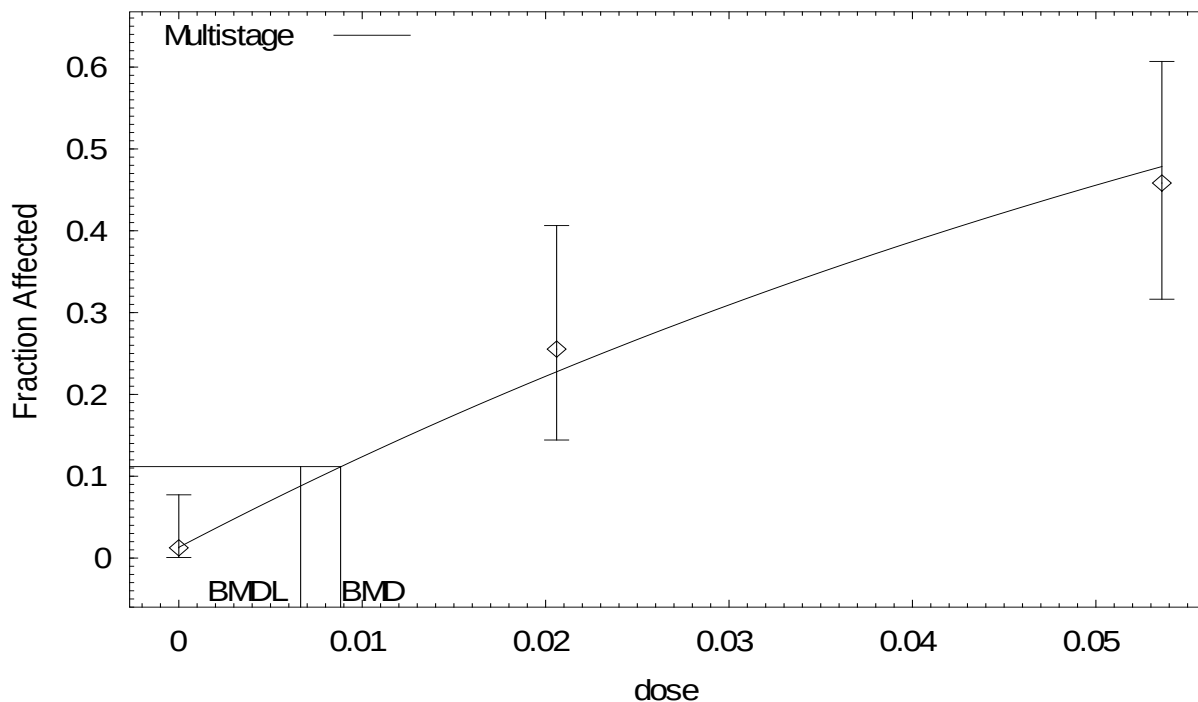
```

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.00088713
BMDL = 0.00071632

```

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley male rats employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



10:40 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_CNS_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_CNS_BLOOD_AN.plt
=====
```

Thu Sep 27 10:40:46 2007

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.032766  
Beta(1) = 11.0585

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.61   |
| Beta(1)    | -0.61      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0130586 | *         | *                              | *                 |
| Beta(1)    | 11.9435   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -65.1808        | 3         |          |           |         |
| Fitted model  | -65.3204        | 2         | 0.279194 | 1         | 0.5972  |
| Reduced model | -87.5704        | 1         | 44.7792  | 2         | <.0001  |

AIC: 134.641

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0131     | 1.045    | 1        | 80   | -0.044          |
| 0.0206 | 0.2283     | 10.731   | 12       | 47   | 0.441           |
| 0.0536 | 0.4797     | 23.025   | 22       | 48   | -0.296          |

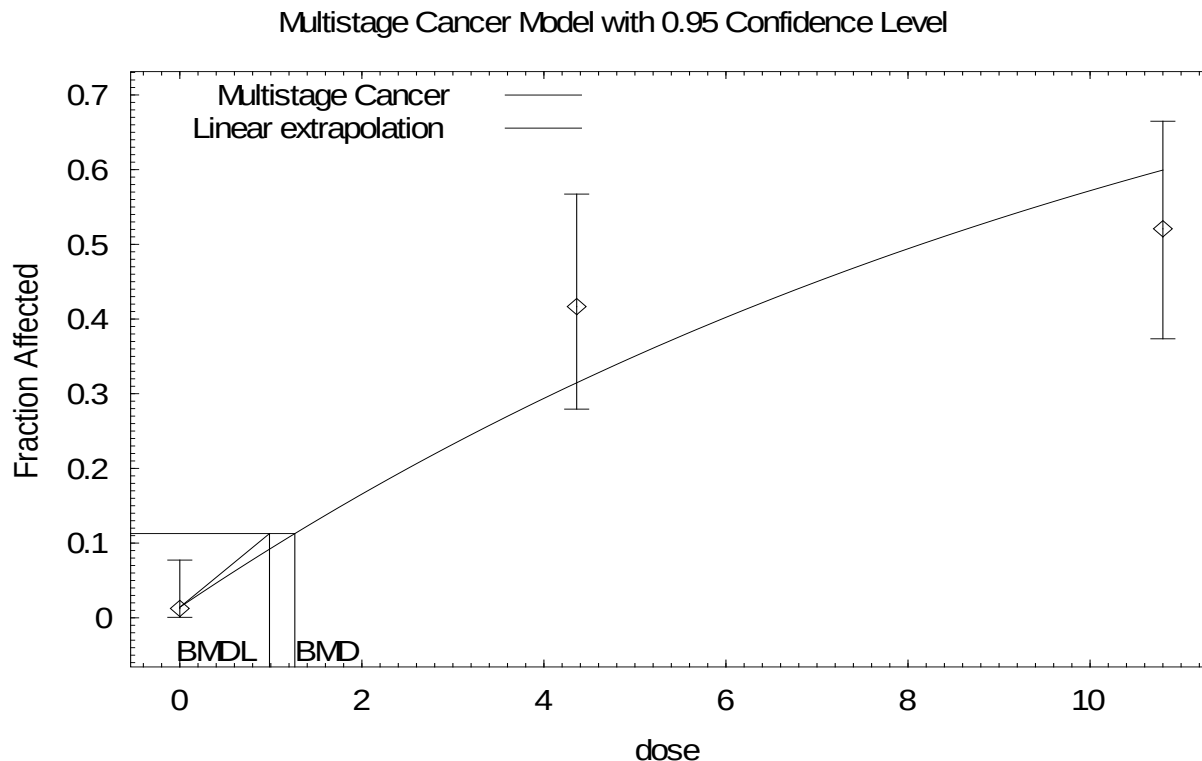
Chi^2 = 0.28 d.f. = 1 P-value = 0.5940

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00882158  
BMDL = 0.00664413  
BMDU = 0.0121508

Taken together, (0.00664413, 0.0121508) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley female rats employing administered dose as a dose metric**



16:19 01/23 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_FEMALE_CNS_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_FEMALE_CNS_DW.plt
Fri Jan 23 16:19:26 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values



Background = 0.0993663  
Beta(1) = 0.0642026

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.62   |
| Beta(1)    | -0.62      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0141417 | *         | *                              | *                 |
| Beta(1)    | 0.0833707 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -71.2064        | 3         |          |           |         |
| Fitted model  | -72.9251        | 2         | 3.43738  | 1         | 0.06374 |
| Reduced model | -101.108        | 1         | 59.8036  | 2         | <.0001  |
| AIC:          | 149.85          |           |          |           |         |

#### Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0141     | 1.131    | 1        | 80   | -0.124          |
| 4.3600  | 0.3146     | 15.100   | 20       | 48   | 1.523           |
| 10.8000 | 0.5993     | 28.768   | 25       | 48   | -1.110          |

Chi^2 = 3.57      d.f. = 1      P-value = 0.0589

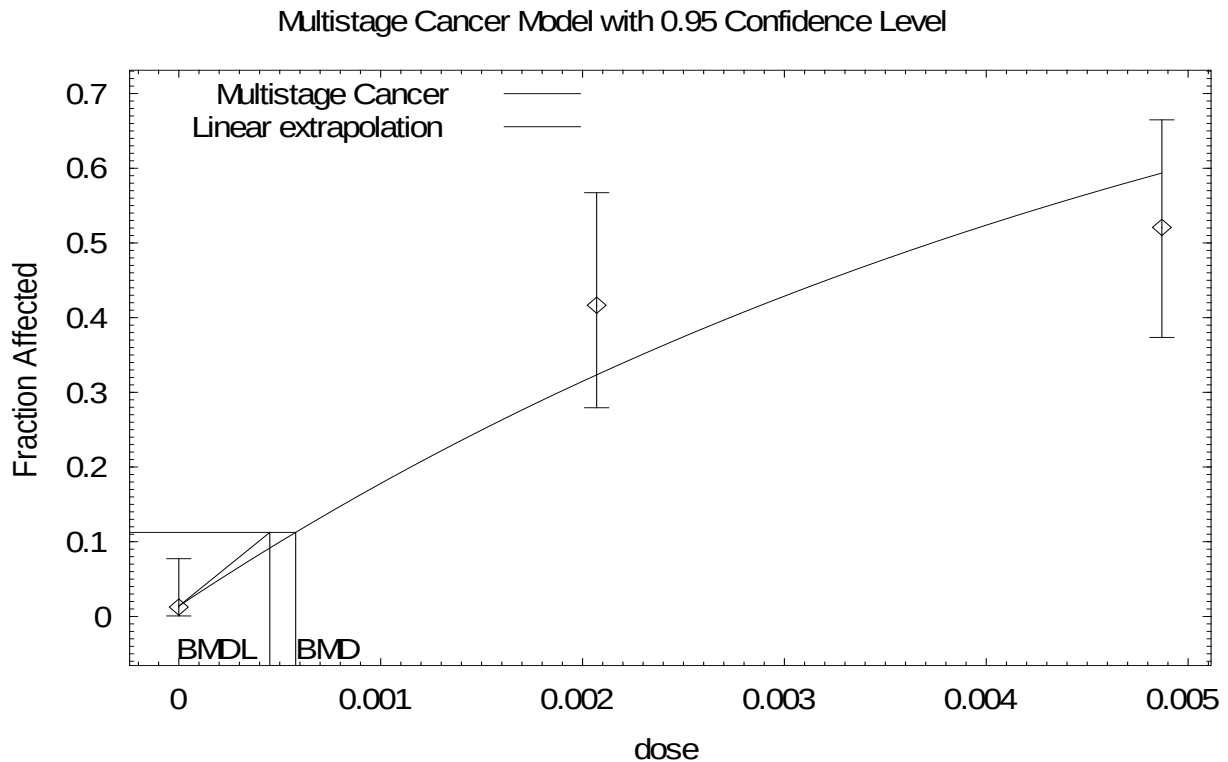
#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 1.26376  
BMDL = 0.985061  
BMDU = 1.66456

Taken together, (0.985061, 1.66456) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.101517

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley female rats employing  
CEO in blood as an internal dose metric**



09:03 12/03 2008

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_FEMALE_CNS_BLOOD_CEO.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_CNS_BLOOD_CEO.plt
Wed Dec 03 09:03:51 2008
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0914603  
Beta(1) = 144.025

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.62   |
| Beta(1)    | -0.62      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0138803 | *         | *                              | *                 |
| Beta(1)    | 182.002   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -71.2064        | 3         |          |           |         |
| Fitted model  | -72.6449        | 2         | 2.87694  | 1         | 0.08986 |
| Reduced model | -101.108        | 1         | 59.8036  | 2         | <.0001  |

AIC: 149.29

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0139     | 1.110    | 1        | 80   | -0.106          |
| 0.0021 | 0.3234     | 15.525   | 20       | 48   | 1.381           |
| 0.0049 | 0.5936     | 28.491   | 25       | 48   | -1.026          |

Chi^2 = 2.97      d.f. = 1      P-value = 0.0848

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95

BMD = 0.000578899

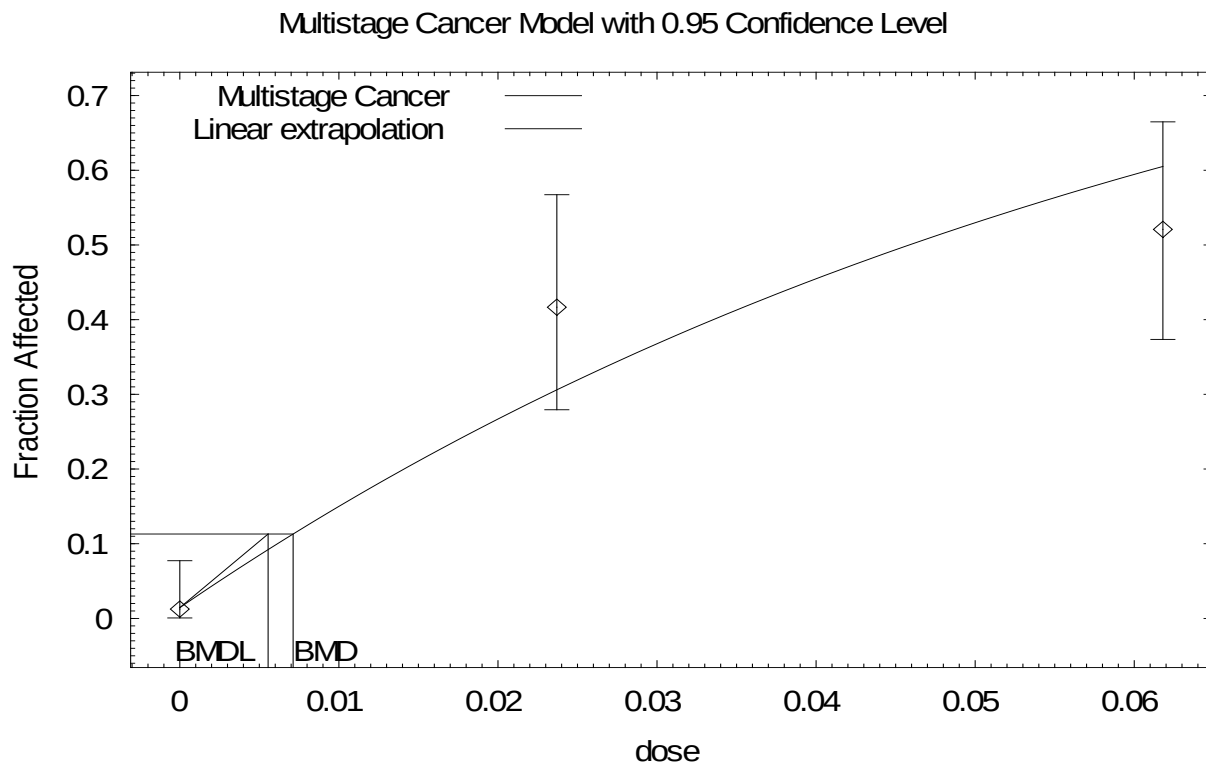
BMDL = 0.000451438

BMDU = 0.000761804

Taken together, (0.000451438, 0.000761804) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 221.515

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley female rats employing  
AN in blood as an internal dose metric**



09:12 12/03 2008

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_FEMALE_CNS_BLOOD_AN.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_CNS_BLOOD_AN.plt
Wed Dec 03 09:12:32 2008
=====
```

~~~~~  
BMDS MODEL RUN
~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.106975  
Beta(1) = 11.0861

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.62   |
| Beta(1)    | -0.62      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0144346 | *         | *                              | *                 |
| Beta(1)    | 14.7901   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -71.2064        | 3         |          |           |         |
| Fitted model  | -73.2271        | 2         | 4.04128  | 1         | 0.0444  |
| Reduced model | -101.108        | 1         | 59.8036  | 2         | <.0001  |
| AIC:          | 150.454         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0144     | 1.155    | 1        | 80   | -0.145          |
| 0.0237 | 0.3058     | 14.681   | 20       | 48   | 1.666           |
| 0.0618 | 0.6049     | 29.034   | 25       | 48   | -1.191          |

Chi^2 = 4.22      d.f. = 1      P-value = 0.0400

## Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 0.0071237

BMDL = 0.00554992

BMDU = 0.00939265

Taken together, (0.00554992, 0.00939265) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 18.0183

**Tumor Site: Zymbal Gland** (Quast, 2002; Quast et al., 1980a)

**Table B-13. Incidence of Zymbal gland tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of Zymbal gland tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|-----------------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                               |
| Male   | 0                                                | 0                                                          | 0                               | 0                       | 3/80 (4%)                                     |
|        | 35                                               | 3.42                                                       | $2.06 \times 10^{-2}$           | $1.83 \times 10^{-3}$   | 4/47 (9%)                                     |
|        | 100                                              | 8.53                                                       | $5.36 \times 10^{-2}$           | $4.36 \times 10^{-3}$   | 3/48 (6%)                                     |
|        | 300                                              | 21.2                                                       | $1.46 \times 10^{-1}$           | $9.70 \times 10^{-3}$   | 16/48 (33%) <sup>c</sup>                      |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 1/80 (1%)                                     |
|        | 35                                               | 4.36                                                       | $2.37 \times 10^{-2}$           | $2.07 \times 10^{-3}$   | 5/48 (10%) <sup>c</sup>                       |
|        | 100                                              | 10.8                                                       | $6.18 \times 10^{-2}$           | $4.87 \times 10^{-3}$   | 9/48 (19%) <sup>c</sup>                       |
|        | 300                                              | 25.0                                                       | $1.56 \times 10^{-1}$           | $1.01 \times 10^{-2}$   | 18/48 (38%) <sup>c</sup>                      |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>c</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-14. Summary of BMD modeling results based on incidence of Zymbal gland tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ $p$ -value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|----------------------------------|--------|--------------------------------|---------------------------------|
| <b>Males</b>      |                             |                                  |        |                                |                                 |
| Administered dose | 2°MS                        | 0.43                             | 142.15 | 11.80 mg/kg-d                  | 6.29 mg/kg-d                    |
| CEO               | 2°MS                        | 0.38                             | 142.46 | $5.46 \times 10^{-3}$ mg/L     | $3.15 \times 10^{-3}$ mg/L      |
| AN                | 3°MS                        | 0.28                             | 143.58 | $8.94 \times 10^{-2}$ mg/L     | $4.26 \times 10^{-2}$ mg/L      |
| <b>Females</b>    |                             |                                  |        |                                |                                 |
| Administered dose | 1°MS                        | 0.94                             | 156.80 | 5.66 mg/kg-d                   | 4.19 mg/kg-d                    |
| CEO               | 1°MS                        | 0.95                             | 156.77 | $2.40 \times 10^{-3}$ mg/L     | $1.78 \times 10^{-3}$ mg/L      |
| AN                | 1°MS                        | 0.85                             | 156.98 | $3.41 \times 10^{-2}$ mg/L     | $2.52 \times 10^{-2}$ mg/L      |

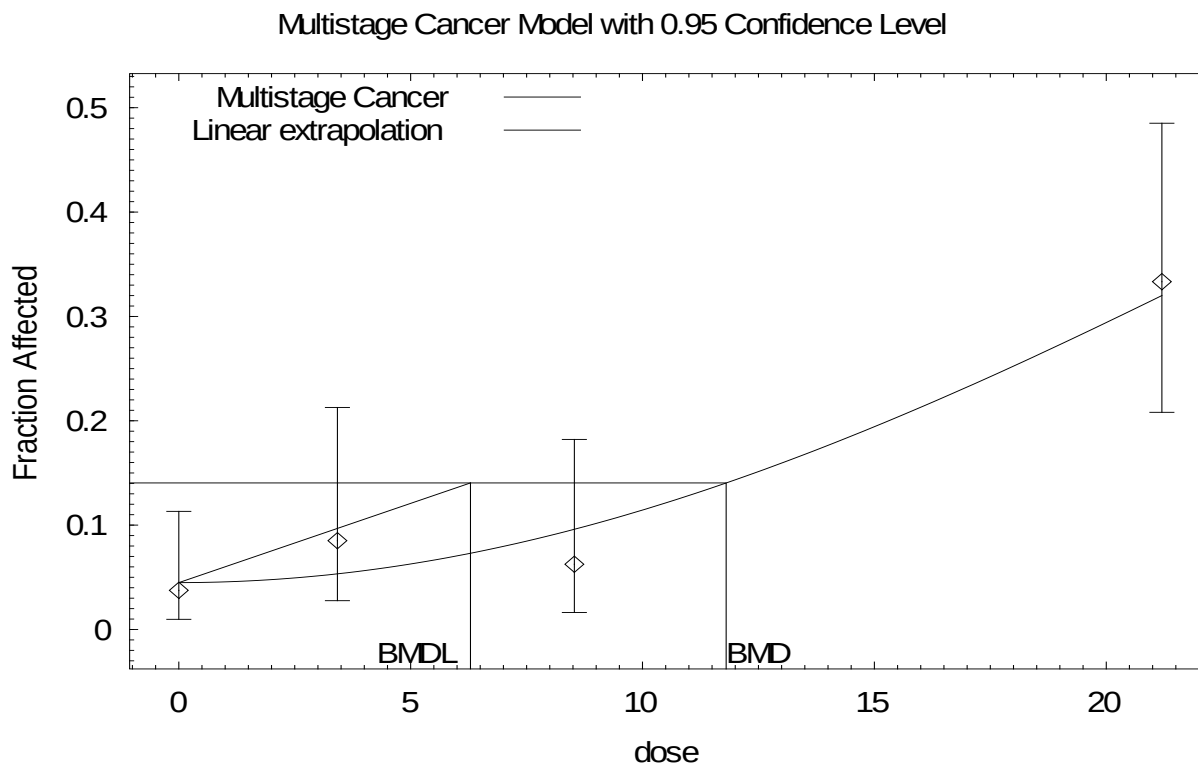
<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model, “2°MS” indicates a two-stage multistage model, and “3°MS” indicates a three-stage multistage model.

<sup>b</sup> $p$  value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley male rats  
employing administered dose as a dose metric**



16:01 01/23 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_ZYMBAL_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_ZYMBAL_DW.plt
Fri Jan 23 16:01:39 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^{\text{beta2}})]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.042253  
 Beta(1) = 0  
 Beta(2) = 0.00079507

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.49   |
| Beta(2)    | -0.49      | 1       |

#### Parameter Estimates

| Variable   | Estimate    | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-------------|-----------|--------------------------------|-------------------|
|            |             |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0449236   | *         | *                              | *                 |
| Beta(1)    | 0           | *         | *                              | *                 |
| Beta(2)    | 0.000756128 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -68.2481        | 4         |          |           |         |
| Fitted model  | -69.0738        | 2         | 1.65141  | 2         | 0.4379  |
| Reduced model | -80.2977        | 1         | 24.0991  | 3         | <.0001  |
| AIC:          | 142.148         |           |          |           |         |

#### Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0449     | 3.594    | 3        | 80   | -0.321          |
| 3.4200  | 0.0533     | 2.507    | 4        | 47   | 0.969           |
| 8.5300  | 0.0960     | 4.610    | 3        | 48   | -0.789          |
| 21.2000 | 0.3201     | 15.364   | 16       | 48   | 0.197           |

Chi^2 = 1.70      d.f. = 2      P-value = 0.4267

#### Benchmark Dose Computation

Specified effect = 0.1  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 11.8043  
 BMDL = 6.28905  
 BMDU = 15.532

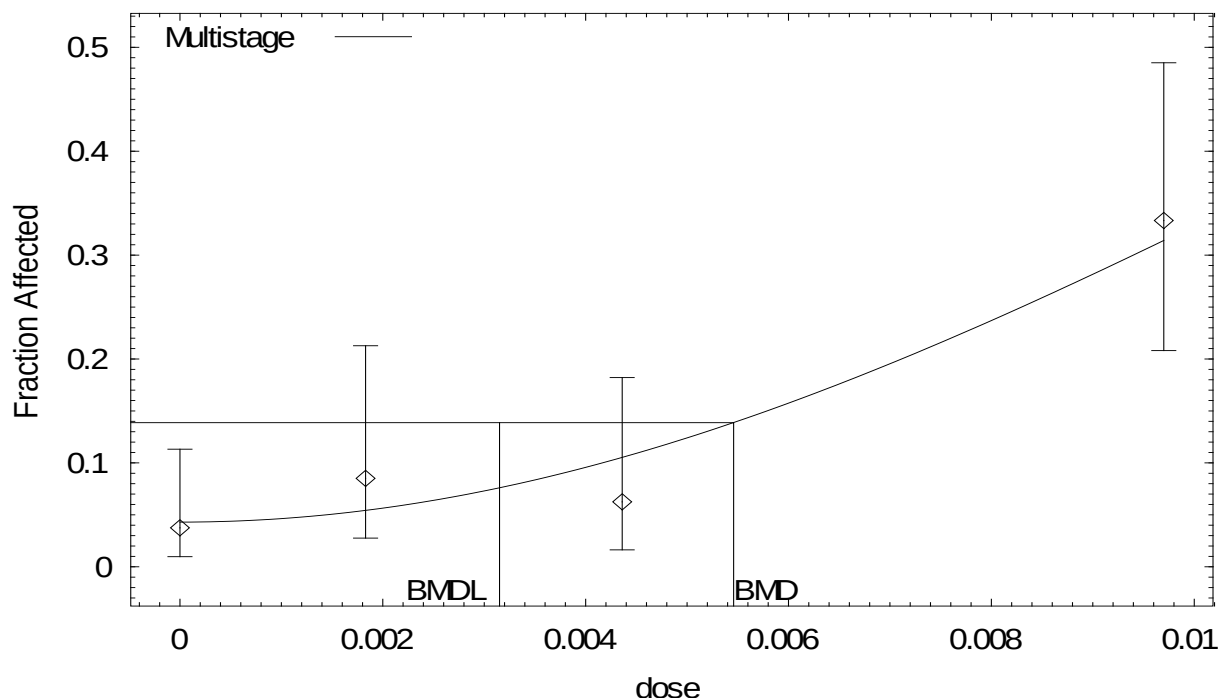
Taken together, (6.28905, 15.532 ) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0159007



**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley male rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



14:09 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_ZYMBAL_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_ZYMBAL_BLOOD_CEO.plt
Thu Sep 27 14:09:41 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose} - \beta_2 * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values  
Background = 0.0373755

Beta(1) = 0  
 Beta(2) = 3819.76

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.51   |
| Beta(2)    | -0.51      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0429942 | *         | *                              | *                 |
| Beta(1)    | 0         | *         | *                              | *                 |
| Beta(2)    | 3535.6    | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -68.2481        | 4         |          |           |         |
| Fitted model  | -69.231         | 2         | 1.96583  | 2         | 0.3742  |
| Reduced model | -80.2977        | 1         | 24.0991  | 3         | <.0001  |

AIC: 142.462

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0430     | 3.440    | 3        | 80   | -0.242          |
| 0.0018 | 0.0543     | 2.550    | 4        | 47   | 0.934           |
| 0.0044 | 0.1052     | 5.050    | 3        | 48   | -0.964          |
| 0.0097 | 0.3138     | 15.063   | 16       | 48   | 0.291           |

Chi^2 = 1.94      d.f. = 2      P-value = 0.3781

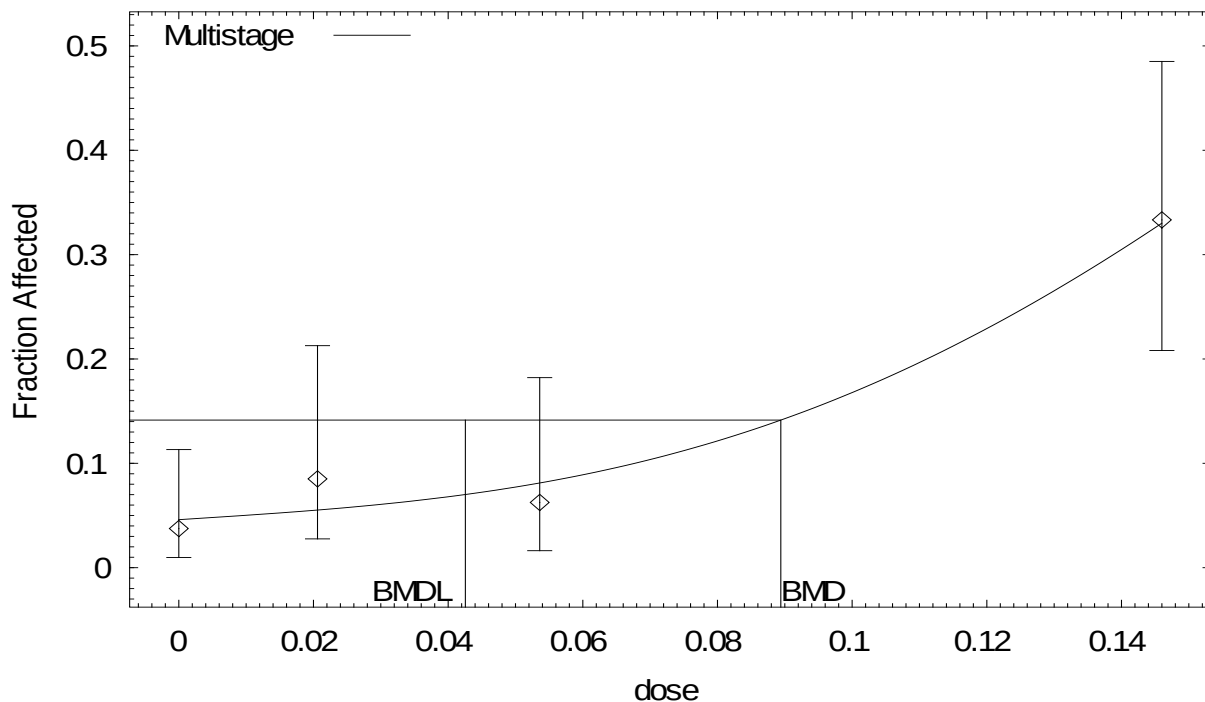
#### Benchmark Dose Computation

Specified effect = 0.1  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 0.00545893  
 BMDL = 0.00315099  
 BMDU = 0.00717256

Taken together, (0.00315099, 0.00717256) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley male rats  
employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



10:44 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_ZYMBAL_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_ZYMBAL_BLOOD_AN.plt
Thu Sep 27 10:44:18 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 \text{dose} - \beta_2 \text{dose}^2 - \beta_3 \text{dose}^3)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 4  
Total number of specified parameters = 0  
Degree of polynomial = 3

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values  
Background = 0.0542857  
Beta(1) = 0.0987621  
Beta(2) = 0

Beta(3) = 107.536

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(2)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | Background | Beta(1) | Beta(3) |
|------------|------------|---------|---------|
| Background | 1          | -0.71   | 0.58    |
| Beta(1)    | -0.71      | 1       | -0.95   |
| Beta(3)    | 0.58       | -0.95   | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0460186 | *         | *                              | *                 |
| Beta(1)    | 0.432974  | *         | *                              | *                 |
| Beta(2)    | 0         | *         | *                              | *                 |
| Beta(3)    | 93.2629   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -68.2481        | 4         |          |           |         |
| Fitted model  | -68.7881        | 3         | 1.07988  | 1         | 0.2987  |
| Reduced model | -80.2977        | 1         | 24.0991  | 3         | <.0001  |

AIC: 143.576

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0460     | 3.681    | 3        | 80   | -0.364          |
| 0.0206 | 0.0553     | 2.597    | 4        | 47   | 0.896           |
| 0.0536 | 0.0812     | 3.897    | 3        | 48   | -0.474          |
| 0.1460 | 0.3301     | 15.843   | 16       | 48   | 0.048           |

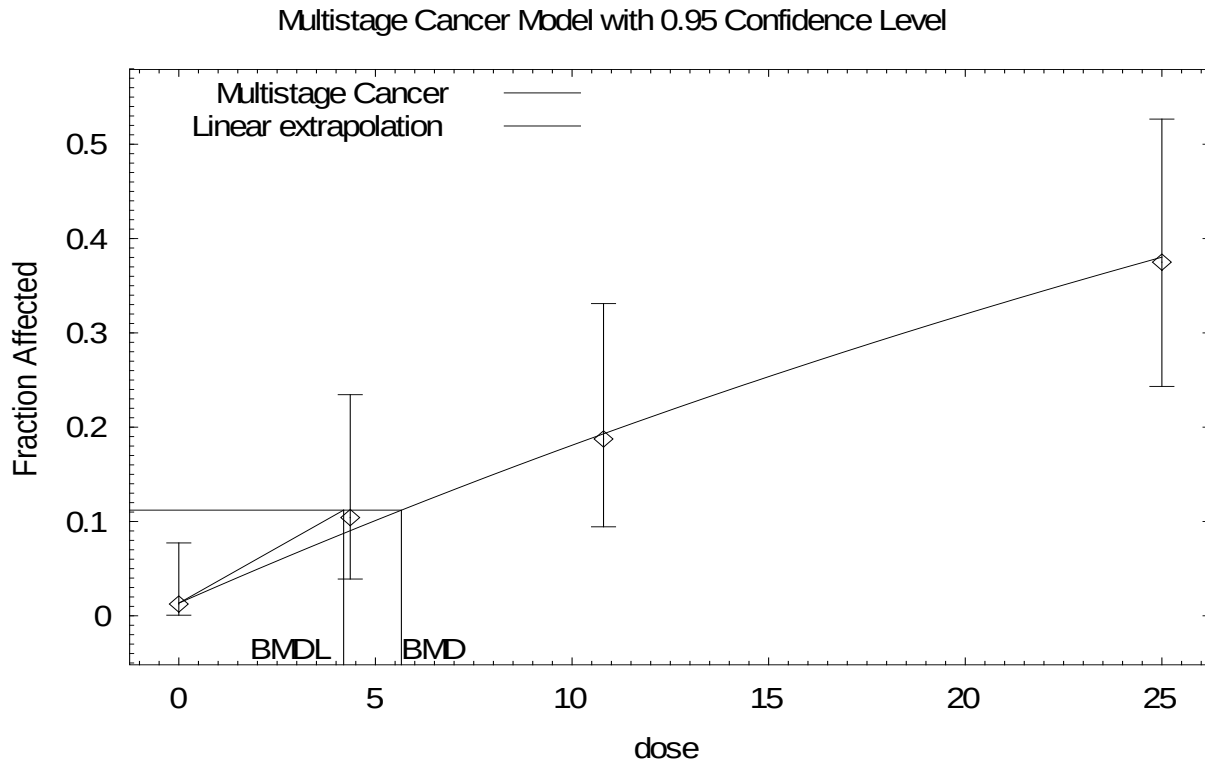
Chi^2 = 1.16      d.f. = 1      P-value = 0.2812

#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0894055  
BMDL = 0.0425525  
BMDU = 0.117269

Taken together, (0.0425525, 0.117269) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley female rats  
employing administered dose as a dose metric**



16:28 01/23 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_FEMALE_ZYMBAL_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_ZYMBAL_DW.plt
Fri Jan 23 16:28:50 2009
=====
```

~~~~~  
BMDS MODEL RUN
~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0190401  
Beta(1) = 0.0180112

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.64   |
| Beta(1)    | -0.64      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.013388  | *         | *                              | *                 |
| Beta(1)    | 0.0186127 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -76.3334        | 4         |          |           |         |
| Fitted model  | -76.3975        | 2         | 0.128259 | 2         | 0.9379  |
| Reduced model | -93.6397        | 1         | 34.6128  | 3         | <.0001  |

AIC: 156.795

## Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0134     | 1.071    | 1        | 80   | -0.069          |
| 4.3600  | 0.0903     | 4.334    | 5        | 48   | 0.335           |
| 10.8000 | 0.1931     | 9.266    | 9        | 48   | -0.097          |
| 25.0000 | 0.3805     | 18.263   | 18       | 48   | -0.078          |

Chi^2 = 0.13      d.f. = 2      P-value = 0.9357

## Benchmark Dose Computation

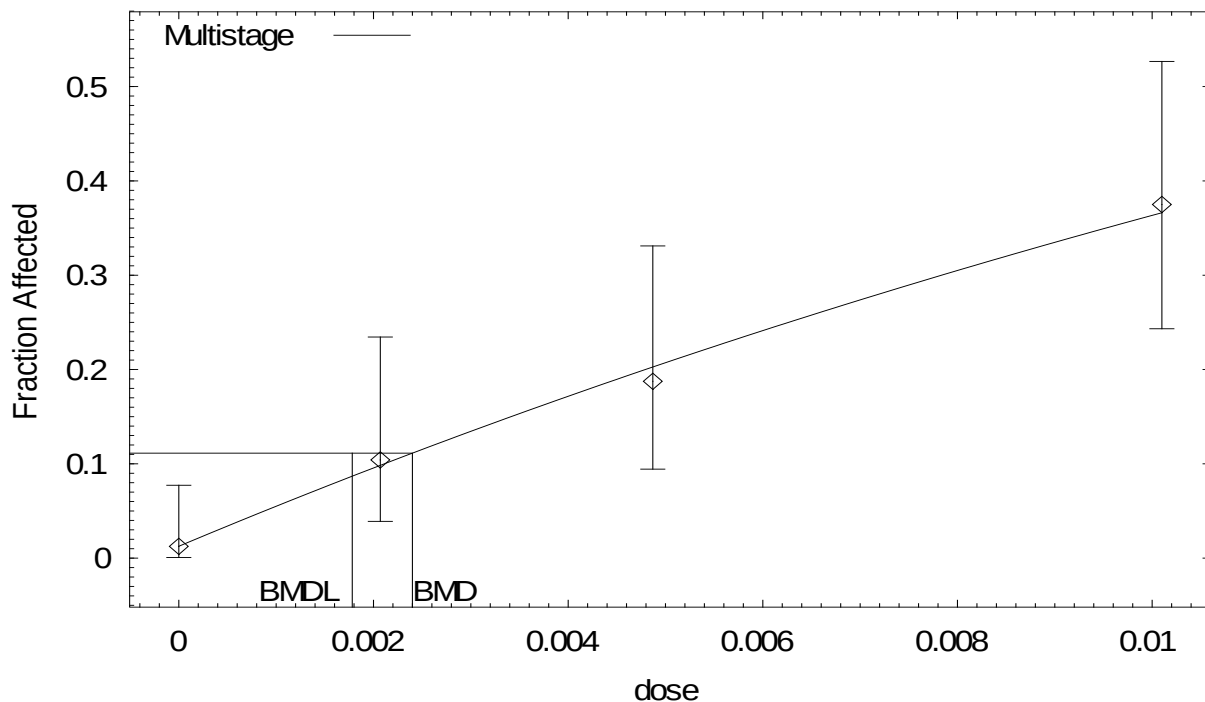
Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 5.66068  
BMDL = 4.19199  
BMDU = 8.10463

Taken together, (4.19199, 8.10463) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.023855

**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley female rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



14:43 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_ZYMBAL_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_ZYMBAL_BLOOD_CEO.plt
Thu Sep 27 14:43:02 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.00883347  
Beta(1) = 44.8786

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.65   |
| Beta(1)    | -0.65      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0125628 | *         | *                              | *                 |
| Beta(1)    | 43.9052   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -76.3334        | 4         |          |           |         |
| Fitted model  | -76.385         | 2         | 0.103357 | 2         | 0.9496  |
| Reduced model | -93.6397        | 1         | 34.6128  | 3         | <.0001  |
| AIC:          | 156.77          |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0126     | 1.005    | 1        | 80   | -0.005          |
| 0.0021 | 0.0983     | 4.721    | 5        | 48   | 0.135           |
| 0.0049 | 0.2026     | 9.727    | 9        | 48   | -0.261          |
| 0.0101 | 0.3662     | 17.580   | 18       | 48   | 0.126           |

Chi^2 = 0.10      d.f. = 2      P-value = 0.9501

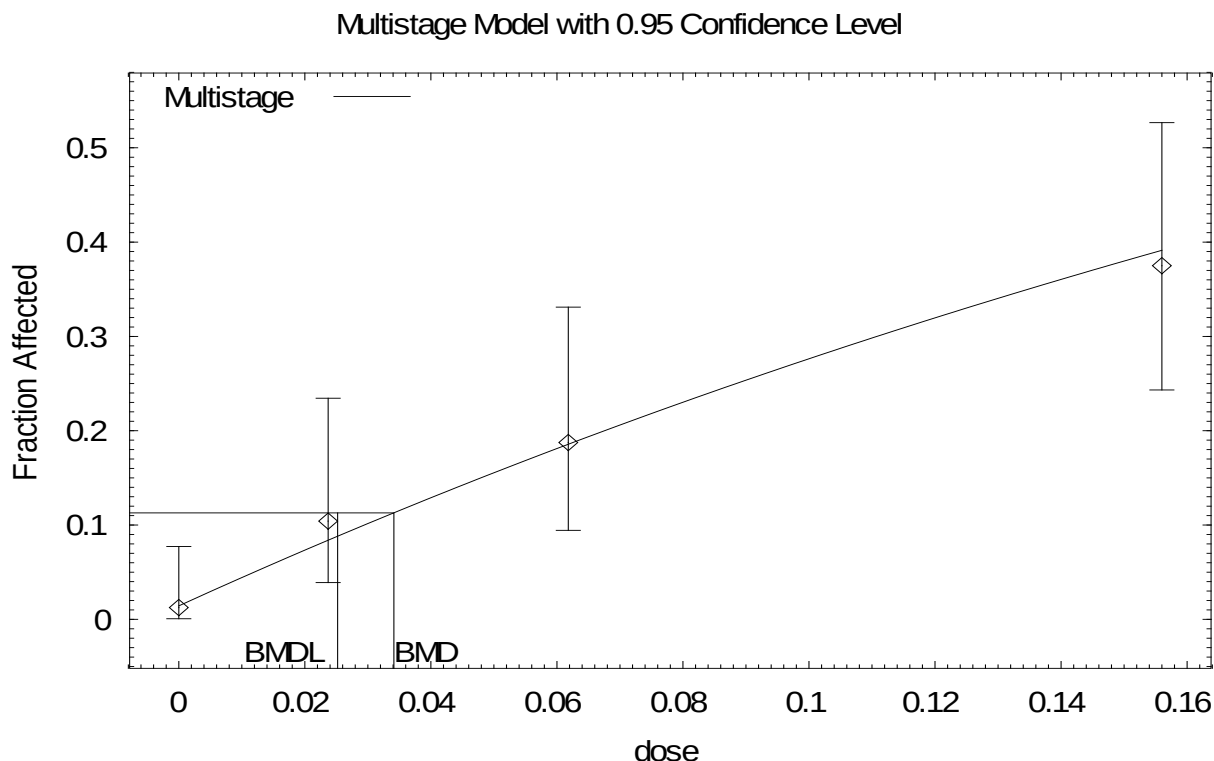
#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00239973  
BMDL = 0.00178178  
BMDU = 0.00341242

Taken together, (0.00178178, 0.00341242) is a 90 % two-sided confidence interval for the BMD



**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley female rats  
employing AN in blood as an internal dose metric**



10:55 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_ZYMBAL_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_ZYMBAL_BLOOD_AN.plt
Thu Sep 27 10:55:27 2007
=====
```

~~~~~  
BMDS MODEL RUN
~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0269441  
Beta(1) = 2.86115

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.63   |
| Beta(1)    | -0.63      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0143198 | *         | *                              | *                 |
| Beta(1)    | 3.08705   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -76.3334        | 4         |          |           |         |
| Fitted model  | -76.4902        | 2         | 0.313733 | 2         | 0.8548  |
| Reduced model | -93.6397        | 1         | 34.6128  | 3         | <.0001  |
| AIC:          | 156.98          |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0143     | 1.146    | 1        | 80   | -0.137          |
| 0.0237 | 0.0839     | 4.025    | 5        | 48   | 0.508           |
| 0.0618 | 0.1855     | 8.905    | 9        | 48   | 0.035           |
| 0.1560 | 0.3910     | 18.770   | 18       | 48   | -0.228          |

Chi^2 = 0.33      d.f. = 2      P-value = 0.8481

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0341298  
BMDL = 0.0251994  
BMDU = 0.0492353

Taken together, (0.0251994, 0.0492353) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: Tongue** (Quast, 2002; Quast et al., 1980a)

**Table B-15. Incidence of tongue tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of tongue tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|-----------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                         |
| Male   | 0                                                | 0                                                          | 0                               | 0                       | 1/80 (1%)                               |
|        | 35                                               | 3.42                                                       | $2.06 \times 10^{-2}$           | $1.83 \times 10^{-3}$   | 2/47 (4%)                               |
|        | 100                                              | 8.53                                                       | $5.36 \times 10^{-2}$           | $4.36 \times 10^{-3}$   | 4/48 (8%)                               |
|        | 300                                              | 21.2                                                       | $1.46 \times 10^{-1}$           | $9.70 \times 10^{-3}$   | 5/48 (10%) <sup>c</sup>                 |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 0/80 (0%)                               |
|        | 35                                               | 4.36                                                       | $2.37 \times 10^{-2}$           | $2.07 \times 10^{-3}$   | 1/48 (2%)                               |
|        | 100                                              | 10.8                                                       | $6.18 \times 10^{-2}$           | $4.87 \times 10^{-3}$   | 2/48 (4%)                               |
|        | 300                                              | 25.0                                                       | $1.56 \times 10^{-1}$           | $1.01 \times 10^{-2}$   | 12/48 (25%) <sup>c</sup>                |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>c</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-16. Summary of BMD modeling results based on incidence of tongue tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ $p$ -value <sup>b</sup> | AIC   | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|----------------------------------|-------|--------------------------------|---------------------------------|
| <b>Males</b>      |                             |                                  |       |                                |                                 |
| Administered dose | 1°MS                        | 0.69                             | 91.62 | 18.89 mg/kg-d                  | 10.35 mg/kg-d                   |
| CEO               | 1°MS                        | 0.78                             | 91.40 | $8.78 \times 10^{-3}$ mg/L     | $4.90 \times 10^{-3}$ mg/L      |
| AN                | 1°MS                        | 0.62                             | 91.83 | $1.29 \times 10^{-1}$ mg/L     | $6.97 \times 10^{-2}$ mg/L      |
| <b>Females</b>    |                             |                                  |       |                                |                                 |
| Administered dose | 3°MS                        | 0.92                             | 84.51 | 15.99 mg/kg-d                  | 10.64 mg/kg-d                   |
| CEO               | 3°MS                        | 0.88                             | 84.59 | $6.70 \times 10^{-3}$ mg/L     | $4.74 \times 10^{-3}$ mg/L      |
| AN                | 3°MS                        | 0.93                             | 84.48 | $9.67 \times 10^{-2}$ mg/L     | $6.10 \times 10^{-2}$ mg/L      |

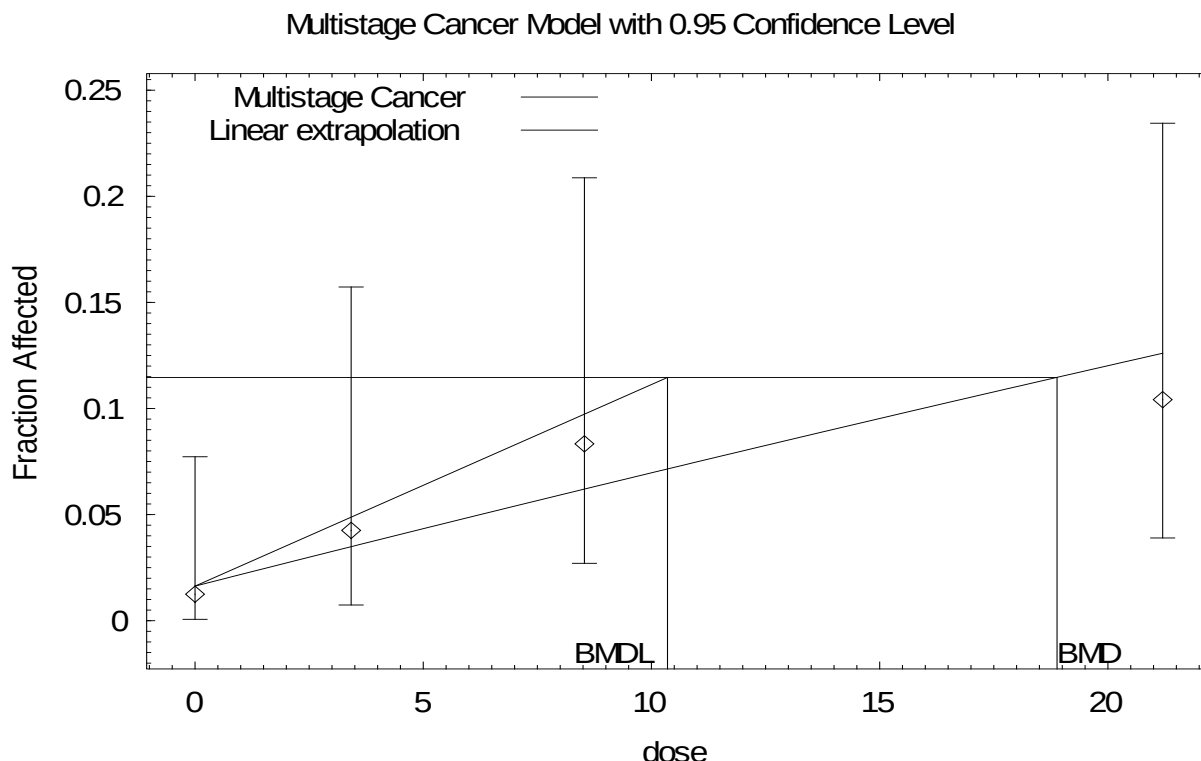
<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model and “3°MS” indicates a three-stage multistage model.

<sup>b</sup> $p$  value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for tongue tumors in Sprague-Dawley male rats employing administered dose as a dose metric**



15:53 01/23 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_TONGUE_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_TONGUE_DW.plt
Fri Jan 23 15:53:59 2009
=====
```

~~~~~  
BMDS MODEL RUN
~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0269073  
 Beta(1) = 0.00434306

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.69   |
| Beta(1)    | -0.69      | 1       |

#### Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0162768  | *         | *                              | *                 |
| Beta(1)    | 0.00557883 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -43.4536        | 4         |          |           |         |
| Fitted model  | -43.8112        | 2         | 0.715245 | 2         | 0.6993  |
| Reduced model | -46.7384        | 1         | 6.56959  | 3         | 0.08696 |
| AIC:          | 91.6224         |           |          |           |         |

#### Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0163     | 1.302    | 1        | 80   | -0.267          |
| 3.4200  | 0.0349     | 1.639    | 2        | 47   | 0.287           |
| 8.5300  | 0.0620     | 2.976    | 4        | 48   | 0.613           |
| 21.2000 | 0.1260     | 6.048    | 5        | 48   | -0.456          |

Chi^2 = 0.74      d.f. = 2      P-value = 0.6916

#### Benchmark Dose Computation

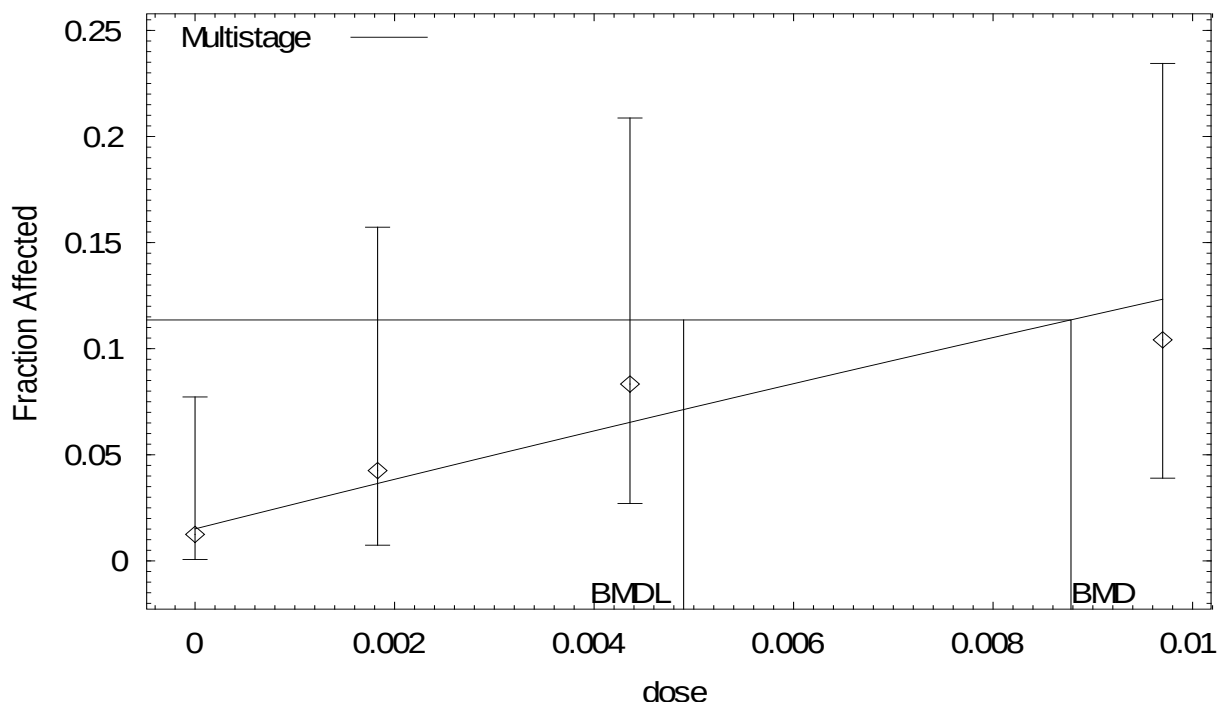
Specified effect = 0.1  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 18.8858  
 BMDL = 10.3512  
 BMDU = 62.8005

Taken together, (10.3512, 62.8005) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.00966069

# BMDS (version 1.4.1) output for tongue tumors in Sprague-Dawley male rats employing CEO in blood as an internal dose metric

Multistage Model with 0.95 Confidence Level



14:19 09/27 2007

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=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_TONGUE_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_TONGUE_BLOOD_CEO.plt
Thu Sep 27 14:19:57 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta}1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0241886  
Beta(1) = 9.76289

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.7    |
| Beta(1)    | -0.7       | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0150764 | *         | *                              | *                 |
| Beta(1)    | 11.9992   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -43.4536        | 4         |          |           |         |
| Fitted model  | -43.6998        | 2         | 0.492394 | 2         | 0.7818  |
| Reduced model | -46.7384        | 1         | 6.56959  | 3         | 0.08696 |
| AIC:          | 91.3995         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0151     | 1.206    | 1        | 80   | -0.189          |
| 0.0018 | 0.0365     | 1.714    | 2        | 47   | 0.223           |
| 0.0044 | 0.0653     | 3.133    | 4        | 48   | 0.506           |
| 0.0097 | 0.1233     | 5.918    | 5        | 48   | -0.403          |

Chi^2 = 0.50      d.f. = 2      P-value = 0.7772

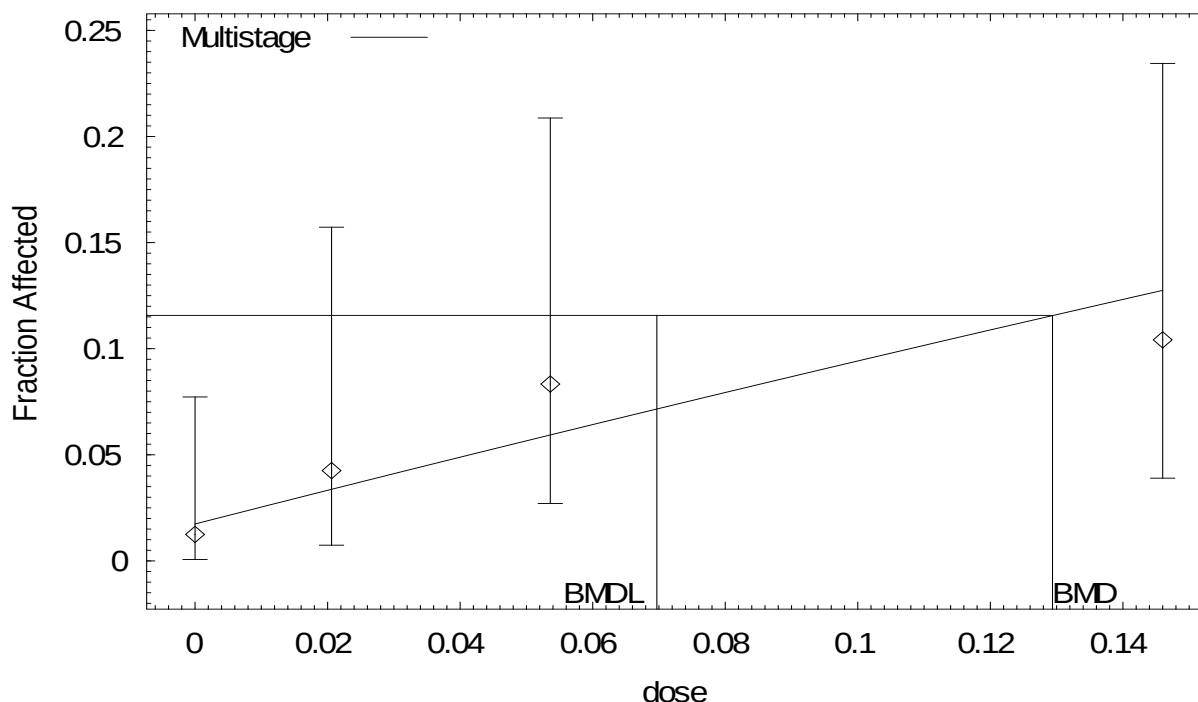
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00878063  
BMDL = 0.00489829  
BMDU = 0.0274259

Taken together, (0.00489829, 0.0274259) is a 90 % two-sided confidence interval for the BMD

# **BMDs (version 1.4.1) output for tongue tumors in Sprague-Dawley male rats employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



10:47 09/27 2007

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=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_MALE_TONGUE_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_MALE_TONGUE_BLOOD_AN.plt
Thu Sep 27 10:47:13 2007
=====
```

## BMDs MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta}1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008



# Default Initial Parameter Values

Background = 0.0289604  
Beta(1) = 0.615459

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.68   |
| Beta(1)    | -0.68      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0174494 | *         | *                              | *                 |
| Beta(1)    | 0.814352  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -43.4536        | 4         |          |           |         |
| Fitted model  | -43.9131        | 2         | 0.919024 | 2         | 0.6316  |
| Reduced model | -46.7384        | 1         | 6.56959  | 3         | 0.08696 |
| AIC:          | 91.8261         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0174     | 1.396    | 1        | 80   | -0.338          |
| 0.0206 | 0.0338     | 1.588    | 2        | 47   | 0.332           |
| 0.0536 | 0.0594     | 2.852    | 4        | 48   | 0.701           |
| 0.1460 | 0.1276     | 6.124    | 5        | 48   | -0.486          |

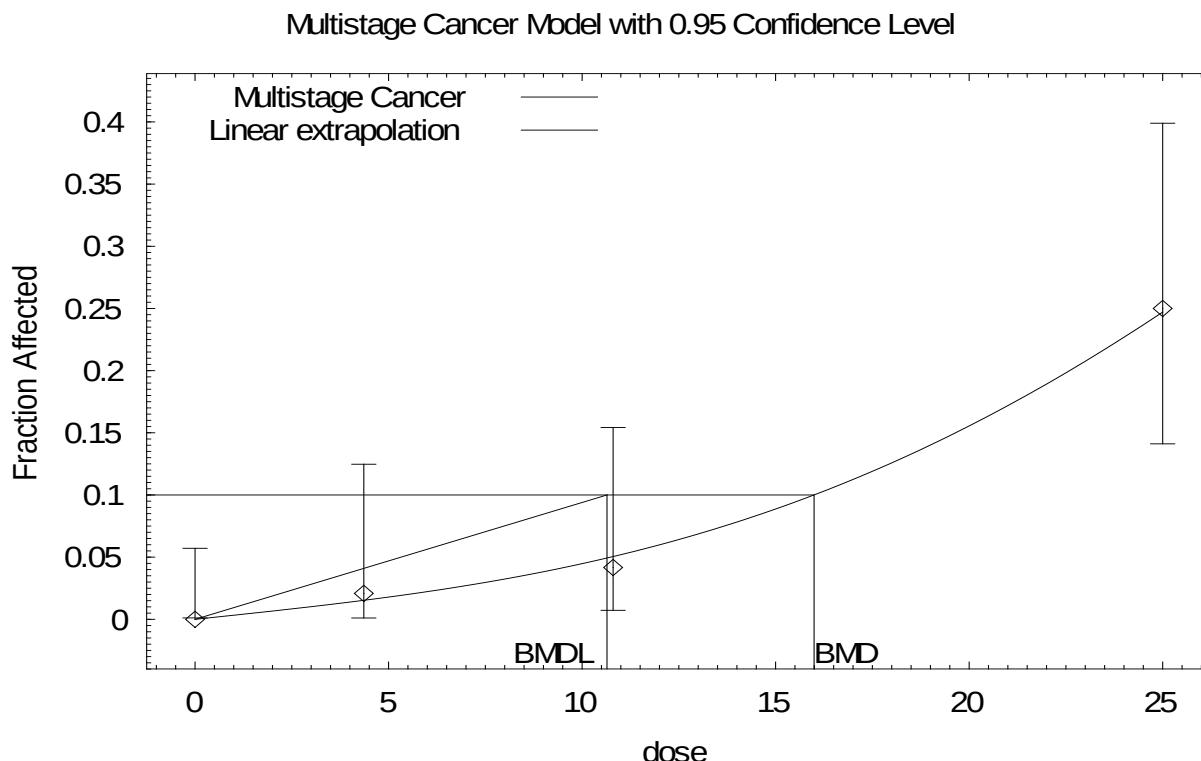
Chi^2 = 0.95      d.f. = 2      P-value = 0.6210

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.12938  
BMDL = 0.0696872  
BMDU = 0.4562

Taken together, (0.0696872, 0.4562 ) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for tongue tumors in Sprague-Dawley female rats employing administered dose as a dose metric**



16:26 01/23 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_FEMALE_TONGUE_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_TONGUE_DW.plt
Fri Jan 23 16:26:08 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2 - \text{beta3} * \text{dose}^3)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 4  
Total number of specified parameters = 0  
Degree of polynomial = 3

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

```

Background = 0.00365935
Beta(1) = 0.00218662
Beta(2) = 0
Beta(3) = 1.46642e-005
    
```

## Asymptotic Correlation Matrix of Parameter Estimates

```

( *** The model parameter(s) -Background -Beta(2)
      have been estimated at a boundary point, or have been specified by the user,
      and do not appear in the correlation matrix )

      Beta(1)      Beta(3)
Beta(1)      1      -0.93
Beta(3)     -0.93      1
    
```

## Parameter Estimates

| Variable   | Estimate     | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|--------------|-----------|--------------------------------|-------------------|
|            |              |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0            | *         | *                              | *                 |
| Beta(1)    | 0.00329024   | *         | *                              | *                 |
| Beta(2)    | 0            | *         | *                              | *                 |
| Beta(3)    | 1.28922e-005 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -40.1666        | 4         |          |           |         |
| Fitted model  | -40.2528        | 2         | 0.172251 | 2         | 0.9175  |
| Reduced model | -55.0401        | 1         | 29.7469  | 3         | <.0001  |

AIC: 84.5055

## Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0000     | 0.000    | 0        | 80   | 0.000           |
| 4.3600  | 0.0153     | 0.734    | 1        | 48   | 0.313           |
| 10.8000 | 0.0505     | 2.422    | 2        | 48   | -0.278          |
| 25.0000 | 0.2470     | 11.856   | 12       | 48   | 0.048           |

Chi^2 = 0.18      d.f. = 2      P-value = 0.9151

## Benchmark Dose Computation

```

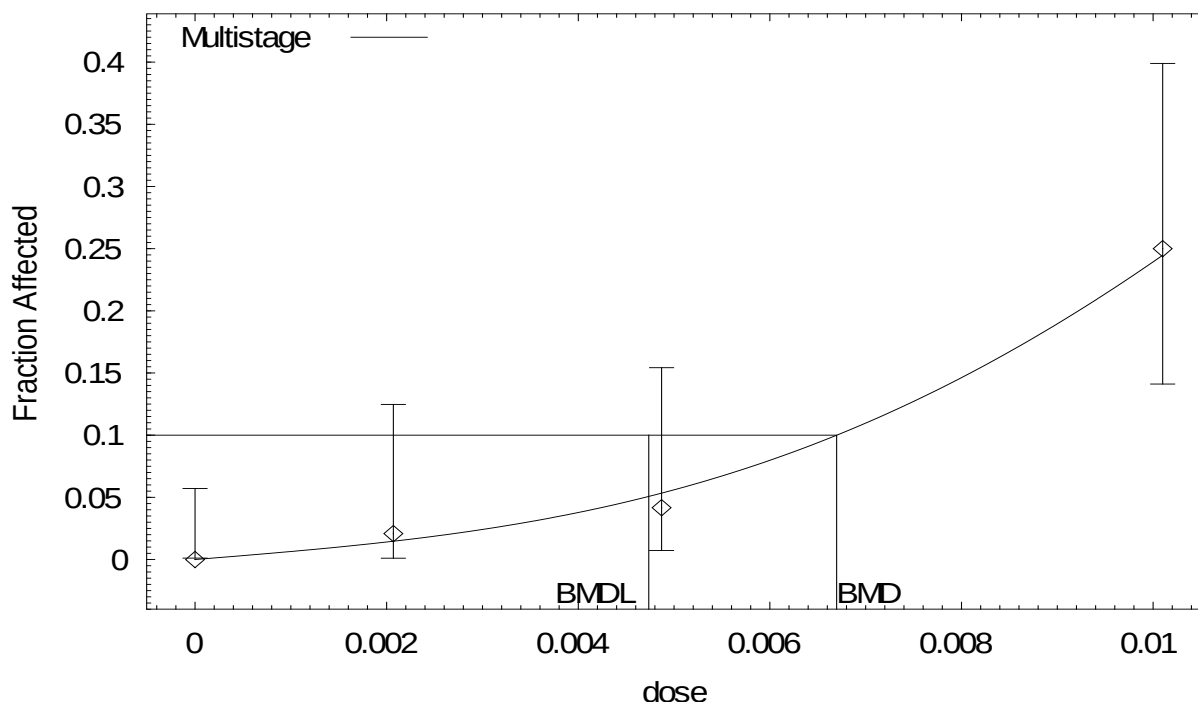
Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
      BMD = 15.9932
      BMDL = 10.6421
      BMDU = 19.9332
    
```

Taken together, (10.6421, 19.9332) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.00939663

**BMDS (version 1.4.1) output for tongue tumors in Sprague-Dawley female rats employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



14:49 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_TONGUE_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_TONGUE_BLOOD_CEO.plt
Thu Sep 27 14:49:16 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2 - \text{beta3} * \text{dose}^3)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 4  
Total number of specified parameters = 0  
Degree of polynomial = 3

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values  
Background = 0.0043768  
Beta(1) = 2.92561  
Beta(2) = 0  
Beta(3) = 245868

# Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(2)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|         | Beta(1) | Beta(3) |
|---------|---------|---------|
| Beta(1) | 1       | -0.92   |
| Beta(3) | -0.92   | 1       |

## Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 6.26329  | *         | *                              | *                 |
| Beta(2)    | 0        | *         | *                              | *                 |
| Beta(3)    | 211165   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -40.1666        | 4         |          |           |         |
| Fitted model  | -40.2953        | 2         | 0.257381 | 2         | 0.8792  |
| Reduced model | -55.0401        | 1         | 29.7469  | 3         | <.0001  |
| AIC:          | 84.5907         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 80   | 0.000           |
| 0.0021 | 0.0147     | 0.707    | 1        | 48   | 0.351           |
| 0.0049 | 0.0534     | 2.564    | 2        | 48   | -0.362          |
| 0.0101 | 0.2448     | 11.752   | 12       | 48   | 0.083           |

Chi^2 = 0.26      d.f. = 2      P-value = 0.8776

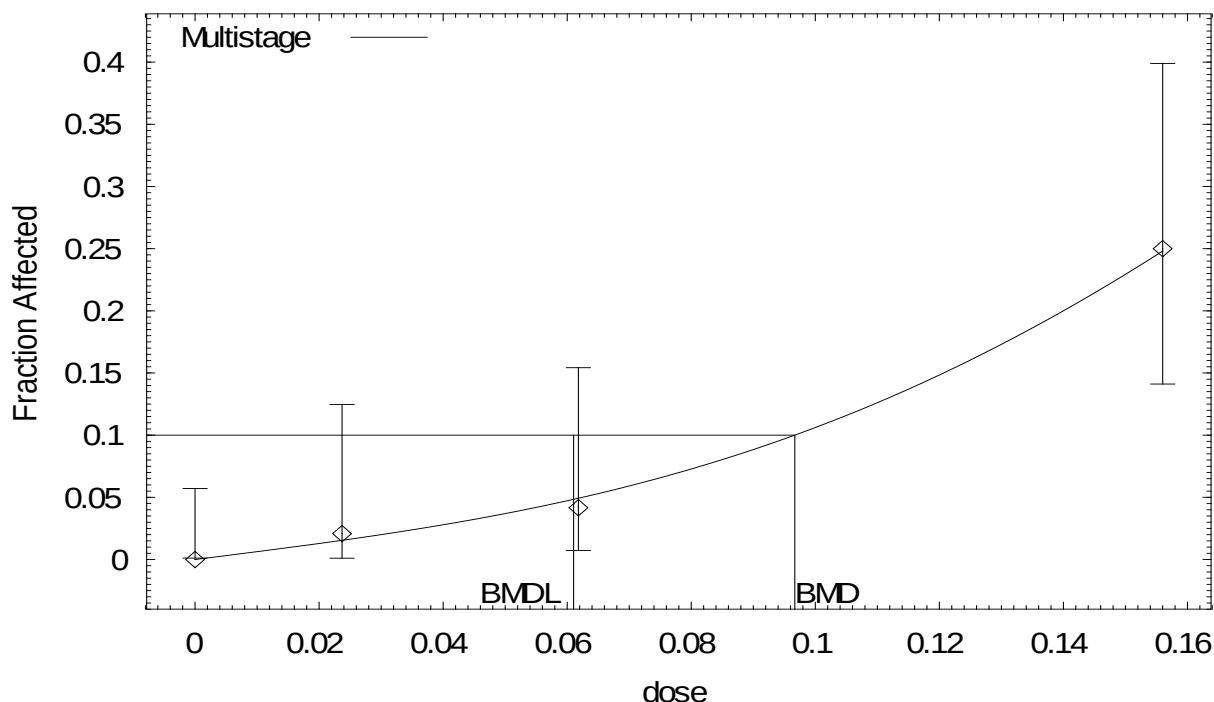
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00669671  
BMDL = 0.00473574  
BMDU = 0.00818609

Taken together, (0.00473574, 0.00818609) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for tongue tumors in Sprague-Dawley female rats employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



10:57 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_TONGUE_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_TONGUE_BLOOD_AN.plt
Thu Sep 27 10:57:41 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2 - \text{beta3} * \text{dose}^3)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 4  
Total number of specified parameters = 0  
Degree of polynomial = 3

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values  
Background = 0.0034253  
Beta(1) = 0.462467

Beta(2) = 0  
Beta(3) = 55.8325

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(2)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|         | Beta(1) | Beta(3) |
|---------|---------|---------|
| Beta(1) | 1       | -0.93   |
| Beta(3) | -0.93   | 1       |

#### Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 0.629858 | *         | *                              | *                 |
| Beta(2)    | 0        | *         | *                              | *                 |
| Beta(3)    | 49.1667  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -40.1666        | 4         |          |           |         |
| Fitted model  | -40.2395        | 2         | 0.145677 | 2         | 0.9298  |
| Reduced model | -55.0401        | 1         | 29.7469  | 3         | <.0001  |

AIC: 84.479

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 80   | 0.000           |
| 0.0237 | 0.0155     | 0.742    | 1        | 48   | 0.302           |
| 0.0618 | 0.0493     | 2.365    | 2        | 48   | -0.244          |
| 0.1560 | 0.2479     | 11.900   | 12       | 48   | 0.033           |

Chi^2 = 0.15 d.f. = 2 P-value = 0.9271

#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0966976  
BMDL = 0.0610292  
BMDU = 0.122886

Taken together, (0.0610292, 0.122886) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: Mammary Gland** (Quast, 2002; Quast et al., 1980a)

**Table B-17. Incidence of mammary gland tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of mammary gland tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|------------------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                                |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 58/80 (72%)                                    |
|        | 35                                               | 4.36                                                       | $2.37 \times 10^{-2}$           | $2.07 \times 10^{-3}$   | 42/47 (89%) <sup>c</sup>                       |
|        | 100                                              | 10.8                                                       | $6.18 \times 10^{-2}$           | $4.87 \times 10^{-3}$   | 42/48 (88%) <sup>c</sup>                       |
|        | 300                                              | 25.0                                                       | $1.56 \times 10^{-1}$           | $1.01 \times 10^{-2}$   | 35/48 (73%)                                    |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>c</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-18. Summary of BMD modeling results based on incidence of mammary gland tumors in Sprague-Dawley rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ $p$ -value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|----------------------------------|--------|--------------------------------|---------------------------------|
| <i>Females</i>    |                             |                                  |        |                                |                                 |
| Administered dose | 1°MS <sup>e</sup>           | 0.25                             | 171.81 | 1.22 mg/kg-d                   | 0.66 mg/kg-d                    |
| CEO               | 1°MS <sup>e</sup>           | 0.27                             | 171.69 | $5.50 \times 10^{-4}$ mg/L     | $2.98 \times 10^{-4}$ mg/L      |
| AN                | 1°MS <sup>e</sup>           | 0.24                             | 171.93 | $7.05 \times 10^{-3}$ mg/L     | $3.77 \times 10^{-3}$ mg/L      |

<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model.

<sup>b</sup> $p$  value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

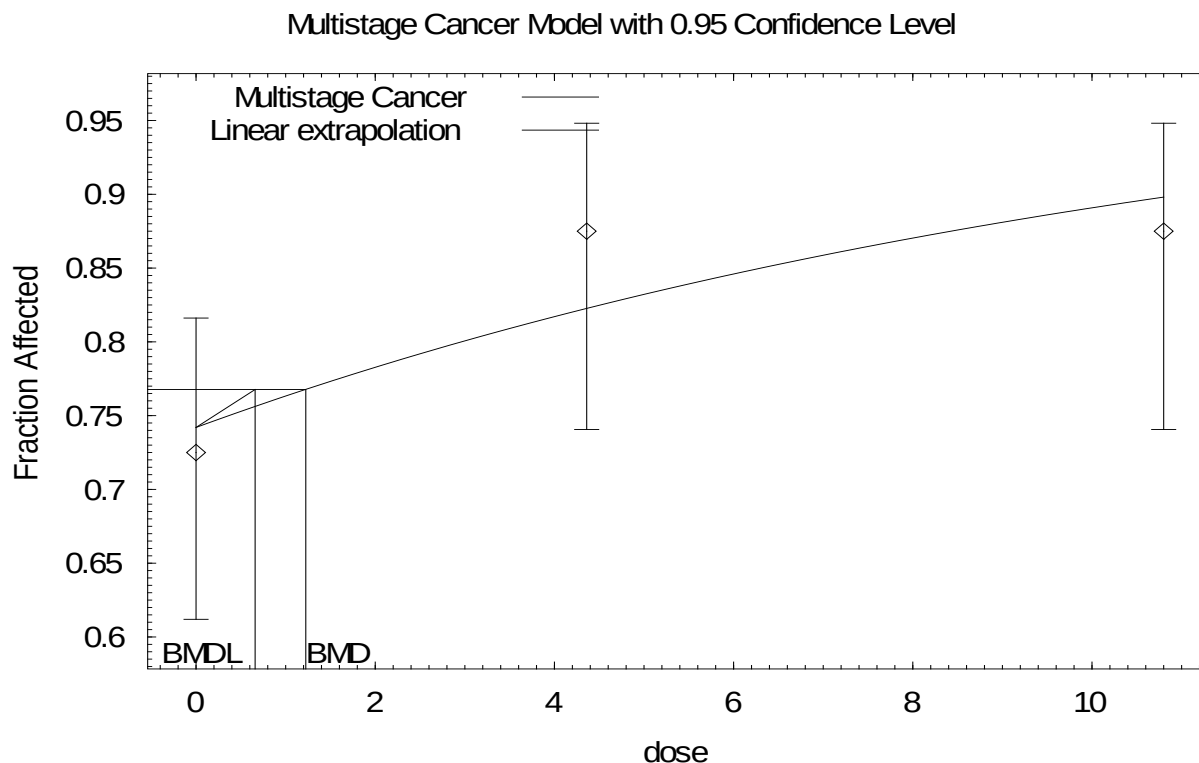
<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

<sup>e</sup>Highest dose dropped prior to model fitting.



**BMDS (version 1.4.1) output for mammary gland tumors in Sprague-Dawley female rats  
employing administered dose as a dose metric**



16:34 01/23 2009

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=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\SD_FEMALE_MAMMARY_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_MAMMARY_DW.plt
Fri Jan 23 16:34:25 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.771359  
Beta(1) = 0.0674842

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.58   |
| Beta(1)    | -0.58      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.741898  | *         | *                              | *                 |
| Beta(1)    | 0.0860351 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -83.2234        | 3         |          |           |         |
| Fitted model  | -83.9062        | 2         | 1.36543  | 1         | 0.2426  |
| Reduced model | -86.3815        | 1         | 6.31609  | 2         | 0.04251 |
| AIC:          | 171.812         |           |          |           |         |

## Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.7419     | 59.352   | 58       | 80   | -0.345          |
| 4.3600  | 0.8226     | 39.486   | 42       | 48   | 0.950           |
| 10.8000 | 0.8981     | 43.108   | 42       | 48   | -0.529          |

Chi^2 = 1.30 d.f. = 1 P-value = 0.2540

## Benchmark Dose Computation

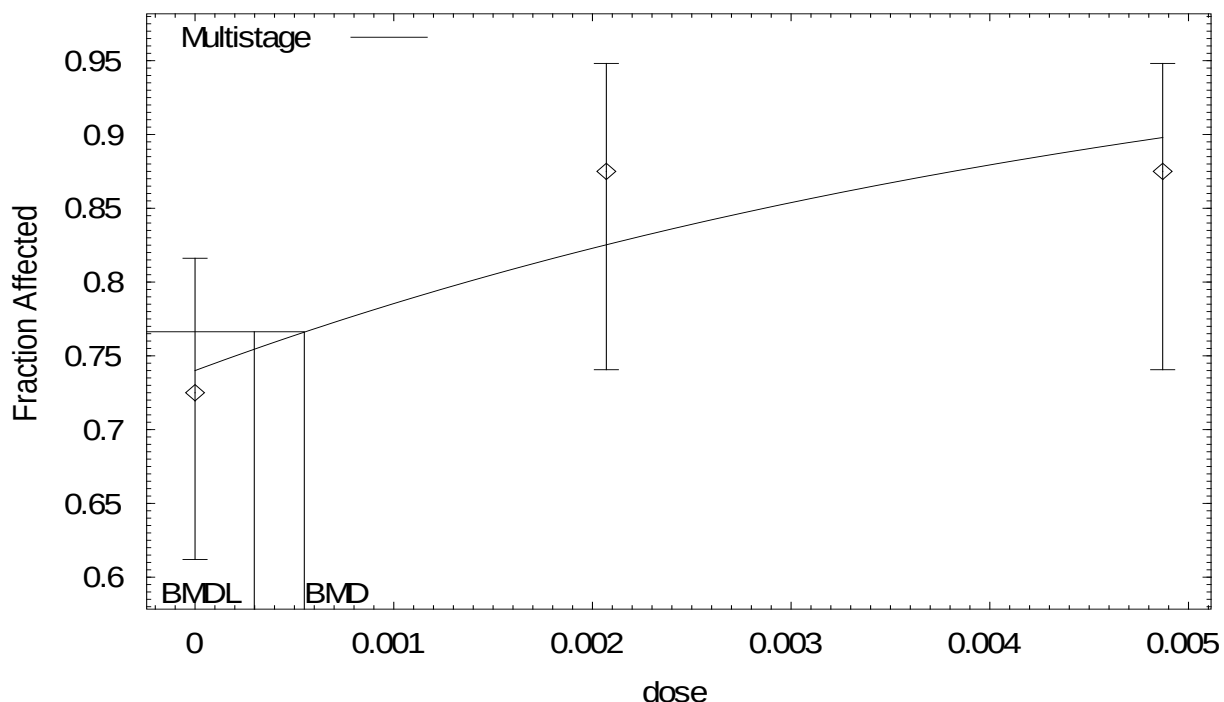
Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 1.22462  
BMDL = 0.659237  
BMDU = 4.94647

Taken together, (0.659237, 4.94647) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.151691

**BMDS (version 1.4.1) output for mammary gland tumors in Sprague-Dawley female rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



14:53 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_MAMMARY_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_MAMMARY_BLOOD_CEO.plt
Thu Sep 27 14:53:05 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.768564  
Beta(1) = 152.668

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.58   |
| Beta(1)    | -0.58      | 1       |

## Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.740346 | *         | *                              | *                 |
| Beta(1)    | 191.729  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -83.2234        | 3         |          |           |         |
| Fitted model  | -83.8471        | 2         | 1.24732  | 1         | 0.2641  |
| Reduced model | -86.3815        | 1         | 6.31609  | 2         | 0.04251 |
| AIC:          | 171.694         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.7403     | 59.228   | 58       | 80   | -0.313          |
| 0.0021 | 0.8254     | 39.619   | 42       | 48   | 0.905           |
| 0.0049 | 0.8979     | 43.101   | 42       | 48   | -0.525          |

Chi^2 = 1.19      d.f. = 1      P-value = 0.2748

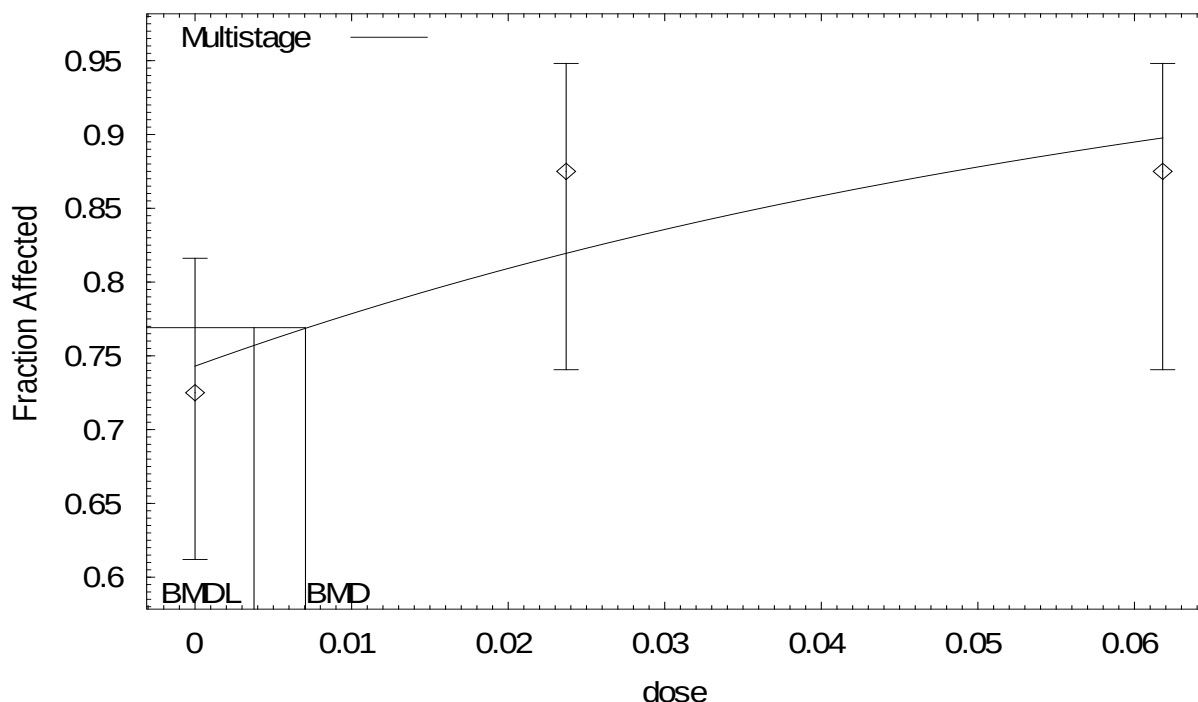
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.000549528  
BMDL = 0.000298184  
BMDU = 0.00214159

Taken together, (0.000298184, 0.00214159) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for mammary gland tumors in Sprague-Dawley female rats  
employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



11:00 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_MAMMARY_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\SD_FEMALE_MAMMARY_BLOOD_AN.plt
Thu Sep 27 11:00:59 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.773999  
Beta(1) = 11.5581

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.58   |
| Beta(1)    | -0.58      | 1       |

#### Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.743458 | *         | *                              | *                 |
| Beta(1)    | 14.9428  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -83.2234        | 3         |          |           |         |
| Fitted model  | -83.9649        | 2         | 1.48294  | 1         | 0.2233  |
| Reduced model | -86.3815        | 1         | 6.31609  | 2         | 0.04251 |
| AIC:          | 171.93          |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.7435     | 59.477   | 58       | 80   | -0.378          |
| 0.0237 | 0.8200     | 39.358   | 42       | 48   | 0.992           |
| 0.0618 | 0.8981     | 43.110   | 42       | 48   | -0.529          |

Chi^2 = 1.41      d.f. = 1      P-value = 0.2354

#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00705091  
BMDL = 0.00376506  
BMDU = 0.0295793

Taken together, (0.00376506, 0.0295793) is a 90 % two-sided confidence interval for the BMD

**F344 Rats** (Johannsen and Levinskas, 2002b; Biodynamics, 1980b)

**Tumor Site: Forestomach**

**Table B-19. Incidence of forestomach (nonglandular) tumors in F344 rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of forestomach tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|----------------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                              |
| Male   | 0                                                | 0                                                          | 0                               | 0                       | 0/159 (0%)                                   |
|        | 1                                                | 0.08                                                       | $4.33 \times 10^{-4}$           | $4.06 \times 10^{-5}$   | 1/80 (1%)                                    |
|        | 3                                                | 0.25                                                       | $1.35 \times 10^{-3}$           | $1.27 \times 10^{-4}$   | 4/78 (5%) <sup>c</sup>                       |
|        | 10                                               | 0.83                                                       | $4.52 \times 10^{-3}$           | $4.19 \times 10^{-4}$   | 3/80 (4%) <sup>c</sup>                       |
|        | 30                                               | 2.48                                                       | $1.37 \times 10^{-2}$           | $1.23 \times 10^{-3}$   | 4/80 (5%) <sup>c</sup>                       |
|        | 100                                              | 8.37                                                       | $4.85 \times 10^{-2}$           | $3.97 \times 10^{-3}$   | 1/77 (1%)                                    |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 0/157 (0%)                                   |
|        | 1                                                | 0.12                                                       | $5.73 \times 10^{-4}$           | $5.32 \times 10^{-5}$   | 1/80 (1%)                                    |
|        | 3                                                | 0.36                                                       | $1.72 \times 10^{-3}$           | $1.59 \times 10^{-4}$   | 2/79 (3%)                                    |
|        | 10                                               | 1.25                                                       | $6.02 \times 10^{-3}$           | $5.49 \times 10^{-4}$   | 2/77 (3%)                                    |
|        | 30                                               | 3.65                                                       | $1.79 \times 10^{-2}$           | $1.58 \times 10^{-3}$   | 4/80 (5%) <sup>c</sup>                       |
|        | 100                                              | 10.90                                                      | $5.63 \times 10^{-2}$           | $4.46 \times 10^{-3}$   | 2/75 (3%)                                    |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for F344 rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>c</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-20. Summary of BMD modeling results based on incidence of forestomach (nonglandular) tumors in F344 rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ <i>p</i> -value <sup>b</sup> | AIC   | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|---------------------------------------|-------|--------------------------------|---------------------------------|
| <i>Males</i>      |                             |                                       |       |                                |                                 |
| Administered dose | 1°MS <sup>e</sup>           | 0.18                                  | 74.08 | 1.19 mg/kg-d                   | 0.70 mg/kg-d                    |
| CEO               | 1°MS <sup>e</sup>           | 0.19                                  | 74.04 | $6.03 \times 10^{-4}$ mg/L     | $3.55 \times 10^{-4}$ mg/L      |
| AN                | 1°MS <sup>e</sup>           | 0.18                                  | 74.12 | $6.48 \times 10^{-3}$ mg/L     | $3.81 \times 10^{-3}$ mg/L      |
| <i>Females</i>    |                             |                                       |       |                                |                                 |
| Administered dose | 1°MS <sup>f</sup>           | 0.83                                  | 96.64 | 8.43 mg/kg-d                   | 3.89 mg/kg-d                    |
| CEO               | 1°MS <sup>f</sup>           | 0.83                                  | 96.62 | $3.65 \times 10^{-3}$ mg/L     | $1.69 \times 10^{-3}$ mg/L      |
| AN                | 1°MS <sup>f</sup>           | 0.82                                  | 96.65 | $4.13 \times 10^{-2}$ mg/L     | $1.90 \times 10^{-2}$ mg/L      |

<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model.

<sup>b</sup>*p* value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

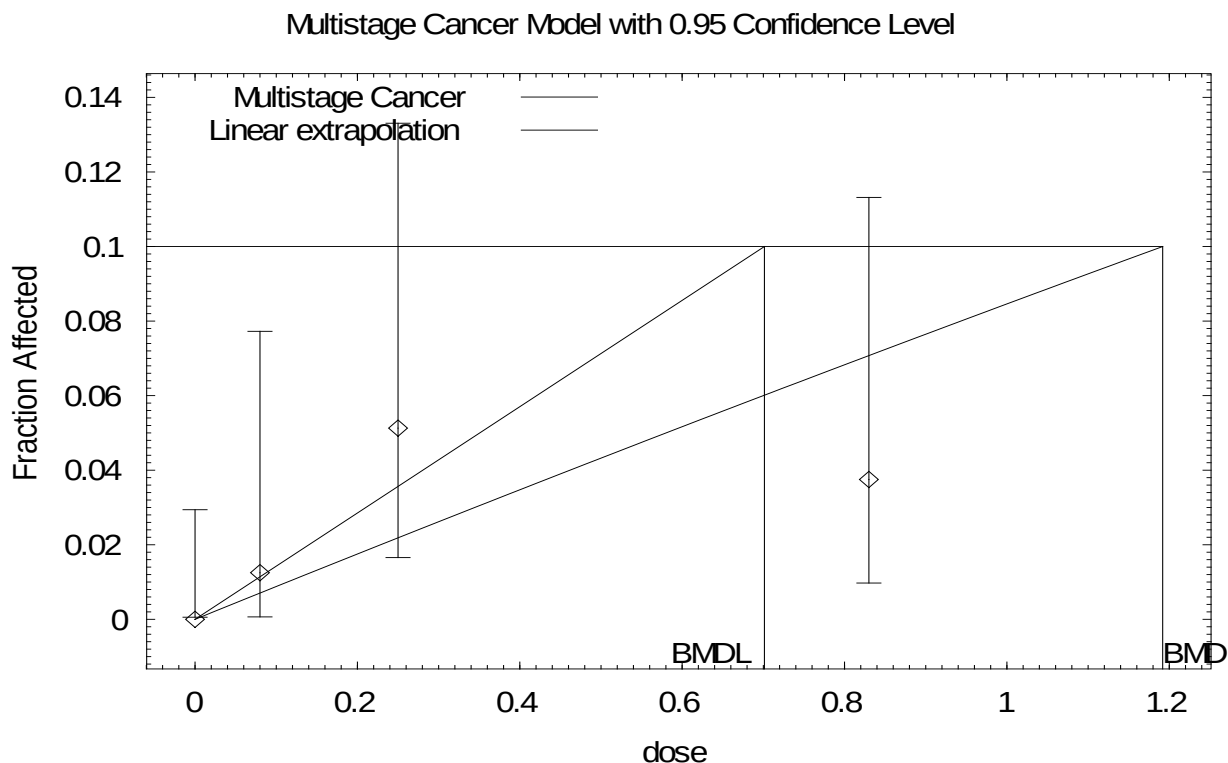
<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

<sup>e</sup>Two highest doses dropped prior to model fitting.

<sup>f</sup>Highest dose dropped prior to model fitting.



**BMDS (version 1.4.1) output for forestomach tumors in F344 male rats employing  
administered dose as a dose metric**



14:29 01/26 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_MALE_FORESTOMACH_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_FORESTOMACH_DW.plt
Mon Jan 26 14:29:05 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0148134  
Beta(1) = 0.0377128

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0         | *         | *                              | *                 |
| Beta(1)    | 0.0883999 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -33.9463        | 4         |          |           |         |
| Fitted model  | -36.0377        | 1         | 4.18282  | 3         | 0.2424  |
| Reduced model | -39.1548        | 1         | 10.417   | 3         | 0.01533 |

AIC: 74.0755

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 159  | 0.000           |
| 0.0800 | 0.0070     | 0.564    | 1        | 80   | 0.583           |
| 0.2500 | 0.0219     | 1.705    | 4        | 78   | 1.777           |
| 0.8300 | 0.0707     | 5.660    | 3        | 80   | -1.160          |

Chi^2 = 4.84 d.f. = 3 P-value = 0.1836

## Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 1.19186

BMDL = 0.701326

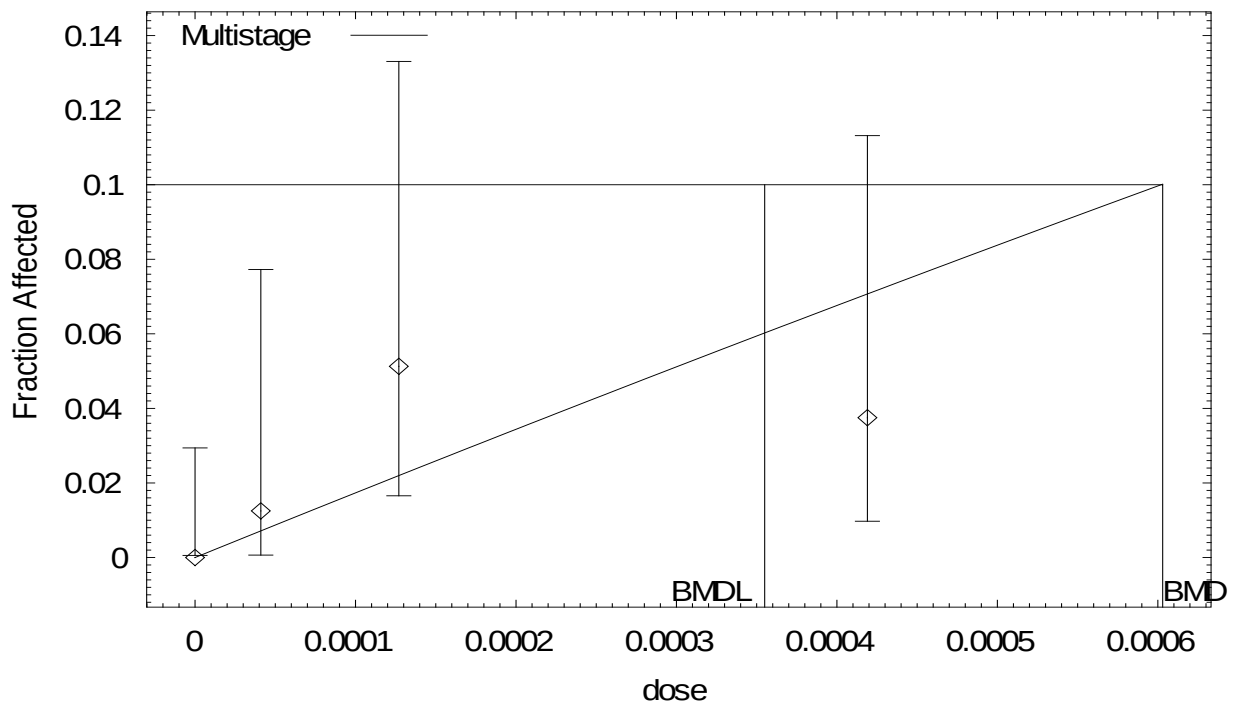
BMDU = 3.81474

Taken together, (0.701326, 3.81474) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.142587

**BMDS (version 1.4.1) output for forestomach tumors in F344 male rats employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



14:57 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_FORESTOMACH_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_FORESTOMACH_BLOOD_CEO.plt
Thu Sep 27 14:57:13 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0147622  
Beta(1) = 74.9311

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

## Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 174.815  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -33.9463        | 4         |          |           |         |
| Fitted model  | -36.0209        | 1         | 4.14909  | 3         | 0.2458  |
| Reduced model | -39.1548        | 1         | 10.417   | 3         | 0.01533 |

AIC: 74.0417

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 159  | 0.000           |
| 0.0000 | 0.0071     | 0.566    | 1        | 80   | 0.579           |
| 0.0001 | 0.0220     | 1.713    | 4        | 78   | 1.767           |
| 0.0004 | 0.0706     | 5.650    | 3        | 80   | -1.157          |

Chi^2 = 4.80 d.f. = 3 P-value = 0.1873

## Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 0.000602696

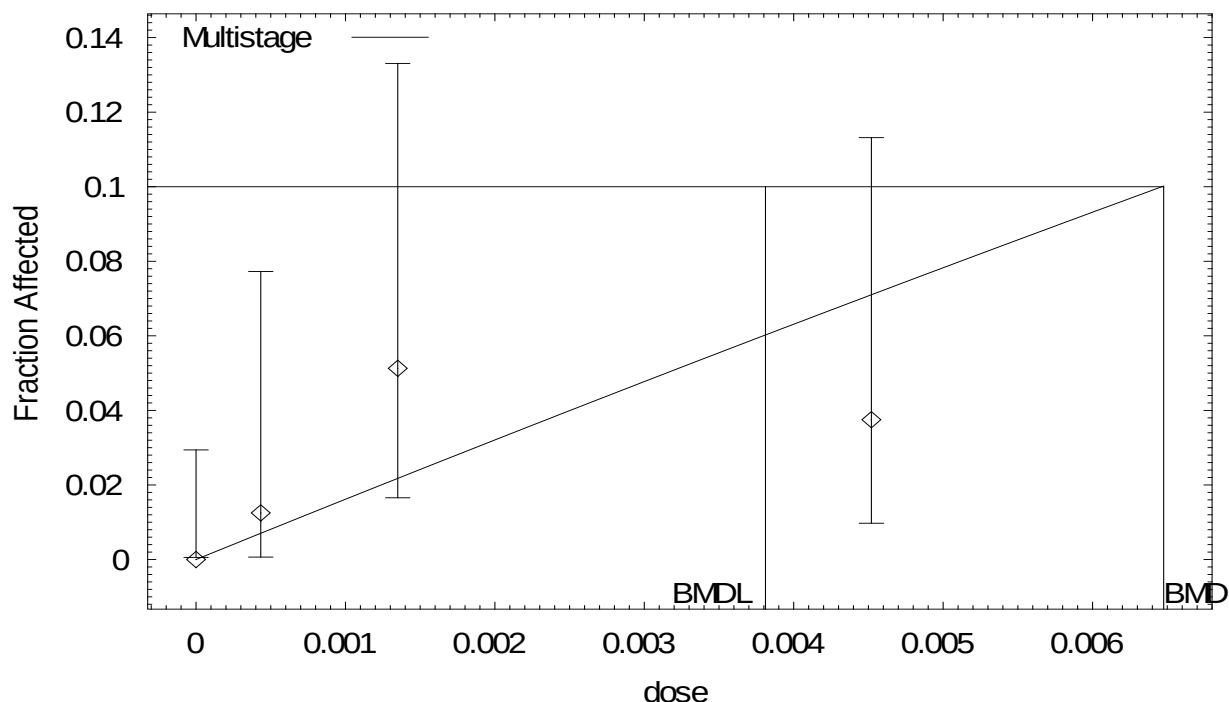
BMDL = 0.000354643

BMDU = 0.00191036

Taken together, (0.000354643, 0.00191036) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for forestomach tumors in F344 male rats employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



11:04 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_FORESTOMACH_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_FORESTOMACH_BLOOD_AN.plt
Thu Sep 27 11:04:04 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0148807  
Beta(1) = 6.89727

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

#### Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 16.2684  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -33.9463        | 4         |          |           |         |
| Fitted model  | -36.0601        | 1         | 4.22747  | 3         | 0.2379  |
| Reduced model | -39.1548        | 1         | 10.417   | 3         | 0.01533 |
| AIC:          | 74.1201         |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 159  | 0.000           |
| 0.0004 | 0.0070     | 0.562    | 1        | 80   | 0.587           |
| 0.0014 | 0.0217     | 1.694    | 4        | 78   | 1.791           |
| 0.0045 | 0.0709     | 5.672    | 3        | 80   | -1.164          |

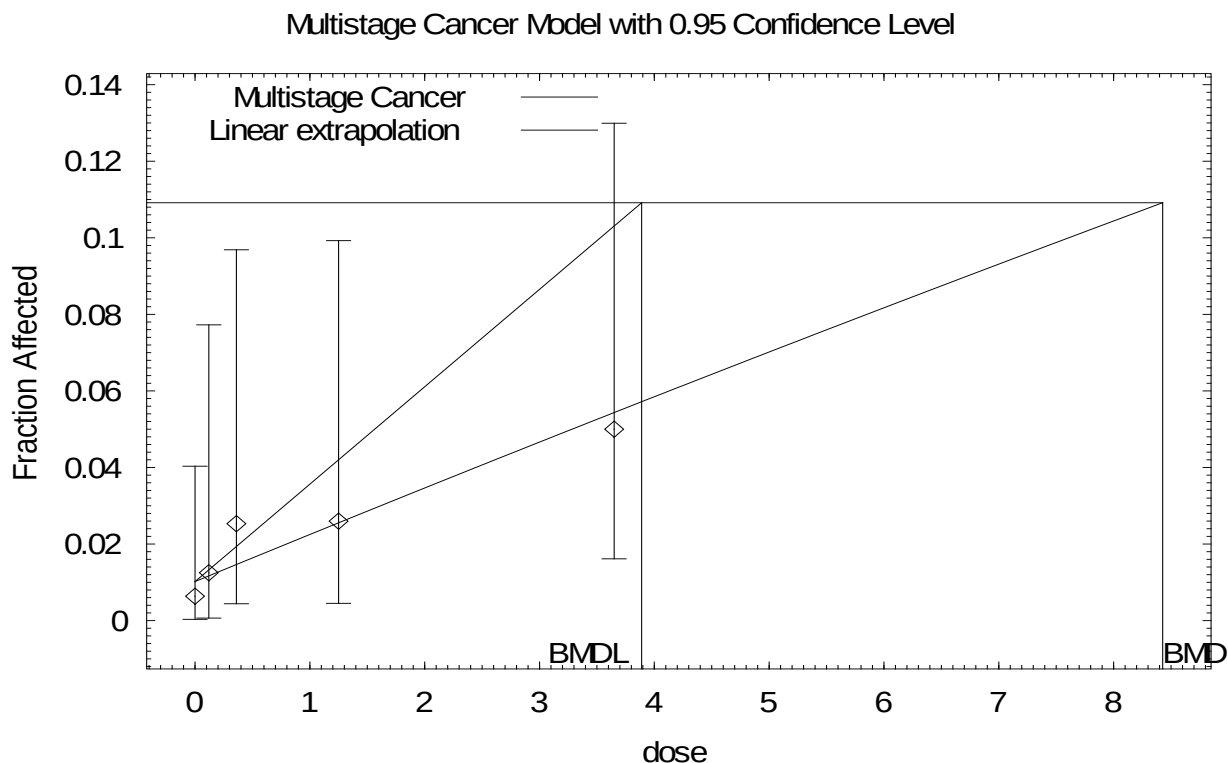
Chi^2 = 4.91 d.f. = 3 P-value = 0.1788

#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00647638  
BMDL = 0.00381089  
BMDU = 0.0209986

Taken together, (0.00381089, 0.0209986) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for forestomach tumors in F344 female rats employing  
administered dose as a dose metric**



14:49 01/26 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_FORESTOMACH_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_FORESTOMACH_DW.plt
Mon Jan 26 14:49:27 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 5  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0127929  
Beta(1) = 0.0107517

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.58   |
| Beta(1)    | -0.58      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0101696 | *         | *                              | *                 |
| Beta(1)    | 0.0125023 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -45.9122        | 5         |          |           |         |
| Fitted model  | -46.3178        | 2         | 0.81118  | 3         | 0.8468  |
| Reduced model | -48.4586        | 1         | 5.09287  | 4         | 0.2779  |
| AIC:          | 96.6356         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0102     | 1.597    | 1        | 157  | -0.475          |
| 0.1200 | 0.0117     | 0.932    | 1        | 80   | 0.071           |
| 0.3600 | 0.0146     | 1.155    | 2        | 79   | 0.793           |
| 1.2500 | 0.0255     | 1.965    | 2        | 77   | 0.025           |
| 3.6500 | 0.0543     | 4.346    | 4        | 80   | -0.171          |

Chi^2 = 0.89      d.f. = 3      P-value = 0.8283

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 8.42727  
BMDL = 3.88972  
BMDU = 48.9918

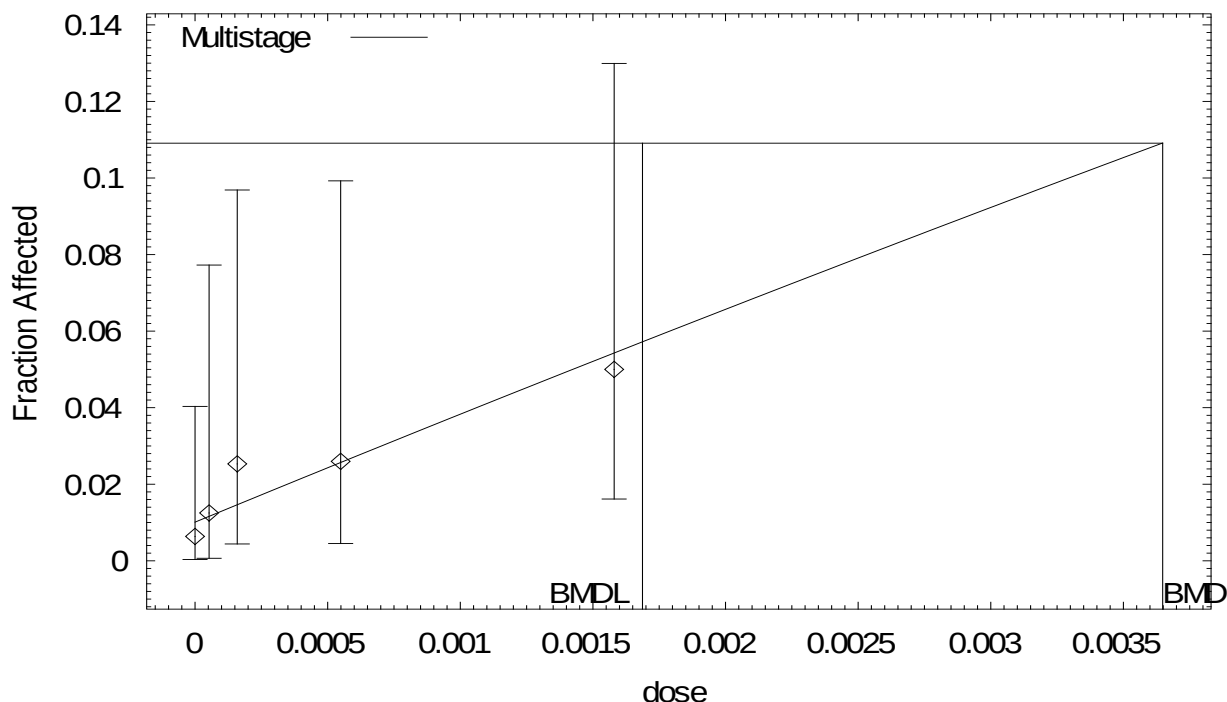
Taken together, (3.88972, 48.9918) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0257088



**BMDS (version 1.4.1) output for forestomach tumors in F344 female rats employing CEO  
in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:07 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_FORESTOMACH_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_FORESTOMACH_BLOOD_CEO.plt
Thu Sep 27 15:07:15 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 5  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0127198  
Beta(1) = 24.8654

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.58   |
| Beta(1)    | -0.58      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0101112 | *         | *                              | *                 |
| Beta(1)    | 28.8833   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -45.9122        | 5         |          |           |         |
| Fitted model  | -46.3121        | 2         | 0.799693 | 3         | 0.8495  |
| Reduced model | -48.4586        | 1         | 5.09287  | 4         | 0.2779  |
| AIC:          | 96.6241         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0101     | 1.587    | 1        | 157  | -0.469          |
| 0.0001 | 0.0116     | 0.930    | 1        | 80   | 0.072           |
| 0.0002 | 0.0146     | 1.157    | 2        | 79   | 0.789           |
| 0.0005 | 0.0257     | 1.978    | 2        | 77   | 0.016           |
| 0.0016 | 0.0543     | 4.342    | 4        | 80   | -0.169          |

Chi^2 = 0.88      d.f. = 3      P-value = 0.8310

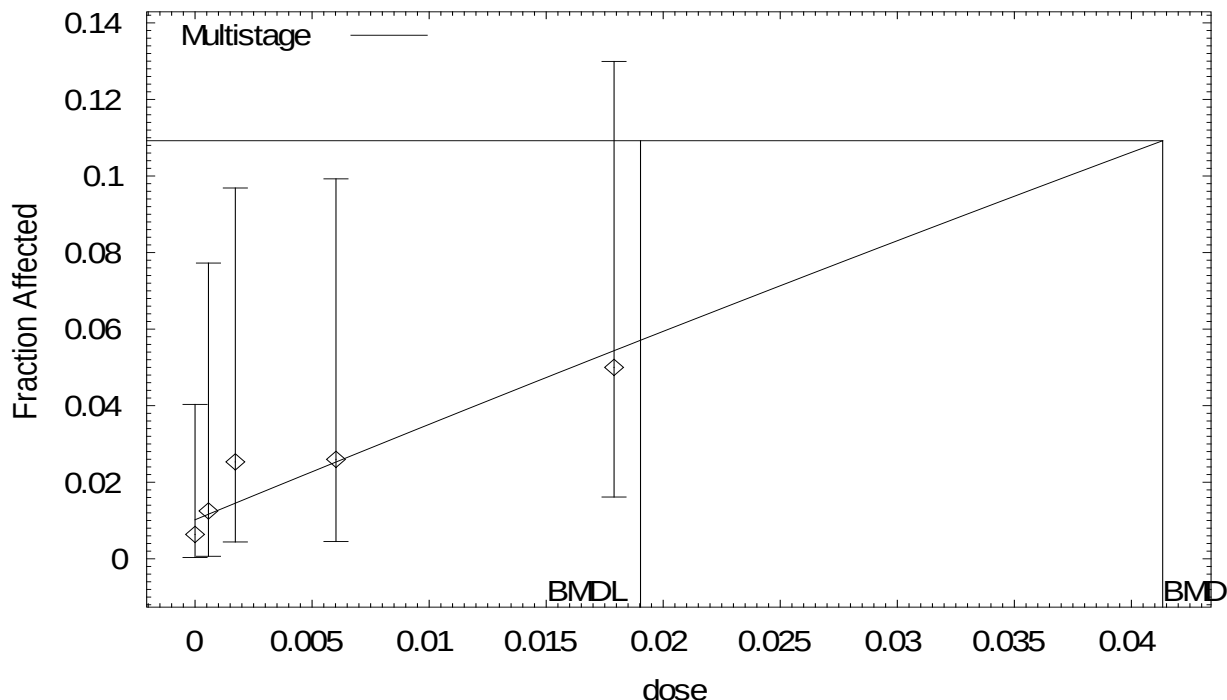
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0036478  
BMDL = 0.00168696  
BMDU = 1361.26

Taken together, (0.00168696, 1361.26) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for forestomach tumors in F344 female rats employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



11:30 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_FORESTOMACH_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_FORESTOMACH_BLOOD_AN.plt
Thu Sep 27 11:30:39 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 5  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0128833  
Beta(1) = 2.18924

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.58   |
| Beta(1)    | -0.58      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0102427 | *         | *                              | *                 |
| Beta(1)    | 2.54885   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -45.9122        | 5         |          |           |         |
| Fitted model  | -46.3252        | 2         | 0.826038 | 3         | 0.8432  |
| Reduced model | -48.4586        | 1         | 5.09287  | 4         | 0.2779  |
| AIC:          | 96.6505         |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0102     | 1.608    | 1        | 157  | -0.482          |
| 0.0006 | 0.0117     | 0.935    | 1        | 80   | 0.068           |
| 0.0017 | 0.0146     | 1.151    | 2        | 79   | 0.797           |
| 0.0060 | 0.0253     | 1.949    | 2        | 77   | 0.037           |
| 0.0179 | 0.0544     | 4.351    | 4        | 80   | -0.173          |

Chi^2 = 0.90      d.f. = 3      P-value = 0.8246

#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0413364  
BMDL = 0.0190324  
BMDU = 0.242436

Taken together, (0.0190324, 0.242436) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: CNS** (Johannsen and Levinskas, 2002b; Biodynamics, 1980b)

**Table B-21. Incidence of CNS tumors in F344 rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of CNS tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|--------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                      |
| Male   | 0                                                | 0                                                          | 0                               | 0                       | 0/160 (0%)                           |
|        | 1                                                | 0.08                                                       | $4.33 \times 10^{-4}$           | $4.06 \times 10^{-5}$   | 2/80 (3%)                            |
|        | 3                                                | 0.25                                                       | $1.35 \times 10^{-3}$           | $1.27 \times 10^{-4}$   | 1/78 (1%)                            |
|        | 10                                               | 0.83                                                       | $4.52 \times 10^{-3}$           | $4.19 \times 10^{-4}$   | 2/80 (3%)                            |
|        | 30                                               | 2.48                                                       | $1.37 \times 10^{-2}$           | $1.23 \times 10^{-3}$   | 10/79 (13%) <sup>c</sup>             |
|        | 100                                              | 8.37                                                       | $4.85 \times 10^{-2}$           | $3.97 \times 10^{-3}$   | 25/76 (33%) <sup>c</sup>             |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 1/157 (1%)                           |
|        | 1                                                | 0.12                                                       | $5.73 \times 10^{-4}$           | $5.32 \times 10^{-5}$   | 1/80 (1%)                            |
|        | 3                                                | 0.36                                                       | $1.72 \times 10^{-3}$           | $1.59 \times 10^{-4}$   | 2/80 (3%)                            |
|        | 10                                               | 1.25                                                       | $6.02 \times 10^{-3}$           | $5.49 \times 10^{-4}$   | 5/75 (7%)                            |
|        | 30                                               | 3.65                                                       | $1.79 \times 10^{-2}$           | $1.58 \times 10^{-3}$   | 6/80 (8%) <sup>c</sup>               |
|        | 100                                              | 10.90                                                      | $5.63 \times 10^{-2}$           | $4.46 \times 10^{-3}$   | 24/76 (32%) <sup>c</sup>             |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for F344 rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>c</sup>Significantly different from controls ( $p < 0.01$ ) as calculated by the study authors.

**Table B-22. Summary of BMD modeling results based on incidence of CNS tumors in F344 rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ p-value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|-------------------------------|--------|--------------------------------|---------------------------------|
| <b>Males</b>      |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.73                          | 240.57 | 2.41 mg/kg-d                   | 1.81 mg/kg-d                    |
| CEO               | 1°MS                        | 0.70                          | 240.75 | $1.16 \times 10^{-3}$ mg/L     | $8.74 \times 10^{-4}$ mg/L      |
| AN                | 1°MS                        | 0.74                          | 240.44 | $1.37 \times 10^{-2}$ mg/L     | $1.03 \times 10^{-2}$ mg/L      |
| <b>Females</b>    |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.68                          | 222.13 | 3.34 mg/kg-d                   | 2.52 mg/kg-d                    |
| CEO               | 1°MS                        | 0.66                          | 222.30 | $1.39 \times 10^{-3}$ mg/L     | $1.05 \times 10^{-3}$ mg/L      |
| AN                | 1°MS                        | 0.68                          | 222.04 | $1.70 \times 10^{-2}$ mg/L     | $1.28 \times 10^{-2}$ mg/L      |

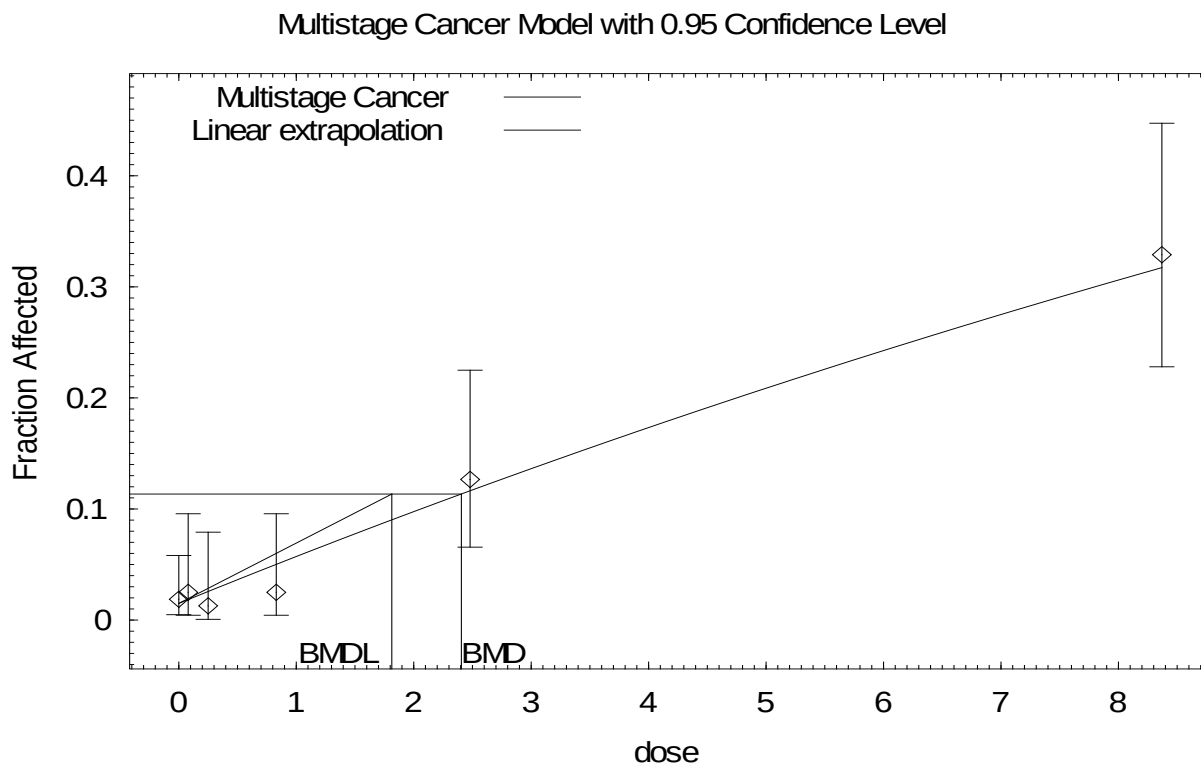
<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. "1°MS" indicates a one-stage multistage model.

<sup>b</sup>p value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

# BMDS (version 1.4.1) output for CNS tumors in F344 male rats employing administered dose as a dose metric



14:34 01/26 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_MALE_CNS_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_MALE_CNS_DW.plt
Mon Jan 26 14:34:10 2009
=====
```

## BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.00946328  
Beta(1) = 0.0466

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.47   |
| Beta(1)    | -0.47      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0149509 | *         | *                              | *                 |
| Beta(1)    | 0.0437933 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -117.105        | 6         |          |           |         |
| Fitted model  | -118.287        | 2         | 2.36443  | 4         | 0.6691  |
| Reduced model | -151.112        | 1         | 68.0145  | 5         | <.0001  |
| AIC:          | 240.574         |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0150     | 2.392    | 3        | 160  | 0.396           |
| 0.0800 | 0.0184     | 1.472    | 2        | 80   | 0.440           |
| 0.2500 | 0.0257     | 2.003    | 1        | 78   | -0.718          |
| 0.8300 | 0.0501     | 4.009    | 2        | 80   | -1.030          |
| 2.4800 | 0.1163     | 9.190    | 10       | 79   | 0.284           |
| 8.3700 | 0.3172     | 24.110   | 25       | 76   | 0.219           |

Chi^2 = 2.05      d.f. = 4      P-value = 0.7258

#### Benchmark Dose Computation

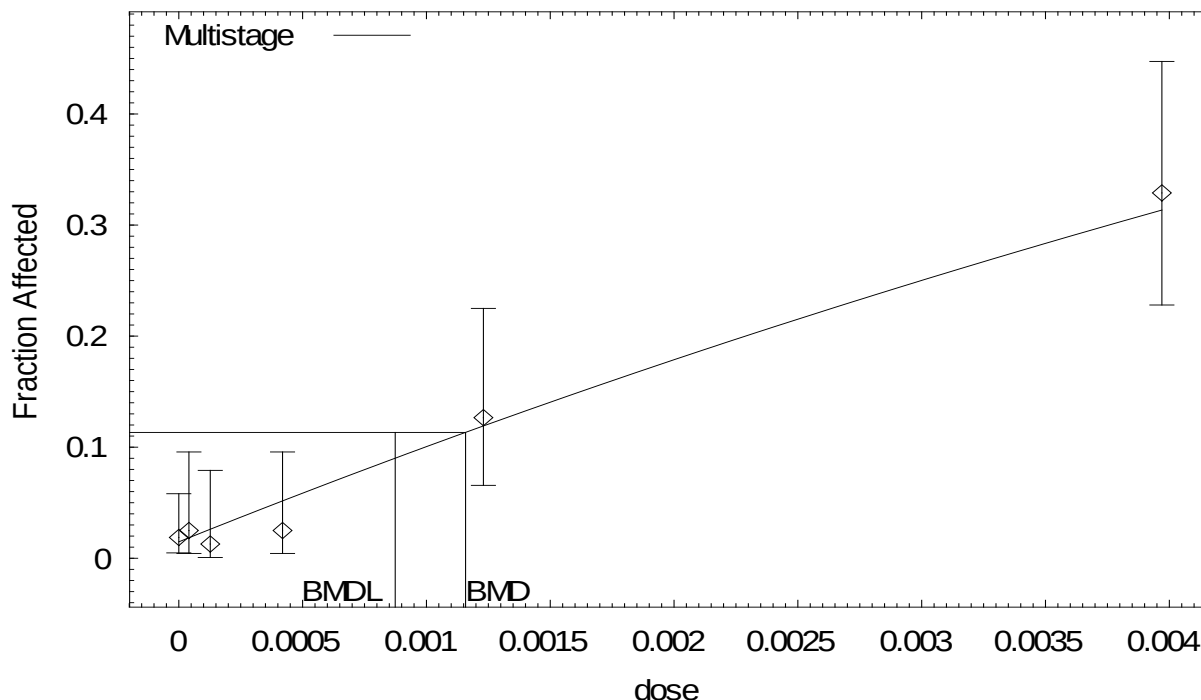
Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 2.40586  
BMDL = 1.8134  
BMDU = 3.32187

Taken together, (1.8134 , 3.32187) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0551449

**BMDS (version 1.4.1) output for CNS tumors in F344 male rats employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:00 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_MALE_CNS_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_CNS_BLOOD_CEO.plt
Thu Sep 27 15:00:12 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values



Background = 0.00793445  
Beta(1) = 98.3167

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.47   |
| Beta(1)    | -0.47      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0147547 | *         | *                              | *                 |
| Beta(1)    | 90.9591   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -117.105        | 6         |          |           |         |
| Fitted model  | -118.375        | 2         | 2.54113  | 4         | 0.6373  |
| Reduced model | -151.112        | 1         | 68.0145  | 5         | <.0001  |
| AIC:          | 240.75          |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0148     | 2.361    | 3        | 160  | 0.419           |
| 0.0000 | 0.0184     | 1.471    | 2        | 80   | 0.440           |
| 0.0001 | 0.0261     | 2.034    | 1        | 78   | -0.734          |
| 0.0004 | 0.0516     | 4.128    | 2        | 80   | -1.075          |
| 0.0012 | 0.1190     | 9.404    | 10       | 79   | 0.207           |
| 0.0040 | 0.3134     | 23.817   | 25       | 76   | 0.293           |

Chi^2 = 2.19      d.f. = 4      P-value = 0.7002

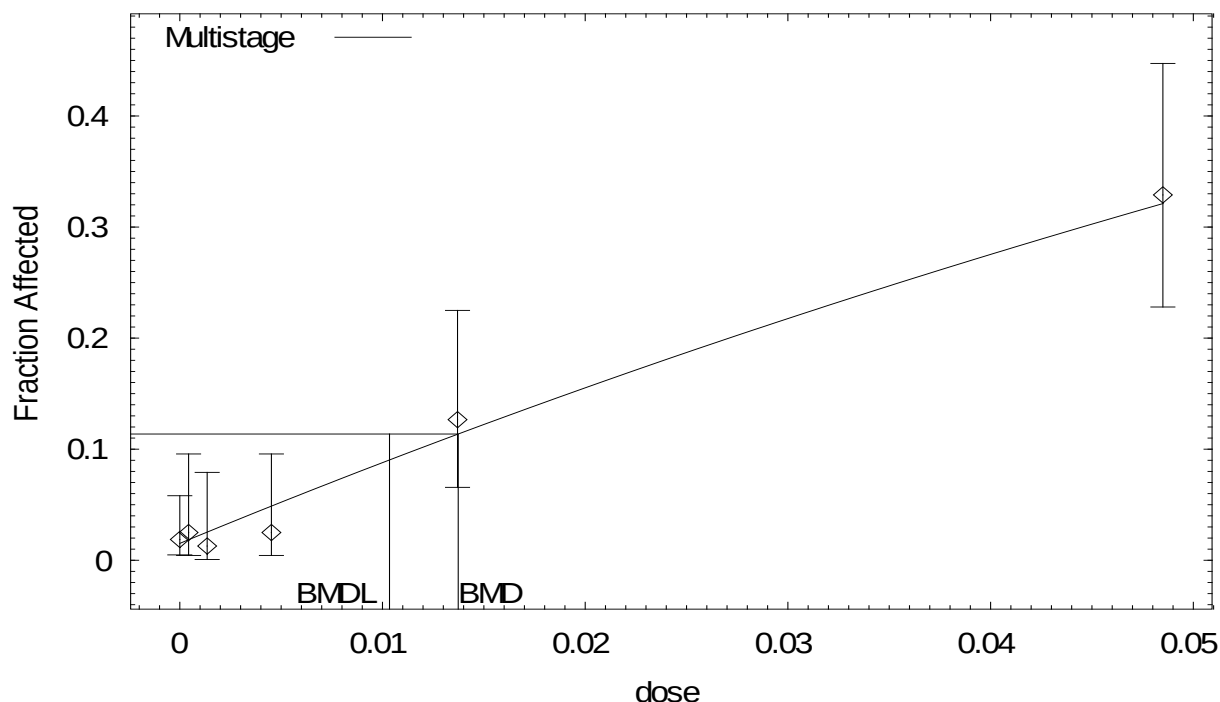
#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00115833  
BMDL = 0.000873641  
BMDU = 0.00159795

Taken together, (0.000873641, 0.00159795) is a 90 % two-sided confidence interval for the BMD

# BMDS (version 1.4.1) output for CNS tumors in F344 male rats employing AN in blood as an internal dose metric

Multistage Model with 0.95 Confidence Level



11:07 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_MALE_CNS_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_CNS_BLOOD_AN.plt
Thu Sep 27 11:07:15 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0109984  
 Beta(1) = 8.0341

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.46   |
| Beta(1)    | -0.46      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0151616 | *         | *                              | *                 |
| Beta(1)    | 7.67068   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -117.105        | 6         |          |           |         |
| Fitted model  | -118.218        | 2         | 2.22757  | 4         | 0.694   |
| Reduced model | -151.112        | 1         | 68.0145  | 5         | <.0001  |
| AIC:          | 240.437         |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0152     | 2.426    | 3        | 160  | 0.371           |
| 0.0004 | 0.0184     | 1.474    | 2        | 80   | 0.437           |
| 0.0014 | 0.0253     | 1.974    | 1        | 78   | -0.702          |
| 0.0045 | 0.0487     | 3.898    | 2        | 80   | -0.986          |
| 0.0137 | 0.1134     | 8.959    | 10       | 79   | 0.369           |
| 0.0485 | 0.3211     | 24.405   | 25       | 76   | 0.146           |

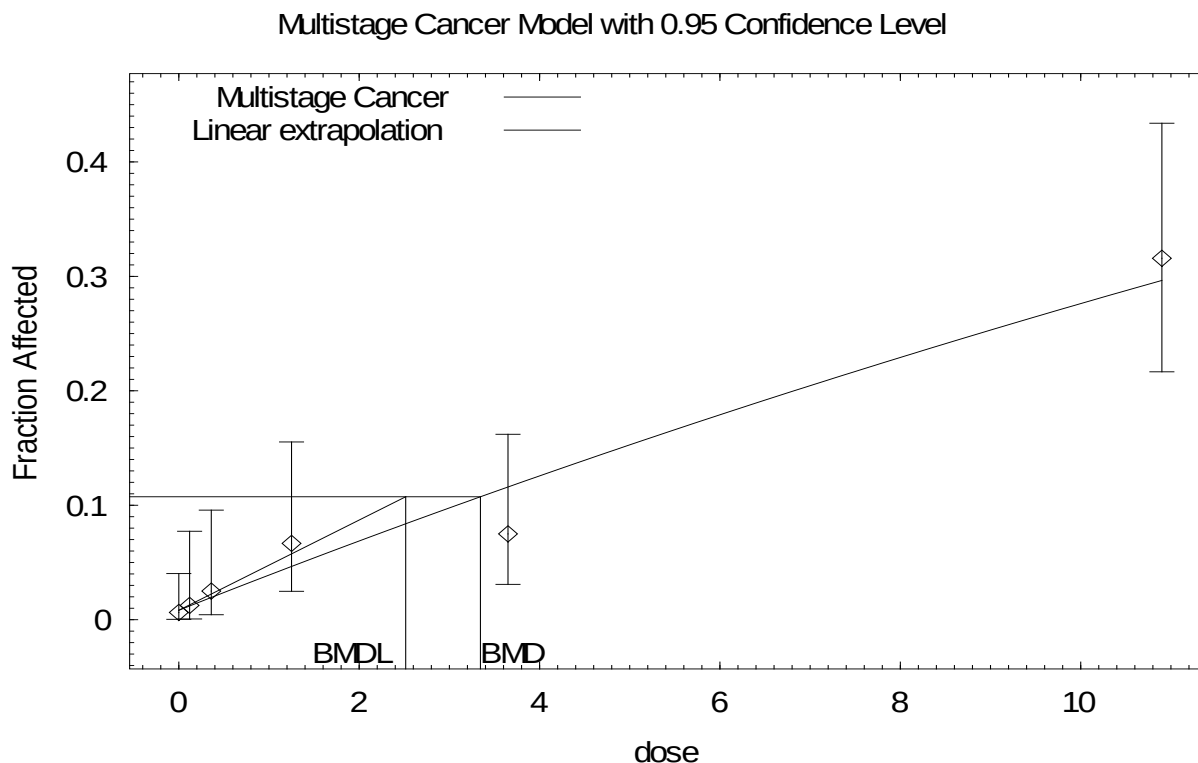
Chi^2 = 1.95      d.f. = 4      P-value = 0.7447

#### Benchmark Dose Computation

Specified effect = 0.1  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 0.0137355  
 BMDL = 0.0103458  
 BMDU = 0.0189838

Taken together, (0.0103458, 0.0189838) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for CNS tumors in F344 female rats employing administered dose as a dose metric**



14:55 01/26 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_FEMALE_CNS_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_CNS_DW.plt
Mon Jan 26 14:55:28 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.00525608  
Beta(1) = 0.0331149

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.49   |
| Beta(1)    | -0.49      | 1       |

## Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00823648 | *         | *                              | *                 |
| Beta(1)    | 0.0315054  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -107.86         | 6         |          |           |         |
| Fitted model  | -109.065        | 2         | 2.41059  | 4         | 0.6607  |
| Reduced model | -140.644        | 1         | 65.5686  | 5         | <.0001  |

AIC: 222.13

## Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0082     | 1.293    | 1        | 157  | -0.259          |
| 0.1200  | 0.0120     | 0.958    | 1        | 80   | 0.043           |
| 0.3600  | 0.0194     | 1.554    | 2        | 80   | 0.362           |
| 1.2500  | 0.0465     | 3.490    | 5        | 75   | 0.828           |
| 3.6500  | 0.1160     | 9.278    | 6        | 80   | -1.144          |
| 10.9000 | 0.2965     | 22.534   | 24       | 76   | 0.368           |

Chi^2 = 2.33      d.f. = 4      P-value = 0.6753

## Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 3.34421

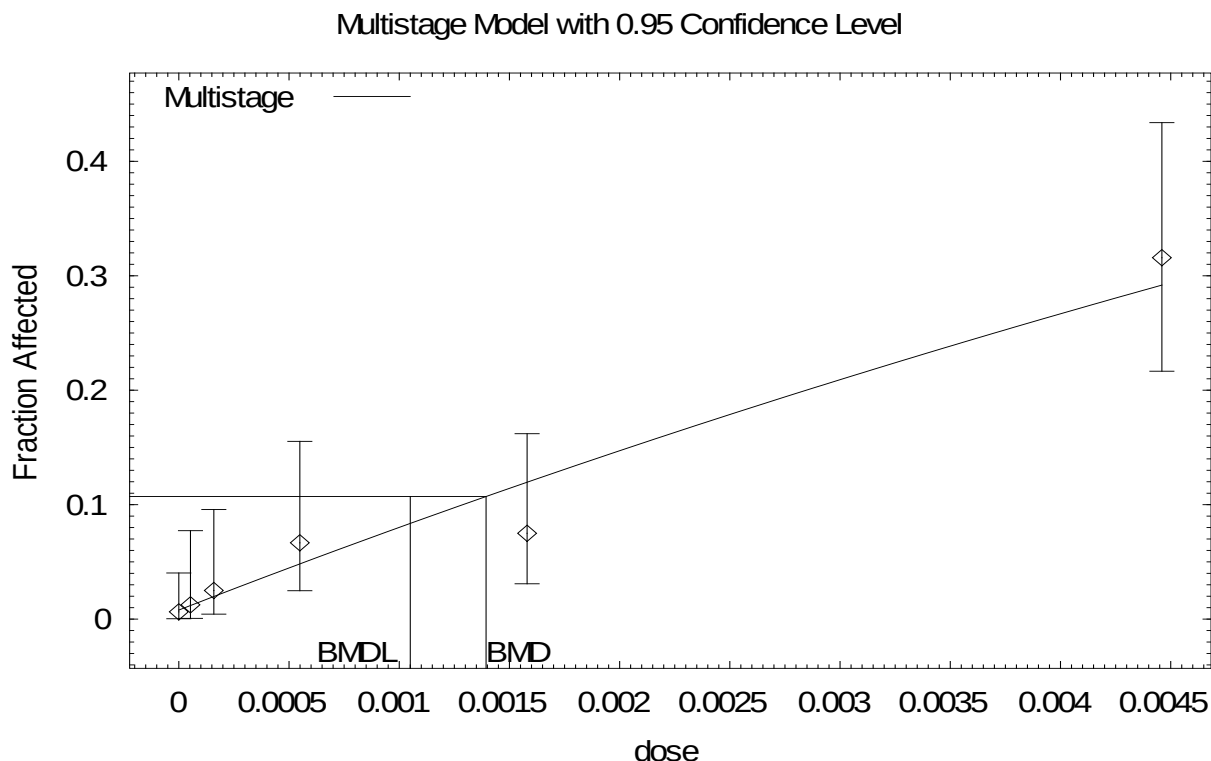
BMDL = 2.51554

BMDU = 4.64166

Taken together, (2.51554, 4.64166) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0397529

# BMDS (version 1.4.1) output for CNS tumors in F344 female rats employing CEO in blood as an internal dose metric



15:10 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_CNS_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_CNS_BLOOD_CEO.plt
Thu Sep 27 15:10:31 2007
=====
```

## BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.00362563  
Beta(1) = 80.7118

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.5    |
| Beta(1)    | -0.5       | 1       |

## Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00790184 | *         | *                              | *                 |
| Beta(1)    | 75.5979    | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -107.86         | 6         |          |           |         |
| Fitted model  | -109.148        | 2         | 2.57752  | 4         | 0.6308  |
| Reduced model | -140.644        | 1         | 65.5686  | 5         | <.0001  |
| AIC:          | 222.297         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0079     | 1.241    | 1        | 157  | -0.217          |
| 0.0001 | 0.0119     | 0.951    | 1        | 80   | 0.051           |
| 0.0002 | 0.0198     | 1.580    | 2        | 80   | 0.337           |
| 0.0005 | 0.0482     | 3.618    | 5        | 75   | 0.745           |
| 0.0016 | 0.1196     | 9.568    | 6        | 80   | -1.229          |
| 0.0045 | 0.2919     | 22.181   | 24       | 76   | 0.459           |

Chi^2 = 2.44      d.f. = 4      P-value = 0.6554

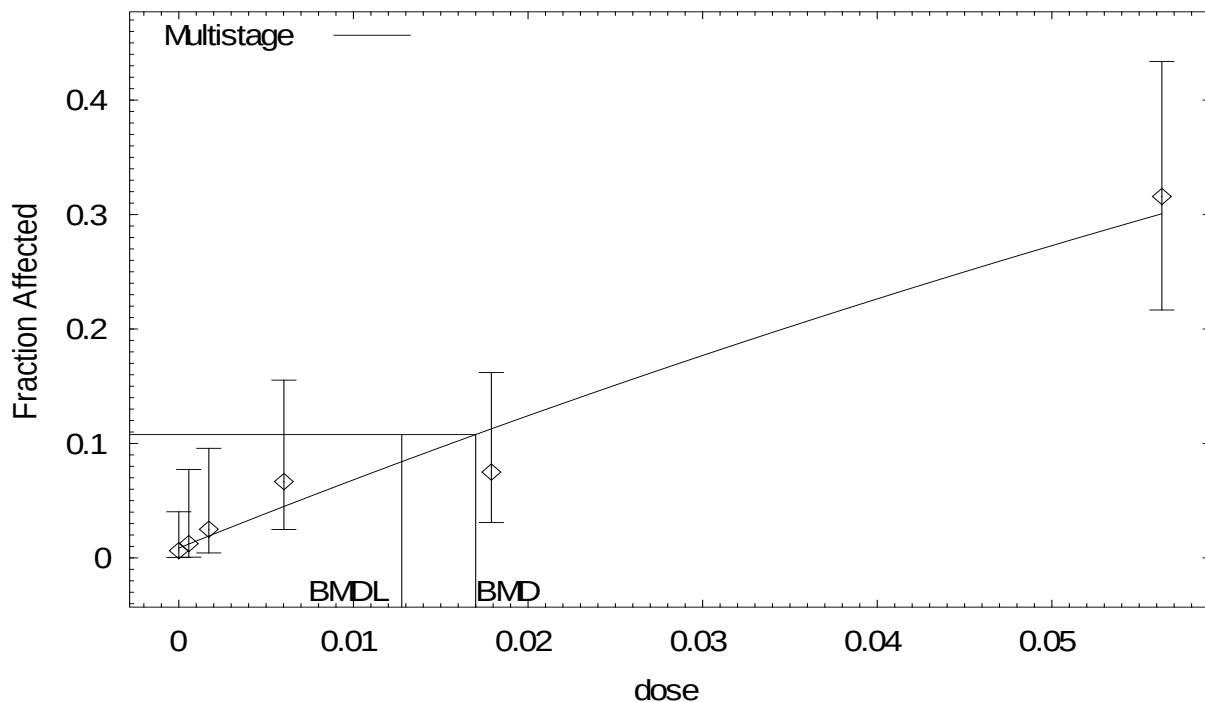
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0013937  
BMDL = 0.00104985  
BMDU = 0.00193063

Taken together, (0.00104985, 0.00193063) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for CNS tumors in F344 female rats employing AN in blood  
as an internal dose metric**

Multistage Model with 0.95 Confidence Level



11:34 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_FEMALE_CNS_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_CNS_BLOOD_AN.plt
Thu Sep 27 11:34:20 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values



Background = 0.00679319  
Beta(1) = 6.4212

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.49   |
| Beta(1)    | -0.49      | 1       |

#### Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00859669 | *         | *                              | *                 |
| Beta(1)    | 6.19715    | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -107.86         | 6         |          |           |         |
| Fitted model  | -109.017        | 2         | 2.31525  | 4         | 0.678   |
| Reduced model | -140.644        | 1         | 65.5686  | 5         | <.0001  |
| AIC:          | 222.035         |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0086     | 1.350    | 1        | 157  | -0.302          |
| 0.0006 | 0.0121     | 0.969    | 1        | 80   | 0.032           |
| 0.0017 | 0.0191     | 1.529    | 2        | 80   | 0.385           |
| 0.0060 | 0.0449     | 3.368    | 5        | 75   | 0.910           |
| 0.0179 | 0.1127     | 9.015    | 6        | 80   | -1.066          |
| 0.0563 | 0.3006     | 22.846   | 24       | 76   | 0.289           |

Chi^2 = 2.29      d.f. = 4      P-value = 0.6828

#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0170014  
BMDL = 0.0127694  
BMDU = 0.0236456

Taken together, (0.0127694, 0.0236456) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: Zymbal's Gland** (Johannsen and Levinskas, 2002b; Biodynamics, 1980b)

**Table B-23. Incidence of Zymbal gland tumors in F344 rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of Zymbal's gland tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|-------------------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                                 |
| Male   | 0                                                | 0                                                          | 0                               | 0                       | 1/147 (1%)                                      |
|        | 1                                                | 0.08                                                       | $4.33 \times 10^{-4}$           | $4.06 \times 10^{-5}$   | 1/76 (1%)                                       |
|        | 3                                                | 0.25                                                       | $1.35 \times 10^{-3}$           | $1.27 \times 10^{-4}$   | 0/73 (0%)                                       |
|        | 10                                               | 0.83                                                       | $4.52 \times 10^{-3}$           | $4.19 \times 10^{-4}$   | 0/67 (0%)                                       |
|        | 30                                               | 2.48                                                       | $1.37 \times 10^{-2}$           | $1.23 \times 10^{-3}$   | 2/71 (3%) <sup>c</sup>                          |
|        | 100                                              | 8.37                                                       | $4.85 \times 10^{-2}$           | $3.97 \times 10^{-3}$   | 14/68 (21%) <sup>c</sup>                        |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 0/157 (0%)                                      |
|        | 1                                                | 0.12                                                       | $5.73 \times 10^{-4}$           | $5.32 \times 10^{-5}$   | 0/73 (0%)                                       |
|        | 3                                                | 0.36                                                       | $1.72 \times 10^{-3}$           | $1.59 \times 10^{-4}$   | 0/73 (0%)                                       |
|        | 10                                               | 1.25                                                       | $6.02 \times 10^{-3}$           | $5.49 \times 10^{-4}$   | 0/70 (0%)                                       |
|        | 30                                               | 3.65                                                       | $1.79 \times 10^{-2}$           | $1.58 \times 10^{-3}$   | 2/73 (3%) <sup>c</sup>                          |
|        | 100                                              | 10.90                                                      | $5.63 \times 10^{-2}$           | $4.46 \times 10^{-3}$   | 8/62 (13%) <sup>c</sup>                         |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for F344 rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>c</sup>Significantly different from controls ( $p < 0.01$ ) as calculated by the study authors.

**Table B-24. Summary of BMD modeling results based on incidence of Zymbal gland tumors in F344 rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ p-value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|-------------------------------|--------|--------------------------------|---------------------------------|
| <b>Males</b>      |                             |                               |        |                                |                                 |
| Administered dose | 2°MS                        | 0.78                          | 116.51 | 5.73 mg/kg-d                   | 4.55 mg/kg-d                    |
| CEO               | 2°MS                        | 0.77                          | 116.53 | $2.73 \times 10^{-3}$ mg/L     | $2.19 \times 10^{-3}$ mg/L      |
| AN                | 2°MS                        | 0.78                          | 116.52 | $3.31 \times 10^{-2}$ mg/L     | $2.59 \times 10^{-2}$ mg/L      |
| <b>Females</b>    |                             |                               |        |                                |                                 |
| Administered dose | 2°MS                        | 0.95                          | 70.80  | 9.16 mg/kg-d                   | 7.17 mg/kg-d                    |
| CEO               | 2°MS                        | 0.99                          | 68.68  | $3.78 \times 10^{-3}$ mg/L     | $2.97 \times 10^{-3}$ mg/L      |
| AN                | 1°MS                        | 0.89                          | 70.82  | $5.41 \times 10^{-2}$ mg/L     | $3.35 \times 10^{-2}$ mg/L      |

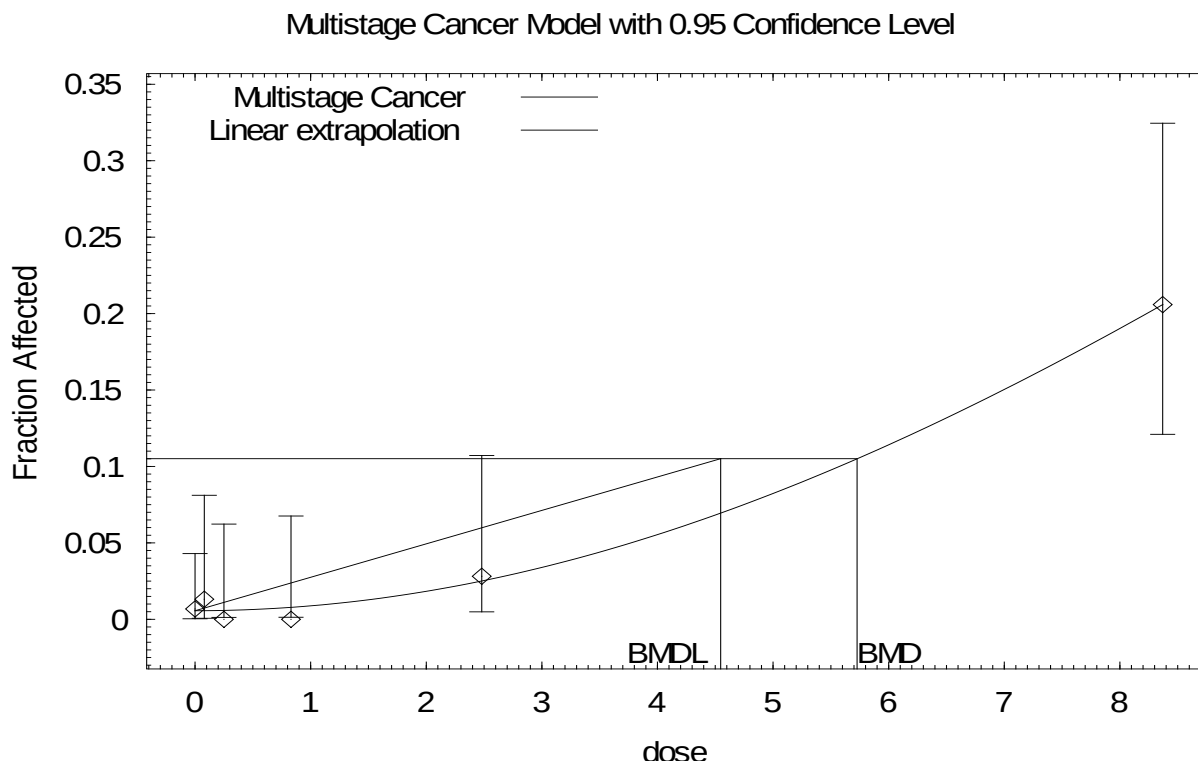
<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. "1°MS" indicates a one-stage multistage model and "2°MS" indicates a two-stage multistage model.

<sup>b</sup>p value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for Zymbal gland tumors in F344 male rats employing administered dose as a dose metric**



14:41 01/26 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_MALE_ZYMBAL_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_ZYMBAL_DW.plt
Mon Jan 26 14:41:15 2009
=====
```

~~~~~  
BMDS MODEL RUN
~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 \text{dose} - \beta_2 \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.00522088  
Beta(1) = 0  
Beta(2) = 0.00321913

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.37   |
| Beta(2)    | -0.37      | 1       |

## Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00563419 | *         | *                              | *                 |
| Beta(1)    | 0          | *         | *                              | *                 |
| Beta(2)    | 0.00321262 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -54.9964        | 6         |          |           |         |
| Fitted model  | -56.2568        | 2         | 2.52093  | 4         | 0.6409  |
| Reduced model | -77.5815        | 1         | 45.1702  | 5         | <.0001  |

AIC: 116.514

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0056     | 0.828    | 1        | 147  | 0.189           |
| 0.0800 | 0.0057     | 0.430    | 1        | 76   | 0.872           |
| 0.2500 | 0.0058     | 0.426    | 0        | 73   | -0.654          |
| 0.8300 | 0.0078     | 0.525    | 0        | 67   | -0.727          |
| 2.4800 | 0.0251     | 1.781    | 2        | 71   | 0.166           |
| 8.3700 | 0.2060     | 14.010   | 14       | 68   | -0.003          |

Chi^2 = 1.78      d.f. = 4      P-value = 0.7758

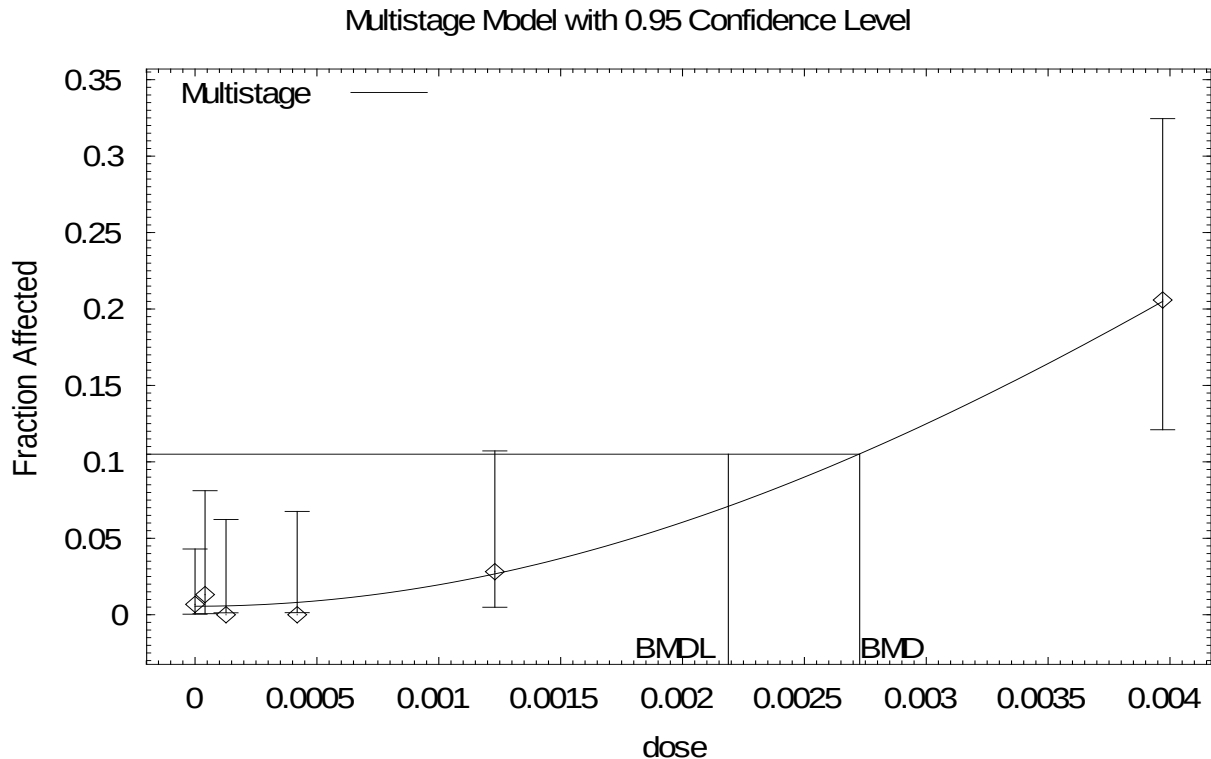
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 5.72676  
BMDL = 4.54678  
BMDU = 7.26717

Taken together, (4.54678, 7.26717) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0219936

**BMDS (version 1.4.1) output for Zymbal gland tumors in F344 male rats employing CEO  
in blood as an internal dose metric**



15:02 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_ZYMBAL_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_ZYMBAL_BLOOD_CEO.plt
Thu Sep 27 15:02:53 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1 - \beta_2 * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

```

Background = 0.00480523
Beta(1) = 0
Beta(2) = 14327.9
    
```

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.38   |
| Beta(2)    | -0.38      | 1       |

## Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00556639 | *         | *                              | *                 |
| Beta(1)    | 0          | *         | *                              | *                 |
| Beta(2)    | 14170.3    | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -54.9964        | 6         |          |           |         |
| Fitted model  | -56.2667        | 2         | 2.54061  | 4         | 0.6374  |
| Reduced model | -77.5815        | 1         | 45.1702  | 5         | <.0001  |
| AIC:          | 116.533         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0056     | 0.818    | 1        | 147  | 0.201           |
| 0.0000 | 0.0056     | 0.425    | 1        | 76   | 0.885           |
| 0.0001 | 0.0058     | 0.423    | 0        | 73   | -0.652          |
| 0.0004 | 0.0080     | 0.538    | 0        | 67   | -0.737          |
| 0.0012 | 0.0267     | 1.893    | 2        | 71   | 0.079           |
| 0.0040 | 0.2046     | 13.913   | 14       | 68   | 0.026           |

Chi^2 = 1.80      d.f. = 4      P-value = 0.7727

## Benchmark Dose Computation

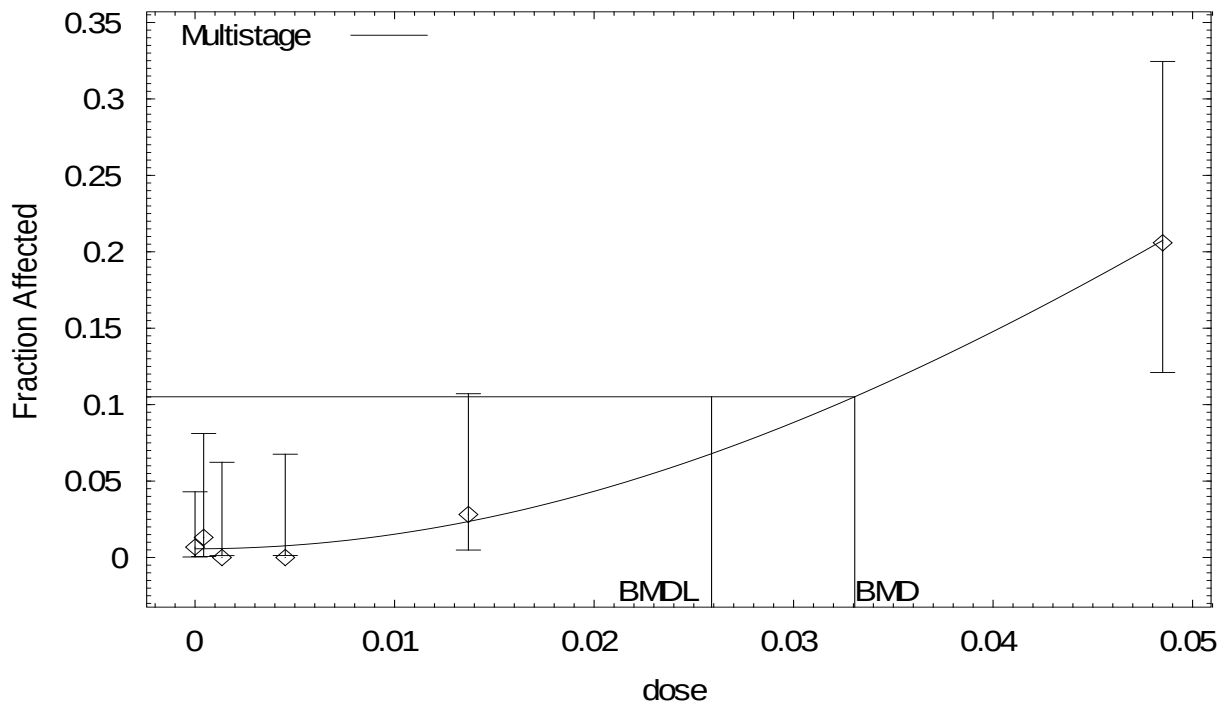
```

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.00272678
BMDL = 0.00218772
BMDU = 0.00345798
    
```

Taken together, (0.00218772, 0.00345798) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for Zymbal gland tumors in F344 male rats employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



11:27 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_ZYMBAL_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_MALE_ZYMBAL_BLOOD_AN.plt
Thu Sep 27 11:27:02 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose} - \beta_2 * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values  
Background = 0.00503997  
Beta(1) = 0.191539

Beta(2) = 91.9968

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.37   |
| Beta(2)    | -0.37      | 1       |

#### Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00571347 | *         | *                              | *                 |
| Beta(1)    | 0          | *         | *                              | *                 |
| Beta(2)    | 96.3581    | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -54.9964        | 6         |          |           |         |
| Fitted model  | -56.2582        | 2         | 2.52365  | 4         | 0.6404  |
| Reduced model | -77.5815        | 1         | 45.1702  | 5         | <.0001  |
| AIC:          | 116.516         |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0057     | 0.840    | 1        | 147  | 0.175           |
| 0.0004 | 0.0057     | 0.436    | 1        | 76   | 0.858           |
| 0.0014 | 0.0059     | 0.430    | 0        | 73   | -0.658          |
| 0.0045 | 0.0077     | 0.514    | 0        | 67   | -0.720          |
| 0.0137 | 0.0235     | 1.671    | 2        | 71   | 0.258           |
| 0.0485 | 0.2074     | 14.101   | 14       | 68   | -0.030          |

Chi^2 = 1.78      d.f. = 4      P-value = 0.7755

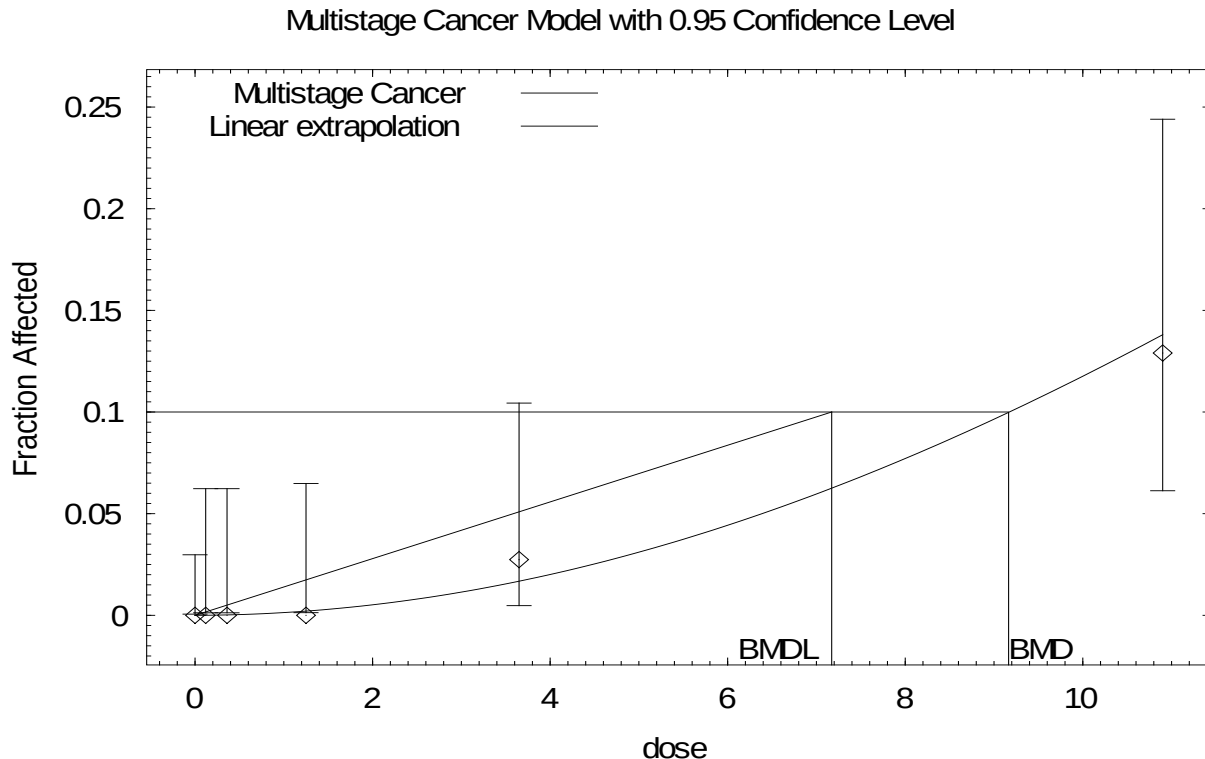
#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.033067  
BMDL = 0.0258907  
BMDU = 0.0419928

Taken together, (0.0258907, 0.0419928) is a 90 % two-sided confidence interval for the BMD



**BMDS (version 1.4.1) output for Zymbal gland tumors in F344 female rats employing administered dose as a dose metric**



15:01 01/26 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_FEMALE_ZYMBAL_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_ZYMBAL_DW.plt
Mon Jan 26 15:01:22 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

```

Background = 0
Beta(1) = 0.00476273
Beta(2) = 0.000742952
    
```

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|         | Beta(1) | Beta(2) |
|---------|---------|---------|
| Beta(1) | 1       | -0.95   |
| Beta(2) | -0.95   | 1       |

## Parameter Estimates

| Variable   | Estimate    | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-------------|-----------|--------------------------------|-------------------|
|            |             |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0           | *         | *                              | *                 |
| Beta(1)    | 0.000111429 | *         | *                              | *                 |
| Beta(2)    | 0.00124232  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -33.0086        | 6         |          |           |         |
| Fitted model  | -33.4019        | 2         | 0.786523 | 4         | 0.9402  |
| Reduced model | -49.1799        | 1         | 32.3425  | 5         | <.0001  |

AIC: 70.8038

## Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0000     | 0.000    | 0        | 157  | 0.000           |
| 0.1200  | 0.0000     | 0.002    | 0        | 73   | -0.048          |
| 0.3600  | 0.0002     | 0.015    | 0        | 73   | -0.121          |
| 1.2500  | 0.0021     | 0.145    | 0        | 70   | -0.382          |
| 3.6500  | 0.0168     | 1.227    | 2        | 73   | 0.703           |
| 10.9000 | 0.1383     | 8.573    | 8        | 62   | -0.211          |

Chi^2 = 0.70      d.f. = 4      P-value = 0.9511

## Benchmark Dose Computation

```

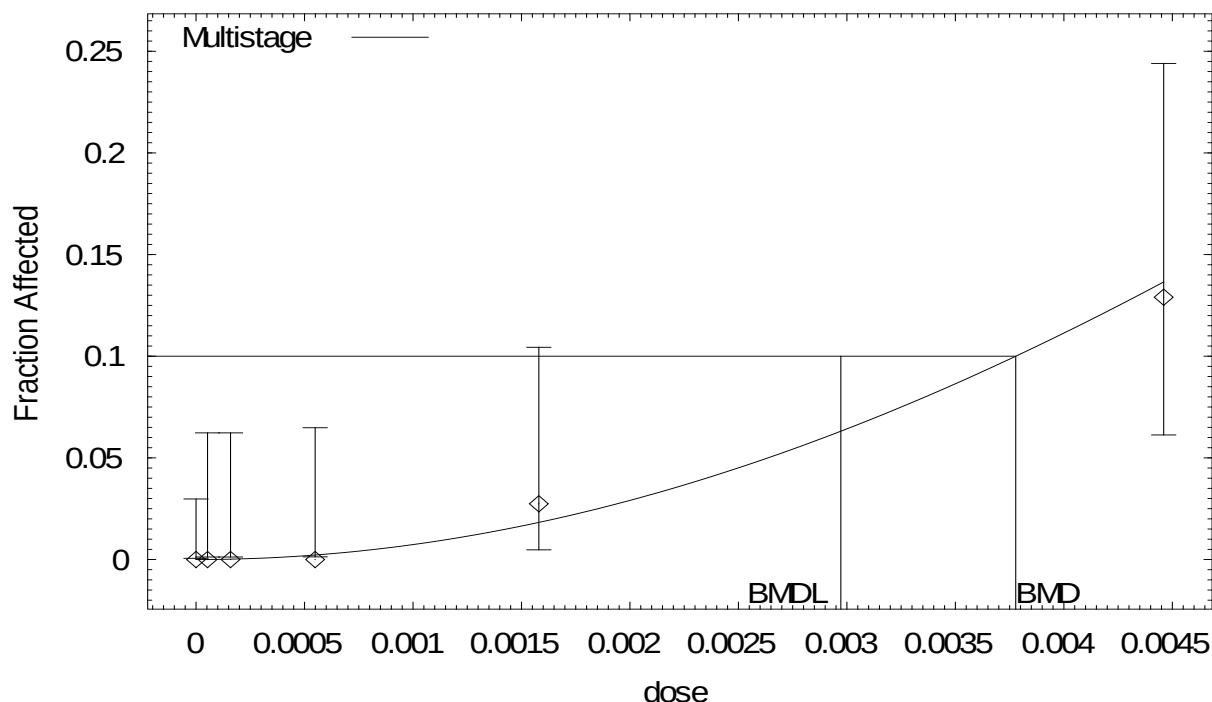
Specified effect = 0.1
Risk Type        = Extra risk
Confidence level = 0.95
      BMD = 9.16446
      BMDL = 7.17181
      BMDU = 13.5889
    
```

Taken together, (7.17181, 13.5889) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0139435

**BMDS (version 1.4.1) output for Zymbal gland tumors in F344 female rats employing CEO  
in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:13 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_ZYMBAL_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_ZYMBAL_BLOOD_CEO.plt
Thu Sep 27 15:13:27 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 \text{dose} - \beta_2 \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

```

Background =      0
Beta(1) =      9.4165
Beta(2) =     4928.95
    
```

## Asymptotic Correlation Matrix of Parameter Estimates

```

( *** The model parameter(s) -Background -Beta(1)
      have been estimated at a boundary point, or have been specified by the user,
      and do not appear in the correlation matrix )
    
```

Beta(2)

Beta(2) 1

## Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 0        | *         | *                              | *                 |
| Beta(2)    | 7382.1   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -33.0086        | 6         |          |           |         |
| Fitted model  | -33.3423        | 1         | 0.667255 | 5         | 0.9847  |
| Reduced model | -49.1799        | 1         | 32.3425  | 5         | <.0001  |

AIC: 68.6845

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 157  | 0.000           |
| 0.0001 | 0.0000     | 0.002    | 0        | 73   | -0.039          |
| 0.0002 | 0.0002     | 0.014    | 0        | 73   | -0.117          |
| 0.0005 | 0.0022     | 0.156    | 0        | 70   | -0.395          |
| 0.0016 | 0.0183     | 1.333    | 2        | 73   | 0.583           |
| 0.0045 | 0.1366     | 8.467    | 8        | 62   | -0.173          |

Chi^2 = 0.54 d.f. = 5 P-value = 0.9905

## Benchmark Dose Computation

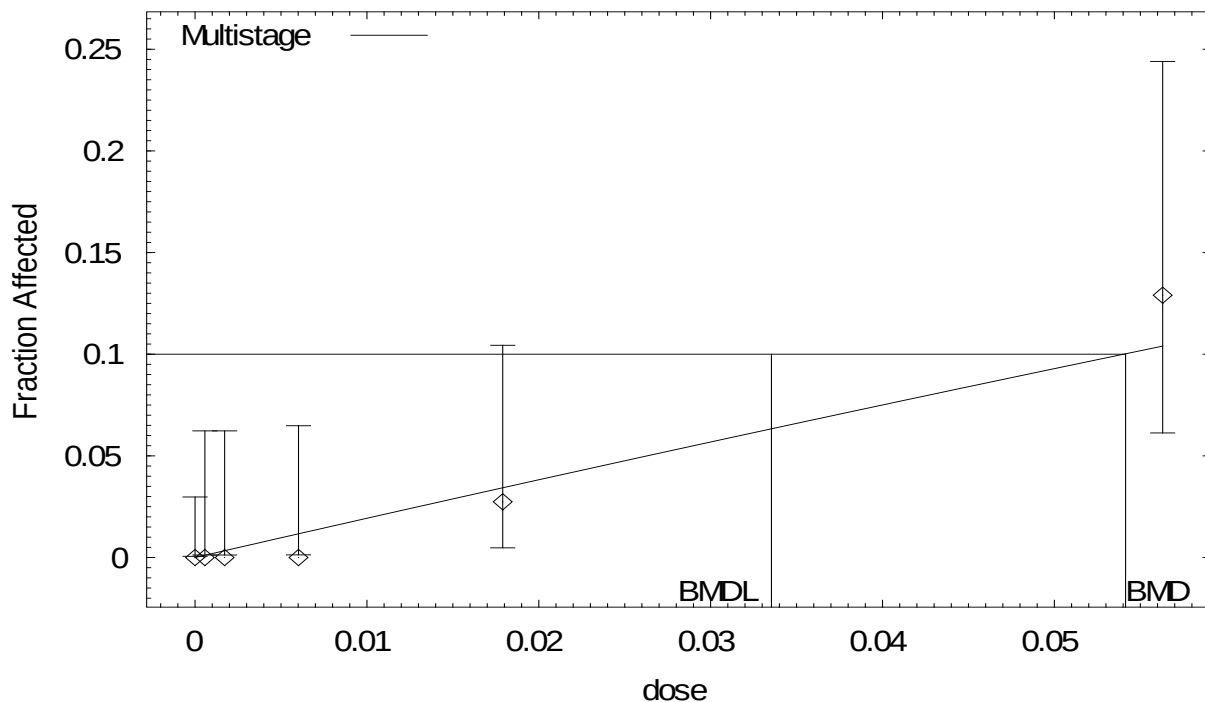
```

Specified effect =      0.1
Risk Type        =      Extra risk
Confidence level =      0.95
BMD =      0.00377789
BMDL =      0.00297198
BMDU =      0.00541558
    
```

Taken together, (0.00297198, 0.00541558) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for Zymbal gland tumors in F344 female rats employing AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



11:37 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_ZYMBAL_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_ZYMBAL_BLOOD_AN.plt
Thu Sep 27 11:37:11 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0  
Beta(1) = 2.5025

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

## Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 1.94599  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -33.0086        | 6         |          |           |         |
| Fitted model  | -34.4088        | 1         | 2.80031  | 5         | 0.7307  |
| Reduced model | -49.1799        | 1         | 32.3425  | 5         | <.0001  |

AIC: 70.8176

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 157  | 0.000           |
| 0.0006 | 0.0011     | 0.081    | 0        | 73   | -0.285          |
| 0.0017 | 0.0033     | 0.244    | 0        | 73   | -0.495          |
| 0.0060 | 0.0116     | 0.815    | 0        | 70   | -0.908          |
| 0.0179 | 0.0342     | 2.499    | 2        | 73   | -0.321          |
| 0.0563 | 0.1038     | 6.434    | 8        | 62   | 0.652           |

Chi^2 = 1.68 d.f. = 5 P-value = 0.8915

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0541423  
BMDL = 0.0335374  
BMDU = 0.0957005

Taken together, (0.0335374, 0.0957005) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: Mammary Gland** (Johannsen and Levinskas, 2002b; Biodynamics, 1980b)

**Table B-25. Incidence of mammary gland tumors in F344 rats exposed to AN in drinking water for 2 years**

| Sex    | Administered animal dose (ppm in drinking water) | Equivalent administered animal dose <sup>a</sup> (mg/kg-d) | Predicted internal dose metrics |                         | Incidence of mammary gland tumors <sup>b</sup> |
|--------|--------------------------------------------------|------------------------------------------------------------|---------------------------------|-------------------------|------------------------------------------------|
|        |                                                  |                                                            | AN-AUC in blood (mg/L)          | CEO-AUC in blood (mg/L) |                                                |
| Female | 0                                                | 0                                                          | 0                               | 0                       | 14/156 (9%)                                    |
|        | 1                                                | 0.12                                                       | $5.73 \times 10^{-4}$           | $5.32 \times 10^{-5}$   | 8/80 (10%)                                     |
|        | 3                                                | 0.36                                                       | $1.72 \times 10^{-3}$           | $1.59 \times 10^{-4}$   | 6/80 (8%)                                      |
|        | 10                                               | 1.25                                                       | $6.02 \times 10^{-3}$           | $5.49 \times 10^{-4}$   | 9/80 (11%)                                     |
|        | 30                                               | 3.65                                                       | $1.79 \times 10^{-2}$           | $1.58 \times 10^{-3}$   | 12/80 (15%)                                    |
|        | 100                                              | 10.90                                                      | $5.63 \times 10^{-2}$           | $4.46 \times 10^{-3}$   | 14/73 (19%)                                    |

<sup>a</sup>Administered doses were averages calculated by the study authors based on animal BW and drinking water intake.

<sup>b</sup>Incidences for F344 rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

**Table B-26. Summary of BMD modeling results based on incidence of mammary gland tumors in F344 rats exposed to AN in drinking water for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ p-value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|-------------------------------|--------|--------------------------------|---------------------------------|
| <i>Females</i>    |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.94                          | 388.94 | 8.73 mg/kg-d                   | 4.77 mg/kg-d                    |
| CEO               | 1°MS                        | 0.94                          | 388.88 | $3.58 \times 10^{-3}$ mg/L     | $1.97 \times 10^{-3}$ mg/L      |
| AN                | 1°MS                        | 0.93                          | 389.00 | $4.51 \times 10^{-2}$ mg/L     | $2.45 \times 10^{-2}$ mg/L      |

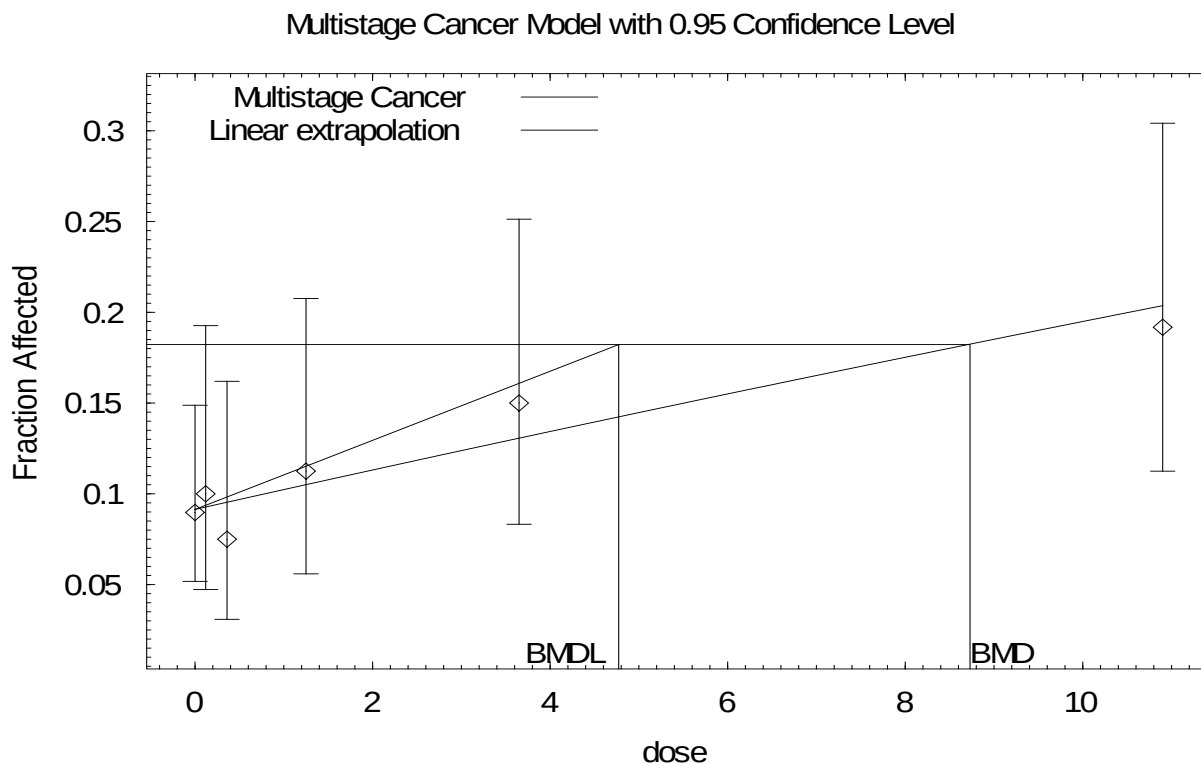
<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model.

<sup>b</sup>p value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for mammary gland tumors in F344 female rats employing administered dose as a dose metric**



15:04 01/26 2009

```
=====
Multistage Cancer Model. (Version: 1.5; Date: 02/20/2007)
Input Data File: M:\ACN DOSE-RESPONSE MODELING\CANCER\ORAL\F344_FEMALE_MAMMARY_DW.(d)
Gnuplot Plotting File: M:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_MAMMARY_DW.plt
Mon Jan 26 15:04:09 2009
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008



# Default Initial Parameter Values

Background = 0.093497  
Beta(1) = 0.0112514

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.52   |
| Beta(1)    | -0.52      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0913697 | *         | *                              | *                 |
| Beta(1)    | 0.0120689 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -192.056        | 6         |          |           |         |
| Fitted model  | -192.471        | 2         | 0.830208 | 4         | 0.9344  |
| Reduced model | -195.631        | 1         | 7.14977  | 5         | 0.2097  |

AIC: 388.943

## Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.0914     | 14.254   | 14       | 156  | -0.070          |
| 0.1200  | 0.0927     | 7.415    | 8        | 80   | 0.226           |
| 0.3600  | 0.0953     | 7.625    | 6        | 80   | -0.619          |
| 1.2500  | 0.1050     | 8.398    | 9        | 80   | 0.220           |
| 3.6500  | 0.1305     | 10.442   | 12       | 80   | 0.517           |
| 10.9000 | 0.2034     | 14.846   | 14       | 73   | -0.246          |

Chi^2 = 0.81 d.f. = 4 P-value = 0.9365

## Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 8.72994

BMDL = 4.77219

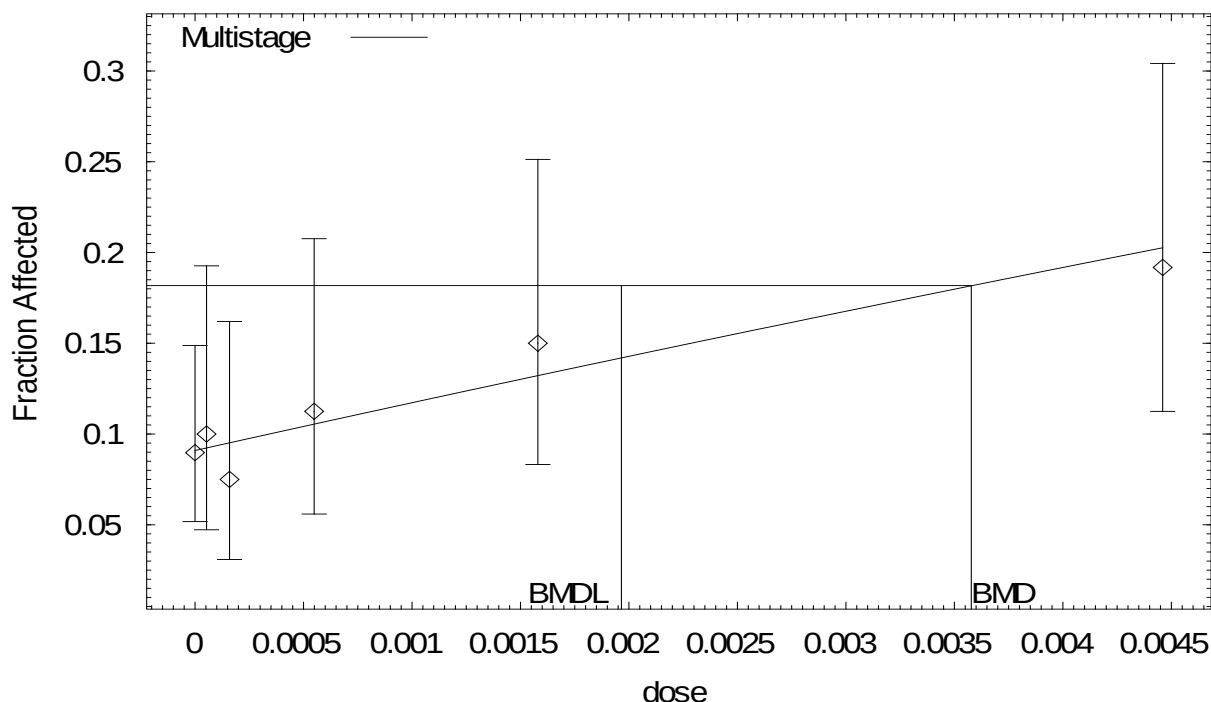
BMDU = 27.9615

Taken together, (4.77219, 27.9615) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.0209547

**BMDS (version 1.4.1) output for mammary gland tumors in F344 female rats employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:16 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_MAMMARY_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_MAMMARY_BLOOD_CEO.plt
Thu Sep 27 15:16:49 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0927789  
Beta(1) = 27.631

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.53   |
| Beta(1)    | -0.53      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0908696 | *         | *                              | *                 |
| Beta(1)    | 29.4458   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(Likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -192.056        | 6         |          |           |         |
| Fitted model  | -192.441        | 2         | 0.770201 | 4         | 0.9424  |
| Reduced model | -195.631        | 1         | 7.14977  | 5         | 0.2097  |
| AIC:          | 388.883         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0909     | 14.176   | 14       | 156  | -0.049          |
| 0.0001 | 0.0923     | 7.383    | 8        | 80   | 0.238           |
| 0.0002 | 0.0951     | 7.609    | 6        | 80   | -0.613          |
| 0.0005 | 0.1054     | 8.436    | 9        | 80   | 0.205           |
| 0.0016 | 0.1322     | 10.576   | 12       | 80   | 0.470           |
| 0.0045 | 0.2028     | 14.801   | 14       | 73   | -0.233          |

Chi^2 = 0.75      d.f. = 4      P-value = 0.9447

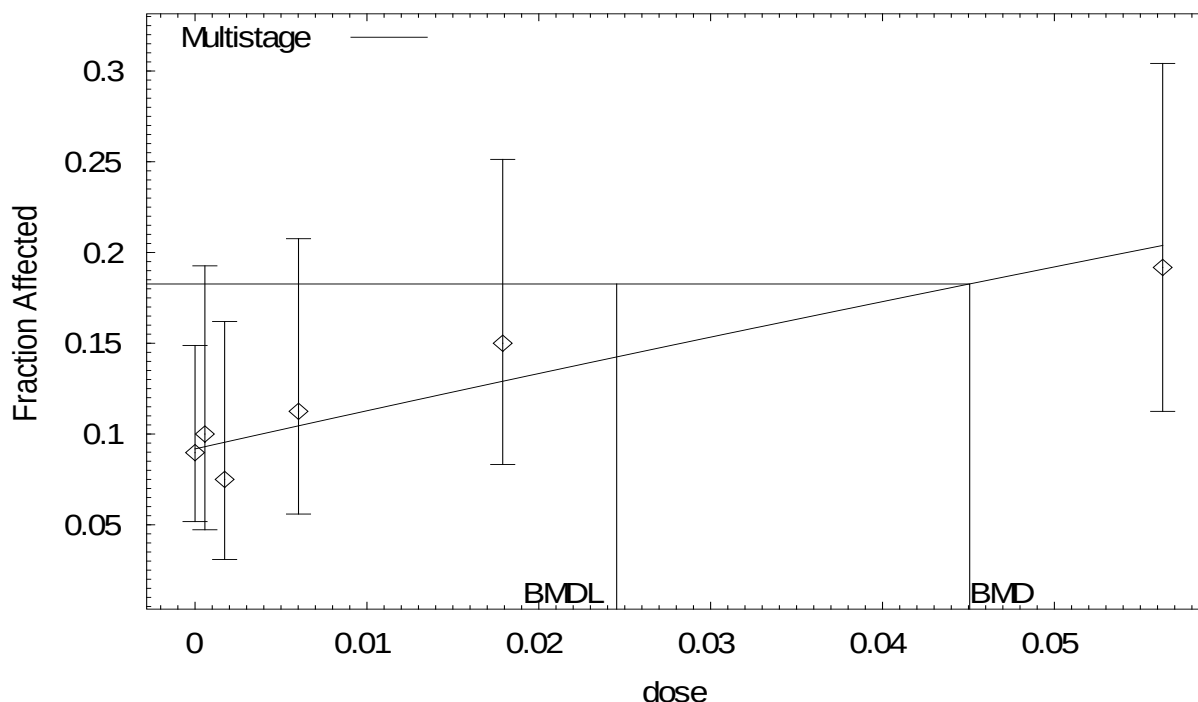
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00357812  
BMDL = 0.00196521  
BMDU = 0.011322

Taken together, (0.00196521, 0.011322) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for mammary gland tumors in F344 female rats employing  
AN in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



11:39 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_MAMMARY_BLOOD_AN.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\ORAL\F344_FEMALE_MAMMARY_BLOOD_AN.plt
Thu Sep 27 11:39:30 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 6  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0941503  
Beta(1) = 2.16751

#### Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.52   |
| Beta(1)    | -0.52      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0918429 | *         | *                              | *                 |
| Beta(1)    | 2.33757   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -192.056        | 6         |          |           |         |
| Fitted model  | -192.5          | 2         | 0.887943 | 4         | 0.9263  |
| Reduced model | -195.631        | 1         | 7.14977  | 5         | 0.2097  |
| AIC:          | 389.001         |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0918     | 14.327   | 14       | 156  | -0.091          |
| 0.0006 | 0.0931     | 7.445    | 8        | 80   | 0.214           |
| 0.0017 | 0.0955     | 7.639    | 6        | 80   | -0.624          |
| 0.0060 | 0.1045     | 8.363    | 9        | 80   | 0.233           |
| 0.0179 | 0.1291     | 10.325   | 12       | 80   | 0.559           |
| 0.0563 | 0.2038     | 14.880   | 14       | 73   | -0.256          |

Chi^2 = 0.87      d.f. = 4      P-value = 0.9282

#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.0450726  
BMDL = 0.0245301  
BMDU = 0.146059

Taken together, (0.0245301, 0.146059) is a 90 % two-sided confidence interval for the BMD

#### **APPENDIX B-4. CANCER INHALATION DOSE-RESPONSE ASSESSMENT: BMD MODELING RESULTS FOR TUMOR INCIDENCE DATA FROM RATS CHRONICALLY EXPOSED TO AN VIA INHALATION**

As summarized in Section 4.6.2, AN is a multisite carcinogen in chronic rodent bioassays. Data from the only available chronic inhalation cancer bioassay with multiple exposure levels to AN were used for dose-response assessment (Dow Chemical Co., 1992a; Quast et al., 1980b). In this study, male and female Sprague-Dawley rats were exposed to AN in air at concentrations of 0, 20, or 80 ppm 6 hours/day, 5 days/week for 2 years. At 80 ppm, significantly increased incidences of CNS tumors (i.e., astrocytomas and glial cell proliferation) and Zymbal's gland tumors were observed in males and females. Also at this concentration, significantly increased incidences of malignant mammary gland tumors (i.e., adenocarcinomas) in females, as well as intestinal and tongue tumors in males, were seen. At 20 ppm, male and female rats exhibited increased incidences (although nonsignificant) of CNS tumors (i.e., astrocytomas and glial cell proliferation) and Zymbal's gland tumors.

In this appendix, detailed results of the dose-response modeling for each of the tumor sites listed above are presented (Tables B-27 through B-36). For each tumor site, first a summary of the dose-response data is presented, followed by a table summarizing the results of the dose-response modeling. Finally, the standard output from EPA's BMDS, version 1.4.1, for the selected dose-response model for each tumor site is presented.

In general, the multistage model was fit to all of the data sets with the BMR set at 0.1 (i.e., 10% extra risk). In fitting this model, successive stages of the multistage model, starting with stage 1 and ending with the stage equal to the number of dose groups minus one, were fit to the tumor incidence data at a particular site for each rat sex employing either administered dose or the internal dose metric CEO in blood. Then, for each dose metric, all stages of the multistage model that did not show a significant lack of fit (i.e.,  $p > 0.1$ ) were compared using AIC. The stage of the multistage model with the lowest AIC was selected as the best-fit model. For most tumor sites, the one-stage model exhibited the best fit. For data sets that exhibited a significant lack of fit for all stages of the multistage model, dose groups were dropped (starting with the highest dose group) until an adequate fit was achieved.

**Sprague-Dawley Rats** (Dow Chemical Co., 1992a; Quast et al., 1980b)

**Tumor Site: Intestine**

**Table B-27. Incidence of intestinal tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Sex  | Administered AN concentration (ppm in air) | Predicted CEO-AUC in blood (mg/L) | Incidence of intestinal tumors <sup>a</sup> |
|------|--------------------------------------------|-----------------------------------|---------------------------------------------|
| Male | 0                                          | 0                                 | 4/96 (4%)                                   |
|      | 20                                         | $2.17 \times 10^{-3}$             | 3/93 (3%)                                   |
|      | 80                                         | $8.20 \times 10^{-3}$             | 17/82 (21%) <sup>b</sup>                    |

<sup>a</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>b</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-28. Summary of BMD modeling results based on incidence of intestinal tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ $p$ value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|---------------------------------|--------|--------------------------------|---------------------------------|
| <i>Males</i>      |                             |                                 |        |                                |                                 |
| Administered dose | 2°MS                        | 0.45                            | 148.05 | 59.04 ppm                      | 42.68 ppm                       |
| CEO               | 2°MS                        | 0.42                            | 148.13 | $6.06 \times 10^{-3}$ mg/L     | $4.47 \times 10^{-3}$ mg/L      |

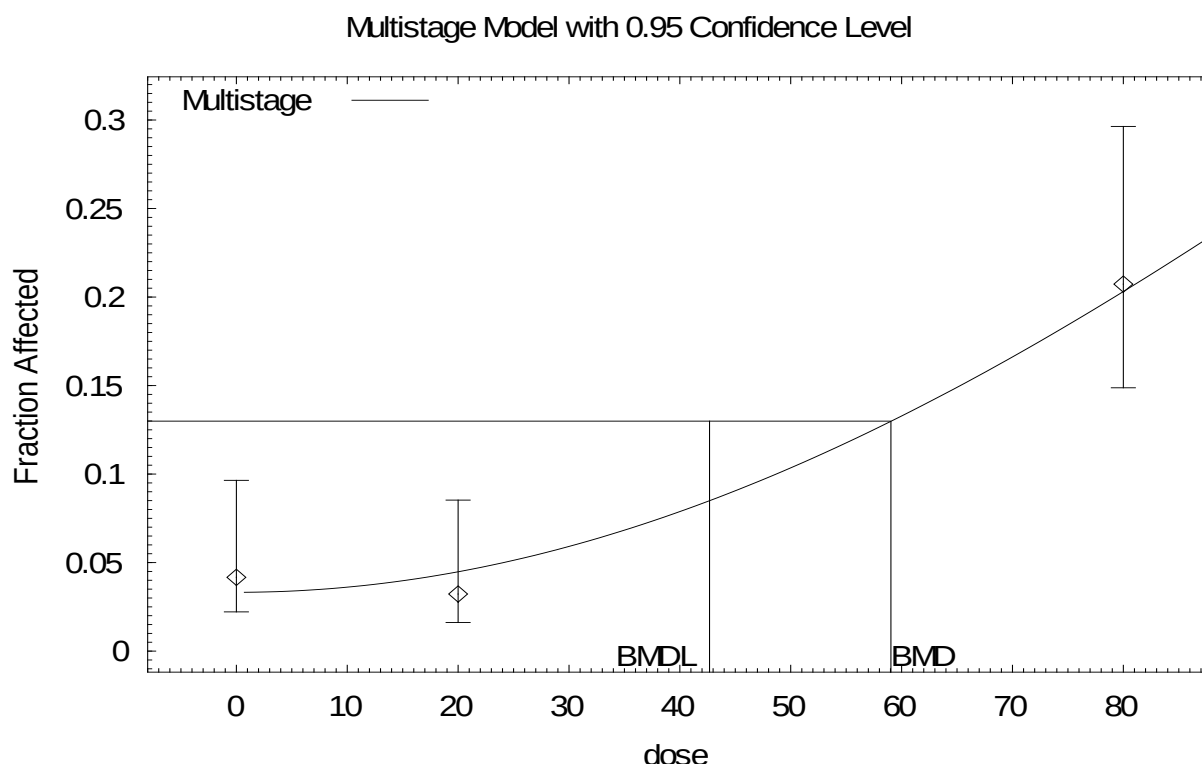
<sup>a</sup>Dose-response models were fit using BMDs, version 1.4.1. “2°MS” indicates a two-stage multistage model

<sup>b</sup> $p$  value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for intestinal tumors in Sprague-Dawley male rats employing administered dose as a dose metric**



13:10 04/13 2007

```
=====
Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_INTESTINE_INHALE_PPM.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_INTESTINE_INHALE_PPM.plt
Fri Apr 13 13:10:33 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose} - \beta_2 * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008



# Default Initial Parameter Values

```

Background = 0.0312892
Beta(1) = 0
Beta(2) = 3.12226e-005

```

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.55   |
| Beta(2)    | -0.55      | 1       |

## Parameter Estimates

| Variable   | Estimate     | Std. Err.    |
|------------|--------------|--------------|
| Background | 0.033209     | 0.0736218    |
| Beta(1)    | 0            | NA           |
| Beta(2)    | 3.02304e-005 | 2.28675e-005 |

NA - Indicates that this parameter has hit a bound  
implied by some inequality constraint and thus  
has no standard error.

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value |
|---------------|-----------------|----------|---------|---------|
| Full model    | -71.7319        |          |         |         |
| Fitted model  | -72.0247        | 0.585523 | 1       | 0.4442  |
| Reduced model | -81.082         | 18.7001  | 2       | <.0001  |
| AIC:          | 148.049         |          |         |         |

## Goodness of Fit

|              | Dose    | Est._Prob. | Expected | Observed         | Size | Chi^2 Res. |
|--------------|---------|------------|----------|------------------|------|------------|
| i: 1         | 0.0000  | 0.0332     | 3.188    | 4                | 96   | 0.263      |
| i: 2         | 20.0000 | 0.0448     | 4.169    | 3                | 93   | -0.294     |
| i: 3         | 80.0000 | 0.2033     | 16.669   | 17               | 82   | 0.025      |
| Chi-square = | 0.57    | DF = 1     |          | P-value = 0.4521 |      |            |

## Benchmark Dose Computation

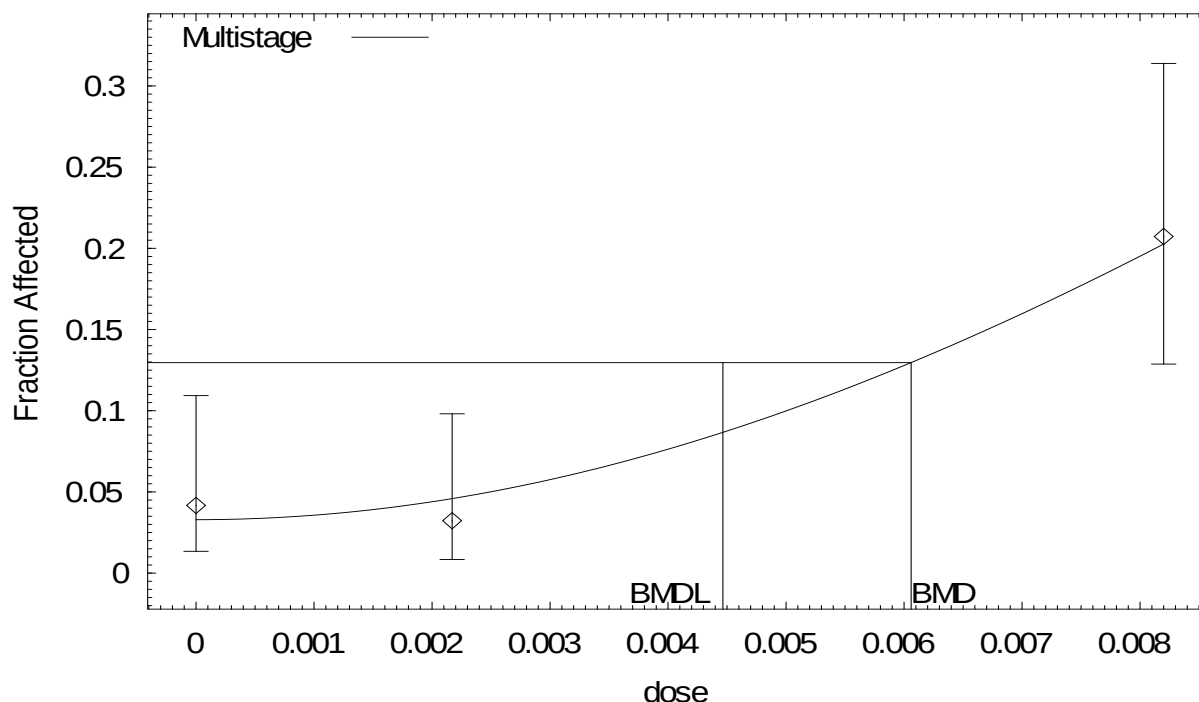
```

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 59.036
BMDL = 42.6779

```

**BMDS (version 1.4.1) output for intestinal tumors in Sprague-Dawley male rats employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:20 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_INTESTINE_INHALE_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_INTESTINE_INHALE_BLOOD_CEO.plt
Thu Sep 27 15:20:06 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^{1 - \text{beta2} * \text{dose}^2})]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0306135  
 Beta(1) = 0  
 Beta(2) = 2979.81

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.55   |
| Beta(2)    | -0.55      | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0328821 | *         | *                              | *                 |
| Beta(1)    | 0         | *         | *                              | *                 |
| Beta(2)    | 2868.6    | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -71.7319        | 3         |          |           |         |
| Fitted model  | -72.0636        | 2         | 0.663417 | 1         | 0.4154  |
| Reduced model | -81.082         | 1         | 18.7001  | 2         | <.0001  |

AIC: 148.127

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0329     | 3.157    | 4        | 96   | 0.483           |
| 0.0022 | 0.0459     | 4.265    | 3        | 93   | -0.627          |
| 0.0082 | 0.2025     | 16.609   | 17       | 82   | 0.107           |

Chi^2 = 0.64      d.f. = 1      P-value = 0.4246

#### Benchmark Dose Computation

Specified effect = 0.1  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 0.00606044  
 BMDL = 0.004465  
 BMDU = 0.00809222

Taken together, (0.004465, 0.00809222) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: CNS** (Dow Chemical Co., 1992a; Quast et al., 1980b)

**Table B-29. Incidence of CNS tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Sex    | Administered AN concentration (ppm in air) | Predicted CEO-AUC in blood (mg/L) | Incidence of CNS tumors <sup>a</sup> |
|--------|--------------------------------------------|-----------------------------------|--------------------------------------|
| Male   | 0                                          | 0                                 | 0/96 (0%)                            |
|        | 20                                         | $2.17 \times 10^{-3}$             | 4/93 (4%)                            |
|        | 80                                         | $8.20 \times 10^{-3}$             | 22/82 (27%) <sup>b</sup>             |
| Female | 0                                          | 0                                 | 0/93 (0%)                            |
|        | 20                                         | $2.18 \times 10^{-3}$             | 8/99 (8%) <sup>b</sup>               |
|        | 80                                         | $8.24 \times 10^{-3}$             | 20/89 (22%) <sup>b</sup>             |

<sup>a</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>b</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-30. Summary of BMD modeling results based on incidence of CNS tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ p-value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|-------------------------------|--------|--------------------------------|---------------------------------|
| <b>Males</b>      |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.56                          | 131.64 | 30.22 ppm                      | 22.23 ppm                       |
| CEO               | 1°MS                        | 0.50                          | 131.92 | $3.14 \times 10^{-3}$ mg/L     | $2.31 \times 10^{-3}$ mg/L      |
| <b>Females</b>    |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.80                          | 152.86 | 30.79 ppm                      | 22.89 ppm                       |
| CEO               | 1°MS                        | 0.87                          | 152.70 | $3.21 \times 10^{-3}$ mg/L     | $2.39 \times 10^{-3}$ mg/L      |

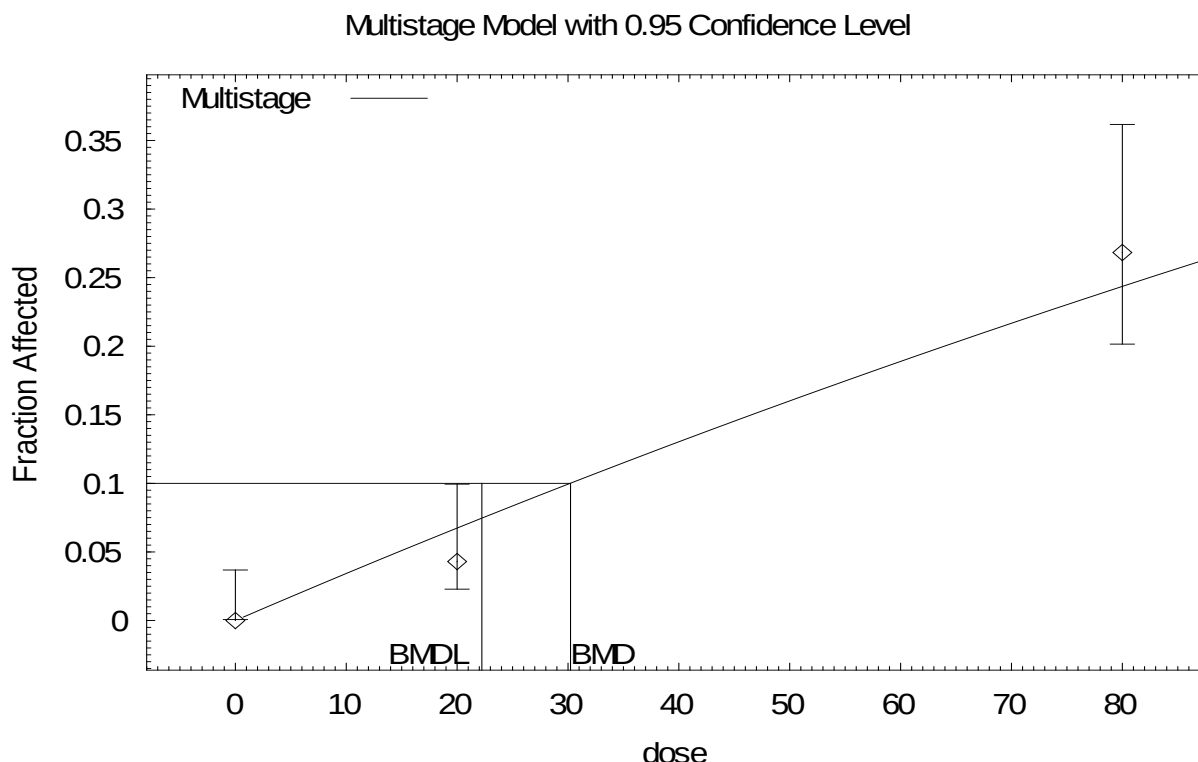
<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model.

<sup>b</sup>p value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley male rats employing administered dose as a dose metric**



13:18 04/13 2007

```
=====
Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_CNS_INHALE_PPM.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_CNS_INHALE_PPM.plt
Fri Apr 13 13:18:45 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0  
Beta(1) = 0.00403595

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

## Parameter Estimates

| Variable   | Estimate   | Std. Err.  |
|------------|------------|------------|
| Background | 0          | NA         |
| Beta(1)    | 0.00348587 | 0.00147454 |

NA - Indicates that this parameter has hit a bound  
implied by some inequality constraint and thus  
has no standard error.

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value |
|---------------|-----------------|----------|---------|---------|
| Full model    | -64.1853        |          |         |         |
| Fitted model  | -64.8194        | 1.26826  | 2       | 0.5304  |
| Reduced model | -85.6554        | 42.9402  | 2       | <.0001  |

AIC: 131.639

## Goodness of Fit

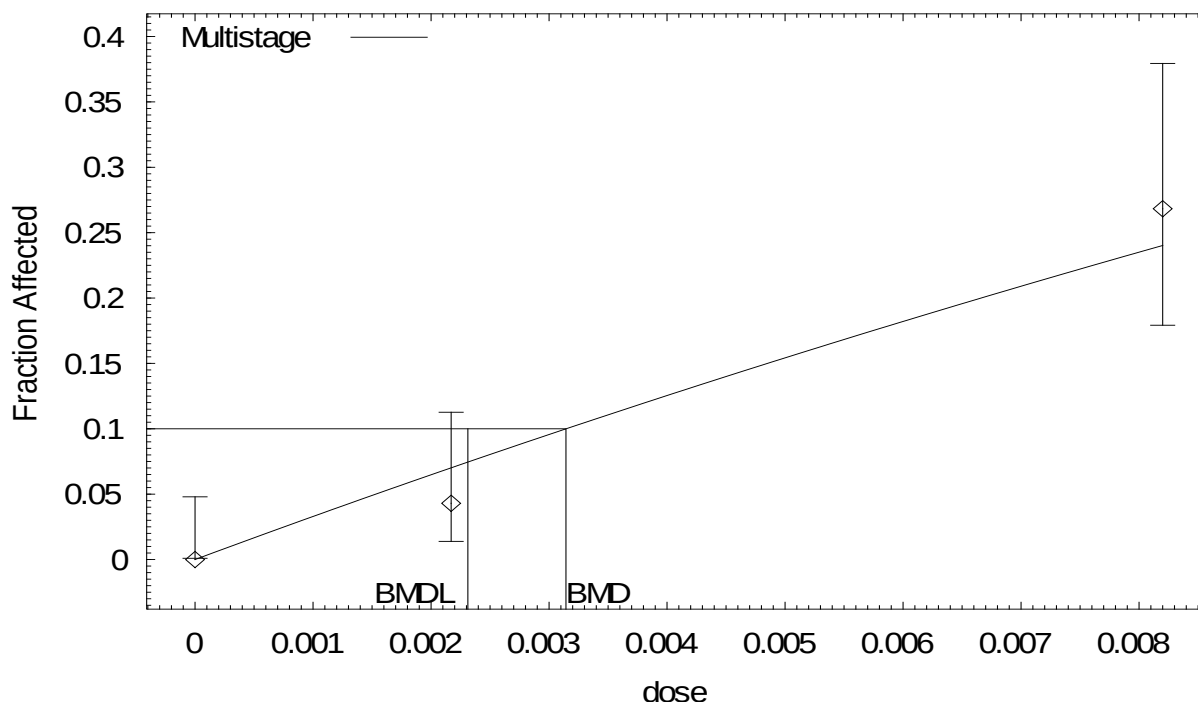
|              | Dose    | Est._Prob. | Expected | Observed         | Size | Chi^2 Res. |
|--------------|---------|------------|----------|------------------|------|------------|
| i: 1         | 0.0000  | 0.0000     | 0.000    | 0                | 96   | 0.000      |
| i: 2         | 20.0000 | 0.0673     | 6.263    | 4                | 93   | -0.387     |
| i: 3         | 80.0000 | 0.2434     | 19.956   | 22               | 82   | 0.135      |
| Chi-square = | 1.15    |            | DF = 2   | P-value = 0.5617 |      |            |

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 30.225  
BMDL = 22.2323

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley male rats employing  
CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:22 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_CNS_INHALE_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_CNS_INHALE_BLOOD_CEO.plt
Thu Sep 27 15:22:30 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0  
Beta(1) = 39.4721

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

#### Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 33.5271  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -64.1853        | 3         |          |           |         |
| Fitted model  | -64.9602        | 1         | 1.54975  | 2         | 0.4608  |
| Reduced model | -85.6554        | 1         | 42.9402  | 2         | <.0001  |
| AIC:          | 131.92          |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 96   | 0.000           |
| 0.0022 | 0.0702     | 6.525    | 4        | 93   | -1.025          |
| 0.0082 | 0.2404     | 19.711   | 22       | 82   | 0.592           |

Chi^2 = 1.40 d.f. = 2 P-value = 0.4963

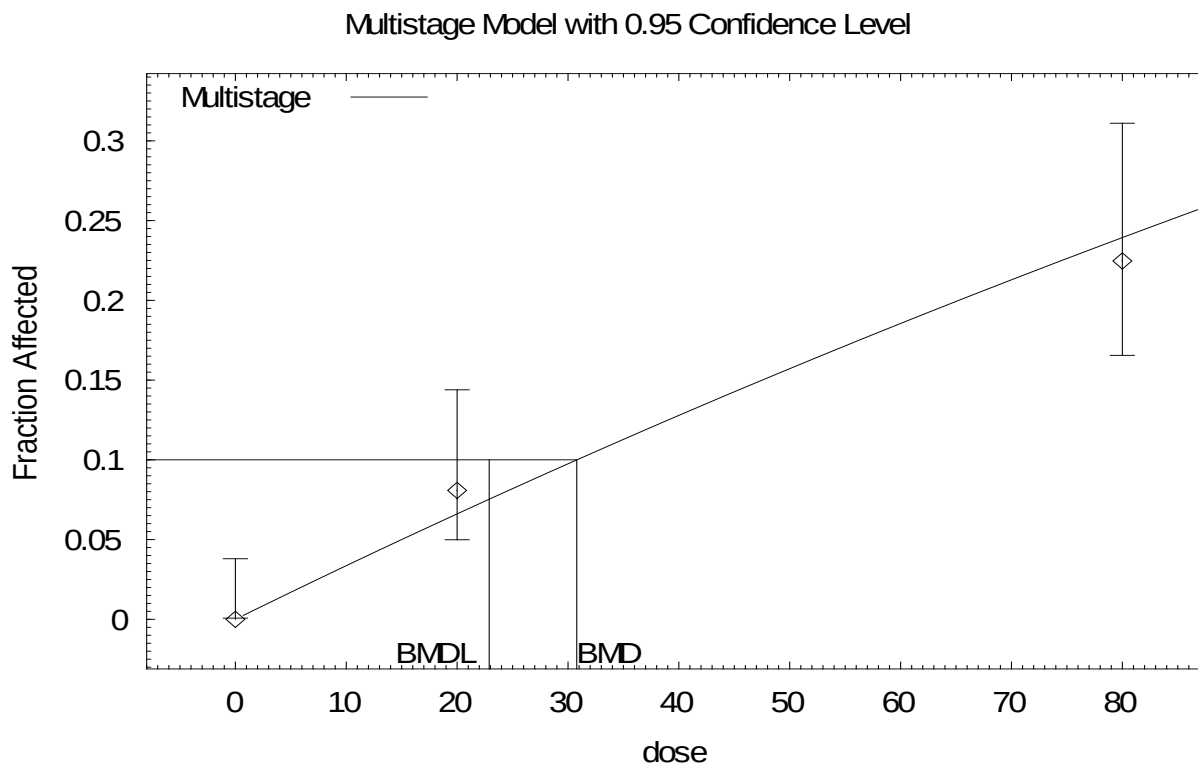
#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00314255  
BMDL = 0.00231159  
BMDU = 0.00442253

Taken together, (0.00231159, 0.00442253) is a 90 % two-sided confidence interval for the BMD



**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley female rats  
employing administered dose as a dose metric**



13:31 04/13 2007

```
=====
Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_CNS_INHALE_PPM.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_CNS_INHALE_PPM.plt
Fri Apr 13 13:31:42 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.00947538  
Beta(1) = 0.00310229

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

## Parameter Estimates

| Variable   | Estimate   | Std. Err.  |
|------------|------------|------------|
| Background | 0          | NA         |
| Beta(1)    | 0.00342189 | 0.00148795 |

NA - Indicates that this parameter has hit a bound  
implied by some inequality constraint and thus  
has no standard error.

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value |
|---------------|-----------------|----------|---------|---------|
| Full model    | -75.2138        |          |         |         |
| Fitted model  | -75.4293        | 0.431111 | 2       | 0.8061  |
| Reduced model | -91.1284        | 31.8293  | 2       | <.0001  |

AIC: 152.859

## Goodness of Fit

| Dose | Est._Prob. | Expected | Observed | Size | Chi^2 Res. |
|------|------------|----------|----------|------|------------|
| i: 1 | 0.0000     | 0.000    | 0        | 93   | 0.000      |
| i: 2 | 20.0000    | 6.549    | 8        | 99   | 0.237      |
| i: 3 | 80.0000    | 21.314   | 20       | 89   | -0.081     |

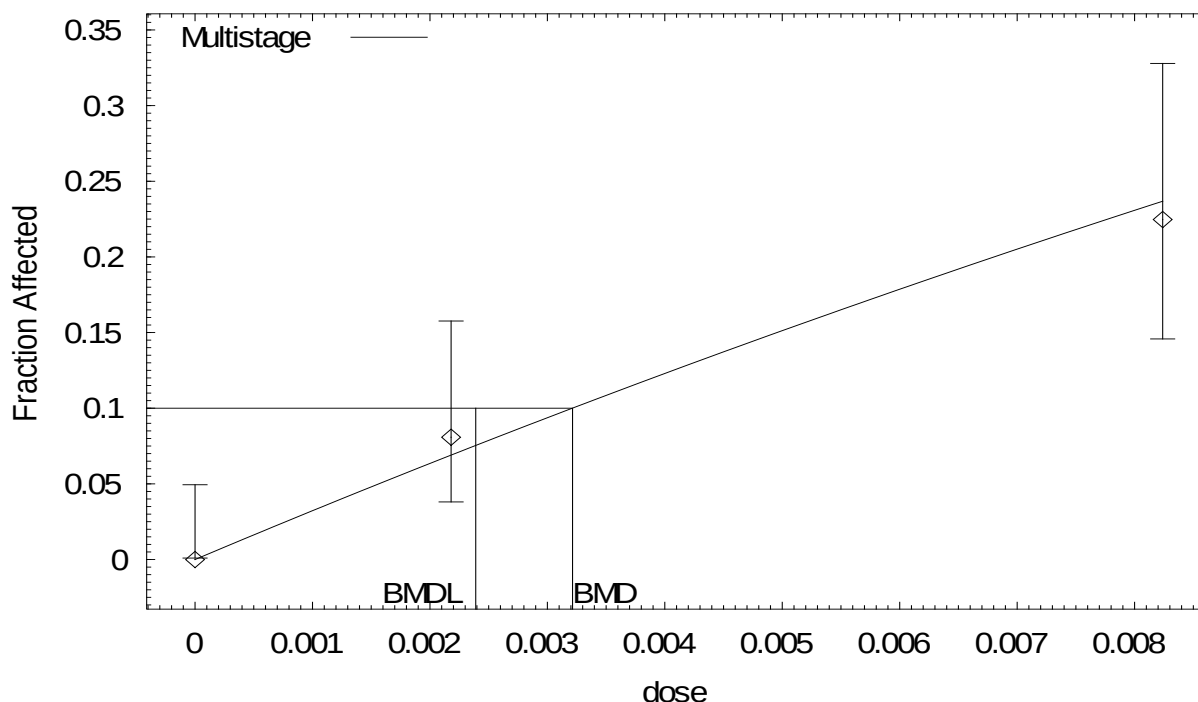
Chi-square = 0.45 DF = 2 P-value = 0.7982

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 30.7901  
BMDL = 22.8938

**BMDS (version 1.4.1) output for CNS tumors in Sprague-Dawley female rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:38 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_CNS_INHALE_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_CNS_INHALE_BLOOD_CEO.plt
Thu Sep 27 15:38:25 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.00769725  
Beta(1) = 30.2917

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

## Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 32.7805  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -75.2138        | 3         |          |           |         |
| Fitted model  | -75.3524        | 1         | 0.277338 | 2         | 0.8705  |
| Reduced model | -91.1284        | 1         | 31.8293  | 2         | <.0001  |

AIC: 152.705

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 93   | 0.000           |
| 0.0022 | 0.0690     | 6.827    | 8        | 99   | 0.465           |
| 0.0082 | 0.2367     | 21.065   | 20       | 89   | -0.266          |

Chi^2 = 0.29 d.f. = 2 P-value = 0.8663

## Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 0.00321413

BMDL = 0.00238988

BMDU = 0.00446381

Taken together, (0.00238988, 0.00446381) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: Zymbal Gland** (Dow Chemical Co., 1992a; Quast et al., 1980b)

**Table B-31. Incidence of Zymbal gland tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Sex    | Administered AN concentration (ppm in air) | Predicted CEO-AUC in blood (mg/L) | Incidence of Zymbal gland tumors <sup>a</sup> |
|--------|--------------------------------------------|-----------------------------------|-----------------------------------------------|
| Male   | 0                                          | 0                                 | 2/96 (2%)                                     |
|        | 20                                         | $2.17 \times 10^{-3}$             | 4/93 (4%)                                     |
|        | 80                                         | $8.20 \times 10^{-3}$             | 11/82 (13%) <sup>b</sup>                      |
| Female | 0                                          | 0                                 | 0/93 (0%)                                     |
|        | 20                                         | $2.18 \times 10^{-3}$             | 1/98 (1%)                                     |
|        | 80                                         | $8.24 \times 10^{-3}$             | 11/89 (12%) <sup>b</sup>                      |

<sup>a</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>b</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-32. Summary of BMD modeling results based on incidence of Zymbal's gland tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ p-value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|-------------------------------|--------|--------------------------------|---------------------------------|
| <b>Males</b>      |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.78                          | 121.17 | 70.29 ppm                      | 42.53 ppm                       |
| CEO               | 1°MS                        | 0.73                          | 121.21 | $7.26 \times 10^{-3}$ mg/L     | $4.40 \times 10^{-3}$ mg/L      |
| <b>Females</b>    |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.50                          | 81.47  | 75.70 ppm                      | 48.74 ppm                       |
| CEO               | 1°MS                        | 0.46                          | 81.67  | $7.90 \times 10^{-3}$ mg/L     | $5.09 \times 10^{-3}$ mg/L      |

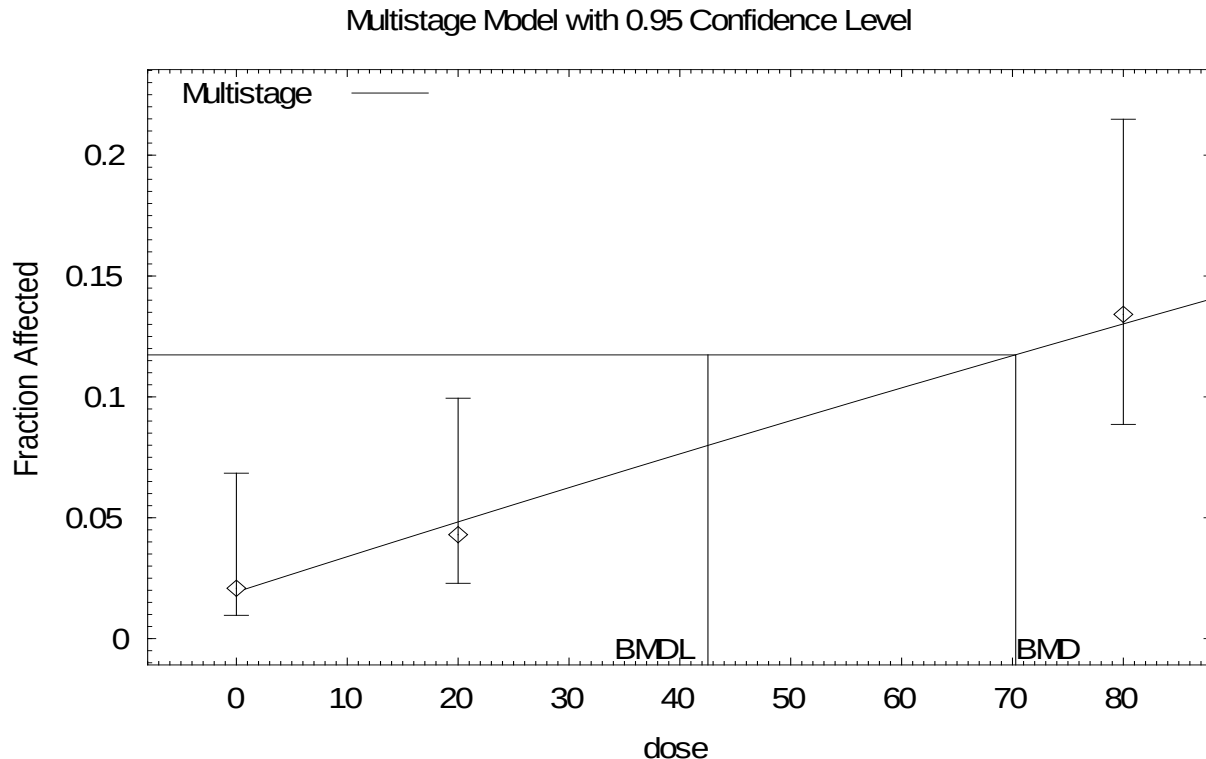
<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. "1°MS" indicates a one-stage multistage model.

<sup>b</sup>p value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley male rats  
employing administered dose as a dose metric**



13:22 04/13 2007

```
=====
Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_ZYMBAL_INHALE_PPM.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_ZYMBAL_INHALE_PPM.plt
Fri Apr 13 13:22:06 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0172853  
Beta(1) = 0.00156747

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.66   |
| Beta(1)    | -0.66      | 1       |

## Parameter Estimates

| Variable   | Estimate   | Std. Err.  |
|------------|------------|------------|
| Background | 0.0193054  | 0.0819561  |
| Beta(1)    | 0.00149893 | 0.00187956 |

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance  | Test DF | P-value |
|---------------|-----------------|-----------|---------|---------|
| Full model    | -58.5432        |           |         |         |
| Fitted model  | -58.5838        | 0.0811571 | 1       | 0.7757  |
| Reduced model | -63.5267        | 9.9669    | 2       | 0.00685 |

AIC: 121.168

## Goodness of Fit

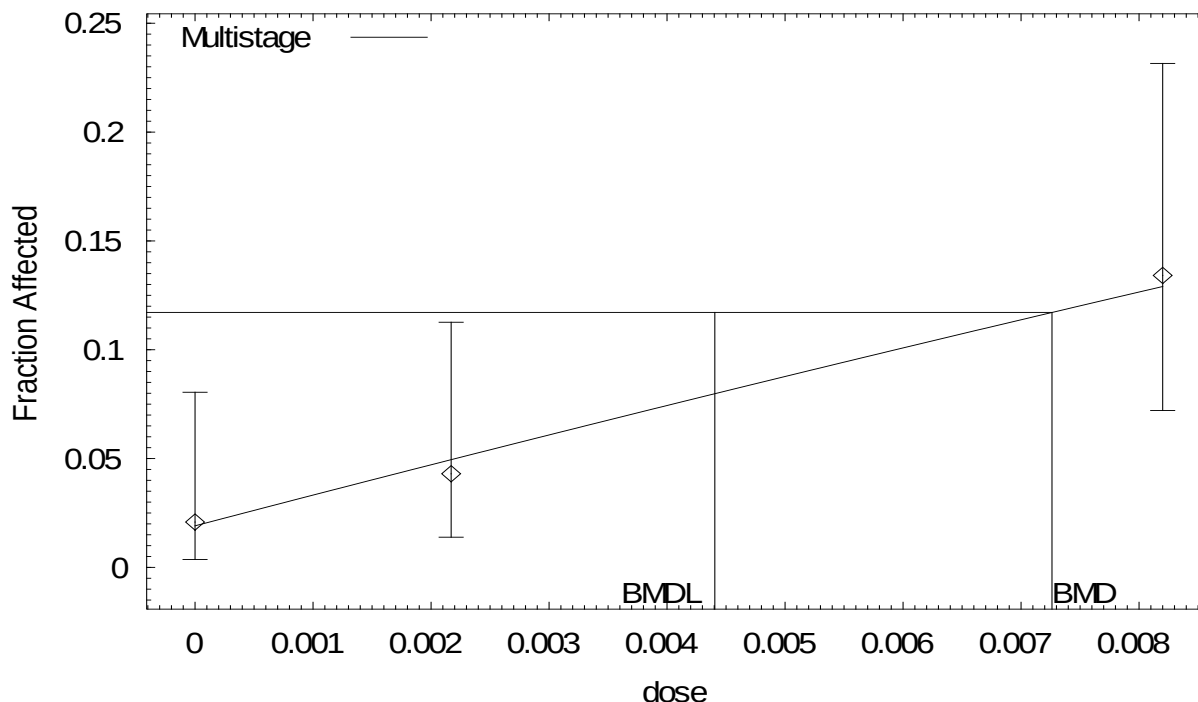
|              | Dose    | Est._Prob. | Expected         | Observed | Size | Chi^2 Res. |
|--------------|---------|------------|------------------|----------|------|------------|
| i: 1         | 0.0000  | 0.0193     | 1.853            | 2        | 96   | 0.081      |
| i: 2         | 20.0000 | 0.0483     | 4.489            | 4        | 93   | -0.114     |
| i: 3         | 80.0000 | 0.1301     | 10.670           | 11       | 82   | 0.036      |
| Chi-square = | 0.08    | DF = 1     | P-value = 0.7780 |          |      |            |

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 70.2907  
BMDL = 42.5347

**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley male rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:25 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_ZYMBAL_INHALE_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_ZYMBAL_INHALE_BLOOD_CEO.plt
Thu Sep 27 15:25:06 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008



# Default Initial Parameter Values

Background = 0.0165179  
Beta(1) = 15.3411

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.66   |
| Beta(1)    | -0.66      | 1       |

## Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0190621 | *         | *                              | *                 |
| Beta(1)    | 14.5086   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

## Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -58.5432        | 3         |          |           |         |
| Fitted model  | -58.6032        | 2         | 0.120008 | 1         | 0.729   |
| Reduced model | -63.5267        | 1         | 9.9669   | 2         | 0.00685 |
| AIC:          | 121.206         |           |          |           |         |

## Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0191     | 1.830    | 2        | 96   | 0.127           |
| 0.0022 | 0.0495     | 4.600    | 4        | 93   | -0.287          |
| 0.0082 | 0.1291     | 10.586   | 11       | 82   | 0.136           |

Chi^2 = 0.12      d.f. = 1      P-value = 0.7323

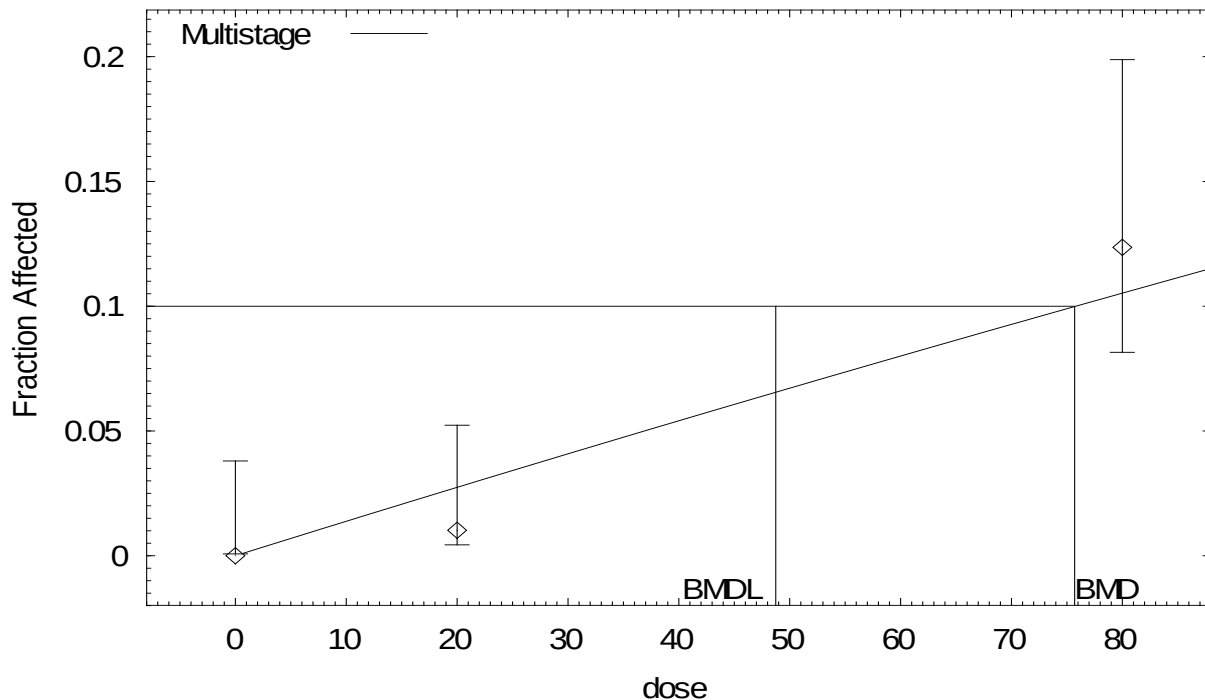
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00726195  
BMDL = 0.00440461  
BMDU = 0.0159076

Taken together, (0.00440461, 0.0159076) is a 90 % two-sided confidence interval for the BMD

**BMDS (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley female rats  
employing administered dose as a dose metric**

Multistage Model with 0.95 Confidence Level



13:35 04/13 2007

```
=====
Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_ZYMBAL_INHALE_PPM.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_ZYMBAL_INHALE_PPM.plt
Fri Apr 13 13:35:30 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0  
Beta(1) = 0.0017365

## Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

## Parameter Estimates

| Variable   | Estimate   | Std. Err.  |
|------------|------------|------------|
| Background | 0          | NA         |
| Beta(1)    | 0.00139182 | 0.00127839 |

NA - Indicates that this parameter has hit a bound  
implied by some inequality constraint and thus  
has no standard error.

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value |
|---------------|-----------------|----------|---------|---------|
| Full model    | -38.8683        |          |         |         |
| Fitted model  | -39.7334        | 1.73007  | 2       | 0.421   |
| Reduced model | -49.5377        | 21.3387  | 2       | <.0001  |
| AIC:          | 81.4668         |          |         |         |

## Goodness of Fit

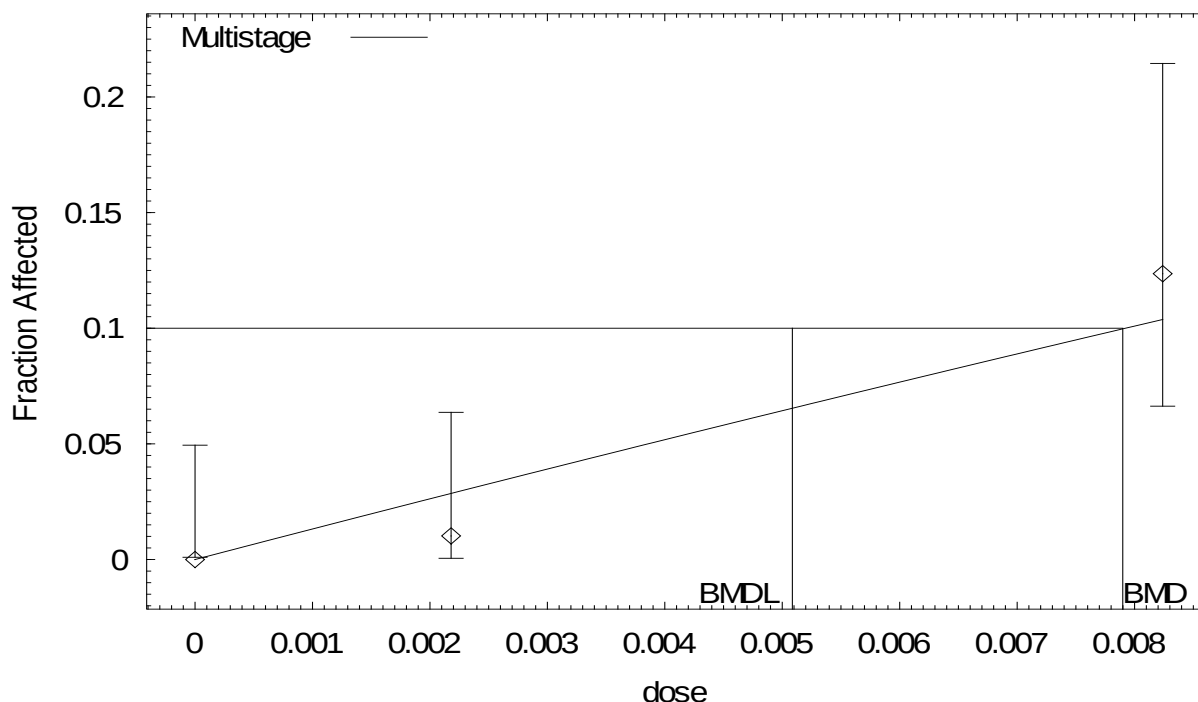
| Dose         | Est._Prob. | Expected | Observed  | Size   | Chi^2 Res. |
|--------------|------------|----------|-----------|--------|------------|
| i: 1         |            |          |           |        |            |
| 0.0000       | 0.0000     | 0.000    | 0         | 93     | 0.000      |
| i: 2         |            |          |           |        |            |
| 20.0000      | 0.0275     | 2.690    | 1         | 98     | -0.646     |
| i: 3         |            |          |           |        |            |
| 80.0000      | 0.1054     | 9.378    | 11        | 89     | 0.193      |
| Chi-square = | 1.41       | DF = 2   | P-value = | 0.4952 |            |

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 75.6997  
BMDL = 48.7384

**BMDs (version 1.4.1) output for Zymbal gland tumors in Sprague-Dawley female rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:41 09/27 2007

```
=====
      Multistage Model. (Version: 2.8; Date: 02/20/2007)
      Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_ZYMBAL_INHALE_BLOOD_CEO.(d)
      Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_ZYMBAL_INHALE_BLOOD_CEO.plt
                                     Thu Sep 27 15:41:40 2007
=====
```

~~~~~  
BMDS MODEL RUN
~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\text{beta1} * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0  
Beta(1) = 16.8864

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

#### Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 13.3383  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -38.8683        | 3         |          |           |         |
| Fitted model  | -39.8337        | 1         | 1.93077  | 2         | 0.3808  |
| Reduced model | -49.5377        | 1         | 21.3387  | 2         | <.0001  |
| AIC:          | 81.6675         |           |          |           |         |

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0        | 93   | 0.000           |
| 0.0022 | 0.0287     | 2.808    | 1        | 98   | -1.095          |
| 0.0082 | 0.1041     | 9.263    | 11       | 89   | 0.603           |

Chi^2 = 1.56 d.f. = 2 P-value = 0.4579

#### Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.00789912  
BMDL = 0.00508579  
BMDU = 0.0132303

Taken together, (0.00508579, 0.0132303) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: Tongue** (Dow Chemical Co., 1992a; Quast et al., 1980b)

**Table B-33. Incidence of tongue tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Sex  | Administered AN concentration (ppm in air) | Predicted CEO-AUC in blood (mg/L) | Incidence of tongue tumors <sup>a</sup> |
|------|--------------------------------------------|-----------------------------------|-----------------------------------------|
| Male | 0                                          | 0                                 | 1/95 (1%)                               |
|      | 20                                         | $2.17 \times 10^{-3}$             | 0/14 (0%)                               |
|      | 80                                         | $8.20 \times 10^{-3}$             | 7/82 (9%) <sup>b</sup>                  |

<sup>a</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>b</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-34. Summary of BMD modeling results based on incidence of tongue tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ $p$ -value <sup>b</sup> | AIC   | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|----------------------------------|-------|--------------------------------|---------------------------------|
| <i>Males</i>      |                             |                                  |       |                                |                                 |
| Administered dose | 1°MS                        | 0.51                             | 63.76 | 111.06 ppm                     | 59.41 ppm                       |
| CEO               | 2°MS                        | 0.63                             | 63.37 | $9.48 \times 10^{-3}$ mg/L     | $6.39 \times 10^{-3}$ mg/L      |

<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model.

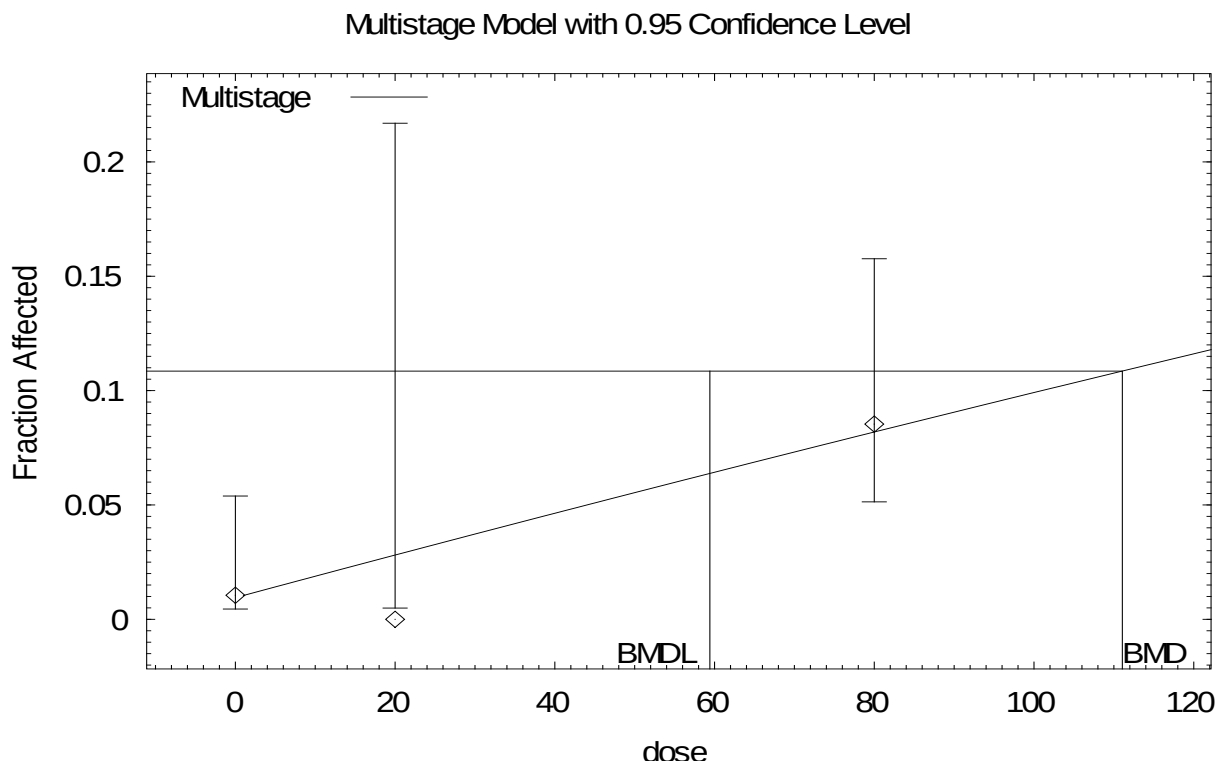
“2°MS” indicates a two-stage multistage model.

<sup>b</sup> $p$  value from the  $\chi^2$  goodness of fit test. Values  $<0.1$  indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for tongue tumors in Sprague-Dawley male rats employing administered dose as a dose metric**



13:25 04/13 2007

```
=====
Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_TONGUE_INHALE_PPM.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_TONGUE_INHALE_PPM.plt
Fri Apr 13 13:25:25 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0  
Beta(1) = 0.00109944

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.65   |
| Beta(1)    | -0.65      | 1       |

## Parameter Estimates

| Variable   | Estimate    | Std. Err.  |
|------------|-------------|------------|
| Background | 0.00947867  | 0.0968926  |
| Beta(1)    | 0.000948673 | 0.00186696 |

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value |
|---------------|-----------------|----------|---------|---------|
| Full model    | -29.4666        |          |         |         |
| Fitted model  | -29.8775        | 0.821799 | 1       | 0.3647  |
| Reduced model | -33.2127        | 7.49227  | 2       | 0.02361 |

AIC: 63.755

## Goodness of Fit

|              | Dose    | Est._Prob. | Expected | Observed         | Size | Chi^2 Res. |
|--------------|---------|------------|----------|------------------|------|------------|
| i: 1         | 0.0000  | 0.0095     | 0.900    | 1                | 95   | 0.112      |
| i: 2         | 20.0000 | 0.0281     | 0.393    | 0                | 14   | -1.029     |
| i: 3         | 80.0000 | 0.0819     | 6.713    | 7                | 82   | 0.046      |
| Chi-square = | 0.43    | DF = 1     |          | P-value = 0.5124 |      |            |

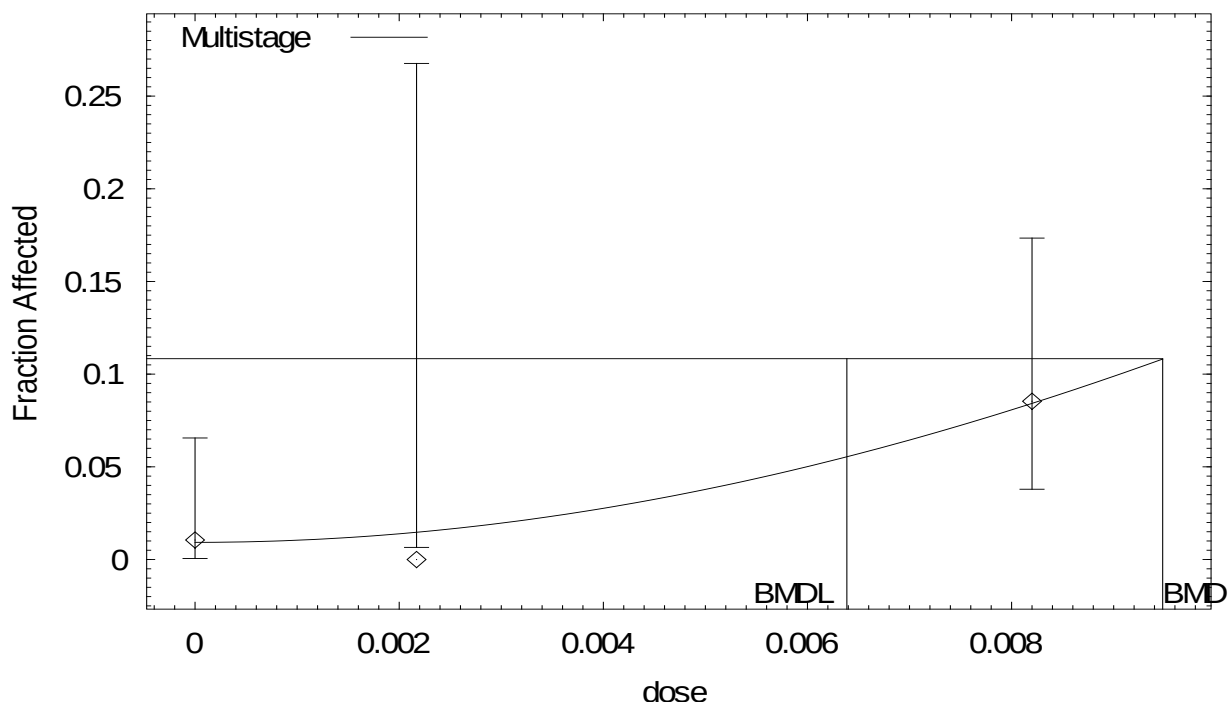
## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 111.061  
BMDL = 59.4126



**BMDS (version 1.4.1) output for tongue tumors in Sprague-Dawley male rats employing  
CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:28 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_TONGUE_INHALE_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_MALE_TONGUE_INHALE_BLOOD_CEO.plt
Thu Sep 27 15:28:11 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^{1 - \text{beta2} * \text{dose}^2})]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

```

Background = 0.00257667
Beta(1) = 0
Beta(2) = 1279.63

```

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.64   |
| Beta(2)    | -0.64      | 1       |

#### Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00925756 | *         | *                              | *                 |
| Beta(1)    | 0          | *         | *                              | *                 |
| Beta(2)    | 1172.26    | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -29.4666        | 3         |          |           |         |
| Fitted model  | -29.6826        | 2         | 0.431994 | 1         | 0.511   |
| Reduced model | -33.2127        | 1         | 7.49227  | 2         | 0.02361 |

AIC: 63.3652

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0093     | 0.879    | 1        | 95   | 0.129           |
| 0.0022 | 0.0147     | 0.206    | 0        | 14   | -0.457          |
| 0.0082 | 0.0844     | 6.917    | 7        | 82   | 0.033           |

Chi^2 = 0.23      d.f. = 1      P-value = 0.6339

#### Benchmark Dose Computation

```

Specified effect = 0.1
Risk Type = Extra risk
Confidence level = 0.95
BMD = 0.0094804
BMDL = 0.00638558
BMDU = 0.0279407

```

Taken together, (0.00638558, 0.0279407) is a 90 % two-sided confidence interval for the BMD

**Tumor Site: Mammary Gland** (Dow Chemical Co., 1992a; Quast et al., 1980b)

**Table B-35. Incidence of mammary gland tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Sex    | Administered AN concentration (ppm in air) | Predicted CEO-AUC in blood (mg/L) | Incidence of mammary gland tumors <sup>a</sup> |
|--------|--------------------------------------------|-----------------------------------|------------------------------------------------|
| Female | 0                                          | 0                                 | 9/93 (10%)                                     |
|        | 20                                         | $2.18 \times 10^{-3}$             | 8/98 (8%)                                      |
|        | 80                                         | $8.24 \times 10^{-3}$             | 20/99 (20%) <sup>b</sup>                       |

<sup>a</sup>Incidences for Sprague-Dawley rats do not include animals from the 6- and 12-mo sacrifices and were further adjusted to exclude (from the denominators) rats that died between 0 and 12 mos in the study.

<sup>b</sup>Significantly different from controls ( $p < 0.05$ ) as calculated by the study authors.

**Table B-36. Summary of BMD modeling results based on incidence of mammary gland tumors in Sprague-Dawley rats exposed to AN in air for 2 years**

| Dose metric       | Best-fit model <sup>a</sup> | $\chi^2$ p-value <sup>b</sup> | AIC    | BMD <sub>10</sub> <sup>c</sup> | BMDL <sub>10</sub> <sup>d</sup> |
|-------------------|-----------------------------|-------------------------------|--------|--------------------------------|---------------------------------|
| <i>Females</i>    |                             |                               |        |                                |                                 |
| Administered dose | 1°MS                        | 0.28                          | 219.42 | 66.48 ppm                      | 37.82 ppm                       |
| CEO               | 2°MS                        | 0.57                          | 218.52 | $7.31 \times 10^{-3}$ mg/L     | $4.33 \times 10^{-3}$ mg/L      |

<sup>a</sup>Dose-response models were fit using BMDS, version 1.4.1. “1°MS” indicates a one-stage multistage model.

“2°MS” indicates a two-stage multistage model.

<sup>b</sup> $p$  value from the  $\chi^2$  goodness of fit test. Values <0.1 indicate a significant lack of fit.

<sup>c</sup>BMD<sub>10</sub> = BMD at 10% extra risk.

<sup>d</sup>BMDL<sub>10</sub> = 95% lower confidence limit on the BMD at 10% extra risk.

**BMDS (version 1.4.1) output for mammary gland tumors in Sprague-Dawley female rats  
employing administered dose as a dose metric**



13:38 04/13 2007

```
=====
Multistage Model. $Revision: 2.1 $ $Date: 2000/08/21 03:38:21 $
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_MAMMARY_INHALE_PPM.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_MAMMARY_INHALE_PPM.plt
Fri Apr 13 13:38:03 2007
=====
```

~~~~~  
BMDS MODEL RUN
~~~~~

The form of the probability function is:

$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1)]$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

# Default Initial Parameter Values

Background = 0.0767126  
Beta(1) = 0.00173168

## Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) |
|------------|------------|---------|
| Background | 1          | -0.68   |
| Beta(1)    | -0.68      | 1       |

## Parameter Estimates

| Variable   | Estimate   | Std. Err.  |
|------------|------------|------------|
| Background | 0.0806351  | 0.0783644  |
| Beta(1)    | 0.00158474 | 0.00179372 |

## Analysis of Deviance Table

| Model         | Log(likelihood) | Deviance | Test DF | P-value |
|---------------|-----------------|----------|---------|---------|
| Full model    | -107.092        |          |         |         |
| Fitted model  | -107.71         | 1.23579  | 1       | 0.2663  |
| Reduced model | -110.714        | 7.24319  | 2       | 0.02674 |
| AIC:          | 219.421         |          |         |         |

## Goodness of Fit

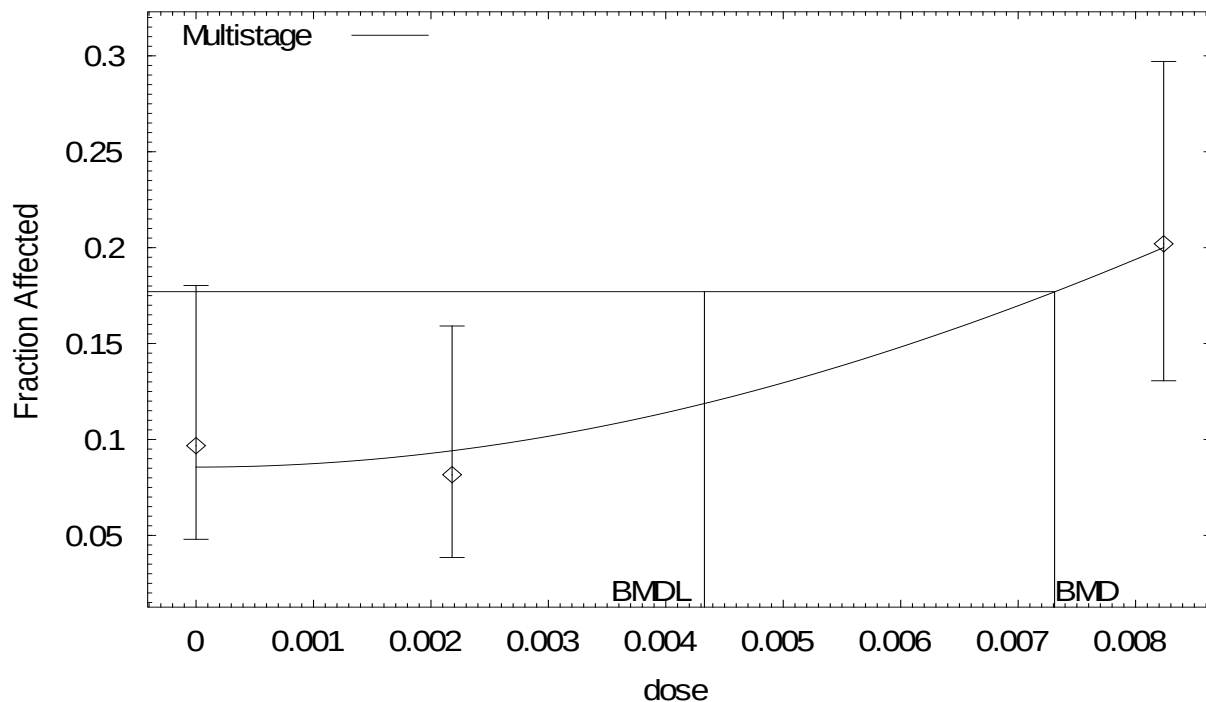
|              | Dose    | Est._Prob. | Expected | Observed         | Size | Chi^2 Res. |
|--------------|---------|------------|----------|------------------|------|------------|
| i: 1         | 0.0000  | 0.0806     | 7.499    | 9                | 93   | 0.218      |
| i: 2         | 20.0000 | 0.1093     | 10.713   | 8                | 98   | -0.284     |
| i: 3         | 80.0000 | 0.1901     | 18.820   | 20               | 99   | 0.077      |
| Chi-square = | 1.19    | DF = 1     |          | P-value = 0.2754 |      |            |

## Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 66.4843  
BMDL = 37.8172

**BMDS (version 1.4.1) output for mammary gland tumors in Sprague-Dawley female rats  
employing CEO in blood as an internal dose metric**

Multistage Model with 0.95 Confidence Level



15:44 09/27 2007

```
=====
Multistage Model. (Version: 2.8; Date: 02/20/2007)
Input Data File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_MAMMARY_INHALE_BLOOD_CEO.(d)
Gnuplot Plotting File: G:\ACN DOSE-RESPONSE
MODELING\CANCER\INHALATION\SD_FEMALE_MAMMARY_INHALE_BLOOD_CEO.plt
Thu Sep 27 15:44:26 2007
=====
```

BMDS MODEL RUN

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \exp(-\beta_1 \text{dose} - \beta_2 \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = Response  
Independent variable = Dose

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

```

Background = 0.0853385
Beta(1) = 0
Beta(2) = 1996.34

```

#### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|            | Background | Beta(2) |
|------------|------------|---------|
| Background | 1          | -0.6    |
| Beta(2)    | -0.6       | 1       |

#### Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0856208 | *         | *                              | *                 |
| Beta(1)    | 0         | *         | *                              | *                 |
| Beta(2)    | 1971.76   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

#### Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -107.092        | 3         |          |           |         |
| Fitted model  | -107.258        | 2         | 0.332027 | 1         | 0.5645  |
| Reduced model | -110.714        | 1         | 7.24319  | 2         | 0.02674 |

AIC: 218.517

#### Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0856     | 7.963    | 9        | 93   | 0.384           |
| 0.0022 | 0.0941     | 9.226    | 8        | 98   | -0.424          |
| 0.0082 | 0.2002     | 19.818   | 20       | 99   | 0.046           |

Chi^2 = 0.33      d.f. = 1      P-value = 0.5658

#### Benchmark Dose Computation

```

Specified effect = 0.1
Risk Type        = Extra risk
Confidence level = 0.95
BMD = 0.00730992
BMDL = 0.00432882
BMDU = 0.0152838

```

Taken together, (0.00432882, 0.0152838) is a 90 % two-sided confidence interval for the BMD

## **APPENDIX B-5. ANALYSIS TO ASSESS COMBINING TUMOR INCIDENCE DATA FROM TWO CANCER BIOASSAYS EMPLOYING SPRAGUE-DAWLEY RATS**

A statistical analysis was conducted to determine whether tumor dose-response data from two 2-year drinking water bioassays in Sprague-Dawley rats were similar enough to be combined. The first study employed AN drinking water concentrations of 0, 35, 100, and 300 ppm (Quast, 2002; Quast et al., 1980a), while the second study used AN drinking water concentrations of 0, 1, and 100 ppm (Johannsen and Levinskas, 2002a). To conduct the analysis, the multistage model in BMDS (version 1.3.2) was fit to the tumor incidence data from three sites (forestomach, CNS, and Zymbal gland) in each sex across both studies, using administered animal dose expressed in mg/kg-day. Using the best-fit model, a statistical test described by Stiteler et al. (1993), which employs a maximum likelihood ratio statistic distributed as a  $\chi^2$ , was then used to test the null hypothesis that the corresponding data sets from the two studies are compatible with a common dose-response model. If the null hypothesis is not rejected, this analysis provides evidence that the results from the two studies may be pooled.

The results of this analysis showed that forestomach and Zymbal gland tumors in male and female Sprague-Dawley rats were not compatible with a common dose-response model, while CNS tumors in male and female Sprague-Dawley rats were compatible with a common dose-response model. Because of these conflicting results, it was decided that the results from the two Sprague-Dawley drinking water studies would not be pooled. Therefore, the final dose-response analysis for deriving the oral slope factor for AN focused on the two rat drinking water studies containing the most dose groups (i.e., the Sprague-Dawley rat bioassay reported by Quast [2002] and the F344 rat bioassay reported by Johannsen and Levinskas [2002b]). For each tumor site, summaries of the results of the statistical tests for compatibility are shown below.

### **Test 1: Forestomach tumors in male Sprague-Dawley rats**

Tumor incidence data (Johannsen and Levinskas, 2002a):

- 0 ppm (0 mg/kg-day): 3/78
- 1 ppm (0.085 mg/kg-day): 3/78
- 100 ppm (8.53 mg/kg-day): 11/77

Best-fit model: 1-stage multistage

Log (likelihood) = -57.0113

Tumor incidence data (Quast, 2002):

- 0 ppm (0 mg/kg-day): 0/80
- 35 ppm (3.42 mg/kg-day): 2/47



- 100 ppm (8.53 mg/kg-day): 23/48
- 300 ppm (21.20 mg/kg-day): 39/48

Best-fit model: 2-stage multistage (highest dose group dropped)

Log (likelihood) = -42.4099

Tumor incidence data (combined):

- 0 ppm (0 mg/kg-day): 3/158
- 1 ppm (0.085 mg/kg-day): 3/78
- 35 ppm (3.42 mg/kg-day): 2/47
- 100 ppm (8.53 mg/kg-day): 34/125
- 300 ppm (21.20 mg/kg-day): 39/48

Best-fit model: 2-stage multistage

Log (likelihood) = -132.865

Conclusion: Based on the likelihood ratio test statistic,  $-2 \ln \Lambda = 2[132.865 - (42.4099 + 57.0113)] = 2 \times 33.4438 = 66.89$ , the data sets are not compatible with a common dose-response model at  $p < 0.0001$ .

## **Test 2: Forestomach tumors in female Sprague-Dawley rats**

Tumor incidence data (Johannsen and Levinskas, 2002a):

- 0 ppm (0 mg/kg-day): 1/80
- 1 ppm (0.11 mg/kg-day): 4/79
- 100 ppm (10.80 mg/kg-day): 7/79

Best-fit model: 1-stage multistage

Log (likelihood) = -45.8328

Tumor incidence data (Quast, 2002):

- 0 ppm (0 mg/kg-day): 1/80
- 35 ppm (4.36 mg/kg-day): 1/48
- 100 ppm (10.80 mg/kg-day): 12/48
- 300 ppm (25.00 mg/kg-day): 30/48

Best-fit model: 2-stage multistage

Log (likelihood) = -69.9834

Tumor incidence data (combined):

- 0 ppm (0 mg/kg-day): 2/160
- 1 ppm (0.11 mg/kg-day): 4/79
- 35 ppm (4.36 mg/kg-day): 1/48
- 100 ppm (10.80 mg/kg-day): 19/127
- 300 ppm (25.00 mg/kg-day): 30/48

Best-fit model: 2-stage multistage

Log (likelihood) = -119.054

Conclusion: Based on the likelihood ratio test statistic,  $-2 \ln \Lambda = 2[119.054 - (69.9834 + 45.8328)] = 2 \times 3.2378 = 6.48$ , the data sets are not compatible with a common dose-response model at  $p = 0.011$ .

**Test 3: CNS tumors in male Sprague-Dawley rats**

Tumor incidence data (Johannsen and Levinskas, 2002a):

- 0 ppm (0 mg/kg-day): 2/78
- 1 ppm (0.085 mg/kg-day): 3/75
- 100 ppm (8.53 mg/kg-day): 23/77

Best-fit model: 1-stage multistage

Log (likelihood) = -68.9254

Tumor incidence data (Quast, 2002):

- 0 ppm (0 mg/kg-day): 1/80
- 35 ppm (3.42 mg/kg-day): 12/47
- 100 ppm (8.53 mg/kg-day): 22/48
- 300 ppm (21.20 mg/kg-day): 30/48

Best-fit model: 1-stage multistage

Log (likelihood) = -98.6909

Tumor incidence data (combined):

- 0 ppm (0 mg/kg-day): 3/158
- 1 ppm (0.085 mg/kg-day): 3/75

- 35 ppm (3.42 mg/kg-day): 12/47
- 100 ppm (8.53 mg/kg-day): 45/125
- 300 ppm (21.20 mg/kg-day): 30/48

Best-fit model: 1-stage multistage

Log (likelihood) = -168.873

Conclusion: Based on the likelihood ratio test statistic,  $-2 \ln \Lambda = 2[168.873 - (98.6909 + 68.9254)] = 2 \times 1.2567 = 2.51$ , the data sets are compatible with a common dose-response model at  $p = 0.113$ .

#### **Test 4: CNS Tumors in female Sprague-Dawley rats**

Tumor incidence data (Johannsen and Levinskas, 2002a):

- 0 ppm (0 mg/kg-day): 0/79
- 1 ppm (0.11 mg/kg-day): 1/80
- 100 ppm (10.80 mg/kg-day): 39/78

Best-fit model: 1-stage multistage

Log (likelihood) = -59.5775

Tumor incidence data (Quast, 2002):

- 0 ppm (0 mg/kg-day): 1/80
- 35 ppm (4.36 mg/kg-day): 20/48
- 100 ppm (10.80 mg/kg-day): 25/48
- 300 ppm (25.00 mg/kg-day): 31/48

Best-fit model: Log-logistic

Log (likelihood) = -104.123

Tumor incidence data (combined):

- 0 ppm (0 mg/kg-day): 1/159
- 1 ppm (0.11 mg/kg-day): 1/80
- 35 ppm (4.36 mg/kg-day): 20/48
- 100 ppm (10.80 mg/kg-day): 64/126
- 300 ppm (25.00 mg/kg-day): 31/48

Best-fit model: Log-logistic

Log (likelihood) = -164.485

Conclusion: Based on the likelihood ratio test statistic,  $-2 \ln \Lambda = 2[164.485 - (104.123 + 59.5775)] = 2 \times 0.7845 = 1.57$ , the data sets are compatible with a common dose-response model at  $p = 0.210$ .

### **Test 5: Zymbal gland tumors in male Sprague-Dawley rats**

Tumor incidence data (Johannsen and Levinskas, 2002a):

- 0 ppm (0 mg/kg-day): 1/80
- 1 ppm (0.085 mg/kg-day): 0/71
- 100 ppm (8.53 mg/kg-day): 17/73

Best-fit model: 1-stage multistage

Log (likelihood) = -45.815

Tumor incidence data (Quast, 2002):

- 0 ppm (0 mg/kg-day): 3/80
- 35 ppm (3.42 mg/kg-day): 4/47
- 100 ppm (8.53 mg/kg-day): 3/48
- 300 ppm (21.20 mg/kg-day): 16/48

Best-fit model: 1-stage multistage

Log (likelihood) = -70.1142

Tumor incidence data (combined):

- 0 ppm (0 mg/kg-day): 4/160
- 1 ppm (0.085 mg/kg-day): 0/71
- 35 ppm (3.42 mg/kg-day): 4/47
- 100 ppm (8.53 mg/kg-day): 20/121
- 300 ppm (21.20 mg/kg-day): 16/48

Best-fit model: 1-stage multistage

Log (likelihood) = -118.806

Conclusion: Based on the likelihood ratio test statistic,  $-2 \ln \Lambda = 2[118.806 - (70.1142 + 45.815)] = 2 \times 2.8768 = 5.75$ , the data sets are not compatible with a common dose-response model at  $p = 0.016$ .

### Test 6: Zymbal gland tumors in female Sprague-Dawley rats

Tumor incidence data (Johannsen and Levinskas, 2002a):

- 0 ppm (0 mg/kg-day): 1/79
- 1 ppm (0.11 mg/kg-day): 0/75
- 100 ppm (10.80 mg/kg-day): 12/78

Best-fit model: 1-stage multistage

Log (likelihood) = -39.6428

Tumor incidence data (Quast, 2002):

- 0 ppm (0 mg/kg-day): 1/80
- 35 ppm (4.36 mg/kg-day): 5/48
- 100 ppm (10.80 mg/kg-day): 9/48
- 300 ppm (25.00 mg/kg-day): 18/48

Best-fit model: 1-stage multistage

Log (likelihood) = -76.3975

Tumor incidence data (combined):

- 0 ppm (0 mg/kg-day): 1/159
- 1 ppm (0.11 mg/kg-day): 0/75
- 35 ppm (4.36 mg/kg-day): 5/48
- 100 ppm (10.80 mg/kg-day): 21/126
- 300 ppm (25.00 mg/kg-day): 18/48

Best-fit model: 1-stage multistage

Log (likelihood) = -111.439

Conclusion: Based on the likelihood ratio test statistic,  $-2 \ln \Lambda = 2[111.439 - (76.3975 + 39.6428)] = 2 \times (-4.6013) = -9.20 = 9.20$ , the data sets are not compatible with a common dose-response model at  $p = 0.002$ .

## APPENDIX B-6. ESTIMATION OF COMPOSITE CANCER RISK FROM EXPOSURE TO AN BY COMBINING RISK ESTIMATES ACROSS MULTIPLE TUMOR SITES

Increased tumor incidences were observed at multiple sites in male and female rats following exposure to AN both orally and by inhalation. With this multiplicity of tumors, the concern is that a potency or risk estimate based solely on one tumor site (e.g., the most sensitive site) may underestimate the overall cancer risk associated with exposure to this chemical. The most recent EPA cancer guidelines (U.S. EPA, 2005a) identified two ways to approach this issue: 1) analyze the incidence of tumor-bearing animals, or 2) combine the potencies associated with significantly elevated tumors at each site. The NRC (1994) concluded that an approach based on counts of animals with one or more tumors would tend to underestimate overall risk when tumor types occur independently, and thus an approach based on combining the risk estimates from each separate tumor type should be used.

Because potencies are typically upper bound estimates, summing such upper bound estimates across tumor sites is likely to overstate the overall risk. Therefore, following the recommendations of the NRC (1994) and the *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), a statistically valid upper bound on composite risk was derived in order to gain some understanding of the overall risk resulting from tumors occurring at multiple sites. It is important to note that this estimate of overall potency describes the risk of developing tumors at any combination of the sites considered and is not the risk of developing tumors at all three sites simultaneously. The combined risk estimate was derived assuming independence of tumors.

For modeling individual tumor data, the multistage model is specified as follows:

$$(1) P(d) = 1 - \exp[-(q_0 + q_1d + q_2d^2 + \dots + q_md^m)].$$

The model for the composite tumor risk is still multistage, with a functional form that has the sum of stage-specific multistage coefficients as the corresponding multistage coefficient.

$$(2) P_c(d) = 1 - \exp[-(\sum q_{0i} + d\sum q_{1i} + d^2\sum q_{2i} + \dots + d^m\sum q_{mi})], \text{ for } i=1, \dots, k,$$

where k = total number of sites

The resulting equation for fixed extra risk (BMR) is polynomial in dose (when logarithms of both sides are taken) and can be straightforwardly solved for the combined BMD. However, confidence bounds for this BMD are not able to be estimated by the current version of BMDS.

Therefore, a Bayesian approach to finding confidence bounds on the combined BMD was implemented using WinBugs (Spiegelhalter et al., 2003). WinBugs software is freely available and implements Markov chain Monte Carlo (MCMC) computations. Use of WinBugs has been demonstrated for derivation of a distribution of BMDs for a single multistage model (Kopylev et

al., 2007) and can be straightforwardly generalized to derive the distribution of BMDs for the combined tumor load, following the NRC (1994) methodology described above. The advantage of a Bayesian approach is that it produces a distribution of BMDs that allows better characterization of statistical uncertainty. For the current analysis, a diffuse (high variance or low tolerance) Gaussian prior restricted to be nonnegative was used. The posterior distribution was based on three chains with 50,000 burn-in (i.e., the initial 50,000 simulations were dropped) and a thinning rate of 20, resulting in 150,000 simulations total. The median and 5<sup>th</sup> percentile of the posterior distribution provided the BMD<sub>10</sub> (central estimate) and BMDL<sub>10</sub> (lower bound) for combined tumor load, respectively.

The methodology above was applied to the dose-response data for the male and female Sprague-Dawley (Quast, 2002) and F344 (Johannsen and Levinskas, 2002b) rat drinking water studies, as well as to the data from male and female Sprague-Dawley rats in the Quast et al. (1980b) inhalation study. As with the risk estimates generated for individual tumor sites, the combined analysis used the internal dose metric CEO in blood (see Appendices B-3 and B-4). The human equivalent PODs are presented in Tables B-37 (episodic oral exposure) and B-38 (continuous inhalation exposure). Estimates of composite risk were estimated by dividing the BMR of 10% extra risk by the composite BMDL<sub>10</sub>s (0.1/BMDL<sub>10</sub>). Human equivalent composite CSFs are presented in Table B-39, and composite unit risks are presented in Table B-40. The slopes derived from the composite BMD<sub>10</sub>s (0.1/ BMD<sub>10</sub>) are also included in these tables for comparison.

**Table B-37. Summary of PODs for composite cancer risk associated with episodic oral exposure to AN, using CEO-AUC levels in blood as dose metric and multiple tumor incidence data in rats**

| <b>Rat strain, sex<br/>Tumor site</b>                                                            | <b>PODs based on rat dose-response<br/>using internal dose metric CEO-<br/>AUC in blood (mg/L)</b> |                          | <b>Human equivalent PODs<sup>a</sup><br/>(mg/kg-d)</b> |                          |
|--------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------|--------------------------------------------------------|--------------------------|
|                                                                                                  | <b>BMD<sub>10</sub></b>                                                                            | <b>BMDL<sub>10</sub></b> | <b>BMD<sub>10</sub></b>                                | <b>BMDL<sub>10</sub></b> |
| <b><i>Sprague-Dawley, male</i></b><br>Forestomach, CNS, Zymbal gland,<br>tongue                  | $4.2 \times 10^{-4}$                                                                               | $3.6 \times 10^{-4}$     | 0.042                                                  | 0.036                    |
| <b><i>Sprague-Dawley, female</i></b><br>Forestomach, CNS, Zymbal gland,<br>tongue, mammary gland | $2.6 \times 10^{-4}$                                                                               | $2.0 \times 10^{-4}$     | 0.026                                                  | 0.020                    |
| <b><i>F344, male</i></b><br>Forestomach, CNS, Zymbal gland                                       | $4.6 \times 10^{-4}$                                                                               | $3.3 \times 10^{-4}$     | 0.046                                                  | 0.033                    |
| <b><i>F344, female</i></b><br>Forestomach, CNS, Zymbal gland,<br>mammary gland                   | $6.7 \times 10^{-4}$                                                                               | $5.2 \times 10^{-4}$     | 0.066                                                  | 0.051                    |

<sup>a</sup>Converted using human PBPK model.

**Table B-38. Summary of PODs for composite cancer risk associated with inhalation exposure to AN, based on multiple tumor incidence data in rats and CEO-AUC levels in blood**

| <b>Rat strain, sex<br/>Tumor site</b>                                      | <b>PODs for rat dose-response in<br/>terms of CEO-AUC in blood<br/>(mg/L)</b> |                          | <b>Human equivalent PODs:<br/>AN in air<sup>a</sup><br/>(mg/m<sup>3</sup>)</b> |                          |
|----------------------------------------------------------------------------|-------------------------------------------------------------------------------|--------------------------|--------------------------------------------------------------------------------|--------------------------|
|                                                                            | <b>BMC<sub>10</sub></b>                                                       | <b>BMCL<sub>10</sub></b> | <b>BMC<sub>10</sub></b>                                                        | <b>BMCL<sub>10</sub></b> |
| <b><i>Sprague-Dawley, male</i></b><br>Intestine, CNS, Zymbal gland, tongue | $1.4 \times 10^{-3}$                                                          | $1.1 \times 10^{-3}$     | 1.9                                                                            | 1.5                      |
| <b><i>Sprague-Dawley, female</i></b><br>CNS, Zymbal gland, mammary gland   | $1.7 \times 10^{-3}$                                                          | $1.3 \times 10^{-3}$     | 2.3                                                                            | 1.8                      |

<sup>a</sup>Converted using human PBPK model.



**Table B-39. Estimated human oral CSFs for AN based on multiple tumor incidence data in rats and CEO-AUC levels in blood**

| <b>Rat strain, sex<br/>Tumor site</b>                                                            | <b>Slope derived from composite<br/>BMD<sub>10</sub><sup>a</sup><br/>(mg/kg-d)<sup>-1</sup></b> | <b>Composite CSF<sup>b</sup><br/>(mg/kg-d)<sup>-1</sup></b> |
|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b><i>Sprague-Dawley, male</i></b><br>Forestomach, CNS, Zymbal gland, tongue                     | 2.4                                                                                             | 2.8                                                         |
| <b><i>Sprague-Dawley, female</i></b><br>Forestomach, CNS, Zymbal gland, tongue,<br>mammary gland | 3.8                                                                                             | 5.0                                                         |
| <b><i>F344, male</i></b><br>Forestomach, CNS, Zymbal gland                                       | 2.2                                                                                             | 3.1                                                         |
| <b><i>F344, female</i></b><br>Forestomach, CNS, Zymbal gland,<br>mammary gland                   | 1.5                                                                                             | 1.9                                                         |

<sup>a</sup>Slope estimated by 0.1/BMD<sub>10</sub>.

<sup>b</sup>CSF = 0.1/BMDL<sub>10</sub>.

**Table B-40. Estimated human IURs for AN based on multiple tumor incidence data in rats and CEO-AUC levels in blood**

| <b>Rat strain, sex<br/>Tumor site</b>                                         | <b>Slope to background from<br/>overall BMC<sub>10</sub><sup>a</sup><br/>(mg/m<sup>3</sup>)<sup>-1</sup></b> | <b>Overall IUR<sup>b</sup><br/>(mg/m<sup>3</sup>)<sup>-1</sup></b> |
|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| <b><i>Sprague-Dawley, male</i></b><br>Intestine, CNS, Zymbal gland,<br>tongue | $5.4 \times 10^{-2}$                                                                                         | $6.8 \times 10^{-2}$                                               |
| <b><i>Sprague-Dawley, female</i></b><br>CNS, Zymbal gland, mammary gland      | $4.4 \times 10^{-2}$                                                                                         | $5.7 \times 10^{-2}$                                               |

<sup>a</sup>Slope estimated by 0.1/BMD<sub>10</sub>.

<sup>b</sup>IUR = 0.1/BMDL<sub>10</sub>.

## APPENDIX B-7. STATISTICAL ANALYSIS OF BLAIR ET AL. (1998)

### The Data

The data used in this analysis came from the best available epidemiological study (see Section 5.4.1) by Blair et al. (1998). The raw data from the study were provided to EPA courtesy of NCI. The process of data collection is described in Blair et al. (1998) and in more detail in Stewart et al. (1998). The full raw data set contains 363 variables on 25,460 subjects. The variables include demographic information, employment information, vital status, and various measures of exposure per study year (1942–1983), including maximum and minimum estimates, “best estimate,” frequency of peaks, and several other ways of measuring exposure. The raw data provided by NCI did not include the smoking data that were collected for approximately 10% of the subjects. Therefore, smoking information was not part of the EPA's statistical analysis. The uncertainty connected to lack of smoking information is discussed in Section 6.4.1. The data on dates of exposure and corresponding exposure amounts, dates of mortality due to lung cancer and other causes, a plant worked, and the birth year were retained for the statistical analysis. Biological age was chosen as a time scale. Data handling and statistical analysis were performed using S-Plus™ statistical software.

### The Statistical Model

The semi-parametric Cox proportional hazards model (Cox, 1972) is a widely used model in hazard regression. The Cox model with time-dependent covariates was chosen to model the data for two main reasons. Primarily, it allows taking into account individual covariate history, allowing utilization of the extensive exposure data collected by Blair et al. (1998). Additionally, the Cox model uses internal controls. Internal controls constitute an appropriate comparison group, given the healthy worker effect observed by Blair et al. (1998) and further demonstrated by Marsh et al. (2001).

In the Cox model, the conditional hazard function, given the covariate process  $Z(t)$ , is assumed to have the form:

$$\lambda(t|Z(t)) = \lambda_0(t)\exp(\beta^T Z(t))$$

where  $\beta$  is the vector of regression coefficients and  $\lambda_0(t)$  denotes the baseline hazard function. No particular shape is assumed for the baseline hazard; it is estimated nonparametrically. The contributions of covariates to the hazard are multiplicative. When  $\beta^T Z(t)$  is small and  $Z(t)$  represents exposure, the Cox proportional model is consistent with linearity of dose-response for low doses. When no time-dependent covariates are present, the cumulative hazard function  $\Lambda_0(t)$  is estimated using a Breslow (Breslow, 1974) estimator:

$$\hat{\Lambda}_0 = \sum_{\{i:t_i \leq t\}} \frac{d_i}{\sum_{j \in R(t_i)} \exp(\hat{\beta}^T Z_j)}$$

where  $Z_j$  is the (time-independent) covariate vector of the  $j^{\text{th}}$  individual at age  $t_i$ ,  $\hat{\beta}$  is an estimate of  $\beta$  from the Cox model,  $d_i$  is the number of deaths at the age  $t_i$ , and  $R(t_i)$  is the risk set at age  $t_i$ . Risk set is defined as all the individuals who are under observation and at risk of death at age  $t_i$ . The Breslow estimator is piecewise constant with discrete jumps at times of death. The corresponding estimate of the baseline hazard function is the size of the jump of the cumulative hazard at ages of death and zero otherwise. The survival function  $S(t) = \exp(-\Lambda(t))$  is estimated by plugging in the Breslow estimator:

$$\hat{S}(t) = \exp(-\hat{\Lambda}(t))$$

The cumulative probability of death  $R(t) = 1 - S(t)$  is estimated by:

$$\hat{R}(t) = 1 - \hat{S}(t)$$

With time-dependent covariates, EPA followed the suggestion by Starr (2004) of a modified Breslow estimator:

$$\sum_{\{i:t_i \leq t\}} \frac{d_i}{\sum_{j \in R(t_i)} \exp(\hat{\beta}^T Z_j(t_i))}$$

The survival function and cumulative probability of death are then estimated using the modified cumulative hazard estimator. The modified estimator only approximates the true cumulative hazard since a covariate path is not encountered in the estimator. Therneau and Grambsch (2000) discuss clinical examples when this estimator could lead to inappropriate results, but the occupational case is different from clinical examples, since at the baseline, not all workers are exposed and exposure is nondecreasing and often ends well before death.

## Calculations of Excess Risk

Following Starr et al. (2004), EPA defined hazard functions for lung cancer mortality  $\lambda^{lc}(t)$  and other causes of death  $\lambda^{oc}(t)$ , with the corresponding cause-specific cumulative hazard functions,  $\Lambda^{lc}(t)$  and  $\Lambda^{oc}(t)$ . The sum of  $\Lambda^{lc}(t)$  and  $\Lambda^{oc}(t)$  is the all-causes mortality hazard  $\Lambda^{ac}(t)$  with corresponding survival function  $S^{ac}(t)$ . Then, the cumulative risk of lung cancer mortality by age  $t$ , given covariate path  $Z(t)=z(t)$  is:

$$R^{lc}(t|z(t)) = \sum_{u \leq t} \lambda^{lc}(u|z(t)) S^{ac}(u|z(t))$$

The cumulative risk of lung cancer mortality by age  $t$  in the absence of exposure:

$$R^{lc}(t|Z(t) = 0) = \sum_{u \leq t} \lambda^{lc}(u|Z(t) = 0) S^{ac}(u|Z(t) = 0)$$

Excess risk, given covariate path  $Z(t)=z(t)$ , is calculated by:

$$\frac{R^{lc}(t|Z(t) = z(t)) - R^{lc}(t|Z(t) = 0)}{1 - R^{lc}(t|Z(t) = 0)}$$

When  $z(t)^T \beta^{lc} \ll 1$  and  $\beta^{oc} \approx 0$ , the first order expansion of the numerator simplifies into approximately:

$$\beta^{lc} \sum_{u \leq t} \lambda^{lc}(u|z(t) = 0) S^{ac}(u|z(t) = 0) \left\{ z(u) - \sum_{v \leq u} z(v) \lambda^{lc}(v|z(t) = 0) \right\}$$

Plugging in estimates of  $\beta$ , hazard rate, and survival function into the equation above, an estimate of excess risk is obtained. Using estimated UCL on  $\beta$  instead of  $\beta$  results in the upper confidence bound on the estimated risk.

## Results

**Model Choice.** Including time-independent covariates, such as duration and average exposure, was investigated, but time-dependent cumulative exposure was used since it allows taking into account individual exposure history. Neither the birth year ( $p = 0.77$ ) nor the plant worked on ( $p = 0.061$ ) was significant, so cumulative AN exposure was the only (time-dependent) covariate used in the model. There were few lung cancer deaths in other than white

males sex-race groups. Since the goal of modeling is extrapolation to the overall U.S. population, sex and race were not included as covariates.

**Mortality and Risk Estimates.** The *coxph* function of S-Plus™ was used to obtain estimates of  $\beta$ . The estimate of  $\beta^{lc}$  was equal to  $1.24 \times 10^{-3}$  with the standard error of  $2.47 \times 10^{-2}$  ( $p = 0.61$ ). The estimate of  $\beta^{oc}$  was equal to  $6.7 \times 10^{-4}$  with a standard error of  $9.66 \times 10^{-4}$  ( $p = 0.49$ ). The estimates, covariates, and event times were then used to construct estimates of cumulative hazard and risks, as described in the previous section. The exposures corresponding to excess risks were divided by 2 to account for differences in volume of air inhaled during a working day and during a whole day (10 vs. 20 m<sup>3</sup>/day). Resulting exposure estimates (by age 80):  $EC_{01} = 0.992$  ppm and  $LEC_{01} = 0.238$  ppm. The corresponding unit risk was calculated to equal  $4.2 \times 10^{-2}$  ppm<sup>-1</sup>.

### Limitations of the Statistical Approach

The statistical approach followed the approach of Starr et al. (2004), and it shares the limitations and uncertainties described there. The limitations and uncertainties include the following:

- The Cox model fit the data adequately, but it was an empirical model fit rather than a biologically based model.
- The estimator of the cumulative hazard does not account for the covariate path and hence, is only an approximation.
- The estimate of risk is obtained using the first-order “linearized” approximation. However, the results are consistent with assumptions of the first-order approximation validity.

## APPENDIX C. PBPK MODEL DESCRIPTIONS AND SOURCE CODE

### Model Description

The PBPK models used to calculate rat and human internal doses for the development of candidate RfDs, oral CSFs, and IURs were those revised from Kedderis et al. (1996) (rat, with EH activity towards CEO added and other parameters revised) and Sweeney et al. (2003) (human). Both models are flow limited, lumped compartment models that predict amounts and concentrations of AN and its metabolite of toxicological interest, CEO, in blood and seven tissues: lung, brain, fat, stomach, liver, and rapidly and slowly perfused tissues. Both models include portals of entry for oral, inhalation, and i.v. routes of exposure. Drinking water is modeled as a continuous infusion to the GI lumen. (The model code allows one to define episodic oral exposure as a series of boluses up to 6 times/day, but the simpler approach of treating the exposure as continuous was chosen.) For rats, the infusion rate is set such that the total amount consumed equals the average total amount ingested during the bioassays as determined from water consumption and administered AN concentration. Inhalation duration and frequency can be explicitly defined. Simulated metabolism of AN and CEO occur only in the liver. Elimination of both compounds is accomplished via second-order GSH conjugation in various tissues, saturable hepatic metabolism, and pulmonary excretion into exhaled air.

The rat and human models are based on the same structural framework but have two primary differences: (1) physiological and metabolic parameters for each are species specific, and (2) the human model simulates saturable, enzyme-mediated hydrolysis of CEO, a metabolic process not observed in rats. In the absence of human in vivo data for metabolism, human metabolic parameters were estimated from in vitro values extrapolated to in vivo values by using in vitro/in vivo ratios in rats, which is described fully in Sweeney et al. (2003). In the Sweeney et al. (2003) model, a conversion factor for in vitro to in vivo extrapolation of human metabolic constants is implicitly defined in the calculation of  $V_{\max}$  values for AN oxidation and CEO hydrolysis. Model parameter values used for both species are given in Table C-1.

The acslXtreme model code as used to calculate the various dose metrics, followed by the corresponding Matlab model code used to perform the optimizations for fitting of the revised parameters, are given at the end of this appendix. The Matlab code is divided into three .m files: the top-level “optACN2.m” file, a secondary “RunACN.m” file that is called by the opt file and returns the value of the objective function, and an “EqACN.m” file that defines the set of differential equations.

**Table C-1. Rat and human PBPK model parameter values**

| Parameter                                                 | Rat value <sup>a</sup> |              | Human value <sup>a</sup> |              |
|-----------------------------------------------------------|------------------------|--------------|--------------------------|--------------|
| Total BW (kg)                                             | 0.25                   |              | 70                       |              |
| Percentage of BW                                          |                        |              |                          |              |
| Liver                                                     | 4                      |              | 2.57                     |              |
| Brain                                                     | 0.6                    |              | 2.00                     |              |
| Stomach                                                   | 0.63                   |              | 0.21                     |              |
| Fat                                                       | 7                      |              | 21.42                    |              |
| Rapidly perfused                                          | 3.77                   |              | 5.22                     |              |
| Slowly perfused                                           | 75                     |              | 58.58                    |              |
| Venous blood                                              | 3.9                    |              | 4.35                     |              |
| Arterial blood                                            | 2.1                    |              | 2.74                     |              |
| Blood flows (L/h/kg <sup>0.74</sup> )                     |                        |              |                          |              |
| Cardiac output                                            | 14.0                   |              | 13.4                     |              |
| Alveolar ventilation                                      | 14.0                   |              | 12.9                     |              |
| Percentage of cardiac output                              |                        |              |                          |              |
| Liver                                                     | 25                     |              | 21.4                     |              |
| Brain                                                     | 2.4                    |              | 11.4                     |              |
| Stomach                                                   | 1.3                    |              | 1.3                      |              |
| Fat                                                       | 9                      |              | 5.2                      |              |
| Rapidly perfused                                          | 47.3                   |              | 32.5                     |              |
| Slowly perfused                                           | 15                     |              | 28.2                     |              |
| GSH content (mmol/L)                                      |                        |              |                          |              |
| Liver                                                     | 8.53                   |              | 5.63                     |              |
| Brain                                                     | 2.00                   |              | 2.99                     |              |
| Stomach                                                   | 4.59                   |              | 3.61                     |              |
| Rapidly perfused                                          | 2.65                   |              | 2.59                     |              |
| Slowly perfused                                           | 0.75                   |              | 1.13                     |              |
| PCs                                                       | AN                     | CEO          | AN                       | CEO          |
| Blood:air                                                 | 512                    | 1,658        | 154                      | 1,658        |
| Liver:blood                                               | 0.46                   | 0.274        | 1.51                     | 0.274        |
| Brain:blood                                               | 0.40                   | 1.407        | 1.34                     | 1.407        |
| Stomach:blood                                             | 0.46                   | 0.274        | 1.51                     | 0.274        |
| Fat:blood                                                 | 0.28                   | 0.785        | 0.94                     | 0.785        |
| Rapidly perfused:blood                                    | 0.46                   | 0.274        | 1.51                     | 0.274        |
| Slowly perfused:blood                                     | 0.35                   | 1.853        | 1.16                     | 1.853        |
| Blood binding (h <sup>-1</sup> )                          |                        |              |                          |              |
| Hb (k <sub>BC</sub> , k <sub>BC2</sub> )                  | 1.245 (3.66)           | 1.134 (3.33) | 1.245 (3.66)             | 1.134 (3.33) |
| Blood sulfhydryls (k <sub>FBC</sub> , k <sub>FBC2</sub> ) | 2.54                   | 0.68         | 0.0008                   | 0.84         |
| AN oxidation                                              |                        |              |                          |              |
| V <sub>maxC</sub> (mg/h/kg <sup>0.7</sup> )               | 5.0 (7.1)              |              | 15.6 (22.1)              |              |
| K <sub>m</sub> (mg/L)                                     | 1.5 (2.76)             |              | 0.8                      |              |

**Table C-1. Rat and human PBPK model parameter values**

| Parameter                                                | Rat value <sup>a</sup> | Human value <sup>a</sup> |
|----------------------------------------------------------|------------------------|--------------------------|
| CEO hydrolysis                                           |                        |                          |
| $V_{\max C2}$ (mg/h/kg <sup>0.7</sup> )                  | ---                    | 841 (---)                |
| $K_{m2}$ (mg/L)                                          | ---                    | 113 (---)                |
| $k_{EHC}$ (L/h/kg <sup>0.7</sup> )                       | --- (3.92)             | --- (2.20)               |
| AN-GSH conjugation                                       |                        |                          |
| Enzymatic: $k_{FC}$ (h/kg <sup>0.3</sup> ) <sup>-1</sup> | 73 (50)                | 113 (77)                 |
| Spontaneous: $k_{SO}$ (mmol/h) <sup>-1</sup>             | 0.2584                 | 0.2584                   |
| CEO-GSH conjugation                                      |                        |                          |
| Liver: $k_{FC2}$ (h/kg <sup>0.3</sup> ) <sup>-1</sup>    | 500                    | 197                      |
| Brain/liver ( $k_{FBRC}/k_{FC2}$ )                       | 0.0234                 | 0.0531                   |
| Stomach/liver ( $k_{FSTC}/k_{FC2}$ )                     | 0.0538                 | 0.0641                   |
| Rapidly perfused/liver ( $k_{FRC}/k_{FC2}$ )             | 0.0311                 | 0.0460                   |
| Slowly perfused/liver ( $k_{FSC}/k_{FC2}$ )              | 0.00879                | 0.0201                   |
| Oral absorption: $K_a$ (h <sup>-1</sup> )                | 8.0 (4.2)              | 8.0 (4.2)                |

<sup>a</sup>Revised values used in this assessment are italicized in parentheses.

Sources: Sweeney et al. (2003); Kedderis et al. (1996).

### Estimation of Internal Doses Corresponding to Bioassay Exposures

The model code from Sweeney et al. (2003) was modified to enable the explicit definition of desired daily oral intake of AN either as a continuous infusion or in an episodic pattern of up to six episodes (or both continuous and episodic). The continuous-infusion oral exposure rate (STDLOSE) is simply calculated as being equal to the total daily exposure (STEADYODOSE\*BW, by continuous oral dosing) divided by 24 hours. The episodic exposure is calculated as the drinking water concentration (DRCONC) times the standard drinking water volume (DRVOL, based on BW) times the ratio of actual water consumption to standard consumption (FRACVOL) times the fraction of daily water consumed at episode “I” (DRP(I)), with that quantity added as a bolus to the GI lumen compartment at episode time “I” (DRT(I)). The first episodic exposure time is assumed to be TIME = 0, the same time at which a daily gavage dose (ODOSE\*BW) is also administered.

To simulate inhalation exposures as described in Quast (1980b), the model was run to provide 6-hour continuous inhalation exposures, repeating every 24 hours (by setting model variable TCHNG1 = 6.0) for 5 days/week (by setting model variable TCHNG2 = 120, the number of hours in 5 days). Inhalation simulations were run for 800 continuous simulated hours so that the effect of the 5 day/week exposures would be included in the calculation of average lifetime daily AUCs. Study-specific rat BWs were used. In this way the PBPK model accounted for the dynamics of concentration rise at the beginning of each exposure and clearance at the end



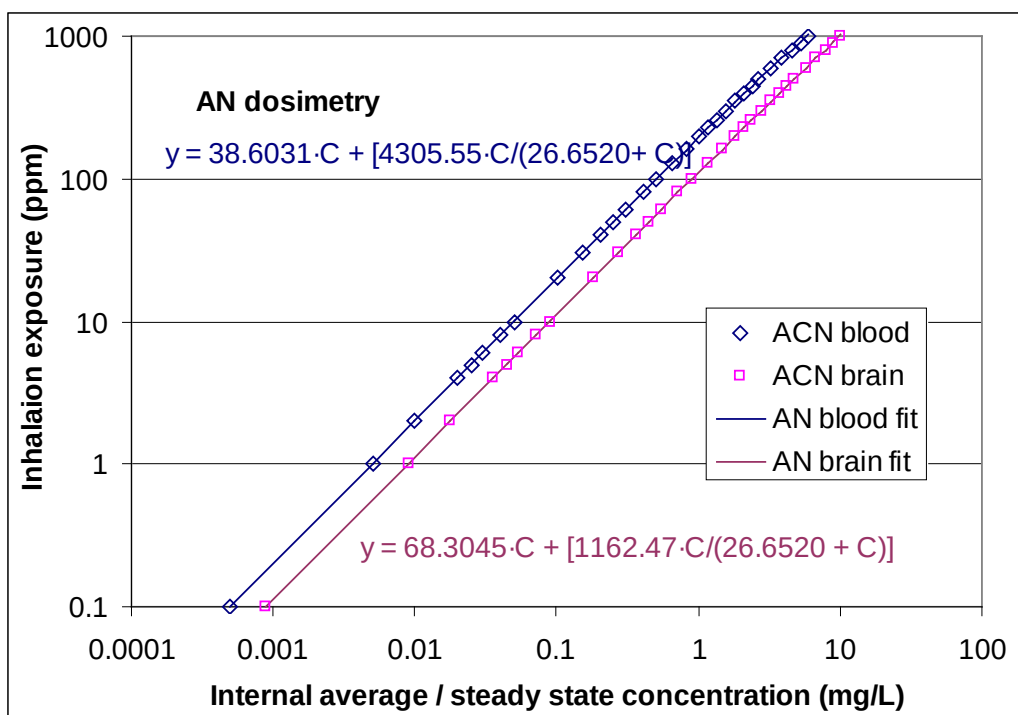
of each exposure. The resulting internal dose metrics for inhalation exposure of the rat are listed in Table 5-17.

### **Rat to Human Extrapolations**

For inhalation and oral cancer and oral noncancer effects, similar approaches were used to extrapolate PODs from rats to humans. Following derivation of PODs for noncancer and cancer endpoints, as weekly average concentrations of AN and CEO in rat blood tissue ( $C_{I,tissue, rat}(BMCL_{10})$  in mg/L), HECs were then calculated using the human PBPK model and a standard human BW of 70 kg, as the blood or brain concentration, assuming steady-state exposure for a set of predefined exposure levels and that equal internal metrics in rats and humans are associated with the same degree of response. The relationship between exposure level and internal metric as predicted by the human PBPK model was then plotted in Excel and a second-order polynomial of the form,  $HEC = a \times C_I^2 + b \times C_I$ , where  $C_I$  is the internal dose metric, was fit to the relationship for each metric (blood and brain AN and CEO).

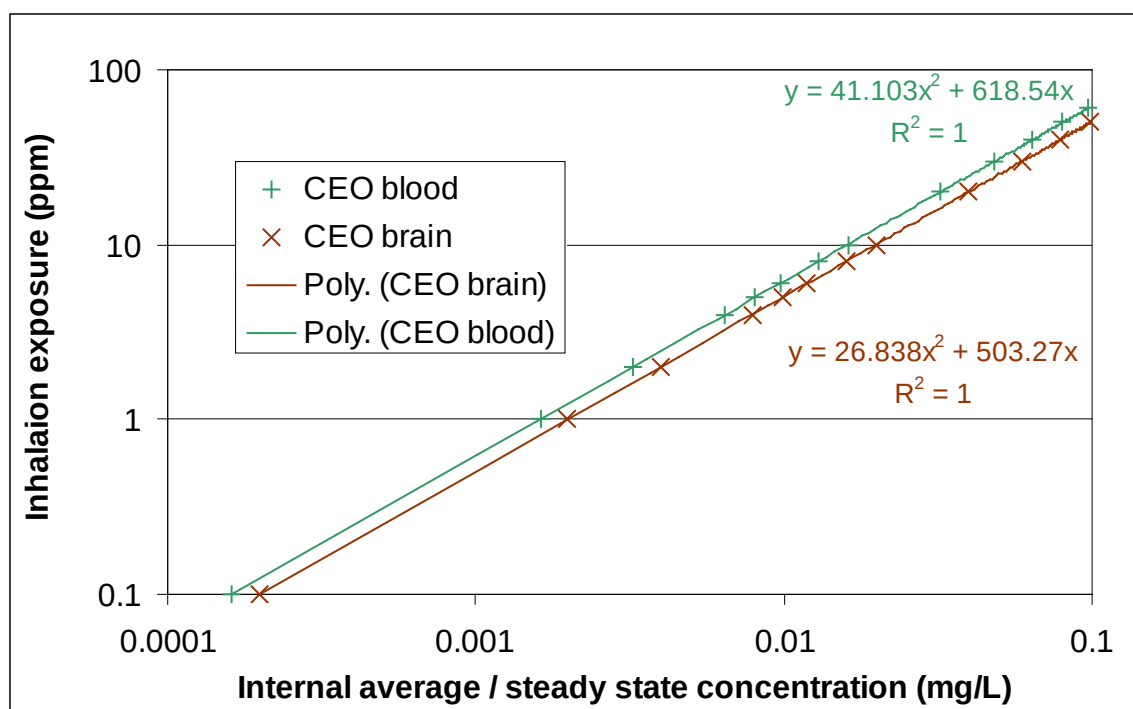
### **Inhalation Exposure**

The relationship between inhalation exposure level and internal metric as predicted by the human PBPK model is shown in Figures C-1 and C-2 for AN and CEO, respectively. This polynomial form gave an excellent fit and was fit over a range of concentrations that bracketed the range of  $C_{I,tissue, rat}(BMCL_{10})$  for various cases. The resulting polynomials were then used to convert the rat  $C_I$  values to human equivalent exposures, reported in Chapter 5 for each toxicity value.



Points are steady-state internal AN concentrations predicted by the human PBPK model for given inhalation exposure concentrations. Curves are polynomial regressions.

**Figure C-1. Human inhalation exposure level vs. internal AN concentration.**



Points are steady-state internal CEO concentrations predicted by the human PBPK model for given inhalation exposure concentrations. Curves are polynomial regressions.

**Figure C-2. Human inhalation exposure level vs. internal CEO concentrations.**

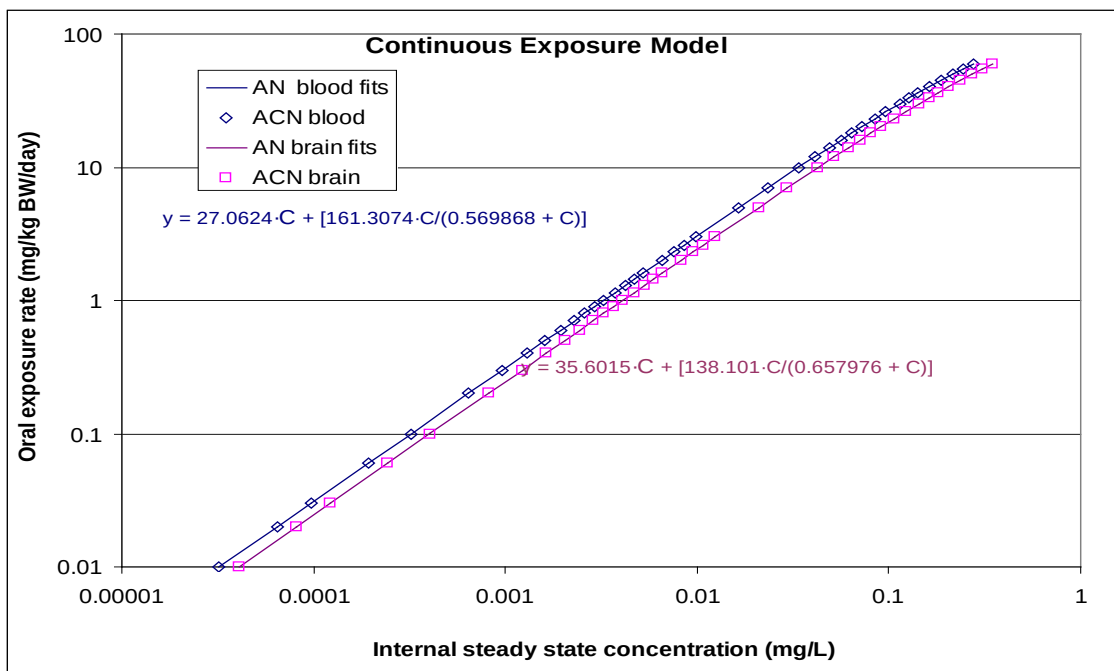
### ***Oral Cancer Risks***

For extrapolation of oral cancer risks from exposure in drinking water, the exact pattern of ingestion of drinking water by the rats in the bioassay was not measured and will be variable among humans. Therefore, the rat PBPK model was used to estimate the internal doses of AN and CEO at the exposure levels of the PODs as determined above (in mg/kg-day ingested), while assuming two distinct exposure patterns that are expected to bound the truth: continuous ingestion and ingestion in six boluses (“episodic”).

The continuous pattern is simply described as continuous infusion to the stomach lumen compartment (i.e., 24 hours/day) at a rate equal to the total daily ingestion (at the BMDL<sub>10</sub>). This pattern leads to the lowest predicted peak concentration of any possible pattern that would have the same total ingestion and, therefore, the least saturation of metabolism. The episodic pattern assumes that rats consume their drinking water in six boluses, spaced at 4-hour intervals during each day. The fraction of their total daily ingestion at each of these events is 23.3, 10, 10, 10, 23.3, and 23.4%, respectively. For continuous exposures, the steady-state blood and brain concentrations of AN and CEO were calculated as internal dose metrics, while for the episodic pattern, the daily average concentrations were determined.

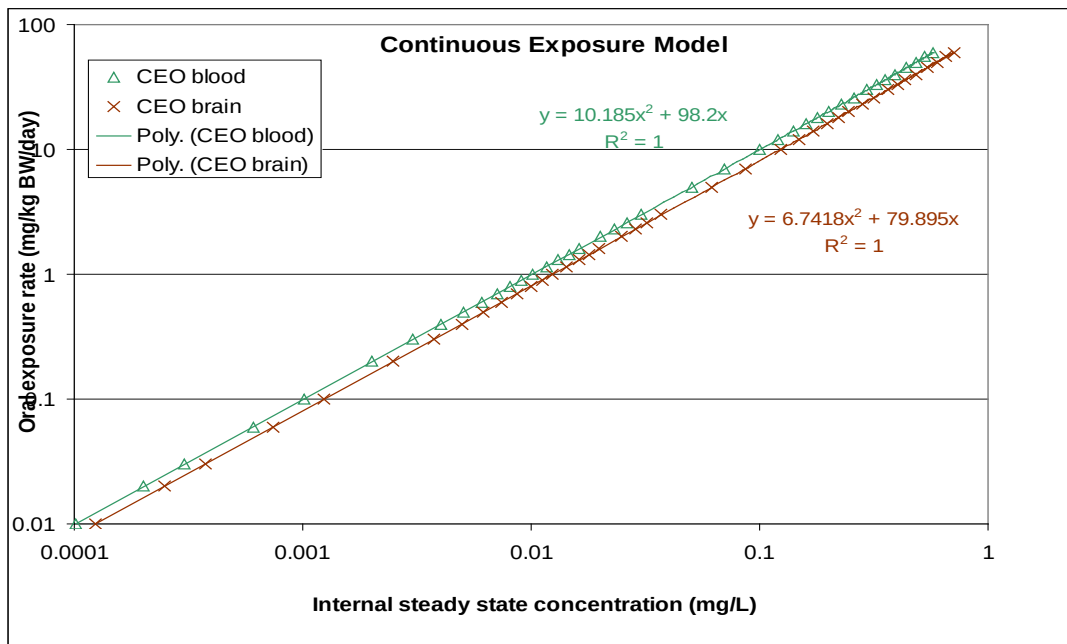
Stricker et al. (2003) measured water and food intake by Sprague-Dawley rats on diets containing normal (1%) and high (8%) levels of NaCl. On days 5 and 10 of control exposure, the rats were observed to consume water in  $15.9 \pm 2.0$  and  $19.3 \pm 2.4$  bouts, with amount consumed per bout being  $2.1 \pm 0.3$  and  $1.8 \pm 0.3$  mL, respectively. Thus, actual consumption by rats occurs in many more than six bouts, and the amount consumed per bout is more uniform than the episodic pattern. The data also show that most of the consumption occurs during the 12-hour dark/waking period (represented by the first and final two boluses in the EPA's pattern), with less consumed during the light/sleeping period (represented by the second to fourth boluses). Further, data on water consumption relative to controlled meal delivery showed that drinking bouts can last as long as 80 seconds, rather than occurring in an instantaneous bolus. Thus, actual consumption lies between an assumed continuous pattern and the idealized episodic pattern. Because episodic consumption will lead to model prediction of the greatest metabolic saturation, to obtain the desired prediction that represents an upper bound of that behavior (including the possibility that water consumption in the bioassays, which included F344 as well as Sprague-Dawley rats, may have differed from those observed by Stricker et al. [2003]), this idealized episodic pattern rather than one designed to more closely match that reported by Stricker et al. (2003) was chosen. The results of the PBPK simulations for continuous oral infusion vs. an idealized episodic ingestion pattern for various oral BMDL values differed by no more than 7%, indicating that for the rat internal dose metrics, the exact exposure pattern was not significant.

To calculate the HEDs, similarly two alternate assumptions of continuous and episodic exposure to the corresponding rat metrics were applied. For the episodic pattern, it was again assumed that this occurred in six bolus doses but occurring at 0, 3, 5, 8, 11, and 15 hours computation time (i.e., from the time of first ingestion in the morning, assumed to occur at breakfast), with the amount consumed in each bolus being 25, 10, 25, 10, 25, and 5% of the total daily intake, respectively. As with the inhalation extrapolation, the human PBPK model was run using a range of fixed input dose rates (using both continuous and episodic regimens), the resulting internal doses calculated, and then an empirical regression performed in Excel to interpolate between those doses. The resulting simulation values (points) and regressions (lines) are shown in Figures C-3 to C-6.



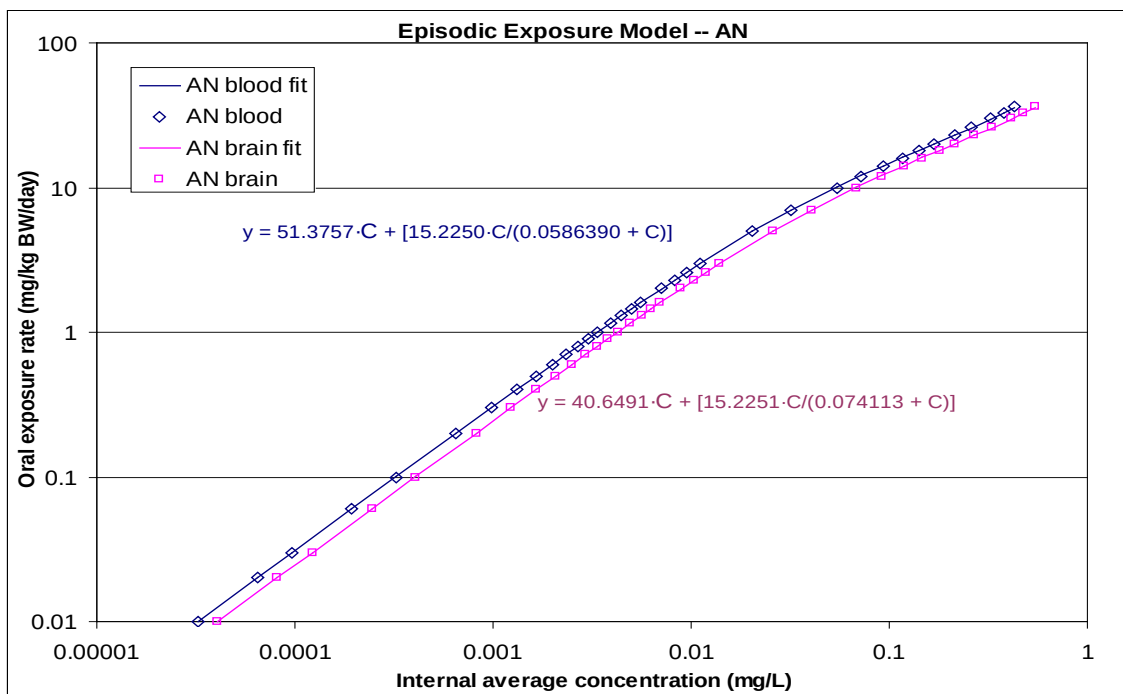
Points are PBPK model predictions; curves are polynomial regressions.

**Figure C-3. Human oral exposure level vs. internal AN concentration for continuous exposure.**



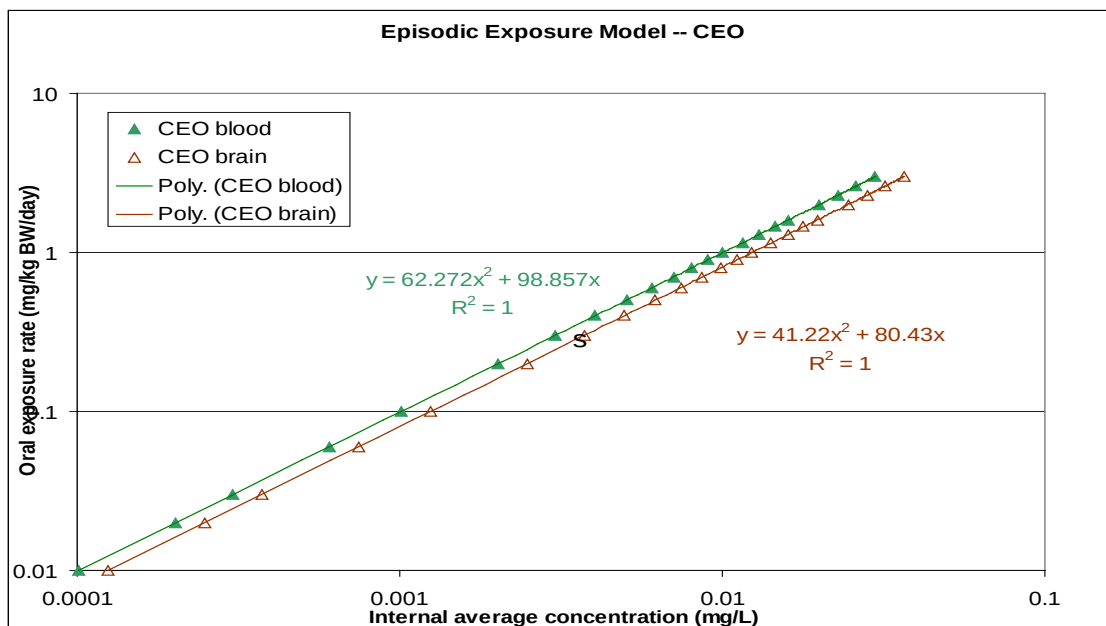
Points are PBPK model predictions; curves are polynomial regressions.

**Figure C-4. Human oral exposure level vs. internal CEO concentration for continuous exposure.**



Points are PBPK model predictions; curves are nonlinear regressions.

**Figure C-5. Human oral exposure level vs. internal AN concentration for episodic exposure.**



Points are PBPK model predictions; curves are polynomial regressions.

**Figure C-6. Human oral exposure level vs. internal CEO concentration for episodic exposure.**

## acslXtreme Model Source Code

### PROGRAM

```
! ACRYLONITRILE and CYANOETHYLENE OXIDE MODEL w/ 1st order epoxide hydrolase
! Model of ACN administration and CEO production!
! Revised model of G.L. Kedderis!
! Original by M.L. Gargas 10/9/89, revised 5/6/92!
! Revised 2/21/94 by G.L. Kedderis!
! Refined 6/28-7/12/95, 8/8/95 by G.L. Kedderis!
! Modified by SMH - 5/2/97!
! Modified for continuous oral dose by LMS 3/7/00!
!pst2, pr2, and pb2 revised to Kedderis et al 1996 values!
!periodic drinking water reinstated!
!modified for revised human scaling by LMS 7/26/01!
!built blood flow mass balance into equations LMS 7/27/01!
!built blood mass balance into equations, made blood binding!
!rates equal in venous and arterial blood LMS 7/31/01!
!modified to explicitly define DW conc. and daily ACN intake MHL 08/05!
!statement added to calculate daily ACN intake (mg/kg/day) ml 08/05!
! Modified 05/05/06 by Paul Schlosser and Allan Marcus to include!
! Linear-range approximation to epoxide hydrolase model of ACN to CEO!
!and to simplify the oral dosing calculations -- to avoid divide-by-zero!
!errors and allow for either or both continuous and/or periodic exposure!
! June '06 - Jan '07: Other modifications by P. Schlosser to allow both
!continuous and episodic drinking water dosing, and to update default
!parameters for rat
```

```
INTEGER I !counter for drinking water arrays!
```

```
REAL DRT(6), DRP(6) !store drink water times, percents in array!
```

```
DIMENSION A0(16) !Set of zeros to force values to be >= 0!
```

```
CONSTANT A0 = 0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0
```

```
CONSTANT QPC = 14. ! Alveolar ventilation rate (L/hr)!
```

```
CONSTANT QCC = 14. ! Cardiac output (L/hr)!
```

```
CONSTANT QLC = 0.25 ! Fractional blood flow to liver!
```

```
CONSTANT QFC = 0.09 ! Fractional blood flow to fat!
```

```
CONSTANT QBRC = 0.024 ! Fractional blood flow to brain!
```

```
CONSTANT QSTC = 0.013 ! Fractional blood flow to the stomach!
```

```
CONSTANT QSC = 0.15 ! Fractional blood flow to slowly perfused tissue
```

```
CONSTANT BW = 0.523 ! Body weight (kg) male rat!
```

```
CONSTANT VLC = 0.04 ! Fraction of liver tissue to total body!
```

```
CONSTANT VRC = 0.0377 ! Fraction of richly perfused tissue to total!
```

```
CONSTANT VSC = 0.75 ! Fraction of slowly perfused tissue to total!
```

```
CONSTANT VBRC = 0.006 ! Fraction of brain tissue!
```

```
CONSTANT VFC = 0.07 ! Fraction of fat tissue!
```

```
CONSTANT VSTC = 0.0063 ! Fraction of stomach tissue!
```

```
CONSTANT BVC = 0.06 ! Fraction of blood volume!
```

```
CONSTANT VVBC = 0.65 ! Fraction of venous blood volume!
```

```
CONSTANT PBR = 0.40 ! AN brain/blood partition coefficient!
```

```
CONSTANT PBR2 = 1.407 ! CEO brain/blood partition coefficient!
```

```
CONSTANT PL = 0.46 ! AN liver/blood partition coefficient!
```

```
CONSTANT PL2 = 0.274 ! CEO liver/blood partition coefficient!
```

```
CONSTANT PST = 0.46 ! AN stomach/blood partition coefficient!
```

```
CONSTANT PST2 = 0.274 ! CEO stomach/blood partition coefficient!
```

```
CONSTANT PF = 0.28 ! AN fat/blood partition coefficient!
```

```
CONSTANT PF2 = 0.785 ! CEO fat/blood partition coefficient!
```

```
CONSTANT PS = 0.35 ! AN slowly perfused tissue/blood partition!
```

```
CONSTANT PS2 = 1.853 ! CEO slowly perfused tissue/blood partition!
```

```

CONSTANT  PR = 0.46      ! AN rapidly perfused tissue/blood partition!
CONSTANT  PR2 = 0.274    ! CEO rapidly perfused tissue/blood partition!
CONSTANT  PB = 512       ! AN blood/air partition coefficient!
CONSTANT  PB2 = 1658     ! CEO blood/air partition coefficient!

CONSTANT  MW = 53.06     ! ACN molecular weight (g/mol)!
CONSTANT  MW2 = 69.05    ! CEO molecular weight (g/mol)!

CONSTANT  KEHC = 3.92    ! Effective 1st-order EH rate, liter/hr/kg^0.7
CONSTANT  VMAXC = 7.1    ! Maximum velocity of metabolism (mg/hr-1kg)!
CONSTANT  VMAXC2 = 0.0   ! Maximum velocity of metabolism, CEO (mg/hr-1kg)!
CONSTANT  KM = 2.76      ! Michaelis-Menten constant (mg/L)!
CONSTANT  KM2 = 113.     ! Michaelis-Menten constant, CEO (mg/L)!
CONSTANT  KFC = 50       ! ACN first order metabolism rate const (/hr-1kg)!
CONSTANT  KFC2 = 500     ! CEO first order metabolism rate const (/hr-1kg)!
CONSTANT  KSO = 0.2584   ! ACN second order reaction with GSH (L/mMol/hr)!

CONSTANT  GSHL = 8.53    ! Liver GSH conc (mMol/L)!
CONSTANT  GSHBR = 2.     ! Brain GSH (mMol/L)!
CONSTANT  GSHST = 4.59   ! Stomach GSH (mMol/L)!
CONSTANT  GSHS = 0.75    ! Slowly perfused (muscle) GSH (mMol/L)!
CONSTANT  GSHR = 2.65    ! Rapidly perfused GSH (mMol/L)!

CONSTANT  KBC = 3.66     ! ACN 1ST order binding to blood hb (/hr)!
CONSTANT  KBC2 = 3.33    ! CEO 1ST order binding to blood hb (/hr)!
CONSTANT  KFBC = 2.54    ! ACN 1ST order binding to blood RSH (/hr)!
CONSTANT  KFBC2 = 0.68   ! CEO 1ST order binding to blood RSH (/hr)!
CONSTANT  KA = 4.2       ! Oral uptake rate (/hr)!

CONSTANT  IVDOSE = 0.    ! IV dose (mg/kg)!
CONSTANT  CONC = 0.      ! Inhaled concentration (ppm) ACN!
CONSTANT  CONC2 = 0.     ! Inhaled concentration (ppm) CEO!
CONSTANT  ODOSE = 0.    ! Oral dose in mg/kg-day, assumed given at t=0, 24, etc.

      ! Timing commands!
CONSTANT  TCHNG1 = 24.0   ! Length of exposure (hrs)!
CONSTANT  TCHNG2 = 120.0  ! Allows for 5 day/week exposure!
CONSTANT  TINF = .003     ! Length of IV infusion (hrs)!

CONSTANT  STEADYODOSE = 0
!daily dose mg/kg-day, if assuming continuous oral infusion

!periodic drinking water section!
CONSTANT DRCONC=0.0       ! Conc. of ACN in drinking water (mg/L)
CONSTANT DRT=0,2,4,6,8,10
      ! Times for multiple oral drinks/day *after* 0
      ! Must be ascending, 0 <= times < 24 hr
      ! DRTIME(1) assumed = 0 and not used
CONSTANT DRP=1,0,0,0,0,0 !Percent consumed by drinking at those times
      ! Bolus of ODOSE*BW + DRPCT(1)*DRDOSE will be given at t=0,24,48, etc.
CONSTANT FRACVOL = 1.0
      ! Actual daily water consumption / nominal or control volume
      ! used when calculating DRDOSE

INITIAL
      DRVOL = 0.102*BW**0.7 ! Water consumption (L/d)
      ! 2L/d water consumption for a 70kg human
      DRDOSE = DRCONC*DRVOL*FRACVOL
      DAYDOSE = ODOSE*BW + DRP(1)*DRDOSE
      ! Once daily oral dose; given at t=0 via initial condition

```



```

STDDOSE = STEADYODOSE*BW/24      ! Steady oral dosing rate in mg/hr
IVR = IVDOSE*BW/TINF
NEWDAY = 0; I = 2 ! First dose given as initial condition

SCHEDULE ORALDOSE.AT.DRT(2)

! Scaled parameters!

QC = QCC*BW**0.74
QP = QPC*BW**0.74
QL = QLC*QC
QF = QFC*QC
QBR = QBRC*QC
QST = QSTC*QC
QS = QSC*QC
QR = QC-QL-QF-QBR-QS-QST
VL = VLC*BW
VF = VFC*BW
VBR = VBRC*BW
VST = VSTC*BW
VS = VSC*BW
VR = VRC*BW
BV = BVC*BW
VAB = BV*(1-VVBC)      !volume arterial blood!
VVB = BV*VVBC
GSHRB = GSHBR/GSHL      !ratio of brain GSH to liver GSH!
GSHRST = GSHST/GSHL      !ratio of stomach GSH to liver GSH!
GSHRS = GSHS/GSHL      !ratio of slowly perfused GSH to liver GSH!
GSHRR = GSHR/GSHL      !ratio of richly perfused GSH to liver GSH!
VMAX = VMAXC*BW**0.7    ! Liver P450 ACN to CEO!
VMAX2 = VMAXC2*BW**0.7  ! Liver CEO Hydrolysis!
KF = KFC/BW**0.3        ! Liver ACN-GSH rate!
KF2 = KFC2/BW**0.3      ! Liver CEO-GSH/RSH rate!
KEH = KEHC*BW**0.7      ! Approx. first-order rate in liver!
KFBRC = KFC2*.1*GSHRB    ! CEO brain reaction - 10% GSH ratio!
KFSTC = KFC2*.1*GSHRST   ! CEO stomach reaction - 10% GSH ratio!
KFSC = KFC2*.1*GSHRS     ! CEO slowly perfused reaction - 10% GSH ratio!
KFRC = KFC2*.1*GSHRR     ! CEO richly perfused reaction - 10% GSH ratio!
KFBR = KFBRC/BW**.3      ! CEO brain RSH rate!
KFST = KFSTC/BW**.3      ! CEO stomach RSH rate!
KFS = KFSC/BW**.3        ! CEO SPT RSH rate!
KFR = KFRC/BW**.3        ! CEO RPT RSH rate!
KFB = KFBC
KFB2 = KFBC2
KB = KBC
KB2 = KBC2

DAILYDOSE = ODOSE+STEADYODOSE+(DRDOSE/BW)+(AI/BW/(TSTOP/24))
!daily dose mg/kg-day via oral and/or inhalation routes!

END      !of INITIAL

DYNAMIC
ALGORITHM IALG = 2      !Gear method for stiff systems!
MAXTERVAL MAXT = 1.0e-3
MININTERVAL MINT = 1.0e-9
CINTERVAL CINT = 0.5

DERIVATIVE

```

```

!-----!
!----- ACRYLONITRILE -----!
!-----!

```

```

! CI = Concentration in inhaled air (mg/L)!
CIZONE = PULSE(0.0,24.0,TCHNG1) * PULSE(0.0,168.0,TCHNG2)
CI = CIZONE*CONC*MW/24450.

```

```

! AI = Amount inhaled (mg)!
RAI = QP*CI
AI = INTEG(RAI,0)

```

```

! MR = Amount in stomach lumen (mg)!
RMR = STDSE - KA*MR
AMR = INTEG(RMR, DAYDOSE)
MR = MAX(AMR, A0(1)) !no negative values!

```

```

! CAL = Concentration in arterial lung blood (mg/L)!
CAL = (QC*CVB+QP*CI)/(QC+(QP/PB))

```

```

! CA = Conc. in systemic arterial blood (mg/l)!
RAB = QC*(CAL-CA)-(KB+KFB)*AB
AB = INTEG(RAB,A0(2))
CA = AB/VAB

```

```

! AX = Amount exhaled (mg)!
CX = CAL/PB
CXPPM = (0.7*CX+0.3*CI)*24450./MW
RAX = QP*CX
AX = INTEG(RAX,A0(3))

```

```

! AST = Amount in stomach tissue (mg)!
RAST = QST*(CA-CVST) - STGSH + KA*MR
AST = INTEG(RAST,A0(4))
CVST = AST/(VST*PST)
CST = AST/VST
STGSH = KSO*CVST*GSHST*VST
ASTG = INTEG(STGSH,A0(5))

```

```

! AS = Amount in slowly perfused tissues (mg)!
RAS = QS*(CA-CVS) - SGSH
AS = INTEG(RAS,A0(6))
CVS = AS/(VS*PS)
CS = AS/VS
SGSH = KSO*CVS*GSHS*VS
ASG = INTEG(SGSH,A0(7))

```

```

! AR = Amount in rapidly perfused tissues (mg)!
RAR = QR*(CA-CVR) - RGSH
AR = INTEG(RAR,A0(8))
CVR = AR/(VR*PR)
CR = AR/VR
RGSH = KSO*CVR*GSHR*VR
ARG = INTEG(RGSH,A0(9))

```

```

! AF = Amount in fat tissue (mg)!
RAF = QF*(CA-CVF)
AF = INTEG(RAF,A0(10))
CVF = AF/(VF*PF)
CF = AF/VF

```

```

! ABR = Amount in brain tissue (mg)!
RABR = QBR*(CA-CVBR) - BRGSH
ABR = INTEG(RABR,A0(11))
CVBR = ABR/(VBR*PBR)
CBR = ABR/VBR
BRGSH = KSO*CVBR*GSHBR*VBR
ABRG = INTEG(BRGSH,A0(12))

! AL = Amount in liver tissue (mg)
RAL = QL*CA + QST*CVST - (QL+QST)*CVL - RAM1 - RAM2 - LGSH
AL = INTEG(RAL,A0(13))
CVL = AL/(VL*PL)
CL = AL/VL
AUCL = INTEG(CL,0.)
LGSH = KSO*CVL*GSHL*VL
ALG = INTEG((LGSH+RAM2+(KB*CVB*VVB)+(KFB*CVB*VVB)),A0(14))

! AM1 = Amount metabolized, saturable (P450) and linear pathways (mg)!
RAM1 = VMAX*CVL/(KM+CVL)
RAM1M = RAM1*1000/MW
AM1 = INTEG(RAM1,A0(15))

! AM2 = Amount metabolized, first-order pathway (GST) (mg)!
RAM2 = KF*CVL*VL
AM2 = INTEG(RAM2,0)

! CV = Mixed venous blood concentration (mg/L)!
IV = IVR*(T<=TINF) ! PULSE(0,24,TINF)
CV = (QF*CVF + (QL+QST)*CVL + QS*CVS + QR*CVR + QBR*CVBR + IV)/QC

! CVB = Mixed venous ACN conc. after binding (mg/L)!
RVB = QC*(CV-CVB) - (KB+KFB)*VB
VB = INTEG(RVB,A0(16))
CVB = VB/VVB

! TMASS = mass balance (mg)!
TMASS = ABR+AF+AL+AS+AR+AST+AM1+AM2+AX+MR+ASTG+ASG+ARG+ABRG+ALG

!-----!
!----- CEO -----!
!-----!

! CI2 = Concentration in inhaled air (mg/L)!
CI2 = CIZONE*CONC2*MW2/24450.

! CAL2 = Concentration in arterial lung blood (mg/L)!
CAL2 = (QC*CVB2+QP*CI2)/(QC+(QP/PB2))

! CA2 = Conc. in systemic arterial blood (mg/l)!
RAB2 = QC*(CAL2-CA2)-(KB2+KFB2)*AB2
AB2 = INTEG(RAB2,0.)
CA2 = AB2/VAB

! AS2 = Amount in slowly perfused tissues (mg)!
RAS2 = QS*(CA2-CVS2) - KFS*CVS2*VS
AS2 = INTEG(RAS2,0.)
CVS2 = AS2/(VS*PS2)
CS2 = AS2/VS

```

```

! AR2 = Amount in rapidly perfused tissues (mg)!
RAR2 = QR*(CA2-CVR2) - KFR*CVR2*VR
AR2 = INTEG(RAR2,0.)
CVR2 = AR2/(VR*PR2)
CR2 = AR2/VR

! AST2 = Amount in stomach (mg)!
RAST2 = QST*(CA2-CVST2) - KFST*CVST2*VST
AST2 = INTEG(RAST2,0.)
CVST2 = AST2/(VST*PST2)
CST2 = AST2/VST

! AF2 = Amount in fat tissue (mg)!
RAF2 = QF*(CA2-CVF2)
AF2 = INTEG(RAF2,0.)
CVF2 = AF2/(VF*PF2)
CF2 = AF2/VF

! ABR2 = Amount in brain tissue (mg)!
RABR2 = QBR*(CA2-CVBR2) - KFBR*CVBR2*VBR
ABR2 = INTEG(RABR2,0.)
CVBR2 = ABR2/(VBR*PBR2)
CBR2 = ABR2/VBR

! CEO Hydrolysis!
! VMAX2 = maximum velocity of metabolism (mg/hr-1kg)!
! KM2 = Michaelis-Menten constant (mg/L)!
! KEH is parameter for linear metabolism in liver to replace saturable
! AL2 = Amount CEO in liver tissue (mg)!
RAM = RAM1M*MW2/1000.
RAL2ADD = QL*CA2 + QST*CVST2 + RAM
RAL2M = VMAX2*CVL2/(KM2+CVL2) + KEH*CVL2
RAL2 = RAL2ADD - (QL+QST)*CVL2 - KF2*CVL2*VL - RAL2M
AL2 = INTEG(RAL2,0.)
CVL2 = AL2/(VL*PL2)
CL2 = AL2/VL

! CV2 = Mixed venous blood concentration (mg/L)!
CV2 = (QF*CVF2 + (QL+QST)*CVL2 + QS*CVS2 + QR*CVR2 + QBR*CVBR2)/QC

! CVB2 = Mixed venous CEO conc. after binding!
RVB2 = QC*(CV2-CVB2) - (KB2+KFB2)*VB2
VB2 = INTEG(RVB2,0.)
CVB2 = VB2/VVB

! Calculation of the AUC for ACN and CEO in the brain and blood!
AUCBR = INTEG(CBR,0.) ! AUC for ACN brain conc.!
AUCBR2 = INTEG(CBR2,0.) ! AUC for CEO brain conc.!
AUCB = INTEG(CVB,0.) ! AUC for ACN blood conc.!
AUCB2 = INTEG(CVB2,0.) ! AUC for CEO blood conc.!
DAILYSTAUC = INTEG(CST,0.)/(TSTOP/24) !Mean daily stomach AN
DAILYST2AUC = INTEG(CST2,0.)/(TSTOP/24) !Mean daily stomach CEO

END ! Derivative
! Code that is executed once at each communication interval goes here
CONSTANT TSTOP = 24.0 ! Length of experiment (hrs)!
TERMT(T.GE.TSTOP, 'checked on communication interval: REACHED TSTOP')

DISCRETE ORALDOSE
IF (I.EQ.1) THEN

```

```

        AMR = AMR + DAYDOSE      ! Initial dose of day/daily dose
ELSE
        AMR = AMR + DRP(I)*DRDOSE ! Drinking percent
END IF
I = MOD((I+1),6)
IF (I.EQ.1) THEN
        SCHEDULE ORALDOSE.AT.NEWDAY      ! Go to start of the next day
        NEWDAY = NEWDAY + 24
ELSE
        SCHEDULE ORALDOSE.AT.(NEWDAY+DRT(I)) ! Go to next drink time
END IF

END      ! DISCRETE ORALDOSE

END      ! Dynamic

TERMINAL      ! Metrics calculated below!
        DAILYBRAUC = AUCBR/(TSTOP/24)      ! Mean daily stomach ACN
        DAILYBR2AUC = AUCBR2/(TSTOP/24)    ! Mean daily stomach CEO
        DAILYBAUC = AUCB/(TSTOP/24)        ! Mean daily blood ACN
        DAILYB2AUC = AUCB2/(TSTOP/24)      ! Mean daily blood CEO

        PEAKPBR = MAX(0, CBR)      ! Peak conc. parent compound in brain!
        PEAKPB = MAX(0, CV)       ! Peak conc. parent compound in blood!
        PEAKMBR = MAX(0, CBR2)    ! Peak conc. metabolite in brain!
        PEAKMB = MAX(0, CV2)     ! Peak conc. metabolite in blood!

        ! Calc. of the avg. values of ACN and CEO in the brain and blood!
        AVEBR = AUCBR/TSTOP      ! Average of ACN brain conc.!
        AVEBR2 = AUCBR2/TSTOP    ! Average of CEO brain conc.!
        AVEB = AUCB/TSTOP        ! Average of ACN blood conc.!
        AVEB2 = AUCB2/TSTOP      ! Average of CEO blood conc.!

END      ! TERMINAL

END      ! PROGRAM

```

---

**Matlab source code – 3 programs (.m files)**

[illegible]

```

fname='EqACN'; % file with set of model equations to use is fname.m
runfn='RunACN'; % Run file runfn.m
quan.ns = length(pname); pv = 1:quan.ns; pvar = pname;
if length(pin)>quan.ns
    error('input parameter vector is too long')
end
if sum(pin) % if pin=[], then no optimization, use default params
    popt=reshape(log(pin),length(pin),1);
    if strcmp('all',pvn,'exact')
        if length(pin)~=quan.ns
            error('length of pin is wrong; must be ',num2str(quan.ns),' to
match pvn = all')
        end
        prs=pin;
    else
        pvar = pvn;
        quan.ns = length(pvn);
        pv = zeros(1,quan.ns);
        if (length(pin)~=quan.ns)&(length(pin)~=length(pname))
            error(['Length of pin is wrong; must be ',num2str(quan.ns),...
                ' to match pvn or ',num2str(length(pname)),' to match
pname.'])
        else
            for i = 1:quan.ns
                if strcmp(pvn(i),pname,'exact')
                    pv(i) = strcmp(pvn(i),pname,'exact');
                else
                    error([char(pvn{i}),' is not in the named list of
parameters.'])
                end
            end
        end
        if length(pin)==length(pname)
            prs=pin; popt=popt(pv);
        end
    end
else
    popt=log(prs); swtch=0; 'No pin so running simulation only with default
params.'
end

save pv pv
%-----find indices of start times-----
quan.t = quan.dat(:,1);
tci = (quan.t > 0);
datc=quan.dat(:,[2:7,12:14]); % data columns used for fitting
nv = size(datc,2); % number of variables to plot, etc
Ccafc=zeros(length(quan.t),(nv+1));
nplt=0;
for i=1:6
    quan.ic{i} = find((datc(:,i)>0) & tci);
    quan.datc{i} = datc(quan.ic{i},i);
    if quan.datc{i}
        nplt=nplt+1;
    end
    quan.tc{i} = quan.t(quan.ic{i});
    quan.nc(i) = sum((datc(:,i)>0) & tci);
end
nplt2=0;
for i=7:nv

```

```

    quan.ic{i} = find((datc(:,i)>0) & tci);
    quan.datc{i} = datc(quan.ic{i},i);
    if quan.datc{i}
        nplt2=nplt2+1;
    end
    quan.tc{i} = quan.t(quan.ic{i});
    quan.nc(i) = sum((datc(:,i)>0) & tci);
end

C0=sum(quan.nc)*(log(2*pi)+1);
quan.mc=datc>0;
sz=length(quan.t);
quan.yc=0*[datc,datc(:,1)];
quan.jt = find(quan.t == 0);
% jt = vector of indeces of rows in data file starting with "0"
quan.idf= length(quan.jt);
quan.jt = [quan.jt;(sz+1)];
% add a pseudo-index to mark the end of the data file
%-----constant parameters-----
ps.KS0 = 0.2584; %ACN second order rxn with GSH (L/mMol/hr)%
ps.GSHL = 8.53; %Liver GSH conc (mMol/L)%
ps.GSHBR = 2.; %Brain GSH (mMol/L)%
ps.GSHST = 4.59; %Stomach GSH (mMol/L)%
ps.GSHS = 0.75; %Slowly perfused (muscle) GSH (mMol/L)%
ps.GSHR = 2.65; %Rapidly perfused GSH (mMol/L)%

%ps.KB = 1.245 + 2.54; %ACN 1ST order binding to blood hb + RSH (/hr)%
ps.KB0 = 2.54; %ACN 1ST order binding to blood hb + RSH (/hr)%
ps.KB0
%ps.KH = 1.245;
ps.KFB = 2.54;
%ps.KB2 = 1.134 + 0.68 + 0.413;
ps.KB20 = 0.68 + 0.413;
%ps.KH2 = 1.134;
phys.KHR = 1.134/1.245;
ps.KFB2 = 0.68;
%CE0 1ST order binding to blood hb + RSH + chem. hydrol. (/hr)%

ps.tinf=.003; %blood infusion time (hr)
% ACN PCs
phys.PL = 0.46; % liver:blood PC
phys.PST = 0.46; % stomach tissue:blood PC, also used for GI
phys.PBR = 0.40; % brain tissue:blood PC
phys.PF = 0.28; % fat tissue:blood PC
phys.PS = 0.35; % slowly tissue:blood PC
phys.PR = 0.46; % richly tissue:blodd PC
phys.PB = 512; % blood tissue:blodd PC

%CE0 PCs
phys.PL2 = 0.274; % liver:blood PC
phys.PST2 = 0.274; % stomach tissue:blood PC, also used for GI
phys.PBR2 = 1.407; % brain tissue:blood PC
phys.PF2 = 0.785; % fat tissue:blood PC
phys.PS2 = 1.853; % slowly tissue:blood PC
phys.PR2 = 0.274; % richly tissue:blodd PC
phys.PB2 = 1658; % blood tissue:blodd PC

QPC = 14.; %Alveolar ventilation rate (L/hr)%
QCC = 14.; %Cardiac output (L/hr)%

```



```

QBRC = 0.024;    % blood flow to brain
QSTC = 0.013;    % Blood flow rate for stomach tissue (portal vein)
QFC = 0.09;      % blood flow to fat tissue
QLC = 0.25;      % Blood flow rate for liver )
QSC = 0.15;      % Blood flow rate for slowly

phys.BW=0.25; %body weight
VLC = 0.04;      %Fraction of liver tissue to total body%
VRC = 0.0377;    %Fraction of richly perf tiss to total%
VSC = 0.75;      %Fraction of sloly perf tiss to total%
VBRC = 0.006;    %Fraction of brain tissue%
VFC = 0.07;      %Fraction of fat tissue%
VSTC = 0.0063;   %Fraction of stomach tissue%
BV = 0.06*phys.BW; %Blood vol%
VVBC = 0.65;     %Fraction of venous blood vol%

MW = 53.06;      %ACN molecular weight (g/mol)%
MW2 = 69.05;     %CEO molecular weight (g/mol)%

%----- preset varying parameters -----
phys.QC = QCC*phys.BW^0.74;
phys.QP = QPC*phys.BW^0.74;
phys.QBR = QBRC*phys.QC;    % blood flow to brain
phys.QST = QSTC*phys.QC;    % Blood flow rate for stomach tissue (portal
vein)
phys.QS = QSC*phys.QC;    % Blood flow rate for slowly
phys.QL = QLC*phys.QC;    % Blood flow rate for liver (hepatic artery)
phys.QF = QFC*phys.QC;    % blood flow to fat
phys.QR = phys.QC-phys.QL-phys.QF-phys.QBR-phys.QS-phys.QST;
phys.VBR = VBRC*phys.BW;    % brain volume (L)
phys.VST = VSTC*phys.BW;    % GI volume (use RP density)
phys.VL = VLC*phys.BW;
phys.VF = VFC*phys.BW;
phys.VBR = VBRC*phys.BW;
phys.VST = VSTC*phys.BW;
phys.VS = VSC*phys.BW;
phys.VR = VRC*phys.BW;
phys.VAB = BV*(1-VVBC);      %volume arterial blood%
phys.VVB = BV*VVBC;
phys.GSHRB = 0.1*ps.GSHBR/ps.GSHL;    %ratio of brain GSH*GST to liver GSH%
phys.GSHRST = 0.1*ps.GSHST/ps.GSHL;    %ratio of stomach GSH*GST to liver GSH%
phys.GSHRS = 0.1*ps.GSHS/ps.GSHL;    %ratio of spt GSH*GST to liver GSH%
phys.GSHRR = 0.1*ps.GSHR/ps.GSHL    %ratio of rpt GSH*GST to liver GSH%

ICs=[];
for i=1:quan.idf % idf is the number of simulations/initial conditions in
    j1(i) = quan.jt(i); % index of initial data row for this
experiment/simulation
    jf(i) = quan.jt(i+1)-1; % index of final data row for this
expt./simulation
    tsp{i} = quan.t(j1(i):jf(i));
    oral0=quan.dat(j1(i),8)*phys.BW;
    ps.blood0(i)=quan.dat(j1(i),9)*phys.BW/ps.tinf;
    ps.cinh(i)=quan.dat(j1(i),10)*MW/24450; ps.tinh(i)=quan.dat(j1(i),11);
    ICs=[ICs;[oral0,zeros(1,ncv-1)]]; % initial conditions
end
save ICs ICs

%-----call simulation or optimization procedure-----

```

[illegible]

```

dt=quan.t(j1:j2);
dp = datc(j1:j2,k);
dj = find(dp > 0);
dk=find(datc(:,k)>0);
xm=ceil(1.01*max(quan.t( dk ))*10)/10;
xl=floor(0.99*min(quan.t( dk )*10)-1)/10;
if xl<0
    xl = - round(2.5*xm)/100;
end
ym=max(log10(1.1*[Ccftp(find(Ccftp(:,k)>0),k);datc(dk,k)]));
if (10^ceil(ym))/(10^ym) > 2
    ym = (10^ceil(ym))/2;
else
    ym = 10^ceil(ym);
end
set(gca,'YScale','log')
if k==1
    axis([xl,xm,.03,ym])
end
if k==2
    axis([xl,xm,.01,ym])
end
if k==3
    axis([xl,xm,.01,ym])
end
if k==4
    axis([xl,xm,.001,ym])
end
if k==5
    axis([xl,xm,.001,ym])
end
if k==6
    axis([xl,xm,.001,ym])
end
hold on
if dj
    plot(dt(dj),dp(dj),['k',pc(1)]); %,[co(1),pc(1)]
    plot(quan.tp(p1:p2),Ccftp(p1:p2,k),['k',linet(1,:)]);
%[co(1),linet(1,:)]);
end
if np > 1
    for i = 2:np
        j1=quan.jt(i);
        j2=quan.jt(i+1)-1;
        dt=quan.t(j1:j2);
        p1=quan.jtp(i);
        p2=quan.jtp(i+1)-1;
        dp = datc(j1:j2,k);
        dj = find(dp > 0);
        if dj
            plot(dt(dj),dp(dj),['k',pc(i)]); %,[co(1),pc(1)]
            plot(quan.tp(p1:p2),Ccftp(p1:p2,k),['k',linet(i,:)]);
%[co(1),linet(1,:)]);
        end
    end
end
yl=0.1*get(gca,'YLim');
title(yname(k),'Position',[mean(get(gca,'XLim')),yl(2)],'FontSize',14,
...
    'EdgeColor','k','BackgroundColor','w','Fontname','Arial');

```

```

        if k==2
            legend('show')
        end
        hold off
    end
end

kf=2+kf0;
if quan.datc{7}
    figure(kf);
    set(kf,'Units','inches','Position',[0.1, 0.5, 3.3, 7.6]);

set(gcf,'DefaultTextFontSize',14,'DefaultAxesFontSize',12,'DefaultTextFontName','Arial');
for k = 7:9
    h=subplot(3,1,k-6);
    set(h,'Units','inches','Position',[.55,(0.45+2.35*(9-k)),2.7,2.1])
    box on; hold on
    dk=find(datc(:,k)>0);
    plot(quan.dat(dk-1,8),datc(dk,k),['k',pc(k-6)]); %,[co(1),pc(1)]
    plot(quan.dat(dk-1,8),Ccafc(dk,k),['k',linet(k-6,:)]);
%[co(1),linet(1,:)]);
    yl=0.3*get(gca,'YLim');
    title(yname(k),'Position',[mean(get(gca,'XLim')),yl(2)],'FontSize',14,
    ...
        'EdgeColor','k','BackgroundColor','w','Fontname','Arial');
    hold off
end
end

k=nv+1; % show mass balance
figure(k)
set(k,'Units','inches','Position',[1,1,4.1,4.1]);
hold on
title(yname(k));
p1=quan.jtp(1);
p2=quan.jtp(2)-1;
plot(quan.tp(p1:p2),Ccaftp(p1:p2,k),[co(1),linet(1,:)]);
for i = 2:np
    p1=quan.jtp(i);
    p2=quan.jtp(i+1)-1;
    plot(quan.tp(p1:p2),Ccaftp(p1:p2,k),[co(i),linet(i,:)]);
end

if swtch>0
    'optimized params = '
    [num2str([1:length(P)]'),char(pbl),char(pname),char(pbl),num2str(P)]
end

```

---

```

%RunACN file to return value of objective function, given input parameters
function Cost = RunACN(popt)
%-----globals-----
global phys prs yname quan itr pv pvar pbl j1 jf ian ICs ncv tsp Ccaftp C0
global Cost Ccafc MW MW2 VMAX VMAX2 KF KF2 KEH KFBRC KFSTC KFSC KFRC KFBR
global KFST KFS KFR KA KM KM2 Ccaftp nv ps
tol=1.e-8;
options = odeset('RelTol',tol,'AbsTol',tol);
itr=itr+1;

```

```

comp=0;
p = reshape(exp(popt), length(popt), 1);
pu=prs;
pu(pv)=p;
save ptemp pu
[num2str(pv'), char(pbl(pv)), char(pvar), char(pbl(pv)), num2str(p)]
%quan.gam(1:5)=2./exp(exp(-popt((quan.ns+1):(quan.ns+5)) ));
%quan.gam(6:11)=quan.gam(5);
%quan.gam(1:5)
% display current values of parameters being valued (retransformed)
Ccaft=[]; Ccafw=[];
quan.tp=[];
comp=comp+1;

VMAXC = pu(1); %Max. vel. of metabolism, CEO (mg/hr-kg^0.7)%
KM = pu(2); %Michaelis-Menten constant (mg/L)%
VMAXC2=pu(3); %Max. vel of metabolism, CEO (mg/hr-jg^0.7)
KM2=pu(4); %CEO M-M constant (mg/L)
KEHC = pu(5); %Effective 1st-order EH rate, liter/hr/kg^0.7
KFC = pu(6); %ACN first order metab rate const (/hr-1kg)%
KFC2 = pu(7); %CEO first order metab rate const (/hr-1kg)%
KA = pu(8); %Oral uptake rate (/hr)%
gA = min(pu(9), 2); % gammaA
gC = min(pu(10), 2); % gammC
ps.KH = pu(11);
ps.KHR2 = pu(12);
ps.KB = ps.KH + ps.KB0; %ACN 1ST order binding to blood hb + RSH (/hr)%
ps.KH2 = ps.KH*phys.KHR*ps.KHR2;
ps.KB2 = ps.KH2 + ps.KB20
% VMAX = VMAXC*(phys.BW^0.7); %Liver P450 ACN to CEO%
VMAX2 = VMAXC2*(phys.BW^0.7); %Liver CEO Hydrolysis%
KF = KFC/(phys.BW^0.3); %Liver ACN-GSH rate%
KF2 = KFC2/(phys.BW^0.3); %Liver CEO-GSH/RSH rate%
KEH = KEHC*(phys.BW^0.7); % Approx. first-order rate in liver %
%CEO rxn rates%
KFBR = KF2*phys.GSHRB; %CEO brain RSH rate%
KFST = KF2*phys.GSHRST; %CEO stomach RSH rate%
KFS = KF2*phys.GSHRS; %CEO SPT RSH rate%
KFR = KF2*phys.GSHRR; %CEO RPT RSH rate%

%-----loop for running equations-----
-
% figure(15)
% set(15, 'Units', 'inches', 'Position', [1,1,4.1,4.1]);
% hold on
% title('RAM1');
for i=1:quan.idf % idf is the number of simulations/initial conditions in
    % the data file this was computed in optACN.m
    ian=i;

    tsc = [0:1050]*quan.t(jf(i))/1000;
    %ICs(i, [1,16])
    [ts, Ys] = ode15s(@EqACN2, tsc, ICs(i,:), options); %
    % [ts(1:4), Ys(1:4, 1:16)]'
    % [Ys(1:10, 13), VMAX*Ys(1:10, 13)./(KM+Ys(1:10, 13)), (Ys(2:11, 15)-Ys(1:10, 15))]
    massb=sum(Ys(:, 1:16), 2);
    % plot(ts, Ys(:, 15)); %VMAX*Ys(:, 13)./(KM+Ys(:, 13)));

% The sequence of columns in the data sets are as follows:

```



```

% MR = x(1); %MR = Amount in stomach lumen (mg)!
% AB = x(2); %Amount in systemic arterial blood (mg)!
    CA = x(2)/phys.VAB; %concentration
%AX = x(3); % ACN exhaled (mg)!
%AST = x(4); % ACN in stomach tissue tissue (mg)!
    CST = x(4)/phys.VST; CVST = CST/phys.PST; % tissue/venous concn's
%ASTG = x(5); % ACN GSH conjugated in stomach tissue (mg)
%AS = x(6); % ACN in slowly perfused (mg)
    CVS = x(6)/(phys.VS*phys.PS); % venous concentration
%ASG = x(7); % ACN-GSH conjugated in slowly (mg)
%AR = x(8); % ACN in richly (mg)
    CVR = x(8)/(phys.VR*phys.PR); % venous concentration
%ARG = x(9); % ACN-GSH conjugated in richly
%AF = x(10); % ACN in fat (mg)
    CVF = x(10)/(phys.VF*phys.PF); % venous concentration
%ABR = x(11); % ACN in brain (mg)
    CBR = x(11)/phys.VBR; CVBR = CBR/phys.PBR; % tissue/venous concn's
%ABRG = x(12); % ACN-GSH conjugated in brain
%AL = x(13); % ACN in liver (mg)
    CL = x(13)/phys.VL; CVL = CL/phys.PL; % tissue/venous concn's
% ALG = x(14); ACN-GSH conjugated in liver (mg)
% AM1 = x(15); ACN metabolized by P450 in liver (mg)
% AVB = x(16); ACN in mixed venous blood (mg)
    CVB = x(16)/phys.VVB; % concentration

RMR = KA*x(1); % rate of absorption from stomach
CI=ps.cinh(ian)*(t<=ps.tinh(ian)); %Inhaled concentration
CAL = (phys.QC*CVB+phys.QP*CI)/(phys.QC+(phys.QP/phys.PB));
    %CAL = Concentration in arterial lung blood (mg/L)!
%CX = CAL/phys.PB; % concentration in exiting pulmonary air
% CXPPM = (0.7*CX+0.3*CI)*24450./ps.MW
%RAB = ps.KB*x(2)CA*phys.VAB; % ACN binding in arterial blood
STGSH = ps.KS0*CVST*ps.GSHST*phys.VST; % stomach GSH conjugation of ACN
SGSH = ps.KS0*CVS*ps.GSHS*phys.VS; % slowly GSH conjugation of ACN
RGSH = ps.KS0*CVR*ps.GSHR*phys.VR; % richly GSH conjugation of ACN
BRGSH = ps.KS0*CVBR*ps.GSHBR*phys.VBR; % brain GSH conjugation of ACN
LGSH = ps.KS0*CVL*ps.GSHL*phys.VL; % liver GSH conjugation of ACN
% ACN metabolized,saturable (P450) and linear pathways (mg)!
    RAM1 = VMAX*CVL/(KM+CVL); % (mg/hr)
    %VMAX, KM, RAM1
%ACN metabolized,first-order pathway (GST) (mg)!
    RAM2 = KF*CVL*phys.VL; % (mg/hr)
RTV =
phys.QF*CVF+(phys.QL+phys.QST)*CVL+phys.QS*CVS+phys.QR*CVR+phys.QBR*CVBR;
    % mixed venous blood concentration
%RVB = ps.KB*CV*phys.VVB; % ACN binding in venous blood
    %[t, CVB/(RTV/phys.QC), CAL/CVB, CA/CAL, x(1), RMR]
dx(1) = - RMR; % ACN stomach lumen
dx(2) = phys.QC*(CAL - CA) - ps.KB*x(2); % ACN arterial blood after binding
dx(3) = phys.QP*CAL/phys.PB; % ACN exiting pulmonary air
dx(4) = phys.QST*(CA-CVST) - STGSH + RMR; % ACN stomach tissue
dx(5) = STGSH; % ACN-GSH conjugated in stomach tissue
dx(6) = phys.QS*(CA-CVS) - SGSH; % ACN slowly
dx(7) = SGSH; % ACN-GSH conjugated in slowly
dx(8) = phys.QR*(CA-CVR) - RGSH; % ACN in richly (mg)
dx(9) = RGSH; % ACN-GSH conjugated in richly
dx(10) = phys.QF*(CA-CVF); % ACN in fat (mg)!
dx(11) = phys.QBR*(CA-CVBR) - BRGSH; % ACN in brain (mg)!

```

```

dx(12) = BRGSH; % ACN-GSH conjugated in brain
dx(13) = phys.QL*CA + phys.QST*CVST -(phys.QL+phys.QST)*CVL -RAM1 -RAM2 -
LGSH;
dx(14) = LGSH + RAM2 + ps.KB*(x(2)+x(16));
    % ACN-GSH conjugated in liver + bound in blood
dx(15) = RAM1; % ACN metabolized by P450 in liver
dx(16) = RTV - phys.QC*CVB - ps.KB*x(16) + ps.blood0(ian)*(t<=ps.tinf);
    %Mixed ven. ACN conc after binding (mg/L)
dx(30) = STGSH + SGSH + RGSB + BRGSH + LGSH + RAM2 + ps.KFB*(x(2)+x(16));
    % amount of AN binding to GSH
    % [x(1:16),dx(1:16)]'
%-----!
%----- CEO -----!
%-----!

%AB2 = x(17); % CEO in arterial blood (mg)
    CA2 = x(17)/phys.VAB; % concentration
%AS2 = x(18); % CEO in slowly perfused (mg)
    CVS2 = x(18)/(phys.VS*phys.PS2); % venous concn
    SGSH2 = KFS*CVS2*phys.VS;
%AR2 = x(19); % CEO in richly (mg)
    CVR2 = x(19)/(phys.VR*phys.PR2); % venous concn
    RGSB2 = KFR*CVR2*phys.VR;
%AST2 = x(20); % CEO in stomach tissue tissue (mg)!
    CST2 = x(20)/phys.VST; CVST2 = CST2/phys.PST2; % tissue/venous concn's
    STGSH2 = KFST*CVST2*phys.VST;
%AF2 = x(21); % CEO in fat (mg)
    CVF2 = x(21)/(phys.VF*phys.PF2); % venous concn
%ABR2 = x(22); % CEO in brain (mg)
    CBR2 = x(22)/phys.VBR; CVBR2 = CBR2/phys.PBR2; % tissue/venous concn's
    BRGSH2 = KFBR*CVBR2*phys.VBR;
%AL2 = x(23); % CEO in liver (mg)
    CL2 = x(23)/phys.VL; CVL2 = CL2/phys.PL2; % tissue/venous concn's
% AVB2 = x(24); % Mixed ven. CEO conc after binding!
    CVB2 = x(24)/phys.VVB; % concentration

RALG2 = (VMAX2*CVL2/(KM2+CVL2)) + KF2*CVL2*phys.VL;
    % CEO hydrolysis, saturable + linear terms
    % For fitting, either saturable or linear is set to zero
CV2 = (phys.QF*CVF2 + (phys.QL+phys.QST)*CVL2 + phys.QS*CVS2 + phys.QR*CVR2
...
    + phys.QBR*CVBR2)/phys.QC; % CEO mixed venous blood conc. (mg/L)

CAL2 = (phys.QC*CVB2)/(phys.QC+(phys.QP/phys.PB2)); % CEO in arterial lung
blood (mg/L)!

dx(17) = (phys.QC*CAL2)-(phys.QC*CA2)-(ps.KB2*x(17));
    % CEO in systemic arterial after binding
dx(18) = phys.QS*(CA2-CVS2) - SGSH2; % CEO in slowly perfused tissues (mg)!
dx(19) = phys.QR*(CA2-CVR2) - RGSB2; % CEO in rapidly perfused tissues (mg)!
dx(20) = phys.QST*(CA2-CVST2) - STGSH2; % CEO in stomach (mg)!
dx(21) = phys.QF*(CA2-CVF2); % CEO in fat tissue (mg)!
dx(22) = phys.QBR*(CA2-CVBR2) - BRGSH2; % CEO in brain tissue (mg)!
dx(23) = phys.QL*(CA2-CVL2) + phys.QST*(CVST2-CVL2) - KEH*CVL2*phys.VL + ...
    (RAM1*MW2/MW) - RALG2;
dx(24) = phys.QC*CV2 - phys.QC*CVB2 - ps.KB2*x(24);
    % CEO mixed venous after binding

%Calculation of the AUC for ACN and CEO in liver, brain, and blood!

```



```

dx(25) = CL; % AUC for ACN liver concentration
dx(26) = CBR; % AUC for ACN brain conc.!
dx(27) = CBR2; % AUC for CEO brain conc.!
dx(28) = CVB; % AUC for ACN blood conc.!
dx(29) = CVB2; % AUC for CEO blood conc.!

dx(31) = STGSH2 + SGSH2 + RGS2 + BRGS2 + RALG2 + ps.KFB2*(x(17)+x(24));
% amount of CEO binding to GSH

dx(32) = (ps.KH*(x(2)+x(16))) + (ps.KH2*(x(17)+x(24)));

% had problems with state variables going < 0 at one point (stiff system);
% the following is a fix for this.

%dx=(dx.*(x>=0))+(abs(dx).*(x<0));

```

## APPENDIX D. UNCERTAINTIES ASSOCIATED WITH CHEMICAL-SPECIFIC PARAMETERS EMPLOYED IN THE PBPK MODEL FOR AN DOSIMETRY IN HUMANS

PBPK models are computational tools used to predict chemical/drug disposition. Models are comprised of three distinct types of information: physiological, physicochemical, and biochemical. The physiological data are chemically independent and describe such parameters as organ volumes and blood flows. Physicochemical parameters are chemical specific and specify parameters, such as PCs or permeability. Biochemical parameters define the rates of chemical transformation or binding. In Appendix D, the EPA evaluates uncertainties in the chemical-specific parameters employed in the PBPK model used to predict AN dosimetry in humans, which is adapted from that of Sweeney et al. (2003). Only a small number of (metabolic) parameters were changed in the EPA's adaptation, which are noted below. Otherwise, it should be understood that any discussion of the model of Sweeney et al. (2003) applies to the one used in this assessment.

### PCs

PCs describe the extent of distribution of chemical into the body, including target tissues such as the brain and lungs. PCs employed in the model of Sweeney et al. (2003) were derived from rat tissue:air PCs and human blood:air PC for AN or CEO in the following way:

$$P_{\text{tissue:blood}}(\text{human}) = P_{\text{tissue:air}}(\text{rat}) / P_{\text{blood:air}}(\text{human})$$

This equation assumes that the relative difference in PCs between tissues is the same in rats as in humans. The systematic difference between the two is accounted for by the blood:air PC; for humans, the experimentally determined value for AN (154) differed from the value for the rat (512) by threefold. Due to the absence of experimental data, Sweeney et al. (2003) set the human blood:air PC for CEO equal to the rat value (1,658).

It may be asked whether the estimates produced by the above equation are reasonable considering the chemical characteristics of AN and CEO and the distribution of AN- and CEO-soluble components in human tissue. To address these issues, the method of Poulin and Theil (2002) was used to estimate the  $P_{\text{tissue:blood}}(\text{human})$ . The method is based on the additive solubilities of a chemical in lipid and water, and the relative distribution of these substances in tissues. Sensitivity analysis conducted by Sweeney et al. (2003) identified the  $P_{\text{stomach:blood}}$  and  $P_{\text{brain:blood}}$  for AN as influential on AN-related dose metrics and the  $P_{\text{brain:blood}}$  for CEO as influential on CEO-related dose metrics. Table D-1 provides estimates of PCs for AN and CEO. Note that the critical PCs, the  $P_{\text{stomach:blood}}$  for AN and the  $P_{\text{brain:blood}}$  for AN and CEO, as predicted by the method of Poulin and Theil were within a factor of about 1.5 of the values employed by Sweeney et al. (2003).

**Table D-1. Tissue:blood PCs**

| PCs for AN      |                   |                  |                | PCs for CEO     |                   |                  |                |
|-----------------|-------------------|------------------|----------------|-----------------|-------------------|------------------|----------------|
|                 | Comp <sup>a</sup> | Exp <sup>b</sup> | Exp:comp ratio |                 | Comp <sup>a</sup> | Exp <sup>b</sup> | Exp:comp ratio |
| Adipose tissue  | 0.30              | 0.94             | 3.11           | Adipose tissue  | 0.22              | 0.79             | 3.52           |
| Brain           | 1.12              | 1.34             | 1.19           | Brain           | 0.99              | 1.40             | 1.41           |
| Stomach         | 1.00              | 1.51             | 1.52           | Stomach         | 0.89              | 0.27             | 0.31           |
| Liver           | 1.02              | 1.51             | 1.48           | Liver           | 0.94              | 0.27             | 0.31           |
| Muscle          | 0.98              | 1.16             | 1.19           | Muscle          | 0.83              | 1.84             | 1.97           |
| Richly perfused | 1.00              | 1.51             | 1.51           | Richly perfused | 0.97              | 0.27             | 0.28           |

<sup>a</sup>Computationally derived by Poulin and Theil (2002).

<sup>b</sup>Experimentally obtained/reported by Sweeney et al. (2003).

To evaluate the influence of the PC in the model, the revised human PBPK model was implemented with both sets of PCs (Table D-1, with  $P_{\text{blood:air}}$  as measured for AN using human blood and for CEO using rat blood). For three different exposure scenarios, the peak AN and CEO did not change by more than 30% of initial value (Table D-2). This finding may be explained by consistency between both estimates of PC and a general lack of sensitivity to the PC (see Sweeney et al., 2003). Indeed, the only PCs with normalized sensitivity coefficients that exceeded 0.2 were the  $P_{\text{stomach:blood}}$  (AN),  $P_{\text{brain:blood}}$  (AN), and the  $P_{\text{brain:blood}}$  (CEO). Consistent with these results, Table D-2 shows a greater impact of changing the PC in the brain than the blood (an averaged effect of other PCs). All of the predicted concentration in brain tended to decrease when the model was implemented with PC as per the method of Poulin and Theil (2002).

**Table D-2. Impact of method of estimation of PCs on the peak AN and CEO model predictions**

| Exposure                                     | PC method <sup>a</sup> | Peak AN (µg/L) |        | Peak CEO (µg/L) |        |
|----------------------------------------------|------------------------|----------------|--------|-----------------|--------|
|                                              |                        | Blood          | Brain  | Blood           | Brain  |
| Inhalation<br>2 ppm, 8 h                     | S                      | 4.87           | 11.4   | 0.998           | 1.23   |
|                                              | PT                     | 4.87           | 9.56   | 0.998           | 0.863  |
|                                              |                        | 0.0%           | -16.4% | 0.0%            | -29.6% |
| Inhalation<br>0.4 ppm, 1 wk                  | S                      | 0.975          | 2.29   | 0.200           | 0.245  |
|                                              | PT                     | 0.975          | 1.91   | 0.200           | 0.173  |
|                                              |                        | 0%             | -16.4% | 0%              | -29.6% |
| Oral<br>6 pulses in 24 h,<br>0.2 mg/kg total | S                      | 2.50           | 3.11   | 8.36            | 10.14  |
|                                              | PT                     | 2.68           | 2.77   | 8.64            | 7.44   |
|                                              |                        | 7.3%           | -10.9% | 3.4%            | -26.7% |

<sup>a</sup>S = PCs as estimated by Sweeney et al. (2003) (listed in Appendix C); PT = PCs estimated using the method of Poulin and Theil (2002). AN and CEO are from model simulations using other human parameters as listed in Appendix C.

### Parameters of metabolic clearance

Sweeney et al. (2003) developed a PBPK model of AN and CEO disposition in humans based on human in vitro data and the rat model of Kedderis et al. (1996). Human in vivo pharmacokinetic data were not available for model development; therefore, the authors proposed a rodent-human parallelogram approach to consider uncertainties in the scaling of in vitro metabolism data. Critical metabolic pathways included the oxidation of AN to CEO, the conjugation of AN and CEO, and the hydrolysis of CEO.

With the exception of the latter, the scaling of in vitro rate constants for these pathways was first investigated by Kedderis et al. (1996), who observed that the scaled rate constants (rat model) derived from rat liver microsomes, did not match those constants fit from in vivo rat data. Sweeney et al. (2003) employed both in vitro and in vivo data to derive an empirical correction factor (CF) to account for uncertainties in scaling (rat). The scaling constant was assumed to be constant across species; thus, the preexisting rat model, the empirical CF, and human in vitro data were employed to specify a human PBPK model.

Because EPA altered a number of the rat metabolic parameters to accommodate the rate constant for AN hydrolysis extrapolated from in vitro data and to obtain parameters in a way that was computationally reproducible, while following the same approach as Sweeney et al. (2003) for metabolic parameter extrapolation, the EPA obtained different values for humans. First, the derivation of the human  $V_{\max C}$  for the oxidation of AN to CEO in the following equations was described. The ratio of rates determined by human in vitro data and rat in vitro data is considered (Kedderis et al. 1993c), as well as the allometrically scaled in vivo rate constant for oxidation of AN in the rat.

$$\frac{V_{\max C}(\text{human})}{(\text{mg/h/kg})^{0.7} \text{ in vivo}} = \frac{V_{\max C}(\text{rat})}{(\text{mg/h/kg})^{0.7} \text{ in vivo}} \times \left( \frac{BW_{\text{human}}}{BW_{\text{rat}}} \right)^{0.3} \times \left( \frac{[\text{mgMSP/g liver}]_{\text{human}}}{[\text{mgMSP/g liver}]_{\text{rat}}} \right) \times \left( \frac{VLC_{\text{human}}}{VLC_{\text{rat}}} \right) \times \left( \frac{V_{\max, \text{human}}}{V_{\max, \text{human}} \text{ in vitro}} \right)$$

$$22.1 = 7.1 \times 5.422 \times 1.4225 \times 0.6425 \times 0.626$$

Next, the value (and calculations) of the empirical CF = 5.785 is shown. This value represents what is not accounted for in the in vitro to in vivo scaling in the rat.

$$CF_{\text{in vivo / in vitro}} = \frac{V_{\max C}(\text{rat})}{(\text{mg/h/kg})^{0.7} \text{ in vivo}} \bigg/ \left( \frac{V_{\max C}(\text{rat})}{(\text{mg/h/kg})^{0.7} \text{ in vitro}} \times [\text{mgMSP/g liver}]_{\text{rat}} \times \frac{10^3 \text{g}}{\text{kg}} \times VLC_{\text{rat}} \times BW_{\text{rat}}^{0.3} \right)$$

$$5.785 = 7.1 / (0.001164 \times 40 \times 1000 \times 0.04 \times 0.659)$$

The allometrically scaled in vivo rate constant for human pseudo first-order GSH conjugation of AN and CEO was calculated in the same way (not shown).

The parallelogram method was applied differently to estimate the rate constant for enzymatic hydrolysis by EH in humans. Because the EPA extrapolated the EH rate constant in rats from in vitro to in vivo without use of an adjustment factor, the same was done for humans. Kedderis and Batra (1993) determined a  $V_{\max}$  and  $K_m$  EH-mediated hydrolysis of CEO using liver microsome samples from six individual humans. The lowest estimated  $K_m$  in the group was 600  $\mu\text{M}$ , so the EPA chose to describe the metabolism as first-order since in vivo concentrations are expected to stay well below that value, using the ratio of  $V_{\max}/K_m$ . The ratio of  $V_{\max}/K_m$  was first calculated for each individual since  $V_{\max}$  and  $K_m$  tend to be statistically correlated due to the way they are estimated, and an average value for the ratio was then determined to be  $7.02 \times 10^{-6}$  L/minute/mg MP. The value of 56.9 mg MP/g liver from Lipscomb et al. (2003), the liver fraction of 25.7 g/kg BW, and the standard value of 70 kg BW for a human can be applied. The rate constant for a standard human is then  $k_{\text{EH}} = (7.02 \times 10^{-6} \text{ L/minute/mg EH}) \times (56.9 \text{ mg MP/g liver}) \times (25.7 \text{ g liver/kg BW}) \times (70 \text{ kg BW}) \times (60 \text{ minutes/hour}) = 43.1 \text{ L/hour}$ , and assuming it also scales as  $BW^{0.7}$  (because it represents  $V_{\max}/K_m$ , with  $K_m$  assumed constant),  $k_{\text{EHC}} = k_{\text{EH}} \div (0.70 \text{ kg})^{0.7} = 2.20 \text{ L/hour-kg}^{0.7}$ .

The parallelogram approach described by Sweeney et al. (2003) is provocative, considering that human in vivo pharmacokinetic data are sparse for many compounds. What special assurances are required in using this approach?

First, what is the basis of the empirical CF? It is the basis to account for: (1) artifacts of microsomes that may bias the estimation of rate constants (as suggested by Kedderis et al., 1996) or (2) decay in activity due to handling and storage. An analysis by Lipscomb et al. (1998) compared the in vivo  $V_{\max}$  that would be obtained by directly extrapolating the in vitro metabolism of trichloroethylene to the value obtained by fitting in vivo data and found that the

in-vitro-derived value came out to about one half of an in-vivo-fitted value. Thus, it appears that a CF is appropriate to account for either or both of these factors. Sweeney et al. (2003) applied the same approach to the enzyme-catalyzed GSH conjugation (cytosolic in origin). One concern that had existed is that Sweeney et al. (2003) had been forced to assume that the same factor holds for distinct enzymes (P450 and EH) that are membrane bound, because EH activity in the rat was taken to be zero in the original model of Kedderis et al. (1996), but that assumption is no longer required since the EPA was able to extrapolate the EH-mediated activity without adjustment. The EPA must still assume that the factors for P450 and GST hold across species, but, given that the in-vitro enzyme preparations and measurements of activity were made in the same way for both animals and humans, this seems a reasonable assumption. Nevertheless, it does represent a source of uncertainty.

The human PBPK model (with the EPA's revised parameters) can be tested by comparing the data of Jakubowski et al. (1987) with mass-balance calculations from the PBPK model (Table D-3). Unfortunately, there are some significant discrepancies between the data of Jakubowski et al. (1987) and these simulations. The respiratory retention (nominally in the lung) of AN from subjects exposed through a face mask ranged from 44 to 58%, with an average of 51.8%. The PBPK model predicts 99% uptake of AN inhaled to the pulmonary region. Recognizing that ~30% of inhaled air only enters the conducting airways (so-called “dead space”), the PBPK model effectively predicts a retention of 69%, which is considerably higher than measured. The respiration rates of the subjects in the Jakubowski et al. (1987) experiment ranged from 366 to 625 L/hour, with an average of 508 L/hour, which corresponds to an alveolar ventilation rate of 356 L/hour, while the PBPK model utilized a rate of 300 L/hour (for a 70-kg person), but increasing the respiration rate in the model by this amount (while holding all other parameters constant) only decreases the alveolar uptake fraction from 98.7 to 98.5%. A possible explanation is that AN is subject to a considerable “wash-in/wash-out” effect, where some of the material inhaled deposits temporarily in the conducting airways and then desorbs on expiration, which is not accounted for in the model.

**Table D-3. PBPK mass balance predictions for an 8-hour human exposure to 2 ppm AN**

| Parameter | Metric                                                 | Amount (mg) | Percent |
|-----------|--------------------------------------------------------|-------------|---------|
| AI        | AN mass inhaled                                        | 10.389      |         |
| AX        | AN mass exhaled                                        | 0.133       | 1.3     |
| ATi       | AN final tissue mass (including blood)                 | 0.725       | 7.0     |
| AMt       | AN metabolized by ...                                  | 5.102       | 49.1    |
| AMo       | AN oxidized                                            | 3.904       | 37.6    |
| AMgt      | AN enzymatically conjugated (in liver)                 | 0.280       | 2.7     |
| AMgn      | AN non-enzymatically conjugated                        | 0.918       | 8.8     |
|           | Metabolic mass balance<br>(AMo + AMgt + AMgn)/AMt      | 100.00%     |         |
| ABD       | AN bound to Hb and sulfhydryls                         | 4.429       | 42.6    |
|           | Total AN mass balance<br>(AX + ATi + AMt + ABD)/AI     | 100.00%     |         |
| CMo       | CEO formed from AN oxidation <sup>a</sup>              | 5.080       |         |
| CX        | CEO mass exhaled                                       | 0.001       | 0.0     |
| CTi       | CEO final tissue mass                                  | 0.097       | 1.9     |
| CEH       | CEO hydrolyzed                                         | 1.128       | 22.2    |
| CCl       | CEO conjugated in liver                                | 2.596       | 51.1    |
| Ccti      | CEO conjugated in other tissues                        | 0.283       | 5.6     |
| CBD       | CEO bound to Hb and sulfhydryls                        | 0.975       | 19.2    |
|           | CEO mass balance<br>(Cti + CEH + CCl + Ccti + CBD)/CMo | 100.00%     |         |

$$^a\text{CMo} = \text{AMo} \times \text{MW}_{\text{CEO}} \div \text{MW}_{\text{AN}}$$

The subjects of Jakubowski et al. (1987) were then exposed in a chamber for 8 hours to an average of 10.8 or 5.6 mg/m<sup>3</sup> (5 or 2.6 ppm), and the total excretion of CEO in the urine was found to be an average of 26.4 or 16.3% of the retained dose (portion not exhaled) at those respective exposure levels. The PBPK model predicts that 38% of the retained AN is oxidized to CEO and that 22% of that CEO is hydrolyzed, with these fractions being fairly constant at ≤8 ppm. Assuming that all the hydrolysis product was excreted in the urine, that the acid-extraction method of Jakubowski et al. (1987) would have reversed the hydrolysis, and that the extraction/HPLC technique would have separated that product from the GSH conjugates and other metabolites, the predicted urinary excretion of “CEO” would then be 8.4% of the retained dose. Alternately, if it is assumed that all CEO conjugated with GSH was excreted in the urine and that the method of Jakubowski et al. (1987) had cleaved the GSH conjugates, then the total CEO predicted in the urine would be 79% of the CEO formed or 30% of the retained AN. Therefore, the measured CEO excretion as a fraction of the retained dose is bracketed by the predicted levels, depending on what is assumed about the assay method. These results indicate that the model predictions of AN and CEO metabolism in humans subsequent to absorption are at least in the right range.

Kedderis et al. (1996) suggest that the overestimation of CEO by the rat model at the early time points (which also occurs in the EPA's revision) may be due to an intrahepatic first pass effects, as occurs with other epoxides formed in situ from their parent olefins (Filser and

Bolt, 1984). The introduction of such a structure (“privileged access” as coined by Kohn and Melnick, 2000) would contribute to the efficient clearance of CEO; however, whether the needed differential reduction of CEO levels in the early phase would also be accomplished is not clear. The issue is that the model predicts a very rapid rise for CEO in blood to peak/plateau levels (Figure 3-5a, top panels), while the data show a gradual rise over the first ~10 minutes. It is not evident that a privileged access model would account for this dynamic difference since the current model allows for reaction of AN with GSH in stomach tissue and liver before reaching the general circulation. Further, the same lack-of-fit occurs vs. the CEO i.v.-exposure data shown in Figure 3-3, which could not be explained by a first-pass effect from GI absorption.

An alternate explanation is that the model currently assumes constant GSH levels, while GSH may be depleted during the early part of the exposure. A model that includes this depletion could predict that at very short times when GSH is near control levels, relatively little AN would remain unconjugated for conversion to CEO, but, as the depletion occurs, more and more AN would be available for oxidative conversion to CEO, hence the gradual rise. To accurately calibrate parameters that accomplish intrahepatic clearance would require a description of GSH kinetics.

Thus, the inability to fit the rat blood CEO levels over the entire time course and the lack of understanding of the biological basis for those kinetics indicate a level of uncertainty in the model that could be addressed through further research. While the model’s overprediction of AN absorption in humans indicates that the description of gas uptake could be improved, this discrepancy is only 10–30% (less than the apparent 3- to 10-fold overprediction of blood and brain CEO levels in rats and [likely] in humans by the oral route). The model does, however, predict AN and CEO blood and tissue levels from inhalation exposure in rats at the lowest concentration measured (186 ppm) quite well (Figure 3-4a), indicating that the gas-uptake description is adequate for prediction of rat dosimetry. For oral exposures, however, the greater accuracy of the AN predictions vs. CEO (Figure 3-5b vs. 3-5a) suggests greater certainty in the use of AN, although the mode of action suggests that CEO is the active metabolite.

### **Sensitivity and Uncertainty Analysis**

Sensitivity and uncertainty analyses were performed to investigate the dependence of PBPK model predictions for AN and CEO on specific model parameters and to estimate the overall uncertainty in those predictions, given estimates of uncertainty in specific model parameters.

The first step was to perform a sensitivity analysis on PBPK model predictions to identify the degree to which model dose metric predictions depend on model parameters. For this purpose, normalized sensitivity coefficients were calculated. For example, for the sensitivity of a model prediction of concentration (e.g., AN concentration in blood) to parameter P (e.g., metabolic  $V_{\max C}$  for AN oxidation), the normalized sensitivity coefficient is:



$$S = (dC/dP) \times (P/C)$$

The first term is the derivative of C with respect to P. (C is generally a function of time, so this derivative will also depend on time.) This derivative is approximated by alternately increasing and decreasing P by a small fraction,  $\Delta P$  (taken to be 1% of P here) and calculating  $[C(P + \Delta P) - C(P - \Delta P)] \div (2 \times \Delta P)$ . The second term normalizes the sensitivity with respect to the value of C at the normal value of P and with respect to P, essentially yielding the percent change in C given a 1% change in P. These sensitivities typically vary between  $\pm 1$ , where values near 1 show high sensitivity and values near 0 show low sensitivity.

Sensitivities were determined for four dose metrics, the blood and brain concentrations of AN and CEO, under an inhalation and an oral exposure scenario for the human PBPK model. For simplicity, the EPA considered continuous exposures to either 0.4 ppm AN by inhalation or an oral absorption rate equal to 1 mg/kg-day and analyzed the values of these metrics at 24 hours, by which time, the human body is predicted to reach steady state. Under such conditions, the AUC for each metric is just 24 hours times the steady-state concentration (subsequent to reaching steady state), and hence, the sensitivity of the AUC is identical to the sensitivity of the concentration, given that the coefficients are normalized as described above. Since these concentrations are in the linear range of the model, they apply across the low-dose, linear range of concentrations. The sensitivity coefficients for each parameter for which at least one coefficient had absolute value greater than 0.1 are listed in Tables D-4 and D-5 for the inhalation and oral scenarios, respectively.

**Table D-4. Sensitivity of AN and CEO metrics for continuous inhalation exposure**

| Parameter         | Dose metrics <sup>a</sup> (concentrations) |              |              |              |
|-------------------|--------------------------------------------|--------------|--------------|--------------|
|                   | AN in blood                                | AN in brain  | CEO in blood | CEO in brain |
| Q <sub>CC</sub>   | <b>-0.49</b>                               | <b>-0.57</b> | <b>0.51</b>  | <b>0.64</b>  |
| Q <sub>PC</sub>   | <b>0.99</b>                                | <b>0.99</b>  | <b>0.99</b>  | <b>0.98</b>  |
| V <sub>LC</sub>   | -0.01                                      | -0.01        | <b>-0.64</b> | <b>-0.64</b> |
| V <sub>BRC</sub>  | -0.01                                      | -0.04        | -0.04        | -0.14        |
| V <sub>SC</sub>   | -0.14                                      | -0.10        | <b>-0.28</b> | <b>-0.28</b> |
| V <sub>ABC</sub>  | -0.07                                      | -0.07        | -0.12        | -0.15        |
| V <sub>VBC</sub>  | -0.12                                      | -0.08        | <b>-0.20</b> | <b>-0.20</b> |
| Q <sub>LC</sub>   | <b>-0.76</b>                               | <b>-0.53</b> | <b>0.65</b>  | <b>0.65</b>  |
| Q <sub>BRC</sub>  | 0.00                                       | 0.03         | 0.00         | 0.10         |
| Q <sub>SC</sub>   | -0.02                                      | -0.01        | -0.10        | -0.10        |
| GSH <sub>L</sub>  | 0.00                                       | 0.00         | <b>0.27</b>  | <b>0.38</b>  |
| GSH <sub>BR</sub> | -0.01                                      | -0.04        | -0.04        | -0.14        |
| GSH <sub>S</sub>  | -0.13                                      | -0.09        | <b>-0.27</b> | <b>-0.27</b> |
| V <sub>maxC</sub> | -0.09                                      | -0.06        | 0.11         | 0.11         |
| K <sub>m</sub>    | 0.09                                       | 0.06         | -0.11        | -0.11        |
| k <sub>FC2</sub>  | 0.00                                       | 0.00         | <b>-0.86</b> | <b>-0.96</b> |
| k <sub>EHC</sub>  | 0.00                                       | 0.00         | <b>-0.25</b> | <b>-0.25</b> |
| k <sub>BC</sub>   | -0.19                                      | -0.16        | -0.16        | -0.16        |
| k <sub>BC2</sub>  | 0.00                                       | 0.00         | -0.13        | -0.15        |
| P <sub>BR</sub>   | 0.00                                       | <b>0.97</b>  | 0.00         | 0.00         |
| P <sub>BR2</sub>  | 0.00                                       | 0.00         | 0.00         | <b>1.00</b>  |

<sup>a</sup>Values are normalized sensitivity coefficients (i.e.,  $(dC/dP) \times (P/C)$ ), for sensitivity of concentration C to parameter P) for steady state blood and brain concentrations predicted for humans during an exposure to 0.4 ppm AN. Sensitivities are approximated by increasing and decreasing each parameter by 1% of its default value. Values of 0.2 or greater are indicated in bold and values between 0.1 and 0.2 in italics. Parameters for which all coefficients are less than 0.1 are omitted.

**Table D-5. Sensitivity of AN and CEO metrics for continuous oral exposure**

| Parameter         | Dose metrics <sup>a</sup> (concentrations) |              |              |              |
|-------------------|--------------------------------------------|--------------|--------------|--------------|
|                   | AN in blood                                | AN in brain  | CEO in blood | CEO in brain |
| BW                | <b>0.21</b>                                | <b>0.20</b>  | <b>0.24</b>  | <b>0.24</b>  |
| Q <sub>CC</sub>   | <b>0.30</b>                                | <b>0.36</b>  | <b>0.27</b>  | <b>0.39</b>  |
| V <sub>LC</sub>   | -0.07                                      | -0.07        | <b>-0.64</b> | <b>-0.64</b> |
| V <sub>BRC</sub>  | -0.01                                      | -0.04        | -0.03        | -0.13        |
| V <sub>SC</sub>   | -0.10                                      | -0.10        | -0.19        | -0.19        |
| V <sub>VBC</sub>  | -0.12                                      | -0.12        | -0.13        | -0.13        |
| Q <sub>LC</sub>   | <b>0.29</b>                                | <b>0.29</b>  | <b>0.35</b>  | <b>0.35</b>  |
| GSH <sub>L</sub>  | 0.00                                       | 0.00         | <b>0.27</b>  | <b>0.38</b>  |
| GSH <sub>BR</sub> | -0.01                                      | -0.04        | -0.03        | -0.13        |
| GSH <sub>S</sub>  | -0.09                                      | -0.09        | -0.18        | -0.18        |
| V <sub>maxC</sub> | <b>-0.89</b>                               | <b>-0.89</b> | 0.11         | 0.11         |
| K <sub>m</sub>    | <b>0.89</b>                                | <b>0.89</b>  | -0.11        | -0.11        |
| k <sub>FC2</sub>  | 0.00                                       | 0.00         | <b>-0.86</b> | <b>-0.96</b> |
| k <sub>EHC</sub>  | 0.00                                       | 0.00         | <b>-0.25</b> | <b>-0.25</b> |
| k <sub>BC</sub>   | -0.17                                      | -0.19        | -0.01        | -0.01        |
| k <sub>BC2</sub>  | 0.00                                       | 0.00         | -0.13        | -0.15        |
| P <sub>BR</sub>   | 0.00                                       | <b>0.97</b>  | 0.00         | 0.00         |
| P <sub>BR2</sub>  | 0.00                                       | 0.00         | 0.00         | <b>1.00</b>  |

<sup>a</sup>Values are normalized sensitivity coefficients (i.e.,  $(dC/dP) \times (P/C)$ ), for sensitivity of concentration C to parameter P), for steady state blood and brain concentrations predicted for humans during a continuous oral infusion equal to 1 mg/kg-d. Sensitivities are approximated by increasing and decreasing each parameter by 1% of its default value. Values of 0.2 or greater are indicated in bold, and values between 0.1 and 0.2 in italics. Parameters for which all coefficients are less than 0.1 are omitted.

The EPA's results can be compared to those of Sweeney et al. (2003), derived for the original model, with previous parameter values. For the inhalation scenario (Table D-4), the EPA's results for AN are essentially identical to those of Sweeney et al. (2003), and those for CEO show the same qualitative pattern and only have a few notable differences. The sensitivity to the rate constant for CEO hydrolysis ( $k_{EHC}$  in the EPA's model;  $V_{maxC2}$  in Sweeney et al., 2003) is -0.25 in the revised model and -0.5 in their previous model, indicating somewhat less importance for this parameter now. On the other hand, the sensitivity to CEO-GSH conjugation in the liver parameterized by  $k_{FC2}$  increased from ~0.6–0.7 for Sweeney et al. (2003) to ~0.9 with the revised model, showing more significance now. Thus, there is a shift in the relative importance of these two parameters and the rate constant for GSH conjugation in humans is an especially important factor in the EPA's predictions, while the exact rate of CEO hydrolysis is less influential, though still somewhat important.

Important factors in comparing the EPA's results for an oral exposure to those of Sweeney et al. (2003) are that the EPA held the dose in mg/kg-day constant, so that it varied in

proportion to BW when that parameter was varied, while Sweeney et al. (2003) held the dose in mg/day constant, and they calculated sensitivities of the AUC and peak concentrations for only the AN metrics, while the EPA used steady-state concentrations of AN and CEO. Thus, it is not surprising that Sweeney et al. (2003) had a negative sensitivity to BW, since the same total dose given to a larger body would result in a lower concentration, while the EPA's is positive, reflecting that a proportionately higher dose is expected to yield higher-than-proportional concentrations because the rates of metabolic elimination scale as  $BW^{0.7}$ .

It is interesting to note that the liver volume (fraction), which effects the rates of first- and second-order reactions in the model, and the rate constants for CEO removal but not CEO production ( $V_{maxC}$ ) significantly affect the CEO metrics (absolute values from Sweeney et al. (2003) are about 0.17–0.18 for sensitivity of CEO metrics to  $V_{max}$  and  $K_m$ ). The EPA's lack of significant dependence on blood flow rates to stomach ( $Q_{StC}$ ) and slowly-perfused tissues ( $Q_{SC}$ ) is due to the fact that the EPA is analyzing a continuous infusion, as these do significantly affect the peak concentration after a bolus exposure. Thus, these are only important for specific dosing scenarios.

Likewise the rate of absorption ( $k_A$ ) from the stomach significantly affects the peak concentration (verified but not shown for the revised model) but has a smaller affect on the AUC. These results for absorption are not surprising, since changes in  $k_A$  do not alter the fact that the entirety of an oral bolus is predicted to be absorbed. Whether or not there is an impact on the steady-state concentrations depends on what one believes about oral absorption. In particular, if one assumes 100% absorption, where the rate of absorption increases with the amount in the GI tract, then at steady state, the rate of absorption must equal the rate of ingestion and the exact rate of absorption is unimportant. It is only if orally ingested material may be eliminated in the feces or otherwise transformed in the gut without absorption that the exact rate constant for absorption would be important.

Finally, uncertainty in these steady-state model predictions was estimated using the equation of Sweeney et al. (2003) for the approximate coefficient of variation (CV):

$$CV_m = \sqrt{\sum_i (S_{mi}^2 \cdot CV_i)}$$

where  $CV_m$  is the estimated CV for metric “m,”  $S_{mi}$  is the sensitivity coefficient of metric “m” to parameter  $p_i$ , as tabulated above, and  $CV_i$  is the CV of  $p_i$ . For this calculation, the EPA decided to use the individual parameter CV values as estimated and used by Sweeney et al. (2003, see Table 3-5 in that paper). The CVs for physiological parameters (e.g., blood flow rates, tissue fractions) would indeed be identical, and, while the EPA reestimated some metabolic parameters and  $k_A$ , the underlying in vitro data on which the human extrapolation is based are the same, and hence, they are expected to be approximately the same.

The resulting CV values for the EPA's four metrics under the two exposure scenarios are listed in Table D-6.

**Table D-6. Estimated CVs for AN and CEO metrics**

| Exposure scenario  | Dose metrics (concentrations) |             |              |              |
|--------------------|-------------------------------|-------------|--------------|--------------|
|                    | AN in blood                   | AN in brain | CEO in blood | CEO in brain |
| 0.4 ppm inhalation | 0.620                         | 0.656       | 0.893        | 1.15         |
| 1 mg/kg-d oral     | 0.790                         | 0.873       | 0.711        | 1.01         |

The overall CVs in Table D-6 are interesting in themselves, in that they indicate the overall level of confidence in the model's prediction of those dose metrics. But even more interesting is the contribution of the individual parameters to each of these metrics, as listed in Tables D-7 and D-8. What those contributions show is that the vast majority of the uncertainty arises from a small number of parameters and that most of those parameters are physiological values—alveolar ventilation ( $Q_{CC}$ ), cardiac output ( $Q_{PC}$ ), and blood flow to the liver ( $V_{LC}$ )—and the brain:blood PC in the case of the brain metrics.

**Table D-7. Parameter contributions to overall CVs for inhalation exposure**

| Parameter         | Dose metrics <sup>a</sup> (concentrations) |             |              |              |
|-------------------|--------------------------------------------|-------------|--------------|--------------|
|                   | AN in blood                                | AN in brain | CEO in blood | CEO in brain |
| Q <sub>CC</sub>   | <b>9.3</b>                                 | <b>11.5</b> | <b>5.0</b>   | 4.6          |
| Q <sub>PC</sub>   | <b>37.9</b>                                | <b>33.9</b> | <b>18.2</b>  | <b>11.0</b>  |
| V <sub>LC</sub>   | 0.0                                        | 0.0         | <b>7.7</b>   | 4.6          |
| V <sub>BRC</sub>  | 0.0                                        | 0.1         | 0.1          | 0.5          |
| V <sub>SC</sub>   | 0.7                                        | 0.3         | 1.4          | 0.9          |
| V <sub>ABC</sub>  | 0.4                                        | 0.4         | 0.6          | 0.5          |
| V <sub>VBC</sub>  | 1.1                                        | 0.5         | 1.5          | 0.9          |
| Q <sub>LC</sub>   | <b>44.4</b>                                | <b>19.8</b> | <b>15.9</b>  | <b>9.6</b>   |
| Q <sub>BRC</sub>  | 0.0                                        | 0.1         | 0.0          | 0.2          |
| Q <sub>SC</sub>   | 0.0                                        | 0.0         | 0.4          | 0.2          |
| GSH <sub>L</sub>  | 0.0                                        | 0.0         | 1.1          | 1.3          |
| GSH <sub>BR</sub> | 0.0                                        | 0.2         | 0.1          | 0.7          |
| GSH <sub>S</sub>  | 0.9                                        | 0.4         | 1.8          | 1.1          |
| V <sub>maxC</sub> | 1.1                                        | 0.5         | 0.7          | 0.4          |
| K <sub>m</sub>    | 0.4                                        | 0.2         | 0.3          | 0.2          |
| k <sub>FC2</sub>  | 0.0                                        | 0.0         | <b>39.2</b>  | <b>29.8</b>  |
| k <sub>EHC</sub>  | 0.0                                        | 0.0         | 3.4          | 2.1          |
| k <sub>BC</sub>   | 2.9                                        | 1.8         | 1.0          | 0.6          |
| k <sub>BC2</sub>  | 0.0                                        | 0.0         | 0.7          | 0.5          |
| P <sub>BR</sub>   | 0.0                                        | <b>30.0</b> | 0.0          | 0.0          |
| P <sub>BR2</sub>  | 0.0                                        | 0.0         | 0.0          | <b>29.9</b>  |

<sup>a</sup>Values are  $100 \times S_{mk}^2 \times CV_k / \Sigma(S_{mi}^2 \times CV_i)$ . Values >5 (i.e., 5% contribution) are in bold.

**Table D-8. Parameter contributions to overall CVs for oral exposure**

| Parameter         | Dose metrics <sup>a</sup> (concentrations) |             |              |              |
|-------------------|--------------------------------------------|-------------|--------------|--------------|
|                   | AN in blood                                | AN in brain | CEO in blood | CEO in brain |
| BW                | 1.1                                        | 0.8         | 1.7          | 0.8          |
| Q <sub>CC</sub>   | 2.2                                        | 2.5         | 2.1          | 2.3          |
| V <sub>LC</sub>   | 0.1                                        | 0.1         | <b>12.1</b>  | 6.0          |
| V <sub>BRC</sub>  | 0.0                                        | 0.1         | 0.1          | 0.5          |
| V <sub>SC</sub>   | 0.2                                        | 0.2         | 1.0          | 0.5          |
| V <sub>VBC</sub>  | 0.7                                        | 0.5         | 0.9          | 0.5          |
| Q <sub>LC</sub>   | 4.1                                        | 3.4         | <b>7.1</b>   | 3.5          |
| GSH <sub>L</sub>  | 0.0                                        | 0.0         | 1.8          | 1.7          |
| GSH <sub>BR</sub> | 0.0                                        | 0.1         | 0.1          | 0.8          |
| GSH <sub>S</sub>  | 0.3                                        | 0.2         | 1.3          | 0.6          |
| V <sub>maxC</sub> | <b>66.1</b>                                | <b>54.1</b> | 1.2          | 0.6          |
| K <sub>m</sub>    | <b>23.1</b>                                | <b>18.9</b> | 0.4          | 0.2          |
| k <sub>FC2</sub>  | 0.0                                        | 0.0         | <b>61.9</b>  | <b>38.6</b>  |
| k <sub>EHC</sub>  | 0.0                                        | 0.0         | <b>5.4</b>   | 2.7          |
| k <sub>BC</sub>   | 1.4                                        | 1.5         | 0.0          | 0.0          |
| k <sub>BC2</sub>  | 0.0                                        | 0.0         | 1.0          | 0.7          |
| P <sub>BR</sub>   | 0.0                                        | <b>16.9</b> | 0.0          | 0.0          |
| P <sub>BR2</sub>  | 0.0                                        | 0.0         | 0.0          | <b>38.7</b>  |

<sup>a</sup>Values are  $100 \times S_{mk}^2 \times CV_k / \Sigma(S_{mi}^2 \times CV_i)$ . Values >5 (i.e., 5% contribution) are in bold.

Since the PCs are expected to be similar in rats and humans, and the extrapolation really depends on the rat:human ratio of those, the true resulting uncertainty is likely to be very small. The nominal uncertainty in cardiac ventilation and blood flows is expected to be a composite of uncertainty and inter-individual variability. And the only metabolic parameter to contribute significantly to the uncertainty for inhalation exposure is the CEO-GSH conjugation rate. Thus, a significant improvement in the overall uncertainty can be gained by further investigation of only a small number of parameters, half of which are applicable to every PBPK model that might be considered for gases.

The distribution of parameter influence for the oral simulation scenario, as shown in Table D-8, is similar to that for inhalation in that most of the influence is distributed among a few parameters, with the brain:blood PCs strongly influencing brain concentrations. Also similar is that the CEO-GSH conjugation rate (k<sub>FC2</sub>) is a significant factor for the CEO metrics. Unlike the inhalation case, alveolar ventilation (Q<sub>PC</sub>) has negligible influence, which is to be expected, but also cardiac output (Q<sub>CC</sub>) has only small influence. This later case occurs because of the strong first-pass effect for AN as it is absorbed through the liver, which also explains the very high influence of the oxidation parameters, V<sub>maxC</sub> and K<sub>m</sub>, on the AN metrics.

These results for oral exposure are quite similar to the results obtained by Sweeney et al. (2003), except that their results indicate somewhat higher influence by blood flow to the liver (i.e., 8–12% on AN metrics), liver GSH (6%), and a higher influence of CEO hydrolysis ( $k_{\text{EHC}}$  here, parameterized by a  $V_{\text{max}}$  and  $K_{\text{m}}$  with influence of 12–30%) on CEO metrics. The shift of influence from hydrolysis to GSH conjugation for CEO metrics between Sweeney et al. (2003) and the EPA's results arises from the shift in the relative rates through those two pathways, but in both, the rate of CEO removal is a strong determinant of the CEO metric.



# ACRYLONITRILE

## (Group 2B)

For definition of Groups, see Preamble Evaluation.

**VOL.:** 71 (1999) (p. 43)

**CAS No.:** 107-13-1

**Chem. Abstr. Name:** 2-Propenenitrile

### 5. Summary of Data Reported and Evaluation

#### 5.1 Exposure data

Acrylonitrile is a monomer used in high volume principally in the manufacture of acrylic fibres, resins (acrylonitrile–butadiene–styrene, styrene–acrylonitrile and others) and nitrile rubbers (butadiene–acrylonitrile). Other important uses are as an intermediate in the preparation of adiponitrile (for nylon 6/6) and acrylamide and, in the past, as a fumigant. Occupational exposures to acrylonitrile occur in its production and use in the preparation of fibres, resins and other products. It is present in cigarette smoke and has been detected rarely and at low levels in ambient air and water.

#### 5.2 Human carcinogenicity data

The potential carcinogenicity of acrylonitrile in occupationally exposed populations has been investigated in several epidemiological studies. Studies carried out in the 1970s and 1980s suggested a possible increased risk of lung cancer among workers exposed to acrylonitrile. However, these were inconclusive because of one or more of the following actual or potential problems: small sample sizes, insufficient length of follow-up, incompleteness of follow-up, inadequate exposure assessment, potential confounding by other occupational carcinogens, and potential confounding by smoking. Consequently, larger and better studies were undertaken, in most cases building upon the same cohorts that had previously been assembled. Four such studies (two in the United States, one in the United Kingdom and one in the Netherlands) were carried out and these now provide the most relevant, informative data on which to base an evaluation. All of the studies made some attempt to establish exposure levels, although for the British study, this was rather cruder than for the others. The two studies from the United States were carried out in similar industries, but the range of cumulative exposure values was quite different between the two, raising questions about the inter-study comparability of methods of exposure assessment. The four studies employed different strategies for comparing exposed with unexposed. While the British study used a classic SMR comparison with national rates, the Dutch study did the same, but also compared the exposed with a different unexposed cohort. One of the studies from the United States compared the exposed with national rates and with rates of mortality and incidence in other plants of the same large company. The other compared the exposed with workers in the same

plants who were unexposed to acrylonitrile. Typically, in each study, a number of analyses were carried out, varying comparison groups and other parameters.

There was no significant excess risk for any type of cancer when all exposed workers were compared with unexposed, or with an external comparison population. Further, when the study subjects were subdivided by levels of exposure (cumulative exposure when feasible), for no site but lung was there any hint that risk increased with exposure. For lung cancer, there was an indication that workers with the highest exposures had relative risk estimates greater than 1.0. This finding was strongest in the largest of the studies, which had one of the most intensive exposure assessment protocols, but the other studies gave either negative or only weakly supportive results. Even in the largest study (where the relative risk in the highest exposure quintile ranged from 1.2 to 1.7 depending on the parameters in the analysis), the finding was not consistently statistically significant; there was no coherent dose–response pattern throughout the range of exposures and the risk in the highest decile of exposure was lower than that in the second highest decile. On balance and given the largely unsupportive findings from the other studies, the evidence from this one study was not considered to be sufficiently strong to conclude that there was a credible association between acrylonitrile and lung cancer. Thus, the earlier indications of an increased risk among workers exposed to acrylonitrile were not confirmed by the recent, more informative studies.

### **5.3 Animal carcinogenicity data**

Acrylonitrile has been tested for carcinogenicity in one study in rats by inhalation with pre- and postnatal exposure. This study confirmed the findings of increased incidences of glial cell tumours of the central nervous system found in several previous studies that had not been fully reported and also found increases in malignant mammary tumours, Zymbal gland carcinomas, benign and malignant hepatocellular tumours and extrahepatic angiosarcomas.

### **5.4 Other relevant data**

Acrylonitrile forms adducts with proteins and glutathione. It also forms DNA adducts *in vitro*, but only after cytochrome P450 bioactivation, most likely through its epoxide metabolite (cyanoethylene oxide), which is also formed *in vivo*. Acrylonitrile–haemoglobin adducts have been detected in exposed workers.

Both acrylonitrile and cyanoethylene oxide can conjugate with glutathione, leading to detoxification of these reactive compounds. At high doses of acrylonitrile, as used in animal studies, glutathione in certain tissues may be depleted. Such glutathione depletion will probably not occur at low-level human exposure.

Acrylonitrile is mutagenic *in vitro*; in *Salmonella* systems, bioactivation (to cyanoethylene oxide) is required, but in *Escherichia coli* and in rodent systems, bioactivation by an added microsomal system is not required. The results of genotoxicity

experiments *in vivo* have in most cases been negative, although acrylonitrile is mutagenic in *Drosophila*.

## 5.5 Evaluation

There is *inadequate evidence* in humans for the carcinogenicity of acrylonitrile.

There is *sufficient evidence* in experimental animals for the carcinogenicity of acrylonitrile.

### Overall evaluation

Acrylonitrile is *possibly carcinogenic to humans (Group 2B)*.

For definition of the italicized terms, see Preamble Evaluation.

**Previous evaluations:** Vol. 19 (1979) (Acrylonitrile and copolymers); Suppl. 7 (1987)

### Synonyms

- AN
- Cyanoethylene
- Propenenitrile
- VCN
- Vinyl cyanide

# Acrylonitrile

|                              |                                                                          |
|------------------------------|--------------------------------------------------------------------------|
| Synonyms                     | cyanoethylene<br>vinyl cyanide<br>propenenitrile                         |
| Formula                      | $C_3H_3N$<br>$CH_2=CH-CN$                                                |
| Molecular weight             | 53.06                                                                    |
| Melting point                | −83 °C                                                                   |
| Boiling point                | −77.30 °C                                                                |
| Vapour pressure at 20 °C     | 116 hPa                                                                  |
| MAK [last established: 1977] | Carcinogenic substance: category IIIA2 of the List of MAK and BAT Values |
| TRK Value [1993]             | 3 ml/m <sup>3</sup><br>7 mg/m <sup>3</sup>                               |

## 1 Metabolism and Kinetics

The discovery of the carcinogenicity of acrylonitrile has caused a great amount of interest in investigations of the metabolism of this substance. As there are hardly any appropriate data from humans, recourse has to be made mainly to animal experimental investigations, which demonstrate the principles of the biotransformation of acrylonitrile.

The available data (17) show that acrylonitrile is taken up at the workplace both by inhalation and percutaneously. Correspondingly it is designated with an “H” notation in the MAK Values List.

### 1.1 Metabolism

Comparative in vitro investigations with the liver microsomes of rats, mice and humans (2, 38) have provided indications that with respect to the oxidative transformation of acrylonitrile, mice are more different from man than are rats. Most of the experimental investigations on the metabolism of acrylonitrile carried out so far involve the last species.

After inhalation of acrylonitrile, a small amount is exhaled or excreted unchanged in the urine (42). Most of the acrylonitrile is conjugated with glutathione (4, 19). Conclusions as to the underlying enzymatic and non-enzymatic processes can be drawn from the kind of excretion products. The enzyme reactions important in these processes occur in mammals predominantly in the liver. Acrylonitrile is transformed in the animal both enzymatically and also non-enzymatically in the sense of a cyanoethylation (Michael condensation) (3) with the SH-group of glutathione to the corresponding glutathione conjugate (18). This conjugate is the starting point for the series of reactions which finally yield *N*-acetyl-*S*-(2-cyanoethyl)-*L*-cysteine which is found in the urine. Also functional SH-groups in proteins can be affected by a non-enzymatic cyanoethylation by acrylonitrile which can lead to impairment in enzyme function (37).

Most of the incorporated acrylonitrile is excreted as cyanoethyl-“mercapturic acid” (*N*-acetyl-*S*-(2-cyanoethyl)-*L*-cysteine). A small amount of the acrylonitrile appears in the urine in the form of other metabolites such as *N*-acetyl-*S*-(2-hydroxyethyl)-*L*-cysteine, 4-acetyl-3-carboxy-5-cyanotetrahydro-1,4-2*H*-thiazine, cyanoacetic acid and 3-hydroxypropionitrile, which indicate an oxidative metabolism of the substance in the body; the nitrile group is split off and later excreted in urine as thiocyanate (rhodanide). *S*-Carboxymethylcysteine and thiodiglycolic acid are other metabolites without a cyano-group (29). Finally part of the acrylonitrile total internal exposure can penetrate the erythrocytes where its reactions include that with the N-terminal amino group of the valine to form cyanoethylvaline (6).

Fennel et al. (8) report that in the rat 50 %, in the mouse, however, 80 % of the metabolites excreted in urine are formed via the intermediate epoxide (cyanoethylene oxide). This difference is regarded as important for estimation of the risk for man (20).

The metabolism diagram shown in figure 1 summarises the data published to date. The substance is exhaled unchanged to a small extent (7 %) (41). It is bound to glutathione (GSH =  $\gamma$ -L-glutamyl-L-cysteinyl-glycine) non-enzymatically (15, 16) and enzymatically via glutathione transferases (18) and then appears after the cleavage of glutaminic acid (Glu) and glycine (Gly) and N-acetylation (Ac) of cysteine as *N*-acetyl-*S*-(2-cyanoethyl)-*L*-cysteine (*N*-Ac-Cys-*S*-CH<sub>2</sub>-CH<sub>2</sub>-CN) in the urine (4, 12, 23, 24, 41). The oxidative transformation via mono-oxygenases yields oxirane carbonitrile (glycidonitrile) (13). The enzymatic conjugation of this epoxide to glutathione is the first step in the formation of the urinary metabolites *N*-acetyl-*S*-(2-hydroxyethyl)-*L*-cysteine (*N*-Ac-Cys-*S*-CH<sub>2</sub>-CH<sub>2</sub>-CN) (4, 23) and 4-acetyl-3-carboxy-5-cyano-tetrahydro-1,4-2*H*-thiazine (12, 24). The enzyme “rhodanese” transforms the cyanide group set free in the production of the hydroxyethyl product into rhodanide (12, 13, 23, 24). Enzymatic hydrolysis of oxirane carbonitrile via “epoxide hydrolase” yields the instable 2,3-dihydroxypropionitrile, which rearranges with the loss of the nitrile group to glycolaldehyde (14, 23, 24). The nitrile group appears again as rhodanide in urine. The oxirane carbonitrile can rearrange spontaneously to the intermediate cyanoacetaldehyde, which is the starting material for formation of both urinary metabolites 3-hydroxypropionitrile (HO-CH<sub>2</sub>-CH<sub>2</sub>-CN) and cyanoacetic acid (HOOC-CH<sub>2</sub>-CN) (25). The epoxide metabolism demonstrated in animal experiments has in the meantime been confirmed in persons exposed to acrylonitrile on the basis of the metabolites found (26).

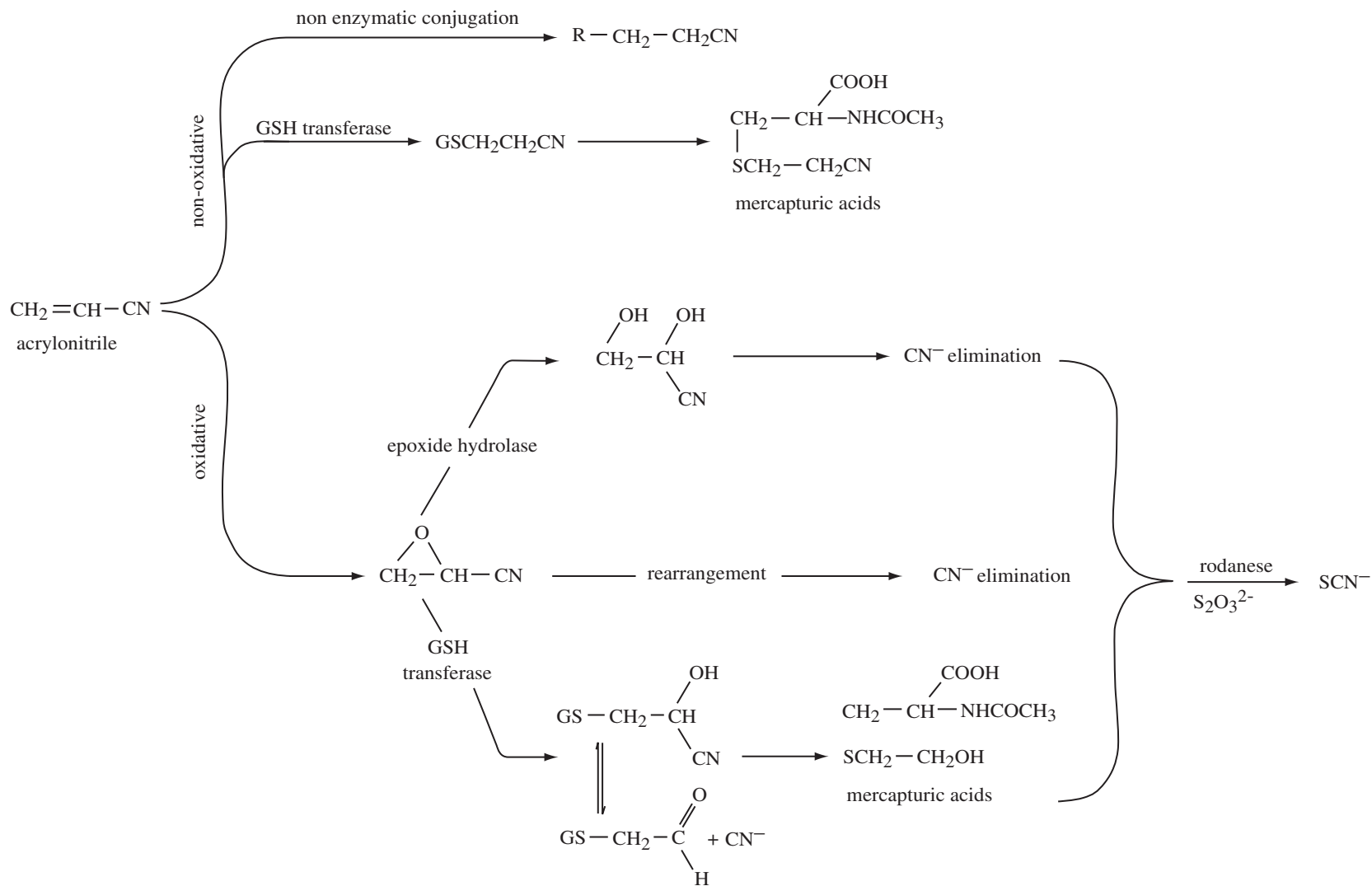


**Fig. 1:** Relationships between the concentration of acrylonitrile in the ambient air and the urinary excretion of cyanoethylmercapturic acid and acrylonitrile and the cyanoethylvaline concentration in the globin of the erythrocytes in employees with continuous exposure to acrylonitrile.

In humans, according to casuistic observations, there appear to be considerable differences in individual disposition which are recognisable in the profile of the acrylonitrile metabolites in urine and in individual differences in the cyanoethylvaline concentration in the globin of erythrocytes (26).

## 1.2 Kinetics

Investigations after inhalation of the substance by rats and rhesus monkeys have shown that acrylonitrile is retained almost quantitatively from the ambient air (34). After intraperitoneal application to rats only approx. 7 % of the applied acrylonitrile was exhaled unchanged (41). The retained acrylonitrile is metabolised via the routes of metabolism discussed in section 1.1.

There are no kinetic data available for humans.

## 2 Critical Toxicity

The present MAK value documentation (17) states that acrylonitrile has been shown in animal experiments to have carcinogenic potential. Under inhalation conditions in rats tumours of the mammary gland, Zymbal gland, the forestomach and the brain have been described (35, 28). The evaluation of the biological effect of industrial exposures to acrylonitrile is therefore oriented exclusively to the possibility that the substance causes cancer. The substance is classified in category A2 of section III of the MAK Values List (17).

In addition acrylonitrile has acute toxic effects which are of importance from an occupational-medical point of view in the case of accidents. The most important biological effects are summarised below.

### 2.1 Carcinogenic Effect

On the basis of biochemical investigations on subcellular fractions and isolated enzymes the hypothesis was put forward by Guengerich et al. (12) that the tumour-producing effect of acrylonitrile is caused by the epoxide (oxirane carbonitrile, glycidonitrile) formed in the organism. Oxirane carbonitrile was a much stronger mutagen than acrylonitrile in *S. typhimurium* TA100 and TA1535 (11) and in human lymphoblasts (36) and produced more marked damage to SV-40 deoxyribonucleic acids (32). In vivo (33) and in isolated hepatocytes (9) acrylonitrile and its metabolites have been shown to cause alkylation of DNA but to a much smaller extent than is seen with the structurally related vinyl chloride which has been investigated in similar systems.

## 2.2 Vegetative Nervous System

After acute intoxication with acrylonitrile symptoms are observed such as also occur after marked stimulation of the parasympathetic system. In animal experiments salivation, vomiting and convulsions were reported (17). In the isolated, perfused heart of a hen under vagal stimulation, addition of acrylonitrile to the perfusate (10 mM) caused an increased release of acetylcholine (34). Occasional reports of workers exposed to acrylonitrile describe increased levels of acetylcholine in the blood (1).

These effects, probably caused by the unmetabolised acrylonitrile itself, are of importance in acute accidental intoxications, not, however, after low-level occupational exposure at concentrations below the currently valid TRK value of 7 mg/m<sup>3</sup>.

## 2.3 Other Organs

In animal experiments an adrenal apoplexy of Waterhouse-Friedrichsen syndrome character is produced after acute high doses of acrylonitrile (45). It has been discussed whether this is connected with a depletion of glutathione in this organ (46). This pattern of damage has no importance for realistic exposure levels at the workplace.

Acute application of high doses of acrylonitrile also causes damage to many other organs. Liver and kidney damage occurs (21) but it is generally only slight (43). In addition, experimental pulmonary oedema (7) and cerebral oedema (5) have been reported occasionally. In the gastrointestinal tract haemorrhage (10) and duodenal ulcers (44) were found. These patterns of damage also occur after high doses in animal experiments.

Early studies of persons exposed to acrylonitrile report the occurrence of liver damage (22, 39, 47, 48). This could not, however, be confirmed in later studies and is probably connected with earlier higher-level exposures, possibly also with exposures to mixtures (42). Such damage is not to be expected if the TRK value for acrylonitrile of 3 ppm is observed.

## 3 Selection of the Indicators

In line with the concept of exposure and effect, indicators which are related as closely as possible to the effect on the organism should be preferred. For the reasons mentioned above (see section 2.1), determination of metabolites which are formed from the epoxide intermediate (oxirane carbonitrile) should be given preference (29). This prerequisite would theoretically be fulfilled by the determination of thiocyanate (rhodanide) in urine as the product of excretion of the cyanide ion split off as a consequence of epoxidation. There is, however, no data available yet. In addition it should be noted that in smokers the thiocyanate excretion is increased above that in non-smokers. This is also true for the metabolites thiodiglycolic acid and 4-acetyl-3-carboxy-5-cyanotetrahydro-1,4-2*H*-thiazine suggested by the results of animal experiments (29).



The excretion of the main metabolites *S*-cyanoethyl-*N*-acetyl-cysteine (cyanoethylmercapturic acid) and the concentration of acrylonitrile itself in blood or urine can be used as an alternative as parameters of the dose effective upon the organism (29).

Most practical experience published to date for the biological monitoring of exposed persons is available for the excretion of acrylonitrile in urine (19, 40). Further parameters must then be taken into consideration when field experience is available.

## 4 Recommended Methods

The determination of the concentration of acrylonitrile in urine is carried out by head space gas chromatography. The procedure is described by Houthuijs et al. (19). An earlier method of sample preparation by azeotropic distillation of the urine (42) obviously involves considerable preparation losses.

## 5 Exposure and Effects

The determination of acrylonitrile excretion is to be regarded as the exposure indicator. Indicators of effect are not available to date.

## 6 Evaluation of the Biological Exposure Equivalents for Carcinogenic Substances (EKA)

Acrylonitrile, as a carcinogenic substance, is not given a BAT value as at present no biological value can be given that is regarded as safe. The following treatise is intended to outline the current state of the art in occupational medicine for the detection and quantification of individual acrylonitrile exposures (see section VI "Significance and Usage of BAT Values" of the MAK/BAT Values List). When further scientific data are available it should be amended or edited.

To date the data available from biological monitoring of persons exposed to acrylonitrile record the acrylonitrile excretion in urine. The results which were obtained by Houthuijs et al. (19) from a very limited collective of workers, have, however, been confirmed by observations by the working group "Setting of Threshold Limit Values in Biological Material" in other collectives (see table 1).

Since 1965 it has been known that acrylonitrile occurs in tobacco smoke. The detection of acrylonitrile excretion by smokers otherwise not exposed has, however, only been possible since the availability (40) of the improved method of head space gas chromatography (19). The fact that in some of the animal experimental studies on rats no excretion of acrylonitrile in urine could be detected (4, 24) while other authors succeeded in doing this (29) is to be seen in this context.

As early as 1978 Sakurai et al. described the direct determination of acrylonitrile in urine for the evaluation of contact with technical grade acrylonitrile (40). These authors were able to attribute the external acrylonitrile exposure of 0.1 ppm (0.23 mg/m<sup>3</sup>) to an excretion of 3.9 µg acrylonitrile/l urine.

Further investigations on exposed workers are necessary. The data available to date are shown in table 1.

**Tab. 1:** Relationships between the external concentration of acrylonitrile (ACN) in the ambient air and the urinary excretion of acrylonitrile and cyanoethylmercapturic acid and the cyanoethylvaline concentration in the globin of the erythrocytes in employees continually exposed to acrylonitrile (27)

| Persons/<br>smoking status | Air<br>mg ACN/m <sup>3</sup> | Urine<br>µg ACN/l | Urine<br>µg cyano-<br>ethylmer-<br>capturic acid | Blood<br>µg cyano-<br>ethylvaline/l |
|----------------------------|------------------------------|-------------------|--------------------------------------------------|-------------------------------------|
| 27/smokers                 | 1)                           | <10               | ./.                                              | 7 (5–10)                            |
| 20/non-smokers             | 1)                           | <10               | ./.                                              | 2 (< 1–4)                           |
|                            | 0.3                          | 39 <sup>2</sup>   | ./.                                              | ./.                                 |
| 41/smokers                 | 0.5                          | 85                | 98                                               | 11 (6–15)                           |
| 16/non-smokers             |                              | (10–130)          | (36–178) <sup>3)</sup>                           | 6 (2–10)                            |
| 39/smokers                 | 1.0                          | 148               | 2620                                             | 13 (5–20)                           |
| 17/non-smokers             |                              | (25–210)          | (100–6600) <sup>3)</sup>                         | 8 (2–12)                            |
| (extrapolated)             | 7.0<br>(TRK value)           | 1000              |                                                  |                                     |

./., not measured

1) persons without industrial contact with CAN

2) Houthuijs et al. (19)

3) Müller and Norpoth (31)

The study by Houthuijs et al. (19) on the excretion of acrylonitrile in urine was carried out on 15 exposed workers. During a 7-day shift period and subsequent 2 days rest all urine samples were collected separately. Personal air sampling showed on average for the collective an 8-hour average concentration in air of 0.13 ppm. The urinary concentrations of acrylonitrile typically reached a maximum after the end of the shift and decreased rapidly in the exposure-free interval until the next shift. In a control collective of 41 non-exposed persons from the same company, the urinary excretion of acrylonitrile was significantly dependent on the number of cigarettes smoked; however the acrylonitrile excretion by the exposed persons was always much higher than that of the non-exposed, even during the exposure-free interval.

In addition to the acrylonitrile excretion in the urine, also the urinary excretion of the mercapturic acids cyanoethylmercapturic acid and hydroxyethylmercapturic acid is accessible to analysis (30). First results of measurements of the cyanoethylmercapturic acid excretion are also contained in table 1. A further parameter is the content of cyanoethylvaline in the haemoglobin of the erythrocytes (6). The data (Table 1) are very

promising for future investigations, do not yet, however, allow an evaluation of an EKA correlation.

On the basis of the available data (Table 1) the following provisional correlation between the acrylonitrile concentration in the air and in the post-shift urine can be evaluated:

| Acrylonitrile concentration |              |
|-----------------------------|--------------|
| Air (mg/m <sup>3</sup> )    | Urine (µg/l) |
| 0.3                         | 40           |
| 0.5                         | 80           |
| 1.0                         | 150          |
| 7.0 (extrapolated)          | 1000         |

## 7 Interpretation of the Data

The interpretation of data on the internal exposure to acrylonitrile must, where the values are low, take into consideration the influence of smoking habits on the results.

The acrylonitrile excretion determined in urine is not of equal value to the cellular exposure measured in the Hb conjugate. The erythrocyte acrylonitrile exposure is to be regarded as a result of the individual metabolic performance, including the individual glutathione transferase (detoxification) potential and monooxygenase (toxification) potential of the organism.

This individual metabolic situation can be assessed by the acrylonitrile conjugation with globin as a marker. By the determination of the erythrocytic cyanoethylvaline conjugates not only the current acrylonitrile exposures but also those up to 3 months previously are recognised. Nevertheless, the database does not yet allow the setting of EKA values.

Investigations in the erythrocytes are not bound to any particular sampling time. On the other hand, the urine investigations provide a reliable estimate of acrylonitrile exposure only if sampling takes place immediately after exposure.

Occupational-medical health surveillance of persons exposed to acrylonitrile should otherwise be carried out in Germany according to the "berufsgenossenschaftlicher Grundsatz" G40 (guideline issued by the Employers' Liability Insurance Association).

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# Preface

This assessment was carried out under the National Industrial Chemicals Notification and Assessment Scheme (NICNAS). This Scheme was established by the *Industrial Chemicals (Notification and Assessment) Act 1989* (the Act), which came into operation on 17 July 1990.

The principal aim of NICNAS is to aid in the protection of people at work, the public and the environment from the harmful effects of industrial chemicals.

NICNAS assessments are carried out in conjunction with Environment Australia (EA) and the Therapeutic Goods Administration (TGA), which carry out the environmental and public health assessments, respectively.

NICNAS has two major programs: the assessment of the health and environmental effects of new industrial chemicals prior to importation or manufacture; and the other focussing on the assessment of chemicals already in use in Australia in response to specific concerns about their health/or environmental effects.

There is an established mechanism within NICNAS for prioritising and assessing the many thousands of existing chemicals in use in Australia. Chemicals selected for assessment are referred to as Priority Existing Chemicals (PECs).

This PEC report has been prepared by the Director (Chemicals Notification and Assessment) in accordance with the Act. Under the Act manufacturers and importers of PECs are required to apply for assessment. Applicants for assessment are given a draft copy of the report and 28 days to advise the Director of any errors. Following the correction of any errors, the Director provides applicants and other interested parties with a copy of the draft assessment report for consideration. This is a period of public comment lasting for 28 days during which requests for variation of the report may be made. Where variations are requested the Director's decision concerning each request is made available to each respondent and to other interested parties (for a further period of 28 days). Notices in relation to public comment and decisions made appear in the *Commonwealth Chemical Gazette*.

In accordance with the Act, publication of this report revokes the declaration of this chemical as a PEC, therefore manufacturers and importers wishing to introduce this chemical in the future need not apply for assessment. However, manufacturers and importers need to be aware of their duty to provide any new information to NICNAS, as required under section 64 of the Act.

For the purposes of Section 78(1) of the Act, copies of Assessment Reports for New and Existing Chemical assessments may be inspected by the public at the Library, NOHSC, 92-94 Parramatta Road, Camperdown, Sydney, NSW 2050 (between 10 am and 12 noon and 2 pm and 4 pm each weekday). Summary Reports are published in the *Commonwealth Chemical Gazette*, which are also available to the public at the above address.

Copies of this and other PEC reports are available from NICNAS either by using the prescribed application form at the back of this report, or directly from the following address:



**GPO Box 58**

**Sydney**

**NSW 2001**

**AUSTRALIA**

**Tel: +61 (02) 9577 9437**

**Fax: +61 (02) 9577 9465 or +61 (02) 9577 9465 9244**

Other information about NICNAS (also available on request) includes:

- NICNAS Service Charter;
- information sheets on NICNAS Company Registration;
- information sheets on PEC and New Chemical assessment programs;
- subscription details for the NICNAS Handbook for Notifiers; and
- subscription details for the Commonwealth Chemical Gazette.

Information on NICNAS, together with other information on the management of workplace chemicals can be found on the NOHSC Web site:

<http://www.nohsc.gov.au/nicnas>

# Overview

Acrylonitrile (CAS No. 107-13-1) was declared a Priority Existing Chemical for preliminary assessment on 7 April 1998 because of public concern about the health effects of the chemical. The focus of the assessment was on use and exposure in Australia.

Imports of acrylonitrile amount to approximately 2000 tonnes per year. Seventy per cent is used at a single site for the manufacture of a polymer, which is further compounded to plastic resins. Five companies process the remainder to polymer emulsions. About 13,000 tonnes of acrylonitrile-based plastic resins containing <0.005% residual acrylonitrile are imported per annum. Import figures were not available for acrylonitrile-based plastic articles or fibres and fabrics, which contain from 0.0001-0.005% residual acrylonitrile.

For this assessment, the physico-chemical, toxicological and environmental properties of acrylonitrile have been summarised from peer-reviewed hazard assessments by international organisations such as IARC, IPCS and OECD.

In Australia, acrylonitrile is classified as highly flammable; toxic by inhalation, in contact with skin and if swallowed; a skin irritant; and a carcinogen in Group 2 (substances regarded as if they are carcinogenic to humans). Recently, the European Communities have agreed to amend their classification to include irritation of the respiratory system, serious damage to the eyes, and skin sensitisation. Australia will adopt this amendment into the NOHSC List of Designated Hazardous Substances according to the usual process.

Acrylonitrile is readily to fairly degradable in water, soil and in the troposphere. Its toxicity to aquatic vertebrates and invertebrates, algae and aquatic plants is slight to moderate. Bioaccumulation is expected to be slight to negligible.

Industrial use of the chemical is tightly controlled by a number of national standards and codes and corresponding State and Territory legislation enforced through a system of conditional permits, licences and warrants.

Occupational exposure to acrylonitrile is minimised through rigid process isolation together with engineering controls to reduce emissions, waste streams and leaks from the closed system. Workers routinely use eye/skin protection and respiratory protection is deployed where isolation cannot be maintained. Safety measures and emergency plans aiming to reduce the likelihood and impact of fires, explosions and spills are in place at all sites storing bulk acrylonitrile.

Of 187 breathing zone air samples collected in 1991-99 during normal, fully enclosed transfer or processing operations, only two (1.1%) exceeded the national exposure standard of 2 ppm (8 h TWA). Sixty-eight per cent were <0.1 ppm, 95% <0.5 ppm and 97% <1 ppm. During sampling or maintenance work, short-term levels in the worker's breathing zone from 0.1-300 ppm have been recorded. However, in these situations workers wear respiratory protective equipment. In industries processing polymers containing only residual amounts of the chemical, exposure levels are expected to be <0.02-0.1 ppm.

In accordance with SUSDP, acrylonitrile must not be possessed, sold or supplied for domestic purposes. Consumer exposure to acrylonitrile from skin contact with acrylic fibres and from ingestion of foods contaminated with residual acrylonitrile in packaging materials is estimated at a maximum of 2.2 and 33 ng/kg/day respectively. Indirect exposure via the environment is likely to be less than 100 ng/kg/day. As such, total public exposure would be several orders of magnitude lower than the no observed adverse effect level for any toxicological end-point in laboratory animals.

There are no Australian data on acrylonitrile levels in air, water or soil. In a worst-case scenario, predicted environmental concentrations from acrylonitrile processing operations are 0.31 µg/L in effluents from sewage treatment plants and 0.00046 ppm in air at 100 meters from atmospheric emission sources. Overseas assessments and a crude comparison of the predicted environmental concentration in water and the effects on aquatic organisms suggest that acrylonitrile is of low concern for the environment.

Although occupational exposure levels are generally low, acrylonitrile is a possible human carcinogen and it is therefore recommended that industry continue to strive to improve their process and engineering controls and atmospheric monitoring programs. Other recommendations concern the revision of communication materials to comply with the impending amendment of the hazard classification of acrylonitrile, the inclusion of laboratory staff in training and monitoring programs, and the need to update the industry Code of Practice for the Safe Use of Acrylonitrile.

On the basis of the known hazards, assessed exposure information and current controls, NICNAS does not recommend a full (risk) assessment of acrylonitrile at this time.

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# Abbreviations and Acronyms

|                                |                                                                       |
|--------------------------------|-----------------------------------------------------------------------|
| ABS                            | acrylonitrile-butadiene-styrene                                       |
| ACGIH                          | American Conference of Governmental Industrial Hygienists             |
| ACN                            | acrylonitrile                                                         |
| ADG Code                       | Australian Code for the Transport of Dangerous Goods by Road and Rail |
| AICS                           | Australian Inventory of Chemical Substances                           |
| ANZFA                          | Australia New Zealand Food Authority                                  |
| AS                             | Australian Standard                                                   |
| ASTER                          | Assessment Tools for the Evaluation of Risk database (US EPA)         |
| bar                            | unit of pressure equal to 100 kPa or 0.987 atmosphere                 |
| BOC/COD                        | biochemical oxygen demand/chemical oxygen demand                      |
| C                              | centigrade                                                            |
| CAS                            | Chemical Abstracts Service                                            |
| cm <sup>3</sup>                | cubic centimetre                                                      |
| CNEO                           | cyanoethylene oxide                                                   |
| CNS                            | central nervous system                                                |
| DNA                            | deoxyribonucleic acid                                                 |
| EA                             | Environment Australia                                                 |
| E <sub>b</sub> C <sub>50</sub> | concentration leading to a 50% reduction in biomass                   |
| EC                             | European Communities                                                  |
| EINECS                         | European Inventory of Existing Commercial Chemical Substances         |
| EPA                            | Environment Protection Authority (or, in the US, Agency)              |
| E <sub>r</sub> C <sub>50</sub> | concentration leading to a 50% reduction in reproduction rate         |
| EUSES                          | European Union System for the Evaluation of Substances                |
| g                              | gram                                                                  |
| GSH                            | glutathione                                                           |
| h                              | hour                                                                  |
| IARC                           | International Agency for Research on Cancer                           |
| IPCS                           | International Program on Chemical Safety                              |
| ISO                            | International Standards Organization                                  |
| IUPAC                          | International Union of Pure and Applied Chemistry                     |
| kg                             | kilogram                                                              |
| K <sub>oc</sub>                | partition coefficient to organic carbon                               |
| kPa                            | kilopascal                                                            |
| L                              | litre                                                                 |
| LC <sub>50</sub>               | median lethal concentration                                           |



|                  |                                                                  |
|------------------|------------------------------------------------------------------|
| LD <sub>50</sub> | median lethal dose                                               |
| log              | logarithm                                                        |
| m <sup>3</sup>   | cubic meter                                                      |
| mg               | milligram                                                        |
| min              | minute                                                           |
| ML               | megalitre (1 million litres)                                     |
| molec            | molecule                                                         |
| MSDS             | material safety data sheet                                       |
| ng               | nanogram                                                         |
| NICNAS           | National Industrial Chemicals Notification and Assessment Scheme |
| NIOSH            | National Institute for Occupational Safety and Health (USA)      |
| NOEC             | no observed effect concentration                                 |
| NOHSC            | National Occupational Health and Safety Commission               |
| OECD             | Organisation for Economic Cooperation and Development            |
| PC               | polycarbonate                                                    |
| PEC              | predicted environmental concentration                            |
| P <sub>o/w</sub> | octanol-water partition coefficient                              |
| ppb              | parts per billion                                                |
| PPE              | personal protective equipment                                    |
| ppm              | parts per million                                                |
| PVC              | polyvinyl chloride                                               |
| RTECS            | Registry of Toxic Effects of Chemical Substances                 |
| s                | second                                                           |
| SAN              | styrene-acrylonitrile                                            |
| SIDS             | Screening Information Data Set                                   |
| STEL             | short-term exposure limit                                        |
| STP              | sewage treatment plant                                           |
| SUSDP            | Standard for the Uniform Scheduling of Drugs and Poisons         |
| t                | tonne                                                            |
| TGA              | Therapeutic Goods Administration                                 |
| TWA              | time-weighted average                                            |
| UN               | United Nations                                                   |
| v/v              | volume-volume                                                    |
| w/w              | weight-weight                                                    |
| y                | year                                                             |
| µg               | microgram                                                        |

# 1. Introduction

## 1.1 Declaration

The chemical acrylonitrile (CAS No. 107-13-1) was declared a Priority Existing Chemical for preliminary assessment under the *Industrial Chemicals (Notification and Assessment) Act 1989* on 7 April 1998. It was nominated by the public because of concerns about its human health effects.

## 1.2 Scope of the assessment

The *Industrial Chemicals (Notification and Assessment) Act 1989* prescribes which matters may be taken into account and addressed in a preliminary assessment. Risk assessment and risk management are not covered in preliminary assessments. However, as an outcome of a preliminary assessment, the Act requires the National Industrial Chemicals Notification and Assessment Scheme (NICNAS) to determine the significance of the assessment findings for risk. If the findings indicate that there may be a significant risk of adverse health, safety or environmental effects, then a full (risk) assessment may be recommended.

## 1.3 Objectives

The objectives of this assessment were to:

- determine the likely uses of acrylonitrile in Australia;
- determine the extent of occupational, public and environmental exposure to acrylonitrile;
- characterise the properties of acrylonitrile;
- characterise the intrinsic capacity of acrylonitrile to cause adverse effects on persons or the environment; and
- determine whether or not the significance for risk of adverse health, safety or environmental effects is such that a full (risk) assessment should be undertaken.

## 1.4 Sources of information

Relevant scientific data were submitted by applicants and notifiers, obtained from the published literature, or retrieved from other sources. In particular, a great amount of information was obtained from the comprehensive, peer-reviewed Screening Information Data Set (SIDS) Initial Assessment Report prepared by the Health and Safety Authority of Ireland for the Existing Chemicals Programme of the OECD (HSA, 1998).

Data on atmospheric monitoring and releases to the environment and information on product specifications, labelling, use patterns and occupational and environmental control measures were made available by applicants and notifiers and obtained from site visits.

## **1.5 Peer review**

During all stages of preparation, the report has been subject to internal peer review by NICNAS, Environment Australia (EA) and the Therapeutic Goods Administration (TGA). Dr Glenn Stanley of the Australia New Zealand Food Authority (ANZFA) reviewed sections of the report relating to acrylonitrile in foods. The report as a whole was peer reviewed by Dr Iona Pratt from the Hazardous Substances Assessment Unit under the Health and Safety Authority of Ireland.

## 2. Background

### 2.1 International perspective

Acrylonitrile was first prepared in 1893 but had no significant technical or commercial applications until the late 1930s when a synthetic rubber based on a co-polymer of butadiene and acrylonitrile was introduced in Germany (Langvardt, 1984). In USA, projects relating to nitrile rubber received special support during World War II because of their strategic importance and acrylonitrile became established as a monomer of commercial importance. Demand for acrylonitrile began to soar following the introduction of acrylic fibres in 1950. Today, acrylonitrile is an industrial intermediate used predominantly in the production of polymeric materials, with acrylic fibres accounting for 60% and plastics for 25% of world consumption (SRI, 1995). Other uses include the production of adiponitrile and acrylamide monomers and the co-polymerisation with other monomers to produce polymer emulsions, elastomers and nitrile rubber.

From the early 1940s to the mid-1960s, acrylonitrile was mainly manufactured by the dehydration of ethylene cyanohydrin produced from ethylene oxide and aqueous hydrocyanic acid. Nowadays, all acrylonitrile is produced by direct catalytic conversion of propene, oxygen (as air) and ammonia (SRI, 1995). Processes based on propane or ethylene have been developed and may become commercially viable in the future where propane or ethylene feedstock is readily available.

In 1995, global acrylonitrile capacity amounted to 4.5 million metric tonnes (t) (SRI, 1995).

### 2.2 Australian perspective

In Australia, importation of acrylonitrile began in the early 1960s (Huntsman, 1999). Initially, acrylonitrile was used exclusively for the production of polymer emulsion-based latex paints and coatings. Monsanto Australia Ltd commenced production of styrene-acrylonitrile (SAN) polymers in the late 1960s at its West Footscray, Victoria, site. SAN polymers continue to be manufactured today by the company, which became known as Chemplex Australia Ltd in 1988 and as Huntsman Chemical Company Pty Ltd in 1993. Acrylonitrile-butadiene-styrene (ABS) polymers were manufactured at the West Footscray site from the 1970s until 1994. Marbon Chemical Ltd (later known as Marplex Australia Ltd) also manufactured ABS at Dandenong, Victoria, from the late 1960s until mid-1997. Annual imports of bulk acrylonitrile peaked at 4500 t in the mid-1990s, but have subsequently declined to about 2000 t (Huntsman, 1999).

Acrylonitrile monomer, fibres, elastomers and nitrile rubber have never been produced in Australia. A plan has been put forward to construct a petrochemical plant in Western Australia to extract ethylene from liquefied natural gas (ACTED, 1997). The plant would also produce ammonia and it has been proposed that this could be reacted with ethylene to produce acrylonitrile.

Acrylonitrile was originally imported in drums but as demand increased, bulk importation in shipping tankers began in the late 1970s. Shipments were received at the chemical storage facility on Coode Island on Melbourne's waterfront, in

close proximity to the plant at West Footscray. In 1991, an explosion occurred at Coode Island when a tank of acrylonitrile erupted, igniting other tanks containing benzene, butanol and methyl methacrylate monomer. It took more than 24 h to bring the fires under control. Monitoring conducted by the Victorian Environment Protection Authority (EPA) detected acrylonitrile and other hazardous chemicals in the air in the vicinity of the exploded tank and in the river adjacent to and immediately downstream of the facility, both during and after the fires (Coode Island Review Panel, 1991). There were no fatalities or serious injuries, although many firefighters complained of smoke or chemical exposure. Twenty-seven storage tanks and their contents were lost at an estimated cost of \$50 million.

An inquiry was held to recommend an immediate action plan to minimise the risk associated with the Coode Island facility and review the longer term future of hazardous chemical bulk liquid storage in Victoria (Coode Island Review Panel, 1991, 1992). Many of the Panel's recommendations had an impact on the ways in which bulk acrylonitrile is stored and handled in Australia.

## **2.3 Assessments by other national or international bodies**

Acrylonitrile has been assessed by several other national or international bodies involved in the review or evaluation of data pertaining to health and environmental hazards posed by chemicals. Of these, the most noteworthy are:

- the Advisory Committee to the German Chemical Society on Existing Chemicals of Environmental Relevance (Gesellschaft Deutscher Chemiker, 1995);
- the Agency for Toxic Substances and Disease Registry under the US Department of Health & Human Services (ATSDR, 1990);
- the Commission of the European Communities (EC, 1989, 1999);
- Environment Canada and Health Canada (Government of Canada, 1999);
- the International Agency for Research on Cancer (IARC, 1979, 1987, 1999);
- the International Programme on Chemical Safety (IPCS, 1983);
- the OECD SIDS Programme (HSA, 1998); and
- the UK Department of the Environment (DoE, 1993).

### 3. Applicants

Following the declaration of acrylonitrile as a Priority Existing Chemical, six importers and two formulators applied for assessment of the chemical. The applicants supplied information on the properties, import quantities and uses of the chemical. In accordance with the *Industrial Chemicals (Notification and Assessment) Act 1989*, NICNAS provided the applicants with a draft copy of the report for comments during the corrections/variation phase of the assessment. Data for the assessment were also provided by five notifiers, including the company managing the bulk terminal on Coode Island and four businesses which purchase acrylonitrile in Australia and formulate it into various products or use it for analytical purposes.

The applicants were, as follows:

**BASF Australia Ltd**  
500 Princes Hwy  
Noble Park VIC 3174

**Bio-Scientific Pty Ltd**  
28 Monro Ave  
Kirrawee NSW 2232

**Crown Scientific Pty Ltd**  
144 Moorebank Ave  
Moorebank NSW 2170

**Cytec Australia Holdings Pty Ltd**  
Suite 1, 7-11 Railway St  
Baulkham Hills NSW 2153

**Dow Chemical (Australia) Pty Ltd**  
541-583 Kororoit Creek Rd  
Altona VIC 3018

**Huntsman Chemical Company  
Australia Pty Ltd**  
Somerville Rd  
West Footscray VIC 3012

**Labax International Pty Ltd**  
23/199 Pacific Hwy  
North Sydney NSW 2006

**Sigma-Aldrich Pty Ltd**  
2114 Anella Ave  
Castle Hill NSW 2154

## 4. Chemical Identity and Composition

### 4.1 Chemical name (IUPAC)

2-Propenenitrile

### 4.2 Registry numbers

Acrylonitrile is listed on the Australian Inventory of Chemical Substances (AICS) as *2-propenenitrile*.

|               |           |
|---------------|-----------|
| CAS number    | 107-13-1  |
| EINECS number | 203-466-5 |
| RTECS number  | AT5250000 |
| UN number     | 1093      |

### 4.3 Other names

Acrylonitrile monomer

Cyanoethene

Cyanoethylene

Propenenitrile

Vinyl cyanide

Vinylcyanide

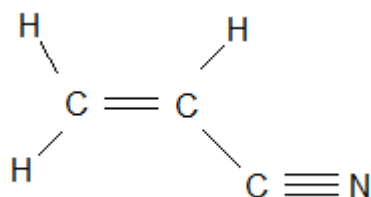
### 4.4 Trade names

Acrylonitrile inhibited

### 4.5 Molecular formula

C<sub>3</sub>H<sub>3</sub>N

### 4.6 Structural formula



#### **4.7 Molecular weight**

53.06

#### **4.8 Composition of commercial grade product**

The composition of acrylonitrile imported into Australia is >99.5% w/w acrylonitrile, 0.25-0.45% w/w water, and 35-50 ppm w/w hydroquinone methyl ether inhibitor to prevent polymerisation during storage and transport.

The following impurities have been reported to be present in commercial grade acrylonitrile: acetone and acetonitrile (300-500 ppm), aldehydes as acetaldehyde and propionitrile (30-50 ppm), acrolein, methanol, isopropanol and hydrogen cyanide (5 ppm), and trace amounts of iron and copper (HSA, 1998).

The purity of acrylonitrile is determined by gas chromatographic methods using flame ionisation detection or a nitrogen-specific detector. Characterisation of acrylonitrile is carried out spectroscopically using ultraviolet, infrared and mass spectrometry techniques (HSA, 1998).



# 5. Physical and Chemical Properties

## 5.1 Physical properties

Acrylonitrile is a clear, colourless liquid with a characteristic, weakly pungent, onion or garlic like odour (Gesellschaft Deutscher Chemiker, 1995). The odour threshold is reported to vary from 1.6-22 ppm (AIHA, 1989). Physical properties of acrylonitrile are given in Table 1.

**Table 1: Physical properties of acrylonitrile**

| Property                                      | Value                           | Reference                              |
|-----------------------------------------------|---------------------------------|----------------------------------------|
| Melting point                                 | – 88.55°C                       | Gesellschaft Deutscher Chemiker (1995) |
| Boiling point                                 | 77.3°C                          | Gesellschaft Deutscher Chemiker (1995) |
| Density                                       |                                 |                                        |
| • at 20°C                                     | 0.8060 kg/L                     | Gesellschaft Deutscher Chemiker (1995) |
| • at 25°C                                     | 0.8004 kg/L                     |                                        |
| Vapour density                                | 1.83 (relative to air = 1)      | Langvardt (1984)                       |
| Vapour pressure                               |                                 |                                        |
| • at 8.7°C                                    | 6.67 kPa                        | Gesellschaft Deutscher Chemiker (1995) |
| • at 20.0°C                                   | 12.4 kPa                        |                                        |
| • at 23.6°C                                   | 13.3 kPa                        |                                        |
| • at 45.5°C                                   | 33.3 kPa                        |                                        |
| • at 50.0°C                                   | 39.5 kPa                        |                                        |
| Water solubility                              |                                 |                                        |
| • at 0°C                                      | 71.5 g/L                        | Gesellschaft Deutscher Chemiker (1995) |
| • at 20°C                                     | 73.0 g/L                        |                                        |
| • at 30°C                                     | 75.1 g/L                        |                                        |
| • at 40°C                                     | 79.0 g/L                        |                                        |
| • at 50°C                                     | 84.1 g/L                        |                                        |
| Henry's Law constant (20°C, calculated)       | 9.0 Pa · m <sup>3</sup> /mole   | Gesellschaft Deutscher Chemiker (1995) |
| Partition coefficient (log P <sub>o/w</sub> ) | 0.00-0.30                       | Gesellschaft Deutscher Chemiker (1995) |
|                                               | 0.25 (recommended)              | HSA (1998)                             |
|                                               | – 0.92                          | Verscheuren (1996)                     |
| Sorption coefficient (K <sub>oc</sub> )       | 9 (calculated)                  | Kenega (1980)                          |
|                                               | 11.5 (measured)                 | Koch & Nagel (1988)                    |
| Flash point                                   | Open cup: – 5 to 0°C            | Gesellschaft Deutscher Chemiker (1995) |
| Autoignition temperature                      | 480-481°C                       | Gesellschaft Deutscher Chemiker (1995) |
| Explosive limits                              |                                 |                                        |
| • lower limit                                 | 2.8% v/v (62 g/m <sup>3</sup> ) | Gesellschaft Deutscher Chemiker (1995) |
| • upper limit                                 | 28% v/v (620 g/m <sup>3</sup> ) |                                        |

### Conversion factors (at 25°C):

1 mg/m<sup>3</sup> = 0.46 ppm and 1 ppm = 2.2 mg/m<sup>3</sup>.

## 5.2 Chemical properties

Acrylonitrile is a very reactive compound due to the activation of the double bond by conjugation with the polar nitrile group.

It undergoes spontaneous, exothermic polymerisation and therefore must be inhibited during storage and transport. It is highly reactive with oxidative substances, alkalis and acids. Homo- and co-polymerisation of acrylonitrile takes place rapidly in the presence of anionic initiators or free radical producers such as peroxides, or on exposure to visible light.

Other reactions of the double bond include hydrogenation, cyanoethylation, hydrodimerisation and hydroformylation.

The most important reactions of the nitrile group are hydrolysis and alcoholysis. The products of hydrolysis are acrylamide in the first step and acrylic acid in the final step. Acrylic acid esters are formed during the acid-catalysed alcoholysis of acrylonitrile with primary alcohols.

Acrylonitrile is miscible with numerous organic solvents including acetone, benzene, diethyl ether, ethyl acetate, toluene and methanol. Its water solubility ranges from 71.5 g/L at 0°C to 111 g/L at 80°C. Acrylonitrile forms azeotropes with water and a number of organic solvents (for examples, see Langvardt (1984)).

Acrylonitrile is explosive and highly flammable. Combustion products include carbon dioxide, water vapour, nitrogen oxides and carbon monoxide. If incinerated under oxygen depletion, hydrogen cyanide can form and polymers may liberate monomeric acrylonitrile.

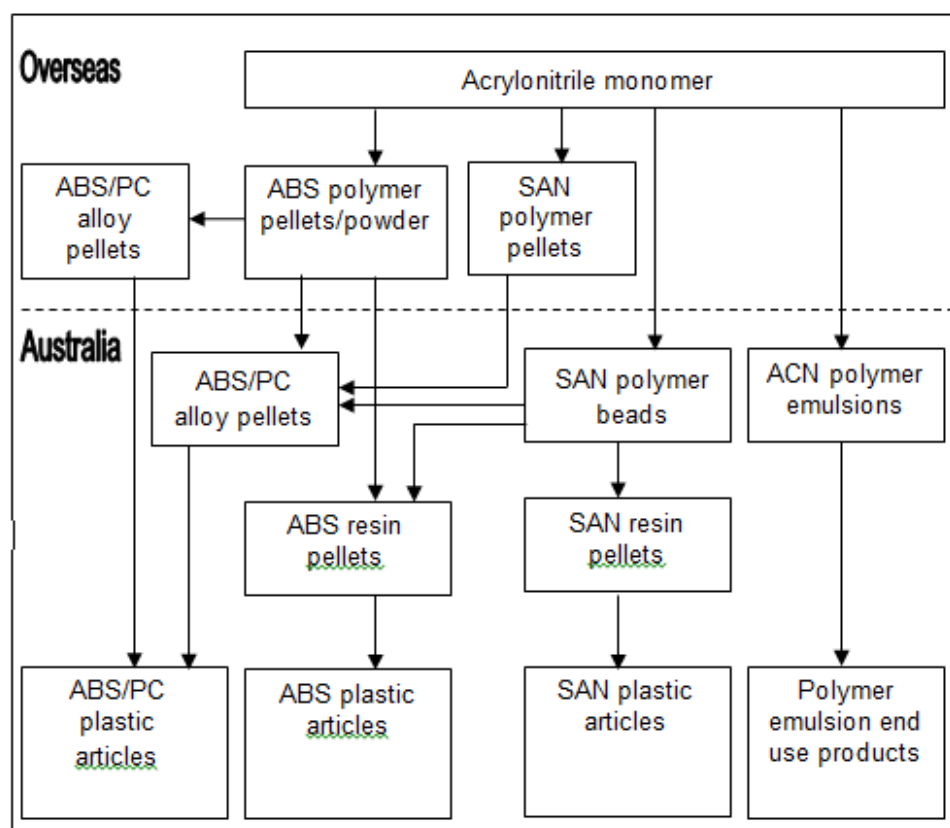
## 6. Manufacture, Importation and Use

### 6.1 Importation

Acrylonitrile is not manufactured in Australia, but imported as a raw material and as an impurity in ABS polymer pellets and powder, ABS/polycarbonate (PC) alloy pellets, and SAN polymer pellets. The flow of these materials into and within Australia is illustrated in Figure 1. The importation of acrylic fibres and fabrics is not considered in this assessment, except for their contribution to public exposure to acrylonitrile (see section 7.3.1).

Acrylonitrile is predominantly imported by one company, Huntsman Chemical. The predicted import volume for 1999 is approximately 2000 t. Acrylonitrile is imported in bulk shipping tankers and stored in a large storage tank at the bulk terminal. As required, it is transported directly to the site of use by dedicated road tanker. Huntsman expects to use approximately 1400 t acrylonitrile from the 2000 t imported for on-site manufacture of SAN polymer beads. The remaining 600 t acrylonitrile is onsold to 5 customers for the manufacture of polymer emulsions.

**Figure 1: Flow of acrylonitrile monomer and polymers into and within Australia**



ABS = acrylonitrile-butadiene-styrene  
ACN = acrylonitrile  
PC = polycarbonate  
SAN = styrene-acrylonitrile

Other companies import reagent grade acrylonitrile in minor quantities (<10 kg per annum) for analytical or research use.

Residual acrylonitrile is present in SAN polymer pellets and beads at a concentration of approximately 2-50 ppm depending on grade and in ABS polymer pellets/powder and ABS/PC alloy pellets at 10-50 ppm (Huntsman, 1999). ABS polymer pellets and powder are not produced in Australia. In 1998, the total imports of SAN polymer pellets were 2100 t, whereas total imports of ABS pellets/powder and ABS/PC alloy pellets were 11,000 t (Huntsman, 1999). SAN polymer beads are imported in much smaller quantities, as local manufacture is able to meet most of the demand. The polymers are imported in 25 kg bags and 750 kg sacks.

## **6.2 Acrylonitrile processing**

### **6.2.1 SAN polymer beads**

In Australia, only Huntsman Chemical manufacture SAN polymer beads, using batch processing within a closed system. Liquid acrylonitrile and styrene monomers are charged with water and an appropriate catalyst to the reactor. Following charging the reactor is sealed and the mixture is heated at temperatures between 90 and 170°C and at pressures of up to 6 bar to initiate the reaction.

When the reaction is finished, steam is introduced into the bottom of the reactor to strip out any non-converted monomers. The vapours are condensed and collected in a distillate receiver and recycled into the next batch to go into the reactor. The most dilute part of the distillate is discarded via a pipe into a covered effluent pit adjacent to the SAN plant before being pumped to the site biological effluent treatment plant.

The stripped polymer is transferred into a slurry tank and then pumped to a centrifuge system where the water is drained by centrifugal force to the effluent system. The wet SAN polymer particles then enter a hot rotary dryer and the resultant dry solid beads are airveyed to storage hoppers. The SAN polymer beads are transferred from the storage hopper for on-site use in the compounding and extrusion of SAN, ABS and ABS/PC alloy resin pellets or sold to local customers for compounding.

The plant produces approximately 4500 t of SAN polymer beads which contain approximately 30% w/w acrylonitrile in the polymer chains and 2-50 ppm residual acrylonitrile monomer depending on grade (Huntsman, 1999).

### **6.2.2 SAN, ABS and ABS/PC alloy resin pellets**

Several companies produce SAN, ABS and ABS/PC alloy resin pellets in a wide range of grades and colours to fit a variety of application requirements. Depending on the desired colouration a number of pigments may be added to the beads, such as titanium dioxide, carbon black, iron oxides, copper phthalocyanine, cadmium sulphide/selenide and organic pigments. Other additives include various fatty acid esters and amides as lubricants, phenolic and phosphite antioxidants, and brominated or antimony oxide flame retardants. Additives may make up as much as 10% w/w of resin pellets that do not contain flame retardants and as much as 25% w/w of flame retardant-modified resin pellets.

SAN, ABS and ABS/PC alloy resin pellets are compounded from the respective polymers by blending and extrusion. The compounding and extrusion of SAN and ABS resin pellets at Huntsman Chemical is typical of this process. The SAN polymer beads are fed into a blender from a weigh hopper or added manually via a chute. The ABS polymer pellets/powder and PC powder are charged manually. To meet product specifications, a great deal of attention is paid to the weighing and addition of pigments and additives prior to manual charging by gravity into the blender. Following blending, the compound is fed into the heated barrel of an extruder and extruded continuously through uniform die heads. SAN and ABS polymers do not decompose at or near extrusion processing temperatures ( $\leq 230^{\circ}\text{C}$ ) under normal processing conditions (Radian Corporation, 1986). Volatile vapours from additives and residual acrylonitrile and styrene are removed from the die heads and extruder barrels by a vacuum system. The two vapour streams are combined and discharged from a vent. The hot resin strands are cooled through a water bath and pulled up through cutters. The resulting pellets are sifted to eliminate those of non-uniform size for disposal and sent to a hopper for bulk packaging into containers or bags. Dust is removed from the bead storage, weighing and blending and packaging areas by extraction and collected on a filter.

The further processing of resin pellets to plastic articles is described below. Some ABS resin pellets are exported to New Zealand for moulding.

### 6.2.3 Polymer emulsions

Approximately 600 t of acrylonitrile is used by 5 companies in the manufacture of polymer emulsions, also known as dispersions, synthetic latex or aqueous polymers.

Polymer emulsions are submicroscopic, spherical polymer particles of high molecular weight finely dispersed in water. They are made by dissolving an emulsifier in water until micelles are formed. As acrylonitrile and other hydrophobic monomers are mixed in, they migrate to the interior of the micelles where polymerisation occurs upon contact with the initiator. Emulsion polymerisation is always performed with water soluble free radical initiators, such as peroxides or persulfates.

In the manufacture of polymer emulsions, acrylonitrile may be co-polymerised with a number of other monomers, including *n*-butyl acrylate, 2-ethylhexyl acrylate, vinyl acetate, methyl methacrylate, styrene, ethyl acrylate, 1,3-butadiene, itaconic acid, acrylic acid, and *N*-methylolacrylamide. The concentration of acrylonitrile to other monomers ranges from 2-20% depending on the final product. In most cases, the specifications require that the concentration of residual acrylonitrile in the final product is  $<10$  ppm, however, some require a level of  $<0.1$  ppm (BASF, 1998). Each batch is tested for conformity with these requirements.

Acrylonitrile is delivered to the manufacturing sites in a dedicated road tanker. A discharge line is hooked up between the road tanker and a discharge pump for transfer of acrylonitrile into a sealed storage tank. The chemical is pumped directly to the reactor when required for production and the polymerisation process takes place under sealed conditions.

In the reactor, acrylonitrile is mixed with other monomers, aqueous emulsifier solution and other chemicals such as initiators, buffers and defoamers. Quantities in the reaction mixture are according to the specifications required in the final

product. Some companies premix the emulsifier, water and other additives in a tank before being added to the reactor.

Polymerisation occurs in a stirred-tank enclosed reactor at temperatures between 20° and 130°C and depending on the components with or without pressure (up to 15 bar). Reactor cooling and further evaporative cooling if necessary reduces heat produced during the polymerisation process. The reaction is stopped at conversion levels of 80 to 99%. Steam stripping then removes vapours containing residual monomers from the reactor. The vapours from the steam are vented to an external caustic scrubber or an incinerator. During cooling, specific reagents may be added to scavenge any unreacted monomers in the emulsion. Following completion of the reaction, the polymer emulsion may pass through a coarse filter and is then transferred to a conditioning vessel, where final product adjustments are made to stabilise the mixture by adding preservatives, defoamers, plasticisers, thickeners and pH adjusters. If necessary, the product may be passed through a fine filter before being pumped into bulk product storage tanks or direct to packaging. The product is transported to the customer in road tanker, 1 t tanks (Schutz or collapsible Palecon containers), 200 kg drums or 20 L pails.

## **6.3 End use**

### **6.3.1 SAN, ABS and ABS/PC alloy resin pellets**

SAN, ABS and ABS/PC alloy resin pellets are used in the manufacture of plastic articles by injection moulding or extrusion and thermoforming.

Injection moulding starts with the heating and melting of SAN, ABS or ABS/PC alloy pellets. The melted plastic is then injected under pressure into the closed mould. After filling, the mould pressure is maintained on the injected material to prevent backflow and to compensate for the decrease in volume of the melted plastic during solidification. The moulded parts are cooled, the mould is opened, the rigid moulded parts are ejected and the mould is closed to restart the process.

Thermoforming starts with the heating, melting and sheet extrusion of ABS pellets. The sheet is then fitted along the contours of a mould, with pressure supplied by vacuum or another force, and is removed from the mould after cooling.

Neither SAN nor ABS polymers decompose at or near the maximum temperatures used in the above processes (200-240°C) (Radian Corporation, 1986). ABS/PC alloys are stable up to the maximum moulding temperatures that are used (260°C).

Three companies use ABS resin pellets containing <10 ppm acrylonitrile monomer for the manufacture of food packaging, primarily margarine container lids (Huntsman, 1999). ABS resin pellets containing <50 ppm acrylonitrile are used in the manufacture of components for telephones, computers, cars, air conditioners, refrigerators etc., predominantly by injection moulding. Extruded ABS resin is thermoformed to make refrigerator liners and automotive accessories.

ABS/PC alloy resin pellets containing <25 ppm acrylonitrile monomer are processed by injection moulding (Huntsman, 1999). Applications include automotive components such as wheel covers and dashboard inserts.

Only a small amount of SAN is used. SAN resin pellets containing <30 ppm acrylonitrile monomer are used in the manufacture of refrigerator dairy doors, bathroom fittings and some pen barrels by injection moulding (Huntsman, 1999).

### 6.3.2 Polymer emulsions

Polymer emulsions are used in a wide variety of applications that utilise their binding, coating and adhesive properties. They are generally blended with other ingredients at levels between 10-50%. End products containing polymer emulsions include paints, coatings, textiles, paper and adhesives.

In the paint industry polymer emulsions help to enhance the durability of paint and bind pigments and fillers in a homogeneous system for elastomeric and gloss paints. Interior, water-based gloss house paint contains approximately 50% w/w of polymer emulsion and is used and applied by contract painters and members of the public by brush or roller application. Elastomeric paints and coatings for waterproofing external surfaces contain approximately 50% w/w of polymer emulsion and are applied by brush, roller or spray by contractors and members of the public.

In the building industry polymer emulsions constitute approximately 30% w/w of clear coatings applied by roller or spray by contractors for waterproofing concrete or enhancing the appearance of masonry. Caulks and sealants for use in commercial and domestic buildings by tradespeople and the general public contain approximately 40% w/w of polymer emulsions as a binder.

In the textile and leather industry polymer emulsions bind pigments and auxiliary agents for coating and printing. Coatings for the backs of curtains and blinds contain approximately 60% w/w of the polymer emulsion. The polymer emulsion is whipped to foam, blended with other ingredients and applied to the textile by a coating machine. The coated textile runs through a drying oven to cure the coating and then passes through rollers applying pressure to crush the coating into the fabric. In textile printing, a pigment/polymer emulsion mix is extruded through patterned rollers. The fabric is run over the rollers and through an oven to heat-set the mix into the material.

In the paper industry polymer emulsions are used to bind fibres together during paper manufacture, impart whiteness and gloss to printing paper and as an ingredient in pigment slurries used for coating paper and board.

Polymer emulsions are an ingredient in the adhesive used to apply hessian backing on carpets and when laying PVC flooring. Commercial and domestic floor polishes contain 20-30% w/w polymer emulsions acting as binders for the other ingredients. Polymer emulsions also provide good surface tack, high peel strength and good cohesion to films used in the manufacture of pressure-sensitive adhesives for self-adhesive articles. The coatings and films are applied by machinery.

# 7. Exposure

## 7.1 Environmental exposure

### 7.1.1 Release

Of 2000 t imported bulk acrylonitrile, 1400 t will initially be processed at the Huntsman site at West Footscray in Melbourne to produce SAN polymer beads and, subsequently, SAN and ABS polymer resins. The remainder is processed to polymer emulsions at four sites in Victoria (Wangaratta, Geelong and two sites at Altona) and at one site in Sydney.

#### Transport and transfer

Acrylonitrile is transported to all manufacturing sites in a dedicated road tanker and pumped to on-site storage tanks in a closed vapour return system. Controls are in place to minimise leaks and spills (see section 10.1.1) and in the event of a major spill from the tanker, the sites have containment systems for any spillages to drains.

Release to the environment from these transporting and pumping procedures should be minimal.

#### SAN and ABS production

The formulating procedures are provided in section 6.2. Information on releases from the Huntsman West Footscray site has been provided and is summarised in Table 2.

In 1997, releases to air from the SAN plant came from carbon beds (0.02 g/min acrylonitrile for 72 minutes per day, 340 days per year) and the dryer (0.2 g/min acrylonitrile for 1152 minutes per day, 340 days per year). These releases total about 80 kg per annum from the SAN plant. Releases from the SAN/ABS resin pellet extruder are given as <0.4 g/min for 1440 minutes per day over 250 days per year. This indicates an annual release to air of 140 kg per annum.

In Europe, it is estimated that the production of ABS/SAN polymers releases in the order of 0.82 kg/t acrylonitrile to air, averaged over 13 producer companies (HSA, 1998). This rate is over 5 times higher than that reported for Australia.

The effluent produced is processed through a biological treatment plant prior to discharge to sewer. The feed to the activated sludge-type secondary treatment plant includes acrylonitrile at an average concentration of 5 mg/L. The discharge concentration is less than 0.1 mg/L. The effluent is discharged to a municipal treatment plant where the average dilution is 250:1. Huntsman have provided information on their discharge to sewer as 2.2 ML per day over 340 days per year.

According to further information provided by Huntsman, approximately 20 t/y of dried polymer waste containing an average of 50 ppm residual acrylonitrile is disposed of to a licensed chemical landfill. This would equate to around 1 kg of free acrylonitrile per annum.

**Table 2: Expected release to the environment from the Huntsman site**



|                                                          | Concentration | Release per day      | Release per year |
|----------------------------------------------------------|---------------|----------------------|------------------|
| Release to air                                           |               |                      |                  |
| • SAN polymer production                                 | -             | 0.24 kg <sup>a</sup> | 80 kg            |
| • SAN/ABS resin pellet extrusion                         | -             | 0.56 kg <sup>b</sup> | 140 kg           |
| Release to water <sup>c</sup>                            |               |                      |                  |
| • Inflow to company treatment plant                      | 5 mg/L        | 11 kg                | 3740 kg          |
| • From company treatment plant to sewage treatment plant | 0.1 mg/L      | 0.22 kg              | 75 kg            |

<sup>a</sup> 340 days per year

<sup>b</sup> 250 days per year

<sup>c</sup> Assumes company has an outflow of 2.2 ML per day

Huntsman laboratory solvent residues containing <0.1% acrylonitrile are disposed of by incineration on site as boiler fuel. Additionally, semi-solid SAN waste containing <1% acrylonitrile in drums has previously been disposed of in an offsite incineration facility approved by EPA.

### Polymer emulsion production

No relevant information was obtained from the Australian producers of polymer emulsions regarding release during their manufacturing operations. However, figures can be drawn from the European experience.

The SIDS Initial Assessment Report (HSA, 1998) indicates release to air during the production of nitrile rubbers of 1.2 kg/t acrylonitrile. Furthermore, based on the solubility and vapour pressure of acrylonitrile, the EC Technical Guidance Document (EC, 1996) estimates that five times more acrylonitrile will be released to air than water, giving a release estimate of 0.24 kg/t acrylonitrile released to water.

These values have been used as a surrogate for estimating the releases to air and water from manufacturers of polymer emulsions in Australia that are provided in Table 3. Daily releases assume production occurs on 300 days per year.

**Table 3: Estimated releases from Australian polymer emulsion manufacturers**

| Location   | Quantity of use (t/y) | Max. annual release (kg) |         | Max. daily release (kg) |         |
|------------|-----------------------|--------------------------|---------|-------------------------|---------|
|            |                       | Air                      | Water   | Air                     | Water   |
| Altona     | 1-10                  | 12                       | 2.4     | 0.04                    | 0.008   |
| Altona     | 260-400               | 480                      | 96      | 1.6                     | 0.32    |
| Geelong    | About 100             | ~120                     | ~24     | ~0.4                    | ~0.08   |
| Sydney     | No data               | No data                  | No data | No data                 | No data |
| Wangaratta | About 200             | ~240                     | ~48     | ~0.8                    | ~0.16   |
| Total      | 560-710               | 850                      | 170     | 2.8                     | 0.56    |

### Injection moulding, extrusion and thermoforming

The majority of acrylonitrile imported is processed into polymer resins, which are then used to manufacture several end articles outlined in section 6.3.1, using manufacturing processes such as injection moulding or extrusion and thermoforming. Releases during these processes are considered minimal.

Residual acrylonitrile may be present in SAN, ABS and ABS/PC polymer powder and resin up to a concentration of 50 ppm. The total quantity of acrylonitrile-based

powder or resin imported or manufactured in Australia for manufacture of plastic articles is in the order of 20,000 t/y (see section 6), which may contain a maximum of 1 t free acrylonitrile (at 50 mg/kg). This may be released from the end articles over their life, albeit in a highly diffuse manner.

### **End use of polymer emulsion product**

Section 6.3.2 outlines several applications within the public domain where acrylonitrile, through the use of polymer emulsions, is likely to be released to the environment. Contractors and members of the public use paints containing the emulsions. As these paints are water based, it is likely that residues from buckets, rollers and brushes will be washed straight to the sewer when used by members of the public. There are no figures on quantities used in these areas, so realistic release estimates are not possible. The EC Technical Guidance Document (EC, 1996) estimates release to wastewater for products in the public domain to be 0.2%. If as a worst case it is assumed that 50% of the acrylonitrile processed to polymer emulsions (300 t per annum) is used in products likely to be in the public domain, then in the order of 600 kg per annum may be released to sewer around the country. This equates to around 1.6 kg per day as diffuse release around the country.

Additionally, in building and external painting, scope exists for paints to be sprayed which is likely to lead to releases through over-spray, although in this instance the products are expected to polymerise and not be mobile in the environment.

## **7.1.2 Fate**

The level 1 MacKay fugacity model, as modelled by Assessment Tools for the Evaluation of Risk (ASTER) (US EPA, 1998), indicates that at equilibrium, 66.42% of released acrylonitrile will partition to air, 33.57% to water, and 0.01% to soil.

### **Aquatic fate**

As there are no readily hydrolysable groups on the acrylonitrile molecule, hydrolysis is not expected to be an environmentally significant process. The hydrolysis of acrylonitrile to form acrylamide requires strong acid and elevated temperatures. Based upon measured acid- and base-catalysed hydrolysis rate constants, Howard et al. (1991) provides a first-order hydrolysis half-life for acrylonitrile at pH 7 of more than 1200 years.

Photooxidation of acrylonitrile in the presence of water has been reported as a further means of abiotic degradation, although it is not certain how relevant this process would be under normal environmental conditions as elevated temperatures were used in the study (HSA, 1998). Verschueren (1996) cites photooxidation by ultraviolet light in water at 50°C as leading to 24.2% degradation to carbon dioxide after 24 h.

Half-lives of acrylonitrile in both surface and ground water have been estimated by Howard et al. (1991) by scientific judgement based on estimated aqueous aerobic biodegradation half-lives. They are summarised as follows:

|               |       |                  |
|---------------|-------|------------------|
| Surface water | High: | 552 h (23 days)  |
|               | Low:  | 30 h (1.25 days) |

Ground water    High:    1104 h (46 days)

Low:            60 h (2.5 days)

According to Mensink et al. (1995), these estimated half-lives indicate that acrylonitrile can be considered readily to fairly degradable in both surface water and ground water.

The vapour pressure of acrylonitrile puts it in the category of highly volatile chemicals (Mensink et al., 1995). However, the water solubility is also high. The Henry's Law constant can provide an indication of the volatility characteristics of compounds (Lyman et al., 1982). The characteristics of acrylonitrile indicate that although the volatilisation from aquatic systems is not rapid, it may be a significant removal process in the environment. Therefore, the high vapour pressure is mediated by the high water solubility. The volatilisation half-life of acrylonitrile in a typical pond, river and lake has been estimated at 6, 1.2 and 4.8 days respectively (Howard, 1989).

The US EPA has previously suggested that although acrylonitrile is quite volatile, large spillages of the substance could lead to groundwater contamination (DoE, 1993).

### **Atmospheric fate**

Several studies of photooxidation of acrylonitrile by ozone and hydroxyl radicals indicate that the reaction with hydroxyl radicals will be the major loss process in the troposphere (Gesellschaft Deutscher Chemiker, 1993; HSA, 1998; DoE, 1993).

Reported rate constants for the reaction of acrylonitrile with hydroxyl radicals range from between  $2 \times 10^{-12}$  cm<sup>3</sup>/molec/s to  $4.9 \times 10^{-12}$  cm<sup>3</sup>/molec/s, leading to half-lives of approximately 5 days or less. It has been shown that the reaction of acrylonitrile with hydroxyl radicals is independent of temperature, although it is pressure dependent and the reaction constant rises slightly with increased pressure.

Half-lives determined from reaction with ozone are significantly longer, with rate constants reported between  $0.14 \times 10^{-18}$  and  $1.38 \times 10^{-19}$  cm<sup>3</sup>/molec/sec. These constants suggest half-lives between 58 and 84 days.

The major product of the reaction of acrylonitrile with hydroxyl radicals has been identified as formaldehyde. Small amounts of carbon monoxide and hydrogen cyanide, formyl cyanide and formic acid have also been reported as degradation products.

According to the SIDS Initial Assessment Report (HSA, 1998), the estimated half-life of the reaction with hydroxyl radicals is sufficiently long to allow redistribution of acrylonitrile to the aqueous compartment and to soil, with associated exposure of populations in the vicinity of the emission source, but is unlikely to be long enough to allow redistribution to the stratosphere.

### **Terrestrial fate**

The level 1 MacKay fugacity model, as modelled by ASTER, indicates that at equilibrium only 0.01% of released acrylonitrile will partition to soil.

This is likely to be the case for free acrylonitrile released through manufacturing or reformulation procedures. However, the main use of acrylonitrile in Australia is to make end articles such as latex products, refrigerator and car components, bathroom fittings and food packaging articles. Once incorporated into these products, the majority of acrylonitrile imported into Australia will eventually become associated with soils as landfill or discarded waste in a diffuse manner.

Polymerised acrylonitrile will not leach out from these end articles and, therefore, is not expected to be bioavailable.

Some free acrylonitrile may be present in end articles, which may lead to direct exposure of the terrestrial environment to this chemical through leaching. The partition coefficient for acrylonitrile to organic carbon ( $K_{oc}$ ) is 9-11.5 (Table 1), which indicates that adsorption to soil will be insignificant (Howard, 1989). The high volatility and low  $K_{oc}$  suggest acrylonitrile will volatilise rapidly from soil and other surfaces.

The UK Department of the Environment (DoE, 1993) report that the US EPA stated that acrylonitrile is very strongly adsorbed by clays under hypohydrous conditions. However, in aquatic systems, the water molecules that would normally be associated with the clay's structure can be expected to prevent any significant amount of the compound from becoming adsorbed onto the clay. Thus, sorption is not considered to be an important fate process for acrylonitrile under most conditions.

Howard et al. (1991) has provided a half-life range of 30-552 h (1.25-23 days) for acrylonitrile in soil, based upon aqueous aerobic biodegradation half-lives. This is in agreement with a study cited in the report from the German Chemical Society (Gesellschaft Deutscher Chemiker, 1993) and in the SIDS Initial Assessment Report (HSA, 1998). In this study, the aerobic degradability of acrylonitrile in surface soils was examined in the concentration range of 10-1000 mg/kg soil. Acrylonitrile in a concentration of 100 mg/kg was degraded in less than 2 days in sandy loam not previously exposed to acrylonitrile. More than 50% of the applied radioactivity was recovered as carbon dioxide during the 6 days after incubation. Degradation at 500 and 1000 mg/kg was relatively slow, which correlates with experimental evidence that these levels inhibit respiration of soil microbes.

### **Biodegradation and bioaccumulation**

Ready biodegradation tests have provided conflicting results. The Ministry of International Trade and Industry (Japan) classified acrylonitrile as being readily biodegradable. However, one collection of data published by the Chemicals Inspection and Testing Institute in Japan showed a degradation rate of 41-75% measured for acrylonitrile at a starting concentration of 30 mg/L and at an activated sludge concentration of 100 mg/L which did not prove acrylonitrile to be readily biodegradable (HSA, 1998).

The SIDS Initial Assessment Report (HSA, 1998) claims that much of the earlier literature relates to experimental simulation tests, acclimation studies and biochemical oxygen demand/chemical oxygen demand (BOD/COD) tests, rather than assessment of biodegradability using current EC (Annex V) or OECD test methods. While two ready biodegradability tests conducted to EC guidelines showed acrylonitrile to be not readily biodegradable, a third test in sea water demonstrated almost 80% degradation over a 28 day period.

The majority of the earlier studies show extensive biodegradation by acclimated microbial populations and it can be expected that acrylonitrile is rapidly biodegradable in situations where an adapted microbial population is likely to exist, such as in an industrial waste water treatment plant.

The low log  $P_{o/w}$  measures for acrylonitrile suggest bioaccumulation will not occur. An experimentally derived bioaccumulation factor of 48 for *Lempomis machrochirus* has been cited (Gesellschaft Deutscher Chemiker, 1993; HSA, 1998; DoE, 1993), which falls into the category of slightly concentrating (Mensink et al., 1995). However, according to the UK Department of the Environment (DoE, 1993), the US EPA reports that acrylonitrile may become accumulated as a result of the cyanoethylation of proteins. Acrylonitrile would react with the amino and sulphhydryl groups of proteins and although the compound itself would not be accumulated, the reaction would lead to the accumulation of cyanoethylated proteins.

### 7.1.3 Predicted environmental concentrations (PECs)

#### Predicted concentrations in water

Section 7.1.1 describes expected releases to water through ABS, SAN and polymer emulsion production. In total, 0.78 kg per day would be expected to be released to sewage treatment plants from these operations. As a worst case, it can be assumed this daily release all occurs to a single sewage treatment plant (STP) with a daily output of 250 ML.

The  $PEC_{\text{effluent}}$  is equivalent to the  $PEC_{\text{local}(\text{surface water})}$  divided by the dilution rate. Assuming a dilution rate of 10 and no removal from the STP, values for  $PEC_{\text{effluent}}$  and  $PEC_{\text{local}(\text{surface water})}$  have been calculated as follows:

$$PEC_{\text{local}(\text{surface water})} = 3.1 \mu\text{g/L}; \text{ and}$$

$$PEC_{\text{effluent}} = 0.31 \mu\text{g/L}.$$

#### Comparison with measured values

No data were available on acrylonitrile levels in municipal or surface waters immediately downstream of any of the five acrylonitrile processing facilities in Victoria. Monitoring for contaminants was conducted by Sydney Water (details supplied through the NSW EPA) on STPs discharging to the Hawkesbury-Nepean River between June 1995 and June 1996, and for STPs discharging to the ocean during the second half of 1995. Ten STPs discharging to the ocean were monitored, with 207 observations conducted. A total of 343 observations were conducted from 17 STPs discharging to the Hawkesbury-Nepean River. With a detection limit of 5  $\mu\text{g/L}$ , no acrylonitrile was detected during any of the sampling events. However, there is only one acrylonitrile processing plant in the Sydney region.

The following discussion on international levels in surface waters is taken from the SIDS Initial Assessment Report (HSA, 1998).

The presence of acrylonitrile in water systems has been reported by a number of investigators, particularly at sites of production or further processing.

Measurements carried out in the vicinity of production or processing facilities in 11 industrial areas in the US in 1978 detected concentrations ranging from 0-4300  $\mu\text{g/L}$ .

High levels of 3500 µg/L and 4300 µg/L were only reported in the vicinity of two plants producing acrylic fibres and nitrile elastomers respectively, with levels in the vicinity of the remaining sites being 0-19.7 µg/L. The limit of detection was reported to be 0.1- 1.3 µg/L. A study in Italy in the late 1970s found levels of up to 25,000 µg/L in effluents from a nitrile elastomer production plant, before waste water treatment.

Information provided by industry on levels of acrylonitrile in water in the vicinity of European production and processing plants included influent into a waste water treatment plant, effluent from the site into a tidal estuary, and effluents from waste water treatment plants. Acrylonitrile could not be detected in the effluents from waste water treatment plants from a number of sites, at limits of detection ranging from 0.1 -100 µg/L.

In addition to studies carried out in the vicinity of production or processing facilities, several investigators have carried out measurements in municipal and surface waters, although little published information is available for European water courses. Levels of  $0.07 \times 10^{-3}$  µg/L have been reported in municipal water in Michigan, US, although the validity of this figure must be questioned, given the limit of detection of approximately 0.1 µg/L. Acrylonitrile was undetected in the Potomac River, West Virginia, US, at a detection limit of 10 µg/L. Acrylonitrile was also undetected using gas chromatography-mass spectroscopy in an examination of water from approximately 1800 wells in Wisconsin, US. The specific detection limit for acrylonitrile was not given, but a generally applicable limit of 0.1-3 µg/L was cited for the range of organic compounds under investigation. A survey carried out for the Japanese Environment Agency in 1987 did not detect acrylonitrile in 75 surface water samples at a limit of detection of 2 µg/L.

Australian monitoring did not use detection limits as sensitive as those overseas, and acrylonitrile is detected in significant concentrations in waste water from various industrial sites around the world. However, it appears to be removed from the waste water system readily and has not been detected in surface water samples from USA or Japan.

### **Predicted concentrations in the atmosphere**

Table 2 indicates that 220 kg acrylonitrile will be released to air during production of SAN/ABS resins at the Huntsman Chemical site. Table 2 shows that up to 850 kg may be released to air during processing of bulk acrylonitrile into polymer emulsions.

A  $PEC_{local}$  for air via point source emissions from processing bulk acrylonitrile can be calculated, again using the methodology from the EC Technical Guidance Document (EC, 1996). As a worst case, it will be assumed that all emissions occur from a single point source over 300 days of a year. This means a total of 1,070 kg per annum, or approximately 3.6 kg per day being released to air.

$$C_{air} = \text{Emission} \times C_{std_{air}}$$

where:

$$C_{air} = \text{concentration in air at 100 m from a point source (kg/m}^3\text{)}$$

$$\text{Emission} = \text{emission rate to air (kg/s)}$$

$$C_{std_{air}} = \text{standard concentration in air at source strength of 1 kg/s} = 24 \times 10^{-6} \text{ kg/m}^3.$$

A daily release of 3.6 kg per day to the atmosphere equates to  $4.2 \times 10^{-5}$  kg/s. This gives a predicted acrylonitrile concentration at 100 m from the source of  $1.0 \mu\text{g}/\text{m}^3$  or 0.00046 ppm.

### Comparison with measured values

There are no monitoring data available for atmospheric levels of acrylonitrile in Australia. The following discussion on international levels in air is taken from the SIDS Initial Assessment Report (HSA, 1998).

Measurements carried out in the vicinity of acrylonitrile production or processing facilities in 11 industrial areas of the US in 1978 found levels in air ranging from  $<0.1$ - $325 \mu\text{g}/\text{m}^3$  (detection limit  $0.3 \mu\text{g}/\text{m}^3$ ). The Japanese Environmental Protection Agency also monitored air emissions in the vicinity of Japanese plants and found levels of between  $0.042$ - $2.4 \mu\text{g}/\text{m}^3$  (detection limit  $0.04 \mu\text{g}/\text{m}^3$ ). Measurements of acrylonitrile in air at different locations and during a range of activities within a number of French production or processing facilities found levels from  $5$ - $48.4 \text{ mg}/\text{m}^3$  in the vicinity of drains and tanks of ABS, ABS/SAN and nitrile rubber facilities. Loading and unloading of raw materials and products gave rise to levels of  $4.1$ - $6.1 \text{ mg}/\text{m}^3$  at an acrylonitrile production facility, while levels as high as  $540 \text{ mg}/\text{m}^3$  were detected as a consequence of minor leaks.

It should be noted that the US and French data relate to emission levels pertaining to at least 15 years ago. Since that time, increasingly stringent controls on emissions have reduced reported atmospheric levels in the vicinity of production or processing facilities significantly. Information supplied by industry for an acrylonitrile and ABS/SAN polymer production site in Europe showed mean levels of  $0.6 \mu\text{g}/\text{m}^3$  acrylonitrile (but with a highest detected level of  $240 \mu\text{g}/\text{m}^3$ ). Comparable figures for an ABS plastics polymerisation facility were  $0.2 \mu\text{g}/\text{m}^3$  mean level (limit of detection), while no acrylonitrile was detected in fenceline monitoring carried out at an acrylonitrile fibre manufacturing facility in 1994. Monitoring carried out in the vicinity of two acrylonitrile production and processing facilities between May and October 1985 could not detect the chemical in 401 out of a total of 430 samples at a limit of detection of  $1 \mu\text{g}/\text{m}^3$ . A mean level of  $0.9 \mu\text{g}/\text{m}^3$  was calculated, assuming a value of  $0.5 \mu\text{g}/\text{m}^3$  for those samples in which acrylonitrile was not detected.

In relation to the wider atmospheric environment, measurements of acrylonitrile in urban German air over the period 1977-84 showed levels ranging from  $0.01$ - $10.4 \mu\text{g}/\text{m}^3$ , while clean (rural) air contained  $<0.002 \mu\text{g}/\text{m}^3$ . No acrylonitrile was detected over a 6-month monitoring period of urbanised and industrialised air on the Gulf Coast of Texas (limit of detection  $0.122 \mu\text{g}/\text{m}^3$ ). The US EPA reported on a study of acrylonitrile levels in urban air in the US, in which the maximum level detected in Santa Clara County, California in October 1984 was  $2.5 \mu\text{g}/\text{m}^3$ . Mean levels of  $0.35$ - $0.46 \mu\text{g}/\text{m}^3$  were found in three cities in New Jersey in July-August 1981 and a mean level of  $0.46 \mu\text{g}/\text{m}^3$  was reported for Texas cities sampled between October 1985 and February 1986. Acrylonitrile has been detected in interstellar space with the source thought to be gas phase chemical reactions in interstellar clouds.

## 7.2 Occupational exposure

Occupational exposure in Australia may result from the direct handling or use of bulk acrylonitrile or from the manufacture or use of products that contain it. In this section, actual exposure levels in Australian workplace scenarios are assessed and compared to exposure levels reported from similar uses overseas. Air monitoring data were provided by applicants and notifiers and obtained from the international literature.

### 7.2.1 Methods of atmospheric monitoring

Personal monitoring is used to characterise workplace air levels for exposure control or compliance with relevant exposure standards. Area air monitoring is used to ensure the effectiveness of process isolation and engineering controls and to continuously monitor for leaks to prevent fires, explosions or acutely toxic concentrations of atmospheric contaminants.

Two sampling methods are commonly used in Australia for the measurement of airborne levels of acrylonitrile in the workplace.

For personal monitoring during full shifts or tasks, workers are equipped with a charcoal or other absorbent tube or badge placed in the breathing zone. For area monitoring, the tube or badge is placed at a fixed location in the workplace environment. Tubes are connected with a portable metering pump, whereas badges sample the air by diffusion. At the end of the sampling period, the tube or badge is sealed and transferred to a laboratory, where the chemical is eluted from the absorbent and quantified by gas chromatography. The result is expressed as a time-weighted average (TWA) concentration in ppm or mg/m<sup>3</sup> over the duration of the sampling period. The analytical detection limit varies according to the airflow across the absorbent and the duration of the sampling period. At Huntsman, for example, where a tube method is used, the detection limit is 0.03 ppm·L, or 0.006 ppm for a sample collected over 60 min at a pump speed of 80 mL/min. There is no significant difference between the overall accuracy of tube or badge sampling methods (Brown & Monteith, 1995).

‘Grab sampling’ or instantaneous measurement of acrylonitrile air levels is conducted with colourimetric detector tubes. These are glass tubes sealed at both ends with a graduated concentration scale etched into the outer surface. The tubes contain a carrier material covered with chemical reagents that react with acrylonitrile to produce a colour change whose end-point is read against the scale. Prior to use, the seals are broken, the tube is connected to a handpump and the pump is operated to draw a defined amount of air through the tube. The approximate air level can be read immediately, with a detection limit of approximately 0.1 ppm. Air can be sampled from the breathing zone (personal monitoring) or at fixed locations in the working environment (area monitoring).

In addition, one site conducts continuous area monitoring by pumping air collected at a number of fixed locations through an autoanalyser equipped with a gas chromatograph (see section 7.2.3). This method delivers readings for TWA as well as peak concentrations and has a limit of detection around 0.02 ppm.



## 7.2.2 Ship to shore transfer

### Potential for exposure

Bulk acrylonitrile is delivered by ship 4-5 times a year. There is potential for exposure when making and breaking the line connections for ship to shore transfer. As the shore tank is vented to air through a carbon bed system, there is no potential for exposure to displaced vapours. The transfer requires a manning level of 2-3 operators. The time taken to connect, disconnect and pig<sup>1</sup> the lines is approximately 30 min and the transfer itself lasts from 5-10 h depending on the size of the cargo.

### Monitoring data

Air monitoring data were available for two ship to shore transfers and are shown in Table 4. In all cases, the results were below the limit of detection (0.1 ppm).

**Table 4: Air monitoring during ship to shore transfer of bulk acrylonitrile**

| Year | Monitoring | Description                   | Duration (min) | Result (ppm) |
|------|------------|-------------------------------|----------------|--------------|
| 1998 | Personal   | Pigging operators (2)         | 17-35          | <0.1         |
| 1997 | Area       | Storage tank surroundings (5) | 1045-1105      | <0.1         |
|      | Area       | Storage tank rooftop (2)      | 1075-1110      | <0.1         |
|      | Area       | Wharf (1)                     | 1145           | <0.1         |

Numbers in brackets indicate the number of samples examined

## 7.2.3 Transport from bulk terminal to users

### Potential for exposure

Acrylonitrile is transported by road tanker from the bulk terminal tank to smaller storage tanks or vessels at the users' sites. There is potential for exposure when making and breaking the connections between the storage tanks and the road tanker. As the road tanker and all storage tanks are equipped with a vapour return system, there is no potential for exposure from displaced air vented to the surroundings. Loading and unloading of the road tanker is usually carried out by the driver and 1-4 site operators. The road tanker is loaded/unloaded approximately 200 times per year. Individual drivers and site operators perform from 8-100 loading/unloading operations per year. The time taken to connect and disconnect the lines is approximately 40 min and the transfer itself lasts 1-2 h.

### Monitoring data

Air monitoring data were available for unloading of the road tanker at 4 different sites and are shown in Table 5.

**Table 5: Personal air monitoring during road tanker unloading**

<sup>1</sup> Pigging is the process of placing an object (called a pig) in a pipeline and propelling it through by liquid or gas pressure from behind to clean out the line.

| Year | Site* | Description   | Duration (min) | Result (ppm)                                                   |
|------|-------|---------------|----------------|----------------------------------------------------------------|
| 1993 | E     | Operator (1)  | 450            | <0.005                                                         |
| 1994 | B     | Operator (1)  | 120            | <0.02                                                          |
| 1995 | E     | Operator (1)  | 425            | <0.005                                                         |
| 1996 | A     | Drivers (2)   | 120            | 0.07 (1)<br>0.08 (1)                                           |
| 1996 | E     | Operator (1)  | 465            | <0.05                                                          |
| 1997 | E     | Operator (1)  | 30             | <0.4                                                           |
| 1998 | D     | Operators (5) | 60-120         | <0.018 (1)<br><0.24 (1)<br><0.26 (1)<br><0.45 (1)<br><0.46 (1) |
| 1998 | E     | Operator (1)  | 110            | <0.02                                                          |

Numbers in brackets indicate the number of samples examined

\* Site A manufactures SAN polymer beads and SAN and ABS resin pellets. Sites B-E manufacture polymer emulsions

At one polymer emulsion manufacturing site (F), there is continuous area monitoring of acrylonitrile levels at 8 points around the road tanker unloading bay, the storage tank, discharge pump and the reactor. The monitoring system is connected to an alarm set to activate at an acrylonitrile concentration  $\geq 10$  ppm. Monitoring data were not provided, but it was reported that most readings are below the limit of detection (0.02 ppm) and that there has been no alarm since 1996.

In summary, the exposure of workers and drivers involved with the transfer of bulk acrylonitrile from the road tanker to on-site storage tanks or vessels was <0.1 ppm in 2/13 samples and less than the limit of detection (0.005-0.46 ppm) in the remaining 11 samples.

## 7.2.4 Manufacture of SAN polymer beads

### Potential for exposure

Approximately 1400 t/y bulk acrylonitrile is processed into SAN polymer beads in a dedicated plant at the Huntsman Chemical site. The process is fully enclosed, as described in section 6.2.1.

There are 5 SAN plant operators, who work 12 h shifts for 144 days/y. They run the process from a remote control room, with excursions outside for manual operations and instrument checks once or twice per shift. The plant technical leader and day co-ordinator may spend 2-4 h/day for 240 days/y in the SAN plant or control room to advise on production, maintenance and other matters. There are 5 site supervisors who are estimated to spend 1 h/day for 144 days/y in the SAN plant or control room. Five regular maintenance fitters and riggers may be called upon to open equipment containing acrylonitrile vapours or dilute mixtures of acrylonitrile, styrene and water and 4 instrument/electrical technicians may be required to work on instruments or electrically powered equipment containing trace amounts of the chemical. It is estimated that each of the 9 maintenance workers spends 2 h/day for 240 days/y doing tasks where there is the potential for exposure to acrylonitrile.

### Monitoring data

Personal air monitoring data collected during normal plant operations and a variety of maintenance tasks are summarised in Table 6. The current reactor and control system were commissioned in 1994.

**Table 6: Personal air monitoring during normal operation and maintenance of the SAN plant**

| Year                    | Description                | Duration (min)                 | Result (ppm)                                                           |
|-------------------------|----------------------------|--------------------------------|------------------------------------------------------------------------|
| <b>Normal operation</b> |                            |                                |                                                                        |
| 1990                    | Operators (3)              | 225-425                        | <0.002 (2)<br><0.1 (1)                                                 |
| 1991                    | Operators (9)              | 130-435                        | <0.003 (3)<br><0.1 (1)<br>0.10 (2)<br>0.11 (1)<br>0.12 (1)<br>0.17 (1) |
| 1992                    | Operators (8)              | 320-645                        | <0.002 (4)<br><0.1 (2)<br>0.11 (1)<br>0.13 (1)                         |
| 1996                    | Operators (3)              | 540                            | <0.001 (2)<br><0.05 (1)                                                |
| 1999                    | Operators (5)              | 720                            | <0.05                                                                  |
| <b>Maintenance</b>      |                            |                                |                                                                        |
| 1990                    | Dismantling of reactor (3) | 170<br>225<br>230              | <0.003 (1)<br>0.44 (1)<br>0.60 (1)                                     |
|                         | Maintenance work (12)      | 3-485<br>105<br>23<br>21<br>11 | <0.1 (8)<br>0.13 (1)<br>0.56 (1)<br>12.6 (1)<br>318 (1)                |

Numbers in brackets indicate the number of samples examined

Thirty-nine area and breathing zone air samples were collected by grab sampling during opening of the reactor and other equipment in a major maintenance overhaul in 1990. Of these, 11 showed air levels  $\leq 0.5$  ppm, 4 from 0.6-1 ppm, 7 from 2-10 ppm, 11 from 11-30 ppm, with one measurement at 40 ppm, one at 55 ppm and 4 indicating air levels  $>120$  ppm.

In summary, during normal operation of the SAN plant, personal exposure to acrylonitrile was  $<0.01$  ppm in 16/28 samples,  $<0.1$  ppm in 21/28 samples and  $<0.2$  ppm in 100% of the samples. By contrast, maintenance operations requiring the closed system to be opened resulted in some high to very high short-term air levels.

## 7.2.5 Manufacture of SAN, ABS and ABS/PC alloy resin pellets

### Potential for exposure

Several companies process SAN, ABS or ABS/PC polymers to resin pellets as described in section 6.2.2. The content of residual acrylonitrile in the polymers is low (<50 ppm), the polymer chains do not decompose at or near normal extrusion temperatures ( $\leq 230^{\circ}\text{C}$ ), and volatiles are removed from the extruder barrels and die heads and discharged from a vent. As such, it is expected that the majority of workers in resin pellet extrusion plants have little opportunity for exposure.

Exposure data were available from one site only (Huntsman Chemical). At Huntsman, there are currently 9 SAN/ABS extrusion plant operators, who work 12 h shifts for 144 days/y. There is 1 pack-out worker in the plant for 8 h/day for 240 days/y. One technician, 1 day co-ordinator and 1 laboratory colour matcher each spends about 1 h/day in the plant for 240 days/y.

### Monitoring data

Air monitoring data collected during normal running of the Huntsman extrusion plant are summarised in Table 7.

**Table 7: Acrylonitrile air monitoring in a SAN/ABS resin pellet extrusion plant**

| Year | Monitoring | Description                   | Duration (min) | Result (ppm)          |
|------|------------|-------------------------------|----------------|-----------------------|
| 1986 | Personal   | Operators (8)                 | 185-390        | <0.01 (7)<br>0.27 (1) |
| 1987 | Personal   | Operators (2)                 | 415-425        | 0.03 (1)<br>0.40 (1)  |
| 1989 | Personal   | Operators (5)                 | 17-400         | <0.1 (4)<br>0.24 (1)  |
| 1996 | Personal   | Operators (1)                 | 105            | 0.07                  |
| 1987 | Area       | Near extruded resin lumps (1) | 365            | 1.2                   |
| 1996 | Area       | At or near die face (2)       | 5-100          | <0.08 (1)<br>0.07 (1) |
| 1996 | Area       | Near extruded resin lumps (1) | 5              | <0.08                 |

Numbers in brackets indicate the number of samples examined

In an investigation by Huntsman Chemical of the effect of overheating on the emission of volatiles from ABS resin pellet extrusion, area air levels at the die face and near freshly extruded plastic lumps were measured by grab sampling. Concentrations of 10-20 ppm acrylonitrile were measured near lumps of a high rubber grade resin processed at  $275\text{--}300^{\circ}\text{C}$  compared to 1-4 ppm near lumps of a standard grade processed at the same temperatures. In recent years, however, production volumes for ABS resin pellets have declined, particularly grades that are prone to thermal degradation during abnormal processing situations.

In summary, personal exposure to acrylonitrile during SAN/ABS resin pellet manufacturing was <0.1 ppm in 13/16 samples and  $\leq 0.4$  ppm in all 16 samples. An investigation by Huntsman Chemical indicates that there is the potential for emission of acrylonitrile from extruded lumps of thermally degraded polymer if processing temperatures are abnormally high, particularly in the case of high rubber grade resins.

## 7.2.6 Manufacture of SAN, ABS and ABS/PC alloy plastic articles

### Potential for exposure

ABS and ABS/PC alloy resin pellets are widely used in the manufacture of plastic articles by thermoforming or injection moulding, whereas the use of SAN resin pellets is limited. The resin pellets contain <50 ppm residual acrylonitrile and under normal operating conditions their processing into plastic articles would result in minimal exposure of workers to acrylonitrile vapours. However, fume emission is often noticeable during 'purging' when the extruder temperature is raised to remove the previous batch and a small amount of hot, molten plastic runs out of the die head, usually onto the floor.

#### **Monitoring data**

Australian data on the potential exposure to acrylonitrile from the manufacture of SAN, ABS or ABS/PC plastic articles were not available.

### **7.2.7 Manufacture of polymer emulsions**

#### **Potential for exposure**

Five companies in Australia use acrylonitrile to manufacture polymer emulsions. The process is described in section 6.2.3. Prior to 1991-92, bulk acrylonitrile was delivered to some sites in drums and transferred manually to the reaction vessels, or in ISO containers<sup>2</sup> that were hooked up to the reactors. Currently, however, bulk acrylonitrile is stored in permanent tanks or vessels and pumped to the reactors in fixed pipework at all sites. The polymerisation process remains enclosed until all vapours have been removed and the content of acrylonitrile monomer in the emulsion has been brought down to a concentration <10 ppm. As such, the potential for exposure to acrylonitrile should be low, except during maintenance operations.

The maximum number of potentially exposed workers at any one plant is 16 operators, who work 12 h shifts for 144 days/y, and 13 daytime employees working 8 h/day for 240 days/y. Cleaning and maintenance may involve up to 25 regular workers who are potentially exposed to acrylonitrile for 2-12 h per year.

As the concentration of residual monomer in the end-product is <10 ppm, airborne exposure during the handling of polymer emulsions, or products containing polymer emulsions, is expected to be below the level of detection.

#### **Monitoring data**

Air monitoring data were available from all 5 polymer emulsion manufacturers and are summarised in Table 8.

At site D, the area around the reactor is grab sampled at 4-5 locations at 2 h intervals for the duration of every batch of polymer emulsion. In 1996-99, there were 4,936 readings relating to the production of 421 batches of polymer emulsion. Acrylonitrile was detected in 9 readings, 6 of which recorded air levels above 5 ppm. Five of the 6 readings above 5 ppm originated from a single batch.

In summary, since all sites converted to on-site storage tanks, personal exposure to acrylonitrile at polymer emulsion plants was <0.1 ppm in 80/122 samples, <0.2 ppm in 100/122 samples and <1 ppm in 118/122 samples, with levels from 1.2-12

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<sup>2</sup> Large, transportable stainless steel tanks that meet certain design standards laid down by the International Standards Organization (ISO).

ppm accounting for the 4 remaining cases. By contrast, maintenance operations requiring the closed systems to be opened resulted in some high short-term air levels of acrylonitrile.

Australian monitoring data on the potential exposure to acrylonitrile from the end use of polymer emulsions were not available.

**Table 8: Personal air monitoring in polymer emulsion plants**

| Site | Year    | Description           | Duration (min) | Result (ppm)                                                                                                 |
|------|---------|-----------------------|----------------|--------------------------------------------------------------------------------------------------------------|
| B    | 1994    | Operators (5)         | 480            | <0.02                                                                                                        |
| C*   | 1982-90 | Operators (178)       | 480            | ≤0.20 (57)<br>0.21-1.0 (55)<br>1.1-2.0 (33)<br>2.1-10.0 (28)<br>10.1-200 (5)                                 |
|      | 1991-98 | Operators (35)        | 480            | <0.01 (8)<br>0.01-0.05 (4)<br>0.05-0.1 (4)<br>0.1-0.2 (8)<br>0.2-0.5 (5)<br>0.5-1 (4)<br>1.7 (1)<br>12.0 (1) |
| D    | 1998    | Operators (21)        | 240-480        | <0.05 (7)<br><0.1 (10)<br><0.15 (2)<br>1.2 (1)<br>7.2 (1)                                                    |
| D    | 1998    | Clean-up of spill (4) | NR             | <0.05 (2)<br>0.074 (1)<br>0.089 (1)                                                                          |
| E    | 1989    | Operators (15)        | 125-480        | <0.1 (12)<br>1.4 (1)<br>9.0 (1)<br>21.8 (1)                                                                  |
|      | 1990    | Operators (2)         | 20-75          | <0.1                                                                                                         |
|      | 1993    | Operators (2)         | 435-470        | <0.005                                                                                                       |
|      | 1994    | Operator (1)          | 340            | <0.005                                                                                                       |
|      | 1995    | Operator (1)          | 420            | <0.005                                                                                                       |
|      | 1996    | Operators (2)         | 465            | <0.005 (1)<br>0.02 (1)                                                                                       |
|      | 1997    | Operators (2)         | 180-365        | <0.18 (1)<br>0.25 (1)                                                                                        |
|      | 1998    | Operator (1)          | 155            | <0.009                                                                                                       |
|      | 1989    | Drumming (7)          | 200-390        | <0.1                                                                                                         |
| E    | 1996    | Drumming (1)          | 465            | <0.005                                                                                                       |
|      | 1997    | Tanker loading (1)    | 40             | <0.30                                                                                                        |
|      | 1998    | Drumming (1)          | 210            | <0.009                                                                                                       |

**Table 8: Continued**

| Site | Year | Description            | Duration (min) | Result (ppm)                 |
|------|------|------------------------|----------------|------------------------------|
| F†   | 1991 | Operators (28)         | 580-690        | <0.05                        |
|      |      | Other plant staff (25) | 415-670        | <0.05 (20)<br><0.05-0.06 (5) |

|    |      |                       |         |                                                        |
|----|------|-----------------------|---------|--------------------------------------------------------|
|    | 1992 | Operators (24)        | 270-660 | <0.05 (11)<br><0.1-0.2 (8)<br><0.2-0.3 (5)             |
|    |      | Other plant staff (4) | 495-540 | <0.05                                                  |
|    | 1997 | Operators (14)        | 615-690 | <0.1                                                   |
|    |      | Other plant staff (2) | 440-495 | <0.1                                                   |
| F† | 1998 | Drumming (3)          | 120-360 | <0.2 (1)<br><0.4 (2)                                   |
|    | 1999 | Drumming (2)          | 210     | <0.01 (1)<br><0.06 (1)                                 |
| F† | 1992 | Maintenance work (20) | 2-22    | <0.5 (12)<br><0.5-1 (3)<br><1-2 (3)<br>9 (1)<br>35 (1) |
|    | 1997 | Maintenance work (1)  | 15      | <0.15                                                  |
|    | 1998 | Maintenance work (5)  | 10-17   | <0.2 (3)<br><0.3 (2)                                   |
|    | 1999 | Maintenance work (4)  | 6-16    | <0.2 (1)<br><0.5 (3)                                   |

Numbers in brackets indicate the number of samples examined

\* A permanent bulk storage tank was installed in 1991

† A permanent bulk storage tank was installed in 1992

## 7.2.8 Quality control sampling and laboratory use

### Potential for exposure

Laboratory personnel are potentially exposed to acrylonitrile during the collection of samples from tanks and reactors for quality control purposes, during the handling of such samples, or during the use of reagent grade acrylonitrile for analytical or research purposes. At the sites where bulk acrylonitrile is handled and/or stored, the number of laboratory technicians potentially exposed to the chemical varies from 1-19, with an estimated exposure duration ranging from 1 h per year to 5 h/day for 240 days/y.

In addition, it is estimated that 1-2 health, safety and environment officers per site are potentially exposed to acrylonitrile for an average duration of 8 h/day for 15 days/y.

### Monitoring data

Routine personal and area monitoring data were available from 3 sites and are summarised in Table 9.

**Table 9: Air monitoring during quality control sampling and laboratory use of acrylonitrile**

| Year | Monitoring | Description                            | Duration (min) | Result (ppm)           |
|------|------------|----------------------------------------|----------------|------------------------|
| 1991 | Personal   | Laboratory assistant (4)               | 450-480        | <0.05 (3)<br><0.06 (1) |
| 1996 | Personal   | Sample collection at bulk terminal (1) | 55             | 1.2                    |
| 1998 | Personal   | Sample collection from                 | NR             | <0.78                  |

| polymer emulsion reactor (1) |      |                                |              |                                                 |
|------------------------------|------|--------------------------------|--------------|-------------------------------------------------|
| 1996                         | Area | Quality control laboratory (6) | Grab testing | <0.5 (2)<br>0.5 (1)<br>1 (1)<br>2 (1)<br>40 (1) |
| 1996                         | Area | Road tanker sampling point (1) | Grab testing | <0.5                                            |
| 1996                         | Area | Ship's pump room (1)           | Grab testing | 2.5                                             |

NR = not reported

Numbers in brackets indicate the number of samples examined

The high air levels recorded in grab samples from a quality control laboratory were from the zone immediately above a spectrophotometer containing open vials of test samples (1 ppm), the area outside a flammable liquids cupboard immediately after opening the door (2 ppm), and the air inside the cupboard (40 ppm). The source of the vapours was determined to be a number of sample bottles stored in the cupboard for an extended period of time.

The high reading in the shipping tanker's pump room related to the accidental spillage of approximately 1 L of acrylonitrile following the opening of a gate valve on a pressurised 1 inch line into a 750 mL bottle. This sampling method is no longer used.

In summary, the limited data that were available indicate that the average acrylonitrile level in the worker's breathing zone during collection of samples of the bulk chemical for analysis may exceed 1 ppm. Also, air concentrations of up to 40 ppm acrylonitrile can build up inside closed sample storage cupboards over prolonged storage periods due to vapour leakage from the sample bottles. Subsequent opening of such cupboards can lead to instantaneous exposures of up to 2 ppm even in a well-ventilated storage room.

### 7.2.9 Overseas air monitoring data

Most of the data in the published literature are based on exposure studies conducted in monomer or fibre production plants. As such, exposure levels for production workers are not comparable to Australian workplace scenarios, although measurements of the exposure of maintenance workers, laboratory staff and tank farm workers remain relevant.

At four acrylonitrile monomer production plants and three fibre mills in USA surveyed during 1977-1986, personal exposure levels (8 h TWA) ranged from 0.2-1 ppm for maintenance workers, 0.01-9.4 ppm for laboratory technicians, and 0.4-0.7 ppm during loading or unloading of tank trucks, rail cars or barges (HSA, 1998).

According to the same source (HSA, 1998), occupational exposure levels (8 h TWA) from 0.1-0.2 ppm appear to be representative for most industries that process bulk acrylonitrile into polymers of various types. Furthermore, in industries that handle polymers containing only residual amounts of acrylonitrile, exposure levels are generally an order of magnitude lower. For example, in Germany between 1991-95, 95% of all exposures were below the analytical detection limit of 0.02 ppm in industries such as the following: moulding of plastic articles (with and without exhaust ventilation), surface coating (spraying, brush application, roller application, filling, gluing), and paper and paper board manufacturing (with and without exhaust ventilation). Exposures above the detection limit occurred in



individual cases during the production of resin pellets in work areas without exhaust ventilation.

In a laboratory degradation study of two grades of ABS and one grade of SAN polymer resins heated to 197-218°C for 10 min, a maximum of 25 µg acrylonitrile monomer/g of resin was liberated (Hoff et al., 1982). During ABS moulding in a Swedish factory, acrylonitrile was not detected above the analytical limit of 0.01 ppm during area air monitoring 50-100 cm away from the machine (Hoff et al., 1982). In a more recent British field study, air monitoring was conducted during ABS injection moulding at a process temperature of 245°C (Forrest et al., 1995). Air was sampled from the background area, the breathing zone of the operator, and the machine area under standard conditions as well as during purging. Acrylonitrile was not present above the analytical detection limit, which was approximately 0.00005 ppm, except during purging when a concentration of 0.01 ppm was measured in the machine area.

## **7.3 Public exposure**

### **7.3.1 Consumer exposure**

Acrylonitrile is an industrial chemical which is not sold to the public. However, members of the public will make contact with a variety of products made from acrylonitrile such as polymer emulsions (used in paints, printing inks, caulks, sealants and textile coatings) and ABS, ABS/PC and SAN plastic articles (food packaging such as margarine container lids; components for telephones, computers, cars, refrigerators and air conditioners; bathroom fittings, pens and toys). In addition, public contact with acrylonitrile will occur when wearing fabrics made from fibres containing residual acrylonitrile. According to the European industry (HSA, 1998), acrylic fibre is currently hardly used in carpets, especially domestic carpets, and inhalation of acrylonitrile released from carpets is therefore considered to be negligible. As such, the main routes of public contact will be dermal (for example, with fabrics) and oral (consumption of food packaged in materials containing residual acrylonitrile).

#### **Dermal exposure from acrylic fibres and other products**

According to the SIDS Initial Assessment Report (HSA, 1998), any residual acrylonitrile (generally <1 ppm) in acrylic fibres is tightly bound and its diffusion rate is low, even at elevated temperatures. If it is assumed that a consumer wears 1 kg of acrylic fibre (that is, 1 mg of residual acrylonitrile) in clothing and that all of the chemical is released over a period of 30 days, then the release per day would be 33 µg. It is estimated that most of this would evaporate to the ambient air, with only 0.4% being absorbed through the skin, corresponding to an average daily load of 0.13 µg or 2.2 ng/kg body weight/day for an adult woman weighing 60 kg.

Dermal exposure to acrylonitrile from other products such as polymer emulsions and plastic articles used by the general public is likely to be negligible because of the slow migration and low content of residual monomer (<50 ppm, usually <10 ppm) and the sporadic nature of the contact.

#### **Oral exposure from food packaging materials**

Foods may become contaminated with acrylonitrile as a result of the migration of the monomer from containers made from acrylonitrile polymers such as ABS (ANZFA, 1999). The ultimate level of acrylonitrile in the food depends on the

contact time between the container and the food, the solubility of acrylonitrile in the food, and container parameters such as concentration of residual monomer, thickness and area exposed to the food, and is greater near the container walls than in the middle of the food. Acrylonitrile polymers are not used for the packaging of beverages in Australia (Huntsman, 1999).

Reported levels of acrylonitrile in some packaged foods are shown in Table 10.

**Table 10: Levels of acrylonitrile in some packaged foods**

| Food               | Acrylonitrile level in<br>ppb ( $\mu\text{g/kg}$ ) | Reference                              |
|--------------------|----------------------------------------------------|----------------------------------------|
| Butter             | 11                                                 | Plastics Institute of Australia (1980) |
| Cold-pack cheese   | 24-29                                              | Page & Charbonneau (1983)              |
| Creamed coconut    | <2.5                                               | Page & Charbonneau (1983)              |
| Honey butter       | 13-24                                              | Page & Charbonneau (1983)              |
| Luncheon meats     | <2.5                                               | Page & Charbonneau (1985)              |
| Margarine          | 15                                                 | UK Government Survey (1982)            |
|                    | 24                                                 | Plastics Institute of Australia (1980) |
|                    | 25                                                 | FDA (1984)                             |
| Peanut butter      | 12-35                                              | Page & Charbonneau (1983)              |
| Soft butter spread | <2.5                                               | Page & Charbonneau (1983)              |

In a worst-case scenario, a consumer may have a daily food intake of 1 kg, of which 5% (50 g) is packaged in acrylonitrile-containing containers. According to Table 10, this food could contain up to 35 ppb acrylonitrile, or about 2  $\mu\text{g}$  acrylonitrile. In relation to an adult man weighing 70 kg, this represents an intake of 30 ng/kg/day, for a 60 kg woman the intake would be 33 ng/kg/day, while for a 30 kg child it would be approximately 70 ng/kg/day. These estimates are conservative as according to ANZFA (1999) there is likely to be limited migration of the monomer from current packaging materials because food nowadays is packaged in vastly different, improved resins.

### 7.3.2 Exposure via the environment

Public exposure to acrylonitrile could also occur as a result of environmental release of the chemical from industrial sites.

The European Union System for the Evaluation of Substances (EUSES) is an empirical model used to deliver estimates for a standard exposure scenario for organic compounds where measured data are not available. For acrylonitrile, EUSES provides values of 2.8  $\mu\text{g/L}$  for the concentration in drinking water, 0.071  $\mu\text{g/m}^3$  (0.00003 ppm) in air, 4.0  $\mu\text{g/kg}$  in wet fish,  $1.3 \times 10^{-4}$   $\mu\text{g/kg}$  in meat,  $1.7 \times 10^{-2}$   $\mu\text{g/kg}$  in plant leaves, and  $1.3 \times 10^{-3}$   $\mu\text{g/L}$  in milk (HSA, 1998). Based on these estimates, the following values are obtained for indirect exposure via the environment in the EUSES standard scenario:

- intake through drinking water:  $8 \times 10^{-5}$  mg/kg/day;
- intake through food consumption:  $6 \times 10^{-7}$  mg/kg/day;
- intake through inhalation:  $2 \times 10^{-5}$  mg/kg/day; and
- total intake:  $1 \times 10^{-4}$  mg/kg/day.

There are no Australian data on the levels of acrylonitrile in drinking water, unprocessed foods or ambient air. However, the predicted environmental concentrations discussed in section 7.1 indicate that the above estimates are very conservative, possibly with the exception of populations living in the immediate vicinity of a facility that emits acrylonitrile to the environment.

## 8. Health Effects and Hazard Classification

This section is limited to a brief overview of the health effects and classification of acrylonitrile and is intended as background information for the conclusions and recommendations that follow. It is based on the SIDS Initial Assessment Report prepared by the Health and Safety Authority of Ireland (HSA, 1998) and review articles by Léonard et al. (1999), Whysner et al. (1998), and Woutersen (1998).

### 8.1 Toxicokinetics and metabolism

Several studies in rats have shown that systemic absorption of acrylonitrile is fast and nearly complete by all routes of administration, with rapid distribution throughout the body and little accumulation in any particular organ.

In rodents, acrylonitrile undergoes extensive biotransformation by two pathways: direct reaction with glutathione (GSH) and oxidation by cytochrome P450 to yield the epoxide cyanoethylene oxide (CNEO), which also reacts with GSH. These routes produce distinct GSH conjugates, which are further metabolised to small, water-soluble, sulfur-containing molecules, including thiocyanate. Limited data on the disposition of acrylonitrile in humans indicate that both direct conjugation with GSH and metabolism via CNEO take place. The rate of spontaneous hydrolysis of CNEO is significantly increased by microsomes from human but not from rodent liver, apparently through catalysis by epoxide hydrolase. This is a detoxifying enzyme in liver, brain, and blood cells that hydrolyses a wide range of epoxides to the corresponding dihydrodiols. The dihydrodiol of CNEO is unstable and transformed to glycolate and thiocyanate.

In rats, the plasma half-life of acrylonitrile is 20-60 min. Elimination is predominantly by excretion of metabolites in urine (about 75%) and faeces (10%) and by exhalation as carbon dioxide (10%). A small fraction is eliminated unchanged through the lungs and in urine. The majority of GSH-derived metabolites are excreted within 24 h, but protein adducts may persist in the body for more than 10 days.

Acrylonitrile and CNEO have been shown to bind to proteins in the stomach, liver, blood, kidney, lung, skin and other organs. At high exposure levels, more than 25% of the chemical may form protein adducts. Both acrylonitrile and CNEO form DNA adducts *in vitro*, although acrylonitrile binding is limited in the absence of metabolic activation. The only DNA adduct identified *in vivo* is a CNEO-guanine reaction product found at very low levels in rat liver, which is not a target organ for acrylonitrile-induced tumours.

In humans, where the epoxide hydrolase pathway is active, proteins and DNA may be less likely to form CNEO adducts than in rodents.

### 8.2 Effects on experimental animals and *in vitro* bioassays

Acrylonitrile is acutely toxic by all routes of administration. In the rat, the LD<sub>50</sub> is 72-186 mg/kg from oral and 148-282 mg/kg from skin exposure, and the 4 h LC<sub>50</sub>

from inhalation is 138-558 ppm (0.47-1.2 mg/L). The acute toxicity is roughly similar in other species, including mice, guinea pigs, rabbits, cats and dogs. Irrespective of route or test species, a lethal dose causes central nervous system (CNS) excitation followed by paralysis and respiratory arrest. The target organs are the gastrointestinal tract (bleeding), adrenals (haemorrhagic necrosis), brain (oedema) and lungs (oedema).

Acrylonitrile is irritating to the skin and eyes. Repeated airborne exposure induces inflammatory and hyperplastic changes in the nasal mucosa, indicating a potential for irritation of the respiratory system. A guinea pig maximisation test for skin sensitisation was strongly positive. There are no data on respiratory sensitisation.

Repeated-dose toxicity studies involving inhalation, ingestion or subcutaneous or intraperitoneal injection of acrylonitrile for 1-12 months in rats, mice, guinea pigs, rabbits, cats, dogs and monkeys showed a narrow range between lethal and no observed adverse effect levels. The most consistently observed effects were decreased body weight gain, irritation of the respiratory tract, kidney damage and reversible ataxia or paralysis. Retching and vomiting, adrenal hyperplasia, increased liver weight, hyperplasia of the gastric mucosa and biochemical effects such as small reductions in haemoglobin, haematocrit and erythrocyte counts and small increases in alkaline phosphatase were observed in some studies.

In a 3-generation rat study, up to 35 mg/kg/day had no effect on fertility. In sub-acute studies in rats and mice, there was evidence of defective spermatogenesis at oral doses approaching acutely toxic levels, whereas several long-term studies found no abnormalities in male reproductive organs. In developmental toxicity studies in rats, hamsters, and rat embryos exposed *in vitro*, acrylonitrile showed some potential to cause foetal toxicity, but developmental effects *in vivo* occurred only at exposure levels associated with marked maternal toxicity.

The genetic toxicity of acrylonitrile has been investigated in numerous *in vitro* and *in vivo* test systems. *In vitro*, it was weakly positive in several bacterial, fungal and mammalian mutagenicity assays and mammalian and fungal cytogenetic tests, particularly in the presence of metabolic activation. Where CNEO was tested in parallel assays, it was mutagenic in the absence of metabolic activation. *In vivo*, acrylonitrile tested negative in several dominant lethal, micronucleus and chromosome aberration assays. Studies in *Drosophila* using various genetic markers gave positive results. *In vitro* and *in vivo* assays for DNA binding and unscheduled DNA synthesis yielded negative results in tests using the most reliable techniques. On balance, it appears that acrylonitrile has little affinity for DNA, whereas the metabolite CNEO is a direct-acting mutagen *in vitro*. It is conceivable that the lack of genotoxicity of acrylonitrile in several *in vivo* tests is due to limited formation and/or rapid degradation of CNEO in intact mammals.

The carcinogenic potential of acrylonitrile has been investigated in three strains of rats exposed to 5-80 ppm in air (2 studies), 1-500 ppm in drinking water (5 studies), or 0.1-10 mg/kg by gavage (2 studies). Exposure-related tumours were found in all studies. The most common forms were astrocytomas of the CNS and carcinomas of the zymbal gland<sup>3</sup>, both of which rarely occur spontaneously in experimental animals. Tumours of the mammary gland, tongue, small intestine and forestomach (oral exposure only) were less consistent across studies. A 2-year

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<sup>3</sup> A sebaceous gland associated with the ear canal of certain rodent species.

bioassay in mice, where metabolism via CNEO plays a greater role than in rats, is currently underway within the US National Toxicology Program.

### 8.3 Human studies

In humans, short-term airborne exposure at >5 ppm (not otherwise specified) has been reported to cause eye, nose, throat and airway irritation, nausea, vomiting, headache, dizziness and limb weakness, whereas human volunteers exposed for 8 h to 2.4-5.0 ppm exhibited no deleterious effects. At higher concentrations, convulsions, unconsciousness and cardio-respiratory arrest have occurred. Skin exposure has resulted in one fatality and cases of irritant and allergic contact dermatitis. Chronic inhalation of concentrations from 0.3-5 ppm has been associated with headache, insomnia, irritability, fatigue and laryngitis, with mild anaemia and jaundice reported at levels >35 ppm.

In a poorly reported study, which did not account for concomitant exposure to other hazardous chemicals, vomiting and anaemia during pregnancy, preterm delivery and birth defects were increased in female workers exposed to levels up to 40 ppm acrylonitrile (Wu et al., 1995). There are no data on reproductive effects in men.

Two separate studies found no increase in chromosome aberrations or sister chromatid exchange in the lymphocytes of acrylonitrile workers.

Epidemiological studies of cancer rates include 21 retrospective mortality and/or incidence cohort studies with an average duration of follow-up of 30 years in 40,887 acrylonitrile workers. Whereas early studies pointed to lung cancer as a potential hazard, recent meta-analyses found no excess of all cancers or lung cancer (Collins & Acquavella, 1998; Rothman, 1994). In the mortality studies, all specific causes of cancer examined had meta-risk ratios near or below 1.0, except bladder cancer for which the excess was unrelated to exposure and limited to factories with aromatic amines. In the incidence studies, the relative risk of prostate cancer was slightly elevated, but most cases occurred when diagnostic techniques were less reliable and there was no trend with exposure level. The meta-analyses included many small studies with dubious exposure assessments. In the three most recent and well-conducted studies, overall findings indicated no elevation of risk for all cancers combined, cancers of the prostate and brain, or leukaemia. In the highest exposure groups ( $\geq 8$  ppm-years) the relative risk of respiratory cancer was slightly elevated in two of the studies and there were 81 deaths from this cause against 75.5 expected in all three studies combined (Coggon & Cole, 1998).

### 8.4 Hazard classification

In Australia and the European Communities (EC) acrylonitrile is currently classified with the following risk phrases, in accordance with EC Council Directive 96/54/EC published in September 1996 (NOHSC, 1999):

|                  |                                                                        |
|------------------|------------------------------------------------------------------------|
| <b>R11</b>       | <b>Highly flammable</b>                                                |
| <b>R23/24/25</b> | <b>Also toxic by inhalation, in contact with skin and if swallowed</b> |
| <b>R38</b>       | <b>Irritating to skin</b>                                              |
| <b>R45(2)</b>    | <b>May cause cancer (Category 2)</b>                                   |

In March 1999, the European Commission Working Group on the Classification and Labelling of Dangerous Substances reached final agreement to amend the EC classification to include the following risk phrases:

**R37                    Irritating to respiratory system**

**R41                    Risk of serious damage to eyes**

**R43                    May cause sensitisation by skin contact**

Acrylonitrile remained classified as a carcinogen in Category 2 as “based on current information and with no definitive contrary evidence acrylonitrile must be considered to [be] a genotoxic carcinogen” (EC, 1999).

This revised EC classification will be adopted by Australia according to the usual process when it has been laid down in an amendment to the EC Council Directive.

Acrylonitrile has also been evaluated by the International Agency for Research on Cancer (IARC). In 1979 and 1987, IARC concluded that there was limited evidence of carcinogenicity of acrylonitrile in humans and sufficient evidence of carcinogenicity in animals and therefore assigned the chemical to group 2A: agents that are *probably* carcinogenic to humans (IARC, 1979, 1987). In February 1998, all published literature on acrylonitrile was re-evaluated by an IARC working group comprising 30 experts from 12 countries. The group concluded that although additional studies confirmed that acrylonitrile is a potent multi-site carcinogen in rats, the combined epidemiological evidence did not support a credible association between acrylonitrile exposure and cancer. As such, IARC determined that there was inadequate evidence in humans but sufficient evidence in experimental animals for the carcinogenicity of acrylonitrile and re-classified the chemical in group 2B: agents that are *possibly* carcinogenic to humans (IARC, 1999).

## 9. Effects on Organisms in the Environment

The following results and discussion in this section of the report have been extracted from the SIDS Initial Assessment Report (HSA, 1998) and a report by the Advisory Committee to the German Chemical Society on Existing Chemical of Environmental Relevance (Gesellschaft Deutscher Chemiker, 1993).

### 9.1 Toxicity to fish

Data on the acute toxicity of acrylonitrile to fish are summarised in Table 11.

**Table 11: Acute toxicity of acrylonitrile to fish**

| Species                                            | Test duration | Result (mg/L)    |      |
|----------------------------------------------------|---------------|------------------|------|
|                                                    |               | LC <sub>50</sub> | NOEC |
| Bitterling ( <i>Rhodeus sericeus</i> )             | 48 h (nd)     | 25.7             |      |
| Bluegill sunfish ( <i>Lepomis macrochirus</i> )    | 96 h (n; s)   | 10.0-11.8        | 10.0 |
| Carp ( <i>Cyprinus carpio</i> )                    | 48 h (n)      | 24.0             |      |
|                                                    | 96 h (n; ss)  | 19.64            |      |
| <i>Carrassius</i> sp.                              | 48 h (nd)     | 40.0             |      |
| Fathead minnow ( <i>Pimephales promelas</i> )      | 96 h (n; s)   | 14.3-18.1        |      |
| <i>Gobius minutus</i>                              | 96 h (n; s)   | 14.0             |      |
| Golden orfe ( <i>Leuciscus idus</i> )              | No details    | 16-28            |      |
| Guppy ( <i>Lebistes reticulatus</i> (Fry))         | 96 h (n; s)   | 33.5             |      |
| <i>Leucaspis delineatus</i>                        | 48 h (nd)     | 22.7             |      |
| Perch ( <i>Ctenopharyngodon idellus</i> )          | 96 h (n; ss)  | 5.16             |      |
| <i>Phoxinus phoxinus</i>                           | 48 h (nd)     | 17.6             |      |
| Pinfish ( <i>Lagodon rhomboides</i> )              | 24 h (nd)     | 24.5             |      |
| Rainbow trout ( <i>Onchorhynchus mykiss</i> )      | 48 h (nd)     | 70               |      |
|                                                    | 96 h (nd)     | 24               |      |
| Sheepshead minnow ( <i>Cyprinodon variegatus</i> ) | 96 h (m; ss)  | 8.6              | 5.6  |
| Zebrafish ( <i>Brachydanio rerio</i> )             | 48 h (n; f)   | 15.0             |      |
|                                                    | 48 h (nd)     | 25               |      |

f = flow through

LC<sub>50</sub> = median lethal concentration

m = measured concentration

n = nominal concentration

nd = no details available

NOEC = no observed effect concentration

s = static

ss = semi-static

Chronic toxicity of acrylonitrile to fish appears limited. A 30-day test to fathead minnow resulted in an LC<sub>50</sub> = 2.6 mg/L, with a 100-day test on rainbow trout resulting in an LC<sub>50</sub> = 2.2 mg/L. A 30-day test in fathead minnow showed a significantly reduced survival rate at mean measured concentrations of ≥0.86 mg/L and significantly reduced growth rate at test concentrations of ≥0.34 mg/L. It is established from this test that a definite no observed effect concentration (NOEC) could not be measured, because effects were observed at all concentrations.

The report from the German Chemical Society (Gesellschaft Deutscher Chemiker, 1993) outlines two further studies. Roach (*Leuciscus rutilus*) was exposed to 40



and 30 mg/L and survived >6 days and >11 days respectively. Bleak (*Alburnus alburnus*) similarly were exposed to 40, 25 and 20 mg/L, resulting in survival of 47 h, 16 days and >20 days respectively. These results are somewhat inconclusive, as there is no indication of fish numbers, replicates, test conditions, or any sublethal effects.

A further study outlined in the SIDS Initial Assessment Report (HSA, 1998) examined the chronic toxicity and carcinogenicity of acrylonitrile in medaka (*Oryzias latipes*). The experimental procedure involved either continuous exposure to concentrations of 2.5 or 5 mg/L nominal acrylonitrile over a 28 day period or a multiple pulsing exposure to 8 mg/L once or twice per week for a 4-week exposure. The study revealed no evidence of carcinogenicity or significant chronic toxicity in the fish.

## 9.2 Toxicity to aquatic invertebrates

Data on the acute toxicity of acrylonitrile to aquatic invertebrates are summarised in Table 12.

**Table 12: Acute toxicity of acrylonitrile to aquatic invertebrates**

| Species                         | Test duration | Result (mg/L)                       |
|---------------------------------|---------------|-------------------------------------|
| <i>Artemia salina</i>           | 48 h (n)      | EC <sub>5</sub> = 14.34             |
| <i>Asellus aquaticus</i> *      | 96 h (n)      | LC <sub>100</sub> = 0.016           |
| <i>Chironomus sp.</i>           | 48 h (n)      | EC <sub>5</sub> = 14.21             |
| <i>Crangon crangon</i>          | 24 h          | LC <sub>50</sub> = 10-33            |
|                                 | 96 h (n; f)   | LC <sub>50</sub> = 6.0              |
| <i>Daphnia magna</i>            | 48 h (n)      | EC <sub>50</sub> = 8.7-10.0         |
|                                 | 48 h          | LC <sub>50</sub> = 7.6; NOEC = 0.78 |
| <i>Gammarus sp.</i>             | -             | Survival time <22 h at 50 mg/L      |
| <i>Gammarus fossarum</i>        | 96 h (n)      | NOEC = 0.012                        |
| <i>Limnodrilus hoffmeisteri</i> | 96 h (n)      | EC <sub>5</sub> = 16.90             |
| <i>Ophryotrocha diadema</i>     | 48 h          | LC <sub>50</sub> = 10-33            |
| <i>Radix peregra</i> *          | 48 h (n)      | LC <sub>50</sub> <0.04              |
|                                 | 72 h (n)      | LC <sub>100</sub> = 0.04            |
| <i>Radix pliculata</i>          | 96 h (n)      | EC <sub>50</sub> = 17.94            |

\* Both the SIDS Initial Assessment Report (HSA, 1998) and the report from the German Chemical Society (Gesellschaft Deutscher Chemiker, 1993) claim the results for the freshwater isopod *Asellus aquaticus* and the freshwater mollusc *Radix peregra*, which have been taken from studies of which only English summaries were available, cannot be validated and are not suitable for risk assessment purposes. No data are given in the abstracts regarding test conditions.

EC<sub>5</sub> = concentration affecting 5% of population  
 EC<sub>50</sub> = concentration affecting 50% of population  
 f = flow through  
 LC<sub>50</sub> = median lethal concentration

LC<sub>100</sub> = concentration lethal to 100% of population  
 n = nominal concentration  
 NOEC = no observed effect concentration

The SIDS Initial Assessment Report (HSA, 1998) outlines two studies carried out at 14 and 21 days on *Daphnia magna*. The results were identical, with a NOEC for survival of 2 mg/L nominal, and a NOEC for reproduction of 0.5 mg/L. It is stated that the experimental data showed little or no dose response for both survival and reproduction in the concentration range used, making it difficult to establish how these values were derived. The SIDS Initial Assessment Report (HSA, 1998) also reports on a US EPA result where a no adverse effect level of 3.6 mg/L in a life

cycle study (21 days) in *Daphnia* was determined. No further information on this study was available.

### 9.3 Toxicity to algae and aquatic plants

According to the SIDS Initial Assessment Report (HSA, 1998), the effect of acrylonitrile on cultivated seagrass (*Ruppia maritima*) showed that concentrations >100 mg/L completely inhibited photosynthesis and respiration, while lower acrylonitrile concentrations did not have any effect on these metabolic processes. When the number of leaves, roots and sprouts as well as the biomass were measured, lower concentrations of acrylonitrile (10-100 mg/L) were found to cause inhibition of growth rate. However, growth of roots was apparently stimulated at acrylonitrile concentrations below 1 mg/L. No firm conclusions were drawn from this test as further details were not available.

A green algae (*Scenedesmus subspicatus*) test resulted in a 72 h  $E_bC_{50}$  = 3.1 mg/L (nominal) and  $E_rC_{50}$  >7.1 mg/L (nominal). The calculated NOEC was 0.8 mg/L.

The effects of acrylonitrile on the growth of *Skeletonema costatum*, a unicellular chain forming marine diatom, were observed over a 72 h period. In this study, the 72 h  $E_bC_{50}$  = 1.63 mg/L (measured mean) and  $E_rC_{50}$  = 14.1 mg/L. The NOEC was 0.41 mg/L for effect on biomass and 3.0 mg/L for effect on growth rate.

### 9.4 Summary of environmental effects

Following the guidelines from Mensink et al. (1995), acrylonitrile can be described as moderately to slightly toxic to aquatic vertebrates and aquatic invertebrates based on acute exposure results. The available chronic studies for these two trophic levels indicate slight toxicity.

Similarly, for algae and aquatic plants, results for effects on biomass suggest acrylonitrile is moderately toxic, while results for effects on reproduction are indicative of slight toxicity.

## 10. Current Control Measures

In this section, measures currently employed in the management of human exposure, predominantly occupational, are described. It has been known for many years that acrylonitrile is a hazardous chemical and as such several control measures have been implemented to limit exposure. The key elements include workplace control measures, emergency procedures, hazard communication (including training and education), atmospheric monitoring and regulatory controls. Where appropriate, these measures are described separately for specific Australian workplace scenarios, that is, handling, storage and transport of bulk acrylonitrile, manufacture of acrylonitrile-based polymer beads, resin pellets and polymer suspensions, moulding of SAN, ABS or ABS/PC plastic articles, and handling of acrylonitrile in quality control and research laboratories.

Information on current control measures was obtained from importers and users of acrylonitrile and from site visits.

### 10.1 Workplace control measures

Workplace control measures include isolation, engineering controls, safe work practices and personal protective equipment (PPE).

Isolation as a control measure aims to separate employees, as far as practicable, from the chemical hazard. This can be achieved by distance (segregation) and/or enclosure. Engineering controls involve the design of plant, equipment and processes so as to minimise the generation of hazardous substances, suppress or contain hazardous substances, or limit the area of contamination in the event of spills or leaks. Safe work practices are administrative practices that require people to work in safer ways. PPE is used where other control measures are not practicable or do not provide adequate protection against hazards.

#### 10.1.1 Bulk handling, storage and transport

##### Isolation

Bulk acrylonitrile is imported 4-5 times a year. The chemical is unloaded directly from the shipping tanker at the bulk terminal into a dedicated storage tank which is isolated from other tanks containing chemicals reactive with acrylonitrile. The ship to shore transfer is an entirely enclosed process employing sealed vessels and lines and is operated from a remote control point.

Transport of acrylonitrile from the bulk terminal to the users takes place in a dedicated 30 t capacity bulk road tanker. Bulk acrylonitrile is transferred from the storage tank to the tanker through a sealed loading line. The chemical is used at a total of 6 sites, where it is unloaded from the tanker into sealed storage facilities via enclosed lines.

##### Engineering controls

To minimise cleaning and the need for disposal of hazardous wastes, only dedicated hoses, pipes, pumps, vessels and tanks are used for road transport and for transfer and storage of bulk acrylonitrile at the bulk terminal and users' sites.

During unloading of acrylonitrile from the shipping tanker, non-return valves are used on the lines. Double block valves are used for sampling points on the lines. Piping is made from stainless steel with butt-welded fittings and drain valves at low points with the facility to contain and remove any acrylonitrile that is drained. To allow for liquid expansion, pressure relief valves are necessary with excess acrylonitrile returned to the system. A pigging breach at the bulk tank and the wharf eliminates the need to open the line and remove the pigs and allows for pigging in both directions.

To prevent leakage to the atmosphere, all pumps used in the ship to shore transfer are magnetic drive, hermetically sealed or equivalent.

The bulk terminal storage tank for acrylonitrile is bunded<sup>4</sup> and constructed from carbon steel, with a smooth interior surface, minimal interior framework where acrylonitrile vapours can accumulate, and sloping floors to aid drainage and prevent pooling inside the tank.

Fail-safe switches for emergency pump shutdown operate from the shipping tanker and at the wharf. Level indicators with automatic feed cut-off controls are installed in the tank to prevent overfilling and alarms operate away from the tank at the bulk terminal office and at the wharf. Displaced air is vented to the atmosphere through a carbon-bed fume extraction system.

The tank is equipped with a recirculation pump to ensure uniformity of the concentration of inhibitor, thus preventing polymerisation and the occurrence of potentially explosive conditions. Inhibitor levels are checked monthly and inhibitor is added as required. A thermometer gauge is present at the tank and also on a panel at the bulk site office. White paint is used for the exterior surface of the tank to minimise interior temperature increases. The tank is equipped with an external water deluge system to prevent overheating of the contents in case of a nearby fire. As a fire precaution, the tank is blanketed with nitrogen and any equipment likely to discharge are grounded to earth to prevent the accumulation of static electricity.

Road tanker filling at the bulk storage facility is fully enclosed in pipes using self-scaling couplings. The road tank vehicle is constructed in accordance with the standards published by Standards Australia (1985, 1986, 1990). A capacitance probe in the tanker prevents overfilling and the loading is metered by computer. The tanker is equipped with a vapour return system and discharge points on top of the tank including dry break fittings, preventing any release to the atmosphere. The tanker has specially designed and marked earthing points and is grounded to earth before any transfer of acrylonitrile takes place.

At the users' sites, bulk acrylonitrile is stored in smaller, sealed vessels or tanks constructed from stainless steel or concrete and fitted with high level and high temperature alarms. One larger tank is kept blanketed with nitrogen. To remove acrylonitrile vapours during tank breathing, the vessels and tanks are vented to a caustic scrubber or incinerator or fitted with a vacuum/pressure conservation vent

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<sup>4</sup> Placed in an area surrounded by a low wall to prevent spread of the chemical should any leakage occur.

with an activated carbon absorber tank. Upon arrival, the road tanker is directed to a bunded unloading bay, earthed and hooked up to a dedicated unloading pump. Bulk acrylonitrile is then pumped from the road tanker via top unloading to the storage vessel or tank and displaced acrylonitrile vapour is returned to the tanker in a closed system. To prevent leakage, magnetic drive pumps and dry break tanker-pump connections are used. There is a remote button for emergency pump shutdown.

### **Safe work practices**

Safe work practices adopted at all sites where bulk acrylonitrile is handled and stored include the following:

- All entry points to areas where acrylonitrile is handled or stored are placarded with notices with “Entrance to carcinogenic area” or similar signal words.
- Access to such areas is limited to employees required to work there, with visitors and contractors required to sign in and out.
- Eating, drinking and smoking are prohibited in all areas where acrylonitrile is handled or stored.
- Records are kept of all procedures associated with the handling, storage and transport of bulk acrylonitrile.
- There are regular safety checks of tanks, drains, pumps and piping, hoses, housekeeping, level gauges, signs, lights and firefighting and first-aid equipment.
- The integrity of storage tanks is checked at regular intervals.

In addition, the following work practices apply at the bulk terminal and to the transport of acrylonitrile by road:

- There is a written procedure for ship to shore transfer of bulk acrylonitrile.
- At the wharf, lines are pigged or blown with nitrogen after use to clean out residual liquid and vapour.
- Written procedures exist for the loading and unloading of the road tanker.
- The road tank vehicle is inspected and hydraulically tested at intervals of not more than 5 years.

### **Personal protective equipment**

During unloading of acrylonitrile from the shipping tanker and when loading the road tanker at the bulk terminal, workers wear helmets, safety glasses, nitrile rubber gloves, overalls, and covered shoes. In addition, self-contained breathing apparatus respirators are used while hoses are connected and disconnected.

During unloading of the road tanker, workers generally wear helmets, chemical goggles or safety glasses, rubber gloves, overalls or protective suits, covered shoes or safety boots, and face shields. The degree of respiratory protection used during unloading of the tanker depends on the requirements of the site receiving the chemical and varies from none in normal situations, to full-face organic vapour filter respirators used while the tanker-pump line is connected and disconnected, to

the deployment of positive pressure air-supplied respirators throughout the entire unloading process.

### **10.1.2 Production of SAN polymer beads**

SAN polymer beads are manufactured at a single site, using batch polymerisation of acrylonitrile and styrene monomers within a dedicated, closed system, as described in section 6.2.1. The SAN plant is operated from a remote control room, with occasional excursions outside for manual operations and instrument checks.

Engineering controls include the following:

- The bulk monomers are transferred from their storage tanks to the SAN reactor in dedicated pipes.
- During loading of the reactor, displaced vapours are vented through a dual carbon bed air emission system.
- All but the most dilute ( $\leq 50$  ppm) acrylonitrile waste streams are recycled into the polymerisation process.
- Other liquid waste streams are piped to a covered pit and on to a biological effluent treatment plant.
- The reactor pressure relief vent is connected to a bunded emergency dump tank capable of holding the entire contents of the reactor. Any vapours vented from the dump tank would be entrained by water sprays and collected in the bund for transfer to the biological effluent treatment plant.
- SAN polymer beads are stored in closed hoppers in the SAN/ABS resin pellet plant (see below) or in bags in covered outdoor areas or well-ventilated warehouses.

Safe work practices at the SAN plant include placarding; limitation of access to employees required to work there, with visitors and contractors required to sign in and out; prohibition of eating, drinking and smoking; and written process operating procedures.

In normal situations, no special PPE is employed over and above the minimum required in all operating areas on the site, that is, helmet, safety glasses with side shields, disposable PVC or nitrile rubber gloves, long-sleeved shirts, long trousers and safety boots.

### **10.1.3 SAN, ABS and ABS/PC alloy resin pellets and plastic articles**

Several companies produce SAN, ABS and ABS/PC alloy resin pellets in Australia by compounding and extrusion of polymer beads as described in section 6.2.2.

The blending step is carried out in an enclosed tank and the overall operation of the process is usually from a remote control room. During extrusion a vacuum system removes acrylonitrile and other volatile vapours from the die heads and extruder barrels. The vapour streams are combined and discharged to the atmosphere through a vent. Dust is removed from the bead storage, weighing/blending and pack-out areas by extraction and collected on a filter.

The safe work practices and PPE described above for the manufacture of SAN polymer beads also apply to the manufacture of SAN, ABS and ABS/PC alloy resin pellets.

Numerous companies manufacture plastic articles from SAN, ABS or ABS/PC alloy resin pellets by thermoforming or injection moulding as described in section 6.3.1. Apart from exhaust ventilation, no workplace control measures were identified that specifically aim to reduce exposure to vapours emitted from residual acrylonitrile in resin pellets during processing to plastic articles.

The concentration of residual acrylonitrile monomer in plastic articles made from SAN, ABS or ABS/PC alloy resin pellets is low (<50 ppm and usually <10 ppm, according to industry sources). Hence specific control measures for acrylonitrile exposure during workplace end use of these products are not considered necessary.

#### 10.1.4 Polymer emulsions

Five companies manufacture polymer emulsions in Australia. The transfer of acrylonitrile from the on-site isolated storage vessel or tank to the reactor and the subsequent formation of polymer emulsions take place in closed systems and are usually operated from remote control rooms. The process is described in section 6.2.3.

Engineering controls commonly employed during the manufacture of polymer emulsions include the following:

- Reactor vessels have temperature monitoring devices, high temperature alarms, high level alarms with overfill cut-off controls and external water deluge systems to keep contents cool in the event of a building fire.
- Tanks and vessels are vented to an external caustic scrubber or an incinerator.
- Vapours generated during polymerisation are condensed and returned to the reactor.
- Exhaust ventilation systems remove any vapours that may escape from the reactors and prevent vapour pockets from forming.

Safe work practices observed during the manufacture of polymer suspensions include placarding of areas where acrylonitrile is stored or used; limitation of access to employees required to work there, with visitors and contractors required to sign in and out; prohibition of eating, drinking and smoking; and written process operating procedures.

In normal situations, no special PPE is employed over and above the minimum required in chemical plants in general, that is, helmet, chemical goggles or safety glasses, gloves, overalls or long-sleeved shirts and long trousers, as well as covered shoes or safety boots.

The concentration of residual acrylonitrile monomer in polymer emulsion end products is low (<10 ppm). Hence specific control measures for acrylonitrile exposure during workplace end use of these products are not considered necessary.

#### 10.1.5 Cleaning and maintenance of closed systems

Dedicated acrylonitrile storage tanks and reactors are generally cleaned manually at intervals of one to several years. Non-dedicated reactors used for the production of polymer emulsions are boiled out between batches, in some cases with caustic soda. Tanks and reactors are permit-requiring confined spaces as defined in the Standards Australia/NOHSC *Joint National Standard for Safe Working in a Confined Space* (AS/NOHSC, 1995). Among others, this standard obligates the

employer to ensure that no person enters a confined space until it has been demonstrated that atmospheric contaminants are reduced to below the relevant exposure standards or, where this is not practicable, unless he or she is equipped with suitable PPE.

Maintenance work on tanks, vessels, pipework and pumps necessitates temporary access to enclosed spaces and as such isolation is not an applicable control measure. Although engineering controls can minimise the need for maintenance through plant and process design and the deployment of suitable hoses, pipes, pumps and other equipment, protection of maintenance workers against exposure to acrylonitrile mainly relies on safe work practices and PPE.

Safe work practices commonly observed in connection with cleaning and other maintenance of acrylonitrile systems include:

- Access to a system is limited to personnel or contractors in possession of work permits and, where appropriate, confined space entry permits.
- Lines, vessels and tanks are cleaned with nitrogen, steam, hot water or caustic soda before any maintenance work.
- Movable equipment is high-pressure cleaned at a decontamination pad.
- Waste streams from cleaning are contained and recycled or disposed of in accordance with written operating procedures.

At one site, a standard procedure exists for breaking open and decontaminating lines, vessels or equipment known to or suspected of containing acrylonitrile. The procedure prescribes that after a line is opened, work cannot proceed until breathing zone air samples have been taken and analysed, and requires that air levels at the work site boundary and downwind are checked at regular intervals while the work is in progress. When a line is broken open, a full-face self-contained breathing apparatus, a protective suit, rubber gloves and rubber boots must be worn. Subsequently, chemical goggles, a protective suit, rubber gloves and boots must be worn if eye and skin contact with liquid acrylonitrile could occur.

The use of respiratory protection during maintenance work depends on the situation and the measured air level of acrylonitrile.

At all sites, respiratory protection is mandatory whenever the measured breathing zone air level is >1 ppm. Where the air level is between 1 ppm and 30-80 ppm, full-face organic vapour filter respirators must be used, whereas positive pressure air-supplied respirators are required if air levels exceed 30-80 ppm or are unknown.

#### **10.1.6 Laboratory handling of acrylonitrile**

Laboratory handling of acrylonitrile occurs during quality control of the bulk chemical and in a limited number of laboratories that use reagent grade acrylonitrile for analytical or research purposes.

Samples of bulk acrylonitrile are taken from shipping tankers during unloading and monthly from the shore storage tank for routine testing for appearance, refractive index and inhibitor level. Some users also test a sample from the road tanker before unloading begins and conduct regular quality tests on samples drawn from their own storage vessels or tanks. Samples are collected in accordance with written procedures that generally require the use of full-face organic vapour filter respirators, neoprene or butyl rubber gloves, protective suits and rubber or PVC



boots during sampling. Contaminated protective clothing is flushed copiously with water before laundering. Contaminated gloves are disposed of by incineration.

Common safe work practices aimed at minimising laboratory exposure to acrylonitrile include the following:

- Written operating procedures for the purchasing, handling and storage of the chemical.
- During handling of acrylonitrile, all entry points to the laboratory and the fume cupboard where the chemical is manipulated are placarded with notices with “Caution: cancer causing agent in use” or similar signal words.
- Bottles containing acrylonitrile are stored in a locked flammable liquids/poisons cupboard in a ventilated storage room and transported in a covered container with a layer of absorbent vermiculite inside to contain any spills.
- All open containers with acrylonitrile are handled in flameproof fume cupboards that are checked for performance on a regular basis.
- Spill trays lined with absorbent paper are used to contain bottles/flasks in the fume cupboard.
- Acrylonitrile-containing waste is disposed of by incineration.
- Laboratory receipt, usage and disposal of acrylonitrile are recorded.

PPE used by laboratory personnel during handling of acrylonitrile includes facemasks, safety glasses, impervious gloves and fully buttoned laboratory coats.

## 10.2 Emergency procedures

All companies that handle or use bulk acrylonitrile have comprehensive written emergency response plans setting out how workers and emergency services should deal with on-site leaks, spills, releases, fires and explosions. These sites routinely handle or use a number of hazardous chemicals in large quantities and have on-site emergency squads that can be activated via alarm buttons posted throughout the site. Workers are trained to respond to emergencies by sounding the alarm, informing a manager and then promptly evacuating the incident area.

Three companies supplied separate procedures for certain emergencies involving acrylonitrile, including exposure procedures, first-aid procedures, spill/leak procedures and procedures for incident reporting to site managers and relevant authorities.

The exposure procedures include the following instructions:

- remove the exposed person from the area;
- in case of eye or skin contact, wash with plenty of water;
- report to company health centre for assessment of symptoms; and
- if necessary, transport exposed person to nearest hospital for medical attention.

The first-aid instructions comprise the following:

- protect yourself with gloves and respiratory equipment;

- remove patient from contaminated area;
- quickly take off contaminated clothing and wash contaminated body parts with plenty of water;
- if patient is not breathing, give expired air artificial respiration;
- if patient has no pulse, begin cardiac compressions;
- if patient has swallowed acrylonitrile and is conscious, do NOT induce vomiting but give a glass of water;
- if patient is conscious, administer oxygen while arranging for emergency transfer to nearest hospital or medical centre; and
- ensure emergency acrylonitrile hospital bag<sup>5</sup> is taken along.

The spill/leak procedures include instructions about PPE, evacuation, containment of spill, clean up, and disposal of waste streams.

The reporting procedures detail how, when and to whom incidents must be reported, including circumstances in which State occupational health and safety and environmental authorities must be notified.

### 10.3 Hazard communication

#### 10.3.1 Labels

Under the NOHSC *National Model Regulations for the Control of Workplace Hazardous Substances* (NOHSC, 1994c) and the corresponding State and Territory legislation, suppliers or employers shall ensure that all containers of hazardous substances used at work are appropriately labelled in accordance with the NOHSC *Code of Practice for the Labelling of Workplace Substances* (NOHSC, 1994a).

In accordance with the NOHSC *List of Designated Hazardous Substances* (NOHSC, 1999b), labels for containers of acrylonitrile should contain the following risk and safety phrases:

|                  |                                                                        |            |                                                                                                                      |
|------------------|------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------|
| <b>R11</b>       | <b>Highly flammable</b>                                                | <b>S45</b> | <b>In case of accident or if you feel unwell, seek medical advice immediately (show the label whenever possible)</b> |
| <b>R23/24/25</b> | <b>Also toxic by inhalation, in contact with skin and if swallowed</b> |            |                                                                                                                      |
| <b>R38</b>       | <b>Irritating to skin</b>                                              | <b>S53</b> | <b>Avoid exposure – obtain special instructions before use</b>                                                       |
| <b>R45(2)</b>    | <b>May cause cancer (Category 2)</b>                                   |            |                                                                                                                      |

The EC have agreed to the following additional risk and safety phrases which will be adopted by Australia according to the usual process:

|            |                                         |           |                                                  |
|------------|-----------------------------------------|-----------|--------------------------------------------------|
| <b>R37</b> | <b>Irritating to respiratory system</b> | <b>S9</b> | <b>Keep container in a well ventilated place</b> |
|------------|-----------------------------------------|-----------|--------------------------------------------------|

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<sup>5</sup> A kit containing a cyanide antidote, syringes, needles etc. and prescribing information for the attending doctor.

|            |                                                |            |                                                        |
|------------|------------------------------------------------|------------|--------------------------------------------------------|
| <b>R41</b> | <b>Risk of serious damage to eyes</b>          | <b>S16</b> | <b>Keep away from sources of ignition - no smoking</b> |
| <b>R43</b> | <b>May cause sensitisation by skin contact</b> |            |                                                        |

Bulk storage vessels and tanks must be labelled according to the appropriate State or Territory dangerous goods regulation, generally with an affixed hazard sign or placard similar to the one required for road tankers under the Australian Dangerous Goods (ADG) Code (see section 10.6). As a minimum, dedicated acrylonitrile lines and pipes must be labelled with the name of the chemical. Labels for reagent grade acrylonitrile for laboratory use were not available for assessment.

The above labelling requirements do not apply to mixtures or preparations containing <0.1% (<1000 ppm) free acrylonitrile, such as polymer beads, resin pellets or powder, moulded plastics or polymer emulsions.

### 10.3.2 Material Safety Data Sheets

Material Safety Data Sheets (MSDS) are the primary source of information for workers involved in the handling of chemicals. Under the NOHSC *National Model Regulations for the Control of Workplace Hazardous Substances* (NOHSC, 1994c) and the corresponding State and Territory legislation, suppliers of a hazardous chemical for use at work are obliged to provide a current MSDS to their customers. Employers must ensure that the MSDS provided by the supplier is readily accessible to employees with potential for exposure to the chemical.

Seven MSDS were available for assessment against the NOHSC *National Code of Practice for the Preparation of Material Safety Data Sheets* (NOHSC, 1994b). The following deficiencies were identified in several MSDS:

- no 'statement of hazardous nature';
- no Australian emergency telephone number; and
- no information on Australian regulations such as the national exposure standard, classification according to the ADG Code or the Standard for the Uniform Scheduling of Drugs and Poisons (SUSDP).

One MSDS erroneously gave the national exposure standard as 0.3 mg/m<sup>3</sup>, whereas the actual value is 2 ppm or 4.3 mg/m<sup>3</sup>.

### 10.3.3 Education and training

All companies that handle or use the bulk chemical provide education and training in acrylonitrile hazards and safety procedures. In general, induction sessions lasting from 5 min to 1 h are held with all new staff, contractors and casual visitors, with annual or biannual refresher sessions for workers and contractors. Workers potentially exposed to acrylonitrile are trained in the proper use of respiratory protective equipment and first-aid officers are drilled in specific acrylonitrile procedures.

Some of the larger chemical companies that use bulk acrylonitrile have a community relations program that includes the distribution of newsletters to, and regular meetings with, the local residents about health, safety and environmental issues relating to the site and its neighbourhood.

## 10.4 Other workplace regulatory controls

### 10.4.1 Atmospheric monitoring

According to the NOHSC *Exposure Standards for Atmospheric Contaminants in the Occupational Environment* (NOHSC, 1995a), exposure to Category 2 carcinogens such as acrylonitrile should be minimised to the lowest practicable levels and a program of routine air monitoring should be implemented to ensure the effectiveness of relevant control measures. In order to correctly assess the risks posed to workers from particular contaminants, airborne concentrations of such substances should be determined by personal monitoring, that is, by measuring the concentration in the breathing zone of the worker.

Current personal air monitoring programs reported by facilities handling or using bulk acrylonitrile are summarised in Table 13.

**Table 13: Personal air monitoring and health surveillance programs reported by facilities handling or using bulk acrylonitrile (ACN)**

| Personal air monitoring for ACN                                                                                                                                                                                                                                                           | Health surveillance                                                                            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| Full-shift and task monitoring is conducted every 3-5 years.                                                                                                                                                                                                                              | Workers undergo regular medical examinations.                                                  |
| Monitoring is conducted on an annual basis.                                                                                                                                                                                                                                               | Potentially exposed workers undergo annual medical examinations.                               |
| Monitoring is conducted 'on a routine basis' (not further specified).                                                                                                                                                                                                                     | Potentially exposed workers undergo medical examination pre-placement.                         |
| Monitoring is conducted annually for each task.                                                                                                                                                                                                                                           | Potentially exposed plant and laboratory personnel undergo annual medical examinations.        |
| Monitoring is conducted where potential for exposure is high. If a result is >50% of standard, formal investigation is launched. If any result is >10% of exposure standard, monitoring is repeated within 12 months, otherwise after 3 years, or earlier if process or equipment change. | Potentially exposed workers undergo medical examination pre-placement and at 3-year intervals. |
| Monitoring of plant and laboratory personnel is conducted twice yearly.                                                                                                                                                                                                                   | To be established.                                                                             |
| Monitoring of plant workers is carried out on a monthly basis.                                                                                                                                                                                                                            | Exposed workers undergo pre-placement and annual medical examinations.                         |

### 10.4.2 Occupational exposure standards

The current national occupational exposure standard for acrylonitrile in Australia is 2 ppm (4.3 mg/m<sup>3</sup>) expressed as an 8 h TWA airborne concentration, Carcinogen Category 2, with a 'skin' notation (NOHSC, 1995a). The standard was adopted from documentation developed by the American Conference of Governmental Industrial Hygienists (ACGIH, 1986, 1991). The ACGIH recommended an 8 h TWA exposure limit of 2 ppm because of the consistent production of tumours in rats and the suspicion of cancer in humans raised by early epidemiological studies. The 'skin' notation implies that special measures are required to prevent absorption through the skin as the national standard only considers absorption via inhalation.

In Australia, there is no short-term exposure limit (STEL) for acrylonitrile. However, according to the NOHSC Exposure Standards (NOHSC, 1995a) a process is not considered to be under reasonable control if short-term exposures exceed three times the TWA exposure standard for more than 30 minutes per 8 h working day, or if a single short-term value exceeds five times the TWA exposure standard.

The NOHSC Exposure Standards also address the potential emission and/or production of toxic vapours and fumes from plastic resins such as ABS at or around normal melt processing temperatures. No exposure standard has been established, but it is recommended that exposure be kept as low as practicable.

Current occupational exposure standards for acrylonitrile in Australia and other countries are summarised in Table 14.

**Table 14: National occupational exposure standards for acrylonitrile (from IARC (1999), HSA (1998) and RTECS (1998))**

| Country        | Exposure limit                    |                                  |
|----------------|-----------------------------------|----------------------------------|
|                | 8 h TWA                           | STEL                             |
| Australia      | 2 ppm (4.3 mg/m <sup>3</sup> )    | -                                |
| Austria        | 2 ppm (4.5 mg/m <sup>3</sup> )    | -                                |
| Belgium        | 2 ppm (4.3 mg/m <sup>3</sup> )    | -                                |
| Denmark        | 2 ppm (4.0 mg/m <sup>3</sup> )    | -                                |
| Finland        | 2 ppm (4.3 mg/m <sup>3</sup> )    | 4 ppm (9 mg/m <sup>3</sup> )     |
| France         | 2 ppm (4.0 mg/m <sup>3</sup> )    | 15 ppm (32.5 mg/m <sup>3</sup> ) |
| Germany        | 3 ppm (7.0 mg/m <sup>3</sup> )    | -                                |
| Hungary        | 0.23 ppm (0.5 mg/m <sup>3</sup> ) | -                                |
| India          | 2 ppm (4.3 mg/m <sup>3</sup> )    | -                                |
| Ireland        | 2 ppm (4.5 mg/m <sup>3</sup> )    | -                                |
| Japan          | 2 ppm (4.3 mg/m <sup>3</sup> )    | -                                |
| Netherlands    | 4 ppm (9 mg/m <sup>3</sup> )      | 10 ppm (22 mg/m <sup>3</sup> )   |
| Philippines    | 20 ppm (43 mg/m <sup>3</sup> )    | -                                |
| Poland         | 5 ppm (10 mg/m <sup>3</sup> )     | -                                |
| Russia         | 0.23 ppm (0.5 mg/m <sup>3</sup> ) | -                                |
| Spain          | 2 ppm (4.5 mg/m <sup>3</sup> )    | -                                |
| Sweden         | 2 ppm (4.5 mg/m <sup>3</sup> )    | 6 ppm (14 mg/m <sup>3</sup> )    |
| Turkey         | 20 ppm (43 mg/m <sup>3</sup> )    | -                                |
| United Kingdom | 2 ppm (4 mg/m <sup>3</sup> )      | -                                |
| USA (NIOSH)    | 1 ppm (2.2 mg/m <sup>3</sup> )    | 10 ppm (22 mg/m <sup>3</sup> )   |
| USA (OSHA)     | 2 ppm (4.3 mg/m <sup>3</sup> )    | 10 ppm (22 mg/m <sup>3</sup> )   |

NIOSH = National Institute of Occupational Safety and Health (recommended limits)

OSHA = Occupational Safety and Health Administration (statutory limits)

STEL = short-term (15-min) exposure limit

TWA = time-weighted average

### 10.4.3 Health surveillance

Acrylonitrile is listed at Schedule 3 in the NOHSC *National Model Regulations for the Control of Workplace Hazardous Substances* (NOHSC, 1994c) as a hazardous substance for which health surveillance is required. Under the model regulation,

employers must provide health surveillance for all employees whose health is at significant risk from exposure to the chemical. The surveillance of personnel exposed to acrylonitrile must include occupational and medical history, demographic data and records of personal exposure. Specific tests or examinations or other particulars of the surveillance are not prescribed.

As shown in Table 13, most facilities handling bulk acrylonitrile provide pre-placement and/or regular medical examinations for potentially exposed workers.

#### **10.4.4 Scheduled carcinogenic substances**

For certain carcinogenic substances the NOHSC *Model Regulations for the Control of Scheduled Carcinogenic Substances* (NOHSC, 1995b) impose requirements over and above the provisions of the NOHSC *National Model Regulations for the Control of Workplace Hazardous Substances* (NOHSC, 1994c).

Acrylonitrile is a scheduled carcinogenic substance under these regulations, listed in Schedule 2 as a notifiable carcinogenic substance. Requirements of the regulations include:

- notification to the relevant public authority of any proposed use of acrylonitrile and the quantity to be used per annum;
- a work assessment, including an assessment of potential exposure, to be carried out prior to its use;
- the keeping of records of employees likely to be exposed;
- the reporting of exposure incidents to the relevant public authority; and
- advising employees of any accidental exposure.

#### **10.4.5 Control of major hazard facilities**

Under the NOHSC *National Standard for the Control of Major Hazard Facilities* (NOHSC, 1996), acrylonitrile is one of 43 specifically identified chemicals that must be taken into account when determining whether a site is a major hazard facility. For acrylonitrile, the threshold quantity is 200 t. The purpose of this standard is to prevent, and minimise the effects of, major accidents and near misses by requiring the person in control of the facility to:

- identify and assess all hazards and implement control measures to reduce the likelihood and effects of a major accident;
- provide information to the relevant public authority and the community, including other closely located facilities, regarding the nature of hazards of a major hazard facility and emergency procedures in the event of a major accident;
- report and investigate major accidents and near misses, and take appropriate corrective action; and
- record and discuss the lessons learnt and the analysis of major accidents and near misses with employees and employee representatives.

## 10.5 Industry code of practice

A Code of Practice for the Safe Use of Acrylonitrile has been developed by the Acrylonitrile Users Group of the Australian Chemical Industry Council<sup>6</sup> (ACIC, 1992). The members of the group (BASF, Chemplex (now Huntsman), Dow, ICI-Valchem (now APS-Valchem), Rohm & Haas and Terminals Pty Ltd) represent all but one of the companies that currently handle, store or use bulk acrylonitrile in Australia.

The stated purpose of the code is to provide a uniform approach to the storage, handling, distribution, processing and disposal of acrylonitrile. Products manufactured from acrylonitrile such as SAN polymer beads, or SAN, ABS or ABS/PC alloy resin pellets, and acrylonitrile polymer emulsions are not covered by the code. The code contains a brief overview of the hazardous properties of the chemical and sets out guidance relating to training, assessment of health and safety risks, control measures, PPE, emergency procedures, atmospheric monitoring, health surveillance, labelling, storage and transport, waste management, security, laboratory handling and record-keeping. The code also contains a sample MSDS, a list of methods and equipment used for atmospheric monitoring, a guidance for medical practitioners involved in health surveillance examinations, a summary of the information which companies are required or advised to record and keep on file, and a directory of interested Victorian and New South Wales State government authorities.

Concerning training, control measures, health surveillance, labelling, storage and transport, waste management and laboratory handling the approaches laid down in the code do not deviate significantly from the current practices and procedures described above. The code recommends that the health and safety risks of any work involving acrylonitrile be assessed at intervals not exceeding 3 years. The assessment must include a determination of the degree of potential exposure established through air monitoring.

## 10.6 National transportation regulation

Under the ADG Code, inhibited acrylonitrile (UN Number 1093) is classified in Class 3, Subsidiary Risk Class 6.1, Packing Group I (FORS, 1998). Class 3 comprises flammable liquids. Acrylonitrile is assigned Subsidiary Risk Class 6.1 and Packing Group I because of its acute toxicity and high vapour pressure.

The ADG Code sets out various requirements relating to the transport of inhibited acrylonitrile by road or rail.

Road tankers must be placarded with class label 3 ('flammable liquid'), the appropriate subsidiary risk label ('toxic') and an emergency information panel containing additional information such as the proper shipping name of the chemical ('acrylonitrile, inhibited'), its UN Number, Hazchem Code and the name and telephone number of the consignor of the goods. The Hazchem Code for acrylonitrile is 3WE. The code reflects the initial emergency response recommended in case of fire, leakage or spillage. The number '3' indicates that foam should be used for firefighting. The letter 'W' means that there is a risk of violent reaction or explosion; that emergency personnel should wear full protective clothing (breathing apparatus, protective gloves, appropriate boots and a chemical

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<sup>6</sup>Now the Plastics and Chemicals Industry Association (PACIA).

splash suit); and that any spillage should be contained so as to prevent the chemical from entering drains or water courses. The letter 'E' denotes that evacuation of people from the neighbourhood of an incident should be considered.

Under the ADG Code, road tankers used to transport inhibited acrylonitrile must be constructed in compliance with Australian Standards AS 2809.1, AS 2809.2 and AS 2809.4 (Standards Australia, 1985, 1986, 1990). Furthermore, they must be inspected externally and internally and hydraulically tested at intervals of not more than 5 years and carry a plate that attests to their compliance with the construction and testing requirements.

The dedicated road tanker used to transport bulk acrylonitrile from the storage tank at the terminal to the users of the chemical meets all of the above requirements.

The ADG Code also contains detailed provisions for the inner packaging and marking of packages containing small quantities of the chemical, such as bottles of reagent grade acrylonitrile distributed by road or rail.

### **10.7 Public health regulatory controls**

Acrylonitrile is listed in Schedule 7 of SUSDP (Australian Health Ministers' Advisory Council, 1998). Schedule 7 comprises poisons that require special precautions in manufacturing, handling, storage or use and which must not be possessed, sold, or supplied for domestic purposes. Furthermore, acrylonitrile is listed with a recommended condition in Part 2 of Appendix J that it is "not to be available except to authorised or licensed persons". The labelling requirements for Schedule 7 substances include the statements 'DANGEROUS POISON S7', 'NOT TO BE TAKEN' and 'KEEP OUT OF REACH OF CHILDREN'.

Controls are in place to limit public exposure to acrylonitrile released into food from packaging materials made from acrylonitrile polymers. According to the *Food Standards Code*, the proportion of acrylonitrile in any food shall not be greater than 0.02 mg/kg (that is, the limit of detection). This level was set by the National Health and Medical Research Council in 1980. A recent ANZFA review (ANZFA, 1999) proposes that the level of 0.02 mg/kg for acrylonitrile monomer migration in food be retained in the new Australia New Zealand Food Standards Code that is currently in preparation. This level is consistent with the EC limit of 0.02 mg/kg and with the recommendations by the Joint Food and Agriculture Organization of the United Nations/World Health Organization Expert Committee on Food Additives that human exposure to acrylonitrile in food as a result of its migration from food-contact materials should be reduced to the lowest levels technologically attainable (ANZFA, 1999). In addition, some States (New South Wales, Western Australia) have regulations that limit the quantity of acrylonitrile monomer in food packaging materials to 10 ppm.

### **10.8 Environmental regulatory controls**

Most States and Territories have regulations which require any site using, storing or manufacturing acrylonitrile to possess a discharge licence issued by their State or Territory EPA. Each licence would be site-specific and include items such as operational restraints, monitoring requirements, measures to ensure maximum reductions of discharges to the environment and concentration limits on such discharges.



## 10.9 Applicable Victorian and New South Wales regulations

The NOHSC National Model Regulations, Codes of Practice and Exposure Standards, the ADG Code and the SUSDP are documents that aim to promote uniform regulation throughout Australia by inclusion in the relevant State and Territory legislation. As such, they have no legal standing other than that given to them by relevant legislation.

In general, the handling, storage and use of acrylonitrile are tightly controlled through State and Territory regulations pertaining to workplace health and safety, poisons, dangerous goods and protection of the environment.

In Victoria, where the bulk terminal and five of the sites using bulk acrylonitrile are located, the following regulations apply:

- Use of acrylonitrile is prohibited by a person unless they hold a warrant, granted under a Governor in Council Order of 27 April 1995 issued under *the Dangerous Goods Act 1985*. Application for a warrant must be made to the Victorian WorkCover Authority to use acrylonitrile in manufacturing or for laboratory purposes. Warrants are valid until cancelled or suspended. Under the warrant, access to areas where the chemical is present must be limited to the smallest possible number of persons, whose names must be recorded and who must be properly trained and kept informed of any exposure. Any incidents, accidents or other events that could increase exposure must be reported to the Authority and holders of warrants are inspected on an annual basis.
- Under the *Occupational Health and Safety (Hazardous Substances) Regulations 1999* (effective from 1 June 2000), acrylonitrile is regarded as a scheduled carcinogen. Under these regulations a person wishing to use acrylonitrile in a workplace other than a laboratory must obtain a licence prior to use while the use of the substance in a laboratory requires notification to the Victorian Workcover Authority.
- Under the *Drugs, Poisons and Controlled Substances Act 1981*, a person must apply in writing to the Secretary to the Department of Human Services for the issue of a permit to purchase acrylonitrile for industrial or research purposes and for a licence to manufacture and sell or supply the chemical by wholesale. A permit or a licence is valid for 12 months and the Secretary may require the premises of the applicant to be inspected. Permits and licences are issued on the condition that their holders maintain a poisons control plan which has been approved by the Department.
- Under the *Dangerous Goods (Storage and Handling) Regulations 1989*, the owner of a premise where more than a certain quantity of dangerous goods is stored must be licensed by the Victorian WorkCover Authority. Licences to store dangerous goods are usually valid for a maximum of 5 years.
- Under the *Environment Protection Act 1970*, the owner of a premise discharging acrylonitrile to the atmosphere must be licensed by the Victorian EPA. The licence stipulates discharge requirements (stack height), emission limits and monitoring frequency for each discharge point. It remains in force until revoked, suspended or surrendered.

One site using bulk acrylonitrile is based in New South Wales, where the pertinent regulations are as follows:

- Under the *Occupational Health and Safety (Hazardous Substances) Regulation 1996*, acrylonitrile is a hazardous substance for which health surveillance of exposed employees is required.
- Under the *Occupational Health and Safety (Hazardous Substances) Regulation 1996*, an employer must ensure that workers are not exposed to acrylonitrile in their breathing zone at a level greater than the national exposure standard.
- Under the *Occupational Health and Safety (Hazardous Substances) Regulation 1996*, acrylonitrile is a scheduled carcinogenic substance. As such, it must only be kept, stored or used by persons who hold a purchaser's permit. Among others, the permit holder must notify the WorkCover Authority of New South Wales of the stored quantity and intended use and disposal of the chemical. The permit is subject to conditions such as an obligation to notify the Authority of major incidents.
- Under the *Poisons and Therapeutic Goods Regulation 1994*, a person must not use acrylonitrile without holding an authority issued by New South Wales Health. The authority is issued on the condition that measures are taken to prevent public access to the chemical.
- Under the *Dangerous Goods Act 1975*, premises keeping dangerous goods must be licensed by the WorkCover Authority of New South Wales. Licences are issued annually and may be renewed for a maximum of 3 years.
- Under the *Protection of the Environment Operations Act 1997*, premises that are required to be licensed under the *Dangerous Goods Act 1975* or discharge hazardous waste including acrylonitrile must be licensed by the New South Wales EPA.

# 11. Discussion and Conclusions

## 11.1 Importation and use

Acrylonitrile is not manufactured in Australia but imported in bulk at about 2000 t per annum and as an impurity (residual monomer) in polymer-based intermediates and articles. Of the bulk, 70% is used at one site for the manufacture of a polymer, which is further compounded to plastic resins. Five companies process the remaining 30% to polymer emulsions. About 13,000 tonnes of acrylonitrile-based plastic resins containing <0.005% residual acrylonitrile are imported per annum. Import figures were not available for acrylonitrile-based articles or fibres and fabrics, which contain <0.005% and usually <0.001-0.0001% residual acrylonitrile.

## 11.2 Hazards

For the purposes of this assessment, the physico-chemical, toxicological and environmental properties of acrylonitrile were summarised from peer-reviewed hazard assessments by international organisations such as IARC, IPCS and OECD.

Acrylonitrile is a highly volatile, flammable and explosive liquid. It reacts violently with many other chemicals and may undergo spontaneous, explosive self-polymerisation unless stabilised by a chemical inhibitor and/or water.

In both laboratory animals and humans, acrylonitrile is readily absorbed by ingestion, by inhalation and through the skin. It is acutely toxic by all routes of exposure, causing convulsions followed by paralysis and respiratory failure. It is acutely irritating to the skin and eyes and may cause allergic contact dermatitis. Repeated exposure of workers to airborne acrylonitrile has been associated with respiratory tract irritation and functional disturbances of the CNS. Acrylonitrile is mutagenic *in vitro* and a potent multi-organ carcinogen in rats. Epidemiological studies involving large numbers of exposed workers and extensive follow-up periods have neither established nor ruled out a causal relationship between acrylonitrile exposure and cancer in humans.

Acrylonitrile is included in the NOHSC *List of Designated Hazardous Chemicals* based on the classification in EC Council Directive 96/54/EC. It is classified as highly flammable; toxic by inhalation, in contact with skin and if swallowed; a skin irritant; and a carcinogen in Group 2 (substances regarded as if they are carcinogenic to humans). In March 1999, the EC agreed to amend their original classification of acrylonitrile to include risk phrases for irritation of the respiratory tract, serious damage to the eyes, and skin sensitisation. Australia will adopt this amended classification via the NOHSC hazardous substances regulatory process.

The available environment data indicate that acrylonitrile can be characterised as exhibiting slight to moderate acute and slight chronic toxicity to aquatic vertebrates and aquatic invertebrates. Similarly, for algae and aquatic plants, results for effects on biomass suggest that acrylonitrile is moderately toxic, while results for effects on reproduction are indicative of slight toxicity.

## 11.3 Occupational controls

The storage, handling and use of acrylonitrile are tightly controlled by a number of national standards and codes. These include the ADG Code, the SUSDP and the NOHSC *List of Designated Hazardous Chemicals, Exposure Standards for Atmospheric Contaminants in the Occupational Environment, National Model Regulations for the Control of Workplace Hazardous Substances, National Model Regulations for the Control of Scheduled Carcinogenic Substances and National Standard for the Control of Major Hazard Facilities*.

In Victoria and New South Wales, almost all of the national provisions pertaining to acrylonitrile have been embodied in State legislation relating to occupational health and safety, drugs and poisons, or dangerous goods. The corresponding regulations are enforced through a system of permits, licences and warrants. These are issued on the condition that the holder supplies detailed information about health and safety control measures and can be withdrawn if it is found that the approved control plans are not adhered to in practice.

In the workplace, the principal method employed to control exposure to acrylonitrile is full enclosure and isolation together with engineering controls to minimise emissions, waste streams and leaks from the closed system. Access to areas where acrylonitrile is handled is limited to a minimum of people whose presence is absolutely necessary. In addition, all workers wear eye and skin protection. Respiratory protective equipment is used during the making and breaking of enclosed pipelines during ship to shore transfer and loading and unloading of the road tanker that transports acrylonitrile to the sites where it is processed.

In situations where isolation cannot be maintained because of emergencies or the need to enter the closed system to carry out sampling or maintenance, PPE deployed includes chemical goggles, a protective suit, rubber gloves and rubber boots. In addition, respiratory protection is mandatory in all situations where the breathing zone concentration (measured by grab sampling) is >1 ppm or unknown.

In laboratories handling neat acrylonitrile the main control measures are standard operating procedures and the confinement of all manipulations to a fume cupboard.

All sites storing bulk acrylonitrile have implemented control measures aimed at reducing the likelihood and impact of fires, explosions, spills and other accidents. These include isolation, chemical inhibition to prevent spontaneous polymerisation, nitrogen blanketing and bunding of storage tanks, the equipment of storage tanks and reactors with high temperature and high pressure alarms and deluge systems, and detailed on-site emergency plans.

Hazard communication includes MSDS, the labelling of containers of hazardous chemicals, and education and training of workers. Deficiencies were found in some of the MSDS provided for assessment. All companies handling the chemical in bulk provide training programs in acrylonitrile-related hazards and safety procedures.

Personal full-shift and/or task monitoring of potentially exposed workers is conducted by all companies that handle bulk acrylonitrile, although the exposure monitoring programs vary considerably from site to site. There was no indication of a consistent approach to strategic issues such as the selection of workers for personal monitoring, definition of sample sizes, data analysis or determination of the frequency of monitoring. The monitoring data provided for assessment indicate that the number of samples obtained for each similar exposure group has declined over the last decade. In recent years, several sites have relied on small samples, sometimes not exceeding 1-2 per assessment. This may not suffice to determine the level of exposure with a reasonable degree of confidence.

## **11.4 Occupational exposure**

Table 15 summarises the available information about the number of potentially exposed workers, the frequency of exposure, principal control measures, PPE and personal air monitoring results of relevance to current control measures under normal operating conditions.

### **11.4.1 Transport and storage**

The number of workers involved in ship to shore transfer and road transportation of acrylonitrile is limited, potential contact is relatively brief and infrequent and operations take place in the open air. Based on a limited number of samples, the overall exposure level can be characterised as probably low. The recorded range of <0.005 to <0.5 ppm is below the level of 0.4-0.7 ppm reported for workers loading or unloading tank trucks, rail cars or barges overseas.

### **11.4.2 Acrylonitrile processing**

The potential for exposure at the Huntsman SAN plant and the five polymer emulsion plants is low as the processes are fully enclosed and highly automated and the end products contain low amounts of residual acrylonitrile.

Two older polymer emulsion facilities each had a single exposure value above the national standard of 2 ppm (8 h TWA) and one value in the 1-2 ppm range (Table 15). These plants also reported a higher frequency of monitoring (monthly and 6-monthly respectively) than the remaining sites and one of them conducts routine area monitoring (by grab sampling) around the reactor during each production run. Otherwise, exposure levels were comparable to those reported for similar activities overseas, that is, predominantly in the 0.1-0.2 ppm range.

Monitoring data for maintenance activities in the SAN plant were obtained in 1990 and relate to facilities and equipment which are no longer used. Short-term concentrations of up to 318 ppm were recorded in the worker's breathing zone. Personal monitoring data were also supplied for a small number of workers involved in maintenance work in one of the polymer emulsion facilities. Short-term concentrations of up to 35 ppm were recorded in the worker's breathing zone. However, maintenance workers routinely use respiratory protective equipment when air levels of acrylonitrile exceed 1 ppm or are unknown.



#### 11.4.3 Processing and use of polymers containing residual acrylonitrile

There are several manufacturers of SAN, ABS and ABS/PC resin pellets in Australia, however, air monitoring data were available only for the Huntsman extrusion plant (site A). The overall exposure level at this plant was low, with most readings <0.1 ppm. Levels in the 0.01-0.02 ppm range have been reported for similar processes in Europe. It is not known whether these results are representative for other resin pellet manufacturing sites in Australia.

No Australian monitoring data were available for acrylonitrile exposure resulting from the manufacture of plastic articles from SAN, ABS or ABS/PC resins. Field studies from Sweden and Britain indicate that area and breathing zone levels are unlikely to exceed 0.01 ppm acrylonitrile.

Polymer emulsions are further processed into a variety of products such as paints, binders, coatings and adhesives. No Australian monitoring data were available for the use of such products in other industries. Given the low concentration of residual acrylonitrile in polymer emulsions (<10 ppm), the potential for exposure is likely to be very low. This is supported by data from Europe where exposure levels were consistently below the detection limit of 0.02 ppm in industries using polymer emulsions for surface coating or paper manufacturing.

#### 11.4.4 Quality control sampling and laboratory use

Limited personal monitoring indicates that the collection of samples of bulk acrylonitrile may be associated with short-term breathing zone air levels above 1 ppm. However, workers routinely wear full-face organic vapour respirators during sampling.

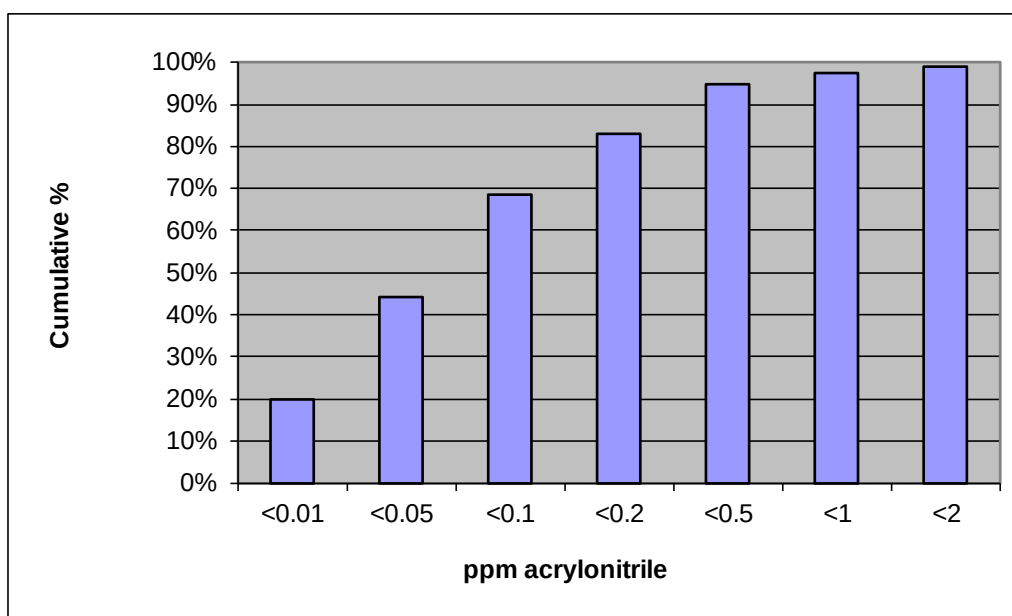
In one laboratory, limited task monitoring indicated the potential for instantaneous exposures of up to 2 ppm when opening a retention sample storage cupboard in a well-ventilated room. A concentration of 40 ppm inside the cupboard was due to leakage of acrylonitrile vapour from the sample bottles. Although the average 8 h workday exposures are expected to be low in this case due to the low frequency and short duration of the tasks, the number of potentially exposed workers (Table 15) and the high volatility of acrylonitrile emphasise the need to train laboratory personnel in acrylonitrile-related hazards and safety procedures and include them in the atmospheric monitoring program. This is confirmed by reports of personal exposure levels ranging from 0.1-9.4 ppm in laboratory technicians in monomer and fibre production plants in USA.

#### 11.4.5 Summary of exposure findings

Excluding data of dubious relevance to current control measures, there were 187 data points for personal air monitoring during normal operations at all sites where bulk acrylonitrile is handled or used. Only two readings (1.1%) exceeded the national exposure standard of 2 ppm (4.3 mg/m<sup>3</sup>) expressed as an 8 h TWA airborne concentration (Table 15).

The cumulative distribution of these measurements is shown in Figure 2. Sixty-eight per cent of measurements were <0.1 ppm, 95% were <0.5 ppm and 97% were <1 ppm. Short-term air levels of 0.1-318 ppm were recorded in the breathing zone of workers involved in maintenance or sampling activities. However, the use of suitable respiratory protection is mandatory at all sites when air levels exceed 1 ppm or are unknown.

**Figure 2: Cumulative distribution of exposures to acrylonitrile during normal operations at 7 sites handling or processing the chemical in bulk (n = 187)**



## 11.5 Conclusions

### 11.5.1 Occupational health and safety

Based on the findings discussed above, it can be concluded that workers in Australia are unlikely to be systemically exposed to acrylonitrile at or above the national exposure standard of 2 ppm (8 h TWA) and that the exposure can be expected to be <0.1 ppm in the majority of cases.

The SIDS Initial Assessment Report, which has been agreed by OECD, concludes that at present there is no need for further risk reduction measures beyond those that are already being applied overseas, as these have achieved exposure levels that typically are <1 ppm in acrylonitrile processing industries and <0.1 ppm in industries processing polymers containing only residual amounts of the chemical. This assessment has shown that similarly low levels of exposure are achieved in Australia under the currently practised workplace and regulatory control measures. Therefore, a full occupational (risk) assessment is not considered to be required at this time.

### 11.5.2 Public health

Acrylonitrile is not available to the general public as it is listed in Schedule 7 of SUSDP and must not be possessed, sold, or supplied for domestic purposes. The public may, however, be exposed indirectly via the environment or by ingestion of foods contaminated with residual acrylonitrile in packaging materials and to skin contact with residual monomer in acrylonitrile-based fabrics and other products such as paints, polishes and plastic articles.

The available evidence indicates that indirect exposure to acrylonitrile via the environment would be less than 100 ng/kg/day. The *Food Standards Code* sets a limit for acrylonitrile content in foods of 0.02 mg/kg (20 ppb) which ensures that the daily intake is unlikely to exceed 1 µg, representing a maximum of 14-33 ng/kg/day in persons weighing from 30-70 kg. There are no regulations pertaining to the content of residual acrylonitrile in other consumer products. However, the



estimated maximum uptake of acrylonitrile from fabrics is 2.2 ng/kg/day for an adult woman weighing 60 kg and would be negligible from other products based on acrylonitrile polymers. Therefore, even in a worst-case scenario, total public exposure to acrylonitrile would not exceed 135 ng/kg/day. According to the SIDS Initial Assessment Report, which has been agreed by OECD, this is several orders of magnitude lower than the no observed adverse effect level for any toxicological end-point in laboratory animals. As such, it is considered that the risk of public health effects resulting from the current uses of acrylonitrile is unlikely to be significant and that a full public health assessment is not required at this time.

Similar conclusions were reached in a recent assessment report from Health Canada, although they also recommended additional investigation of the magnitude of airborne exposure of populations in the immediate vicinity of industrial point sources (Government of Canada, 1999). Compared to Australia, however, the Canadian acrylonitrile processing industry is four times larger and more concentrated in terms of location.

### **11.5.3 The environment**

A crude comparison of the predicted environmental concentration in water and the effects known to cause impacts on aquatic organisms suggests that the expected risk to this compartment is low. Furthermore, all facilities storing or processing bulk acrylonitrile have measures in place to minimise transfer and process emissions and incidental releases such as spills and leaks. The premises must be licensed by the State EPA and are inspected at regular intervals for compliance with the conditions specified in the licence. As such, the findings in this preliminary assessment of acrylonitrile have not highlighted any significant concern for the environment and a full environment assessment is not considered to be necessary at this time.

# 12. Recommendations

NICNAS does not recommend a full (risk) assessment of acrylonitrile at this time. Nevertheless, given the findings discussed in the previous section, the following recommendations are made:

## 12.1 Hazard classification

It is recommended that suppliers and employers take note of the amended hazard classification agreed by the EC Working Group on the Classification and Labelling of Dangerous Substances in March 1999. The amendment will be taken up by the NOHSC *List of Designated Hazardous Substances* via the usual process, to provide the following classification:

|                  |                                                                        |
|------------------|------------------------------------------------------------------------|
| <b>R11</b>       | <b>Highly flammable</b>                                                |
| <b>R23/24/25</b> | <b>Also toxic by inhalation, in contact with skin and if swallowed</b> |
| <b>R37/38</b>    | <b>Irritating to respiratory system and skin</b>                       |
| <b>R41</b>       | <b>Risk of serious damage to eyes</b>                                  |
| <b>R43</b>       | <b>May cause sensitisation by skin contact</b>                         |
| <b>R45(2)</b>    | <b>May cause cancer (Category 2)</b>                                   |

The safety and first aid phrases agreed by the EC Working Group are:

|            |                                                                                                                      |
|------------|----------------------------------------------------------------------------------------------------------------------|
| <b>S9</b>  | <b>Keep container in a well ventilated place</b>                                                                     |
| <b>S16</b> | <b>Keep away from sources of ignition – no smoking</b>                                                               |
| <b>S45</b> | <b>In case of accident or if you feel unwell, seek medical advice immediately (show the label whenever possible)</b> |
| <b>S53</b> | <b>Avoid exposure – obtain special instructions before use</b>                                                       |

MSDS, labels and training materials should be amended to provide appropriate information about the risks of irritation of the respiratory system, eye damage and allergic contact dermatitis.

## 12.2 Workplace control measures

The NOHSC *Exposure Standards for Atmospheric Contaminants in the Occupational Environment* classifies acrylonitrile as a category 2 carcinogen in accordance with the criteria of that standard. It is recommended that companies handling bulk acrylonitrile continue to strive for further improvements in their workplace control measures, in accordance with the requirement of the NOHSC *Exposure Standards for Atmospheric Contaminants in the Occupational Environment* that exposure to Category 2 carcinogens be minimised to the lowest practicable levels.

It is further recommended that all laboratory personnel be adequately trained in the hazards of the chemical and included in atmospheric monitoring programs, given

the potential for high atmospheric levels from the evaporation of minute quantities of acrylonitrile during laboratory handling of the chemical.

### **12.3 Industry code of practice**

It is recommended that the 1992 industry Code of Practice for the Safe Use of Acrylonitrile be updated with regard to the following issues:

- The overview of the hazardous properties of acrylonitrile should be revised to include the conclusions of recent international assessments such as the SIDS Initial Assessment Report and the latest IARC review of the carcinogenic potential of the chemical.
- The controls imposed by current NOHSC standards and codes of practice and State regulations should be addressed in detail.
- The sample MSDS attached to the code should be amended to include appropriate information about the risks of irritation of the respiratory system, eye damage and allergic contact dermatitis.

Given the inconsistency of current atmospheric monitoring programs for acrylonitrile, it is further recommended that industry develop practical guidelines that address the selection of acrylonitrile workers for personal monitoring, the definition of sample sizes, the analysis of exposure data and the determination of the frequency of exposure assessments.

## 13. Secondary Notification

Under Section 65 of the *Industrial Chemicals (Notification and Assessment) Act 1989*, secondary notification of acrylonitrile may be required where an introducer of the chemical becomes aware of any circumstances that may warrant a reassessment of its hazards and risks. Specific circumstances include:

- the function or use of acrylonitrile has increased, or is likely to change, significantly;
- the amount of acrylonitrile introduced into Australia has increased, or is likely to increase, significantly;
- manufacture of acrylonitrile has begun in Australia; and
- additional information has become available to the introducers as to the adverse health, safety or environmental effects of acrylonitrile, such as the results of the ongoing carcinogenicity study in mice.

The Director (Chemicals Notification and Assessment) must be notified within 28 days of the manufacturer/importer becoming aware of any of the above or other circumstances prescribed under section 65 of the Act.

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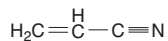


## Acrylonitrile

### CAS No. 107-13-1

Reasonably anticipated to be a human carcinogen

First listed in the *Second Annual Report on Carcinogens* (1981)



### Carcinogenicity

Acrylonitrile is *reasonably anticipated to be a human carcinogen* based on sufficient evidence of carcinogenicity from studies in experimental animals.

#### Cancer Studies in Experimental Animals

Acrylonitrile caused tumors at several different tissue sites in rats. Exposure to acrylonitrile in drinking water or by inhalation caused cancer of the central nervous system (microglioma or glioma) and Zymbal gland (carcinoma) and benign tumors of the forestomach (squamous-cell papilloma or acanthoma) in both sexes (IARC 1979).

Since acrylonitrile was listed in the *Second Annual Report on Carcinogens*, additional studies in rodents have been identified. Oral exposure to acrylonitrile caused cancer of the forestomach (squamous-cell carcinoma) and increased the combined incidence of benign and malignant Harderian-gland tumors (adenoma and carcinoma) in mice of both sexes. Benign and malignant tumors of the ovary (granulosa-cell tumors) and lung (alveolar/bronchiolar adenoma and carcinoma) in female mice also may have been related to acrylonitrile exposure (NTP 2001). In rats, prenatal exposure followed by postnatal inhalation exposure to acrylonitrile caused brain tumors (glial-cell tumors) in both sexes. In females, it also caused cancer of the mammary gland and the blood vessels (angiosarcoma); in males, it caused cancer of the Zymbal gland and increased the combined incidence of benign and malignant liver tumors (hepatocellular adenoma and carcinoma) (IARC 1999).

#### Cancer Studies in Humans

The data available from epidemiological studies are inadequate to evaluate the relationship between human cancer and exposure specifically to acrylonitrile. An increased risk of cancer of the lung and colon was reported in U.S. textile plant workers exposed to acrylonitrile and observed for 20 years or more (IARC 1979).

Since acrylonitrile was listed in the *Second Annual Report on Carcinogens*, additional epidemiological studies have been identified. In studies of workers exposed to acrylonitrile (including textile workers and rubber workers) published in the 1980s and 1990s, including several meta-analyses, the risk of cancer was increased only for lung cancer among workers with the highest cumulative exposure levels in a large National Cancer Institute cohort study (IARC 1999). An update of a U.S. textile-worker cohort followed for five decades found no association between acrylonitrile exposure and cancer at any tissue site (Symons *et al.* 2008). A large international case-control study of lung cancer found a significant smoking-adjusted risk of lung cancer with increasing acrylonitrile exposure (Scélo *et al.* 2004), and a meta-analysis of lung-cancer findings found increased risk with acrylonitrile exposure after adjusting for a healthy-worker effect (Sponsiello-Wang *et al.* 2006). A small cohort study (Czeizel *et al.* 2004) found no excesses of lung or other cancer among workers possibly exposed to acrylonitrile; however, the study's statistical power to detect effects was limited. In an update of a cohort study in the Netherlands, excesses of brain cancer were found in some exposure categories (Swaen *et al.* 2004).

### Properties

Acrylonitrile exists at room temperature as a volatile, flammable colorless liquid with a sweet characteristic odor. It is soluble in water and isopropyl alcohol and miscible with ethanol, carbon tetrachloride, ethyl acetate, ethylene cyanohydrin, xylene, toluene, petroleum ether, and liquid carbon dioxide. Acrylonitrile is stable under normal shipping and handling conditions but may undergo explosive polymerization if not inhibited (Akron 2009). Physical and chemical properties of acrylonitrile are listed in the following table.

| Property                      | Information         |
|-------------------------------|---------------------|
| Molecular weight              | 53.1                |
| Specific gravity              | 0.8004 at 25°C/4°C  |
| Melting point                 | -82°C               |
| Boiling point                 | 77.3°C at 760 mm Hg |
| Log $K_{ow}$                  | 0.25                |
| Water solubility              | 74.5 g/L at 25°C    |
| Vapor pressure                | 109 mm Hg at 25°C   |
| Vapor density relative to air | 1.8                 |

Source: HSDB 2009.

### Use

Acrylonitrile is an important industrial chemical used extensively in the manufacture of synthetic fibers, resins, plastics, elastomers, and rubber for a variety of consumer goods, such as textiles, drinking cups, automotive parts, and appliances (Brazdil 2010). It is also used as a monomer for acrylic and modacrylic fibers, in plastics, in surface coatings, as a chemical intermediate, in organic synthesis, in home furnishings, in nitrile rubbers, and as a modifier for natural polymers (HSDB 2009). Of total acrylonitrile production, reported uses were 38% for the production of adiponitrile, 22% for acrylonitrile-butadiene-styrene and styrene-acrylonitrile resins, 17% for acrylic fibers, 11% for acrylamide, 3% for nitrile elastomers, and 9% for miscellaneous uses, including polymers, polyols, barrier resins, and carbon fibers (CEN 2009). Acrylonitrile is used in the manufacture of carbon fibers used to reinforce composites for high-performance applications in the aircraft, defense, and aerospace industries. Other specialty applications include the production of fatty amines, ion-exchange resins, and fatty amine amides used in cosmetics, adhesives, corrosion inhibitors, and water-treatment resins (IARC 1999). Acrylonitrile was formerly used as a fumigant; however, almost all pesticide registrations for acrylonitrile were canceled in 1978 (ATSDR 1990).

### Production

Acrylonitrile has been produced in the United States since 1940 (IARC 1979). In 2009, acrylonitrile was available from 16 U.S. suppliers (ChemSources 2009). In 2015, combined U.S. production and imports of acrylonitrile were in the range of 1 billion to 5 billion pounds (EPA 2016), similar to the production volumes of 2.2 billion to 3.4 billion pounds reported from 1985 through 2008 (IARC 1996, CEN 2009). U.S. exports of acrylonitrile have greatly decreased since the early 2000s, when they ranged from 1.5 billion to 3 billion pounds (USITC 2009), but have continued to substantially exceed imports (as shown in the following table).

| Category                          | Year | Quantity (lb)  |
|-----------------------------------|------|----------------|
| Production + imports <sup>a</sup> | 2015 | 1 to 5 billion |
| U.S. imports <sup>b</sup>         | 2017 | 13.4 million   |
| U.S. exports <sup>b</sup>         | 2017 | 717.7 million  |

Sources: <sup>a</sup>EPA 2016. <sup>b</sup>USITC 2018.

## Exposure

The potential routes of human exposure to acrylonitrile are inhalation, ingestion, and dermal contact. Exposure is greater in occupational settings than in the general population. The general population may be exposed through the use of consumer products made with polymers of acrylonitrile, such as acrylic carpeting or polyacrylonitrile-resin-based food packaging. However, exposure from these sources is very low, because little of the monomer migrates from such products into air or food (ATSDR 1990). The U.S. Consumer Product Safety Commission in 1978 estimated concentrations of acrylonitrile as less than 1 ppm in acrylic and modacrylic fibers, 30 to 50 ppm in acetonitrile-butadiene-styrene copolymers, 15 ppm in styrene-acrylonitrile copolymers, and 0 to 750 ppm in nitrile rubber and latex goods (as cited in IPCS 1983). Foods most likely to contain measureable acrylonitrile are high-fat or highly acidic items, such as luncheon meat, peanut butter, margarine, vegetable oil, or fruit juice. In 1984, typical concentrations of acrylonitrile in margarine were reported to be 25 µg/kg (ATSDR 1990). However, the U.S. Food and Drug Administration's Total Diet Study found no acrylonitrile residue in any of the foods tested from 1991 to 2004 (FDA 2006).

Acrylonitrile has been measured in the vapor phase of mainstream tobacco smoke at a concentration of 18.5 µg per cigarette (Laugesen and Fowles 2005). Indoor air concentrations of acrylonitrile in the residences of smokers (to which nonsmokers were exposed) were estimated at 0.5 to 1.2 µg/m<sup>3</sup> (Nazaroff and Singer 2004). Acrylonitrile-hemoglobin adducts are a reliable marker of smoking behavior and correlate with the number of cigarettes smoked per day (Bergmark 1997, Fennell *et al.* 2000). The adducts may also be present in infants born to mothers who smoke (Tavares *et al.* 1996, Schettgen *et al.* 2004).

According to the U.S. Environmental Protection Agency's Toxics Release Inventory, the volume of environmental releases of acrylonitrile has remained high since 2001, when 11.5 million pounds was released, and most releases since 2000 have been to underground injection wells. In 2007, 94 facilities released a total of about 7 million pounds of acrylonitrile, most of which (6.6 million pounds) was released by two facilities to on-site hazardous waste underground injection wells (TRI 2009).

Occupational exposure to acrylonitrile may occur during its manufacture and production and in factories where it is used as a monomer; exposure levels are highest where acrylonitrile is manufactured. Typical workplace air concentrations were reported to range from 0.1 to 4 mg/m<sup>3</sup> (ATSDR 1990). The National Occupational Exposure Survey (conducted from 1981 to 1983) estimated that 51,153 workers, including 25,320 women, potentially were exposed to acrylonitrile. Occupations with potential for exposure included acrylic resin, rubber, synthetic fiber, and textile maker; synthetic organic chemist; and pesticide worker (NIOSH 1990).

## Regulations

### Coast Guard (Dept. of Homeland Security)

Minimum requirements have been established for safe transport of acrylonitrile on ships and barges.

### Department of Transportation (DOT)

Acrylonitrile is considered a hazardous material, and special requirements have been set for marking, labeling, and transporting this material.

### Environmental Protection Agency (EPA)

#### Clean Air Act

*National Emission Standards for Hazardous Air Pollutants:* Listed as a hazardous air pollutant.

*New Source Performance Standards:* Manufacture of acrylonitrile is subject to certain provisions for the control of volatile organic compound emissions.

*Prevention of Accidental Release:* Threshold quantity (TQ) = 20,000 lb.

*Urban Air Toxics Strategy:* Identified as one of 33 hazardous air pollutants that present the greatest threat to public health in urban areas.

### Clean Water Act

Designated a hazardous substance.

*Effluent Guidelines:* Listed as a toxic pollutant.

*Water Quality Criteria:* Based on fish or shellfish and water consumption = 0.061 µg/L; based on fish or shellfish consumption only = 7.0 µg/L.

### Comprehensive Environmental Response, Compensation, and Liability Act

Reportable quantity (RQ) = 100 lb.

### Emergency Planning and Community Right-To-Know Act

*Toxics Release Inventory:* Listed substance subject to reporting requirements.

Reportable quantity (RQ) = 100 lb.

Threshold planning quantity (TPQ) = 10,000 lb.

### Resource Conservation and Recovery Act

*Listed Hazardous Waste:* Waste codes for which the listing is based wholly or partly on the presence of acrylonitrile = U009, K011, K013.

Listed as a hazardous constituent of waste.

### Food and Drug Administration (FDA, an HHS agency)

Acrylonitrile copolymers and resins may be used in materials that are intended for use in producing, manufacturing, processing, preparing, treating, packaging, transporting, or holding food, as prescribed in 21 CFR parts 173, 175, 176, 177, 178, 179, 180, 181.

### Occupational Safety and Health Administration (OSHA, Dept. of Labor)

While this section accurately identifies OSHA's legally enforceable PELs for this substance in 2018, specific PELs may not reflect the more current studies and may not adequately protect workers.

Ceiling concentration = 10 ppm (15-min exposure).

Permissible exposure limit (PEL) = 2 ppm.

Potential for dermal absorption.

Comprehensive standards for occupational exposure to acrylonitrile have been developed.

## Guidelines

### American Conference of Governmental Industrial Hygienists (ACGIH)

Threshold limit value – time-weighted average (TLV-TWA) = 2 ppm.

Potential for dermal absorption.

### National Institute for Occupational Safety and Health (NIOSH, CDC, HHS)

Ceiling recommended exposure limit = 10 ppm (15-min exposure).

Recommended exposure limit (time-weighted-average workday) = 1 ppm.

Immediately dangerous to life and health (IDLH) limit = 60 ppm.

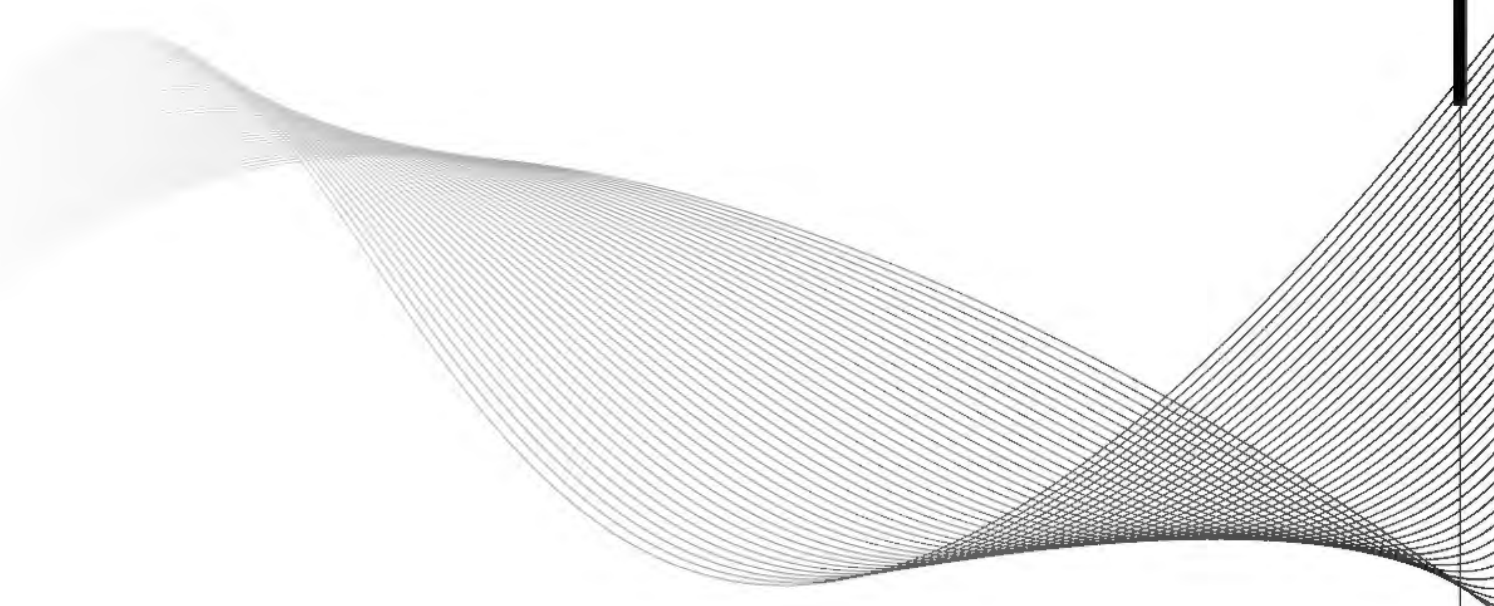
Potential for dermal absorption.

Listed as a potential occupational carcinogen.

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# **Recommendation from the Scientific Committee on Occupational Exposure Limits for acrylonitrile**

SCOEL/SUM/104  
December 2003



European Commission



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## Recommendation from the Scientific Committee on Occupational Exposure Limits for Acrylonitrile

|                           |   |                      |
|---------------------------|---|----------------------|
| 8-hour TWA                | : | -                    |
| STEL (15 mins)            | : | -                    |
| Additional classification | : | <i>Skin notation</i> |

### Substance Identity and Properties:

|                     |                                                        |
|---------------------|--------------------------------------------------------|
| Acrylonitrile       | C <sub>3</sub> H <sub>3</sub> N                        |
| Classification:     | F; R11    Carc. Cat. 2; R45    T; R23/24/25    Xi; R38 |
| Synonyms:           | Vinyl cyanide, cyanoethylene                           |
| Chemical Name       | 2 - propenenitrile                                     |
| Structural Formula: | CH <sub>2</sub> = CH - CN                              |
| CAS Number:         | 107 - 13 - 1                                           |
| EINECS Number:      | 203 - 466 - 5                                          |
| Molecular Weight:   | 53.06                                                  |
| Melting point:      | -89.6°C                                                |
| Boiling point:      | 77.3°C                                                 |
| Vapour pressure:    | 115 hPa at 20°C                                        |
| Conversion factors: | 1 ppm = 2.20 mg/m <sup>3</sup>                         |
| (20°C, 101.3kPa)    | 1 mg/m <sup>3</sup> = 0.45 ppm                         |





## 1. Occurrence and Use

Acrylonitrile is a clear colourless liquid with a characteristic, slightly pungent odour. A yellow coloration may develop in the presence of light. In the absence of stabilisers, spontaneous polymerisation may occur at increased temperatures, or in the presence of light, acid or alkalis. Acrylonitrile is produced in a closed system by catalytic “ammoxidation” of ammonia and propylene. Fractional distillation of the crude (85%) product, following scrubbing to remove ammonia, yields 99.9% pure acrylonitrile.

Current production volume in the EU is in excess of 1 250 000 tonnes per annum, US production is approximately 1 500 000 tonnes per annum, Japan produces approximately 600 000 tonnes per annum, and the rest of the world around 500 000 tonnes. There is a paucity of data for the former Soviet Union and Eastern European countries. In addition to a production volume of greater than 1 250 000 tonnes per year, it is estimated that the European Union imports a further 100 000 to 300 000 tonnes per annum from outside Europe. Approximately 52% of the total EU production of acrylonitrile is used in production of fibres, 15% in production of ABS and SAN resins, 15% in the production of acrylamide and adiponitrile and 18% for other uses (source: PCI World Acrylonitrile Report 1996).

## 2. Health Significance

### *Metabolism*

Acrylonitrile is metabolized in humans and experimental animals via two pathways (Fennell *et al.* 1991, Kedderis *et al.* 1993b). A glutathione-dependent pathway (Michael addition of glutathione to acrylonitrile) leads via the primary metabolite S-cyanoethyl-glutathione to the mercapturic acid N-acetyl-S-cyanoethyl-cysteine, representing the final urinary excretion product. The glutathione-S-transferase (GST) isoenzyme(s) responsible for this pathway in humans is/are not known; the polymorphic GSTT1 and GSTM1 are not likely to be involved (Thier *et al.* 1999, Fennell *et al.* 2000). The alternative oxidative pathway is catalysed by the cytochrome P-450 isoenzyme CYP2E1 and leads to the epoxide cyanoethylene oxide (glycidonitrile, 2-cyano-oxirane) as primary metabolite (Kedderis *et al.* 1993a) which is genotoxic (Peter *et al.* 1983, Recio and Skopek 1988). There is extensive secondary metabolism of cyanoethylene oxide, one highly toxic metabolite arising from the oxidative pathway being cyanide. Observations in cases of acute acrylonitrile intoxication have led to the conclusion of a much higher impact of the oxidative metabolism of acrylonitrile in humans than in rodents (Thier *et al.* 2000). There is also a considerable degree of human interindividual variability in acrylonitrile metabolism and toxicity, probably based on a multiplicity of relevant gene-environment interactions (Thier *et al.* 2002).

### 2.1. Acute Toxicity

With respect to the acute effects of acrylonitrile in humans, there are mainly reports of specific incidents or accidents (Davis *et al.*, 1973). The findings and approximate dose levels thought to be involved in these human experiences are consistent with the information obtained from animal studies. These indicate that acrylonitrile is toxic by the oral and inhalation routes, and also via contact with skin, and causes neurotoxic effects which are related both to acrylonitrile itself, and to the metabolic release of cyanide (Thier *et al.* 2000).



With regard to the acute lethality of acrylonitrile in animals, dogs appeared to be the most sensitive species following exposure via inhalation. However the acute toxicity of acrylonitrile is for the greater part caused by the release of cyanide, to which dogs are much more sensitive because they have lower levels of the detoxifying enzyme rhodanase in the liver than other mammals. Inhalation studies provided an approximate  $LC_{50}$  of 200  $mg/m^3/4$  h in dogs, 300  $mg/m^3/4$  h in mice and 990  $mg/m^3/4$  h in guinea pigs. In rats, the data from Dudley *et al.* (1942) and Appel *et al.* (1981) provided a figure of between 1030 and 1210  $mg/m^3/4$  h, although a lower value of 470  $mg/m^3/4$  h was reported by Knobloch *et al.* (1971).

Following oral dosing, mice appeared to be the most sensitive species, with an oral  $LD_{50}$  ranging from 28 to 48  $mg/kg$  body weight. The reported range in guinea pigs was 50-85  $mg/kg$ , an oral  $LD_{50}$  of 93  $mg/kg$  was reported in rabbits, while in rats the range was 72-186  $mg/kg$ . No oral toxicity data exist for dogs.

The reported dermal  $LD_{50}$  for rats lay between 148 and 282  $mg/kg$  bodyweight, the dermal  $LD_{50}$  in rabbits was 226  $mg/kg$  and that in guinea pigs was between 260-690  $mg/kg$ . The percutaneous  $LD_{50}$  in rabbits was only 3 times higher than the intravenous  $LD_{50}$ , and was approximately 3 to 10 times higher in guinea pigs, indicating that acrylonitrile can readily penetrate the skin. Acute administration of acrylonitrile produced pathological findings in the gastrointestinal tract, gastrointestinal bleeding apparently being independent of the route of administration since it was reported after oral or subcutaneous dosing, and changes have also been reported in the kidney, the liver and in haematological and clinical chemistry parameters. Acrylonitrile has been shown to induce dose- and time dependent cholinomimetic neurotoxicity in rats, regardless of the route of administration. This coincides with similar observations in exposed workers (Wei, 2000; Bolt *et al.*, 2003).

## Inhalation

WHO (1983) and VROM (1984) summarised several cases of acrylonitrile poisoning whereby workers exposed to low acrylonitrile concentrations suffered from local effects such as irritation of the eyes, nose, throat and respiratory tract, headaches, vertigo and limb weakness at  $> 5$  ppm (11  $mg/m^3$ ). Slight liver enlargement and jaundice have also been reported. Workers in a synthetic rubber manufacturing plant exposed to acrylonitrile vapour at levels of between 16 (35  $mg/m^3$ ) and 100 ppm (219  $mg/m^3$ ) acrylonitrile for 20 to 45 minutes experienced mucous membrane irritation, headaches, nausea, feelings of apprehension and nervous irritability (Wilson *et al.*, 1948). Low-grade anaemia, leucocytosis, kidney irritation and mild jaundice were also apparent; these effects subsided with exposure cessation. Zeller *et al.* (1969) observed that in 16 cases of acute inhalation of acrylonitrile fumes by workers, nausea, vomiting, headache and vertigo appeared within 5-15 minutes; none of the workers required hospitalisation.

More serious exposures have resulted in tremors, convulsions, unconsciousness, respiratory and cardiac arrest and even death (Buchter *et al.*, 1984). One reported fatal case involved a 3-year-old girl who slept overnight in a room recently sprayed with an acrylonitrile-based fumigant. Respiratory malfunction, lip cyanosis, and tachycardia were among the symptoms described prior to death (WHO, 1983). However, five adults who spent the night and much of the day in a room fumigated with an acrylonitrile-based product complained only of eye irritation and in general showed no signs of acrylonitrile poisoning (Grunske, 1949). The concentration of acrylonitrile in the air was not given. Several other cases of death in children were reported, but not described in detail, while adults only suffered mild irritation (Grunske, 1949).





Human volunteers exposed acutely (8 hours) to acrylonitrile at concentrations of 2.4 - 5.0 ppm (5.4 - 10.9 mg/m<sup>3</sup>) exhibited no deleterious effects, indicating that acrylonitrile is not very irritating to the respiratory tract at these concentration levels (Jakubowski *et al.*, 1987).

## 2.2. Irritation

Little information exists regarding specific human studies involving skin or eye contact. A male laboratory worker spilled 'small quantities' of liquid acrylonitrile on his hands, resulting in diffuse erythema on both hands and wrists after 24 hours, followed by blisters on the fingertips on the third day. His hands were slightly swollen, erythematous, itchy and painful and the finger remained dry and scaly on the 10th day (Dudley and Neal, 1942). Wilson *et al.* (1948) noted that direct skin contact resulted in irritation and erythema and scab formation, with slow healing.

Skin contact has resulted in local irritation, erythema, swelling, blistering and burns. In one case report (Hashimoto and Kobayashi, 1961), lesions spread rapidly to parts of the body which had not been exposed and this was considered to be an allergic reaction. A producer of acrylonitrile reported 10 cases of skin complaints in employees (Bakker *et al.*, 1991). Of these, 5 had irritant dermatitis while the other 5 proved to have an allergy to acrylonitrile on patch testing. Paresthesia was reported in one patient.

With regard to human experiences of acute exposure to acrylonitrile as a liquid or vapour, a wide range of effects have been observed, including irritation of the mucous membranes of the nose, eyes and upper respiratory tract. Lacrimation, burning in the throat, coughing, sneezing, nausea, vomiting, dizziness, visual disturbance, headache, coma, seizures and dermatitis have been described in some of the non-fatal cases (Davis *et al.*, 1973). The seriousness of some of these effects, however, reflect very high exposure levels following, for example, accidental release of a large quantity of acrylonitrile.

Jakubowski *et al.* (1987), exposed human volunteers to acrylonitrile for 8 hours at concentrations of 2.4 - 5.0 ppm (5.4 - 10.9 mg/m<sup>3</sup>). Volunteers exhibited no deleterious effects and acrylonitrile did not appear to cause irritation in the respiratory tract at these exposure levels.

Vogel and Kirkendall (1984) reported a case of a 24-year-old man whose face, eyes and body were sprayed by acrylonitrile when a valve burst while he was unloading the chemical from a ship. Within 30 minutes, the subject developed dizziness, flushing, nausea and vomiting. He showed generalised, erythema, but no skin rash was observed. There was mild conjunctivitis but no corneal clouding; and funduscopic examination was normal.

Grahl (1970) refers to one volunteer who exposed himself to acrylonitrile for 70 seconds at levels of 370 - 460 ppm (800 - 1000 mg/m<sup>3</sup>) without experiencing an intolerable reaction, possibly indicating that acrylonitrile has little warning action even for acute high levels.

## 2.3. Sensitisation

### 2.3.1. Animal Studies

Koopmans and Daamen (1989) carried out a Guinea Pig Maximisation in compliance with EC and OECD guidelines. Sensitisation was induced by an intradermal injection of 2.5% acrylonitrile and an epidermal application of 2% acrylonitrile 7 days later. Animals challenged with acrylonitrile concentrations of 0.5% and 1.0% acrylonitrile showed a 95%



positive sensitisation rate. Exposure to 0.2% on challenge caused an 80% sensitisation rate. It can be concluded that acrylonitrile has marked sensitising properties and should be classified as sensitising, using the EU criteria. A sensitising effect is compatible with the direct reactivity (Michael addition) of acrylonitrile towards functional groups of proteins (Peter and Bolt 1981).

### 2.3.2. Human Studies

In a case reported by Hashimoto and Kobayashi (1961) skin lesions were first observed at the site of contact with liquid acrylonitrile, which then spread rapidly to other neighbouring regions. Several days after contact, the lesions spread to other parts of the body that had not been in contact with the liquid. It was concluded that these later skin lesions were indicative of an allergic-type response to the initial exposure to acrylonitrile liquid.

A positive patch test for acrylonitrile was ascertained in 5 employees of an acrylonitrile processing and production plant who had contact dermatitis. The 8 control individuals did not show any allergic reaction to acrylonitrile (Bakker *et al.*, 1991).

#### Respiratory Sensitisation

There are no data available.

### 2.4. Repeated-Dose Toxicity in Humans

Human evidence from case reports and workplace surveys are suggestive of neuropathological effects following exposure to acrylonitrile, the primary routes of exposure being inhalation and physical contact with the substance. It is evident that there is usually co-exposure with other chemicals, which makes it very difficult to interpret these epidemiological studies in production and processing plants.

WHO (1983) summarised workplace studies indicating that effects such as reduced haemoglobin levels, erythrocyte counts and leucocyte counts occurred at 5 ppm (11 mg/m<sup>3</sup>). Furthermore, symptoms of gastritis and colitis, as well as blepharoconjunctivitis and an immunosuppressive effect were reported.

Sakurai and Kusumoto (1972) reported that at exposure levels as low as 5 ppm (11 mg/m<sup>3</sup>) some subjective complaints such as headache, fatigue, nausea, nose-bleeds, insomnia and some changes in liver function tests. These effects were positively associated with the length of exposure, but not with the exposure level or the age of the workers. However, it should be noted that in a later report by Sakurai *et al.* (1978) it was stated that the 'exposure levels were not reliably reported' and reflected historical data where the actual exposure levels were greatly in excess of 5 ppm (11 mg/m<sup>3</sup>). In fact the study of Sakurai *et al.* (1978) in acrylonitrile workers indicated levels in excess of 10 ppm did not cause notable irritancy. WHO (1983) cited the study of Babanov *et al.* (1959), in which similar subjective complaints, together with inflammation of the vocal cords, were reported by workers exposed for approximately 3 years to airborne acrylonitrile levels of 0.6-6.0 mg/m<sup>3</sup> (0.3 - 3 ppm).

Overall, the human data are difficult to assess in relation to establishment of a dose-response relationship. However, many of the findings in the animal repeat-dose exposure studies, especially the neurological and irritation effects, reflect the reported findings in workers. The respiratory tract appears to be a key target organ following inhalation of acrylonitrile, both in humans and experimental animals.



## 2.5. Mutagenicity

Acrylonitrile is weakly mutagenic in reverse mutation assays in *Salmonella typhimurium* and specific strains of *Escherichia coli*, the effect generally requiring the presence of metabolic activation, although a number of authors have reported negative results in the *Salmonella* assay. Positive results have also been obtained in mutagenicity assays using yeast and *Aspergillus*, and in mammalian cell lines including mouse lymphoma cells (TK+/- locus and oua locus) and the TK6 human lymphoblast cell line, again generally in the presence of metabolic activation only and frequently only at cytotoxic concentrations. Acrylonitrile induces sister chromatid exchanges and chromosomal aberrations in *in vitro* studies. However, negative responses have generally been obtained in DNA repair assays using rat hepatocytes and human mammary epithelial cells *in vitro*.

A number of *in vitro* assays have included the metabolite epoxide cyanoethylene oxide, CEO (Peter *et al.*, 1983). The responses of the metabolite in several of the test systems described above indicate that it is a direct acting mutagen. Coupled with the observation that acrylonitrile is mutagenic *in vitro* mainly in the presence of S9, indicating that metabolic activation is required to exert the mutagenic potential, it may be concluded that the DNA active compound is CEO and that acrylonitrile itself has relatively low DNA reactivity. The epoxide has been shown to bind to DNA with a much greater affinity than acrylonitrile.

In *in vivo* studies, acrylonitrile overall appeared to be negative in a dominant lethal assay in rats, and was also negative in two mouse micronucleus studies, although the lack of experimental detail available on these latter studies makes them of limited value for risk assessment purposes. Conflicting results have been obtained in studies of unscheduled DNA synthesis. Negative results have been obtained in studies using rat liver hepatocytes *ex vivo* and in rat spermatocytes using autoradiographical techniques, while UDS has been reported in rats' lungs and in the gastrointestinal tract *in vivo*, using the methodological approach of determination of radioactivity associated with the nucleic acid cell fraction by liquid scintillation counting, which is regarded as being less reliable than autoradiography. A number of studies in *Drosophila*, using a range of genetic markers, have given positive results.

In summary, acrylonitrile appears weakly mutagenic in *in vitro* systems, indicative of a genotoxic potential. However these findings are not reliably reflected in the *in vivo* studies, suggesting that acrylonitrile or its active metabolites do not reach target tissues *in vivo*, possibly due to the detoxification of the epoxide metabolite CEO via a glutathione conjugation pathway which may not exist in *in vitro* test systems. Nevertheless, the overall body of evidence presented above on the *in vitro* mutagenicity of acrylonitrile, together with the positive results in *Drosophila*, leads to the conclusion that for the purposes of this risk assessment, acrylonitrile should be regarded as genotoxic, although non-genotoxic mechanisms of tumour induction in experimental animals may also be involved (Whysner *et al.*, 1998). Recent research has suggested a role for secondary processes as a result of oxidative stress (Kamendoulis *et al.*, 1999).

Very little human information is available which could help in determining of possible genotoxicity in man from exposure to acrylonitrile. Thiess and Fleig (1978) analysed chromosomal damage in peripheral lymphocytes of 18 workers exposed to acrylonitrile for an average of 15.4 years. There was co-exposure to styrene, ethylbenzene, butadiene and butylacrylate. Under normal conditions, air concentrations of acrylonitrile of 5 ppm (11 mg/m<sup>3</sup>) were measured, although higher peak exposures will have been present due to faults and manual operation. The frequency of chromosomal aberrations in peripheral lymphocytes was not enhanced in workers when compared with the unexposed controls.



## 2.6. Carcinogenicity

Acrylonitrile is carcinogenic in rats following either oral administration or inhalation. Common target organs identified were the central nervous system (brain and spinal cord), Zymbal gland, gastrointestinal tract (tongue, non-glandular stomach and small intestine) and mammary gland. Also, as a result of irritation due to inhalation of acrylonitrile, inflammatory and degenerative changes (hyperplasia and metaplasia of the respiratory epithelium) were present in the nasal turbinates and a significantly increased number of rats at 80 ppm exposure levels showed focal gliosis and perivascular cuffing in the brain.

The carcinogenicity bioassays have been compiled and evaluated by the IARC (1999). Acrylonitrile exposure was found to be associated with tumours in rats' brains (astrocytomas), Zymbal glands and mammary glands. The experimental carcinogenicity of acrylonitrile has subsequently been confirmed in oral studies in Sprague-Dawley rats (Johannsen and Levinskas, 2002a/b), in Fischer 344 rats (Johannsen and Levinskas, 2002b) and in B6C3F1 mice (NTP 2001). In addition, a three-generation reproduction study in rats receiving acrylonitrile in drinking water reported about an increase in astrocytomas and Zymbal gland tumours in the parental generation (Friedman and Beliles 2003).

However, while there is no doubt that acrylonitrile is an animal carcinogen, the mechanism of action with respect to inducing carcinogenicity is still relatively unclear. Based on current information on the genotoxicity of its metabolite chloroethylene oxide and with no definitive evidence to the contrary, acrylonitrile must be regarded as a carcinogen for which a threshold cannot be reliably identified. It is therefore not possible to establish a safe threshold regarding exposure to acrylonitrile and a NOEL cannot in practice be estimated or established for this particular endpoint (see *Recommendations*).

There was some indication of excess bladder cancer in three ('new') epidemiological studies, a finding not reported in the 'old studies'. However, the excess seemed to be associated with exposure to aromatic amines and is unlikely to be related to acrylonitrile exposure. Furthermore, a reanalysis (Collins and Acquavella, 1998) in which two outlier studies, Kiesselbach *et al.* (1979) and Siemiatycki *et al.* (1994) were excluded, resulted in the bladder cancer meta relative risk (mRR) being reduced overall from 1.4 (95% CI 0.9 - 2.0) to 1.1 (95% CI 0.7 - 1.7).

Regarding the human epidemiological evidence available both the meta-analysis by Rothman *et al.* (1994) ('old studies') and the meta-analysis performed by Collins and Acquavella (1998) which included 4 more recent studies, no excess of all cancer or lung cancer was found among acrylonitrile workers. One advantage of the 'old studies' is the much higher average levels of exposure experienced compared with current levels. Cancer excesses were not obtained even at these levels, which reinforces the view that the current levels reflect probable safe limits. The study by Blair *et al.* (1998) had almost 5 times as many person-years of exposure Wood *et al.* (1998), but the latter had considerably more expected deaths from lung cancer than Blair *et al.* in the highest exposure group. It is possible that this difference in the highest exposure group was caused by different methods of exposure assessment. However, the study by Wood *et al.* included older workers with longer durations of exposure than the study by Blair *et al.*

The larger number of expected deaths in the study by Wood *et al.* (1998) in the higher exposure categories relative to Blair *et al.* may have been due to the fact that the workers were older and had longer durations of exposure to higher levels of acrylonitrile. Therefore, the study by Wood *et al.* (1998) may currently provide more information about higher cumulative exposure to acrylonitrile than that of Blair *et al.* (1998). With regard to lung



cancer, it is still possible that there is an increased risk of lung cancer in workers exposed to acrylonitrile, but this is likely to apply only to high levels of exposure and requires a lengthy exposure period to manifest itself.

The excess prostate cancer incidence reported by O'Berg *et al.* (1985), Chen *et al.* (1987) and confirmed by Wood *et al.* (1998) has raised the concern that exposure to acrylonitrile may increase prostate cancer incidence risk. However, there is no increase in cancer rates with increasing exposure, and this finding has not been seen in the mortality studies. In addition, the excess of prostate cancer in the study by Wood *et al.* (1998) is limited owing to the restricted reporting period, i.e. 1978–1983. When improved diagnostic procedures were later introduced, a deficit was observed (SIR = 0.3, 95% CI 0.0–1.4) from 1983 to 1991. This indicates the potential for diagnostic bias as cases may have been “harvested” early. Accordingly, the overall evidence does not support an association between prostate cancer and acrylonitrile exposure.

Excess cancer at multiple sites were observed in rats exposed to relatively low levels of acrylonitrile. However, there is little evidence that acrylonitrile workers have increased cancer rates even though exposures in some groups of workers were at levels which caused tumours in rats. By excluding two possible outliers (Kiesselbach *et al.* (1979) and Siemiatycki *et al.* (1994) from meta-analysis (Collins and Acquavella, 1998) heterogeneity was reduced, as evidenced by the change in p-values from 0.18 to 0.45.

In summary, the excess risk of lung cancer from acrylonitrile exposure, if any, seems to be small. For less common cancers, e.g. of the brain or prostate, it is only possible to evaluate consistency across the available studies. Relatively imprecise risk estimates contradicting each other have been published for brain cancers in acrylonitrile workers (Collins and Strother, 1999; Schulz *et al.*, 2001). It has also been stressed that predictions of cancer potencies for acrylonitrile based on differing assessments from animal studies vary considerably, and it was suggested that most current risk assessment practices overestimated the acrylonitrile cancer potency for humans (Kirman *et al.* 2000).

In this general situation, acrylonitrile cannot be ruled out as cause of human cancer.

## 2.7. Reproductive effects

The results of a three-generation reproduction study, which is considered to be valid for risk assessment purposes despite some methodological deficiencies, did not show any effects on fertility, although effects were seen on pup viability and the bodyweights of pups in all 3 generations at 21 days were also reduced. These effects could be attributed to maternal toxicity. A number of other studies have also indicated that acrylonitrile is foetotoxic, as evidenced by dose-dependent reductions in pup weight at exposure levels which are also maternally toxic. A No Effect Level of 12 ppm for the foetotoxic effect was established in the study by Saillenfait *et al.* (1993).

Other studies have reported that acrylonitrile causes testicular toxicity in rats (at doses approaching the LD50), although no such effect was seen in a recent 90-day study in mice or in other repeat-dose toxicity studies. There are no data on fertility in humans.

A gavage study in rats and a study in hamsters using intraperitoneal administration indicated some developmental toxicity potential for acrylonitrile, and this was supported by the findings of an *in vitro* study of 10-day rat embryos. However, developmental effects *in vivo* were only seen in the presence of significant maternal toxicity, and there was little evidence for a developmental effect following exposure of rats by inhalation. An absence





of developmental effects following inhalation exposure was confirmed by another group of researchers using comparable exposure levels.

Overall, it can be concluded that existing animal data do not show any clear indication of fertility, dominant lethal, reproductive or teratogenic effects of acrylonitrile at doses below those producing parental toxicity. Consideration as “toxic for reproduction” is not considered appropriate, given the maternal toxicity seen in the Murray *et al.* study and the confounding influence of disease, the route of administration used in the hamster study of Willwhite *et al.* (1981), and the negative outcome of the study of Saillenfait *et al.* (1993).

There are no reports of effects on fertility in acrylonitrile-exposed workers. However, no specific epidemiological studies have been carried out. A recent case control study of 475 female workers exposed to acrylonitrile compared with 527 controls (Weiai *et al.*, 1995) suggested a higher incidence of premature delivery (RR 1.55, logistic regression analysis), birth defects (RR 1.84), pernicious vomiting during pregnancy (RR 1.64) and anaemia (RR 2.79) in the acrylonitrile-exposed population. An increased incidence of miscarriage was also reported, although this was not statistically significant. The exposed population worked in a plant for the manufacture of acrylonitrile itself and also butadiene rubber, ABS plastic and polyacrylonitrile fibre. Monitoring in the plant during the period 1988–1990 indicated that exposure levels were in excess of the OEL of 2 mg/m<sup>3</sup> (0.87 ppm). Levels as high as 92 mg/m<sup>3</sup> (40 ppm) were reported. The authors indicate that confounding factors such as age of the parents at pregnancy, drinking, smoking, health history, medication and X-ray were taken into account in their analysis. There was, however, concomitant exposure to other chemicals in the workplace, while it appears that the controls were involved in fabric processing, e.g. tailoring, and had little or no chemical exposure. Little confidence can be placed in this poorly reported study, and no conclusions can be drawn regarding a possible effect of acrylonitrile on pregnancy outcome.

## Recommendation

Acrylonitrile is acutely highly toxic, by inhalation and by skin contact. Part of this toxicity is attributed to metabolic formation of cyanide. A number of fatalities have been documented in the literature following accidental over-exposure.

Animal experiments clearly show that acrylonitrile is carcinogenic. A high incidence of astrocytomas in the brain and spinal cord was the most consistent finding. In a two-year inhalation study (6h/d, 5d/wk) in rats, the lowest exposure associated with an increased incidence of astrocytomas was 20 ppm (Quast *et al.* 1980). The mechanism of the experimental tumour formation following exposure to acrylonitrile is not fully understood. Although its primary oxidative metabolite, cyanoethylene oxide (“glycidonitrile”), appears clearly genotoxic, acrylonitrile may also act via non-genotoxic mechanisms of carcinogenicity. Such argumentations, mainly focused on the experimental brain tumours, were based on the following (Chapman *et al.* 2002): (1) absence of DNA adducts in brain tissue after acrylonitrile exposure and absence of DNA repair on the basis of slide autoradiography; (2) oxidative DNA damage in astrocytes exposed to acrylonitrile but not in primary hepatocytes, with an apparent threshold response; (3) reversible loss of gap-junction intercellular communication in astrocytes exposed to acrylonitrile, but not in primary hepatocytes. However, as acrylonitrile appears from the rodent bioassays as a pluripotent (multi-organ) carcinogen, and given that the impact of genotoxicity cannot be ruled out, it appears prudent to consider a non-threshold mechanism. The available long-term bioassays by inhalation and by the oral route (gavage and drinking water studies) have been analysed as to the dose-dependence of tumours at the main target sites, using the Kaplan-Meier probability model. It has been concluded that the shape of



the different dose-response curves was sublinear (Chapman *et al.* 2002). The genotoxicity *in vivo*, at low levels of exposure, is not straightforward. IARC (1999) has concluded that "there is inadequate evidence in humans for the carcinogenicity of acrylonitrile", and "sufficient evidence in experimental animals" and has classified the compound as "possibly carcinogenic to humans" (Group 2B).

In general, the health risks from industrial handling of acrylonitrile appear to largely derive from its very pronounced acute and chronic toxicity, in combination with its clear potential for skin penetration, which leads to a high risk of accidents, possibly even fatal. This means that strict controls for the handling the compound in the workplace are required. Based on a review of the literature on health effects other than carcinogenicity, it has been concluded that current OELs in Western countries (i.e., ~2 ppm) offer adequate protection against health effects other than carcinogenicity (Sakurai 2000).

Acrylonitrile has been the subject of a substantial number of epidemiologic studies in exposed workers. A meta-analysis of 25 studies of acrylonitrile workers has indicated no excess for lung, brain and prostate cancer (Collins and Strother 1999). In a cohort study by the U.S. NCI and NIOSH, however, some excess of lung cancer was noted in the highest quintile of cumulative exposure to acrylonitrile (Blair *et al.* 1998). This finding has been countered by the argument that 67% of workers in the highest quintile originated from one plant, and that a prior history of exposure to asbestos also played a role (Chapman *et al.* 2002). Having reviewed 18 published cohort studies, Sakurai (2000) arrived at the conclusion that, although there was no adequate evidence in humans for carcinogenicity of acrylonitrile, the possibility of a causal association between high exposure and lung cancer in humans could not be excluded. In general, the evidence of carcinogenicity to humans is considered weak.

In essence, acrylonitrile is an established carcinogen in experimental animals; a genotoxic mechanism cannot be ruled out and epidemiological evidence does not exclude the possibility of carcinogenicity in humans. *In consequence, a health-based Occupational Exposure Limit cannot be derived for acrylonitrile.* Non-tumorigenic effects of acrylonitrile are not to be expected at exposure levels up to 1-2 ppm.

A *skin notation* is supported by reports of severe industrial intoxications following skin contact (Thier *et al.* 2000). This calls for effective means of biological monitoring. Available methods have been evaluated (DFG 1994). In industrial practice, suitable strategies could reasonably be based on analysis of acrylonitrile adducts to blood proteins (haemoglobin and/or albumin; Thier *et al.* 1999, 2000, 2002).



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